

# Context-Free Grammars

## Using grammars in parsers

Jaruloj Chongstitvatana

Department of Mathematics and Computer Science  
Chulalongkorn University

# Outline

- Parsing Process
- Grammars
  - Context-free grammar
  - Backus-Naur Form (BNF)
- Parse Tree and Abstract Syntax Tree
- Ambiguous Grammar
- Extended Backus-Naur Form (EBNF)

# Parsing Process

- Call the scanner to get tokens
- Build a parse tree from the stream of tokens
  - A parse tree shows the syntactic structure of the source program.
- Add information about identifiers in the symbol table
- Report error, when found, and recover from the error

# Grammar

- a quintuple  $(V, T, P, S)$  where
  - $V$  is a finite set of nonterminals, containing  $S$ ,
  - $T$  is a finite set of terminals,
  - $P$  is a set of production rules in the form of  $\alpha \rightarrow \beta$  where  $\alpha$  and  $\beta$  are strings over  $V \cup T$ , and
  - $S$  is the start symbol.

## ■ Example

$G = (\{S, A, B, C\}, \{a, b, c\}, P, S)$

$P = \{ S \rightarrow SAB C, \quad BA \rightarrow AB, \quad CB \rightarrow BC, \quad CA \rightarrow AC, \\ SA \rightarrow a, \quad aA \rightarrow aa, \quad aB \rightarrow ab, \quad bB \rightarrow bb, \\ bC \rightarrow bc, \quad cC \rightarrow cc \}$

# Context-Free Grammar

- a quintuple  $(V, T, P, S)$  where
  - $V$  is a finite set of nonterminals, containing  $S$ ,
  - $T$  is a finite set of terminals,
  - $P$  is a set of production rules in the form of  $\alpha \rightarrow \beta$  where  $\alpha$  is in  $V$  and  $\beta$  is in  $(V \cup T)^*$ , and
  - $S$  is the start symbol.
- Any string in  $(V \cup T)^*$  is called a *sentential form*.

# Examples

$E \rightarrow E O E$

$E \rightarrow (E)$

$E \rightarrow \text{id}$

$O \rightarrow +$

$O \rightarrow -$

$O \rightarrow *$

$O \rightarrow /$

$S \rightarrow SS$

$S \rightarrow (S)S$

$S \rightarrow ()$

$S \rightarrow \lambda$

# Backus-Naur Form (BNF)

- Nonterminals are in  $\langle \rangle$ .
- Terminals are any other symbols.
- $::=$  means  $\rightarrow$ .
- $|$  means or.
- Examples:

$$\langle E \rangle ::= \langle E \rangle \langle O \rangle \langle E \rangle \mid (\langle E \rangle) \mid \text{ID}$$
$$\langle O \rangle ::= + \mid - \mid * \mid /$$
$$\langle S \rangle ::= \langle S \rangle \langle S \rangle \mid (\langle S \rangle) \langle S \rangle \mid () \mid \lambda$$

# Derivation

- A sequence of replacement of a substring in a sentential form.

## Definition

- Let  $G = (V, T, P, S)$  be a CFG,  $\alpha, \beta, \gamma$  be strings in  $(V \cup T)^*$  and  $A$  is in  $V$ .

$\alpha A \beta \Rightarrow_G \alpha \gamma \beta$  if  $A \rightarrow \gamma$  is in  $P$ .

- $\Rightarrow_G^*$  denotes a derivation in zero step or more.



# Examples

$$S \rightarrow SS \mid (S)S \mid () \mid \lambda$$

S

$\Rightarrow SS$

$\Rightarrow (S)SS$

$\Rightarrow (S)S(S)S$

$\Rightarrow (S)S(())S$

$\Rightarrow ((S)S)S(())S$

$\Rightarrow ((S)())S(())S$

$\Rightarrow (((())())S(())S$

$\Rightarrow (((())()) (())S$

$\Rightarrow (((())())(())$

$$E \rightarrow E O E \mid (E) \mid \text{id}$$

E

$\Rightarrow E O E$

$\Rightarrow (E) O E$

$\Rightarrow (E O E) O E$

$\Rightarrow^* ((E O E)) O E) O E$

$\Rightarrow ((\text{id} O E)) O E) O E$

$\Rightarrow ((\text{id} + E)) O E) O E$

$\Rightarrow ((\text{id} + \text{id})) O E) O E$

$\Rightarrow^* ((\text{id} + \text{id})) * \text{id}) + \text{id}$

# Leftmost Derivation Rightmost Derivation

- Each step of the derivation is a replacement of the *leftmost* nonterminals in a sentential form.

**E**  
⇒ **E** O E  
⇒ (**E**) O E  
⇒ (**E** O E) O E  
⇒ (id **O** E) O E  
⇒ (id + **E**) O E  
⇒ (id + id) **O** E  
⇒ (id + id) \* **E**  
⇒ (id + id) \* id

- Each step of the derivation is a replacement of the *rightmost* nonterminals in a sentential form.

