# Kruskal's Algorithm

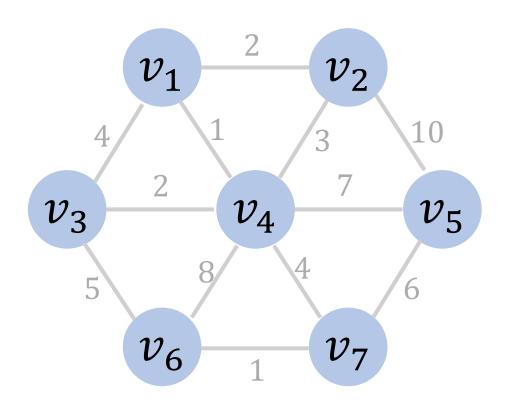
**Shusen Wang** 

# Kruskal's Algorithm

Basic idea: Maintain a forest, i.e., a collection of trees.

- Initially, there are n trees; every vertex is a tree.
- Each iteration studies one edge; the edge may be chosen so that two trees are merged.
- Stop when there is only one tree.
- The algorithm runs in at most  $|\mathcal{E}|$  iterations. (Because there are  $|\mathcal{E}|$  edges.)

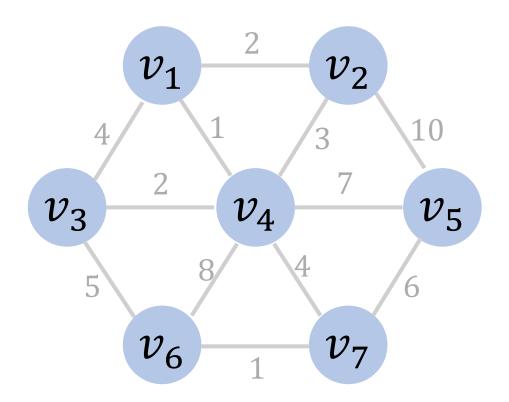
# **Preparations**



- Build a queue of (edge, weight) pairs.
- Sort the elements so that the weights are in the ascending order.

Edge	Weight
(1, 4)	1
(6, 7)	1
(1, 2)	2
(3,4)	2
(2,4)	3
(1, 3)	4
(4, 7)	4
(3,6)	5
(5,7)	6
(4, 5)	7
(4, 6)	8
(2,5)	10

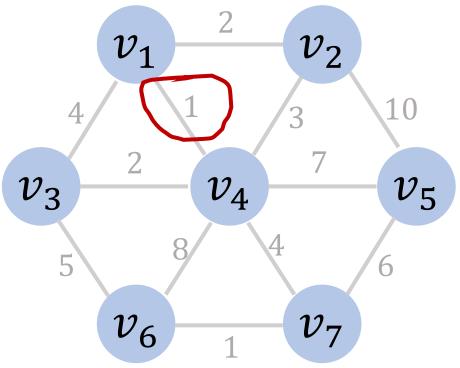
# **Preparations**



- Build a queue of (edge, weight) pairs.
- Sort the elements so that the weights are in the ascending order.

$\mathcal{T} = \emptyset$	. (Record	I the se	lected	edges.)
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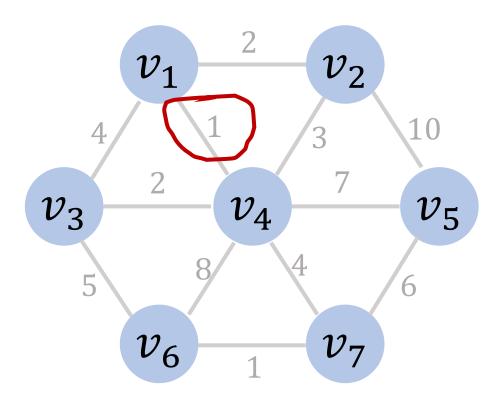
Weight
1
1
2
2
3
4
4
5
6
7
8
10



• Perform dequeue

	and get the edge	(1, 2)	2	
4 / 1 / 3 / 10	(1, 4).	(3, 4)	2	
$v_3$ $v_4$ $v_5$		(2,4)	3	
9/4		(1, 3)	4	
5 6		(4, 7)	4	
$v_6$ $v_7$		(3,6)	5	
		(5, 7)	6	
$\mathcal{T} = \emptyset$		(4,5)	7	
		(4, 6)	8	
		(2,5)	10	

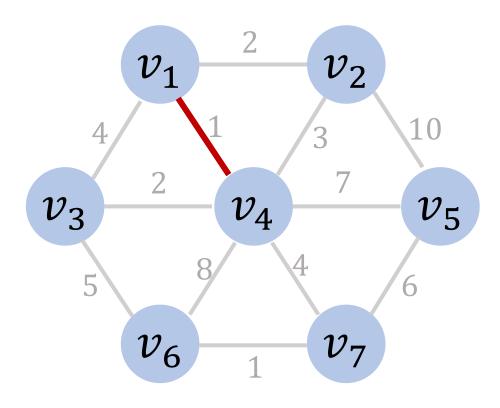
Edge	Weight
(1, 4)	1
(6,7)	1
(1, 2)	2
(3,4)	2
(2,4)	3
(1, 3)	4
(4, 7)	4
(3,6)	5
(5, 7)	6
(4,5)	7
(4, 6)	8
(2,5)	10



- Perform dequeue and get the edge (1, 4).
- $v_1$  and  $v_4$  are not in the same tree.
- Thus accept edge (1, 4).

