# simuPOP Reference Manual

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#### Abstract

simuPOP is a forward-time population genetics simulation environment. Unlike coalescent-based programs, simuPOP evolves populations forward in time, subject to arbitrary number of genetic and environmental forces such as mutation, recombination, migration and population/subpopulation size changes. Statistics of populations can be calculated and visualized dynamically which makes simuPOP an ideal tool to demonstrate population genetics models; generate datasets under various evolutionary settings, and more importantly, study complex evolutionary processes and evaluate gene mapping methods.

simuPOP is written in C++ and is provided as Python modules. It provides a large number of building blocks (populations, mating schemes, various genetic forces in the form of operators, simulators and gene mapping methods) to construct a simulation. This provides a R/Splus or Matlab-like environment where users can interactively create, manipulate and evolve populations, monitor and visualize population statistics and apply gene mapping methods. Please refer to the *simuPOP user's guide* for a detailed introduction to simuPOP concepts, and a number of examples on how to use simuPOP to perform various simulations.

This reference manual lists all variables, functions, classes and utility modules of simuPOP. Please report any error to the simuPOP mailing list simupop-list@lists.sourceforge.net.

## How to cite simuPOP:

Bo Peng and Marek Kimmel (2005) simuPOP: a forward-time population genetics simulation environment. *bioinformatics*, **21** (18): 3686-3687.

Bo Peng and Christopher Amos (2008) Forward-time simulations of nonrandom mating populations using simuPOP. *bioinformatics*, **24** (11)" 1408-1409.

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Contents 1

# simuPOP Components

# 1.1 Individual and Population

## 1.1.1 Class GenoStruTrait

All individuals in a population share the same genotypic properties such as number of chromosomes, number and position of loci, names of markers, chromosomes, and information fields. These properties are stored in this GenoStruTrait class and are accessible from individual, population, and simulator classes. Currently, a genotypic structure consists of

- Ploidy, namely the number of homologous sets of chromosomes, of a population. Haplodiploid population is also supported.
- Number of chromosomes and number of loci on each chromosome.
- Positions of loci, which determine the relative distance between loci on the same chromosome. No unit is assumed so these positions can be ordinal (1, 2, 3, ..., the default), in physical distance (bp, kb or mb), or in map distance (e.g. centiMorgan) depending on applications.
- Names of alleles. Although alleles at different loci usually have different names, simuPOP uses the same names for alleles across loci for simplicity.
- Names of loci and chromosomes.
- Names of information fields attached to each individual.

In addition to basic property access functions, this class also provides some utility functions such as locusByName, which looks up a locus by its name.

#### class GenoStruTrait()

A GenoStruTrait object is created with the creation of a population so it cannot be initialized directly.

#### ploidy()

Return the number of homologous sets of chromosomes, specified by the *ploidy* parameter of the population function. Return 2 for a haplodiploid population because two sets of chromosomes are stored for both males and females in such a population.

## ploidyName()

Return the ploidy name of this population, can be one of haploid, diploid, haplodiploid, triploid, tetraploid or #-ploid where # is the ploidy number.

# chromBegin (chrom)

Return the index of the first locus on chromosome *chrom*.

#### chromByName (name)

Return the index of a chromosome by its *name*.

#### chromEnd(chrom)

Return the index of the last locus on chromosome *chrom* plus 1.

#### chromName (chrom)

Return the name of a chromosome *chrom*. Default to chrom# where # is the 1-based index of the chromosome.

#### chromNames()

Return a list of the names of all chromosomes.

#### chromType (chrom)

Return the type of a chromosome *chrom* (1 for Autosome, 2 for ChromosomeX, 3 for ChromosomeY, and 4 for Mitochondrial).

## chromTypes()

Return the type of all chromosomes (1 for Autosome, 2 for ChromosomeX, 3 for ChromosomeY, and 4 for Mitochondrial).

#### numChrom()

Return the number of chromosomes.

#### absLocusIndex (chrom, locus)

Return the absolute index of locus locus on chromosome chrom. An IndexError will be raised if chrom or locus is out of range. c.f. chromLocusPair.

#### chromLocusPair (locus)

Return the chromosome and relative index of a locus using its absolute index *locus*. c.f. absLocusIndex.

# lociByNames (names)

Return the indexes of loci with names names. Raise a ValueError if any of the loci cannot be found.

#### lociDist(loc1, loc2)

Return the distance between loci loc1 and loc2 on the same chromosome. A negative value will be returned if loc1 is after loc2.

#### lociNames()

Return the names of all loci specified by the *lociNames* parameter of the population function.

#### lociPos()

Return the positions of all loci, specified by the *lociPos* prameter of the population function. The default positions are 1, 2, 3, 4, ... on each chromosome.

#### locusByName (name)

Return the index of a locus with name name. Raise a ValueError if no locus is found.

#### locusName(loc)

Return the name of locus *loc* specified by the *lociNames* parameter of the population function. Default to locX-Y where X and Y are 1-based chromosome and locus indexes (loc1-1, loc1-2, ... etc)

## locusPos(loc)

Return the position of locus *loc* specified by the *lociPos* parameter of the population function. An IndexError will be raised if the absolute index *loc* is greater than or equal to the total number of loci.

# numLoci(chrom)

Return the number of loci on chromosome *chrom*, equivalent to numLoci () [*chrom*].

# numLoci()

Return the number of loci on all chromosomes.

#### totNumLoci()

Return the total number of loci on all chromosomes.

#### alleleName(allele)

Return the name of allele *allele* specified by the *alleleNames* parameter of the population function. If the name of an allele is not specified, its index ('0', '1', '2', etc) is returned. An IndexError will be raised if *allele* is larger than the maximum allowed allele state of this module (MaxAllele()).

#### alleleNames()

Return a list of allele names given by the *alleleNames* parameter of the population function. This list does not have to cover all possible allele states of a population so alleleNames() [allele] might fail (use alleleNames (allele) instead).

#### infoField(idx)

Return the name of information field idx.

#### infoFields()

Return a list of the names of all information fields of the population.

#### infoIdx (name)

Return the index of information field *name*. Raise an IndexError if *name* is not one of the information fields.

# 1.1.2 Class individual

A population consists of individuals with the same genotypic structure. An individual object cannot be created independently, but referces to inidividuals can be retrieved using member functions of a population object. In addition to structural information shared by all individuals in a population (provided by class genoStruTrait), an individual class provides member functions to get and set *genotype*, *sex*, *affection status* and *information fields* of an individual.

Genotypes of an individual are stored sequentially and can be accessed locus by locus, or in batch. The alleles are arranged by position, chromosome and ploidy. That is to say, the first allele on the first chromosome of the first homologous set is followed by alleles at other loci on the same chromsome, then markers on the second and later chromosomes, followed by alleles on the second homologous set of the chromosomes for a diploid individual. A consequence of this memory layout is that alleles at the same locus of a non-haploid individual are separated by individual::totNumLoci() loci. It is worth noting that access to invalid chromosomes, such as the Y chromosomes of female individuals, are not restricted.

# class individual()

An individual object cannot be created directly. It has to be accessed from a population object using functions such as population: individual (idx).

# allele(idx)

Return the current allele at a locus, using its absolute index *idx*.

#### allele(idx, p)

Return the current allele at locus idx on the p-th set of homologous chromosomes.

#### allele (idx, p, chrom)

Return the current allele at locus *idx* on chromosome *chrom* of the *p*-th set of homologous chromosomes.

# setAllele(allele, idx)

Set allele *allele* to a locus, using its absolute index *idx*.

#### setAllele (allele, idx, p)

Set allele *allele* to locus *idx* on the *p*-th homologous set of chromosomes.

## setAllele (allele, idx, p, chrom)

Set allele *allele* to locus *idx* on chromosome *chrom* of the *p*-th homologous set of chromosomes.

#### genotype()

Return an editable array (a carray of length totNumLoci()\*ploidy()) that represents all alleles of an individual.

#### genotype(p)

Return an editable array (a carray of length totNumLoci()) that represents all alleles on the p-th homologous set of chromosomes.

# genotype (p, chrom)

Return an editable array (a carrary of legnth numLoci (*chrom*)) that represents all alleles on chromosome *chrom* of the *p*-th homologous set of chromosomes.

## setGenotype (geno)

Fill the genotype of an individual using a list of alleles *geno*. geno will be reused if its length is less than totNumLoci()\*ploidy().

## setGenotype (geno, p)

Fill the genotype of the p-th homologous set of chromosomes using a list of alleles geno. geno will be reused if its length is less than totNumLoci().

#### setGenotype (geno, p, chrom)

Fill the genotype of chromosome *chrom* on the p-th homologous set of chromosomes using a list of alleles *geno*. geno will be reused if its length is less than mumLoci (*chrom*).

#### setSex (sex)

Set individual sex to Male or Female.

#### sex()

Return the sex of an individual, 1 for male and 2 for female.

#### sexChar()

Return the sex of an individual, M for male or F for female.

#### affected()

Return True if this individual is affected.

#### affectedChar()

Return A if this individual is affected, or U otherwise.

## setAffected (affected)

Set affection status to affected (True or False).

#### info(idx)

Return the value of an information field *idx* (an index).

### info(name)

Return the value of an information field *name*.

# intInfo(idx)

Return the value of an information field idx (an index) as an integer number.

# intInfo(name)

Return the value of an information field *name* as an integer number.

# setInfo(value, idx)

Set the value of an information field *idx* (an index) to *value*.

# setInfo(value, name)

Set the value of an information field name to value.

# 1.1.3 Class population

A simuPOP population consists of individuals of the same genotypic structure, organized by generations, subpopulations and virtual subpopulations. It also contains a Python dictionary that is used to store arbitrary population variables. In addition to genotypic structured related functions provided by the genoStruTrait class, the population class provides a large number of member functions that can be used to

- Create, copy and compare populations.
- Manipulate subpopulations. A population can be divided into several subpopulations. Because individuals only
  mate with individuals within the same subpopulation, exchange of genetic information across subpopulations
  can only be done through migration. A number of functions are provided to access subpopulation structure
  information, and to merge and split subpopulations.
- Define and access virtual subpopulations. A *virtual subpopulation splitter* can be assigned to a population, which defines groups of individuals called *virtual subpopulations* (VSP) within each subpopulation.
- Access individuals individually, or through iterators that iterate through individuals in (virtual) subpopulations.
- Access genotype and information fields of individuals at the population level. From a population point of view, all genotypes are arranged sequentially individual by individual. Please refer to class individual for an introduction to genotype arragement of each individual.
- Store and access ancestral generations. A population can save arbitrary number of ancestral generations. It is
  possible to directly access an ancestor, or make an ancestral generation the current generation for more efficient
  access.
- Insert or remove loci, resize (shrink or expand) a population, sample from a population, or merge with other populations.
- Manipulate population variables and evaluate expressions in this *local namespace*.
- Save and load a population.

The following parameters are used to create a population object:

- *size:* A list of subpopulation sizes. The length of this list determines the number of subpopulations of this population. If there is no subpopulation, *size*=[popSize] can be written as *size*=popSize.
- ploidy: Number of homologous sets of chromosomes. Default to 2 (diploid). For efficiency considerations, all chromosomes have the same number of homologous sets, even if some chromosomes (e.g. mitochondrial) or some individuals (e.g. males in a haplodiploid population) have different numbers of homologous sets. The first case is handled by setting chromTypes of each chromosome. Only the haplodiploid populations are handled for the second case, for which ploidy=Haplodiploid should be used.
- *loci:* A list of numbers of loci on each chromosome. The length of this parameter determines the number of chromosomes. Default to [1], meaning one chromosome with a single locus.
- chromTypes: A list that specifies the type of each chromosome, which can be Autosome, ChromosomeX, ChromosomeY, or Mitochondrial. All chromosomes are assumed to be autosomes if this parameter is ignored. Sex chromosome can only be specified in a diploid population where the sex of an individual is determined by the existence of these chromosomes using the XX (Female) and XY (Male) convention. Both sex chromosomes have to be available and be specified only once. Because chromosomes X and Y are treated as two chromosomes, recombination on the pseudo-autosomal regions of the sex chromosomes is not supported. A Mitochondrial chromosome only exists in females and is inherited maternally.

- *lociPos:* Positions of all loci on all chromosome, as a list of float numbers. Default to 1, 2, ... etc on each chromosome. Positions on the same chromosome should be ordered. A nested list that specifies positions of loci on each chromosome is also acceptable.
- ancestralGens: Number of the most recent ancestral generations to keep during evolution. Default to 0, which means only the current generation will be kept. If it is set to -1, all ancestral generations will be kept in this population (and exhaust your computer RAM quickly).
- chromNames: A list of chromosome names. Default to chrom1, chrom2, ... etc.
- alleleNames: A list of allele names for all markers. For example, alleleNames = ('A', 'C', 'T', 'G') names allele 0 3'A', 'C', 'T', and 'G' respectively. Note that simuPOP does not yet support locus-specific allele names.
- *lociNames:* A list or a matrix (separated by chromosomes) of names for each locus. Default to "locX-Y" where X and Y are 1-based chromosome and locus indexes, respectively.
- infoFields: Names of information fields (named float number) that will be attached to each individual.

## ancestor (ind, gen)

Refrence to an individual ind in an ancestral generation

#### ancestor (ind, subPop, gen)

Refrence to an individual ind in a specified subpopulation or an ancestral generation

# clone (keepAncestralPops=-1)

Copy a population, with the option to keep all (default), no, or a given number of ancestral generations (keepAncestralPops = -1, 0, or a positive number, respectively). Note that Python statement pop1 = pop only creates a reference to an existing population pop.

# save (filename)

Save population to a file *filename*. The population can be restored from this file, using a global function LoadPopulation (filename).

# absIndIndex (idx, subPop)

Return the absolute index of an individual idx in subpopulation subPop.

# numSubPop()

Return the number of subpopulations in a population. Return 1 if there is no subpopulation structure.

# popSize()

Return the total number of individuals in all subpopulations.

# subPopBegin (subPop)

Return the index of the first individual in subpopulation *subPop*. An IndexError will be raised if *subPop* is out of range.

#### subPopEnd(subPop)

Return the index of the last individual in subpopulation *subPop* plus 1, so that range (subPopBegin (subPop), subPopEnd (subPop) can iterate through the index of all individuals in subpopulation *subPop*.

#### subPopIndPair(idx)

Return the subpopulation ID and relative index of an individual, given its absolute index idx.

## subPopSize(subPop)

Return the size of a subpopulation (subPopSize(sp)) or a virtual subpopulation (subPopSize([sp, vsp])).

## subPopSizes()

Return the sizes of all subpopulations in a list. Virtual subpopulations are not considered.

#### numVirtualSubPop()

Return the number of virtual subpopulations (VSP) defined by a VSP splitter. Return 0 if no VSP is defined.

#### setVirtualSplitter(splitter)

Set a VSP *splitter* to the population, which defines the same VSPs for all subpopulations. If different VSPs are needed for different subpopulations, a combinedSplitter can be used to make these VSPs available to all subpopulations.

# virtualSubPopName (subPop)

Return the name of a virtual subpopulation *subPop* (specified by a (sp, vsp) pair). Because VSP names are the same across all subpopulations, a single VSP index is also acceptable.

# individual (idx, subPop=0)

Return a refernce to individual *ind* in subpopulation *subPop*.

#### individuals()

Return a Python iterator that can be used to iterate through all individuals in a population.

## individuals (subPop)

Return an iterator that can be used to iterate through all individuals in a subpopulation (subPop=spID) or a virtual subpopulation (subPop=[spID, vspID]).

## genotype()

Return an editable array of the genotype of all individuals in this population.

#### genotype (subPop)

Return an editable array of the genotype of all individuals in subpopulation *subPop*.

## setGenotype (geno)

Fill the genotype of all individuals of a population using a list of alleles *geno*. *geno* will be reused if its length is less than popSize()\*totNumLoci()\*ploidy().

## setGenotype (geno, subPop)

Fill the genotype of all individuals of in subpopulation *subPop* using a list of alleles *geno*. *geno* will be reused if its length is less than subPopSize(subPop) \*totNumLoci() \*ploidy().

# ancestor(idx, gen)

Return a reference to individual idx in ancestral generation gen. The correct individual will be returned even if the current generation is not the present one (see useAncestralGen).

#### ancestor(ind, subPop, gen)

Return a reference to individual idx of subpopulation subPop in ancestral generation gen.

#### ancestralGens()

Return the actual number of ancestral generations stored in a population, which does not necessarily equal to the number set by setAncestralDepth().

## setAncestralDepth(depth)

Set the intended ancestral depth of a population to *depth*, which can be 0 (does not store any ancestral generation), -1 (store all ancestral generations), and a positive number (store *depth* ancestral generations.

#### useAncestralGen(idx)

Making ancestral generation idx (0 for current generation, 1 for parental generation, 2 for grand-parental generation, etc) the current generation. This is an efficient way to access population properties of an ancestral generation. useAncestralGen(0) should always be called to restore the correct order of ancestral generations.

## addChrom(lociPos, lociNames=[], chromName="", chromType=Autosome)

Add chromosome *chromName* with given type *chromType* to a population, with loci *lociNames* inserted at position *lociPos*. *lociPos* should be ordered. *lociNames* and *chromName* should not exist in the current population. If they are not specified, simuPOP will try to assign default names, and raise a ValueError if the default names have been used.

#### addChromFromPop (pop)

Add chromosomes in population *pop* to the current population. Population *pop* should have the same number of individuals as the current population in the current and all ancestral generations. This function merges genotypes on the new chromosomes from population pop individual by individual.

# addIndFromPop(pop)

Add all individuals, including ancestors, in *pop* to the current population. Two populations should have the same genotypic structures and number of ancestral generations. Subpopulations in population *pop* are kept.

# addLoci (chrom, pos, names=[])

Insert loci *names* at positions *pos* on chromosome *chrom*. These parameters should be lists of the same length, although *names* may be ignored, in which case random names will be given. Alleles at inserted loci are initialized with zero alleles. Note that loci have to be added to existing chromosomes. If loci on a new chromosome need to be added, function addChrom should be used. This function returns indexes of the inserted loci.

#### addLociFromPop (pop)

Add loci from population *pop*, chromosome by chromosome. Added loci will be inserted according to their position. Their position and names should not overlap with any locus in the current population. Population *pop* should have the same number of individuals as the current population in the current and all ancestral generations.

#### mergeSubPops (subPops=[])

Merge subpopulations *subPops*. If *subPops* is empty (default), all subpopulations will be merged. Subpopulations *subPops* do not have to be adjacent to each other. The ID of the first subpopulation in parameter *subPops* will become the ID of the new large subpopulation. Other subpopulations will keep their IDs although their sizes become zero. Function removeEmptySubPops can be used to remove these empty subpopulation.

