

Recursion, Divide and Conquer

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Functions

- Functions in Math:
 - o $f(x) = \sin(x), f(x) = x^2 + 2x + 1$
 - Substitute x (Input) into the f() (Process), return the calculated value (Output)
- Functions in OI
 - Similar to maths
 - As a subroutine that process the input/parameters and return the outputs

Function in OI

• There can be multiple input for a function

```
int f(int x) {
  int y = x * x + x + 2;
  return y;
}

int main() {
  cout << f(7) << endl;
}</pre>
// Define a function with parameter(s)
// Return the output

// Call the function with parameter x = 7

}
```

```
Output:
58
```

Function in OI

• There can be multiple input for a function

```
double dist(double x1, double y1, double x2, double y2) {
   return sqrt((x1 - x2) * (x1 - x2) + (y1 - y2) * (y1 - y2));
}
int main() {
   cout << dist(0.0, 0.0, 1.1, 1.1) << endl;
}</pre>
```

```
Output:
1.55563
```

Procedure

- Similar to functions
- It can take inputs and process like functions
- But with no return values



Procedure

```
cout << "HKOI" << endl;</pre>
int main() {
 hello(6);
```

```
Output:
HKOI
HKOI
HKOI
HKOI
HKOI
HKOI
```

- A function or procedure that call itself
- But we have to beware of infinite loop
- Analyze base case and recurring case

Example - GCD

- Recursion!
- Base case and recurring case included by the formula.

```
Output:
12
```

Example - GCD

- It is very common in OI to compute GCD of two numbers
- Most common way of computing GCD quickly is by Euclidean algorithm

$$\gcd(a,b) = \begin{cases} a, & \text{if } b = 0 \\ \gcd(b, a \bmod b), & \text{otherwise.} \end{cases}$$

```
cout << gcd(84, 18) << endl;</pre>
```

```
int main() {
   cout << gcd(84, 18) << endl;</pre>
           int gcd(a = 84, b = 18) {
              if (18 == 0) return a; // false
              return gcd(18, 84 % 18 = 12);
```

```
int main() {
    cout << gcd(84, 18) << endl;</pre>
          int gcd(a = 84, b = 18) {
             if (18 == 0) return a; // false
              return gcd(18, 84 % 18 = 12);
                      int gcd(a = 18, b = 12) {
                         if (12 == 0) return a; // false
                         return gcd(12, 18 % 12 = 6);
```

```
int main() {
   cout << gcd(84, 18) << endl;</pre>
                                                  int gcd(a = 12, b = 6) {
                                                     return gcd(6, 12 % 6 = 0);
         int gcd(a = 84, b = 18) {
            if (18 == 0) return a; // false
            return gcd(18, 84 % 18 = 12);
                   int gcd(a = 18, b = 12) {
                      if (12 == 0) return a; // false
                      return gcd(12, 18 % 12 = 6);
```

```
int main() {
   cout << gcd(84, 18) << endl;</pre>
                                                   int gcd(a = 12, b = 6) {
                                                     return gcd(6, 12 % 6 = 0);
         int gcd(a = 84, b = 18) {
            if (18 == 0) return a; // false
            return gcd(18, 84 % 18 = 12);
                                                            int gcd(a = 6, b = 0) {
                   int gcd(a = 18, b = 12) {
                      if (12 == 9 return a; // false
                      return gcd(12, 18 % 12 = 6);
```

```
int main() {
   cout << gcd(84, 18) << endl;</pre>
                                                  int gcd(a = 12, b = 6) {
                                                    int gcd(a = 84, b = 18) {
            if (18 == 0) return a; // false
            return gcd(18, 84 % 18 = 12);
                   int gcd(a = 18, b = 12) {
                      if (12 == 9/ return a; // false
                      return gcd(12, 18 % 12 = 6);
```

```
int main() {
    cout << gcd(84, 18) << endl;</pre>
                                                           // \checkmarkif (6 == 0) return a; // false
           int gcd(a = 84, b = 18) {
              if (18 == 0) return a; // false
              return gcd(18, 84 % 18 = 12);
                      int gcd(a = 18, b = 12) {
                         if (12 == 0) return a; // false
```

```
int main() {
    cout << gcd(84, 18) << endl;</pre>
                                                        // fif (6 == 0) return a; // false
          int gcd(a = 84, b = 18) {
             if (18 == 0) return a; // false
                     // int gcd(a = 18, b = 12) {
                     // if (12 == /) return a; // false
```

```
int main() {
   cout << 6 << endl;
                                                       // fif (6 == 0) return a; // false
           // return 6 A
                     // int gcd(a = 18, b = 12) {
                     // if (12 == 1) return a; // false
```

