

# Quickselect

Shusen Wang

# Select the smallest element

55	8	29	68	3	41	32	12	53	17
----	---	----	----	---	----	----	----	----	----

# Select the smallest element


55	8	29	68	3	41	32	12	53	17
----	---	----	----	---	----	----	----	----	----

```
int min(int arr[], int n) {  
    int i = 0;  
    int minVal = arr[0];  
    for (i=1; i<n; i++) {  
        if (arr[i] < minVal)  
            minVal = arr[i];  
    }  
    return minVal;  
}
```

Select the **k-th smallest** element

55	8	29	68	3	41	32	12	53	17
----	---	----	----	---	----	----	----	----	----

the 3<sup>rd</sup> smallest



# Naïve Algorithms

# Brute-force: $O(nk)$ time

55	8	29	68	3	41	32	12	53	17
----	---	----	----	---	----	----	----	----	----



the smallest

- $O(n)$  for finding the smallest.

# Brute-force: $O(nk)$ time

55	8	29	68	<del>3</del>	41	32	12	53	17
----	---	----	----	--------------	----	----	----	----	----

↑  
the smallest

- $O(n)$  for finding the smallest.

# Brute-force: $O(nk)$ time

55	8	29	68	<del>3</del>	41	32	12	53	17
----	---	----	----	--------------	----	----	----	----	----


↑  
the 2<sup>nd</sup> smallest

- $O(n)$  for finding the smallest.
- $O(n)$  for finding the 2<sup>nd</sup> smallest.



# Brute-force: $O(nk)$ time

55	<del>8</del>	29	68	<del>8</del>	41	32	12	53	17
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the 2<sup>nd</sup> smallest

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# Brute-force: $O(nk)$ time

55	<del>8</del>	29	68	<del>3</del>	41	32	12	53	17
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the 3<sup>rd</sup> smallest

- $O(n)$  for finding the smallest.
- $O(n)$  for finding the 2<sup>nd</sup> smallest.
- $O(n)$  for finding the 3<sup>rd</sup> smallest.

# Brute-force: $O(nk)$ time

55	<del>8</del>	29	68	<del>3</del>	41	32	<del>12</del>	53	17
----	--------------	----	----	--------------	----	----	---------------	----	----

the 3<sup>rd</sup> smallest

- $O(n)$  for finding the smallest.
- $O(n)$  for finding the 2<sup>nd</sup> smallest.
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# Brute-force: $O(nk)$ time

55	<del>8</del>	29	68	<del>3</del>	41	32	<del>12</del>	53	17
----	--------------	----	----	--------------	----	----	---------------	----	----

- $O(n)$  for finding the smallest.
- $O(n)$  for finding the 2<sup>nd</sup> smallest.
- $O(n)$  for finding the 3<sup>rd</sup> smallest.
- $\vdots$
- $O(n)$  for finding the  $k$ -th smallest.

$O(nk)$  time in total


# Sorting: $O(n \log n)$ time

55	8	29	68	3	41	32	12	53	17
----	---	----	----	---	----	----	----	----	----

- $O(n \log n)$  time for sorting the array.

# Sorting: $O(n \log n)$ time

3	8	12	17	29	32	41	53	55	68
0	1	2	3	4	5	6	7	8	9

  
the 3<sup>rd</sup> smallest

- $O(n \log n)$  time for sorting the array.
- After sorting, the  $k$ -th smallest element is at the  $(k - 1)$ -th position.
- $O(1)$  time for finding the  $k$ -th smallest element.

**Quickselect:  $O(n)$  time**

# Example 1: Find the 4<sup>th</sup> smallest

55	8	29	68	3	41	32	12	53	17
----	---	----	----	---	----	----	----	----	----

**Step 1:** Picking a pivot.

- Heuristic:

**pivot** = median(left, center, right)



# Example 1: Find the 4<sup>th</sup> smallest

55	8	29	68	3	41	32	12	53	17
left			center			right			

Pick it as pivot!

**Step 1:** Picking a pivot.

- Heuristic:

**pivot** = median(left, center, right)

# Example 1: Find the 4<sup>th</sup> smallest

12 <sub>0</sub>	8 <sub>1</sub>	29 <sub>2</sub>	32 <sub>3</sub>	3 <sub>4</sub>	17 <sub>5</sub>	41 <sub>6</sub>	55 <sub>7</sub>	53 <sub>8</sub>	68 <sub>9</sub>
-----------------	----------------	-----------------	-----------------	----------------	-----------------	-----------------	-----------------	-----------------	-----------------

Group 1:  $\{x \mid x \leq 41\}$ .