Edge	Weight
(6, 7)	1
(1, 2)	2
(3,4)	2
(2,4)	3
(1, 3)	4
(4, 7)	4
(3, 6)	5
(5,7)	6
(4,5)	7
(4, 6)	8
(2,5)	10

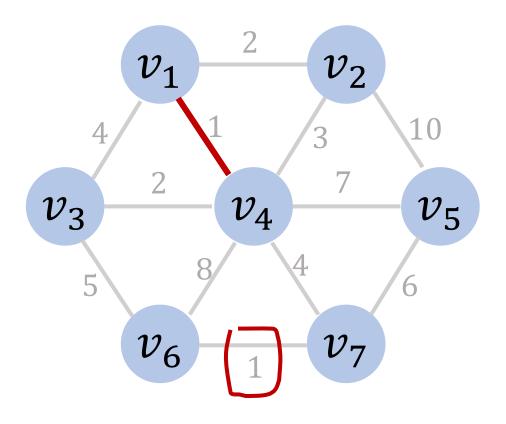
	r	 d
J		Y.



$$\mathcal{T} = \left\{ e_{1,4} \right\}$$

- Perform dequeue and get the edge (1, 4).
- $v_1$  and  $v_4$  are not in the same tree.
- Thus accept edge (1, 4).
- Append (1,4) to  $\mathcal{T}$ .

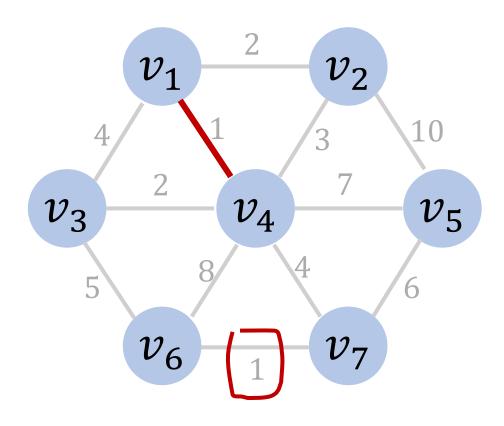
Edge	Weight
(6,7)	1
(1, 2)	2
(3,4)	2
(2,4)	3
(1,3)	4
(4,7)	4
(3, 6)	5
(5, 7)	6
(4,5)	7
(4, 6)	8
(2,5)	10
(2,5)	10



 $\mathcal{T} = \{e_{1,4}\}$ 

Perform dequeue and get the edge (6, 7).

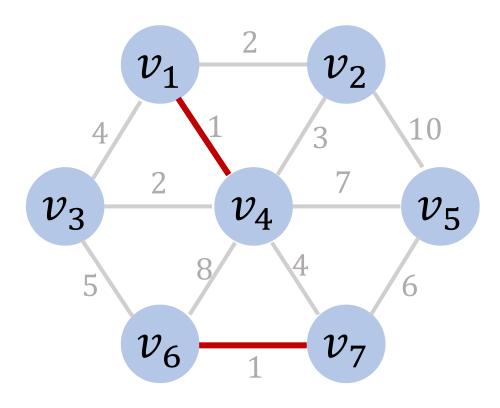
Edge	Weight
(6, 7)	1
(1, 2)	2
(3,4)	2
(2,4)	3
(1,3)	4
(4, 7)	4
(3, 6)	5
(5,7)	6
(4,5)	7
(4, 6)	8
(2,5)	10



- Perform dequeue and get the edge (6, 7).
- $v_6$  and  $v_7$  are not in the same tree.
- Thus accept edge (6, 7).

Edge	Weight
(1, 2)	2
(3,4)	2
(2,4)	3
(1,3)	4
(4,7)	4
(3, 6)	5
(5,7)	6
(4, 5)	7
(4, 6)	8
(2,5)	10

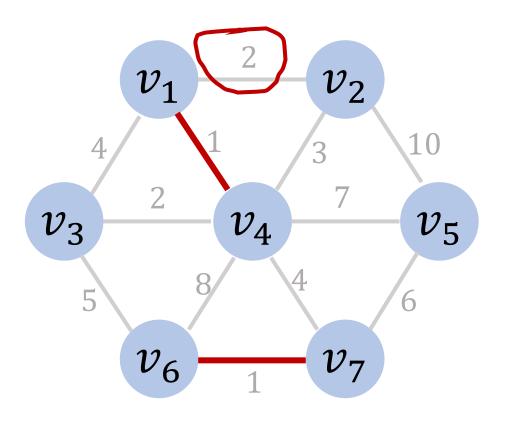
$$\mathcal{T} = \{e_{1,4}\}$$



$$\mathcal{T} = \{e_{1,4}, e_{6,7}\}$$

- Perform dequeue and get the edge (6, 7).
- $v_6$  and  $v_7$  are not in the same tree.
- Thus accept edge (6, 7).
- Append (6,7) to  $\mathcal{T}$ .