- By using recursion, we can simplify our code
- Recursion can help us solve problem with following properties:
 - The problem can be divided / reduced into same problem with smaller parameter
 - We need informations of the sub-problems to solve the current one

- The problem can be divided / reduced into same problem with smaller parameter
- We need informations of the sub-problems to solve the current one

```
Output:
12
```

- Find the n-th Fibonacci number
 - Fibonacci number: a sequence in which each number is the sum of the two preceding ones.
 - First few Fibonacci number: 0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144

- Find the n-th Fibonacci number
 - Fibonacci number: a sequence in which each number is the sum of the two preceding ones.
 - o First few Fibonacci number: 0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144
- Warning: **DO NOT** use recursion to calculate the n-th Fibonacci number using recursion without memoization as it is very slow (this is just a demonstration :D).
- Exponential time complexity

- Fibonacci number: a sequence in which each number is the sum of the two preceding ones.
- Formalizing the idea

$$\circ$$
 $F_n = F_{n-1} + F_{n-2}$

Base case

$$\circ$$
 $F_0 = 0, F_1 = 1$

- Fibonacci number: a sequence in which each number is the sum of the two preceding ones.
- Formalizing the idea
 - \circ $F_n = F_{n-1} + F_{n-2}$ (can be solved recursively!)
- Base case
 - \circ $F_0 = 0, F_1 = 1$

- Find the n-th Fibonacci number
- Base case: $F_0 = 0$, $F_1 = 1$
- Recurrence relation: $F_n = F_{n-1} + F_{n-2}$

```
int fib(int n) {
   if (n == 0 \mid \mid n == 1) return n; // Base case
  return fib(n - 1) + fib(n - 2); // Recurrence relation
int main() {
   cout << fib(6) << endl;</pre>
```

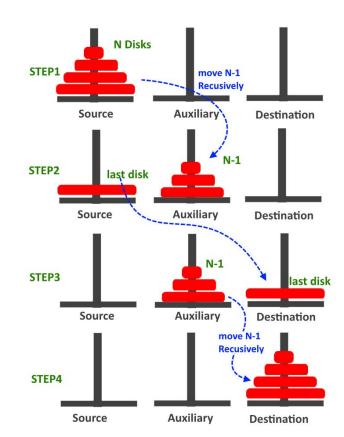
```
Output:
8
```

- There are 3 pegs, numbering 0, 1, 2 from left to right
- There are initially N disks of different size on the 0th peg.
- The disks increase in size from top to bottom
- The goal is to move entire tower of disks from 0th peg to the one of the other peg
- One disk can be moved from the top of one peg to another empty peg or peg with larger size topmost disk

- Strategy:
- Name each peg by start, end and intermediate
- Move the topmost n-1 disks from start to intermediate
- Move the largest disk to end, now the start peg is empty
- Treat the start peg as intermediate peg, intermediate peg as start and repeat the step above.

Image source:

https://medium.com/@jamalmaria111/tower-of-hanoi-js-algorithm-3f667fa46f0f



- Base case: When n = 1, move the disk from start to end.
- Recurrence relation, when n > 1:
 - Move n-1 disk from start to intermediate
 - Move 1 disk from start to end
 - Move n-1 disk from intermediate to end
- Let p(n, start, inter, end) represents the problem we want to solve. Where we move n disks from start peg to end peg using the intermediate peg.

Pseudocode

```
void p(int n, int start, int inter, int end) {
      p(n - 1, start, end, inter);
      p(n - 1, inter, start, end);
```

Exhaustion

- Sometimes we don't know a fast algorithms to solve a problem
- Exhaust all possible state/solutions
- Check whether the state is the one we want
- Output the best / first found

- Given a list of N integers, find a subset of integers that sums to S.
- e.g. A = [1,2,4,8,16], S = 13
- The subset that gives S = 13 is [1,4,8]

- Try subset of size 1, 2, 3, ..., n
- Using for loop?

