# LECTURE ON INTERSECTION THEORY (IX)

#### ZHANG

ABSTRACT. This is a private note taken from the course 'Topics in Algebraic

Geometry'. The note taker is responsible for any inaccuracies.

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### SETTING-UP

Let X be a non-singular projective variety over  $\mathbb C$  of dimension n, then we have the following communicative diagram

$$\begin{array}{ccc} \operatorname{CH}^1(X) & \stackrel{\cong}{\longrightarrow} \operatorname{Pic}(X) \\ & & & & \\ & & & \\ \operatorname{CH}^1(X)_{\operatorname{alg}} & \stackrel{\cong}{\longrightarrow} \operatorname{Pic}^0(X) \end{array}$$

such that

- (1)  $\operatorname{Pic}^{0}(X) = \operatorname{the Picard variety}^{1}$  of X, consisting of line bundles over X with zero first Chern class.
- (2)  $CH^1(X)_{alg} := Z^1(X)_{alg}/Z^1(X)_{rat}$  where (2a)  $Z^1(X)_{alg} := Z^1(X)/\sim_{alg}$ .

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<sup>1</sup>It's an abelian variety and in the case  $k = \mathbb{C}$ , we have

$$Pic^{0}(X) = H^{1}(X, \mathcal{O}_{X})/H^{1}(X, \mathbb{Z}) = H^{0,1}(X)/H^{1}(X, \mathbb{Z})$$

i.e., it's isomorphic to  $(\mathbb{C}^n/\Lambda, \text{ polarization}).$ 

(2b)  $\sim_{\text{alg}}$  is the algebraic equivalence on  $Z^1(X)$  defined by replacing  $\mathbb{P}^1$  by any algebraic curves in the definition of  $\sim_{\text{rat}}$ .

Notice that the object  $CH^1(X)_{alg}$  is defined algebraically, so it's free of ground field k.

therefore we have

$$\mathrm{CH}^1(X)/\mathrm{CH}^1(X)_{\mathrm{alg}} \cong \mathrm{Pic}(X)/\mathrm{Pic}^0(X) =: \mathrm{NS}(X)$$

the  $N\acute{e}on$ -Severi group of X.

0.1. **Preliminary: GAGA.** The notation  $X^{\rm an}$  will be used if we view X as a compact complex Kähler manifold equipped with analytic topology. In this case we have the  $singular\ cohomology$ 

$$H^*(X^{\mathrm{an}}, \mathbb{Z})$$

Theorem 0.1 (GAGA).

subvarieties of 
$$X$$
analytic  $\left\langle \begin{array}{c} \text{vector bundles on } X \\ \text{coherent sheaves on } X \\ \end{array} \right\rangle$  are algebraic  $\vdots$ 

*Proof.* For more materials on GAGA, see [Ser56].

0.2. Exceptional sequence. (This construction only works for  $\mathbb{k} = \mathbb{C}$ ) In the analytic world, consider the exceptional sequence of sheaves on  $X^{\mathrm{an}}$ 

$$0 \longrightarrow \underbrace{\mathbb{Z}^{\mathrm{an}}}_{\mathrm{constant sheaf}} \xrightarrow{2\pi i} (\mathcal{O}_X)^{\mathrm{an}} \xrightarrow{\mathrm{exp}} (\mathcal{O}_X^*)^{\mathrm{an}} \longrightarrow 0$$

One take the long exact sequence associated to it

$$\begin{split} H^0(X^{\mathrm{an}},(\mathcal{O}_X)^{\mathrm{an}}) &\to H^0(X^{\mathrm{an}},(\mathcal{O}_X^*)^{\mathrm{an}}) \\ &\to H^1(X^{\mathrm{an}},\mathbb{Z}^{\mathrm{an}}) \to H^1(X^{\mathrm{an}},(\mathcal{O}_X)^{\mathrm{an}}) \to H^1(X^{\mathrm{an}},(\mathcal{O}_X^*)^{\mathrm{an}}) \\ &\to H^2(X^{\mathrm{an}},\mathbb{Z}^{\mathrm{an}}) \to H^2(X^{\mathrm{an}},(\mathcal{O}_X)^{\mathrm{an}}) \end{split}$$

