













## Instructor Info

-  Z. Berkay Celik
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## Course Info

-  Prereq: Bachelor degree in Computer Science or equivalent.
-  Tuesday & Thursday
-  12pm - 1:15pm
-  Lawson CS Bldg 1106

## Recitations Info

-  TBD
-  TBD
-  Lab Space (TBD)

## TA Info

-  Siddharth Divi
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### Overview

This graduate-level course will provide students with materials to discuss the intersection of two ubiquitous concepts: Security and Machine Learning. The course is structured in two parts: (1) Machine Learning for Security and (2) Security of Machine Learning Systems. The focus of the first part will be on building a principled understanding of key learning algorithms and techniques, and their applications within the security domain, as well as general questions related to analyzing and handling datasets. The first part will provide students with the necessary background to understand the second half of the course. The second part covers recently discovered security implications of deploying machine learning algorithms in the physical realm. Students will learn about attacks against computer systems leveraging machine learning algorithms, as well as defense techniques to mitigate such attacks during learning and inference. The course aims to motivate the exploration of new problems that advance the state-of-the-art; thus, the course will include reviewing recent papers from top-tier conferences. Students successfully completing this class will be able to evaluate machine learning systems in academic and commercial security, and will have rudimentary skills in security and machine learning research.

### Prerequisites

The course assumes knowledge of programming, calculus, basic probability and mathematical statistics. You must be comfortable writing code to process and analyze data, and be familiar with basic algorithmic design and analysis.

### Material

There is no official textbook for the class. Slides will be provided and reading materials for each topic will be assigned from the following references:

#### Recommended Texts

1. Pattern Recognition and Machine Learning, Christopher Bishop, Springer (2006)
2. Machine Learning A Probabilistic Perspective, Kevin P Murphy, MIT Press (2012)
3. Deep Learning, Ian Goodfellow, Yoshua Bengio, and Aaron Courville, MIT press (2016)
4. Adversarial Machine Learning, Yevgeniy Vorobeychik and Murat Kantarcioglu, Morgan & Claypool Publishers (2018)
5. Machine Learning and Security: Protecting Systems with Data and Algorithms, Clarence Chio and David Freeman, O'Reilly Media (2018)

### Recitations

Recitations are complement to lectures. One- or two-hour recitations will be held. Recitations are aimed to address background material, individualized studying that is unclear and practical application of materials presented in lectures. Recitations also provides a more intimate opportunity to ask questions of and to interact with the course staff.

### Announcements

Course announcements will be made through the course e-mail list. The e-mail list uses your Purdue e-mail address. You are expected to check this account regularly for information related to the class. Please sign-up on [Piazza](#) to ask/answer questions. We will send class announcements through this site.

# FAQs

## ? Why should I take this course?

! You will learn key machine learning algorithms and techniques, and their security applications, as well as you will explore the attack surface of systems built upon ML, and vulnerabilities of ML and the countermeasures used to defend against them.

## ? Why is this course important?

! ML algorithms are used in a large variety of computer security applications, including the discovery of new malware families, uncovering vulnerabilities in software, and analyzing malicious code. There is a growing recognition that ML applications expose vulnerabilities such as adversarial perturbations. This course brings these two complementary views together by (a) exploring ML algorithms for security, and (b) investigating the security of ML algorithms.

## Requirements and Grading

### (Tentative) Grading Scheme

The course will be graded on assignments, exams, paper reviews, and class participation in the following proportions:

- 40% Homework
- 15% Midterm Exam
- 15% Final Exam
- 30% Paper reviews, extra homework and class participation (random quizzes)

### Homework Assignments

Homework assignments (along with instructions) will be posted on the Blackboard. We aim to have five homework assignments (both implementation/empirical and problem solving/mathematical). But this is subject to change as the semester progresses. Each homework write-up must be neatly typeset as a PDF document. You can use LaTeX or any other system that produces typesetting of equal quality and legibility (especially for mathematical symbols and expressions). Please write your solutions as succinctly as possible while including all the necessary details. Ensure that the following appear at the top of the first page of the write-up: **your name, your Purdue ID, and the ID's of any students** with whom you discussed the assignment. Submit your write-up as a single PDF file and corresponding code implementations (if any) on Blackboard by 11:59 PM of due date (always going to be Sunday). It is your responsibility to ensure that the submission is successfully received by Blackboard.

### Exams

There will be a midterm and a final exam. The first exam covers topics from the first two parts of the course; the second exam covers topics from the entire course, but with an emphasis on the material covered after the midterm exam. Exams are closed book.

### Quizzes

There will be about 3-5 random quizzes during the semester that will mostly contribute to 10% attendance. Each quiz will be based on most recent lecture and reading assignments. Please make sure you carefully read the assigned material for each lecture.

