

# LECTURE ON INTERSECTION THEORY (III)

ZHANG

ABSTRACT. This is a private note taken from the course ‘Topics in Algebraic Geometry’. The note taker is responsible for any inaccuracies.

Instructor: Qizheng YIN [BICMR, Peking University]

Time: Thu 10:10–12:00, 2017–03–02

Place: Room 302, No.4 Science Building, Peking University

## CONTENTS

1. Preliminaries: Divisors	1
1.1. Weil divisor	1
1.2. Cartier divisor	2
1.3. Weil divisor v.s. Cartier divisor	2
2. Intersection with divisors	3
2.1. Approaches	3
2.2. Better-formation: via pensoredo-divisors	4
3. Two important applications	5
3.1. First Chern class of line bundles	5
3.2. Gysin pull-back for divisors	5
4. Main problem/property	6
References	6

TABLE 1. Review of Our Plan

Goal	Define intersection product and/or pull-back
Approach	Transform this problem to a problem of vector bundles
Today	Special case: intersection with divisors/line bundles
Later	From divisors case to general case

## 1. PRELIMINARIES: DIVISORS

Let  $X$  be a variety of dimension  $n$ . In this section, we recall the definitions of two kinds of divisors on  $X$ , i.e., Weil divisor and Cartier divisor. For more information on this topic, the readers are invited to consult Chapter II.6 of [Har77].

### 1.1. Weil divisor.

**Definition 1.1** (Weil divisor). A *Weil divisor* on  $X$  is nothing but an element of  $Z_{n-1}(X)$ , i.e., an  $(n-1)$ -algebraic cycle on  $X$ .

---

2010 *Mathematics Subject Classification*. 42B35; 46E30; 47B38; 30H25.

*Key words and phrases*. Intersection, Divisor, Applications.

The author is supported by ERC Advanced Grant 226257.

**1.2. Cartier divisor.** Let  $\mathcal{K}_X$  be the sheaf of total quotient rings of  $\mathcal{O}_X$  and  $\mathcal{K}_X^*$  (of multiplicative groups) the sheaf of invertible elements in  $\mathcal{K}_X$ . Similarly  $\mathcal{O}_X^*$  is the sheaf of invertible elements in  $\mathcal{O}_X$ .

**Definition 1.2** (Cartier divisor). A *Cartier divisor* on  $X$  is a global section of the quotient sheaf  $\mathcal{K}_X^*/\mathcal{O}_X^*$ .

Let  $\text{Div}(X)$  denote the set of Cartier divisors on  $X$ , then

$$\text{Div}(X) = \Gamma(X, \mathcal{K}_X^*/\mathcal{O}_X^*)$$

Thinking of the properties of quotient sheaves, a Cartier divisor  $D \in \text{Div}(X)$  can be alternatively described by

$$\{(U_\alpha, f_\alpha)\}_\alpha$$

where

- (1)  $\{U_\alpha\}_\alpha$  is an open covering of  $X$  and
- (2) each  $f_\alpha \in \mathcal{K}(U_\alpha)^* = \mathcal{K}(X)^*$  and on  $U_\alpha \cap U_\beta$ ,  $f_\alpha/f_\beta \in \mathcal{O}_{U_\alpha \cap U_\beta}^*$ , i.e.,  $f_\alpha/f_\beta$  is regular and nowhere vanish on  $U_\alpha \cap U_\beta$ .

**Remark 1.3.** For a Cartier divisor  $D$  represented by  $\{(U_\alpha, f_\alpha)\}_\alpha$ , we define its associated Weil divisor  $\mathcal{A}(D)$  to be

$$\mathcal{A}(D) = \sum_\alpha (\text{zeros of } f_\alpha - \text{poles of } f_\alpha)$$

in which zeros and poles are counted with multiplicity.

**Definition 1.4.** For each  $D \in \text{Div}(X)$ , we associate to it

- (1) *Support* of  $D$ , denoted by  $|D|$ , is the union of all subvarieties appearing in  $\mathcal{A}(D)$  with non-zero coefficients.
- (2) *Line bundle*  $\mathcal{O}_X(D)$ : sheaf of sections, i.e.,  $\mathcal{O}_X$ -subsheaf of  $\mathcal{K}_X$  generated by  $f_\alpha^{-1}$  on  $U_\alpha$ .

**Remark 1.5.** Roughly say, we have

$$\text{Cartier divisor } D$$

$$\Updownarrow$$

Line bundle  $\mathcal{O}_X(D)$  + regular meromorphic section  $s_D$ , such that  $s_D|_{X-|D|} = 1$

**Definition 1.6** (Principal divisor). Each  $f \in \mathcal{K}(X)^*$  defines a Cartier divisor

$$\text{div}(f) := \{(U_\alpha, f|_{U_\alpha})\}_\alpha$$

which will be called the *principal divisor* on  $X$ .