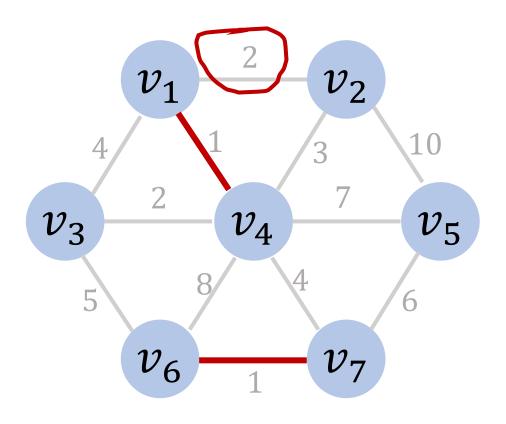
Edge	Weight
(1, 2)	2
(3,4)	2
(2,4)	3
(1,3)	4
(4, 7)	4
(3,6)	5
(5,7)	6
(4,5)	7
(4, 6)	8
(2,5)	10
<ul><li>(3,6)</li><li>(5,7)</li><li>(4,5)</li><li>(4,6)</li></ul>	5 6 7 8



Perform dequeue and get the edge (1, 2).

Edge	Weight
(1, 2)	2
(3,4)	2
(2,4)	3
(1,3)	4
(4,7)	4
(3, 6)	5
(5,7)	6
(4,5)	7
(4, 6)	8
(2,5)	10

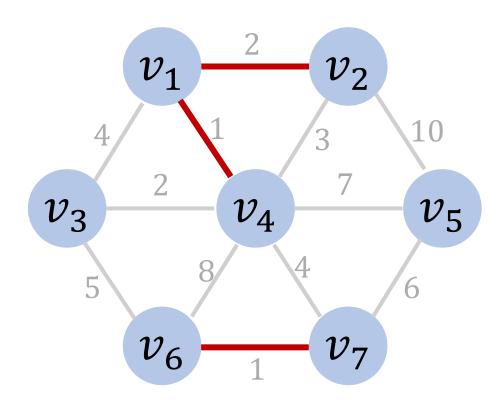
$$\mathcal{T} = \{e_{1,4}, e_{6,7}\}$$



- Perform dequeue and get the edge (1, 2).
- $v_1$  and  $v_2$  are not in the same tree.
- Thus accept edge (1, 2).

Edge	Weight
(3,4)	2
(2,4)	3
(1,3)	4
(4,7)	4
(3, 6)	5
(5,7)	6
(4,5)	7
(4, 6)	8
(2,5)	10

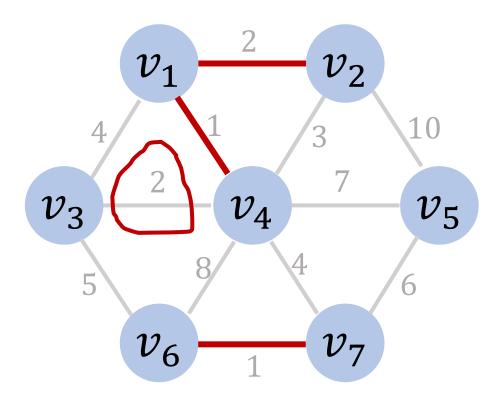
$$\mathcal{T} = \{e_{1,4}, e_{6,7}\}$$



$$\mathcal{T} = \{e_{1,4}, e_{6,7}, e_{1,2}\}$$

- Perform dequeue and get the edge (1, 2).
- $v_1$  and  $v_2$  are not in the same tree.
- Thus accept edge (1, 2).
- Append (1, 2) to  $\mathcal{T}$ .

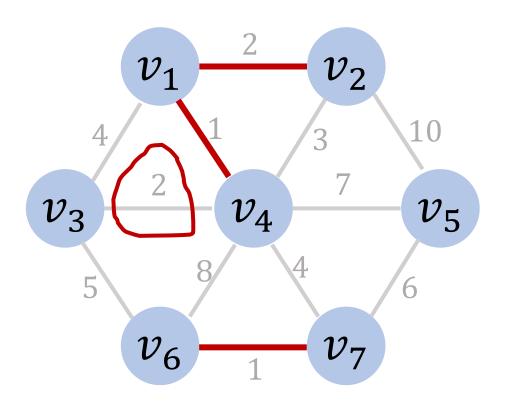
Edge	Weight
(3,4)	2
(2,4)	3
(1,3)	4
(4,7)	4
(3,6)	5
(5,7)	6
(4,5)	7
(4, 6)	8
(2,5)	10



Perform dequeue and get the edge (3, 4).

Edge	Weight
(3, 4)	2
(2,4)	3
(1, 3)	4
(4,7)	4
(3, 6)	5
(5,7)	6
(4,5)	7
(4, 6)	8
(2,5)	10

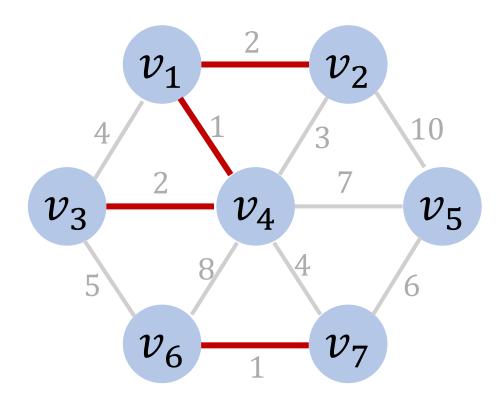
$$\mathcal{T} = \{e_{1,4}, e_{6,7}, e_{1,2}\}$$



- Perform dequeue and get the edge (3, 4).
- $v_3$  and  $v_4$  are not in the same tree.
- Thus accept edge(3, 4).