# removeEmptySubPops()

Remove empty subpopulations by adjusting subpopulation IDs.

#### removeIndividuals(inds)

Remove individuals *inds* (absolute indexes) from the current population. A subpopulation will be kept even if all individuals from it are removed.

## removeLoci (loci=[], keep=[])

Remove *loci* (absolute indexes) and genotypes at these loci from the current population. Alternatively, a parameter *keep* can be used to specify loci that will not be removed.

## removeSubPops (subPops)

Remove all individuals from subpopulations *subPops*. The removed subpopulations will have size zero, and can be removed by function removeEmptySubPops.

## resize (newSubPopSizes, propagate=False)

Resize population by giving new subpopulation sizes *newSubPopSizes*. Individuals at the end of some subpopulations will be removed if the new subpopulation size is smaller than the old one. New individuals will be appended to a subpopulation if the new size is larger. Their genotypes will be set to zero (default), or be copied from existing individuals if *propagate* is set to True. More specifically, if a subpopulation with 3 individuals is expanded to 7, the added individuals will copy genotypes from individual 1, 2, 3, and 1 respectively. Note that this function only resizes the current generation.

# splitSubPop (subPop, sizes, keepOrder=True)

Split subpopulation subPop into subpopulations of given sizes, which should add up to the size of subpopulation subPop. Alternatively, sizes can be a list of proportions (add up to 1) from which the sizes of new subpopulations are determined. By default, subpopulation indexes will be adjusted so that individuals can keep their original order. That is to say, if subpopulation 1 of a population having four subpopulations is split into three subpopulation, the new subpopulation ID would be 0, 1.1->1, 1.2->2, 1.3->3, 2->4, 3->5. If keepOrder is set to False, the subpopulation IDs of existing subpopulations will not

be changed so the new subpopulation IDs of the previous example would be 0, 1.1 -> 1, 2, 3, 1.2 -> 4, 1.3 -> 5.

## addInfoField (field, init=0)

Add an information field *field* to a population and initialize its values to *init*.

#### addInfoFields (fields, init=0)

Add information fields *fields* to a population and initialize their values to *init*. If an information field alreay exists, it will be re-initialized.

#### indInfo(idx)

Return the information field idx (an index) of all individuals as a list.

#### indInfo(name)

Return the information field name of all individuals as a list.

#### indInfo(idx, subPop)

Return the information field idx (an index) of all individuals in (virtual) subpopulation subPop as a list.

# indInfo(name, subPop)

Return the information field name of all individuals in (virtual) subpopulation subPop as a list.

#### **setIndInfo** (*values*, *idx*)

Set information field idx (an index) of the current population to *values*. *values* will be reused if its length is smaller than popSize().

#### setIndInfo(values, name)

Set information field name of the current population to *values*. *values* will be reused if its length is smaller than popSize().

### setIndInfo(values, idx, subPop)

Set information field idx (an index) of a subpopulation (subPop=sp) or a virtual subpopulation (subPop=[sp, vsp]) to values. values will be reused if its length is smaller than subPopSize(subPop).

#### setIndInfo(values, name, subPop)

Set information field name of a subpopulation (subPop=sp) or a virtual subpopulation (subPop=[sp, vsp]) to values. values will be reused if its length is smaller than subPopSize (subPop).

## setInfoFields (fields, init=0)

Set information fields *fields* to a population and initialize them with value *init*. All existing information fields will be removed.

#### dvars (subPop=-1)

Return a wrapper of Python dictionary returned by vars(subPop) so that dictionary keys can be accessed as attributes. For example pop.dvars().alleleFreq is equivalent to pop.vars()["alleleFreq"].

#### vars (subPop=-1)

Return variables of a population. If subPop is given, return a dictionary for specified subpopulation.

# 1.2 Virtual subpopulation splitters

# 1.2.1 Class vspSplitter

This class is the base class of all virtual subpopulation (VSP) splitters, which provide ways to define groups of individuals in a subpopulation who share certain properties. A splitter defines a fixed number of named VSPs. They do not have to add up to the whole subpopulation, nor do they have to be distinct. After a splitter is assigned to a population, many functions and operators can be applied to individuals within specified VSPs. Only one VSP splitter

can be assigned to a population, which defined VSPs for all its subpopulations. It different splitters are needed for different subpopulations, a combinedSplitter should be.

```
class vspSplitter()
    This is a virtual class that cannot be instantiated.
    clone()
        All VSP splitter defines a clone() function to create an identical copy of itself.
    name(vsp)
        Return the name of VSP vsp (an index between 0 and numVirtualSubPop()).
    numVirtualSubPop()
        Return the number of VSPs defined by this splitter.
```

# 1.2.2 Class sexSplitter

This splitter defines two VSPs by individual sex. The first VSP consists of all male individuals and the second VSP consists of all females in a subpopulation.

```
class sexSplitter()
    Create a sex splitter that defines male and female VSPs.
    name (vsp)
        Return "Male" if vsp=0 and "Female" otherwise.
    numVirtualSubPop()
        Return 2.
```

# 1.2.3 Class affection Splitter

This class defines two VSPs according individual affection status. The first VSP consists of unaffected invidiauls and the second VSP consists of affected ones.

```
class affectionSplitter()
    Create a splitter that defined two VSPs by affection status.
name (vsp)
    Return "Unaffected" if vsp=0 and "Affected" if vsp=1.
numVirtualSubPop()
    Return 2.
```

# 1.2.4 Class infoSplitter

This splitter defines VSPs according to the value of an information field of each individual. A VSP is defined either by a value or a range of values.

```
class infoSplitter (field, values=[], cutoff=[])
```

Create an infomration splitter using information field *field*. If parameter *values* is specified, each item in this list defines a VSP in which all individuals have this value at information field *field*. If a set of cutoff values are defined in parameter *cutoff*, individuals are grouped by intervals defined by these cutoff values. For example, cutoff=[1,2] defines three VSPs with v < 1, 1 <= v < 2 and v >= 2 where v is the value of an individual at information field *field*. Of course, only one of the parameters *values* and *cutoff* should be defined, values in *cutoff* should be distinct, and in an increasing order.

#### name(vsp)

Return the name of a VSP vsp, which is field = value if VSPs are defined by values in parameter values, or field < value (the first VSP), v1 <= field < v2 and field >= v (the last VSP) if VSPs are defined by cutoff values.

# numVirtualSubPop()

Return the number of VSPs defined by this splitter, which is the length parameter *values* or the length of *cutoff* plus one, depending on which parameter is specified.

# 1.2.5 Class proportionSplitter

This splitter divides subpopulations into several VSPs by proportion.

## class proportionSplitter (proportions=[])

Create a splitter that divides subpopulations by *proportions*, which should be a list of float numbers (between 0 and 1) that add up to 1.

```
name(vsp)
```

Return the name of VSP vsp, which is "Prop p" where p=propotions[vsp].

## numVirtualSubPop()

Return the number of VSPs defined by this splitter, which is the length of parameter proportions.

# 1.2.6 Class rangeSplitter

This class defines a splitter that groups individuals in certain ranges into VSPs.

## class rangeSplitter (ranges)

Create a splitter according to a number of individual ranges defined in *ranges*. For example, rangeSplitter(ranges=[[0, 20], [40, 50]]) defines two VSPs. The first VSP consists of individuals 0, 1, ..., 19, and the second VSP consists of individuals 40, 41, ..., 49. Note that a nested list has to be used even if only one range is defined.

### name(vsp)

Return the name of VSP vsp, which is "Range [a, b]" where [a, b] is range ranges [vsp].

#### numVirtualSubPop()

Return the number of VSPs, which is the number of ranges defined in parameter ranges.

# 1.2.7 Class genotypeSplitter

This class defines a VSP splitter that defines VSPs according to individual genotype at specified loci.

# class genotypeSplitter (loci (or locus), alleles, phase=False)

Create a splitter that defined VSPs by individual genotype at loci *loci* (or *locus* if only one locus is used). Each list in a list *allele* defines a VSP, which is a list of allowed alleles at these *loci*. If only one VSP is defined, the outer list of the nested list can be ignored. If phase if true, the order of alleles in each list is significant. If more than one set of alleles are given, individuals having either of them is qualified.

For example, in a haploid population, locus=1, alleles=[0, 1] defines a VSP with individuals having allele 0 or 1 at locus 1, alleles=[[0, 1], [2]] defines two VSPs with individuals in the second VSP having allele 2 at locus 1. If multiple loci are involved, alleles at each locus need to be defined. For example, VSP defined by loci=[0, 1], alleles=[0, 1, 1] consists of individuals having alleles [0, 1] or [1, 1] at loci [0, 1].

In a haploid population, locus=1, alleles=[0, 1] defines a VSP with individuals having genotype [0, 1] or [1, 0] at locus 1. alleles[[0, 1], [2, 2]] defines two VSPs with individuals in the second VSP having genotype [2, 2] at locus 1. If *phase* is set to True, the first VSP will only has individuals with genotype [0, 1]. In the multiple loci case, alleles should be arranged by haplotypes, for example, loci=[0, 1], alleles=[0, 0, 1, 1], phase=True defines a VSP with individuals having genotype -0-0-, -1-1- at loci 0 and 1. If phase=False (default), genotypes -1-1-, -0-0-, -0-1- and -1-0- are all allowed.

#### name(vsp)

Return name of VSP vsp, which is "Genotype loc1, loc2:genotype" as defined by parameters loci and alleles.

# numVirtualSubPop()

Number of virtual subpops of subpopulation sp

# 1.2.8 Class combinedSplitter

This splitter takes several splitters and stacks their VSPs together. For example, if the first splitter defines 3 VSPs and the second splitter defines 2, the two VSPs from the second splitter becomes the fourth (index 3) and the fifth (index 4) VSPs of the combined splitter. This splitter is usually used to define different types of VSPs to a population.

#### class combinedSplitter (splitters=[])

Create a combined splitter using a list of *splitters*. For example, combinedSplitter([sexSplitter(), affectionSplitter()]) defines a combined splitter with four VSPs.

#### name (vsp)

Return the name of a VSP vsp, which is the name a VSP defined by one of the combined splitters.

#### numVirtualSubPop()

Return the number of VSPs defined by this splitter, which is the sum of the number of VSPs of all combined splitters.

# 1.3 Mating Scheme

# 1.3.1 Class mating

The base class of all mating schemes - a required parameter of simulatorMating schemes specify how to generate offspring from the current population. It must be provided when a simulator is created. Mating can perform the following tasks:

- change population/subpopulation sizes;
- randomly select parent(s) to generate offspring to populate the offspring generation;
- apply during-mating operators;
- apply selection if applicable.

class mating (newSubPopSize=[], newSubPopSizeExpr="", newSubPopSizeFunc=None, subPop=[], weight=0)

Create a mating scheme (do not use this base mating scheme, use one of its derived classes instead) By default, a mating scheme keeps a constant population size, generates one offspring per mating event. These can be changed using certain parameters. newSubPopSize, newSubPopSizeExpr and newSubPopSizeFunc can be used to specify subpopulation sizes of the offspring generation.

newSubPopSize: An array of subpopulations sizes, should have the same number of subpopulations as the current population

newSubPopSizeExpr: An expression that will be evaluated as an array of new subpopulation sizes

newSubPopSizeFunc: A function that takes parameters gen (generation number) and oldsize (an array of current population size) and return an array of subpopulation sizes of the next generation. This is usually easier to use than its expression version of this parameter.

*subPop:* If this parameter is given, the mating scheme will be applied only to the given (virtual) subpopulation. This is only used in heteroMating where mating schemes are passed to.

weight: When subPop is virtual, this is used to determine the number of offspring for this mating scheme. Weight can be

- •0 (default) the weight will be proportional to the current (virtual) subpopulation size. If other virtual subpopulation has non-zero weight, this virtual subpopulation will produce no offspring (weight 0).
- •any negative number -n: the size will be n\*m where m is the size of the (virtual) subpopulation of the parental generation.
- •any positive number n: the size will be determined by weights from all (virtual) subpopulations.

```
clone()
```

Deep copy of a mating scheme

```
submitScratch (pop, scratch)
```

A common submit procedure is defined.

# 1.3.2 Class noMating (Applicable to all ploidy)

A mating scheme that does nothing In this scheme, there is

- no mating. Parent generation will be considered as offspring generation.
- no subpopulation change. *During-mating* operators will be applied, but the return values are not checked. I.e., subpopulation size parameters will be ignored although some during-mating operators might be applied.

Note that because the offspring population is the same as parental population, this mating scheme can not be used with other mating schemes in a heterogeneous mating scheme. cloneMating is recommended for that purpose.

# 1.3.3 Class cloneMating (Applicable to all ploidy)

A clone mating that copy everyone from parental to offspring generation. Note that

- selection is not considered (fitness is ignored)
- sequentialParentMating is used. If offspring (virtual) subpopulation size is smaller than parental subpopulation size, not all parents will be cloned. If offspring (virtual) subpopulation size is larger, some parents will be cloned more than once.

1.3. Mating Scheme

- numOffspring interface is respected.
- during mating operators are applied.

# 1.3.4 Class binomial Selection (Applicable to all ploidy)

A mating scheme that uses binomial selection, regardless of sex No sex information is involved (binomial random selection). Offspring is chosen from parental generation by random or according to the fitness values. In this mating scheme.

- numOffspring protocol is honored;
- population size changes are allowed;
- selection is possible;

clone()

• haploid population is allowed.

```
 \begin{array}{lll} \textbf{class binomialSelection} & (numOffspring=1., & numOffspringFunc=None, & maxNumOffspring=0., \\ & mode=MATE\_NumOffspring, & sexParam=0.5, & sexMode=MATE\_RandomSex, & new-SubPopSize=[], & newSubPopSizeExpr="", & newSubPopSizeFunc=None, & subPop=[], \\ & & weight=0) \\ & \textbf{Create a binomial selection mating scheme Please refer to class mating for parameter descriptions.} \end{array}
```

Deep copy of a binomial selection mating scheme

# 1.3.5 Class baseRandomMating (Applicable to diploid only)

This base class defines a general random mating scheme that makes full use of a general random parents chooser, and a Mendelian offspring generator. A general random parents chooser allows selection without replacement, polygemous parents selection (a parent with more than one partners), and the definition of several alpha individuals. Direct use of this mating scheme is not recommended. randomMating, monogemousMating, polygemousMating, alphaMating are all special cases of this mating scheme. They should be used whenever possible.

FIXME: No document

replacement: If set to True, a parent can be chosen to mate again. Default to False.

replenish: In case that replacement=True, whether or not replenish a sex group when it is exhausted.

polySex: Sex of polygamous mating. Male for polygyny, Female for polyandry.

polyNum: Number of sex partners.

*alphaSex:* The sex of the alpha individual, i.e. alpha male or alpha female who be the only mating individuals in their sex group.

alphaNum: Number of alpha individuals. If infoField is not given, alphaNum random individuals with alphaSex will be chosen. If selection is enabled, individuals with higher+ fitness values have higher probability to be selected. There is by default no alpha individual (alphaNum = 0).

*alphaField:* If an information field is given, individuals with non-zero values at this information field are alpha individuals. Note that these individuals must have alphaSex.

#### clone()

Deep copy of a random mating scheme

# 1.3.6 Class randomMating (Applicable to diploid only)

A mating scheme of basic sexually random mating In this scheme, sex information is considered for each individual, and ploidy is always 2. Within each subpopulation, males and females are randomly chosen. Then randomly get one copy of chromosomes from father and mother. If only one sex exists in a subpopulation, a parameter (contWhenUniSex) can be set to determine the behavior. Default to continuing without warning.

clone()

Deep copy of a random mating scheme

# 1.3.7 Class selfMating (Applicable to diploid only)

A mating scheme of selfing In this mating scheme, a parent is choosen randomly, acts both as father and mother in the usual random mating. The parent is chosen randomly, regardless of sex. If selection is turned on, the probability that an individual is chosen is proportional to his/her fitness.

```
 \begin{array}{lll} \textbf{class selfMating} & (numOffspring=1, & numOffspringFunc=None, & maxNumOffspring=0, \\ & mode=MATE\_NumOffspring, & sexParam=0.5, & sexMode=MATE\_RandomSex, & newSubPop-Size=[], & newSubPopSizeFunc=None, & newSubPopSizeExpr="", & contWhenUniSex=True, & subPop=[], & weight=0) \\ & \text{Create a self mating scheme Please refer to class mating for descriptions of other parameters.} \end{array}
```

contWhenUniSex: Continue when there is only one sex in the population. Default to True.

# clone()

Deep copy of a self mating scheme

# 1.3.8 Class monogamousMating (Applicable to diploid only)

A mating scheme of monogamy This mating scheme is identical to random mating except that parents are chosen without replacement. Under this mating scheme, offspring share the same mother must share the same father. In case that all parental pairs are exhausted, parameter replenish=True allows for the replenishment of one or both sex groups.

1.3. Mating Scheme

REPLENISH This parameter allows replenishment of one or both parental sex groups in case that they are are exhausted. Default to False. Please refer to class mating for descriptions of other parameters.

#### clone()

Deep copy of a random mating scheme

# 1.3.9 Class polygamous Mating (Applicable to diploid only)

A mating scheme of polygymy or polyandry This mating scheme is composed of a random parents chooser that allows for polygamous mating, and a mendelian offspring generator. In this mating scheme, a male (or female) parent will have more than one sex partner (numPartner). Parents returned from this parents chooser will yield the same male (or female) parents, each with varying partners.

FIXME: No document

polySex: Sex of polygamous mating. Male for polygyny, Female for polyandry.

polyNum: Number of sex partners.

replacement: If set to True, a parent can be chosen to mate again. Default to False.

*replenish:* In case that replacement=True, whether or not replenish a sex group when it is exhausted. Please refer to class mating for descriptions of other parameters.

#### clone()

Deep copy of a random mating scheme

# 1.3.10 Class consanguineous Mating (Applicable to diploid only)

A mating scheme of consanguineous mating In this mating scheme, a parent is choosen randomly and mate with a relative that has been located and written to a number of information fields.

Create a consanguineous mating scheme This mating scheme randomly choose a parent and then choose his/her spouse from indexes stored in infoFields.

 $\label{please refer to infoParentsChooser and mendelianOffspringGenerator for other parameters.$ 

relativeFields: The information fields that stores indexes to other individuals in a population. If more than one valid (positive value) indexes exist, a random index will be chosen. (c.f. infoParentsChooser) If there is no individual having any valid index, the second parent will be chosen randomly from the whole population.

func: A python function that can be used to prepare the indexes of these information fields. For example, functions population::locateRelatives and/or population::setIndexesOfRelatives can be used to locate certain types of relatives of each individual.

param: An optional parameter that can be passed to func.