**Definition 1.7** (Linear equivalence). For  $D, D' \in \text{Div}(X)$ , we say  $D \sim_{\text{lin}} D'$  iff  $D - D' = \text{div}(f)$  for some  $f \in \mathcal{K}(X)^*$ .

**Fact 1.8.**  $\underbrace{\text{Div}(X)/\sim_{\text{lin}}}_{\text{forget section } s_D} \cong \text{Pic}(X)$ , the Picard group of  $X$ .

**1.3. Weil divisor v.s. Cartier divisor.** In some scenes, Cartier divisor looks like cohomology and hence ‘good’, easy to pull-back; while Weil divisor looks like ‘homology’, easy to push-forward.

By assigning to each Cartier divisor  $D$  its associated Weil divisor  $\mathcal{A}(D)$ , we get a map

$$\mathcal{A} : \text{Div}(X) \rightarrow Z_{n-1}(X)$$

By definition, we get

$$\mathcal{A} : \text{Div}(X)/\sim_{\text{lin}} \rightarrow Z_{n-1}(X)/\sim_{\text{rat}} = \text{CH}_{n-1}(X)$$

In general,  $\mathcal{A}$  is neither injective nor surjective, see the following examples

**Example 1.9.** The first one shows that  $\mathcal{A}$  is not necessarily injective while the second one shows that  $\mathcal{A}$  is not necessarily surjective.

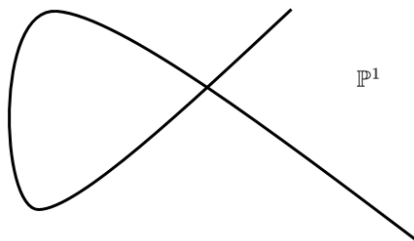


FIGURE 1. Nodal  $\mathbb{P}^1/\mathbb{C}$ : not injective

- (1) In this case,  $\mathrm{CH}_0(X) \cong \mathbb{Z}$  and  $\ker(\mathcal{A}) = \mathbb{C}$ .

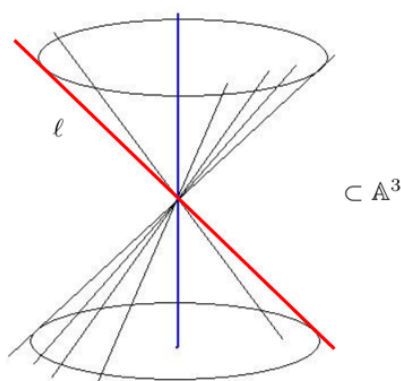


FIGURE 2. Cone in  $\mathbb{A}^3$ : not surjective

- (2) In this case,  $\mathrm{CH}_1(X) \cong \mathbb{Z}/2\mathbb{Z}$  with the generator  $[\ell]$ ; while  $\mathrm{Div}(X)/\sim_{\mathrm{lin}} = 0$ .

**Remark 1.10.** All in all, we have the following results.

- (1)  $\mathcal{A}$  is injective if  $X$  normal.
- (2)  $\mathcal{A}$  is injective & surjective if  $X$  is locally factorial (e.g.  $X$  is non-singular).

## 2. INTERSECTION WITH DIVISORS

Let  $X$  be a variety of dimension  $n$ , and let  $D \in Z_{n-1}(X), \alpha \in Z_k(X)$ . In this section, we want to define the intersection product  $D \cdot \alpha$ . By linearity, it suffices to define  $D \cdot \alpha$  for  $\alpha = V$  with  $V \subset X$  a closed subvariety of dimension  $k$ .

### 2.1. Approaches.

2.1.1. *Before approach.* Move  $D$  if possible, so as to intersect  $\alpha$  properly, then we can get

$$(\dagger) \quad D \cdot \alpha \in \mathrm{CH}_{k-1}(X)$$

2.1.2. *Now approach.* Represent  $D$  by Cartier divisor if possible, then the problem reduces to define  $D.V$  for  $D \in \text{Div}(X)$  a Cartier divisor and  $V \subset X$  a closed subvariety of dimension  $k$ . There are two possible cases:

- (1) Case 1: if  $V \not\subseteq |D|$ , i.e.,  $D$  and  $V$  intersect ‘properly’. In this case we can do the pull-back safely: notice that  $D|_V$  is always a Cartier divisor on  $V$ , via the restriction

$$(U_\alpha, f_\alpha) \rightarrow (U_\alpha \cap V, f_\alpha|_{U_\alpha \cap V})$$

So we define

$$D.V := \mathcal{A}(D|_V) \in Z_{k-1}(|D| \cap V)$$

It’s an algebraic cycle in  $|D|$ <sup>1</sup>.