Edge	Weight
(2,4)	3
(1,3)	4
(4,7)	4
(3, 6)	5
(5, 7)	6
(4,5)	7
(4, 6)	8
(2,5)	10

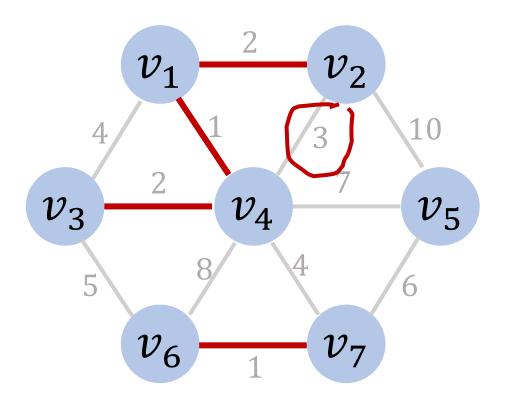
$$\mathcal{T} = \{e_{1,4}, e_{6,7}, e_{1,2}\}$$



$$\mathcal{T} = \{e_{1,4}, e_{6,7}, e_{1,2}, e_{3,4}\}$$

- Perform dequeue and get the edge (3, 4).
- $v_3$  and  $v_4$  are not in the same tree.
- Thus accept edge (3, 4).
- Append (3,4) to  $\mathcal{T}$ .

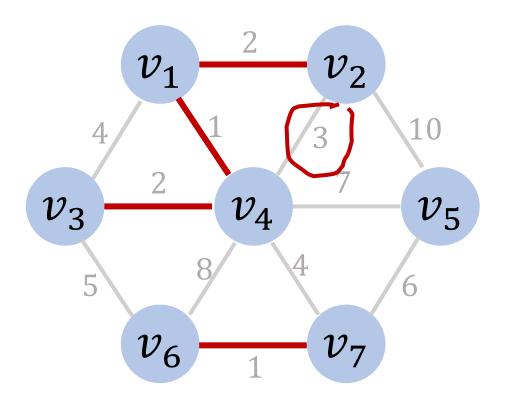
Edge	Weight
(2,4)	3
(1,3)	4
(4, 7)	4
(3, 6)	5
(5, 7)	6
(4, 5)	7
(4, 6)	8
(2,5)	10



Perform dequeue and get the edge (2, 4).

Edge	Weight
(2, 4)	3
(1,3)	4
(4, 7)	4
(3,6)	5
(5, 7)	6
(4,5)	7
(4, 6)	8
(2,5)	10

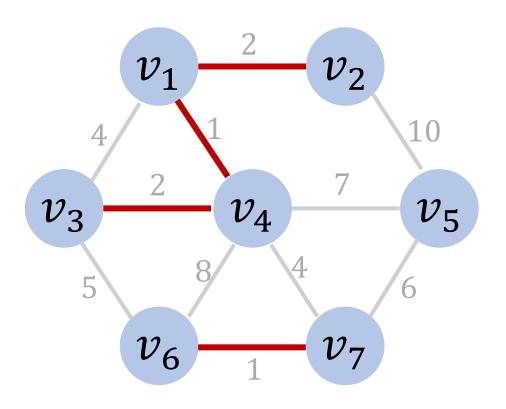
$$\mathcal{T} = \{e_{1,4}, e_{6,7}, e_{1,2}, e_{3,4}\}$$



- Perform dequeue and get the edge (2, 4).
- $v_2$  and  $v_4$  are in the same tree.

Edge	Weight
(1, 3)	4
(4, 7)	4
(3, 6)	5
(5, 7)	6
(4,5)	7
(4, 6)	8
(2,5)	10

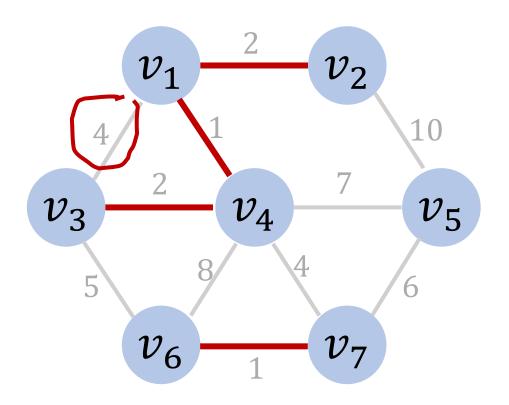
$$\mathcal{T} = \{e_{1,4}, e_{6,7}, e_{1,2}, e_{3,4}\}$$



- Perform dequeue and get the edge (2, 4).
- $v_2$  and  $v_4$  are in the same tree.
- Thus reject edge (2, 4).

Edge	Weight
(1, 3)	4
(4,7)	4
(3,6)	5
(5,7)	6
(4,5)	7
(4, 6)	8
(2,5)	10

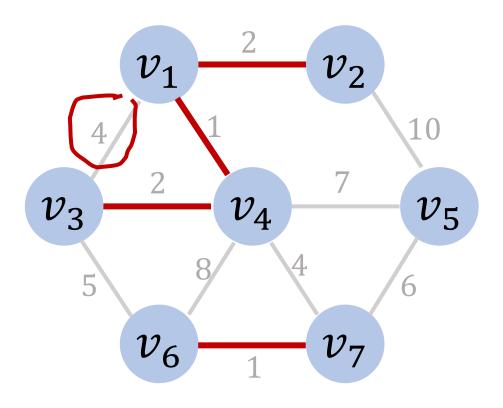
$\mathcal{T} = \{$	$\{e_{1,4},e_{6,7},e_{1,2},e_{3,4}\}$
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Perform dequeue and get the edge (1, 3).