**E**  
⇒ E O **E**  
⇒ E **O** id  
⇒ **E** \* id  
⇒ (**E**) \* id  
⇒ (E O **E**) \* id  
⇒ (E **O** id) \* id  
⇒ (**E** + id) \* id  
⇒ (id + id) \* id

# Language Derived from Grammar

- Let  $G = (V, T, P, S)$  be a CFG.
- A string  $w$  in  $T^*$  is derived from  $G$  if  $S \xRightarrow{*}_G w$ .
- A language generated by  $G$ , denoted by  $L(G)$ , is a set of strings derived from  $G$ .
  - $L(G) = \{w \mid S \xRightarrow{*}_G w\}$ .

# Right/Left Recursive

- A grammar is a *left recursive* if its production rules can generate a derivation of the form  $A \Rightarrow^* A X$ .

- Examples:

- $E \rightarrow E O id \mid (E) \mid id$
- $E \rightarrow F + id \mid (E) \mid id$   
 $F \rightarrow E * id \mid id$ 
  - $E \Rightarrow F + id$   
 $\Rightarrow E * id + id$

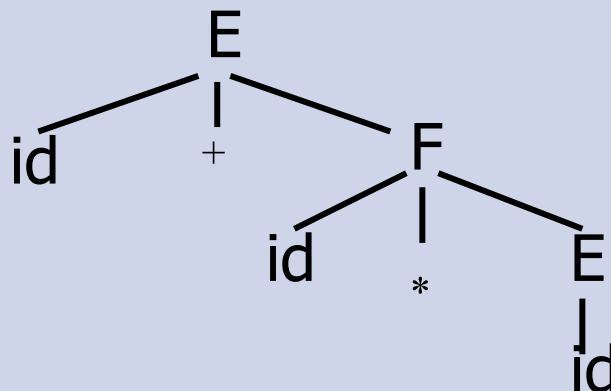
- A grammar is a *right recursive* if its production rules can generate a derivation of the form  $A \Rightarrow^* X A$ .

- Examples:

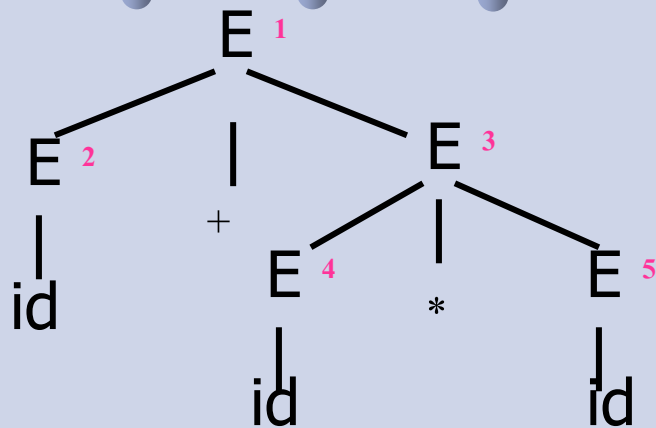
- $E \rightarrow id O E \mid (E) \mid id$
- $E \rightarrow id + F \mid (E) \mid id$   
 $F \rightarrow id * E \mid id$ 
  - $E \Rightarrow id + F$   
 $\Rightarrow id + id * E$

# Parse Tree

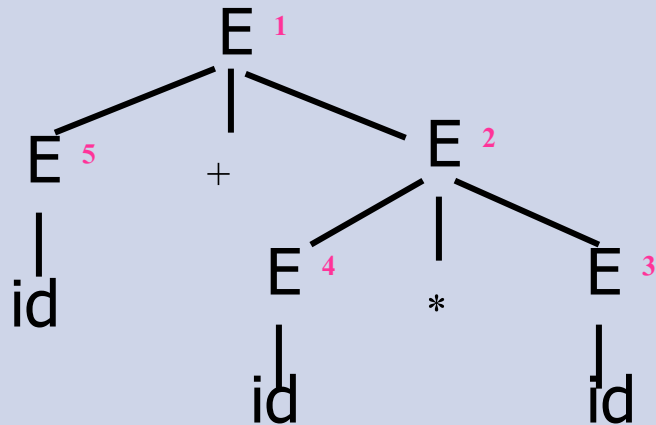
- A labeled tree in which
  - the interior nodes are labeled by nonterminals
  - leaf nodes are labeled by terminals
  - the children of an interior node represent a replacement of the associated nonterminal in a derivation
  - corresponding to a derivation



# Parse Trees and Derivations



Preorder numbering



Reverse of postorder numbering

$$E \Rightarrow E + E \quad (1)$$

$$\Rightarrow id + E \quad (2)$$

$$\Rightarrow id + E * E \quad (3)$$

$$\Rightarrow id + id * E \quad (4)$$

$$\Rightarrow id + id * id \quad (5)$$

$$E \Rightarrow E + E \quad (1)$$

$$\Rightarrow E + E * E \quad (2)$$

$$\Rightarrow E + E * id \quad (3)$$

$$\Rightarrow E + id * id \quad (4)$$

$$\Rightarrow id + id * id \quad (5)$$

# Grammar: Example

List of parameters in:

- Function definition
  - function sub(a,b,c)
- Function call
  - sub(a,1,2)

<argList>

$\Rightarrow$  id , <arglist>

$\rightarrow$  id id <arglist>

<argList>

$\Rightarrow$  <arglist> , id

$\Rightarrow$  <arglist> , id, id

$\Rightarrow \dots \Rightarrow$  id ( , id )\*

<Fdef>  $\rightarrow$  function id ( <argList> )

<argList>  $\rightarrow$  id , <arglist> | id

<Fcall>  $\rightarrow$  id ( <parList> )

<parList>  $\rightarrow$  <par> ,<parlist>| <par>

<par>  $\rightarrow$  id | const

<Fdef>  $\rightarrow$  function id ( <argList> )

<argList>  $\rightarrow$  <arglist> , id | id

<Fcall>  $\rightarrow$  id ( <parList> )

<parList>  $\rightarrow$  <parlist> ,<par>| <par>

<par>  $\rightarrow$  id | const

# Grammar: Example

List of parameters

- If zero parameter is allowed, then ?

Work ?

NO!

Generate  
**id , id , id ,**

$\langle \text{Fdef} \rangle \rightarrow \text{function id ( } \langle \text{argList} \rangle \text{ )}$   
 $\text{function id ( )}$

$\langle \text{argList} \rangle \rightarrow \text{id , } \langle \text{arglist} \rangle \mid \text{id}$

$\langle \text{Fcall} \rangle \rightarrow \text{id ( } \langle \text{parList} \rangle \text{ )} \mid \text{id ( )}$

$\langle \text{parList} \rangle \rightarrow \langle \text{par} \rangle \text{ , } \langle \text{parlist} \rangle \mid \langle \text{par} \rangle$

$\langle \text{par} \rangle \rightarrow \text{id} \mid \text{const}$

$\langle \text{Fdef} \rangle \rightarrow \text{function id ( } \langle \text{argList} \rangle \text{ )}$

$\langle \text{argList} \rangle \rightarrow \text{id , } \langle \text{arglist} \rangle \mid \text{id} \mid \lambda$

$\langle \text{Fcall} \rangle \rightarrow \text{id ( } \langle \text{parList} \rangle \text{ )}$

$\langle \text{parList} \rangle \rightarrow \langle \text{par} \rangle \text{ , } \langle \text{parlist} \rangle \mid \langle \text{par} \rangle$

$\langle \text{par} \rangle \rightarrow \text{id} \mid \text{const}$



# Grammar: Example

List of statements:

- No statement
- One statement:
  - $s;$
- More than one statement:
  - $s; s; s;$
- A statement can be a block of statements.
  - $\{s; s; s;\}$

Is the following correct?

$\{ \{s; \{s; s;\} s; \{\}\} s; \}$

$\langle St \rangle ::= \lambda \mid s; \mid s; \langle St \rangle \mid \{ \langle St \rangle \} \langle St \rangle$

$\langle St \rangle$

$\Rightarrow \{ \langle St \rangle \} \langle St \rangle$

$\Rightarrow \{ \langle St \rangle \}$

$\Rightarrow \{ \{ \langle St \rangle \} \langle St \rangle \}$

$\Rightarrow \{ \{ \langle St \rangle \} s; \langle St \rangle \}$

$\Rightarrow \{ \{ \langle St \rangle \} s; \}$

$\Rightarrow \{ \{ s; \langle St \rangle \} s; \}$

$\Rightarrow \{ \{ s; \{ \langle St \rangle \} \langle St \rangle \} s; \}$

$\Rightarrow \{ \{ s; \{ \langle St \rangle \} s; \langle St \rangle \} s; \}$

$\Rightarrow \{ \{ s; \{ \langle St \rangle \} s; \{ \langle St \rangle \} \langle St \rangle \} s; \}$

$\Rightarrow \{ \{ s; \{ \langle St \rangle \} s; \{ \langle St \rangle \} \} s; \}$