- (2) Case 2: if  $V \subseteq |D|$ . In this case we can not pull-back<sup>2</sup>, but in the line bundle level we can always write

$$\mathcal{O}_X(D)|_V = \mathcal{O}_V(C)$$

for some Cartier divisor  $C$  on  $V$ . Then

$$D.V := \mathcal{A}(C) \in \text{CH}_{k-1}(V \cap |D|)$$

Both cases lead to an intersection map

$$D : Z_k(X) \rightarrow \text{CH}_{k-1}(|D|)$$

which will descend to (will be proved later)

$$D : \text{CH}_k(X) \rightarrow \text{CH}_{k-1}(|D|)$$

So we are done.

**2.2. Better-formation: via pessedo-divisors.** The procedure above motives us to ‘enlarge’ the category of divisors, such that in both cases we can always do the pull-back freely. For this purpose, we introduce the concept of pessedo-divisor, it behaves like ‘Cartier divisor’ under favourable situation and like ‘line bundle’ under unfavourable situation (see Example 2.2).

**Definition 2.1.** Let  $X$  be a scheme. A *pessedo-divisor* on  $X$  is a triple  $(L, Z, s)$  such that

- (1)  $L$  is a line bundle on  $X$ .
- (2)  $Z \subset X$  is a closed subset (something like ‘support’)<sup>3</sup>.
- (3)  $s$  is a nowhere vanishing section on  $X - Z$ .

Clearly we can always do pull-back for pessedo-divisors: for morphism  $f : Y \rightarrow X$ , we can just define

$$f^*(L, Z, s) := (f^*(L), f^{-1}(Z), f^*(s))$$

**Example 2.2.** Let  $X$  be a variety of dimension  $n$ .

- (1) take  $Z = X$ , then a pessedo-divisor on  $X$  is nothing but a line bundle  $L \in \text{Pic}(X)$ .
- (2) for each  $D \in \text{Div}(X)$ , we get a pessedo-divisor  $(\mathcal{O}_X(D), |D|, s_D)$ .

**Definition 2.3.** We say a pessedo-divisor  $(L, Z, s)$  is *represented* by a Cartier divisor  $D \in \text{Div}(X)$  if

- (1)  $|D| \subseteq Z$  and

<sup>1</sup>Compared to (†), where we only obtain an algebraic cycle in  $X$ . In this scenes, we have defined a ‘finer’ intersection here.

<sup>2</sup>Indeed, the pull-back of  $D$  to  $V$  cannot be a Cartier divisor on  $V$

<sup>3</sup>In fact, this is the main modification to Cartier divisors: we allow the support of a divisor to be the whole scheme.

(2)  $\mathcal{O}_X(D) \cong L$  and this isomorphism maps  $s_D \mapsto s$ .

**Lemma 2.4.** *Let  $X$  be a variety. Then every pseudo-divisor  $(L, Z, s)$  can be represented by a Cartier divisor, unique if  $Z \neq X$ .*

Taking count of this lemma, if no abuse of notation, hereafter we always write  $D$  for  $(L, Z, s)$  if it's represented by  $D$ , which indicates that  $\mathcal{O}_X(D)$  for  $L$ ,  $|D|$  for  $Z$  and  $s_D$  for  $s$ .

**Definition 2.5.** Let  $X$  be a variety of dimension  $n$  and  $D$  a pseudo-divisor. The associated Weil divisor class  $\mathcal{A}_p(D)$  of  $D$  is defined to be

$$\mathcal{A}_p(D) := \mathcal{A}(D') \in \text{CH}_{n-1}(|D|)$$

where  $D'$  is any Cartier divisor representing  $D$ .

With these definitions, we can define the intersection product in the language of pseudo-divisor.

**Definition 2.6.** Let  $X$  be a scheme,  $D$  a pseudo-divisor on  $X$  and  $V \subset X$  closed subvariety of dimension  $k$ . Let  $j : V \hookrightarrow X$  denote the inclusion, then

$$D.V := \mathcal{A}_p(j^{-1}(D)) \in \text{CH}_{k-1}(|D| \cap V)$$

### 3. TWO IMPORTANT APPLICATIONS

**3.1. First Chern class of line bundles.** The main idea is that: Chern class of a vector bundle looks like ‘cohomology objects’, so we can view them as operator on ‘homology’, e.g., Chow groups. In this way we can detect their information.