Group 2:  $\{x \mid x \geq 41\}$ .

**Step 2:** Partition.

# Example 1: Find the 4<sup>th</sup> smallest

12 <sub>0</sub>	8 <sub>1</sub>	29 <sub>2</sub>	32 <sub>3</sub>	3 <sub>4</sub>	17 <sub>5</sub>	41 <sub>6</sub>	55 <sub>7</sub>	53 <sub>8</sub>	68 <sub>9</sub>
-----------------	----------------	-----------------	-----------------	----------------	-----------------	-----------------	-----------------	-----------------	-----------------

The 4<sup>th</sup> smallest is on the left

↑  
pivot is the 7<sup>th</sup> smallest

**Step 2: Partition.**

# Example 1: Find the 4<sup>th</sup> smallest

12 <sub>0</sub>	8 <sub>1</sub>	29 <sub>2</sub>	32 <sub>3</sub>	3 <sub>4</sub>	17 <sub>5</sub>	41 <sub>6</sub>	55 <sub>7</sub>	53 <sub>8</sub>	68 <sub>9</sub>
-----------------	----------------	-----------------	-----------------	----------------	-----------------	-----------------	-----------------	-----------------	-----------------

The 4<sup>th</sup> smallest is on the left

## Step 3: Recursion.

- Search the 4<sup>th</sup> smallest in the left part.

## Example 2: Find the 9<sup>th</sup> smallest

12 <sub>0</sub>	8 <sub>1</sub>	29 <sub>2</sub>	32 <sub>3</sub>	3 <sub>4</sub>	17 <sub>5</sub>	41 <sub>6</sub>	55 <sub>7</sub>	53 <sub>8</sub>	68 <sub>9</sub>
-----------------	----------------	-----------------	-----------------	----------------	-----------------	-----------------	-----------------	-----------------	-----------------



pivot is the 7<sup>th</sup> smallest

**Step 3:** Recursion.

## Example 2: Find the 9<sup>th</sup> smallest

12 <sub>0</sub>	8 <sub>1</sub>	29 <sub>2</sub>	32 <sub>3</sub>	3 <sub>4</sub>	17 <sub>5</sub>	41 <sub>6</sub>	55 <sub>7</sub>	53 <sub>8</sub>	68 <sub>9</sub>
-----------------	----------------	-----------------	-----------------	----------------	-----------------	-----------------	-----------------	-----------------	-----------------

The 9<sup>th</sup> smallest is on the right

### Step 3: Recursion.

- Find the 9<sup>th</sup> smallest element.
- Pivot is the 7<sup>th</sup> smallest element.
- Search the  $9 - 7 = 2$  smallest in the right part.

# Example 3: Find the 7<sup>th</sup> smallest

12 <sub>0</sub>	8 <sub>1</sub>	29 <sub>2</sub>	32 <sub>3</sub>	3 <sub>4</sub>	17 <sub>5</sub>	41 <sub>6</sub>	55 <sub>7</sub>	53 <sub>8</sub>	68 <sub>9</sub>
-----------------	----------------	-----------------	-----------------	----------------	-----------------	-----------------	-----------------	-----------------	-----------------



pivot is the 7<sup>th</sup> smallest

# Example 3: Find the 7<sup>th</sup> smallest

12 <sub>0</sub>	8 <sub>1</sub>	29 <sub>2</sub>	32 <sub>3</sub>	3 <sub>4</sub>	17 <sub>5</sub>	41 <sub>6</sub>	55 <sub>7</sub>	53 <sub>8</sub>	68 <sub>9</sub>
-----------------	----------------	-----------------	-----------------	----------------	-----------------	-----------------	-----------------	-----------------	-----------------

Found!