#### clone()

Deep copy of a consanguineous mating scheme

# 1.3.11 Class alphaMating (Applicable to diploid only)

Only a number of alpha individuals can mate with individuals of opposite sex. This mating scheme is composed of an random parents chooser with alpha individuals, and a Mendelian offspring generator. That is to say, a certain number of alpha individual (male or female) are determined by alphaNum or an information field. Then, only these alpha individuals are able to mate with random individuals of opposite sex.

class alphaMating (alphaSex=Male, alphaNum=0, alphaField=string, numOffspring=1., numOffspringFunc=None, maxNumOffspring=0, mode=MATE\_NumOffspring, sexParam=0.5, sexMode=MATE\_RandomSex, newSubPopSize=[], newSubPopSizeFunc=None, newSubPopSizeExpr="", subPop=[], weight=0)

Please refer to class mating for descriptions of other parameters. Note: If selection is enabled, it works regularly on on-alpha sex, but works twice on alpha sex. That is to say, alphaNum alpha individuals are chosen selectively, and selected again during mating.

*alphaSex:* The sex of the alpha individual, i.e. alpha male or alpha female who be the only mating individuals in their sex group.

alphaNum: Number of alpha individuals. If infoField is not given, alphaNum random individuals with alphaSex will be chosen. If selection is enabled, individuals with higher+ fitness values have higher probability to be selected. There is by default no alpha individual (alphaNum = 0).

*alphaField:* If an information field is given, individuals with non-zero values at this information field are alpha individuals. Note that these individuals must have alphaSex.

#### clone()

Deep copy of a random mating scheme

# 1.3.12 Class haplodiploidMating (Applicable to haplodiploid only)

Haplodiploid mating scheme of many hymemopterans This mating scheme is composed of an alphaParentChooser and a haplodiploidOffspringGenerator. The alphaParentChooser chooses a single Female randomly or from a given information field. This female will mate with random males from the colony. The offspring will have one of the two copies of chromosomes from the female parent, and the first copy of chromosomes from the male parent. Note that if a recombinator is used, it should disable recombination of male parent.

class haplodiploidMating (alphaSex=Female, alphaNum=1, alphaField=string, numOffspring=1., numOffspringFunc=None, maxNumOffspring=0, mode=MATE\_NumOffspring, sexParam=0.5, sexMode=MATE\_RandomSex, newSubPopSize=[], newSubPopSizeFunc=None, newSubPopSizeExpr="", subPop=[], weight=0)

Please refer to class mating for descriptions of other parameters.

alphaSex: Sex of the alpha individual. Default to Female.

alphaNum: Number of alpha individual. Default to one.

*alphaField:* Information field that identifies the queen of the colony. By default, a random female will be chosen. **clone**()

Deep copy of a random mating scheme

# 1.3.13 Class pyMating (Applicable to all ploidy)

A Python mating scheme This hybrid mating scheme does not have to involve a python function. It requires a parent

1.3. Mating Scheme

chooser, and an offspring generator. The parent chooser chooses parent(s) and pass them to the offspring generator to produce offspring.

# 1.3.14 Class heteroMating (Applicable to diploid only)

A heterogeneous mating scheme that applies a list of mating schemes to different (virtual) subpopulations.

Create a heterogeneous Python mating scheme Parameter subpop, virtualSubPOp and weight of this mating scheme is ignored.

matingSchemes: A list of mating schemes. If parameter subPop of an mating scheme is specified, it will be applied to specific subpopulation. If virtualSubPop if specified, it will be applied to specific virtual subpopulations.

```
clone()
```

Deep copy of a Python mating scheme

# 1.3.15 Class sequentialParentChooser (Applicable to all ploidy)

This parent chooser chooses a parent linearly, regardless of sex or fitness values (selection is not considered).

```
class sequentialParentChooser()
    FIXME: No document
    clone()
    FIXME: No document
```

# 1.3.16 Class sequentialParentsChooser (Applicable to all ploidy)

This parents chooser chooses two parents sequentially. The parents are chosen from their respective sex groups. Selection is not considered.

```
class sequentialParentsChooser()
    FIXME: No document
    clone()
        FIXME: No document
```

# 1.3.17 Class randomParentChooser (Applicable to all ploidy)

This parent chooser chooses a parent randomly from the parental generation. If selection is turned on, parents are chosen with probabilities that are proportional to their fitness values. Sex is not considered. Parameter

replacement determines if a parent can be chosen multiple times. In case that replacement=false, paremeter replenish=true allows restart of the process if all parents are exhausted. Note that selection is not allowed when replacement=false because this poses a particular order on individuals in the offspring generation.

```
class randomParentChooser (replacement=True, replenish=False)
```

FIXME: No document

replacement: If replacement is false, a parent can not be chosen more than once.

replenish: If all parent has been chosen, choose from the whole parental population again.

clone()

FIXME: No document

# 1.3.18 Class randomParentsChooser (Applicable to all ploidy)

This parent chooser chooses two parents randomly, a male and a female, from their respective sex groups randomly. If selection is turned on, parents are chosen from their sex groups with probabilities that are proportional to their fitness values. If parameter replacement is false, a chosen pair of parents can no longer be selected. This feature can be used to simulate monopoly. If replenish is true, a sex group can be replenished when it is exhausted. Note that selection is not allowed in the case of monopoly because this poses a particular order on individuals in the offspring generation. This parents chooser also allows polygamous mating by reusing a parent multiple times when returning parents, and allows specification of a few alpha individuals who will be the only mating individuals in their sex group.

# $\textbf{class randomParentsChooser} \ (replacement = True, \quad replenish = False, \quad polySex = Male, \quad polyNum = 1, \quad alpha-Sex = Male, \quad alphaNum = 0, \quad alphaField = string)$

Note: If selection is enabled, it works regularly on on-alpha sex, but works twice on alpha sex. That is to say, alphaNum alpha individuals are chosen selectively, and selected again during mating.

*replacement:* Choose with (True, default) or without (False) replacement. When choosing without replacement, parents will be paired and can only mate once.

replenish: If set to true, one or both sex groups will be replenished if they are exhausted.

polySex: Male (polygyny) or Female (polyandry) parent that will have polyNum sex partners.

polyNum: Number of sex partners.

*alphaSex:* The sex of the alpha individual, i.e. alpha male or alpha female who be the only mating individuals in their sex group.

alphaNum: Number of alpha individuals. If infoField is not given, alphaNum random individuals with alphaSex will be chosen. If selection is enabled, individuals with higher fitness values have higher probability to be selected. There is by default no alpha individual (alphaNum = 0).

*alphaField:* If an information field is given, individuals with non-zero values at this information field are alpha individuals. Note that these individuals must have alphaSex.

clone()

FIXME: No document

# 1.3.19 Class infoParentsChooser (Applicable to all ploidy)

This parents choose choose an individual randomly, but choose his/her spouse from a given set of information fields, which stores indexes of individuals in the same generation. A field will be ignored if its value is negative, or if sex is compatible. Depending on what indexes are stored in these information fields, this parent chooser can be used to implement consanguineous mating where close relatives are located for each individual, or certain non-random mating schemes where each individual can only mate with a small number of pre-determinable individuals. This parent

chooser (currently) uses randomParentChooser to choose one parent and randomly choose another one from the information fields. Because of potentially non-even distribution of valid information fields, the overall process may not be as random as expected, especially when selection is applied. Note: if there is no valid individual, this parents chooser works like a double parentChooser.

```
class infoParentsChooser (infoFields=[], replacement=True, replenish=False)
    FIXME: No document
    infoFields: Information fields that store index of matable individuals.
    replacement: If replacement is false, a parent can not be chosen more than once.
    replenish: If all parent has been chosen, choose from the whole parental population again.
    clone()
        FIXME: No document
```

# 1.3.20 Class pyParentsChooser (Applicable to all ploidy)

This parents chooser accept a Python generator function that yields repeatedly an index (relative to each subpopulation) of a parent, or indexes of two parents as a Python list of tuple. The generator function is responsible for handling sex or selection if needed.

```
class pyParentsChooser (parentsGenerator)
FIXME: No document
parentsGenerator: A Python generator function
clone()
FIXME: No document
finalize(pop, sp)
FIXME: No document
```

# 1.3.21 Class cloneOffspringGenerator (Applicable to all ploidy)

Clone offspring generator copies parental geneotype to a number of offspring. Only one parent is accepted. The number of offspring produced is controlled by parameters numOffspring, numOffspringFunc, maxNumOffspring and mode. Parameters sexParam and sexMode is ignored.

# 1.3.22 Class selfingOffspringGenerator (Applicable to diploid only)

Selfing offspring generator works similarly as a mendelian offspring generator but a single parent produces both the paternal and maternal copy of the offspring chromosomes. This offspring generator accepts a dipload parent. A random copy of the parental chromosomes is chosen randomly to form the parental copy of the offspring chromosome, and is chosen randomly again to form the maternal copy of the offspring chromosome.

 $\begin{array}{ll} \textbf{class selfingOffspringGenerator} (numOffspring=1, & numOffspringFunc=None, & maxNumOffspring=1, & mode=MATE\_NumOffspring, & sexParam=0.5, & sex-Mode=MATE\_RandomSex) \end{array}$ 

FIXME: No document

clone()

FIXME: No document

# 1.3.23 Class haplodiploidOffspringGenerator (Applicable to haplodiploid only)

Haplodiploid offspring generator mimics sex-determination in honey bees. Given a female (queen) parent and a male parent, the female is considered as diploid with two set of chromosomes, and the male is condiered as haploid. Actually, the first set of male chromosomes are used. During mating, female produce eggs, subject to potential recombination and gene conversion, while male sperm is identical to the parental chromosome. Female offspring has two sets of chromosomes, one from mother and one from father. Male offspring has one set of chromosomes from his mother.

FIXME: No document

clone()

FIXME: No document

copyParentalGenotype (parent, it, ploidy, count)

FIXME: No document

# 1.3.24 Class mendelianOffspringGenerator (Applicable to diploid only)

Mendelian offspring generator accepts two parents and pass their genotype to a number of offspring following Mendelian's law. Basically, one of the paternal chromosomes is chosen randomly to form the paternal copy of the offspring, and one of the maternal chromosome is chosen randomly to form the maternal copy of the offspring. The number of offspring produced is controlled by parameters numOffspring, numOffspringFunc, maxNumOffspring and mode. Recombination will not happen unless a during-mating operator recombinator is used.

 $\begin{array}{ll} \textbf{class mendelianOffspringGenerator} \ (numOffspring=1, \quad numOffspringFunc=None, \quad maxNumOffspring=1, \quad mode=MATE\_NumOffspring, \quad sexParam=0.5, \quad sex-Mode=MATE\_RandomSex) \end{array}$ 

FIXME: No document

clone()

FIXME: No document

formOffspringGenotype (parent, it, ploidy, count)

Does not set sex if count == -1.

count: Index of offspring, used to set offspring sex

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# 1.4 Simulator

## 1.4.1 Class simulator

Simulator manages several replicates of a population, evolve them using given mating scheme and operators Simulators combine three important components of simuPOP: population, mating scheme and operator together. A simulator is created with an instance of population, a replicate number rep and a mating scheme. It makes rep number of replicates of this population and control the evolutionary process of them.

The most important function of a simulator is evolve(). It accepts an array of operators as its parameters, among which, preops and postops will be applied to the populations at the beginning and the end of evolution, respectively, whereas ops will be applied at every generation.

A simulator separates operators into *pre-*, *during-*, and *post-mating* operators. During evolution, a simulator first apply all pre-mating operators and then call the mate() function of the given mating scheme, which will call during-mating operators during the birth of each offspring. After mating is completed, post-mating operators are applied to the offspring in the order at which they appear in the operator list.

Simulators can evolve a given number of generations (the end parameter of evolve), or evolve indefinitely until a certain type of operators called terminator terminates it. In this case, one or more terminators will check the status of evolution and determine if the simulation should be stopped. An obvious example of such a terminator is a fixation-checker.

A simulator can be saved to a file in the format of 'txt', 'bin', or 'xml'. This allows you to stop a simulator and resume it at another time or on another machine.

 $\begin{tabular}{ll} {\bf class\ simulator\ } (pop,\ matingScheme,\ stopIfOneRepStops=False,\ applyOpToStoppedReps=False,\ rep=1)\\ {\bf Create\ a\ simulator\ } \\ \end{tabular}$ 

population: A population created by population () function. This population will be copied rep times to the simulator. Its content will not be changed.

matingScheme: A mating scheme

rep: Number of replicates. Default to 1.

applyOpToStoppedReps: If set, the simulator will continue to apply operators to all stopped replicates until all replicates are marked 'stopped'.

stopIfOneRepStops: If set, the simulator will stop evolution if one replicate stops.

# addInfoField (field, init=0)

Add an information field to all replicates Add an information field to all replicate, and to the simulator itself. This is important because all populations must have the same genotypic information as the simulator. Adding an information field to one or more of the replicates will compromise the integrity of the simulator. *field:* Information field to be added

# addInfoFields (fields, init=0)

Add information fields to all replicates Add given information fields to all replicate, and to the simulator itself.

## clone()

Deep copy of a simulator

```
evolve (ops, preOps=[], postOps=[], end=-1, gen=-1, dryrun=False)
```

Evolve all replicates of the population, subject to operators Evolve to the end generation unless end=-1. An operator (terminator) may stop the evolution earlier.

ops will be applied to each replicate of the population in the order of:

- •all pre-mating opertors
- •during-mating operators called by the mating scheme at the birth of each offspring

•all post-mating operators If any pre- or post-mating operator fails to apply, that replicate will be stopped. The behavior of the simulator will be determined by flags applyOpToStoppedReps and stopIfOneRepStopss.

ops: Operators that will be applied at each generation, if they are active at that generation. (Determined by the begin, end, step and at parameters of the operator.)

preOps: Operators that will be applied before evolution. evolve() function will not check if they are active.

postOps: Operators that will be applied after evolution. evolve() function will not check if they are active.

gen: Generations to evolve. Default to -1. In this case, there is no ending generation and a simulator will only be ended by a terminator. Note that simu.gen() refers to the beginning of a generation, and starts at 0.

dryrun: Dryrun mode. Default to False.

**Note:** When gen = -1, you can not specify negative generation parameters to operators. How would an operator know which generation is the -1 generation if no ending generation is given?

# gen()

Return the current generation number

## getPopulation (rep, destructive=False)

Return a copy of population repBy default return a cloned copy of population rep of the simulator. If destructive==True, the population is extracted from the simulator, leaving a defunct simulator.

rep: The index number of the replicate which will be obtained

destructive: If true, destroy the copy of population within this simulator. Default to false. getPopulation(rep, true) is a more efficient way to get hold of a population when the simulator will no longer be used.

#### numRep()

Return the number of replicates

#### population (rep)

Return a reference to the rep replicate of this simulator.

rep: The index number of replicate which will be accessed

**Note:** The returned reference is temporary in the sense that the refered population will be invalid after another round of evolution. If you would like to get a persistent population, please use getPopulation(rep).

# saveSimulator (filename, format="", compress=True)

Save simulator in 'txt', 'bin' or 'xml' format

filename: Filename to save the simulator. Default to simu.

*format:* Obsolete parameter *compress:* Obsolete parameter

# $\mathtt{setAncestralDepth}\ (depth)$

Set ancestral depth of all replicates

### setGen(gen)

Set the current generation. Usually used to reset a simulator.

gen: New generation index number

#### setMatingScheme (matingScheme)

Set a new mating scheme

## step (ops=[], preOps=[], postOps=[], steps=1, dryrun=False)

Evolve steps generation

## vars (rep, subPop=-1)

Return the local namespace of population rep, equivalent to x.population(rep).vars(subPop).

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# dvars (rep, subPop=-1)

Return a wrapper of Python dictionary returned by vars(rep, subPop) so that dictionary keys can be accessed as attributes. For example simu.dvars(1).alleleFreq is equivalent to simu.vars(1)["alleleFreq"].

# 1.5 Pedigree

# 1.5.1 Class pedigree

FIXME: No document

**CHAPTER** 

**TWO** 

# Operator References

This chapter will list all functions, types and operators by category. The reference for class baseOperator is in section ??.

# 2.1 The common interface of operators

# 2.1.1 Class baseOperator

Base class of all classes that manipulate populations Operators are objects that act on populations. They can be applied to populations directly using their function forms, but they are usually managed and applied by a simulator.

There are three kinds of operators:

- built-in: written in C++, the fastest. They do not interact with Python shell except that some of them set variables that are accessible from Python.
- hybrid: written in C++ but calls a Python function during execution. Less efficient. For example, a hybrid mutator pyMutator will go through a population and mutate alleles with given mutation rate. How exactly the allele will be mutated is determined by a user-provided Python function. More specifically, this operator will pass the current allele to a user-provided Python function and take its return value as the mutant allele.
- pure Python: written in Python. The same speed as Python. For example, a varPlotter can plot Python variables that are set by other operators. Usually, an individual or a population object is passed to a user-provided Python function. Because arbitrary operations can be performed on the passed object, this operator is very flexible.

Operators can be applied at different stages of the life cycle of a generation. It is possible for an operator to apply multiple times in a life cycle. For example, a savePopulation operator might be applied before and after mating to trace parental information. More specifically, operators can be applied at *pre-*, *during-*, *post-mating*, or a combination of these stages. Applicable stages are usually set by default but you can change it by setting stage=(PreMating|PostMating|DuringMating|PrePostMating|PreDuringMating|DuringPostMating) parameter. Some operators ignore stage parameter because they only work at one stage.

Operators do not have to be applied at all generations. You can specify starting and/or ending generations (parameter start, end), gaps between applicable generations (parameter step), or specific generations (parameter at). For example, you might want to start applying migrations after certain burn-in generations, or calculate certain statistics only sparsely. Generation numbers can be counted from the last generation, using negative generation numbers.

Most operators are applied to every replicate of a simulator during evolution. Operators can have outputs, which can be standard (terminal) or a file. Output can vary with replicates and/or generations, and outputs from different operators can be accumulated to the same file to form table-like outputs.

Filenames can have the following format:

- 'filename' this file will be overwritten each time. If two operators output to the same file, only the last one
  will succeed;
- '>filename' the same as 'filename';
- '>>filename' the file will be created at the beginning of evolution (simulator::evolve) and closed at the end. Outputs from several operators are appended;
- '>>>filename' the same as '>>filename' except that the file will not be cleared at the beginning of evolution if it is not empty;
- '>' standard output (terminal);
- " suppress output.