Let  $X$  be a scheme,  $L$  a line bundle on  $X$  and  $V \subset X$  a closed subvariety of dimension  $k$ . So

$$L|_V = \mathcal{O}_V(C)$$

for some Cartier divisor  $C$  in  $V$ . Then we define

$$c_1(L) \cap V := [\mathcal{A}(C)] \in \text{CH}_{k-1}(X)$$

and hence get a map

$$c_1(L) \cap : Z_k(X) \rightarrow \text{CH}_{k-1}(X)$$

which will descend to

$$c_1(L) \cap : \text{CH}_k(X) \rightarrow \text{CH}_{k-1}(X)$$

If  $L = \mathcal{O}_X(D)$  for some pseudo-divisor  $D$ , then

$$c_1(L) \cap (-) = D.(-)$$

**3.2. Gysin pull-back for divisors.** Let  $X$  be a scheme,  $D$  an effective Cartier divisor (subscheme, locally defined by 1 equation) on  $X$  and  $i : D \hookrightarrow X$  the inclusion. The Gysin pull-back is defined by

$$\begin{aligned} i^* : Z_k(X) &\rightarrow \text{CH}_{k-1}(D) \\ \alpha &\mapsto D.\alpha \end{aligned}$$

which descends to

$$i^* : \text{CH}_k(X) \rightarrow \text{CH}_{k-1}(D)$$

## 4. MAIN PROBLEM/PROPERTY

The definition of intersection with divisors given above leaves us the following main problem or property (if we can fix it): Let  $X$  be a variety of dimension  $n$  and  $D, D' \in \text{Div}(X)$  Cartier divisors on  $X$ , then we have two ways to define the intersection  $D.D'$

$$D.D' = \underbrace{D}_{\text{viewed Cartier}} .\mathcal{A}(D') \in \text{CH}_{n-2}(|D| \cap |D'|)$$

and

$$D.D' = \underbrace{D'}_{\text{viewed Cartier}} .\mathcal{A}(D) \in \text{CH}_{n-2}(|D| \cap |D'|)$$

We are wondering if

$$\underbrace{D}_{\text{viewed Cartier}} .\mathcal{A}(D') \cong \underbrace{D'}_{\text{viewed Cartier}} .\mathcal{A}(D)$$

in  $\text{CH}_{n-2}(|D| \cap |D'|)$ .

**Remark 4.1.** Notice that this does not hold in  $Z_{n-2}(|D| \cap |D'|)$  even if it is well-defined, see the following examples.

**Example 4.2.** Consider  $\mathfrak{Bl}_O \mathbb{A}^2$ , the blow-up of  $\mathbb{A}^2$  at  $O$ .

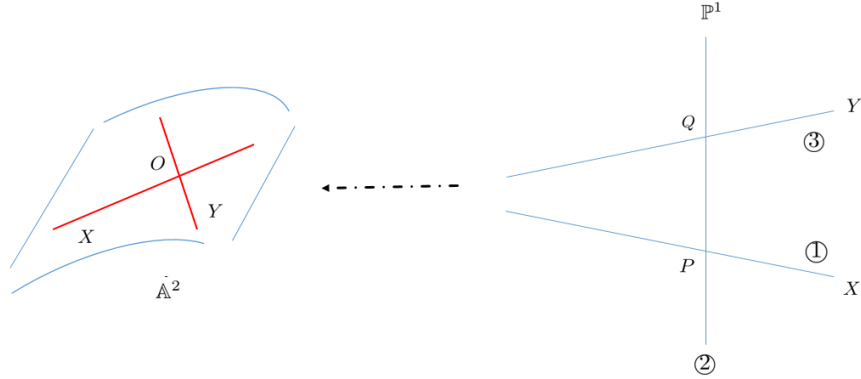


FIGURE 3. Blow-up of  $\mathbb{A}^2$  at  $O$

Let  $D := \textcircled{1} + \textcircled{2}$  and  $D' = \textcircled{2} + \textcircled{3}$ , then

$$D.D' = D.\textcircled{2} + D.\textcircled{3} = 0 + Q = Q$$

and similarly

$$D'.D = P$$

so they are not equal in  $Z_0(\mathbb{P}^1)$ . But  $P \sim_{\text{rat}} Q$  since they are connected by  $\mathbb{P}^1$ , i.e.,

$$[P] = [Q] \in \text{CH}_0(\mathbb{P}^1).$$

## REFERENCES

[Har77] R. Hartshorne. *Algebraic Geometry*, volume 52 of *Graduate Texts in Mathematics*. Springer-Verlag New York, 1977. [1](#)

INSTITUTE OF MATHEMATICA, ACADEMY OF MATHEMATICS AND SYSTEM SCIENCES, CHINESE ACADEMY OF SCIENCE, BEIJING 100190, CHINA

E-mail address: zhangxucheng15@mails.ucas.cn