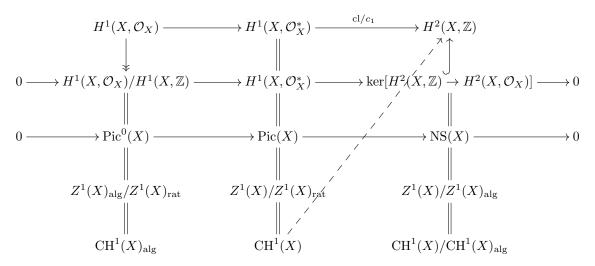
Applying Serre's GAGA to drop the superscript □<sup>an</sup> everywhere

$$H^{0}(X, \mathcal{O}_{X}) \to H^{0}(X, \mathcal{O}_{X}^{*})$$
  
 
$$\to H^{1}(X, \mathbb{Z}) \to H^{1}(X, \mathcal{O}_{X}) \to H^{1}(X, \mathcal{O}_{X}^{*})$$
  
 
$$\to H^{2}(X, \mathbb{Z}) \to H^{2}(X, \mathcal{O}_{X})$$

Notice that the first line is surjective thus reduced to

$$0 \to H^1(X, \mathbb{Z}) \to H^1(X, \mathcal{O}_X) \to H^1(X, \mathcal{O}_X^*) \to H^2(X, \mathbb{Z}) \to H^2(X, \mathcal{O}_X)$$

this leads to another exact sequence



Hereafter, we will frequently use this diagram and denote it by  $\clubsuit$ .

### 1. Cyclic class map

1.1. **Definition via Poincáre duality.** Under a series of identifications in the diagram ♣, we obtain the *cohomology class of divisors* 

$$\operatorname{cl}: \operatorname{CH}^1(X) \to H^2(X, \mathbb{Z})$$

or equivalently first Chern class

$$c_1: \mathrm{CH}^1(X) \to H^2(X,\mathbb{Z})$$

The cohomology class of divisors provides us a toy version/picture of a general concept: the cycle class map, which maps *every* cycle class to cohomology. The quickest definition may be as follows.

**Definition-Proposition 1.1.** The cycle class map

$$cl: CH^k(X) \to H^{2k}(X, \mathbb{Z})$$

is defined as follow: for any closed subvariety  $V \subset X$  of codimension k, let

$$f: \widetilde{V} \to V \hookrightarrow X$$

be a resolution of singularies for V with  $\widetilde{V}$  non-singular, then  $\mathrm{cl}(V) \in H^{2k}(X,\mathbb{Z})$  is defined by the composition

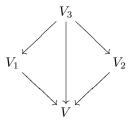
$$H^0(\widetilde{V}, \mathbb{Z}) \cong H_{2k}(\widetilde{V}, \mathbb{Z}) \xrightarrow{f_*} H_{2n-2k}(X, \mathbb{Z}) \cong H^{2k}(X, \mathbb{Z})$$
  
 $\widetilde{V} \longmapsto \operatorname{cl}(\widetilde{V})$ 

such that

- (1)  $H^0(\widetilde{V}, \mathbb{Z}) = H_{2k}(\widetilde{V}, \mathbb{Z}) \cong \mathbb{Z}[\widetilde{V}]$  is generated by the fundamental class  $[\widetilde{V}]$ .
- (2) two isomorphisms are Poincáre duality.