Edge	Weight
(1, 3)	4
(4,7)	4
(3,6)	5
(5, 7)	6
(4, 5)	7
(4, 6)	8
(2,5)	10

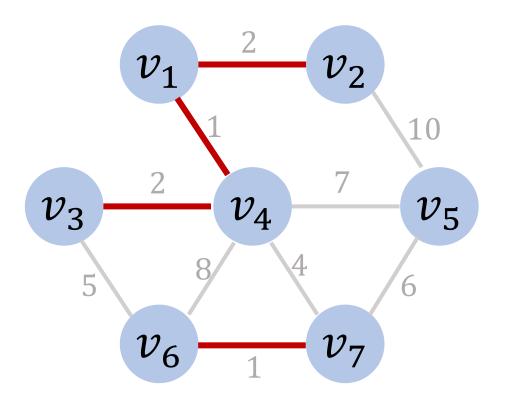
$$\mathcal{T} = \{e_{1,4}, e_{6,7}, e_{1,2}, e_{3,4}\}$$



- Perform dequeue and get the edge (1, 3).
- $v_1$  and  $v_3$  are in the same tree.

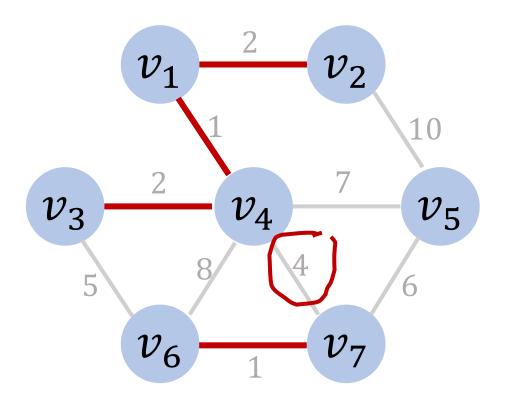
Edge	Weight
(4, 7)	4
(3, 6)	5
(5, 7)	6
(4,5)	7
(4, 6)	8
(2,5)	10

$\mathcal{T} = \{$	$\{e_{1,4},e_{6,7}$	$e_{1,2}$	$e_{3,4}$
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- Perform dequeue and get the edge (1, 3).
- $v_1$  and  $v_3$  are in the same tree.
- Thus reject edge (1, 3).

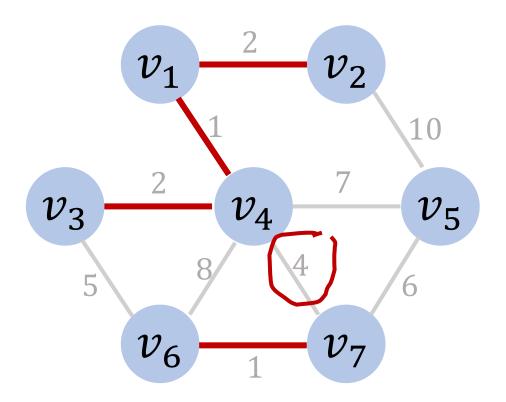
Edge	Weight
(4,7)	4
(3,6)	5
(5,7)	6
(4,5)	7
(4, 6)	8
(2,5)	10



• Perform dequeue ueue and get the edge (4, 7).

Edge	Weight
(4, 7)	4
(3,6)	5
(5,7)	6
(4,5)	7
(4, 6)	8
(2,5)	10

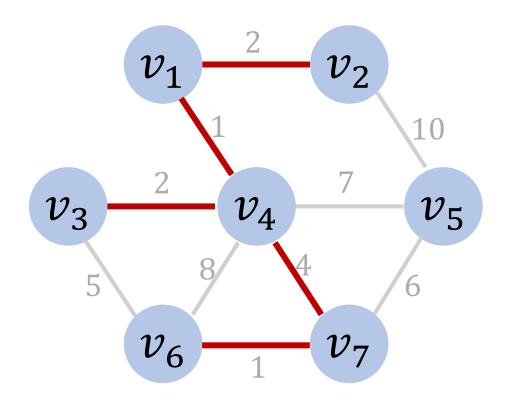
$$\mathcal{T} = \{e_{1,4}, e_{6,7}, e_{1,2}, e_{3,4}\}$$



- Perform dequeue and get the edge (4, 7).
- $v_4$  and  $v_7$  are not in the same tree.
- Thus accept edge (4, 7).