**Step 3:** Return the value of pivot.



# Summary of Quickselect

**Inputs:**  $\text{arr}$  (array) and  $k$  (select the  $k$ -th smallest).

1. Pick a pivot.
2. Partition the array into two parts (left and right).
3. Suppose the pivot is at the  $i$ -th position
4. Let  $p = i + 1$ . (Pivot is the  $p$ -th smallest element.)
5. Recursion:
  - If  $k == p$   $\implies$  Return the value of pivot, i.e.,  $\text{arr}[i]$ .
  - If  $k < p$   $\implies$  Find the  $k$ -th smallest in the left part.
  - If  $k > p$   $\implies$  Find the  $(k - p)$ th smallest in the right part.

```
int select(int arr[], int left, int right, int k) {  
    if (left+10 > right) { // for short array  
        return naiveAlgorithm(arr, left, right, k);  
    }  
    else { // for long array  
        int j = selectpivot(arr, left, right); // pivot position  
        swap(arr, j, right-1); //put pivot in the end  
        int i = partition(arr, left, right);  
        swap(arr, i, right-1); // restore pivot  
        // now, pivot is at the i-th position  
        int p = i + 1; // pivot is the p-th smallest  
        if (k == p) return arr[i];  
        if (k < p) return select(arr, left, i - 1, k);  
        if (k > p) return select(arr, i + 1, right, k-p);  
    }  
}
```

```
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        // now, pivot is at the i-th position  
        int p = i + 1; // pivot is the p-th smallest  
        if (k == p) return arr[i];  
        if (k < p) return select(arr, left, i - 1, k);  
        if (k > p) return select(arr, i + 1, right, k-p);  
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        int p = i + 1; // pivot is the p-th smallest  
        if (k == p) return arr[i];  
        if (k < p) return select(arr, left, i - 1, k);  
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        swap(arr, j, right-1); //put pivot in the end  
        int i = partition(arr, left, right);  
        swap(arr, i, right-1); // restore pivot  
        // now, pivot is at the i-th position  
        int p = i + 1; // pivot is the p-th smallest  
        if (k == p) return arr[i];  
        if (k < p) return select(arr, left, i - 1, k);  
        if (k > p) return select(arr, i + 1, right, k-p);  
    }  
}
```

# Time Complexity

# Time Complexity (Simplified)

Assume pivot is the median.

- $T(n)$ : Time complexity for size- $n$  array.
- Sizes of the left and right parts are both  $\frac{n}{2}$ .
- Time complexity:

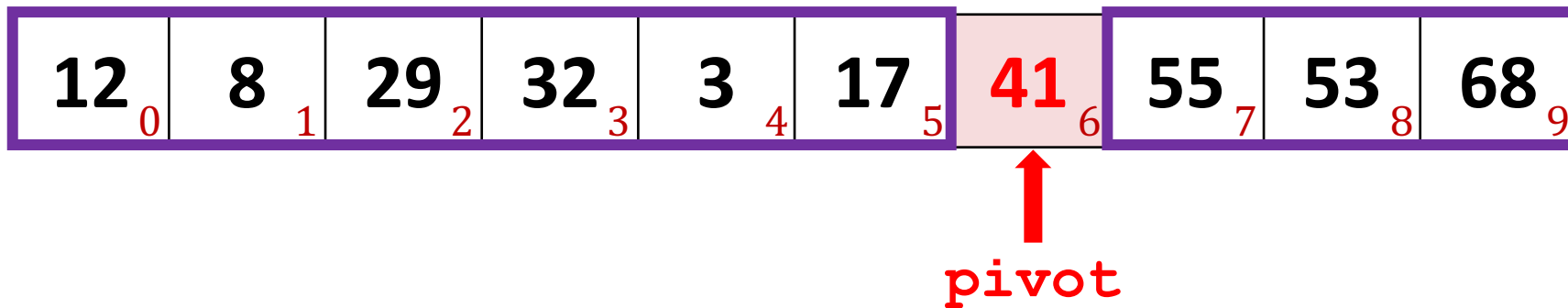
$$T(n) = T(n/2) + c n.$$

- $\rightarrow T(n) = O(n)$ .

# Time Complexity (Average Case)

Assume the data is randomly shuffled.

- The pivot's position can be any of  $\{0, 1, 2, \dots, n - 1\}$  (with equal probability).
- The expected time complexity is  $O(n)$ .