*Proof.* It's sufficient to show that cl(V) is independent of the choice of resolution of singularities  $\widetilde{V}$ . Indeed, if  $V_1, V_2$  are both resolutions of V, then we can find a

third resolution  $V_3$  of V dominating both  $V_1, V_2$ , i.e., there exists a commutative diagram<sup>2</sup>



then the well-definedness follows. So we get

$$\operatorname{cl}: Z^k(X) \to H^{2k}(X, \mathbb{Z})$$

**Lemma 1.2.**  $\alpha \sim_{\text{rat}} 0 \Rightarrow \text{cl}(\alpha) = 0.$ 

*Proof.* Reduce to the case of principal divisor. And in that case, such verification coincides with the exceptional sequence.  $\Box$ 

Hence cl passes through the rational equivalence and we obtain

$$\mathrm{cl}:\mathrm{CH}^k(X)\to H^{2k}(X,\mathbb{Z})$$

as desired.  $\Box$ 

1.2. **Properties.** In last section we finally get

$$\operatorname{cl}: \underbrace{\operatorname{CH}^k(X)}_{\operatorname{alg}} \to \underbrace{H^{2k}(X, \mathbb{Z})}_{\operatorname{topo}}$$

and this will be our main object hereafter.

**Proposition 1.3.** Cycle class map satisfies the following functorial properties.

(1) (Respect/Compatible with the ring structure on both sides) For any  $\alpha \in \mathrm{CH}^k(X)$  and  $\beta \in \mathrm{CH}^\ell(X)$ , we have

$$cl(\alpha \cdot \beta) = cl(\alpha) \cup cl(\beta)$$

In picture,

$$\begin{array}{ccc} \operatorname{CH}^k(X) \times \operatorname{CH}^\ell(X) \xrightarrow{\cdot} & \operatorname{CH}^{k+\ell}(X) \\ & & & \downarrow^{\operatorname{cl}} & \downarrow^{\operatorname{cl}} \\ H^{2k}(X) \times H^{2\ell}(X) \xrightarrow{\cup} & H^{2(k+\ell)}(X, \mathbb{Z}) \end{array}$$

(2) (Pull-back) For any morphism  $f: X \to Y$  and  $\alpha \in \mathrm{CH}^k(Y)$ , we have

$$\operatorname{cl}_X(f^*(\alpha)) = f^*(\operatorname{cl}_Y(\alpha))$$

$$V_1 \times_V V_2 \longrightarrow V_2$$

$$\downarrow \qquad \qquad \downarrow$$

$$V_1 \longrightarrow V$$

which may be singular and then take  $V_3$  to be the resolution of singularities for  $V_1 \times_V V_2$ .

<sup>&</sup>lt;sup>2</sup>Indeed, we can construct  $V_3$  as follows: form the fiber product

In picture,

$$\operatorname{CH}^{k}(Y) \xrightarrow{\operatorname{cl}_{Y}} H^{2k}(Y, \mathbb{Z})$$

$$f^{*} \downarrow \qquad \qquad \downarrow f^{*}$$

$$\operatorname{CH}^{k+\dim(Y)}(X) \xrightarrow{\operatorname{cl}_{X}} H^{2k+2\dim(Y)}(X, \mathbb{Z})$$

Proof. Use earlier deformation to the normal cone or moving lemma. Note

$$\operatorname{cl}: \operatorname{CH}^1(X) \to \ker(H^2(X,\mathbb{Z}) \to H^2(X,\mathcal{O}_X))$$

#### 2. Hodge theory: A glance

## 2.1. Hodge decomposition.

Theorem 2.1 (Hodge).

(1) There exists a decomposition of ring

$$(\dagger) \quad H^*(X,\mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{C} = H^*(X,\mathbb{C}) = \bigoplus_{k=0}^{2n} H^k(X,\mathbb{C})$$
$$= \bigoplus_{k=0}^{2n} \left[ \bigoplus_{\substack{p+q=k\\p,q\geq 0}} H^{p,q}(X) \right]$$

such that

$$\overline{H^{p,q}(X)} = H^{q,p}(X)$$

(2)  $H^{p,q}(X) \cong H^q(X, \Omega_X^p)$ , where  $\Omega_X^p$  is the sheaf of holomorphic/differential p-forms on X.

Sketch of the proof.