Edge	Weight
(3,6)	5
(5,7)	6
(4,5)	7
(4,6)	8
(2,5)	10

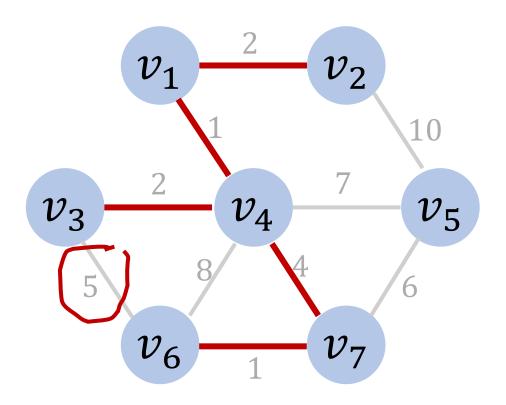
$\mathcal{T} = \{$	$\{e_{1,4},e_{6,7},e_{1,2},e_{3,4}\}$
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$$\mathcal{T} = \{e_{1,4}, e_{6,7}, e_{1,2}, e_{3,4}, e_{4,7}\}$$

- Perform dequeue and get the edge (4, 7).
- $v_4$  and  $v_7$  are not in the same tree.
- Thus accept edge
  (4, 7).
- Append (4,7) to  $\mathcal{T}$ .

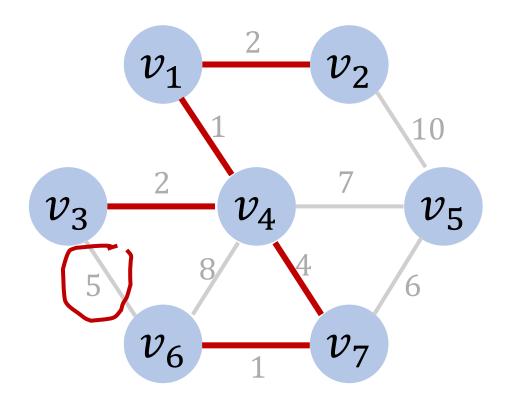
Edge	Weight
(3,6)	5
(5,7)	6
(4,5)	7
(4, 6)	8
(2,5)	10



• Perform dequeue and get the edge (3, 6).

Edge	Weight
(3,6)	5
(5, 7)	6
(4, 5)	7
(4, 6)	8
(2,5)	10

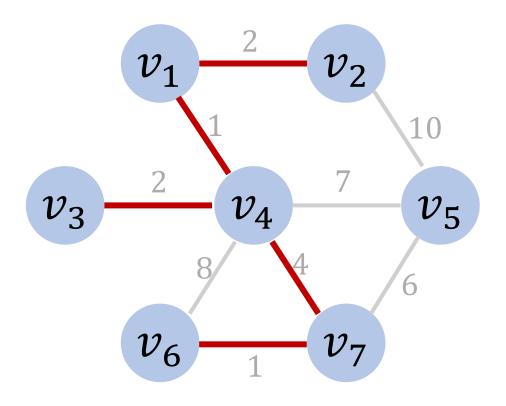
$$\mathcal{T} = \{e_{1,4}, e_{6,7}, e_{1,2}, e_{3,4}, e_{4,7}\}$$



- Perform dequeue and get the edge (3,6).
- $v_3$  and  $v_6$  are not in the same tree.

Edge	Weight
(5,7)	6
(4,5)	7
(4,6)	8
(2,5)	10

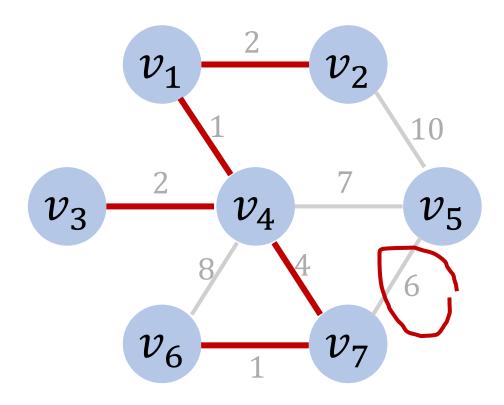
$\mathcal{T} = \{e_{1,4}, e_{6,7}, e_{1,2}, e_{3,4}, e_{4,7}\}$
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- Perform dequeue and get the edge (3,6).
- $v_3$  and  $v_6$  are not in the same tree.
- Thus reject edge (3, 6).

Edge	Weight
(5, 7)	6
(4,5)	7
(4, 6)	8
(2,5)	10

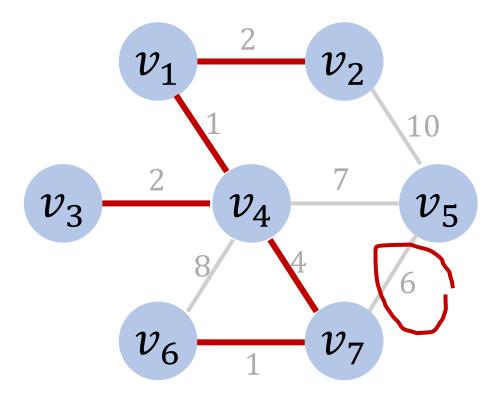
$\mathcal{T} = \{e_{1,4}, e_{6,7}, e_{1,2}, e_{3,4}, e_{4,7}\}$
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Perform dequeue and get the edge (5, 7).

Edge	Weight
(5, 7)	6
(4,5)	7
(4,6)	8
(2,5)	10

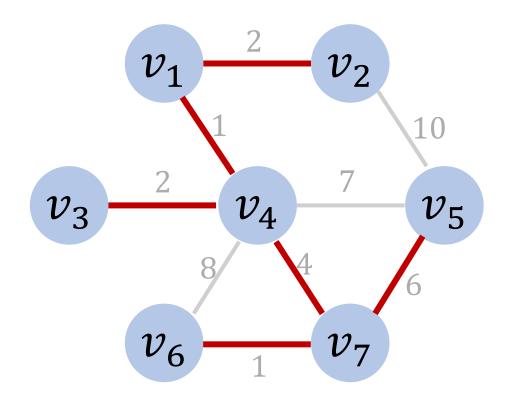
$\mathcal{T} = \frac{1}{2}$	$\{e_{1,4},e_{6,7},e_{1,2},e_{3,4},e_{4,7}\}$
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- Perform dequeue and get the edge (5, 7).
- $v_5$  and  $v_7$  are not in the same tree.
- Thus accept edge (5, 7).