The output filename does not have to be fixed. If parameter outputExpr is used (parameter output will be ignored), it will be evaluated when a filename is needed. This is useful when you need to write different files for different replicates/generations.

class baseOperator (output, outputExpr, stage, begin, end, step, at, rep, infoFields)

Common interface for all operators (this base operator does nothing by itself.)

begin: The starting generation. Default to 0. A negative number is allowed.

end: Stop applying after this generation. A negative numbers is allowed.

step: The number of generations between active generations. Default to 1.

at: An array of active generations. If given, stage, begin, end, and step will be ignored.

*rep:* Applicable replicates. It can be a valid replicate number, REP\_ALL (all replicates, default), or REP\_LAST (only the last replicate). REP\_LAST is useful in adding newlines to a table output.

*output:* A string of the output filename. Different operators will have different default output (most commonly '>' or ").

outputExpr: An expression that determines the output filename dynamically. This expression will be evaluated against a population's local namespace each time when an output filename is required. For example, "'>>out%s\_%s.xml' % (gen, rep) " will output to >>>out1\_1.xml for replicate 1 at generation 1.

#### Note

- •Negative generation numbers are allowed for parameters begin, end and at. They are interpreted as endGen + gen + 1. For example, begin = -2 in simu.evolve(..., end=20) starts at generation 19.
- •REP\_ALL, REP\_LAST are special constant that can only be used in the constructor of an operator. That is to say, explicit test of rep () == REP\_LAST will not work.

#### apply(pop)

Apply to one population. It does not check if the operator is activated.

### clone()

Deep copy of an operator

## diploidOnly()

Determine if the operator can be applied only for diploid population

```
haploidOnly()
Determine if the operator can be applied only for haploid population
infoField(idx)
Get the information field specified by user (or by default)
infoSize()
Get the length of information fields for this operator
```

#### 2.2 Initialization

#### 2.2.1 Class initializer

Initialize alleles at the start of a generation Initializers are used to initialize populations before evolution. They are set to be PreMating operators by default. simuPOP provides three initializers. One assigns alleles by random, one assigns a fixed set of genotypes, and the last one calls a user-defined function.

```
class initializer (subPop=[], indRange=[], loci=[], atPloidy=-1, stage=PreMating, begin=0, end=-1, step=1, at=[], rep=REP_ALL, infoFields=[])

Create an initializer. Default to be always active.

subPop: An array specifies applicable subpopulations

indRange: A [begin, end] pair of the range of absolute indexes of individuals, for example, ([1,2]); or an array of [begin, end] pairs, such as ([[1,4],[5,6]]). This is how you can initialize individuals differently within subpopulations. Note that ranges are in the form of [a,b). I.e., range [4,6] will intialize individual 4, 5, but not 6. As a shortcut for [4,5], you can use [4] to specify one individual.

loci: A vector of locus indexes at which initialization will be done. If empty, apply to all loci.

locus: A shortcut to loci

atPloidy: Initialize which copy of chromosomes. Default to all.

clone()

Deep copy of an initializer
```

#### 2.2.2 Class initSex (Function form: InitSex)

An operator to initialize individual sex. For convenience, this operator is included by other initializers such as init-ByFreq, initByValue, or pyInit.

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# 2.2.3 Class initByFreq (Function form: InitByFreq)

Initialize genotypes by given allele frequencies, and sex by male frequency This operator assigns alleles at loci with given allele frequencies. By default, all individuals will be assigned with random alleles. If identicalInds=True, an individual is assigned with random alleles and is then copied to all others. If subPop or indRange is given, multiple arrays of alleleFreq can be given to given different frequencies for different subpopulation or individual ranges.

```
class initByFreq (alleleFreq=[], identicalInds=False, subPop=[], indRange=[], loci=[], atPloidy=-1, male-
Freq=0.5, sex=[], stage=PreMating, begin=0, end=1, step=1, at=[], rep=REP_ALL, in-
foFields=[])
```

Randomly assign alleles according to given allele frequencies

*alleleFreq:* An array of allele frequencies. The sum of all frequencies must be 1; or for a matrix of allele frequencies, each row corresponses to a subpopulation or range.

*identicalInds:* Whether or not make individual genotypes identical in all subpopulations. If True, this operator will randomly generate genotype for an individual and spread it to the whole subpopulation in the given range.

sex: An array of sex [Male, Female, Male...] for individuals. The length of sex will not be checked. If it is shorter than the number of individuals, sex will be reused from the beginning.

```
stage: Default to PreMating.
apply (pop)
```

Apply this operator to population pop

clone()

Deep copy of the operator  ${\tt initByFreq}$ 

#### 2.2.4 Class initByValue (Function form: InitByValue)

Initialize genotype by value and then copy to all individuals Operator initByValue gets one copy of chromosomes or the whole genotype (or of those corresponds to loci) of an individual and copy them to all or a subset of individuals. This operator assigns given alleles to specified individuals. Every individual will have the same genotype. The parameter combinations should be

- value subPop/indRange: individual in subPop or in range(s) will be assigned genotype value;
- subPop/indRange: subPop or indRange should have the same length as value. Each item of value will be assigned to each subPop or indRange.

value: An array of genotypes of one individual, having the same length as the length of loci() or loci()\*ploidy() or pop.genoSize() (whole genotype) or totNumLoci() (one copy of chromosomes). This parameter can also be an array of arrays of genotypes of one individual. If value is an array of values, it should have the length one, number of subpopulations, or the length of ranges of proportions.

*proportions*: An array of percentages for each item in value. If given, assign given genotypes randomly. *maleFreq*: Male frequency

sex: An array of sex [Male, Female, Male...] for individuals. The length of sex will not be checked. If length of sex is shorter than the number of individuals, sex will be reused from the beginning.

stages: Default to PreMating.

```
apply (pop)
    Apply this operator to population pop
clone()
    Deep copy of the operator initByValue
```

# 2.2.5 Class spread (Function form: Spread)

Copy the genotype of an individual to all individuals Function Spread (ind, subPop) spreads the genotypes of ind to all individuals in an array of subpopulations. The default value of subPop is the subpopulation where ind resides.

```
class spread (ind, subPop=[], stage=PreMating, begin=0, end=1, step=1, at=[], rep=REP_ALL, infoFields=[])
    Copy genotypes of ind to all individuals in subPop
    apply (pop)
        Apply this operator to population pop
    clone()
        Deep copy of the operator spread
```

#### 2.2.6 Class pyInit (Function form: PyInit)

A python operator that uses a user-defined function to initialize individuals. This is a hybrid initializer. Users of this operator must supply a Python function with parameters allele, ploidy and subpopulation indexes (index, ploidy, subPop), and return an allele value. This operator will loop through all individuals in each subpopulation and call this function to initialize populations. The arrange of parameters allows different initialization scheme for each subpopulation.

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# 2.3 Migration

### 2.3.1 Class migrator

Migrate individuals from (virtual) subpopulations to other subpopulations Migrator is the only way to mix genotypes of several subpopulations because mating is strictly within subpopulations in simuPOP. Migrators are quite flexible in simuPOP in the sense that

- migration can happen from and to a subset of subpopulations.
- migration can be done by probability, proportion or by counts. In the case of probability, if the migration rate from subpopulation a to b is r, then everyone in subpopulation a will have this probability to migrate to b. In the case of proportion, exactly r\*size\_of\_subPop\_a individuals (chosen by random) will migrate to subpopulation b. In the last case, a given number of individuals will migrate.
- new subpopulation can be generated through migration. You simply need to migrate to a subpopulation with a new subpopulation number.

rate: Migration rate, can be a proportion or counted number. Determined by parameter mode. rate should be an m by n matrix. If a number is given, the migration rate will be a m by n matrix of value r

mode: One of MigrByProbability (default), MigrByProportion or MigrByCounts

fromSubPop: An array of 'from' subpopulations (a number) or virtual subpopulations (a pair of numbers). Default to all subpopulations. For example, if you define a virtual subpopulation by sex, you can use fromSubpop=[(0,0), 1] to choose migrants from the first virtual subpopulation of subpopulation 0, and from subpopulation 1. If a single number sp is given, it is intepretted as [sp]. Note that fromSubPop=(0, 1) (two subpopulation) is different from fromSubPop=[(0,1)] (a virtual subpopulation).

*toSubPop:* An array of 'to' subpopulations. Default to all subpopulations. If a single subpopulation is specified, [] can be ignored.

stage: Default to PreMating

#### Note

- •The overall population size will not be changed. (Mating schemes can do that). If you would like to keep the subpopulation sizes after migration, you can use the newSubPopSize or newSubPopSizeExpr parameter of a mating scheme.
- •rate is a matrix with dimensions determined by fromSubPop and toSubPop. By default, rate is a matrix with element r(i, j), where r(i, j) is the migration rate, probability or count from subpopulation i to j. If fromSubPop and/or toSubPop are given, migration will only happen between these subpopulations. An extreme case is 'point migration', rate=[[r]], fromSubPop=a, toSubPop=b which migrate from subpopulation a to b with given rate r.

```
apply (pop)
    Apply the migrator

clone()
    Deep copy of a migrator

rate()
    Return migration rate
```

```
setRates (rate, mode)
```

Set migration rate Format should be 0-0 0-1 0-2, 1-0 1-1 1-2, 2-0, 2-1, 2-2. For mode MigrByProbability or MigrByProportion, 0-0, 1-1, 2-2 will be set automatically regardless of input.

# 2.3.2 Class pyMigrator

A more flexible Python migrator This migrator can be used in two ways

- define a function that accepts a generation number and returns a migration rate matrix. This can be used in various migration rate cases.
- define a function that accepts individuals etc, and returns the new subpopulation ID.

More specifically, func can be

- func (ind) when neither loci nor param is given.
- func (ind, genotype) when loci is given.
- func (ind, param) when param is given.
- func (ind, genotype, param) when both loci and param are given.

Create a hybrid migrator

*rateFunc:* A Python function that accepts a generation number, current subpopulation sizes, and returns a migration rate matrix. The migrator then migrate like a usual migrator.

*indFunc:* A Python function that accepts an individual, optional genotypes and parameters, then returns a sub-population ID. This method can be used to separate a population according to individual genotype.

```
stage: Default to PreMating
apply (pop)
    Apply a pyMigrator
clone()
    Deep copy of a pyMigrator
```

#### 2.3.3 Class splitSubPop (Function form: SplitSubPop)

Split a subpopulation

**class splitSubPop** (which=0, sizes=[], proportions=[], keepOrder=True, randomize=True, stage=PreMating, begin=0, end=-1, step=1, at=[], rep=REP\_ALL, infoFields=[])

Split a subpopulation Split a subpopulation by sizes or proportions. Individuals are randomly (by default) assigned to the resulting subpopulations. Because mating schemes may introduce certain order to individuals, randomization ensures that split subpopulations have roughly even distribution of genotypes.

which: Which subpopulation to split. If there is no subpopulation structure, use 0 as the first (and only) subpopulation.

sizes: New subpopulation sizes. The sizes should be added up to the original subpopulation (subpopulation which) size.

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```
proportions: Proportions of new subpopulations. Should be added up to 1.
randomize: Whether or not randomize individuals before population split. Default to True.
apply (pop)
    Apply a splitSubPop operator

clone()
    Deep copy of a splitSubPop operator
```

## 2.3.4 Class mergeSubPops (Function form: MergeSubPops)

Merge subpopulations This operator merges subpopulations subPops to a single subpopulation. If subPops is ignored, all subpopulations will be merged.

# 2.3.5 Class resizeSubPops (Function form: ResizeSubPops)

Resize subpopulations This operator resize subpopulations subPops to a another size. If subPops is ignored, all subpopulations will be resized. If the new size is smaller than the original one, the remaining individuals are discarded. If the new size if greater, individuals will be copied again if propagate is true, and be empty otherwise.

#### 2.4 Mutation

#### 2.4.1 Class mutator

Base class of all mutators. The base class of all functional mutators. It is not supposed to be called directly.

Every mutator can specify rate (equal rate or different rates for different loci) and a vector of applicable loci (default to all but should have the same length as rate if rate has length greater than one).

Maximum allele can be specified as well but more parameters, if needed, should be implemented by individual mutator classes

There are numbers of possible allelic states. Most theoretical studies assume an infinite number of allelic states to avoid any homoplasy. If it facilitates any analysis, this is however extremely unrealistic.

```
class mutator (rate=[], loci=[], maxAllele=0, output=">", outputExpr="", stage=PostMating, begin=0, end=-1,
                step=1, at=[], rep=REP ALL, infoFields=[])
     Create a mutator, do not call this constructor directly All mutators have the following common parameters.
     However, the actual meaning of these parameters may vary according to different models. The only differences
     between the following mutators are the way they actually mutate an allele, and corresponding input parameters.
     The number of mutation events at each locus is recorded and can be accessed from the mutationCount or
     mutationCounts functions.
     rate: Can be a number (uniform rate) or an array of mutation rates (the same length as loci)
     loci: A vector of locus indexes. Will be ignored only when single rate is specified. Default to all loci.
     maxAllele: Maximum allowed allele. Interpreted by each sub mutator class. Default to pop.maxAllele().
     apply(pop)
          Apply a mutator
     clone()
          Deep copy of a mutator
     maxAllele()
           Return maximum allowable allele number
     mutate (allele)
          Describe how to mutate a single allele
     mutationCount (locus)
           Return mutation count at locus
     mutationCounts()
           Return mutation counts
     rate()
           Return the mutation rate
     setMaxAllele (maxAllele)
           Set maximum allowable allele
     setRate (rate, loci=[])
           Set an array of mutation rates
```

# 2.4.2 Class kamMutator (Function form: KamMutate)

K-Allele Model mutator. This mutator mutate an allele to another allelic state with equal probability. The specified mutation rate is actually the 'probability to mutate'. So the mutation rate to any other allelic state is actually  $\frac{rate}{K-1}$ , where K is specified by parameter maxAllele.

 $\textbf{class kamMutator} \ (\textit{rate=[]}, \ \textit{loci=[]}, \ \textit{maxAllele=0}, \ \textit{output=">"}, \ \textit{outputExpr=""}, \ \textit{stage=PostMating}, \ \textit{begin=0}, \ \textit{output=">"}, \ \textit{outputExpr=""}, \ \textit{stage=PostMating}, \ \textit{begin=0}, \ \textit{output=">"}, \ \textit{output=">"}, \ \textit{outputExpr=""}, \ \textit{stage=PostMating}, \ \textit{begin=0}, \ \textit{output=0}, \ \textit{output=0}$ 

```
end=-1, step=1, at=[], rep=REP_ALL, infoFields=[])
Create a K-Allele Model mutator Please see class mutator for the descriptions of other parameters.
rate: Mutation rate. It is the 'probability to mutate'. The actual mutation rate to any of the other K-1 allelic states are rate/(K-1).
maxAllele: Maximum allele that can be mutated to. For binary libraries, allelic states will be [0, maxAllele]. Otherwise, they are [1, maxAllele].
```

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```
clone()
    Deep copy of a kamMutator
mutate (allele)
    Mutate to a state other than current state with equal probability
```

### 2.4.3 Class smmMutator (Function form: SmmMutate)

The stepwise mutation model. The *Stepwise Mutation Model* (SMM) assumes that alleles are represented by integer values and that a mutation either increases or decreases the allele value by one. For variable number tandem repeats(VNTR) loci, the allele value is generally taken as the number of tandem repeats in the DNA sequence.

```
class smmMutator (rate=[], loci=[], maxAllele=0, incProb=0.5, output=">", outputExpr="", stage=PostMating, begin=0, end=-1, step=1, at=[], rep=REP_ALL, infoFields=[])
```

Create a SMM mutator The SMM is developed for allozymes. It provides better description for these kinds of evolutionary processes.

Please see class mutator for the descriptions of other parameters.

incProb: Probability to increase allele state. Default to 0.5.

clone()

Deep copy of a smmMutator

### 2.4.4 Class gsmMutator (Function form: GsmMutate)

Generalized stepwise mutation model The *Generalized Stepwise Mutation model* (GSM) is an extension to the stepwise mutation model. This model assumes that alleles are represented by integer values and that a mutation either increases or decreases the allele value by a random value. In other words, in this model the change in the allelic state is drawn from a random distribution. A *geometric generalized stepwise model* uses a geometric distribution with parameter p, which has mean  $\frac{p}{1-p}$  and variance  $\frac{p}{(1-p)^2}$ .

gsmMutator implements both models. If you specify a Python function without a parameter, this mutator will use its return value each time a mutation occur; otherwise, a parameter p should be provided and the mutator will act as a geometric generalized stepwise model.

Create a gsmMutatorThe GSM model is developed for allozymes. It provides better description for these kinds of evolutionary processes.

Please see class mutator for the descriptions of other parameters.

incProb: Probability to increase allele state. Default to 0.5.

func: A function that returns the number of steps. This function does not accept any parameter.

clone()

Deep copy of a gsmMutator

mutate (allele)

Mutate according to the GSM model

#### 2.4.5 Class pyMutator (Function form: PyMutate)

A hybrid mutator. Parameters such as mutation rate of this operator are set just like others and you are supposed to provide a Python function to return a new allele state given an old state. pyMutator will choose an allele as usual and call your function to mutate it to another allele.

#### 2.4.6 Class pointMutator (Function form: PointMutate)

Point mutator Mutate specified individuals at specified loci to a specified allele. I.e., this is a non-random mutator used to introduce diseases etc. pointMutator, as its name suggest, does point mutation. This mutator will turn alleles at loci on the first chromosome copy to toAllele for individual inds. You can specify atPloidy to mutate other, or all ploidy copies.