**Thank You!**

# **Proof of the simplified case**

# Time Complexity (Simplified)

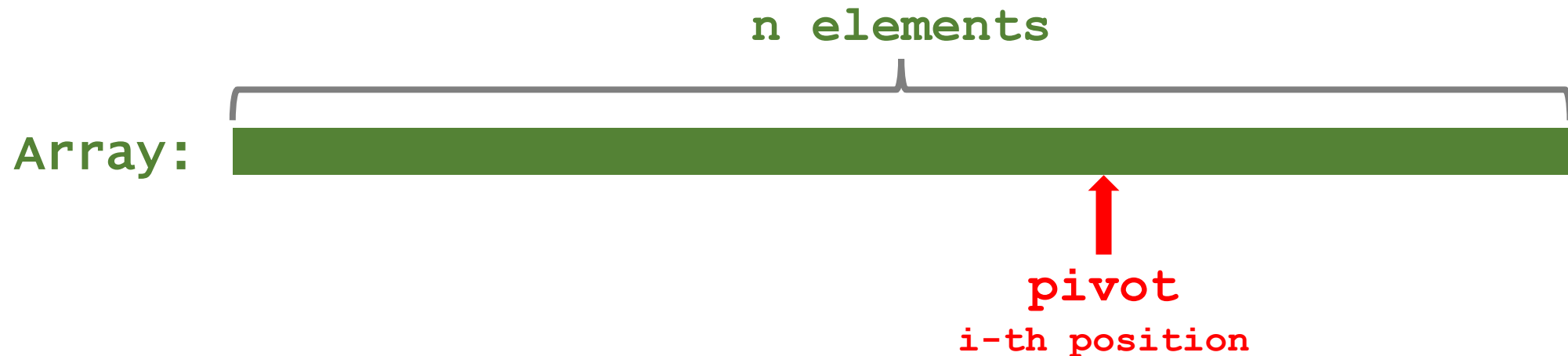
- Time complexity:  $T(n) = T\left(\frac{n}{2}\right) + cn$ .
- Thus, 
$$\begin{aligned} T(n) &= T\left(\frac{n}{2}\right) + cn \\ &= T\left(\frac{n}{4}\right) + \frac{cn}{2} + cn \\ &= T\left(\frac{n}{8}\right) + \frac{cn}{4} + \frac{cn}{2} + cn \\ &= \dots \\ &= c \cdot \left(1 + 2 + 4 + 8 + \dots + \frac{n}{4} + \frac{n}{2} + n\right) \\ &= c(2n - 1). \end{aligned}$$

# **Proof of the average-case time complexity**

# Time Complexity (Average Case)

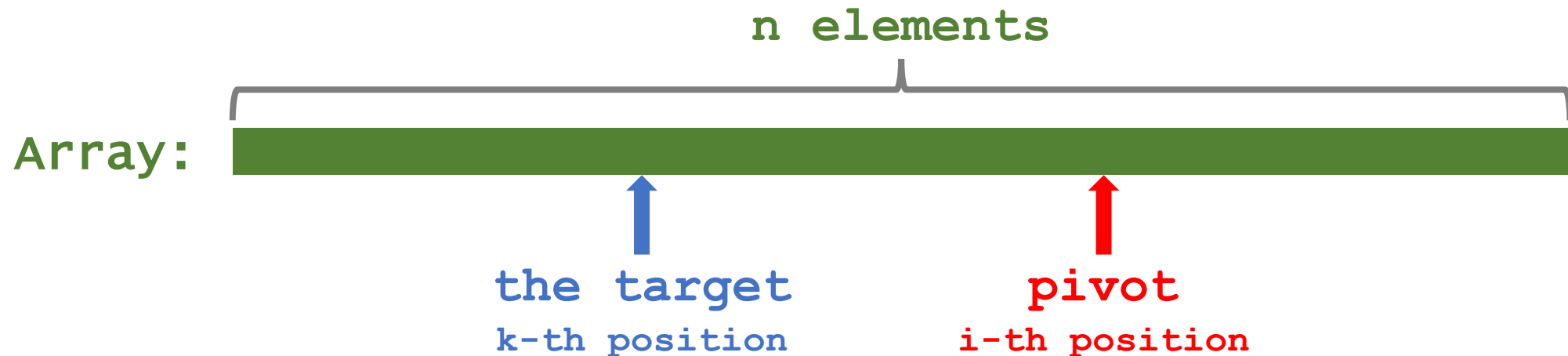
Assume the data is randomly shuffled.

- Let  $p_i$  be the probability that the pivot is at the  $i$ -th position.
- Assume  $p_0 = p_1 = p_2 = \dots = p_{n-1} = \frac{1}{n}$ .



# Time Complexity (Average Case)

- The pivot is in the left of the target:  $\mathbb{P}[i < k] = \frac{k}{n}$ .
- The pivot is in the right of the target:  $\mathbb{P}[i > k] = \frac{n-k}{n}$ .