Edge	Weight
(4,5)	7
(4, 6)	8
(2,5)	10

$\mathcal{T} = \{e_{1,4}, e_{6,7}, e_{1,2}, e_{3,4}, e_{4,7}\}$
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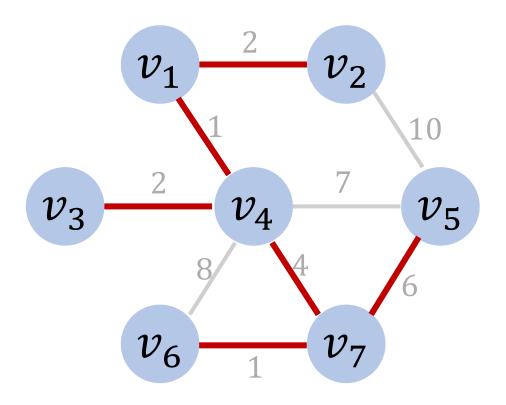


$$\mathcal{T} = \{e_{1,4}, e_{6,7}, e_{1,2}, e_{3,4}, e_{4,7}, e_{5,7}\}$$

- Perform dequeue and get the edge (5, 7).
- $v_5$  and  $v_7$  are not in the same tree.
- Thus accept edge (5, 7).
- Append (5,7) to  $\mathcal{T}$ .

Edge	Weight
(4,5)	7
(4, 6)	8
(2,5)	10

# **End of Procedure**



- All the vertices are connected.
- Return the edges  $\mathcal{T}$ .

Edge	Weight
(4,5)	7
(4,6)	8
(2,5)	10

$\mathcal{T} = \{e_{1,4}, e_{6,7}, e_{1,2}, e_{3,4}, e_{4,7}, e_{5,7}\}$
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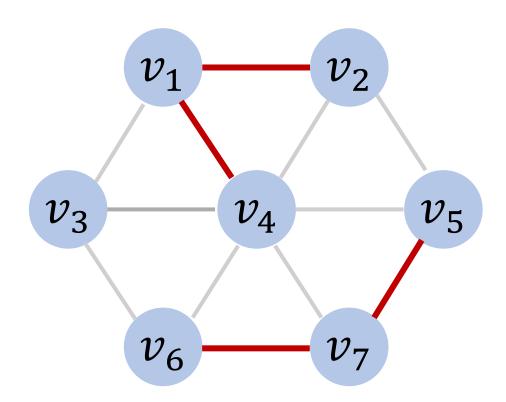
# Kruskal's Algorithm

- 1. Put all the edges of the input graph in a queue.
- 2. Sort the queue so that the weights are in the ascending order.
- 3. Let set  $\mathcal{T}$  (which stores selected edges) be the empty set.

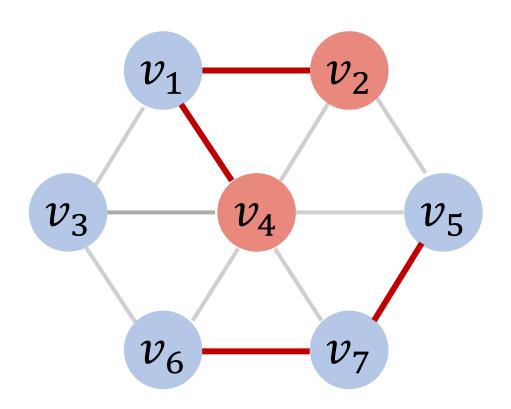
# Kruskal's Algorithm

- 1. Put all the edges of the input graph in a queue.
- 2. Sort the queue so that the weights are in the ascending order.
- 3. Let set  $\mathcal{T}$  (which stores selected edges) be the empty set.
- 4. While  $|T| \le n 1$ :
  - a. Get an edge:  $e_{uv} \leftarrow \text{dequeue}()$ .
  - b. If u and v are in different trees, then add  $e_{uv}$  to  $\mathcal T$  and merge the two trees.
- 5. Return  $\mathcal{T}$ .

### How to maintain the forest?

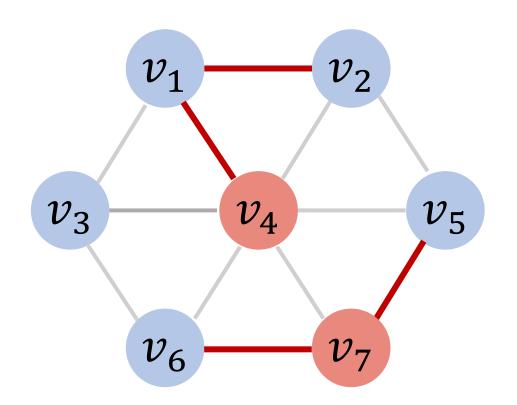


Question 1: How to decide whether two vertices are in the same tree?