$\Rightarrow \{ \{ s; \{ \langle St \rangle \} s; \{\} \} s; \}$

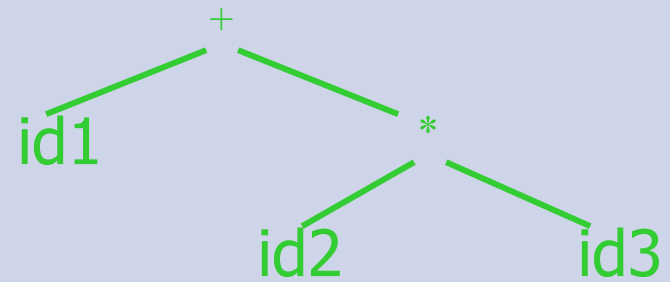
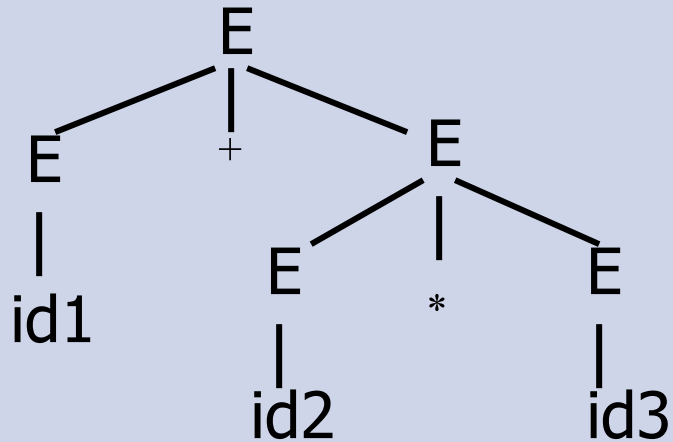
$\Rightarrow \{ \{ s; \{ s; \langle St \rangle \} s; \{\} \} s; \}$

$\Rightarrow \{ \{ s; \{ s; s; \} s; \{\} \} s; \}$

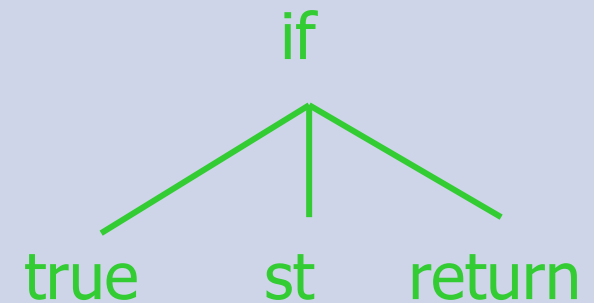
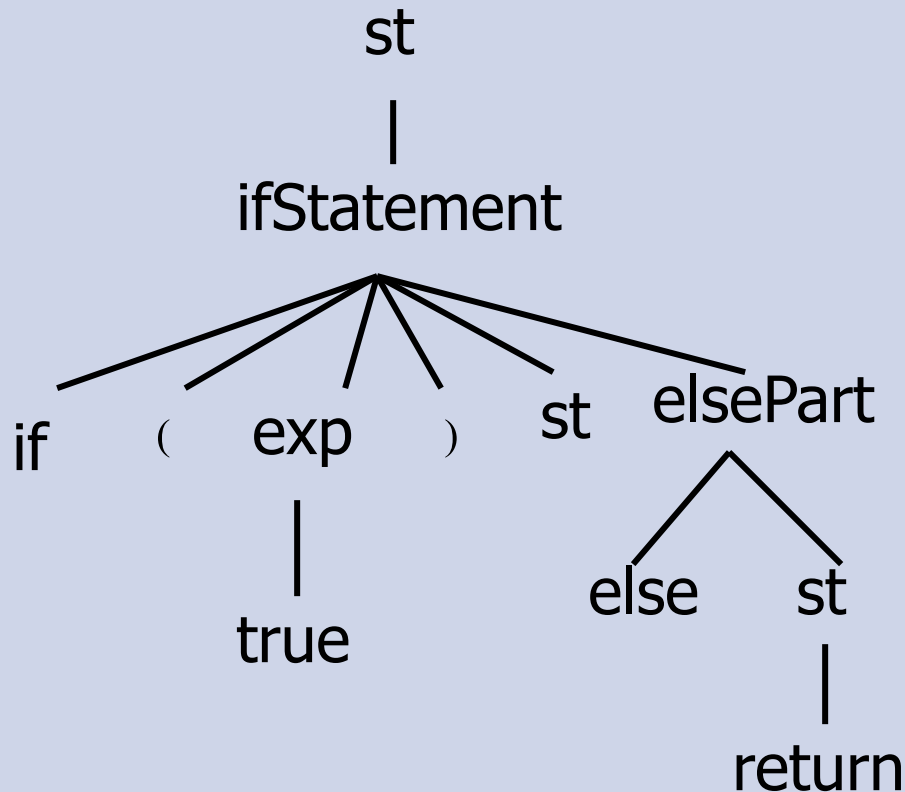
# Abstract Syntax Tree

- Representation of actual source tokens
- Interior nodes represent operators.
- Leaf nodes represent operands.

# Abstract Syntax Tree for Expression



# Abstract Syntax Tree for If Statement



# Ambiguous Grammar

- A grammar is ambiguous if it can generate two different parse trees for one string.
- Ambiguous grammars can cause inconsistency in parsing.