### Paper Reviews

Understanding research papers is a key task in computer science research. In this class, students will provide two-page reviews research papers assigned as readings. Roughly one review will be due per week. These reviews are due at the beginning of class. Most of the course readings will come from seminal papers in the field. Links to these papers will be provided on the course pages.

### Missing or Late Work

The score for a late homework, a paper review, a missed quiz and exam is 0. Exceptions will be made in case of serious illness or bereavement. If a student has a planned absence for a class when an exam will be given, the student should make arrangement before the planned absence to take the exam early or take a makeup exam after returning to campus.

### Grade Disputes

Feedback on graded material will be posted on Blackboard in as timely a manner as possible. Once feedback for a graded assignment is posted, you will have 1 week from the posting date to dispute a grade. No re-grade requests will be honored after 1 week from posting feedback.

## ? How is this course different from other security and data science courses?

! Data science is a broad term for multiple disciplines, machine learning fits within data science. While the focus of data science is mainly on skills and tools (e.g., Spark, MapReduce, etc) required to tackle big data including feature engineering, data cleaning, preparation, exploratory data analysis, and utilizing ML algorithms, the course emphasis will be on machine learning algorithms and security applications, with a perspective in evaluating security that focuses on attacks and defenses with respect to the machine learning pipeline. Yet, several software libraries and publicly available datasets will be used to illustrate the application of these algorithms (e.g., pandas for loading and exploratory data analysis and scikit-learn for algorithms). In your homework assignments, you will also be asked to design and implement new learning algorithms from scratch.

## ? How to succeed in class?

! Review slides before each lecture to familiarize yourself with the topics to be covered, and complete reading assignments after each lecture. Attend lectures, recitations and office hours, and ask questions. TAs and myself are always available to help you.

### Collaboration Policy

You are encouraged to discuss course materials and reading assignments, and homework assignments with each other in small groups (two to three people). You must list all discussants in your homework write-up. Discussion about homework assignments may include brainstorming and verbally discussing possible solution approaches, but must not go as far as one person telling others how to solve a problem. In addition, you must write-up your solutions by yourself, and you may not look at another student's homework write-up/solutions (whether partial or complete).

### Conduct and Courtesy

Students are expected to maintain a professional and respectful classroom environment. This includes: silencing cellular phones, arriving on time for class, speaking respectfully to others and participating in class discussion. You may use non-disruptive personal electronics for the purpose class participation (e.g., taking notes).

**Correspondence with the instructor:** The best way to correspond in this class is by emailing the instructor. Please prefix all course-related emails with the string **CS-529** to help filter email. The instructor will make every effort to answer promptly (within 48 hours). However, replies could be delayed due to circumstances outside the instructor's control.

### Academic Integrity

Behavior consistent with cheating, copying, and academic dishonesty is not tolerated. Depending on the severity, this may result in a zero score on the assignment or exam, and could result in a failing grade for the class or even expulsion. Purdue prohibits "dishonesty in connection with any University activity. Cheating, plagiarism, or knowingly furnishing false information to the University are examples of dishonesty." (Part 5, Section III-B-2-a, University Regulations) Furthermore, the University Senate has stipulated that "the commitment of acts of cheating, lying, and deceit in any of their diverse forms (such as the use of substitutes for taking examinations, the use of illegal cribs, plagiarism, and copying during examinations) is dishonest and must not be tolerated. Moreover, knowingly to aid and abet, directly or indirectly, other parties in committing dishonest acts is in itself dishonest." (University Senate Document 7218, December 15, 1972). You are expected to read both [Purdue's guide to academic integrity](#) and [Prof. Gene's Spafford's guide](#) as well. You are responsible for understanding their contents and how it applies to this class.

**Posting Class Material:** Posting material associated with this class (e.g., solutions to homework sets or exams) without the written permission of the instructor is forbidden and may be a violation of copyright.

### Students with Disabilities

Purdue University is required to respond to the needs of the students with disabilities as outlined in both the Rehabilitation Act of 1973 and the Americans with Disabilities Act of 1990 through the provision of auxiliary aids and services that allow a student with a disability to fully access and participate in the programs, services, and activities at Purdue University. If you have a disability that requires special academic accommodation, please make an appointment to speak with the instructor within the first three (3) weeks of the semester in order to discuss any adjustments. It is the student's responsibility to notify the [Disability Resource Center](#) of an impairment/condition that may require accommodations and/or classroom modifications. We cannot arrange special accommodations without confirmation from the Disability Resource Center.

### Instructor Absence

The instructor might be away for a few classes. There will be a guest instructor for these classes. If we need to reschedule additional classes, we will do so on an as-needed basis.