# 2.5 Recombination and gene conversion

#### 2.5.1 Class recombinator

Recombination and conversion In simuPOP, only one recombinator is provided. Recombination events between loci a/b and b/c are independent, otherwise there will be some linkage between loci. Users need to specify physical recombination rate between adjacent loci. In addition, for the recombinator

- it only works for diploid (and for females in haplodiploid) populations.
- the recombination rate must be comprised between 0.0 and 0.5. A recombination rate of 0.0 means that the loci are completely linked, and thus behave together as a single linked locus. A recombination rate of 0.5 is equivalent to free of recombination. All other values between 0.0 and 0.5 will represent various linkage intensities between adjacent pairs of loci. The recombination rate is equivalent to 1-linkage and represents the probability that the allele at the next locus is randomly drawn.
- it works for selfing. I.e., when only one parent is provided, it will be recombined twice, producing both maternal and paternal chromosomes of the offspring.
- conversion is allowed. Note that conversion will nullify many recombination events, depending on the parameters chosen.

class recombinator (intensity=-1, rate=[], afterLoci=[], maleIntensity=-1, maleRate=[], maleAfterLoci=[], convProb=0, convMode=CONVERT\_NumMarkers, convParam=1., begin=0, end=-1, step=1,
at=[], rep=REP\_ALL, infoFields=[])

Recombine chromosomes from parents

- *intensity:* Intensity of recombination. The actual recombination rate between two loci is determined by intensity\*locus distance (between them).
- rate: Recombination rate regardless of locus distance after all afterLoci. It can also be an array of recombination rates. Should have the same length as afterLoci or totNumOfLoci(). The recombination rates are independent of locus distance.
- afterLoci: An array of locus indexes. Recombination will occur after these loci. If rate is also specified, they should have the same length. Default to all loci (but meaningless for those loci located at the end of a chromosome). If this parameter is given, it should be ordered, and can not include loci at the end of a chromosome.
- *maleIntensity:* Recombination intensity for male individuals. If given, parameter intensity will be considered as female intensity.
- *maleRate:* Recombination rate for male individuals. If given, parameter rate will be considered as female recombination rate.
- maleAfterLoci: If given, males will recombine at different locations.
- convProb: The probability of conversion event among all recombination events. When a recombination event happens, it may become a recombination event if the Holliday junction is resolved/repaired successfully, or a conversion event if the junction is not resolved/repaired. The default convProb is 0, meaning no conversion event at all. Note that the ratio of conversion to recombination events varies greatly from study to study, ranging from 0.1 to 15 (Chen et al, Nature Review Genetics, 2007). This translate to 0.1/0.90.1 to 15/160.94 of this parameter. When convProb is 1, all recombination events will be conversion events.
- convMode: Conversion mode, determines how track length is determined.
  - •CONVERT NumMarkers Converts a fixed number of markers.
  - •CONVERT\_Geometric Distribution An geometric distribution is used to determine how many markers will be converted.
  - •CONVERT\_TractLength Converts a fixed length of tract.
  - •CONVERT\_ExponentialDistribution An exponential distribution with parameter convLen will be used to determine track length.
- convParam: Parameter for the conversion process. The exact meaning of this parameter is determined by convMode. Note that
  - •conversion tract length is usually short, and is estimated to be between 337 and 456 bp, with overall range between maybe 50 2500 bp.
  - •simuPOP does not impose a unit for marker distance so your choice of convParam needs to be consistent with your unit. In the HapMap dataset, cM is usually assumed and marker distances are around 10kb (0.001cM ~ 1kb). Gene conversion can largely be ignored. This is important when you use distance based conversion mode such as CONVERT\_TrackLength or CONVERT\_ExponentialDistribution.
  - After a track length is determined, if a second recombination event happens within this region, the track length will be shortened. Note that conversion is identical to double recombination under this context.
- *haplodiploid:* If set to true, the first copy of paternal chromosomes is copied directly as the paternal chromosomes of the offspring. This is because haplodiploid male has only one set of chromosome.

**Note** There is no recombination between sex chromosomes of male individuals if sexChrom()=True. This may change later if the exchanges of genes between pseudoautosomal regions of XY need to be modeled. **clone**()

Deep copy of a recombinator

```
convCount (size)
Return the count of conversion of a certain size (only valid in standard modules)
convCounts()
Return the count of conversions of all sizes (only valid in standard modules)
recCount (locus)
Return recombination count at a locus (only valid in standard modules)
recCounts()
Return recombination counts (only valid in standard modules)
```

### 2.6 Selection

#### 2.6.1 Class selector

A base selection operator for all selectors. Genetic selection is tricky to simulate since there are many different *fitness* values and many different ways to apply selection. simuPOP employs an 'ability-to-mate' approach. Namely, the probability that an individual will be chosen for mating is proportional to its fitness value. More specifically,

- PreMating selectors assign fitness values to each individual, and mark part or all subpopulations as under selection.
- during sexless mating (e.g. binomialSelection mating scheme), individuals are chosen at probabilities that are proportional to their fitness values. If there are N individuals with fitness values  $f_i, i=1,...,N$ , individual i will have probability  $\frac{f_i}{\sum_i f_j}$  to be chosen and passed to the next generation.
- during randomMating, males and females are separated. They are chosen from their respective groups in the same manner as binomialSelection and mate.

All of the selection operators, when applied, will set an information field fitness (configurable) and then mark part or all subpopulations as under selection. (You can use different selectors to simulate various selection intensities for different subpopulations). Then, a 'selector-aware' mating scheme can select individuals according to their fitness information fields. This implies that

- only mating schemes can actually select individuals.
- a selector has to be a PreMating operator. This is not a problem when you use the operator form of the selector since its default stage is PreMating. However, if you use the function form of the selector in a pyOperator, make sure to set the stage of pyOperator to PreMating.

#### Note:

You can not apply two selectors to the same subpopulation, because only one fitness value is allowed for each individual.

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### 2.6.2 Class mapSelector (Function form: MapSelector, Applicable to all ploidy)

Selection according to the genotype at one or more loci This map selector implements selection according to genotype at one or more loci. A user provided dictionary (map) of genotypes will be used in this selector to set each individual's fitness value.

#### 2.6.3 Class maSelector (Function form: MaSelect)

Multiple allele selector (selection according to wildtype or diseased alleles) This is called 'multiple-allele' selector. It separates alleles into two groups: wildtype and diseased alleles. Wildtype alleles are specified by parameter wildtype and any other alleles are considered as diseased alleles. This selector accepts an array of fitness values:

- For single-locus, fitness is the fitness for genotypes AA, Aa, aa, while A stands for wildtype alleles.
- For a two-locus model, fitness is the fitness for genotypes AABB, AABb, AABb, AaBB, AbBb, Aabb, aaBB, aaBb and aaBb.
- For a model with more than two loci, use a table of length 3<sup>n</sup> in a order similar to the two-locus model.

Calculate/return the fitness value, currently assuming diploid

# 2.6.4 Class mlSelector (Function form: MlSelect)

Selection according to genotypes at multiple loci in a multiplicative model This selector is a 'multiple-locus model' selector. The selector takes a vector of selectors (can not be another mlSelector) and evaluate the fitness of an individual as the product or sum of individual fitness values. The mode is determined by parameter mode, which takes one of the following values

- SEL\_Multiplicative: the fitness is calculated as  $f = \prod_i f_i$ , where  $f_i$  is the single-locus fitness value.
- SEL\_Additive: the fitness is calculated as  $f = \max(0, 1 \sum_i (1 f_i))$ . f will be set to 0 when f < 0.

```
class mlSelector (selectors, mode=SEL_Multiplicative, subPops=[], stage=PreMating, begin=0, end=-1, step=1, at=[], rep=REP_ALL, infoFields=["fitness"])
```

Create a multiple-locus selector Please refer to mapSelector for other parameter descriptions.

```
selectors: A list of selectors clone()
```

Deep copy of a mlSelector

indFitness(ind, gen)

Calculate/return the fitness value, currently assuming diploid

# 2.6.5 Class pySelector (Function form: PySelect)

Selection using user provided function This selector assigns fitness values by calling a user provided function. It accepts a list of loci and a Python function func. For each individual, this operator will pass the genotypes at these loci, generation number, and optionally values at some information fields to this function. The return value is treated as the fitness value. The genotypes are arranged in the order of 0-0, 0-1, 1-0, 1-1 etc. where X-Y represents locus X - ploidy Y. More specifically, func can be

- func (geno, gen) if infoFields has length 0 or 1.
- func (geno, gen, fields) when infoFields has more than 1 fields. Values of fields 1, 2, ... will be passed. Both geno and fields should be a list.

Create a Python hybrid selector

loci: Susceptibility loci. The genotype at these loci will be passed to func.

*func:* A Python function that accepts genotypes at specified loci, generation number, and optionally information fields. It returns the fitness value.

```
output: And other parameters please refer to help (baseOperator.__init___)
```

*infoFields:* If specified, the first field should be the information field to save calculated fitness value (should be 'fitness' in most cases). The values of the rest of the information fields (if available) will also be passed to the user defined penetrance function.

```
clone()
```

Deep copy of a pySelector

indFitness(ind, gen)

Calculate/return the fitness value, currently assuming diploid

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## 2.7 Penetrance

# 2.7.1 Class penetrance

Base class of all penetrance operators. Penetrance is the probability that one will have the disease when he has certain genotype(s). An individual will be randomly marked as affected/unaffected according to his/her penetrance value. For example, an individual will have probability 0.8 to be affected if the penetrance is 0.8.

Penetrance can be applied at any stage (default to DuringMating). When a penetrance operator is applied, it calculates the penetrance value of each offspring and assigns affected status accordingly. Penetrance can also be used PreMating or PostMating. In these cases, the affected status will be set to all individuals according to their penetrance values.

Penetrance values are usually not saved. If you would like to know the penetrance value, you need to

- use addInfoField('penetrance') to the population to analyze. (Or use infoFields parameter of the population constructor), and
- use e.g., mlPenetrance(..., infoFields=['penetrance']) to add the penetrance field to the penetrance operator you use. You may choose a name other than 'penetrance' as long as the field names for the operator and population match.

Penetrance functions can be applied to the current, all, or certain number of ancestral generations. This is controlled by the ancestralGen parameter, which is default to -1 (all available ancestral generations). You can set it to 0 if you only need affection status for the current generation, or specify a number n for the number of ancestral generations (n + 1 total generations) to process. Note that the ancestralGen parameter is ignored if the penetrance operator is used as a during mating operator.

# 2.7.2 Class mapPenetrance (Function form: MapPenetrance)

Penetrance according to the genotype at one locus Assign penetrance using a table with keys 'X-Y' where X and Y are allele numbers.

```
loci: The locus indexes. The genotypes of these loci will be used to determine penetrance.
penet: A dictionary of penetrance. The genotype must be in the form of 'a-b' for a single locus.
phase: If True, a/b and b/a will have different penetrance values. Default to False.
output: And other parameters please refer to help(baseOperator.__init__)
clone()
Deep copy of a map penetrance operator
```

# 2.7.3 Class maPenetrance (Function form: MaPenetrance)

Multiple allele penetrance operator This is called 'multiple-allele' penetrance. It separates alleles into two groups: wildtype and diseased alleles. Wildtype alleles are specified by parameter wildtype and any other alleles are considered as diseased alleles. maPenetrance accepts an array of penetrance for AA, Aa, aa in the single-locus case, and a longer table for the multi-locus case. Penetrance is then set for any given genotype.

## 2.7.4 Class mlPenetrance (Function form: MlPenetrance)

Penetrance according to the genotype according to a multiple loci multiplicative model This is the 'multiple-locus' penetrnace calculator. It accepts a list of penetrances and combine them according to the mode parameter, which takes one of the following values:

- PEN\_Multiplicative: the penetrance is calculated as  $f = \prod f_i$ .
- PEN\_Additive: the penetrance is calculated as  $f = \min(1, \sum f_i)$ . f will be set to 1 when f < 0. In this case,  $s_i$  are added, not  $f_i$  directly.
- PEN\_Heterogeneity: the penetrance is calculated as  $f = 1 \prod (1 f_i)$ .

Please refer to Neil Risch (1990) for detailed information about these models.

2.7. Penetrance 43

```
clone()
    Deep copy of a multi-loci penetrance operator
penet(ind)
    Currently assuming diploid
```

# 2.7.5 Class pyPenetrance (Function form: PyPenetrance)

Assign penetrance values by calling a user provided function For each individual, the penetrance is determined by a user-defined penetrance function func. This function takes genetypes at specified loci, and optionally values of specified information fields. The return value is considered as the penetrance for this individual. More specifically, func can be

- func (geno) if infoFields has length 0 or 1.
- func (geno, fields) when infoFields has more than 1 fields. Both parameters should be an list.

# 2.8 Quantitative Trait

#### 2.8.1 Class quanTrait

Base class of quantitative trait Quantitative trait is the measure of certain phenotype for given genotype. Quantitative trait is similar to penetrance in that the consequence of penetrance is binary: affected or unaffected; while it is continuous for quantitative trait.

In simuPOP, different operators or functions were implemented to calculate quantitative traits for each individual and store the values in the information fields specified by the user (default to qtrait). The quantitative trait operators also accept the ancestralGen parameter to control the number of generations for which the qtrait information field will be set.

```
class quanTrait (ancestralGen=-1, stage=PostMating, begin=0, end=-1, step=1, at=[], rep=REP_ALL, in-
foFields=["qtrait"])
Create a quantitative trait operator
```

```
apply (pop)
    Set qtrait to all individual

clone()
    Deep copy of a quantitative trait operator
qtrait()
    Calculate/return quantitative trait etc.
```

# 2.8.2 Class mapQuanTrait (Function form: MapQuanTrait)

Quantitative trait according to genotype at one locus Assign quantitative trait using a table with keys 'X-Y' where X and Y are allele numbers. If parameter sigma is not zero, the return value is the sum of the trait plus  $N\left(0,\sigma^2\right)$ . This random part is usually considered as the environmental factor of the trait.

```
class mapQuanTrait (loci, qtrait, sigma=0, phase=False, ancestralGen=-1, stage=PostMating, begin=0, end=-1, step=1, at=[], rep=REP\_ALL, infoFields=["qtrait"])

Create a map quantitative trait operator locus: The locus index. The quantitative trait is determined by genotype at this locus. loci: An array of locus indexes. The quantitative trait is determined by genotypes at these loci. qtrait: A dictionary of quantitative traits. The genotype must be in the form of 'a-b'. This is the mean of the quantitative trait. The actual trait value will be N\left(mean,\sigma^2\right). For multiple loci, the form is 'a-blc-dle-f' etc. sigma: Standard deviation of the environmental factor N\left(0,\sigma^2\right). phase: If True, a/b and b/a will have different quantitative trait values. Default to False. output: And other parameters please refer to help (baseOperator.__init__) clone()

Deep copy of a map quantitative trait operator qtrait\left(ind\right)

Currently assuming diploid
```

#### 2.8.3 Class maQuanTrait (Function form: MaQuanTrait)

Multiple allele quantitative trait (quantitative trait according to disease or wildtype alleles) This is called 'multiple-allele' quantitative trait. It separates alleles into two groups: wildtype and diseased alleles. Wildtype alleles are specified by parameter wildtype and any other alleles are considered as diseased alleles. maQuanTrait accepts an array of fitness. Quantitative trait is then set for any given genotype. A standard normal distribution  $N\left(0,\sigma^2\right)$  will be added to the returned trait value.

2.8. Quantitative Trait 45

```
qtrait (ind)
```

Currently assuming diploid

## 2.8.4 Class mlQuanTrait (Function form: MlQuanTrait)

Quantitative trait according to genotypes from a multiple loci multiplicative model Operator mlQuanTrait is a 'multiple-locus' quantitative trait calculator. It accepts a list of quantitative traits and combine them according to the mode parameter, which takes one of the following values

- QT\_Multiplicative: the mean of the quantitative trait is calculated as  $f = \prod f_i$ .
- QT\_Additive: the mean of the quantitative trait is calculated as  $f = \sum f_i$ .

Note that all  $\sigma_i$  (for  $f_i$ ) and  $\sigma$  (for f) will be considered. I.e, the trait value should be

$$f = \sum_{i} (f_i + N(0, \sigma_i^2)) + \sigma^2$$

for QT\_Additive case. If this is not desired, you can set some of the  $\sigma$  to zero.

class mlQuanTrait (qtraits,  $mode=QT\_Multiplicative$ , sigma=0, ancestralGen=-1, stage=PostMating, begin=0, end=-1, step=1, at=[],  $rep=REP\ ALL$ , infoFields=["qtrait"])

Create a multiple locus quantitative trait operator Please refer to quantrait for other parameter descriptions.

qtraits: A list of quantitative traits

mode: Can be one of QT\_Multiplicative and QT\_Additive

clone(

Deep copy of a multiple loci quantitative trait operator

qtrait (ind)

Currently assuming diploid

#### 2.8.5 Class pyQuanTrait (Function form: PyQuanTrait)

Quantitative trait using a user provided function For each individual, a user provided function is used to calculate quantitative trait.

Create a Python quantitative trait operator Please refer to quanTrait for other parameter descriptions.

loci: The genotypes at these loci will be passed to func.

func: A Python function that accepts genotypes at specified loci and returns the quantitative trait value.

output: And other parameters please refer to help(baseOperator.\_\_init\_\_)

clone()

Deep copy of a Python quantitative trait operator

qtrait (ind)

Currently assuming diploid

## 2.9 Ascertainment

## 2.10 Statistics Calculation

#### 2.10.1 Class stator

Base class of all the statistics calculator Operator stator calculates various basic statistics for the population and set variables in the local namespace. Other operators or functions can refer to the results from the namespace after stat is applied.

### 2.10.2 Class stat (Function form: Stat)

Calculate statistics Operator stat calculates various basic statistics for the population and sets variables in the local namespace. Other operators or functions can refer to the results from the namespace after stat is applied. Stat is the function form of the operator.

Note that these statistics are dependent to each other. For example, heterotype and allele frequencies of related loci will be automatically calculated if linkage diseqilibrium is requested.

*popSize:* Whether or not calculate population and virtual subpopulation sizes. This parameter will set the following variables:

- •numSubPop the number of subpopulations.
- •subPopSize an array of subpopulation sizes.
- •virtualSubPopSize (optional) an array of virtual subpopulation sizes. If a subpopulation does not have any virtual subpopulation, the subpopulation size is returned.
- •popSize, subPop[sp]['popSize'] the population/subpopulation size.

*numOfMale:* Whether or not count the numbers or proportions of males and females. This parameter can set the following variables by user's specification:

- •numOfMale, subPop[sp]['numOfMale'] the number of males in the population/subpopulation.
- •numOfFemale, subPop[sp]['numOfFemale'] the number of females in the population/subpopulation.
- •propOfMale, subPop[sp]['propOfMale'] the proportion of males in the population/subpopulation.