# Example: Ambiguous Grammar

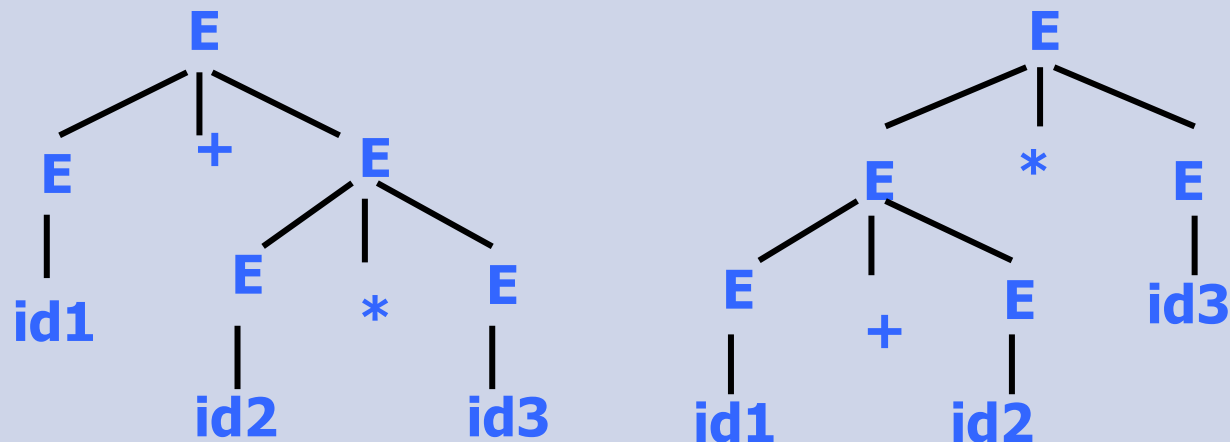
$E \rightarrow E + E$

$E \rightarrow E - E$

$E \rightarrow E * E$

$E \rightarrow E / E$

$E \rightarrow id$



# Ambiguity in Expressions

- Which operation is to be done first?
  - solved by precedence
    - An operator with higher precedence is done before one with lower precedence.
    - An operator with higher precedence is placed in a rule (logically) further from the start symbol.
  - solved by associativity
    - If an operator is right-associative (or left-associative), an operand in between 2 operators is associated to the operator to the right (left).
    - Right-associated :  $W + (X + (Y + Z))$
    - Left-associated :  $((W + X) + Y) + Z$

# Precedence



$E \rightarrow E + E$

$E \rightarrow E - E$

$E \rightarrow E * E$

$E \rightarrow E / E$

$E \rightarrow \text{id}$

$E \rightarrow E + E$

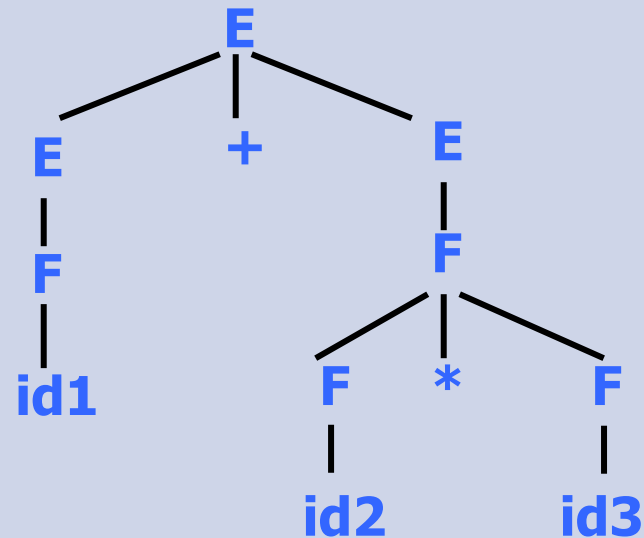
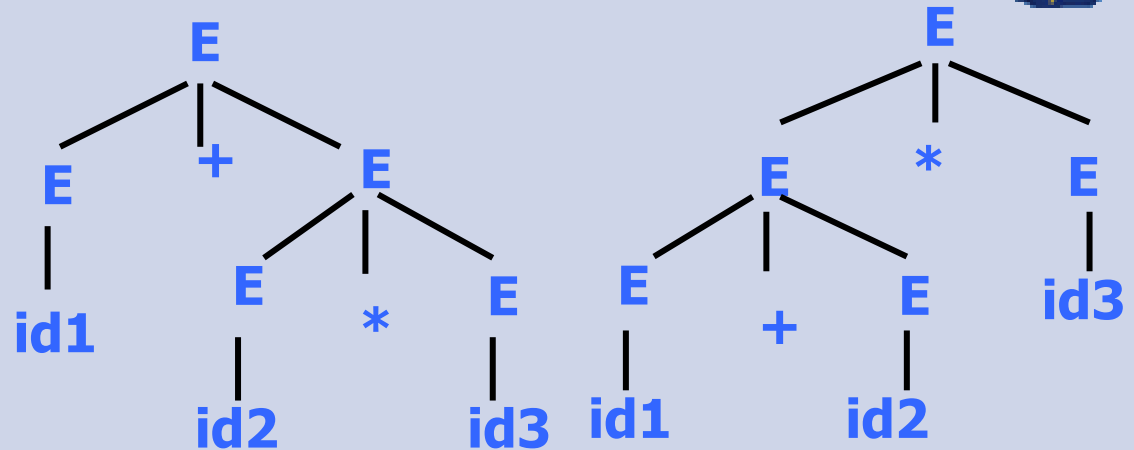
$E \rightarrow E - E$