What a mess and we need so many lines of repetitive code.

```
for (int i = 0; i < n; i++)
   if (a[i] == S) cout << a[i] << endl;
for (int i = 0; i < n; i++)
   for (int j = i+1; j < n; j++)
        if(a[i] + a[j] == S) cout << a[i] << ' ' << a[j] << endl;
for(int i = 0; i < n; i++)
    for (int j = i + 1; j < n; j++)
        for (int k = j + 1; k < n; k++)
            if(a[i] + a[j] + a[k] == S) cout << a[i] << ' ' << a[j] << '' ' << a[k] << endl;
```

- This can be solved by recursion
- For each number, we can decide whether or not to choose it.
 - o Try both!
- Define F(i, X) denoting we have considered i number and the current sum is X
- Base case: i == n, if X = S, output, else this subset can't add up to the sum we want.
- Recurrence relation:
 - Try F(i+1, X + α[i]) // Include this number
 - \circ and F(i+1, X) // Not to include this number

Example — Subset sum

```
bool choose[n]; // all initialized to false
void solve(int i, int X) {
   if (i == n && X == S) {
        for (int j = 0; j < n; j++)
            if(choose[j]) cout << a[j] << ' ';
   }
   else if (i != n) {
        choose[i] = true; solve(i+1, X + a[i]);
        choose[i] = false; solve(i+1, X);
   }
}</pre>
```

Example — Subset sum

- Time complexity: O(2^N)
- Which can give solutions within 1s when N <= 20
- There exist solutions with pseudo-polynomial time by using DP
 - Check out Dynamic Programming (I)

- Generating sequences of permutation
- Can also be done using next_permutation in STL
- Time complexity: O(N!)
- Useful when N <= 10

- Suppose we want to permutate the string S = "ABCD"
- Define permutation(S, pos) as permuting S while the current position at pos
- Base case: When pos == S.size(), we can't swap anymore so we just output
- Recurrence relation: For all i > pos, swap S[i] and S[pos], permutation(S, pos+1)

- Using next_permutation in STL
- Very important to sort before using next_permutation or you may not get all the permutations

Backtracking

- Most of the time when we are doing exhaustion, we do backtracking
- Which is using all the previous option except the current one
- The previous two examples also used backtracking so that the order of exhaustion is natural

Branch & Bound

- We may noticed that some state are invalid and recurring further won't make it valid again
- We can skip this state and not recur all the state below it which may saves a lot of time

- Current state: F(i, X)
- If X > S, adding any more number or not adding will not sum to S anyway
- Stop the recursion when X > S!

- Constant optimization
- Time complexity: O(2^N)
- There is no guarantee we always cut the branch, but we can always do so when possible.

- More optimization can be done if you precompute suffix sum of array
- Branch cutting if choosing all number afterwards cannot even add to S
- Only works for all positive numbers

break;

Divide & Conquer

- Divide the problem into smaller and independent sub-problems that are the same as the original problem
- If the sub-problem is easy to solve, solve directly. Otherwise divide it into smaller sub-problems recursively.
- Combine the result/solutions from sub-problems to solve the original problem.

Master Theorem (Warning: Maths ahead)

- Master Theorem is used to calculate the time complexity of a divide-and-conquer algorithm
- Assume the time cost function of the problem is T(n)
- If $T(n) = aT(n / b) + O(n^d)$
- O(n^d) can be regarded as the time cost of combining solutions
- aT(n / b) can be regarded as dividing the problem into a sub-problems with parameters n/b.