(1) In ( $\dagger$ ), the first equality follows from universal coefficient theorem for cohomology; the second equality follows from the decomposition of  $H^*(X,\mathbb{Z})$ . So it's remaining to show the third one, i.e.,

$$H^k(X,\mathbb{C}) = \bigoplus_{\substack{p+q=k\\p,q \geq 0}} H^{p,q}(X)$$

such that

$$\overline{H^{p,q}(X)} = H^{q,p}(X)$$

#### Fact 2.2.

$$H^k(X,\mathbb{C}) \cong H^k_{\mathrm{dR}}(X,\mathbb{C}) \cong \mathscr{H}^k(X)$$

- (a)  $H^k_{\mathrm{dR}}(X,\mathbb{C})=k$ -th de Rham cohomology of X.
- (b)

$$\mathscr{H}^k(X) := \{k\text{-forms }\omega \text{ on }X: \Delta_d(\omega) = 0\}$$

the set of harmonic k-forms on X.

Then all the remaining statements follow from the well-known decomposition of  $\mathscr{H}^k(X)$ 

$$\mathscr{H}^k(X) = \bigoplus_{\substack{p+q=k\\p,q \geq 0}} \mathscr{H}^{p,q}(X)$$

where

$$\mathcal{H}^{p,q}(X) = \{(p,q)\text{-forms }\omega \text{ on }X:\Delta_d(\omega)=0\}$$

is set of harmonic<sup>3</sup> (p,q)-forms<sup>4</sup> on X. Hence one obtain

$$H^k(X,\mathbb{C}) = \bigoplus_{\substack{p+q=k\\p,q>0}} H^{p,q}(X)$$

such that  $\overline{H^{p,q}(X)} = H^{q,p}(X)$ .

(2) Recall that

$$H^q(X, \Omega_X^p) \cong \{(p, q)\text{-forms }\omega \text{ on }X: \Delta_{\bar{\partial}}(\omega) = 0\}$$

Since X is Kälher, then

$$\Delta_d = 2\Delta_{\partial} = 2\Delta_{\bar{\partial}}$$

and therefore

$$H^q(X, \Omega_X^p) \cong \{(p, q)\text{-forms }\omega \text{ on }X: \Delta_d(\omega) = 0\}$$
  
=  $\mathscr{H}^{p, q}(X) \cong H^{p, q}(X)$ 

**Definition 2.3** (Hodge diamond). Define the *Hodge numbers* as

$$h^{p,q} := \dim H^{p,q}(X)$$

and then we can arrange these numbers into  $Hodge\ diamond$ . To illustrate what it looks like, we present the case when X is a surface

$$h^{2,2} h^{2,1} h^{1,2} h^{1,2} h^{2,0} h^{1,1} h^{0,2} h^{0,0}$$

Remark 2.4. Hodge diamond is symmetric in the following ways:

(1)  $\updownarrow$  by Serre's duality. Indeed, for any holomorphic vector bundle E over a smooth compact complex manifold V of dimension n

$$H^k(V, E) = H^{n-k}(V, E^{\vee} \otimes \Omega_X^n)^{\vee}$$

Applied to our case, we get

$$H^q(X,\Omega_X^p)=H^{n-q}(X,\Omega_X^{n-p})^\vee\cong H^{n-q}(X,\Omega_X^{n-p})$$

i.e.,

$$H^{p,q}(X) = H^{n-p,n-q}(X) \Rightarrow h^{p,q} = h^{n-p,n-q}$$

 $(2) \leftrightarrow \text{by Hodge decomposition}.$ 

# 2.2. Hodge conjecture.

$$\omega = \sum_{|I|=p, |J|=q} f_{IJ} dz^I \wedge d\bar{z}^J$$

consisting of exactly p holomorphic and q anti-holomorphic components.

 $<sup>^3{\</sup>rm since}~X$  is compact Kälher.