$E \rightarrow F$

$F \rightarrow F * F$

$F \rightarrow F / F$

$F \rightarrow \text{id}$





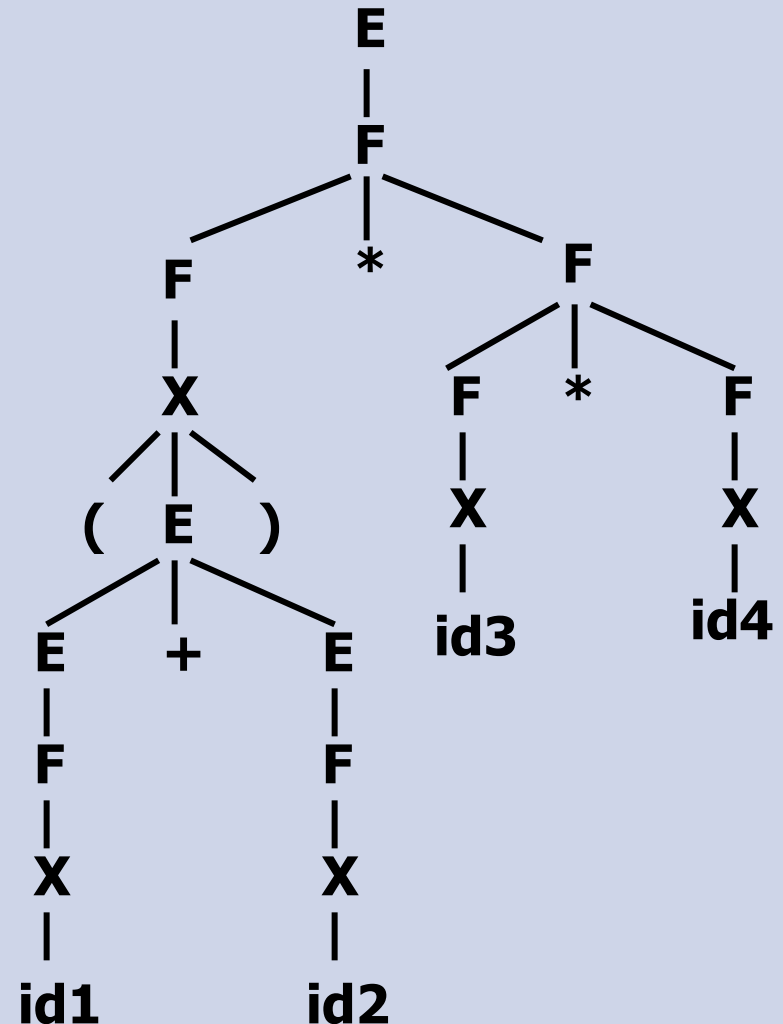
# Precedence (cont'd)