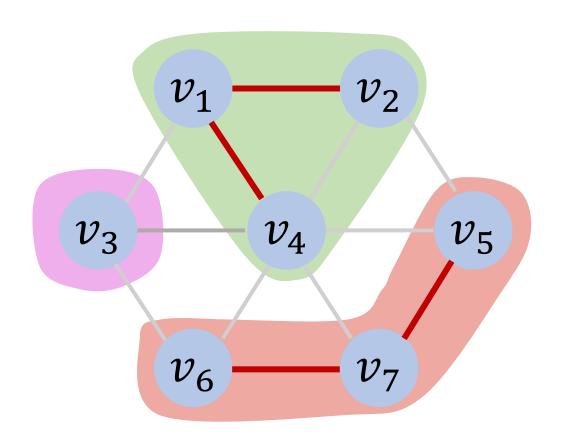
**Question 1:** How to decide whether two vertices are in the same tree?

• Are  $v_2$  and  $v_4$  in the same tree?



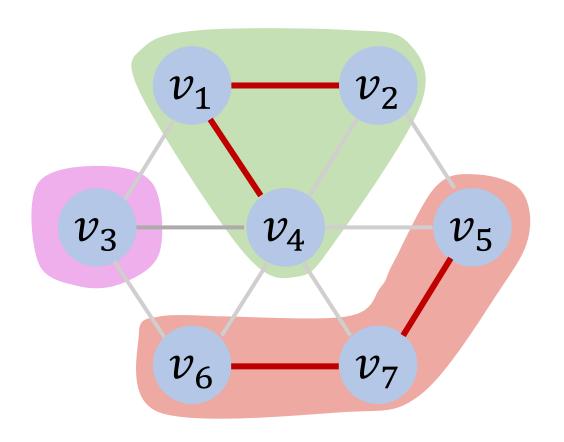
**Question 1:** How to decide whether two vertices are in the same tree?

• Are  $v_4$  and  $v_7$  in the same tree?



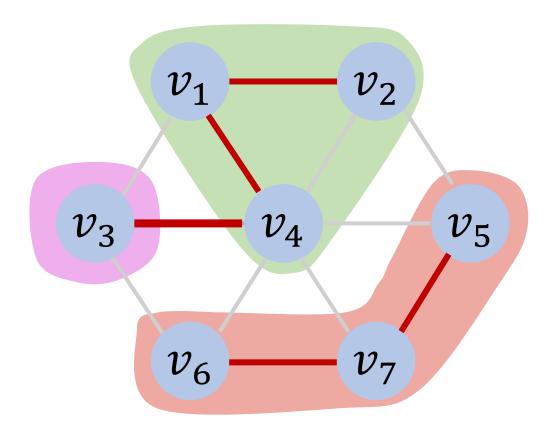
**Question 1:** How to decide whether two vertices are in the same tree?

- Using disjoint sets data structure.
- Put vertices of a tree in the same set.

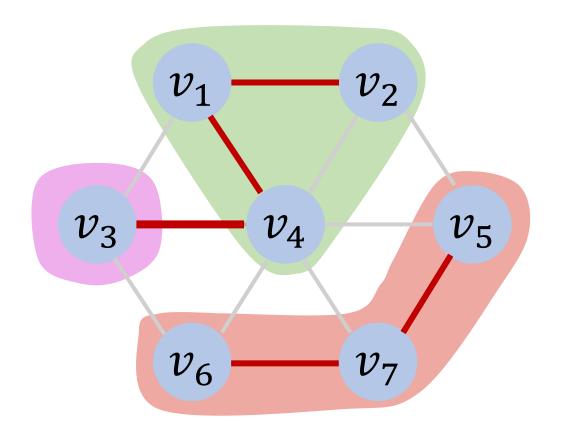


**Question 1:** How to decide whether two vertices are in the same tree?

- Using disjoint sets data structure.
- Put vertices of a tree in the same set.
- Deciding whether two vertices belong to the same set costs near O(1) time.

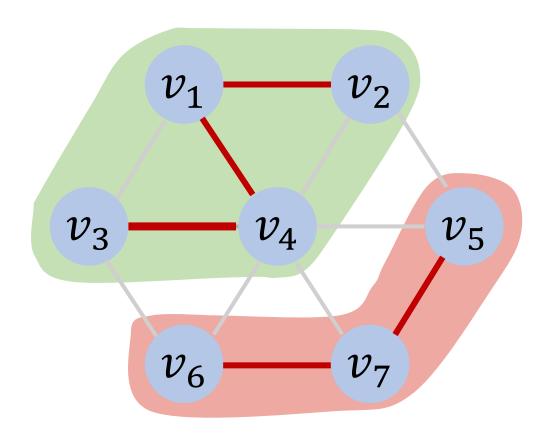


**Question 2:** How to merge two trees?



Question 2: How to merge two trees?

• Union the two sets.



**Question 2:** How to merge two trees?

- Union the two sets.
- Union costs near O(1) time.

# **Time Complexity**

Overall time complexity is  $O(m \cdot \log m)$ . (m = #edges.)

- Sorting the edges:  $O(m \cdot \log m)$  time complexity.
- At most *m* iterations.
- Per-iteration time complexity is near O(1).
  - Deciding whether two vertices belong to the same tree: near O(1) time.
  - Merge two trees: near O(1) time.

# Thank You!