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- •propOfFemale, subPop[sp]['propOfFemale'] the proportion of females in the population/subpopulation.
- *numOfMale\_param:* A dictionary of parameters of numOfMale statistics. Can be one or more items choosen from the following options: numOfMale, propOfMale, numOfFemale, and propOfFemale.
- *numOfAffected:* Whether or not count the numbers or proportions of affected and unaffected individuals. This parameter can set the following variables by user's specification:
  - •numOfAffected, subPop[sp]['numOfAffected'] the number of affected individuals in the population.
  - •numOfUnaffected, subPop[sp]['numOfUnAffected'] the number of unaffected individuals in the population/subpopulation.
  - •propOfAffected, subPop[sp]['propOfAffected'] the proportion of affected individuals in the population/subpopulation.
  - •propOfUnaffected, subPop[sp]['propOfUnAffected'] the proportion of unaffected individuals in the population/subpopulation.
- numOfAffected\_param: A dictionary of parameters of numOfAffected statistics. Can be one or more items choosen from the following options: numOfAffected, propOfAffected, numOfUnaffected, propOfUnaffected.
- numOfAlleles: An array of loci at which the numbers of distinct alleles will be counted (numOfAlleles=[loc1, loc2, ...] where loc1 etc. are absolute locus indexes). This is done through the calculation of allele frequencies. Therefore, allele frequencies will also be calculated if this statistics is requested. This parameter will set the following variables (carray objects of the numbers of alleles for all loci). Unrequested loci will have 0 distinct alleles.
  - •numOfAlleles, subPop[sp]['numOfAlleles'] the number of distinct alleles at each locus. (Calculated only at requested loci.)
- numOfAlleles\_param: A dictionary of parameters of numOfAlleles statistics. Can be one or more items choosen from the following options: numOfAffected, propOfAffected, numOfUnaffected, propOfUnaffected.
- - •alleleNum[a], subPop[sp]['alleleNum'][a]
  - •alleleFreq[a], subPop[sp]['alleleFreq'][a].
- *alleleFreq\_param:* A dictionary of parameters of alleleFreq statistics. Can be one or more items choosen from the following options: numOfAlleles, alleleNum, and alleleFreq.
- genoFreq: An array of loci at which all genotype frequencies will be calculated (genoFreq=[loc1, loc2, ...]. You may use parameter genoFreq\_param to control if a/b and b/a are the same genotype. This parameter will set the following dictionary variables. Note that unlike list used for alleleFreq etc., the indexes a, b of genoFreq[loc][a][b] are dictionary keys, so you will get a *KeyError* when you used a wrong key. You can get around this problem by using expressions like genoNum[loc].setDefault(a, {}).
  - •genoNum[loc][allele1][allele2] and subPop[sp]['genoNum'][loc][allele1][allele2], the number of genotype allele1-allele2 at locus loc.
  - •genoFreq[loc][allele1][allele2] and subPop[sp]['genoFreq'][loc][allele1][allele2], the frequency of genotype allele1-allele2 at locus loc.
  - •genoFreq\_param a dictionary of parameters of phase = 0 or 1.

- heteroFreq: An array of loci at which observed heterozygosities will be calculated (heteroFreq=[loc1, loc2, ...]). For each locus, the number and frequency of allele specific and overall heterozygotes will be calculated and stored in four population variables. For example, heteroNum[loc][1] stores number of heterozygotes at locus loc, with respect to allele 1, which is the number of all genotype 1x or x1 where does not equal to 1. All other genotypes such as 02 are considered as homozygotes when heteroFreq[loc][1] is calculated. The overall number of heterozygotes (HeteroNum[loc]) is the number of genotype xy if x does not equal to y.
  - •HeteroNum[loc], subPop[sp]['HeteroNum'][loc], the overall heterozygote count.
  - •HeteroFreq[loc], subPop[sp]['HeteroFreq'][loc], the overall heterozygote frequency.
  - •heteroNum[loc][allele], subPop[sp]['heteroNum'][loc][allele], allele-specific heterozygote counts.
  - •heteroFreq[loc][allele], subPop[sp]['heteroFreq'][loc][allele], allele-specific heterozygote frequency.
- homoFreq: An array of loci to calculate observed homozygosities and expected homozygosities (homoFreq=[loc1, loc2, ...]). This parameter will calculate the numbers and frequencies of homozygotes **xx** and set the following variables:
  - •homoNum[loc], subPop[sp]['homoNum'][loc].
  - •homoFreq[loc], subPop[sp]['homoFreq'][loc].
- *expHetero*: An array of loci at which the expected heterozygosities will be calculated (expHetero=[loc1, loc2, ...]). The expected heterozygosity is calculated by

$$h_{exp} = 1 - p_i^2,$$

where  $p_i$  is the allele frequency of allele i. The following variables will be set:

- •expHetero[loc], subPop[sp]['expHetero'][loc].
- expHetero\_param: A dictionary of parameters of expHetero statistics. Can be one or more items choosen from the following options: subpop and midValues.
- haploFreq: A matrix of haplotypes (allele sequences on different loci) to count. For example, haploFreq = [ [ 0,1,2 ], [1,2] ] will count all haplotypes on loci 0, 1 and 2; and all haplotypes on loci 1, 2. If only one haplotype is specified, the outer [] can be omitted. I.e., haploFreq=[0,1] is acceptable. The following dictionary variables will be set with keys 0-1-2 etc. For example, haploNum['1-2']['5-6'] is the number of allele pair 5, 6 (on loci 1 and 2 respectively) in the population.
  - •haploNum[haplo] and subPop[sp]['haploNum'][haplo], the number of allele sequencies on loci haplo.
  - •haploFreq[haplo], subPop[sp]['haploFreq'][haplo], the frequency of allele sequencies on loci haplo.
- LD: Calculate linkage disequilibria LD, LD' and  $r^2$ , given  $LD=[\ [loc1,\ loc2],\ [\ loc1,\ loc2],$  allele1, allele2], ... ]. For each item  $[loc1,\ loc2,\ allele1,\ allele2], D, D'$  and  $r^2$  will be calculated based on allele1 at loc1 and allele2 at loc2. If only two loci are given, the LD values are averaged over all allele pairs. For example, for allele A at locus 1 and allele B at locus 2,

$$D=P_{AB}-P_{A}P_{B}$$
 
$$D'=D/D_{max}$$
 
$$D_{max}=\min\left(P_{A}\left(1-P_{B}\right),\left(1-P_{A}\right)P_{B}\right)\text{ if }D>0\min\left(P_{A}P_{B},\left(1-P_{A}\right)\left(1-P_{B}\right)\right)\text{ if }D<0$$
 
$$r^{2}=\frac{D^{2}}{P_{A}\left(1-P_{A}\right)P_{B}\left(1-P_{B}\right)}$$

If only one item is specified, the outer [] can be ignored. I.e., LD=[loc1, loc2] is acceptable. This parameter will set the following variables. Please note that the difference between the data structures used for ld and LD.

- •ld['loc1-loc2']['allele1-allele2'], subPop[sp]['ld']['loc1-loc2']['allele1-allele2']
- •ld\_prime['loc1-loc2']['allele1-allele2'], subPop[sp]['ld\_prime']['loc1-loc2']['allel
- $\bullet \texttt{r2['loc1-loc2']['allele1-allele2']}, \texttt{subPop[sp]['r2']['loc1-loc2']['allele1-allele2']} \\$
- •LD[loc1][loc2], subPop[sp]['LD'][loc1][loc2].
- •LD\_prime[loc1][loc2], subPop[sp]['LD\_prime'][loc1][loc2].
- •R2[loc1][loc2], subPop[sp]['R2'][loc1][loc2].
- LD\_param: A dictionary of parameters of LD statistics. Can have key stat which is a list of statistics to calculate. Default to all. If any statistics is specified, only those specified will be calculated. For example, you may use LD\_param={LD\_prime} to calculate D' only, where LD\_prime is a shortcut for 'stat':['LD\_prime']. Other parameters that you may use are:
  - subPop whether or not calculate statistics for subpopulations.
  - •midValues whether or not keep intermediate results.

association: Association measures

- association\_param: A dictionary of parameters of association statistics. Can be one or more items choosen from the following options: ChiSq\_P, UC\_U, and CramerV.
- Fst: Calculate  $F_{st}$ ,  $F_{is}$ ,  $F_{it}$ . For example, Fst = [0,1,2] will calculate  $F_{st}$ ,  $F_{is}$ ,  $F_{it}$  based on alleles at loci 0, 1, 2. The locus-specific values will be used to calculate AvgFst, which is an average value over all alleles (Weir & Cockerham, 1984). Terms and values that match Weir & Cockerham are:
  - $\bullet F$  (  $F_{IT}$ ) the correlation of genes within individuals (inbreeding);
  - • $\theta$  (  $F_{ST}$ ) the correlation of genes of difference individuals in the same population (will evaluate for each subpopulation and the whole population)
  - $f(F_{IS})$  the correlation of genes within individuals within populations.

This parameter will set the following variables:

- •Fst[loc], Fis[loc], Fit[loc]
- •AvgFst, AvgFis, AvgFit.
- *Fst\_param:* A dictionary of parameters of Fst statistics. Can be one or more items choosen from the following options: Fst, Fis, Fit, AvgFst, AvgFis, and AvgFit.
- relMethod: Method used to calculate relatedness. Can be either REL\_Queller or REL\_Lynch. The relatedness values between two individuals, or two groups of individuals are calculated according to Queller & Goodnight (1989) (method=REL\_Queller) and Lynch et al. (1999) (method=REL\_Lynch). The results are pairwise relatedness values, in the form of a matrix. Original group or subpopulation numbers are discarded. There is no subpopulation level relatedness value.
- relGroups: Calculate pairwise relatedness between groups. Can be in the form of either [[1,2,3],[5,6,7],[8,9]] or [2,3,4]. The first one specifies groups of individuals, while the second specifies subpopulations. By default, relatedness between subpopulations is calculated.
- relLoci: Loci on which relatedness values are calculated
- *rel\_param:* A dictionary of parameters of relatedness statistics. Can be one or more items choosen from the following options: Fst, Fis, Fit, AvgFst, AvgFis, and AvgFit.
- hasPhase: If a/b and b/a are the same genotype. Default to False.
- midValues: Whether or not post intermediate results. Default to False. For example, Fst will need to calculate allele frequencise. If midValues is set to True, allele frequencies will be posted as well. This will be helpful in debugging and sometimes in deriving statistics.

```
apply (pop)
    Apply the stat operator
clone()
    Deep copy of a stat operator
```

# 2.11 Expression and Statements

#### 2.11.1 Class dumper

Dump the content of a population.

```
class dumper (alleleOnly=False, infoOnly=False, ancestralPops=False, dispWidth=1, max=100, chrom=[],
               loci=[], subPop=[], indRange=[], output=">", outputExpr="", stage=PostMating, begin=0, end=-1,
               step=1, at=[], rep=REP_ALL, infoFields=[])
     Dump a population
     alleleOnly: Only display allele
     infoOnly: Only display genotypic information
     dispWidth: Number of characters to display an allele. Default to 1.
     ancestral Pops: Whether or not display ancestral populations. Default to False.
     chrom: Chromosome(s) to display
     loci: Loci to display
     subPop: Only display subpopulation(s)
     indRange: Range(s) of individuals to display
     max: The maximum number of individuals to display. Default to 100. This is to avoid careless dump of huge
          populations.
     output: Output file. Default to the standard output.
     outputExpr: And other parameters: refer to help(baseOperator.__init__)
     alleleOnly()
           Only show alleles (not structure, gene information?
           Apply to one population. It does not check if the operator is activated.
     clone()
          Deep copy of an operator
     infoOnly()
           Only show info
     setAlleleOnly (alleleOnly)
           FIXME: No document
     setInfoOnly (infoOnly)
           FIXME: No document
```

#### 2.11.2 Class savePopulation

Save population to a file

### 2.11.3 Class pyOutput

Output a given string. A common usage is to output a new line for the last replicate.

## 2.11.4 Class pyEval (Function form: PyEval)

Apply the pyEval operator

Evaluate an expression Python expressions/statements will be executed when pyEval is applied to a population by using parameters expr/stmts. Statements can also been executed when pyEval is created and destroyed or before expr is executed. The corresponding parameters are preStmts, postStmts and stmts. For example, operator varPlotter uses this feature to initialize R plots and save plots to a file when finished.

```
class pyEval (expr="", stmts="", preStmts="", postStmts="", exposePop=False, name="", output=">", output=", output
```

```
clone()
    Deep copy of a pyEval operator
```

name()

Return the name of an expression The name of a pyEval operator is given by an optional parameter name. It can be used to identify this pyEval operator in debug output, or in the dryrun mode of simulator::evolve.

# 2.11.5 Class pyExec (Function form: PyExec)

Execute a Python statement This operator takes a list of statements and executes them. No value will be returned or outputted.

```
class pyExec (stmts="", preStmts="", postStmts="", exposePop=False, name="", output=">", output=">", outputExpr="", stage=PostMating, begin=0, end=-1, step=1, at=[], rep=REP_ALL, infoFields=[])

Evaluate statments in the local replicate namespace, no return value Please refer to class pyEval for parameter descriptions.
```

clone()

Deep copy of a pyExec operator

## 2.11.6 Class infoEval (Function form: infoEval)

Unlike operator pyEval and pyExec that work at the population level, in its local namespace, infoEval works at the individual level, working with individual information fields. is statement can change the value of existing information fields. Optionally, variables in population's local namespace can be used in the statement, but this should be used with caution.

```
class infoEval (expr="", stmts="", subPops=[], usePopVars=False, exposePop=False, name="", output=">", out-
                  putExpr="", stage=PostMating, begin=0, end=-1, step=1, at=[], rep=REP ALL, infoFields=[])
      Evaluate Python statements with variables being an individual's information fields The expression and state-
      ments will be executed for each individual, in a Python namespace (dictionary) where individual information
      fields are made available as variables. Population dictionary can be made available with option usePopVars.
      Changes to these variables will change the corresponding information fields of individuals. Please note that, 1.
      If population variables are used, and there are name conflicts between information fields and variables, popu-
      lation variables will be overridden by information fields, without any warning. 2. Information fields are float
      numbers. An exceptions will raise if an information field can not be converted to a float number. 3. This operator
      can be used in all stages. When it is used during-mating, it will act on each offspring.
      expr: The expression to be evaluated. The result will be sent to output.
      stmts: The statement that will be executed before the expression
      subPop: A shortcut to subPops=[subPop]
      subPops: Subpopulations this operator will apply to. Default to all.
      usePopVars: If True, import variables from expose the current population as a variable named pop
      exposePop: If True, expose the current population as a variable named pop
      name: Used to let pure Python operator to identify themselves
      output: Default to >. I.e., output to standard output. Note that because the expression will be executed for each
           individual, the output can be large.
      apply(pop)
           Apply the infoEval operator
      clone()
           Deep copy of a infoEval operator
```

```
name()
```

Return the name of an expression The name of a infoEval operator is given by an optional parameter name. It can be used to identify this infoEval operator in debug output, or in the dryrun mode of simulator::evolve.

# 2.11.7 Class infoExec (Function form: infoExec)

Execute a Python statement for each individual, using information fields This operator takes a list of statements and executes them. No value will be returned or outputted.

```
class infoExec (stmts="", subPops=[], usePopVars=False, exposePop=False, name="", output=">", output=", output=">", output=", output=",
```

# 2.12 Tagging (used for pedigree tracking)

#### 2.12.1 Class tagger

Base class of tagging individuals This is a during-mating operator that tags individuals with various information. Potential usages are:

- recording the parental information to track pedigree;
- tagging an individual/allele and monitoring its spread in the population etc.

```
class tagger (output="", outputExpr="", begin=0, end=-1, step=1, at=[], rep=REP_ALL, infoFields=[])
    Create a tagger, default to be always active but no output
    apply (pop)
        Add a newline
    clone()
        Deep copy of a
        tagger
```

#### 2.12.2 Class inheritTagger

Inherite tag from parents This during-mating operator will copy the tag (information field) from his/her parents. Depending on mode parameter, this tagger will obtain tag, value of the first specified information fields, from his/her father or mother (two tag fields), or both (first tag field from father, and second tag field from mother).

An example may be tagging one or a few parents and examining, at the last generation, how many offspring they have.

```
applyDuringMating (pop, offspring, dad=None, mom=None)
    Apply the inheritTagger
clone()
    Deep copy of a inheritTagger
```

# 2.12.3 Class parent Tagger

Tagging according to parental indexes This during-mating operator set tag() each individual with indexes of his/her parent in the parental population. Because only one parent is recorded, this is recommended to be used for mating schemes that requires only one parent (such as selfMating). This tagger record indexes to information field parent\_idx, and/or a given file. The usage is similar to parentsTagger.

# 2.12.4 Class parents Tagger

Tagging according to parents' indexes This during-mating operator set tag(), currently a pair of numbers, of each individual with indexes of his/her parents in the parental population. This information will be used by pedigree-related operators like affectedSibpairSample to track the pedigree information. Because parental population will be discarded or stored after mating, these index will not be affected by post-mating operators. This tagger record parental index to one or both

- one or two information fields. Default to father\_idx and mother\_idx. If only one parent is passed in a mating scheme (such as selfing), only the first information field is used. If two parents are passed, the first information field records paternal index, and the second records maternal index.
- a file. Indexes will be written to this file. This tagger will also act as a post-mating operator to add a new-line to this file.

### 2.12.5 Class sexTagger

Tagging sex status. This is a simple post-mating tagger that write sex status to a file. By default, 1 for Male, 2 for Female.

## 2.12.6 Class affection Tagger

Tagging affection status. This is a simple post-mating tagger that write affection status to a file. By default, 1 for unaffected, 2 for affected.

# 2.12.7 Class infoTagger

Tagging information fields. This is a simple post-mating tagger that write given information fields to a file (or standard output).

#### 2.12.8 Class pyTagger

Python tagger. This tagger takes some information fields from both parents, pass to a Python function and set the individual field with the return value. This operator can be used to trace the inheritance of trait values.

```
class pyTagger (func=None, begin=0, end=-1, step=1, at=[], rep=REP_ALL, output="", outputExpr="", in-
foFields=[])
Creates a pyTagger that works on specified information fields
```

*infoFields*: Information fields. The user should gurantee the existence of these fields.

func: A Pyton function that returns a list to assign the information fields. e.g., if fields=['A', 'B'], the function will pass values of fields 'A' and 'B' of father, followed by mother if there is one, to this function. The return value is assigned to fields 'A' and 'B' of the offspring. The return value has to be a list even if only one field is given.

```
applyDuringMating (pop, offspring, dad=None, mom=None)
    Apply the pyTagger
clone()
    Deep copy of a pyTagger
```

#### 2 13 Terminator

#### 2.13.1 Class terminator

Base class of all terminators. Teminators are used to see if an evolution is running as expected, and terminate the evolution if a certain condition fails.

#### 2.13.2 Class terminateIf

Terminate according to a condition This operator terminates the evolution under certain conditions. For example, terminateIf(condition='alleleFreq[0][1]<0.05', begin=100) terminates the evolution if the allele frequency of allele 1 at locus 0 is less than 0.05. Of course, to make this operator work, you will need to use a stat operator before it so that variable alleleFreq exists in the local namespace.

When the value of condition is True, a shared variable var="terminate" will be set to the current generation.

#### 2.13.3 Class continueIf

Terminate according to a condition failure The same as terminateIf but continue if the condition is True.