$E \rightarrow E + E \mid E - E \mid F$

$F \rightarrow F * F \mid F / F \mid X$

$X \rightarrow ( E ) \mid \text{id}$

$(\text{id1} + \text{id2}) * \text{id3} * \text{id4}$



# Associativity

## ■ Left-associative operators

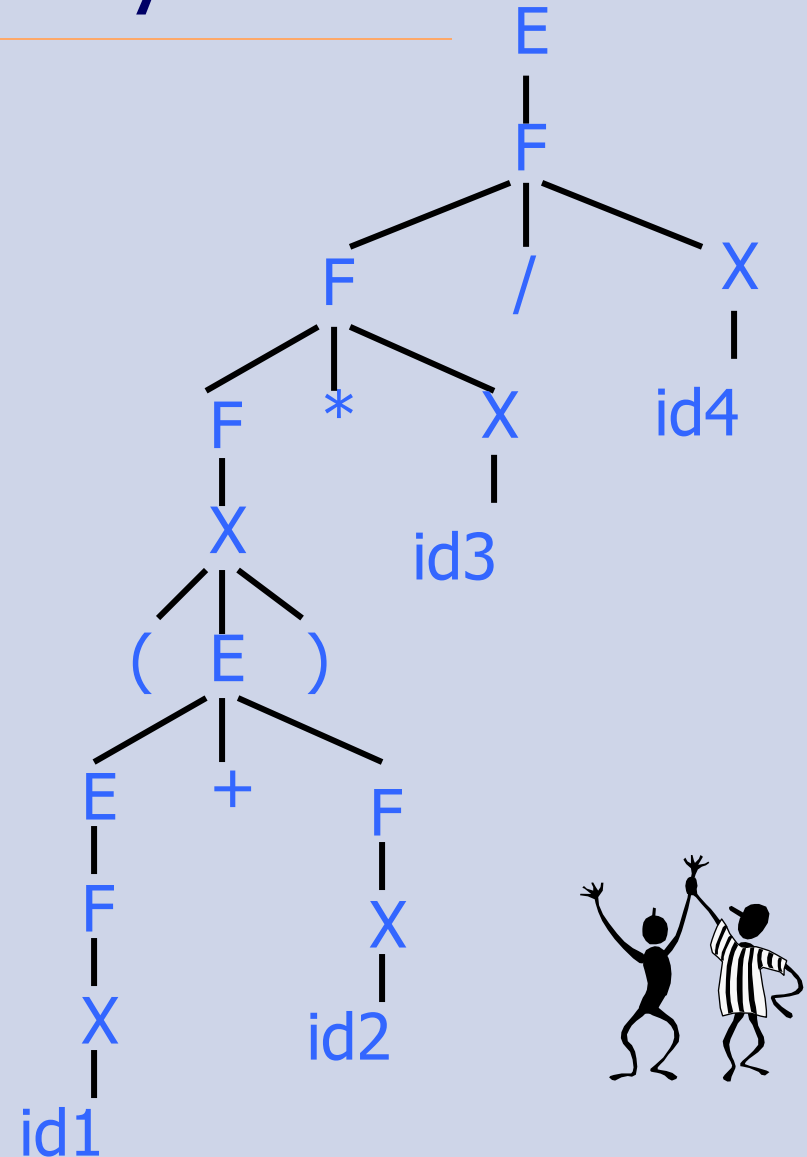
$E \rightarrow E + F \mid E - F \mid F$

$F \rightarrow F * X \mid F / X \mid X$

$X \rightarrow ( E ) \mid \text{id}$

$(\text{id1} + \text{id2}) * \text{id3} / \text{id4}$

$= (((\text{id1} + \text{id2}) * \text{id3}) / \text{id4})$



# Ambiguity in Dangling Else

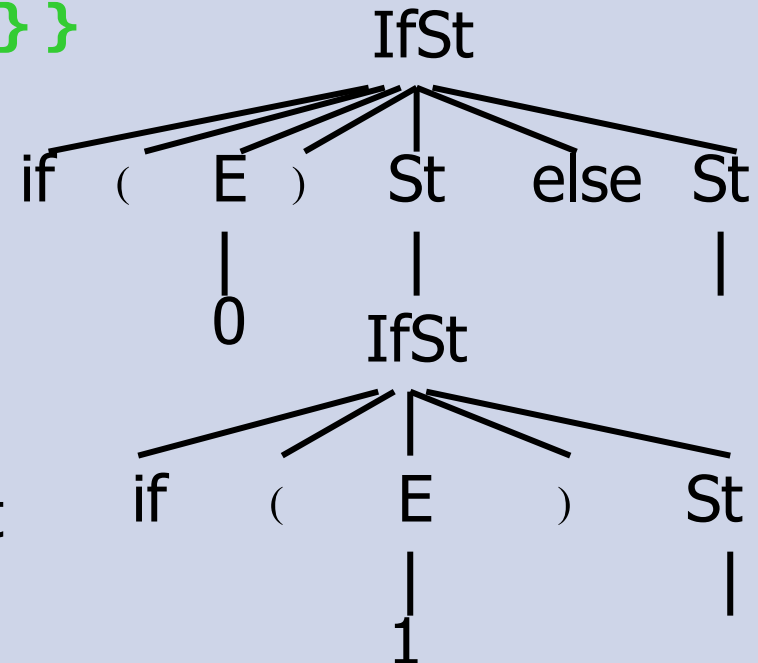
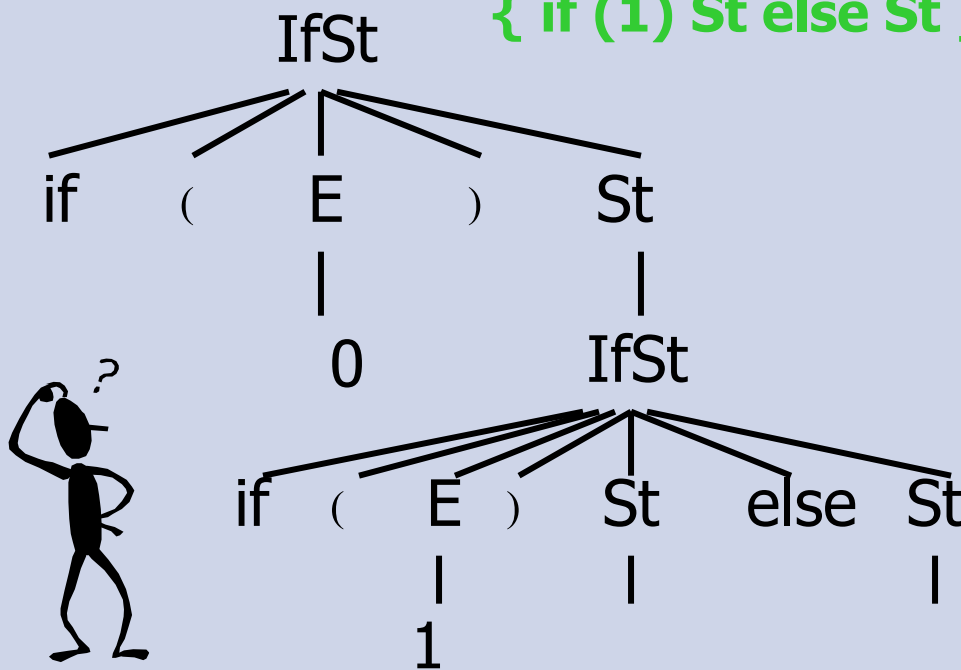
$St \rightarrow IfSt \mid \dots$

