

Analytical Report: City Transportation Network Optimization Using MST Algorithms

Course: Design and Analysis of Algorithms

Student Name: Bauyrzhan Nurzhanov

Table of Contents

1. [Introduction](#)
2. [Methodology](#)
3. [Input Data Summary](#)
4. [Algorithm Results](#)
5. [Theoretical Comparison](#)
6. [Practical Performance Analysis](#)
7. [Conclusions](#)
8. [References](#)

1. Introduction

1.1 Problem Statement

The city administration needs to construct roads connecting all districts with minimum total cost. This problem is modeled as finding a Minimum Spanning Tree (MST) in a weighted undirected graph where:

- **Vertices** represent city districts
- **Edges** represent potential roads
- **Edge weights** represent construction costs

1.2 Objectives

- Implement Prim's and Kruskal's algorithms
- Compare algorithm performance on various graph sizes
- Analyze efficiency under different conditions
- Determine optimal algorithm selection criteria

2. Methodology

2.1 Implementation Approach

- **Programming Language:** Java 11
- **Data Structure:** Custom Graph class with adjacency list representation
- **JSON Processing:** Gson library for input/output handling
- **Testing Framework:** JUnit 5 for automated testing

2.2 Metrics Measured

1. **Total MST Cost:** Sum of edge weights in the MST
2. **Execution Time:** Measured in milliseconds
3. **Operation Count:** Number of key algorithmic operations
4. **Graph Properties:** Vertices, edges, density

2.3 Test Dataset Categories

- **Small graphs:** 4-6 vertices (correctness verification)
- **Medium graphs:** 10-15 vertices (performance observation)
- **Large graphs:** 20-30+ vertices (scalability testing)

3. Input Data Summary

3.1 Small Graphs

| Graph Name | Vertices | Edges | Density | Connected |
|------------------------|----------|-------|---------|-----------|
| Small City 4 Districts | 4 | 5 | 83.33% | Yes |
| Small City 5 Districts | 5 | 7 | 70.00% | Yes |
| Small City 6 Districts | 6 | 9 | 60.00% | Yes |

3.2 Medium Graphs

| Graph Name | Vertices | Edges | Density | Connected |
|--------------------------|----------|-------|---------|-----------|
| Medium City 10 Districts | 10 | 18 | 40.00% | Yes |

| | | | | |
|--------------------------|----|----|--------|-----|
| Medium City 12 Districts | 12 | 21 | 31.82% | Yes |
| Medium City 15 Districts | 15 | 21 | 20.00% | Yes |

3.3 Large Graphs

| Graph Name | Vertices | Edges | Density | Connected |
|-------------------------|----------|-------|---------|-----------|
| Large City 20 Districts | 20 | 43 | 22.63% | Yes |
| Large City 25 Districts | 25 | 55 | 18.33% | Yes |
| Large City 30 Districts | 30 | 50 | 11.49% | Yes |

Graph Density = $(2 \times E) / (V \times (V - 1)) \times 100\%$

4. Algorithm Results

4.1 Results Table

| Graph ID | Algorithm | Vertices | Edges | MST Cost | MST Edges | Execution Time (ms) | Operations |
|----------|-----------|----------|-------|----------|-----------|---------------------|------------|
| 0 | Prim's | 4 | 5 | 15 | 3 | 0.234 | 25 |
| 0 | Kruskal's | 4 | 5 | 15 | 3 | 0.187 | 23 |
| ... | ... | ... | ... | ... | ... | ... | ... |

[Fill in with actual results from your execution]

4.2 Correctness Verification

✓ **All tests passed:**

- MST costs match between algorithms
- All MSTs contain V-1 edges
- All MSTs are acyclic
- All MSTs connect all vertices
- Disconnected graphs handled correctly

5. Theoretical Comparison

5.1 Prim's Algorithm

Time Complexity:

- With binary heap (priority queue): $O(E \log V)$
- With Fibonacci heap: $O(E + V \log V)$
- Array-based implementation: $O(V^2)$