<sup>&</sup>lt;sup>4</sup>in local coordinates, such  $\omega$  can be written as

2.2.1. Refined cycle class map. Since  $H^k(X, \mathcal{O}_X) = H^{0,k}(X)$ , then the diagram  $\clubsuit$  reads as

$$0 \longrightarrow H^1(X, \mathcal{O}_X)/H^1(X, \mathbb{Z}) \longrightarrow H^1(X, \mathcal{O}_X^*) \longrightarrow \ker[H^2(X, \mathbb{Z}) \to H^2(X, \mathcal{O}_X)] \longrightarrow 0$$

$$\parallel \qquad \qquad \parallel \qquad \qquad \parallel$$

$$0 \longrightarrow H^{0,1}(X)/H^1(X, \mathbb{Z}) \longrightarrow \operatorname{CH}^1(X) \longrightarrow \ker[H^2(X, \mathbb{Z}) \to H^{0,2}(X)] \longrightarrow 0$$

where the map  $H^2(X,\mathbb{Z}) \to H^{0,2}(X)$  is given by the composition

$$H^2(X,\mathbb{Z}) \xrightarrow{-\otimes_{\mathbb{Z}}\mathbb{C}} H^2(X,\mathbb{C}) = \bigoplus_{\substack{p+q=2\\p,q>0}} H^{p,q}(X) \xrightarrow{\operatorname{proj}} H^{0,2}(X)$$

For any element in  $H^2(X,\mathbb{Z})$ , it lies in the kernel iff it has no  $H^{0,2}(X)$ -part, and hence no  $H^{2,0}(X)$ -part, then

$$\ker[H^2(X,\mathbb{Z}) \to H^{0,2}(X)] = H^2(X,\mathbb{Z}) \cap H^{1,1}(X)$$

so we get a 'refined' cycle class map<sup>5</sup>

$$\operatorname{cl}: \operatorname{CH}^{1}(X) \to H^{2}(X, \mathbb{Z}) \cap H^{1,1}(X)$$

More general we have

**Lemma 2.5.** For any  $k \in \mathbb{Z}$ , we have

$$\operatorname{cl}: \operatorname{CH}^k(X) \to H^{2k}(X,\mathbb{Z}) \cap H^{k,k}(X) =: \operatorname{Hdg}^k(X)$$

*Proof.* For any closed subvariety  $V \subset X$  of codimension k, i.e.,  $\dim(V) = n - k$ . Consider  $\operatorname{cl}(V)$ , due to the dimension obstruction,  $\widetilde{V}$  cannot support (p,q)-forms with p > n - k or q > n - k. Then  $\operatorname{cl}(V)$  is represented by a (k,k)-form on X.  $\square$ 

2.2.2. Hodge conjecture. The celebrated Hodge conjecture says

Conjecture 2.6 (Hodge). The map

$$\operatorname{cl}: \operatorname{CH}^k(X)_{\mathbb{Q}} \to \operatorname{Hdg}^k(X)_{\mathbb{Q}}$$

is surjective, where  $\square_{\mathbb{Q}} := \square \otimes_{\mathbb{Z}} \mathbb{Q}$ .

Remark 2.7. By far, we know Hodge conjecture is

- (1) trivial for k = 0, n: just unwinding the fundamental class.
- (2) true for k = 1, even with  $\mathbb{Z}$ -coefficient: use exceptional sequence. In fact

**Fact 2.8** (Lefschetz theorem on (1,1)-classes). Any element of

$$H^2(X,\mathbb{Z})\cap H^{1,1}(X)$$

is the cohomology class of a divisor on X. In particular, the Hodge conjecture is true for k=1.

$$cl(CH^{1}(X)) = H^{2}(X, \mathbb{Z}) \cap H^{1,1}(X)$$

<sup>&</sup>lt;sup>5</sup>in fact, we have

2.2.3. Hard Lefschetz. For any ample line bundle L on X, it determines a cohomology class of the Kähler (1,1)-form on X

$$[\omega] \in H^{1,1}(X) \subset H^2(X,\mathbb{Z})$$

**Fact 2.9.** The cup product with  $\omega$  sends

- (1) closed forms (i.e. forms killed by d) to closed forms.
- (2) exact forms (i.e. forms in the image of d) to exact forms.