$IfSt \rightarrow if ( E ) St \mid if ( E ) St \text{ else } St$

$E \rightarrow 0 \mid 1 \mid \dots$

{ if (0)  
{ if (1) St else St } }

{ if (0)  
{ if (1) St }  
else St }



## MatchedSt | UnmatchedSt

## UnmatchedSt →

```
if (E) St |
if (E) MatchedSt else UnmatchedSt
```

## MatchedSt →

```
if (E) MatchedSt else MatchedSt | UnmatchedSt
```

■ ■ ■

E → 0 | 1

- if (0) if (1) St else St

= if (0)

if (1) St else St



# Extended Backus-Naur Form (EBNF)

## ■ Kleene's Star/ Kleene's Closure

- $\text{Seq} ::= \text{St} \{ ; \text{St} \}$
- $\text{Seq} ::= \{ \text{St} ; \} \text{St}$

## ■ Optional Part

- $\text{IfSt} ::= \text{if} ( E ) \text{St} [\text{else St}]$
- $E ::= F [+ E] \mid F [- E]$

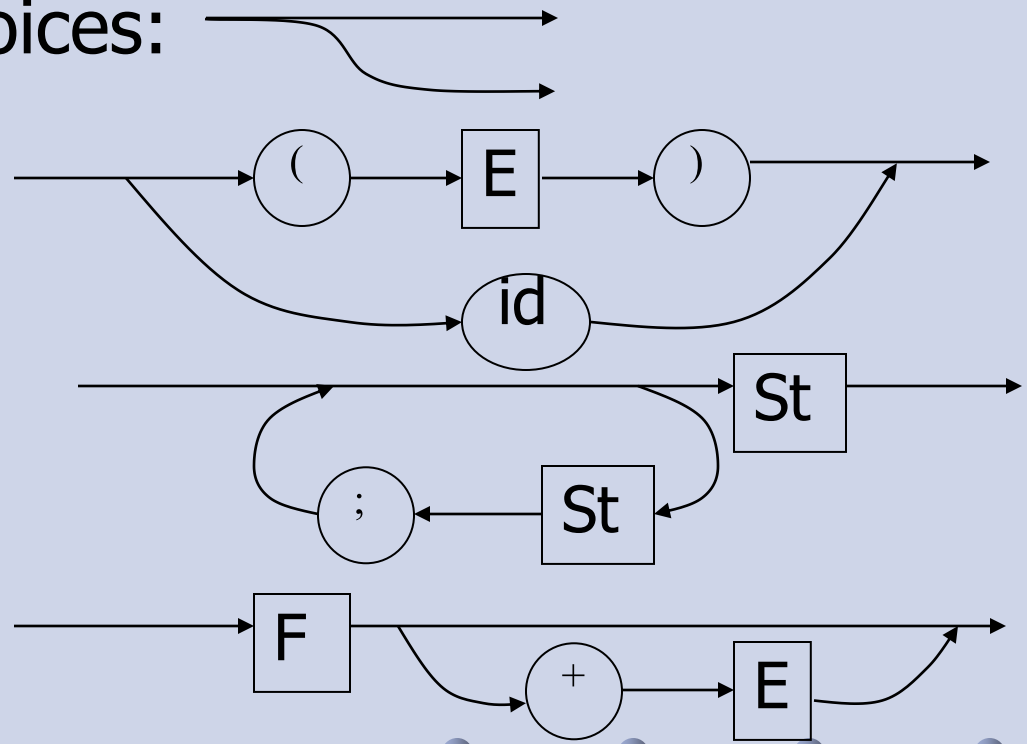
# Syntax Diagrams

## ■ Graphical representation of EBNF rules

- nonterminals: IfSt
- terminals: id
- sequences and choices:

## ■ Examples

- $X ::= (E) \mid id$
- $Seq ::= \{St ;\} St$
- $E ::= F [+ E]$



# Reading Assignment

- Louden, Compiler construction
  - Chapter 3