Space Complexity: $O(V + E)$

Key Operations:

1. Initialize priority queue with edges from starting vertex
2. Extract minimum edge from queue
3. Add adjacent edges of newly added vertex
4. Repeat until $V-1$ edges added

Advantages:

- Better for dense graphs ($E \approx V^2$)
- Naturally works with adjacency list
- Can start from any vertex

Disadvantages:

- Requires priority queue maintenance
- More complex implementation
- Priority queue operations add overhead

5.2 Kruskal's Algorithm

Time Complexity:

- Sorting edges: $O(E \log E)$
- Union-Find operations: $O(E \alpha(V))$ where α is inverse Ackermann
- Overall: $O(E \log E) \approx O(E \log V)$ since $E \leq V^2$

Space Complexity: $O(V + E)$

Key Operations:

1. Sort all edges by weight
2. Initialize Union-Find structure
3. Process edges in sorted order
4. Add edge if it doesn't create cycle (using Union-Find)
5. Repeat until $V-1$ edges added

Advantages:

- Better for sparse graphs ($E \approx V$)
- Simple and intuitive
- Works naturally with edge list representation
- Union-Find operations are nearly constant time

Disadvantages:

- Requires sorting all edges upfront
- Less efficient for very dense graphs
- Edge list representation needed

5.3 Theoretical Comparison Summary

| Aspect | Prim's Algorithm | Kruskal's Algorithm |
|-----------------|------------------|-----------------------|
| Time Complexity | $O(E \log V)$ | $O(E \log E)$ |
| Best for | Dense graphs | Sparse graphs |
| Data Structure | Priority Queue | Union-Find |
| Edge Processing | Greedy by vertex | Greedy by edge weight |
| Starting Point | Single vertex | All edges |

6. Practical Performance Analysis

6.1 Small Graphs (4-6 vertices)

Observations:

- Both algorithms execute in $< 1\text{ms}$
- Performance difference negligible
- Operation counts similar
- [Add your specific observations]

Winner: Tie (performance indistinguishable at small scale)

6.2 Medium Graphs (10-15 vertices)

Graph Density Analysis:

Dense graphs (40-70% density):

- Prim's Algorithm: [X] ms, [Y] operations
- Kruskal's Algorithm: [X] ms, [Y] operations
- **Observation:** [Which performed better and why]

Sparse graphs (20-30% density):

- Prim's Algorithm: [X] ms, [Y] operations
- Kruskal's Algorithm: [X] ms, [Y] operations
- **Observation:** [Which performed better and why]

Winner: [Specify based on your results]

6.3 Large Graphs (20-30+ vertices)

Performance Metrics:

| Graph Size | Density | Prim's Time (ms) | Kruskal's Time (ms) | Faster Algorithm |
|-------------|---------|------------------|---------------------|------------------|
| 20 vertices | 22.63 % | [X] | [Y] | [Algorithm] |
| 25 vertices | 18.33 % | [X] | [Y] | [Algorithm] |
| 30 vertices | 11.49 % | [X] | [Y] | [Algorithm] |

Observations:

1. **Execution Time Trends:**
 - a. [Describe how execution time scales with graph size]
 - b. [Which algorithm scales better]
2. **Operation Count Analysis:**
 - a. Prim's: [Describe operation growth pattern]
 - b. Kruskal's: [Describe operation growth pattern]
3. **Density Impact:**

- a. Dense graphs ($>40\%$): [Which algorithm wins and why]
- b. Sparse graphs ($<20\%$): [Which algorithm wins and why]

Winner: [Specify based on your results]

6.4 Statistical Summary

Overall Performance (across all test cases):

- Prim's faster: [X] times out of [N] tests ([X]%)
- Kruskal's faster: [Y] times out of [N] tests ([Y]%)

Operation Efficiency:

- Prim's fewer operations: [X] times
- Kruskal's fewer operations: [Y] times

6.5 Graphical Analysis

[Include charts/graphs if possible:]

- Execution Time vs Graph Size
- Operation Count vs Edge Density
- Algorithm Comparison Bar Chart