# Time Complexity (Average Case)

$$\bullet T(n) = \frac{n-k}{n} \cdot T(i) + \frac{k}{n} \cdot T(n-i) + cn.$$

The pivot happens to be in the right.

i elements

Array:



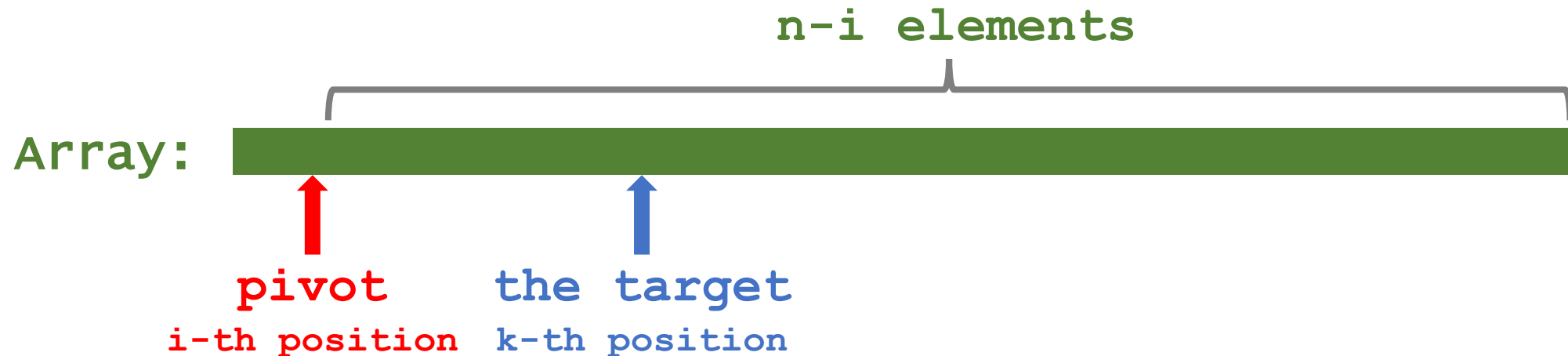
the target  
k-th position

pivot  
i-th position

# Time Complexity (Average Case)

$$\bullet T(n) = \frac{n-k}{n} \cdot T(i) + \frac{k}{n} \cdot T(n-i) + cn.$$

The pivot happens to be in the left.





# Time Complexity (Average Case)

$$\bullet T(n) = \frac{n-k}{n} \cdot T(i) + \frac{k}{n} \cdot T(n-i) + cn.$$

Time of partition

# Time Complexity (Average Case)

- $T(n) = \frac{n-k}{n} \cdot T(i) + \frac{k}{n} \cdot T(n-i) + cn.$
- $$\begin{aligned}\mathbb{E}[T(n)] &= cn + \sum_{i=0}^{n-1} p_i \cdot \left[ \frac{n-k}{n} \cdot T(i) + \frac{k}{n} \cdot T(n-i) \right] \\ &= cn + \sum_{i=0}^{n-1} \frac{1}{n} \cdot \left[ \frac{n-k}{n} \cdot T(i) + \frac{k}{n} \cdot T(n-i) \right] \\ &= cn + \frac{n-k}{n} \cdot \sum_{i=0}^{n-1} \frac{1}{n} \cdot T(i) + \frac{k}{n} \cdot \sum_{i=0}^{n-1} \frac{1}{n} \cdot T(n-i) \\ &= cn + \frac{1}{n} \cdot \sum_{i=0}^{n-1} T(i).\end{aligned}$$

# Time Complexity (Average Case)

- $T(n) = \frac{n-k}{n} \cdot T(i) + \frac{k}{n} \cdot T(n-i) + cn.$
- $$\begin{aligned}\mathbb{E}[T(n)] &= cn + \sum_{i=0}^{n-1} p_i \cdot \left[ \frac{n-k}{n} \cdot T(i) + \frac{k}{n} \cdot T(n-i) \right] \\ &= cn + \sum_{i=0}^{n-1} \frac{1}{n} \cdot \left[ \frac{n-k}{n} \cdot T(i) + \frac{k}{n} \cdot T(n-i) \right] \\ &= cn + \frac{n-k}{n} \cdot \sum_{i=0}^{n-1} \frac{1}{n} \cdot T(i) + \frac{k}{n} \cdot \sum_{i=0}^{n-1} \frac{1}{n} \cdot T(n-i) \\ &= cn + \frac{1}{n} \cdot \sum_{i=0}^{n-1} T(i).\end{aligned}$$

If  $T(n) = 2cn$ , then the two sides are equal.