Master Theorem

- $T(n) = aT(n / b) + O(n^d)$
- If $d > \log_{h} a$, $T(n) = O(n^{d})$
- If $d = log_h a$, $T(n) = O(n^d log n)$
- If $d < log_b^a$ a, $T(n) = O(n^{log_ba})$
- In real coding, you can just ignore all of the above calculations

- Find B^P % M
- Naive solution: multiply B for P times, doing mod every time.
- Time complexity: O(P)

- Find B^P % M
- When P is even, $B^P \% M = B^{P/2} \% M * B^{P/2} \% M$
- When P is odd, $B^P \% M = B * (B^{(P-1)/2} \% M * B^{(P-1)/2} \% M)$
- Base case: When P = 0, bmod(b, p, m) = 1
- Recurrence relation:
 - o If P is even: bmod(b, p, m) = bmod(b*b%m, p/2, m)
 - If P is odd: bmod(b,p,m) = b * bmod(b*b%m, p/2, m), here p/2 is integer division so we can just skip the -1

Super useful, you can just add this to your code template

```
ll bmod(ll b, ll p, ll m) {
  if (p == 0)
      return 1; // Base case
  else if (p % 2 == 0)
      return bmod(b * b % m, p / 2, m);
      return b * bmod(b * b % m, p / 2, m) % m;
int main() {
  cout << bmod(2, 10, 1023) << endl;</pre>
```

```
Output:
```

- Here, we divide P by 2 in each recursion
- There are at most log(P) times before P becomes 0
- Time complexity: O(log(P))
- Way faster than O(P)

- How can we analyze the time complexity using master theorem?
- At each recursion, we divide problem f(P) into one sub-problem f(P/2)
- It takes O(1) to combine solutions because it is simple multiplication

- A brief idea on Master theorem:
- Let $T(n) = aT(n / b) + O(n^d)$
 - $\circ \quad \text{If d} > \log_{h} \alpha, T(n) = O(n^{d})$
 - $\circ \quad \text{If d} = \log_b \alpha, T(n) = O(n^d \log n)$
 - o If $d < log_b a$, $T(n) = O(n^{log_b a})$
- T(P) = T(P/2) + O(1), $\alpha = 1$, b = 2, d = 0, $log_b \alpha = 0$
- We have the case $d = log_h a$, substitute the number we have O(log P)

- Exact same problem on HKOJ
- 20374 Big Mod

- Given an array of N elements
- Find the minimum and maximum element using minimum number of comparisons
- {92, 65, 91, 25, 16, 12, 3, 32}

- {92, 65, 91, 25, 16, 12, 3, 32}
- Naive approach: Loop through the array, 2N comparison needed
- Can we do better?

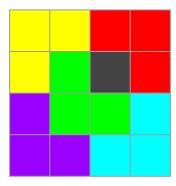
- Divide and conquer!
- Consider the following cases if we divide into smaller problem (set of integers)
- 1 element: The minimum and maximum are both this number
- 2 element: The minimum and maximum can be determined by 1 comparison

- Assume we have solved the subproblem, how do we merge?
- Let m_A, m_b, be minimum of set A and B, and M_A, M_B be maximum of set A and B
- We need only 2 comparison for merging the result of two subproblem
- Total number of comparison:
- T(1) = 1, T(2) = 2, T(n) = 2T(n/2) + 2• If $d < log_h a$, $T(n) = O(n^{log_b a})$
- Solving the above relation gives $T(n) = 3n/2 2 \sim 1.5n$

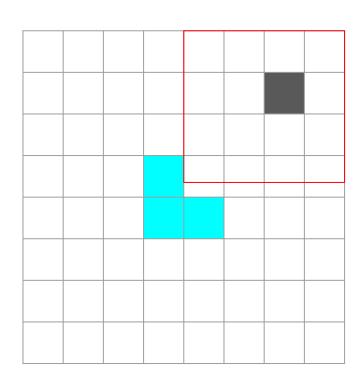
- {92, 65, 91, 25, 16, 12, 3, 32}
- Red is minimum, Blue is maximum
- {92, 65}, {91, 25}, {16, 12}, {3, 32}, cost = 4
- Merging {92, 65}, {91, 25} => {92, 25}, cost = 2
- Merging {16, 12}, {3, 32} => {3, 32}, cost = 2
- Merging $\{92, 25\}, \{3, 32\} => \{92, 3\}, \cos t = 2$
- Total cost = 4 + 2 + 2 + 2 = 10, better than 2n