7. Conclusions

7.1 Key Findings

1. Correctness Verification:

- a. Both algorithms produce identical MST costs
- b. All correctness tests passed successfully
- c. Both handle edge cases (disconnected graphs, single vertex) properly

2. Performance Characteristics:

- a. **Small graphs:** No significant performance difference
- b. **Medium graphs:** [Your findings]
- c. **Large graphs:** [Your findings]

3. Density Impact:

- a. **Dense graphs** ($E > 50\%$ of V^2): [Which algorithm performs better]
- b. **Sparse graphs** ($E < 30\%$ of V^2): [Which algorithm performs better]

7.2 Algorithm Selection Recommendations

Use Prim's Algorithm when:

- Graph is dense (many edges relative to vertices)
- Using adjacency list or adjacency matrix representation
- Need to start from a specific vertex
- Graph is represented in memory-friendly adjacency format

Use Kruskal's Algorithm when:

- Graph is sparse (few edges relative to vertices)
- Edges are already stored in a list/array
- Simple implementation is preferred
- Working with edge-centric data structure

Example Scenarios:

1. **Dense Urban Network** (many interconnected districts): **Prim's Algorithm**
 - a. High connectivity between districts
 - b. Adjacency list is natural representation
2. **Rural Road Network** (sparse connections): **Kruskal's Algorithm**
 - a. Limited road connections
 - b. Edge list is simpler to maintain

7.3 Implementation Considerations

Code Quality:

- Custom Graph data structure (bonus) improves maintainability
- Union-Find in Kruskal's provides elegant cycle detection
- Priority Queue in Prim's enables efficient vertex selection

Optimization Opportunities:

- Fibonacci heap for Prim's could improve theoretical complexity
- Path compression in Union-Find already optimized
- Early termination when $V-1$ edges found

7.4 Practical Implications

For the city transportation network problem:

- Both algorithms guarantee minimum cost
- Choice depends on network characteristics

- Execution time differences become significant at scale (>1000 vertices)
- Operation count correlates with theoretical complexity

7.5 Lessons Learned

1. Theory vs Practice:

- a. Theoretical complexity matches practical performance trends
- b. Constant factors matter in real implementations
- c. Graph representation impacts algorithm efficiency

2. Testing Importance:

- a. Automated tests catch implementation errors early
- b. Multiple dataset sizes reveal performance patterns
- c. Edge cases (disconnected, single vertex) must be handled

3. Object-Oriented Design:

- a. Custom Graph class improves code organization
- b. Encapsulation makes algorithms clearer
- c. Reusability enables easy testing and extension

8. References

8.1 Academic Sources

1. Cormen, T. H., Leiserson, C. E., Rivest, R. L., & Stein, C. (2009). *Introduction to Algorithms* (3rd ed.). MIT Press.
 - a. Chapter 23: Minimum Spanning Trees
2. Sedgewick, R., & Wayne, K. (2011). *Algorithms* (4th ed.). Addison-Wesley.
 - a. Section 4.3: Minimum Spanning Trees
3. Kleinberg, J., & Tardos, É. (2005). *Algorithm Design*. Pearson Education.
 - a. Chapter 4: Greedy Algorithms

8.2 Technical Documentation

4. Java Documentation: PriorityQueue
 - a. <https://docs.oracle.com/javase/11/docs/api/java.util.PriorityQueue.html>
5. Gson Library Documentation
 - a. <https://github.com/google/gson>

8.3 Online Resources

6. GeeksforGeeks: Prim's Algorithm

- a. <https://www.geeksforgeeks.org/prims-minimum-spanning-tree-mst-greedy-algo-5/>
- 7. GeeksforGeeks: Kruskal's Algorithm
 - a. <https://www.geeksforgeeks.org/kruskals-minimum-spanning-tree-algorithm-greedy-algo-2/>
- 8. Wikipedia: Minimum Spanning Tree
 - a. https://en.wikipedia.org/wiki/Minimum_spanning_tree