Thus it induces an operator, called the Lefschetz operator

$$L: H^k(X, \mathbb{Z}) \xrightarrow{\cup \omega} H^{k+2}(X, \mathbb{Z})$$

which preserves the Hodge decomposition

$$L: H^{p,q}(X) \xrightarrow{\cup \omega} H^{p+1,q+1}(X)$$

**Theorem 2.10** (Hard Lefschetz). For any  $k \leq n$  we have an isomorphism

$$L^{n-k}: H^k(X,\mathbb{Q}) \xrightarrow{\sim} H^{2n-k}(X,\mathbb{Q})$$

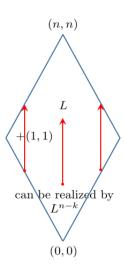


FIGURE 1. Hard Lefschetz theorem on Hodge diamond

By Hard Lefschetz theorem, we know Hodge conjecture is

- (1) true for k = n 1.
- (2) true for  $n \leq 3$ : Indeed, if Hodge conjecture is true for  $k \leq \lfloor n/2 \rfloor$ , then it's also true for n-k.

Remark 2.11. By far, we know Hodge conjecture holds in the cases:

- for  $n \leq 3$  and any k.
- for any n and k = 0, 1, n 1, n.
- if true for  $k \leq \lfloor n/2 \rfloor$ , then also true for n k.

And Hodge conjecture fails in the cases:

- with Z-coefficient: see Atiyah, Hirzebruch [AH62], Kollár, Talum.
- for Kälher manifold, see Voisin [Voi02].

## 3. From Chow ring to geometry

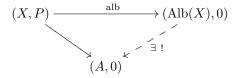
Fix  $P \in X$  a closed points.

3.1. **Albnase variety.** The Albanese variety is a generalization of the Jacobian variety of a curve. But in general, it's not necessarily unique.

**Definition 3.1** (Albnase variety). The *Albnase variety* of (X, P), usually denoted by Alb(X), is an abelian variety equipped with a morphism

$$alb: (X, P) \to (Alb(X), 0)$$

such that any morphism  $(X, P) \to (A, 0)$  into an abelian variety uniquely factors through alb. In picture,



**Remark 3.2.** The Albanese variety Alb(X) has an explicit description.

(1) via Picard variety  $Pic^0(X)$ :

$$\mathrm{Alb}(X) := (\mathrm{Pic}^0(X))^{\vee}$$

For algebraic curves, the Abel–Jacobi theorem implies that the Albanese and Picard varieties are isomorphic.

(2) Over  $\mathbb{C}$ , we have

$$\begin{aligned} \operatorname{Alb}(X) &= H^n(X, \Omega_X^{n-1})/H^{n-1}(X, \mathbb{Z}) \\ &= H^{n-1,n}(X)/H^{n-1}(X, \mathbb{Z}) \end{aligned}$$

hence for compact Kähler manifold X, the dimension of its Albanese variety is the Hodge number  $h^{1,0}$ .

Remark 3.3. Recall the degree map

$$\deg: \mathrm{CH}_0(X) \longrightarrow \mathbb{Z}$$

and set  $CH_0(X)_0 := \ker(\deg)$ . Since the ground field k is algebraically closed, the Albanese map

$$alb: (X, P) \to (Alb(X), 0)$$

factors through a group homomorphism (also called the Albanese map)

$$alb: CH_0(X)_0 \to Alb(X)$$

since  $CH_0(X)_0$  is generated by the elements of the form [\*-P].

3.2. Toy example: surface. To illustrate how these objects are used to detect the geometry of X, we see what is happening when X be a surface. Consider its Hodge diamond

$$h^{2,2} h^{2,1} h^{1,2} h^{1,2} h^{2,0} h^{1,0} h^{0,1} h^{0,0}$$

And we already know

Table 1. Algebra  $\Rightarrow$  Geom/Topo

Geom/Topo	Algebra
$h^{0,0}$	by the fundamental class $[X]$
$h^{1,0} = h^{0,1}$	by $Pic^0(S)$
$h^{2,0} = h^{0,2}$	by ker(alb) (will be confirmed by Bloch)
$h^{1,1}$	by Hard Lefschetz theorem
$h^{2,1} = h^{1,2}$	by symmetry
$h^{2,2}$	by points of $X$

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