- Exact same problem on HKOJ
- M1431 Comparing Game

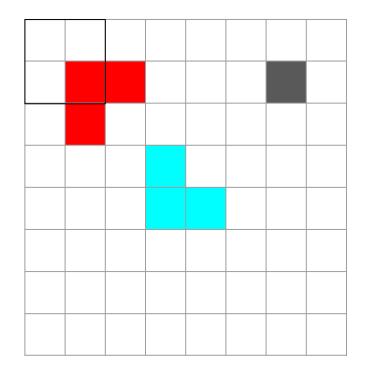
- Given a grid of N*N (N is a power of 2), all cells are initially empty except one of the cells.
- Fill the grid with L shape
- e.g., a 4*4 grid solution, the gray cell is originally filled



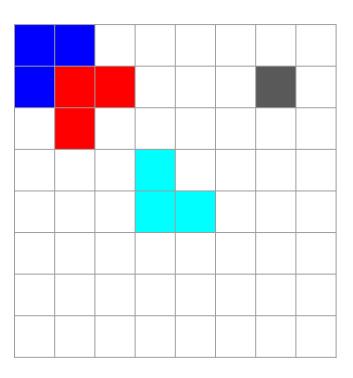
- Idea:
- Divide the grid into 4 regions, each are N/2 * N/2
- Put a L piece right next to the corner of the region that contain an filled cell
- Solve recursively for each region
- Base case: 2*2 grid, just simply put a L piece
- The gray cell is the given cell
- The cyan L-piece is the one we are putting











- At each step, we divide our problem F(n) into 4 sub-problem F(n/2)
- Combining the sub-problem cost O(1) as we don't actually have to combine
- T(n) = 4T(n/2) + O(1)
- a = 4, b = 2, d = 0, $log_b a = 2$ • If $d < log_b a$, $T(n) = O(n^{log_b a})$
- $T(n) = O(n^{\log_2 4}) = O(n^2)$

- Exact same problem on HKOJ
- 01003 L-pieces

Example — Merge sort & no. of inversions

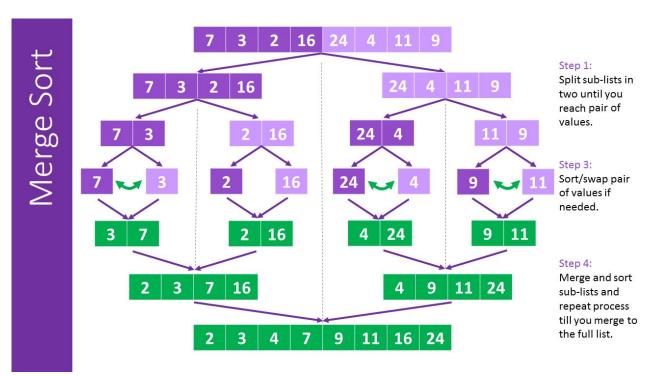
- Merge sort is a well known sorting algorithm that sort an array of length n in O(nlogn) time
- This can be extended to also count no. of inversions which will be discussed later

Example — Merge sort & no. of inversions

- Given the array A of length n
- Steps:
 - Divide A into two smaller array of length n/2
 - Sort each of them recursively with merge sort
 - Combine the two sorted array into one array
- Base case:
 - When n = 1, no sorting is needed.
 - \circ When n = 2, easy comparison with an if statement

Source:

https://www.101computing.net/merge-sort-algorithm/

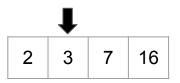


- How can we merge the two sorted array?
- Let i be the pointer for the first array A_i
- And j be the pointer for the second array A_R
- Compare A_L[i] and A_R[j]
- If A_I [i] <= A_R[j], put A_I [i] into the back of the combined array, increment i
- Else put A_R[j] into the back of the combined array, increment j
- Until either we exhaust all element in A_L or A_R , put all the remaining elements in the other array to the combined array directly