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# 2.14 Python operators

# 2.14.1 Class pyOperator

A python operator that directly operate a population. This operator accepts a function that can take the form of

- func (pop) when stage=PreMating or PostMating, without setting param;
- func (pop, param) when stage=PreMating or PostMating, with param;
- func (pop, off, dad, mom) when stage=DuringMating and passOffspringOnly=False, without setting param;
- func(off) when stage=DuringMating and passOffspringOnly=True, and without setting param;
- func (pop, off, dad, mom, param) when stage=DuringMating and passOffspringOnly=False, with param;
- func (off, param) when stage=DuringMating and passOffspringOnly=True, with param.

For Pre- and PostMating usages, a population and an optional parameter is passed to the given function. For DuringMating usages, population, offspring, its parents and an optional parameter are passed to the given function. Arbitrary operations can be applied to the population and offspring (if stage=DuringMating).

**class pyOperator** (func, param=None, stage=PostMating, formOffGenotype=False, passOffspringOnly=False, begin=0, end=-1, step=1, at=[], rep=REP\_ALL, infoFields=[])

Python operator, using a function that accepts a population object.

func: A Python function. Its form is determined by other parameters.

param: Any Python object that will be passed to func after pop parameter. Multiple parameters can be passed as a tuple.

formOffGenotype: This option tells the mating scheme this operator will set the genotype of offspring (valid only for stage=DuringMating). By default (formOffGenotype=False), a mating scheme will set the genotype of offspring before it is passed to the given Python function. Otherwise, a 'blank' offspring will be passed.

passOffspringOnly: If True, pyOperator will expect a function of form func(off [,param]), instead of func(pop, off, dad, mom [, param]) which is used when passOffspringOnly is False. Because many during-mating pyOperator only need access to offspring, this will improve efficiency. Default to False.

#### Note

- •Output to output or outputExpr is not supported. That is to say, you have to open/close/append to files explicitly in the Python function. Because files specified by output or outputExpr are controlled (opened/closed) by simulators, they should not be manipulated in a pyOperator operator.
- •This operator can be applied Pre-, During- or Post- Mating and is applied PostMating by default. For example, if you would like to examine the fitness values set by a selector, a PreMating Python operator should be used.

#### apply(pop)

Apply the pyOperator operator to one population

#### clone()

Deep copy of a pyOperator operator

#### 2.14.2 Class pyIndOperator

Individual operator This operator is similar to a pyOperator but works at the individual level. It expects a function that accepts an individual, optional genotype at certain loci, and an optional parameter. When it is applied, it passes each individual to this function. When infoFields is given, this function should return an array to fill these infoFields. Otherwise, True or False is expected. More specifically, func can be

- func (ind) when neither loci nor param is given.
- func (ind, genotype) when loci is given.
- func (ind, param) when param is given.
- func (ind, genotype, param) when both loci and param are given.

A Pre- or PostMating Python operator that apply a function to each individual

func: A Python function that accepts an individual and optional genotype and parameters.

param: Any Python object that will be passed to func after pop parameter. Multiple parameters can be passed as a tuple.

infoFields: If given, func is expected to return an array of the same length and fill these infoFields of an individual.

```
apply (pop)
```

Apply the pyIndOperator operator to one population

clone (

Deep copy of a pyIndOperator operator

# 2.15 Miscellaneous

#### 2.15.1 Class if Else

Conditional operator This operator accepts

- an expression that will be evaluated when this operator is applied.
- an operator that will be applied if the expression is True (default to null).
- an operator that will be applied if the expression is False (default to null).

When this operator is applied to a population, it will evaluate the expression and depending on its value, apply the supplied operator. Note that the begin, end, step, and at parameters of ifOp and elseOp will be ignored. For example, you can mimic the at parameter of an operator by ifElse('rep in [2,5,9]' operator). The real use of this machanism is to monitor the population statistics and act accordingly.

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```
apply (pop)
    Apply the ifElse operator to one population
clone()
    Deep copy of an ifElse operator
```

## 2.15.2 Class turnOnDebug (Function form: TurnOnDebug)

Set debug on Turn on debug. There are several ways to turn on debug information for non-optimized modules, namely

- set environment variable SIMUDEBUG.
- use simuOpt.setOptions (debug) function.
- use TurnOnDebug or TurnOnDebugByName function.
- use this turnOnDebug operator

The advantage of using this operator is that you can turn on debug at given generations.

```
class turnOnDebug (code, stage=PreMating, begin=0, end=-1, step=1, at=[], rep=REP_ALL, infoFields=[])
    Create a turnOnDebug operator
    apply (pop)
        Apply the turnOnDebug operator to one population
    clone ()
        Deep copy of a turnOnDebug operator
```

# 2.15.3 Class turnOffDebug (Function form: TurnOffDebug)

Set debug off Turn off debug.

```
class turnOffDebug (code, stage=PreMating, begin=0, end=-1, step=1, at=[], rep=REP_ALL, infoFields=[])
    Create a turnOffDebug operator
    apply (pop)
        Apply the turnOffDebug operator to one population
    clone()
        Deep copy of a turnOffDebug operator
```

## 2.15.4 Class noneOp

None operator This operator does nothing.

#### 2.15.5 Class pause

Pause a simulator This operator pauses the evolution of a simulator at given generations or at a key stroke, using stopOnKeyStroke=True option. Users can use 'q' to stop an evolution. When a simulator is stopped, press any other key to resume the simulation or escape to a Python shell to examine the status of the simulation by pressing 's'.

There are two ways to use this operator, the first one is to pause the simulation at specified generations, using the usual operator parameters such as at. Another way is to pause a simulation with any key stroke, using the stopOnKeyStroke parameter. This feature is useful for a presentation or an interactive simulation. When 's' is pressed, this operator expose the current population to the main Python dictionary as variable pop and enter an interactive Python session. The way current population is exposed can be controlled by parameter exposePop and popName. This feature is useful when you want to examine the properties of a population during evolution.

# 2.15.6 Class ticToc (Function form: TicToc)

Timer operator This operator, when called, output the difference between current and the last called clock time. This can be used to estimate execution time of each generation. Similar information can also be obtained from turnOnDebug (DBG\_PROFILE), but this operator has the advantage of measuring the duration between several generations by setting step parameter.

#### 2.15.7 Class setAncestralDepth

Set ancestral depth This operator set the number of ancestral generations to keep in a population. It is usually called like setAncestral(at=[-2]) to start recording ancestral generations to a population at the end of the evolution. This is useful when constructing pedigree trees from a population.

```
class setAncestralDepth (depth, output=">", outputExpr="", stage=PreMating, begin=0, end=-1, step=1, at=[], rep=REP\_ALL, infoFields=[])

Create a setAncestralDepth operator
```

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# $\mathtt{apply}\left(pop\right)$

Apply the setAncestralDepth operator to one population

## clone()

 $\label{prop:copy} \ Deep\ copy\ of\ a\ \texttt{setAncestralDepth}\ operator$ 

# Global and Python Utility functions

## 3.1 Global functions

## AlleleType()

Return the allele type of the current module. Can be binary, short, or long.

#### Limits()

Print out system limits

#### ListAllRNG()

List the names of all available random number generators

#### ListDebugCode()

List all debug codes

#### LoadPopulation (file)

Load a population from a file.

#### LoadSimulator (file, mate, format="auto")

Load a simulator from a file with the specified mating scheme. The file format is by default determined by file extension (format="auto"). Otherwise, format can be one of txt, bin, or xml.

#### MaxAllele()

Return the maximum allowed allele state of the current simuPOP module, which is 1 for binary modules, 255 for short modules and 65535 for long modules.

#### **MergePopulations** (pops, newSubPopSizes=[], keepAncestralPops=-1)

Merge several populations with the same genotypic structure and create a new population

# MergePopulationsByLoci (pops, newNumLoci=[], newLociPos=[], byChromosome=False)

Merge several populations of the same size by loci and create a new population

#### ModuleCompiler()

Return the compiler used to compile this simuPOP module

#### ModuleDate()

Return the date when this simuPOP module is compiled

#### ModulePlatForm()

Return the platform on which this simuPOP module is compiled

#### ModulePyVersion()

Return the Python version this simuPOP module is compiled for

#### Optimized()

Return True if this simuPOP module is optimized

#### SetRNG (rng="", seed=0)

Set random number generator. If seed=0 (default), a random seed will be given. If rng="", seed will be set to the current random number generator.

```
TurnOffDebug(code=DBG_ALL)
```

Turn off debug information. Default to turn off all debug codes. Only available in non-optimized modules.

#### TurnOnDebug (code=DBG\_ALL)

Set debug codes. Default to turn on all debug codes. Only available in non-optimized modules.

rng()

Return the currently used random number generator

simuRev()

Return the revision number of this simuPOP module. Can be used to test if a feature is available.

simuVer()

Return the version of this simuPOP module

# 3.2 Utility Classes

#### 3.2.1 Class RNG

Random number generator This random number generator class wraps around a number of random number generators from GNU Scientific Library. You can obtain and change system random number generator through the rng() function. Or create a separate random number generator and use it in your script.

```
class RNG (rng=None, seed=0)
     RNG used by simuPOP.
     max()
          Maximum value of this RNG.
     maxSeed()
          Return the maximum allowed seed value
     name()
          Return RNG name
     pvalChiSq(chisq, df)
          Right hand side (single side) p-value for ChiSq value
     randBinomial(n, p)
          Binomial distribution B(n, p).
     randExponential(v)
          FIXME: No document
     randGeometric(p)
          Geometric distribution.
     randGet()
          Return a random number in the range of [0, 2, ... max()-1]
     randInt(n)
          Return a random number in the range of [0, 1, 2, ... n-1]
     randMultinomial(N, p, n)
          Multinomial distribution.
     randMultinomialVal(N, p)
          FIXME: No document
```

```
randNormal (m, v)
     Normal distribution.
randPoisson(p)
     Poisson distribution.
randUniform01()
     Uniform distribution [0,1).
seed()
     Return the seed of this RNG
```

**setRNG** (rng=None, seed=0)

Choose an random number generator, or set seed to the current RNG

rng: Name of the RNG. If rng is not given, environmental variable GSL RNG TYPE will be used if it is available. Otherwise, RNGmt19937 will be used.

seed: Random seed. If not given, /dev/urandom, /dev/random, system time will be used, depending on availability, in that order. Note that windows system does not have /dev so system time is used.

setSeed(seed)

If seed is 0, method described in setRNG is used.

#### 3.3 **Utility Modules**

Several utility modules are distributed with simuPOP. They provide important functions and extensions to simuPOP and serve as good examples on how simuPOP can be used.

Compared to simuPOP kernel functions, these utility functions are less tested, and are subject to more frequent changes. Please report to simuPOP mailing list if any function stops working.

#### 3.3.1 Module simuOpt

Module simuOpt can be used to control which simuPOP module to load, and how it is loaded using function setOptions . It also provides a simple way to set simulation options, from user input, command line, configuration file or a parameter dialog. All you need to do is to define an option description list that lists all parameters in a given format, and call the getParam function.

This module, if loaded, pre-process the command line options. More specifically, it checks command line option:

- -c configfile: read from a configuration file
- --config configfile: the same as -c
- --optimized: load optimized modules, unless setOption explicitly use non-optimized: modules.
- -q: Do not display banner information when simuPOP is loaded
- --quiet: the same as -q
- --useTkinter: force the use of Tcl/Tk dialog even when wxPython is available. By: default, wxPython is used whenever
- --noDialog: do not use option dialog. If the options can not be obtained from: command line or configuration file, users will be asked to input them interactively.

Because these options are reserved, you can not use them in your simuPOP script.

# **Module Functions**

getParam (options=[], doc=", details=", noDialog=False, UnprocessedArgs=True, verbose=False, nCol=1) Get parameters from either:

- •a Tcl/Tk based, or wxPython based parameter dialog (wxPython is used if it is available)
- •command line argument
- •configuration file specified by -c file ( --config file), or
- •prompt for user input

The option description list consists of dictionaries with some predefined keys. Each dictionary defines an option. Each option description item can have the following keys:

arg: short command line option name. 'h' checks the presence of argument -h . If an argument is expected, add a comma to the option name. For example, 'p:' matches command line option -p=100 or -p 100 .

longarg: long command line option name. 'help' checks the presence of: argument '--help' . 'mu=' matches command line option --mu=0.001 or -mu 0.001 .

label: The label of the input field in a parameter dialog, and as the prompt for: user input.

default: default value for this parameter. It is used to as the default value: in the parameter dialog, and as the option value when a user presses 'Enter' directly during interactive parameter input.

useDefault: use default value without asking, if the value can not be determined: from GUI, command line option or config file. This is useful for options that rarely need to be changed. Setting them to useDfault allows shorter command lines, and easy user input.

description: a long description of this parameter, will be put into the usage: information, which will be displayed with (-h, --help command line option, or help button in parameter dialog).

allowedTypes: acceptable types of this option. If allowedTypes is types.ListType: or types.TupleType and the user's input is a scalar, the input will be converted to a list automatically. If the conversion can not be done, this option will not be accepted.

validate: a function to validate the parameter. You can define your own functions: or use the ones defined in this module.

chooseOneOf: if specified, simuOpt will choose one from a list of values using a: listbox (Tk) or a combo box (wxPython).

chooseFrom: if specified, simuOpt will choose one or more items from a list of: values using a listbox (tk) or a combo box (wxPython).

separator: if specified, a blue label will be used to separate groups of: parameters.

jump: it is used to skip some parameters when doing the interactive user input.: For example, getParam will skip the rest of the parameters if -h is specified if parameter -h has item 'jump':-1 which means jumping to the end. Another situation of using this value is when you have a hierarchical parameter set. For example, if mutation is on, specify mutation rate, otherwise proceed. The value of this option can be the absolute index or the longarg name of another option.

jumpIfFalse: The same as jump but jump if current parameter is False.

This function will first check command line argument. If the argument is available, use its value. Otherwise check if a config file is specified. If so, get the value from the config file. If both failed, prompt user to input a value. All input will be checked against types, if exists, an array of allowed types.

Parameters of this function are:

options: a list of option description dictionaries

doc: short description put to the top of parameter dialog

details: module help. Usually set to \_\_doc\_\_ .

noDialog: do not use a parameter dialog, used in batch mode. Default to False.

checkUnprocessedArgs: obsolete because unused args are always checked.

verbose: whether or not print detailed info

nCol: number of columns in the parameter dialog.

## prettyOutput (value, quoted=False, outer=True)

Return a value in good format, the main purpose is to avoid [0.90000001, 0.2].

# printConfig (opt, param, out=<open file '<stdout>', mode 'w' at 0x2aaaaaad6198>)

Print configuration.

opt: option description list

param: parameters returned from getParam()

out: output

# requireRevision(rev)

Compare the revision of this simuPOP module with given revision. Raise an exception if current module is out of date.

## saveConfig (opt, file, param)

Write a configuration file. This file can be later read with command line option -c or --config.

opt: the option description list

file: output file

param: parameters returned from getParam

# setOptions (optimized=None, mpi=None, chromMap=[], alleleType=None, quiet=None, debug=[])

set options before simuPOP is loaded to control which simuPOP module to load, and how the module should be loaded.

optimized: whether or not load optimized version of a module. If not set,: environmental variable SIMUOP-TIMIZED, and commandline option --optimized will be used if available. If nothing is defined, standard version will be used.

mpi: obsolete.

chromMap: obsolete.

alleleType: 'binary', 'short', or 'long'. 'standard' can be used as 'short': for backward compatibility. If not set, environmental variable SIMUALLELETYPE will be used if available. if it is not defined, the short allele version will be used.

quiet: If True, supress banner information when simuPOP is loaded.

debug: a list of debug code (or string). If not set, environmental variable: SIMUDEBUG will be used if available.

## usage (options, before=")

Print usage information from the option description list. Used with -h (or --help ) option, and in the parameter input dialog.

options: option description list.

before: optional information

## valueAnd(t1, t2)

Return a function that returns true if passed option passes validator t1 and t2

## valueBetween(a, b)

Return a function that returns true if passed option is between value a and b (a and b included)

#### valueEqual(a)

Return a function that returns true if passed option equals a

# valueGE(a)

Return a function that returns true if passed option is greater than or equal to a

## valueGT(a)

Return a function that returns true if passed option is greater than a

#### valueIsList()

Return a function that returns true if passed option is a list (or tuple)

### valueIsNum()

Return a function that returns true if passed option is a number (int, long or float)

# valueLE(a)

Return a function that returns true if passed option is less than or equal to a

#### valueLT(a)

Return a function that returns true if passed option is less than a

## valueListOf(t)

Return a function that returns true if passed option val is a list of type t. If t is a function (validator), check if all v in val pass t(v)

#### valueNot(t)

Return a function that returns true if passed option does not passes validator t

# valueNotEqual(a)

Return a function that returns true if passed option does not equal a

#### valueOneOf(t)

Return a function that returns true if passed option is one of the values list in t

#### valueOr(t1, t2)

Return a function that returns true if passed option passes validator t1 or t2

# valueTrueFalse()

Return a function that returns true if passed option is True or False

## valueValidDir()

Return a function that returns true if passed option val if a valid directory

# valueValidFile()

Return a function that returns true if passed option val if a valid file

# 3.3.2 Module simuUtil

This module provides some commonly used operators and format conversion utilities.

## **Module Functions**

# CaseControl\_ChiSq(pop, sampleSize, penetrance=None)

Draw affected sibpair sample from pop, run TDT using GENEHUNTER

pop: simuPOP population. It can be a string if path to a file is given. This population must

- •have at least one ancestral generation (parental generation)
- •have a variable DSL (pop.dvars().DSL) indicating the Disease susceptibility loci. These DSL will be removed from the samples.
- •has only binary alleles

pene: penetrance function, if not given (None), existing affection status will be used.

sampleSize: total sample size N. N/4 is the number of families to ascertain.

keep\_temp: if True, do not remove sample data. Default to False.

# ChiSq\_test (pop)

perform case control test

pop: loaded population, or population file in simuPOP format. This function assumes that pop has two sub-populations, cases and controls, and have 0 as wildtype and 1 as disease allele. pop can also be an loaded

population object.

Return value: A list of p-value at each locus.

Note: this function requires rpy module.

ConstSize (size, split=0, numSubPop=1, bottleneckGen=-1, bottleneckSize=0)

The population size is constant, but will split into numSubPop subpopulations at generation split

**ExponentialExpansion** (initSize, endSize, end, burnin=0, split=0, numSubPop=1, bottleneckGen=-1, bottleneckSize=0)

Exponentially expand population size from intiSize to endSize after burnin, split the population at generation split.

InstantExpansion (initSize, endSize, end, burnin=0, split=0, numSubPop=1, bottleneckGen=-1, bottleneck-Size=0)

Instaneously expand population size from intiSize to endSize after burnin, split the population at generation split.

 $LOD_gh (file, gh='gh')$ 

Analyze data using the linkage method of genehunter. Note that this function may not work under platforms other than linux, and may not work with your version of genehunter. As a matter of fact, it is almost unrelated to simuPOP and is provided only as an example how to use python to analyze data.

Parameters: file: file to analyze. This function will look for file.dat and file.pre in linkage format.

loci: a list of loci at which p-value will be returned. If the list is empty, all p-values are returned.

gh: name (or full path) of genehunter executable. Default to 'gh'

Return value: A list (for each chromosome) of list (for each locus) of p-values.

LOD\_merlin (file, merlin='merlin')

run multi-point non-parametric linkage analysis using merlin

LargePeds\_Reg\_merlin (pop, sampleSize, qtrait=None, infoField='qtrait', merlin='merlin-regress', keep temp=False)

Draw affected sibpair sample from pop, run TDT using GENEHUNTER

pop: simuPOP population. It can be a string if path to a file is given. This population must

- •have at least one ancestral generation (parental generation)
- •have a variable DSL (pop.dvars().DSL) indicating the Disease susceptibility loci. These DSL will be removed from the samples.
- •has only binary alleles

qtrait: a function to calculate quantitative trait

infoField: information field to store quantitative trait. Default to 'qtrait'

sampleSize: total sample size N. N/4 is the number of families to ascertain.

merlin: executable name of merlin, full path name can be given.

*keep\_temp:* if True, do not remove sample data. Default to False.

LargePeds\_VC\_merlin (pop, sampleSize, qtrait=None, infoField='qtrait', merlin='merlin', keep\_temp=False)
Draw affected sibpair sample from pop, run TDT using GENEHUNTER

pop: simuPOP population. It can be a string if path to a file is given. This population must

- •have at least one ancestral generation (parental generation)
- •have a variable DSL (pop.dvars().DSL) indicating the Disease susceptibility loci. These DSL will be removed from the samples.
- •has only binary alleles

qtrait: a function to calculate quantitative trait

infoField: information field to store quantitative trait. Default to 'qtrait'

sampleSize: total sample size N. N/4 is the number of families to ascertain.

merlin: executable name of merlin, full path name can be given.

keep\_temp: if True, do not remove sample data. Default to False.

**LinearExpansion** (initSize, endSize, end, burnin=0, split=0, numSubPop=1, bottleneckGen=-1, bottleneck-Size=0)

Linearly expand population size from intiSize to endSize after burnin, split the population at generation split.

**ListVars** (var, level=-1, name=", subPop=True, useWxPython=True)

list a variable in tree format, either in text format or in a: wxPython window.

var: any variable to be viewed. Can be a dw object returned by dvars() function

level: level of display.

name: only view certain variable

subPop: whether or not display info in subPop

useWxPython: if True, use terminal output even if wxPython is available.

# LoadFstat (file, loci=[])

load population from fstat file 'file' since fstat does not have chromosome structure an additional parameter can be given

# MigrIslandRates(r, n)

migration rate matrix

$$x \ m/(n-1) \ m/(n-1) \dots m/(n-1) x \dots m/(n-1) x \dots m/(n-1) m/(n-1) x$$

where x = 1-m

## MigrSteppingStoneRates (r, n, circular=False)

migration rate matrix, circular stepping stone model (X=1-m)

or non-circular

QtraitSibs\_Reg\_merlin (pop, sampleSize, qtrait=None, infoField='qtrait', merlin='merlin-regress', keep\_temp=False)

Draw affected sibpair sample from pop, run TDT using GENEHUNTER

pop: simuPOP population. It can be a string if path to a file is given. This population must

•have at least one ancestral generation (parental generation)

- •have a variable DSL (pop.dvars().DSL) indicating the Disease susceptibility loci. These DSL will be removed from the samples.
- •has only binary alleles

qtrait: a function to calculate quantitative trait

infoField: information field to store quantitative trait. Default to 'qtrait'

sample Size: total sample size N. N/4 is the number of families to ascertain.

merlin: executable name of merlin, full path name can be given.

keep\_temp: if True, do not remove sample data. Default to False.

QtraitSibs\_VC\_merlin (pop, sampleSize, qtrait=None, infoField='qtrait', merlin='merlin', keep temp=False)

Draw affected sibpair sample from pop, run TDT using GENEHUNTER

pop: simuPOP population. It can be a string if path to a file is given. This population must

- •have at least one ancestral generation (parental generation)
- •have a variable DSL (pop.dvars().DSL) indicating the Disease susceptibility loci. These DSL will be removed from the samples.
- •has only binary alleles

qtrait: a function to calculate quantitative trait

infoField: information field to store quantitative trait. Default to 'qtrait'

sample Size: total sample size N. N/4 is the number of families to ascertain.

merlin: executable name of merlin, full path name can be given.

keep\_temp: if True, do not remove sample data. Default to False.

 ${\tt Regression\_merlin}\ (\mathit{file},\ \mathit{merlin} = '\mathit{merlin} - \mathit{regress'})$ 

run merlin regression method

**SaveCSV** (pop, output=", outputExpr=", fields=['sex', 'affection'], loci=[], combine=None, shift=1, \*\*kwargs) save file in CSV format

fileds: information fields, 'sex' and 'affection' are special fields that is treated differently.

genotype: list of loci to output, default to all.

combine: how to combine the markers. Default to None. A function can be specified, that takes the form:

```
def func(markers):
    return markers[0]+markers[1]
```

*shift:* since alleles in simuPOP is 0-based, shift=1 is usually needed to output alleles starting from allele 1. This parameter is ignored if combine is used.

save population in Linkage format. Currently only support affected sibpairs sampled with affectedSibpairSample operator.

*pop:* population to be saved. Must have ancestralDepth 1. paired individuals are sibs. Parental population are corresponding parents. If pop is a filename, it will be loaded.

*output:* Output.dat and output.ped will be the data and pedigree file. You may need to rename them to be analyzed by LINKAGE. This allows saving multiple files.

outputExpr: expression version of output.

affectionCode: default to '1': unaffected, '2': affected

*pre*: True. pedigree format to be fed to makeped. Non-pre format it is likely to be wrong now for non-sibpair families.

Note: the first child is always the proband.

**SaveMerlinDatFile** (pop, output=", outputExpr=", loci=[], fields=[], outputAffection=False) Output a .dat file readable by merlin

SaveMerlinMapFile (pop, output=", outputExpr=", loci=[])

Output a .map file readable by merlin

 $\begin{tabular}{ll} \textbf{SaveMerlinPedFile} (pop, output=", outputExpr=", loci=[], fields=[], header=False, outputAffection=False, \\ affectionCode=['U', 'A'], combine=None, shift=1, **kwargs) \\ \begin{tabular}{ll} \textbf{SaveMerlinPedFile} (pop, output=", outputExpr=", loci=[], fields=[], header=False, outputAffection=False, \\ affectionCode=['U', 'A'], combine=None, shift=1, **kwargs) \\ \end{tabular}$ 

Output a .ped file readable by merlin

**SaveQTDT** (pop, output=", outputExpr=", loci=[], header=False, affectionCode=['U', 'A'], fields=[], combine=None, shift=1, \*\*kwargs)

save population in Merlin/QTDT format. The population must have pedindex, father\_idx and mother\_idx information fields.

pop: population to be saved. If pop is a filename, it will be loaded.

output: base filename.

outputExpr: expression for base filename, will be evaluated in pop's local namespace.

affectionCode: code for unaffected and affected. '1', '2' are default, but 'U', and 'A' or others can be specified.

loci: loci to output

header: whether or not put head line in the ped file.

fields: information fields to output

combine: an optional function to combine two alleles of a diploid individual.

shift: if combine is not given, output two alleles directly, adding this value (default to 1).

SaveSolarFrqFile (pop, output=", outputExpr=", loci=[], calcFreq=True)

Output a frequency file, in a format readable by solar calcFreq

Unexpected indentation.

whether or not calculate allele frequency

Sibpair\_LOD\_gh (pop, sampleSize, penetrance=None, recRate=None, daf=None, gh='gh', keep\_temp=False)

Draw affected sibpair sample from pop, run Linkage analysis using GENEHUNTER

pop: simuPOP population. It can be a string if path to a file is given. This population must

- •have at least one ancestral generation (parental generation)
- •have a variable DSL (pop.dvars().DSL) indicating the Disease susceptibility loci. These DSL will be removed from the samples.
- •has only binary alleles

pene: penetrance function, if not given (None), existing affection status will be used.

sample Size: total sample size N. N/4 is the number of families to ascertain.

recRate: recombination rate, used in the Linkage file. If not given, pop.dvars().recRate[0] will be used. If there is no such variable, 0.0001 is used.

daf: disease allele frequency. This is needed for the linkage format but I am not sure if it is used by TDT.

gh: executable name of genehunter, full path name can be given.

keep\_temp: if True, do not remove sample data. Default to False.

```
Sibpair_LOD_merlin (pop, sampleSize, penetrance=None, merlin='merlin', keep_temp=False)
```

Draw affected sibpair sample from pop, run multi-point linkage analysis using merlin

pop: simuPOP population. It can be a string if path to a file is given. This population must

- •have at least one ancestral generation (parental generation)
- •have a variable DSL (pop.dvars().DSL) indicating the Disease susceptibility loci. These DSL will be removed from the samples.
- •has only binary alleles

pene: penetrance function, if not given (None), existing affection status will be used.

sampleSize: total sample size N. N/4 is the number of families to ascertain.

merlin: executable name of merlin, full path name can be given.

*keep\_temp:* if True, do not remove sample data. Default to False.

**Sibpair\_TDT\_gh** (pop, sampleSize, penetrance=None, recRate=None, daf=None, gh='gh', keep\_temp=False)

Draw affected sibpair sample from pop, run TDT using GENEHUNTER

pop: simuPOP population. It can be a string if path to a file is given. This population must

- •have at least one ancestral generation (parental generation)
- •have a variable DSL (pop.dvars().DSL) indicating the Disease susceptibility loci. These DSL will be removed from the samples.
- •has only binary alleles

pene: penetrance function, if not given (None), existing affection status will be used.

sampleSize: total sample size N. N/4 is the number of families to ascertain.

recRate: recombination rate, used in the Linkage file. If not given, pop.dvars().recRate[0] will be used. If there is no such variable, 0.0001 is used.

daf: disease allele frequency. This is needed for the linkage format but I am not sure if it is used by TDT.

gh: executable name of genehunter, full path name can be given.

keep\_temp: if True, do not remove sample data. Default to False.

# **TDT gh** (file, gh = 'gh')

Analyze data using genehunter/TDT. Note that this function may not work under platforms other than linux, and may not work with your version of genehunter. As a matter of fact, it is almost unrelated to simuPOP and is provided only as an example how to use python to analyze data.

Parameters: file: file to analyze. This function will look for file.dat and file.pre in linkage format.

loci: a list of loci at which p-value will be returned. If the list is empty, all p-values are returned.

gh: name (or full path) of genehunter executable. Default to 'gh'

Return value: A list (for each chromosome) of list (for each locus) of p-values.

```
VC merlin (file, merlin='merlin')
```

run variance component method

file: file.ped, file.dat, file.map and file,mdl are expected. file can contain directory name.

saveFstat (output=", outputExpr=", \*\*kwargs)

operator version of the function SaveFstat

saveLinkage (output=", outputExpr=", \*\*kwargs)

An operator to save population in linkage format

 $\textbf{simuProgress} \ (\textit{self, message, total Count, progress Char='.', block=2, done='Done.}$ 

This class defines a very simple text based progress bar. It will display a character (default to '.') for

each change of progress (default to 2%), and a number (1, 2, ..., 9) for each 10% of progress, and print a message (default to 'Done.

Block quote ends without a blank line; unexpected unindent.

') when the job is finished.

```
This progress is used as follows:
```

progress = simuProgress(500) for i in range(500):

Unexpected indentation.

progress.update(i+1)

Block quote ends without a blank line; unexpected unindent.

# if you would like to make sure the done message is displayed. progress.done()totalCount

Block quote ends without a blank line; unexpected unindent.

Total expected steps.

progressChar: Character to be displayed for each progress.

block: display progress at which interval (in terms of percentage)?

done: Message displayed when the job is finished.

# 3.3.3 Module simuRPy

This module helps the use of rpy package with simuPOP. It defines an operator varPlotter that can be used to plot population expressions when rpy is installed.

# **Module Functions**

rmatrix(mat)

Convert a Python 2d list to r matrix format that can be passed to functions like image directly.

This class defines a Python operator that uses R to plot a simuPOP express. During the evolution, this express is evaluated in each replicate's local namespace. How this expression is plotted depends on the dimension of the return value (if a sequence is returned), number of replicates, whether or not historical values (collected over several generations) are plotted, and plot type (lines or images).

The default behavior of this operator is to plot the history of an expression. For example, when operator

```
varPlotter(var='expr')
```

is used in simulator::evolve, the value of expr will be recorded each time when this operator is applied. A line will be draw in a figure with x-axis being the generation number. Parameters ylim can be used to specify the range of y-axis.

If the return value of expression expr is a sequence (tuple or list), parameter varDim has to be used to indicate the dimension of this expression. For example,

```
varPlotter(var='expr', varDim=3)
```

will plot three lines, corresponding to the histories of each item in the array.

If the expression returns a number and there are several replicates, parameter numRep ' should be used. In this case, each line will correspond to a replicate.

If the expression returns a vector and there are several replicates, several subplots will be used. Parameter by Rep or by Var should be used to tell varPlotter whether the subplots should be divided by replicate or by variable. For example,

```
varPlotter(var='expr', varDim=8, numRep=5, byRep=1)
```

will use an appropriate layout for your subplots, which is, in this case, 2x3 for 5 replicates. Each subplot will have 8 lines. If by Val is True, there will be 3x3 subplots for 8 items in an array, and each subplot will have 5 lines. Note that by Rep or by Val can also be used when there is only one replicate or if the dimension of the expression is one.

When history=False, histories of each variable will be discarded so the figure will always plot the current value of the expression.expr

Unexpected indentation.

expression that will be evaluate at each replicate's local namespace when the operator is applied.

history: whether or not record and plot the history of an expression. Default to True.

varDim: If the return value of expr is a sequence, varDim should be set to the length of this sequence.
Default to 1.

numRep: Number of replicates of the simulator. Default to 1.

win: Window of generations. I.e., how many generations to keep in a figure. This is useful when you want to keep track of only recent changes of an expression. The default value is 0, which will keep all histories.

ylim: The range of y-axis.

*update*: Update figure after update generations. This is used when you do not want to update the figure every time when this operator is applied.

title, xlab, ylab: Title, label at x and y axes of your figure(s). xtitle is defaulted to 'generation'.

axes: Whether or not plot axes. Default to True.

*lty:* A list of line type for each line in the figure.

col: A list of colors for each line in the figure.

level: level of image colors (default to 20).

saveAs: save figures in files saveAs#gen.eps. For example, if saveAs='demo', you will get files demo1.eps, demo2.eps etc.

separate: plot data lines in separate panels.

image: use R image function to plot image, instead of lines.

leaveOpen: whether or not leave the plot open when plotting is done. Default to True.

# 3.3.4 Module hapMapUtil

Utility functions to manipulate HapMap data. These functions are provided as examples on how to load and evolve the HapMap dataset. They tend to change frequently so do not call these functions directly. It is recommended that you copy these function to your script when you need to use them.

## **Module Functions**

Evolve and expand the hapmap population

gen: total evolution generation

*initMultiple: copy each individual initMultiple times, to avoid:* rapid loss of genotype variation when population size is small.

endingSize: ending poplation size

expand: expanding method, can be linear or exponential

mergeAt: when to merge population?

gen: generations to evolve migr: a migrator to be used.

recIntensity: recombination intensity

mutRate: mutation rate

step: step at which to display statistics

keepParents: whether or not keep parental generations numOffspring: number of offspring at the last generation

recordAncestry: whether or not calculate ancestry to an information field: ancestry. Only usable with two hapmap populations.

**getMarkersFromName** (HapMap\_dir, names, chroms=[], hapmap\_pops=[], minDiffAF=0, numMarkers=[]) Get population from marker names. This function: returns a tuple with a population with found markers and names of markers that can not be located in the HapMap data. The returned population has three subpopulations, corresponding to CEU, YRI and JPT+CHB HapMap populations.

HapMap\_dir: where HapMap data in simuPOP format is stored. The files: should have been prepared by scripts/loadHapMap.py.

names: names of markers. It can either be a stright list of names, or: a dictionary of names categorized by chromosome number.

chroms: a list of chromosomes to look in. If empty, all 22 autosomes: will be tried. Chromosome index starts from 1. (1, .... 22).

hapmap\_pops: hapmap populations to load, can be a list of 'CEU', 'YRI': or 'JPT+CHB', or a list of 0, 1, 2. If empty (default), all three populations will be loaded.

minDiffAF: minimal allele frequency difference between hapmap populations.: If three subpopulations are loaded, use the maximal of three pair-wise allele frequency differences for comparison. This option is ignored if hapmap\_pops has length one.

numMarkers: number of markers to use for each chromosome. Must have: the same length as chroms.

 $\label{eq:getMarkersFromRange} \textit{(HapMap\_dir, hapmap\_pops, chrom, startPos, endPos, maxNum, minAF=0, minDiffAF=0, minDist=0, maxDist=0)}$ 

Get a population with markers from given range

HapMap\_dir: where HapMap data in simuPOP format is stored. The files: should have been prepared by scripts/loadHapMap.py.

hapmap\_pops: HapMap populations to load. It can be a list of 'CEU', 'YRI': or 'JPT+CHB', or a list of 0, 1, 2. If empty, all hapmap populations will be loaded.

chrom: chromosome number (1-based index)

startPos: starting position (in cM)

endPos: ending position (in cM). If 0, ignore this parameter.

maxNum: maximum number of markers to get. If 0, ignore this parameter.

minAF: minimal minor allele frequency

minDiffAf: minimal allele frequency between HapMap populations.

minDist: minimal distance between two adjacent markers, in cM

maxDist: maximum distance. If exceed, try to pick up a marker ASAP.

# ${\tt sample1DSL}\ (pop,\ DSL,\ DA,\ pene,\ name,\ sampleSize)$

Sample from the final population, using a single loous penetrance model.

DSL: disease locus DA: disease allele pene: penetrance

name: name of directory to save (it must exist)

sampleSize: sample size, in this case, sampleSize/4 is the number of families

# sample2DSL (pop, DSL, pene, name, size)

Sample from the final population, using a two locus penetrance model

DSL: disease loci (two locus)

pene: penetrance value, assuming a two-locus model

name: name to save sample

size: sample size

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