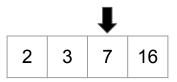






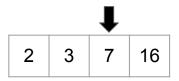


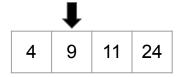
2				



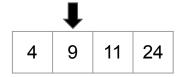


2	3			



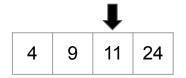






2	3	4	7				
---	---	---	---	--	--	--	--





2	3	4	7	9			
---	---	---	---	---	--	--	--



9 11



2 3 4 7 9 11 16



11 16 24 9

- At each step we divide each problem F(n) into 2 sub-problems F(n/2)
- Combining the solutions takes O(n)
- T(n) = 2T(n/2) + O(n)
- $a = 2, b = 2, d = 1, log_b a = 1$ • If $d = log_b a, T(n) = O(n^d log n)$
- $T(n) = O(n^d \log n) = O(n \log n)$

Code:

a is the original array r is the temporary array s is the starting position t is the ending position

```
int a[200005], r[200005];
void mergesort(int s, int t) {
  if (s == t)
  int mid = (s + t) / 2;
  mergesort(s, mid);
  mergesort(mid + 1, t);
  int i = s, j = mid + 1, k = s;
  while (i <= mid && j <= t) {
    if (a[i] <= a[j])
      r[k++] = a[i++];
      r[k++] = a[i++];
  while (i <= mid)
    r[k++] = a[i++];
  while (j \le t)
    r[k++] = a[i++]:
  for (int i = s; i <= t; i++)
    a[i] = r[i]:
```

- Number of inversion of an array of size n is defined as:
- The number of pair (i, j) such that 1 <= i < j <= n and a[i] > a[j]
- e.g. A = [1, 8, 6, 5]
- The inversions are (2, 3), (2, 4) and (3, 4)
- Note that they are index

- We can count this number naively using nested for-loop
- Time complexity: $O(n^2)$
- Too slow
- Instead we can modify our merge sort so count this number much faster

Note that element in A_L is always on the left of A_R .

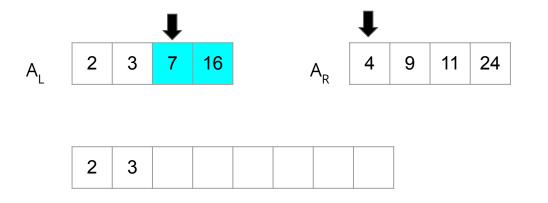
If at some point $A_{l}[i] > A_{R}[j]$, there is an inversion.

Not only one inversion, but actually all elements after $A_L[i]$ including itself are inversions with $A_R[j]$



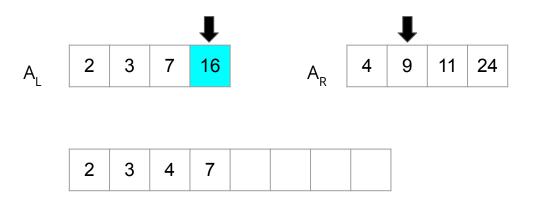


Here we encounter the first inversion, there are two elements after.



of Inversion: 2

Here we encounter the second inversion, there are only one element after.



of Inversion: 3

- During the merging process we can count no. of inversions directly in O(1)
- Doing a merge sort takes O(nlogn)
- So counting no. of inversions also takes O(nlogn)

Code:

invcnt = # of inversions

Beware that maximum # of inversions = n * (n

+ 1) / 2

Use **long long** if needed!



```
11 \text{ invent} = 0;
void mergesort(int s, int t) {
  if (s == t)
  int mid = (s + t) / 2;
  mergesort(s, mid);
  mergesort(mid + 1, t);
  int i = s, j = mid + 1, k = s;
  while (i <= mid && j <= t) {
    if (a[i] <= a[j])
      r[k++] = a[i++]:
      invcnt += 1LL * mid - i + 1 r[k++] = a[j++];
  while (i <= mid)
    r[k++] = a[i++];
  while (j \ll t)
    r[k++] = a[i]++:
  for (int i = s; i <= t; i++)
    a[i] = r[i]:
```

Suggested Tasks

- 01046 One-Step Tower of Hanoi
- 01014 Stamps
- 01031 Permutations
- 01035 Combinations
- 20296 Safecrackers
- 30098 Generating Fast Permutations
- 01049 Chocolate
- 01050 Bin packing
- 20750 8 Queens Chess Problem
- T183 Exam Anti Cheat (You can get at least 50 marks by cutting branch)



Reference

https://assets.hkoi.org/training2022/rdc.pdf