# FAQs

## ? What if I lack critical background skills?

! We will start at the beginning and do our best to make the background material accessible to everyone through recitations. If you cannot attend recitations, we will make the materials covered in recitations available.

## ? Are e-books versions of the texts available at Purdue library?

! Yes, an online version of one or more of your texts is freely available at Purdue Libraries. You can access the e-book through the Library Resources link on the course navigation in Blackboard. Some ebooks will only be available online, while others will be available to download in full or in part. You may choose to use the e-book as an alternative to purchasing a physical copy of the text. For publicly available material, please see the material section of syllabus.

## Emergencies

In the event of a major campus emergency, course requirements, deadlines and grading percentages are subject to changes that may be necessitated by a revised semester calendar or other circumstances beyond the instructor's control. Relevant changes to this course will be posted onto the course website and/or announced via email. You are expected to read your purdue.edu email on a frequent basis. Emergency Preparedness: Emergency notification procedures are based on a simple concept: If you hear an alarm inside, proceed outside. If you hear a siren outside, proceed inside. Indoor Fire Alarms are meant to stop class or research and immediately evacuate the building. Proceed to your Emergency Assembly Area away from building doors. Remain outside until police, fire, or other emergency response personnel provide additional guidance or tell you it is safe to leave. All Hazards Outdoor Emergency Warning sirens mean to immediately seek shelter (Shelter in Place) in a safe location within the closest building. "Shelter in place" means seeking immediate shelter inside a building or University residence. This course of action may need to be taken during a tornado, a civil disturbance including a shooting or release of hazardous materials in the outside air. Once safely inside, find out more details about the emergency. Remain in place until police, fire, or other emergency response personnel provide additional guidance or tell you it is safe to leave. In both cases, you should seek additional clarifying information by all means possible: Purdue Home page, email alert, TV, radio, etc. [Review the Purdue Emergency Warning Notification System multi-communication layers](#). Please review the [Emergency Response Procedures](#). Please review the evacuation routes, exit points, emergency assembly area and shelter in place procedures and locations for our building. [Video resources](#) include a 20-minute active shooter awareness video that illustrates what to look for and how to prepare and react to this type of incident.

## Violent Behavior Policy

Purdue University is committed to providing a safe and secure campus environment for members of the university community. Purdue strives to create an educational environment for students and a work environment for employees that promote educational and career goals. Violent Behavior impedes such goals. Therefore, Violent Behavior is prohibited in or on any University Facility or while participating in any university activity.

## CAPS Information

Purdue University is committed to advancing the mental health and well-being of its students. If you or someone you know is feeling overwhelmed, depressed, and/or in need of support, services are available. For help, such individuals should contact [Counseling and Psychological Services \(CAPS\)](#) at (765)494-6995 during and after hours, on weekends and holidays, or through its counselors physically located in the Purdue University Student Health Center (PUSH) during business hours.

## Nondiscrimination

Purdue University is committed to maintaining a community which recognizes and values the inherent worth and dignity of every person; fosters tolerance, sensitivity, understanding, and mutual respect among its members; and encourages each individual to strive to reach his or her own potential. In pursuit of its goal of academic excellence, the University seeks to develop and nurture diversity. The University believes that diversity among its many members strengthens the institution, stimulates creativity, promotes the exchange of ideas, and enriches campus life. Purdue University prohibits discrimination against any member of the University community on the basis of race, religion, color, sex, age, national origin or ancestry, marital status, parental status, sexual orientation, disability, or status as a veteran. The University will conduct its programs, services and activities consistent with applicable federal, state and local laws, regulations and orders and in conformance with the procedures and limitations as set forth in Executive Memorandum No. D-1, which provides specific contractual rights and remedies.

## (Tentative) Topics

Upon successful completion, the students are expected to have a good understanding of fundamental concepts of ML, familiarity of main algorithms, evaluate the security of ML systems and required programming skills to be able to design data-driven solutions for challenging security problems. The tentative list of topics to be covered is:

### Background: The Basics of Machine Learning

1. Introduction to Machine Learning
2. The Process of Learning and Key Concepts
3. Background I: Review of Probability and Statistics
4. Background II: Linear Algebra and Vector Calculus

### PART 1: Machine Learning Algorithms for Security

These lectures will cover the practical technical understanding of machine learning algorithms applied to security. Examples include malware classification, finding insider threats, and detection of IoT sensor anomalies.

1. Regression: Linear Regression
2. Probabilistic classifier: Naive Bayes
3. Decision Trees
4. Instance-based Learning (Lazy Learning):  $k$ -nearest neighbors ( $k$ -NN)
5. Clustering:  $k$ -means and Gaussian Mixture Models
6. Logistic Regression
7. Dimensionality Projection: Principle Component Analysis and Fisher Linear Discriminant Analysis
8. Feature Selection: Filter and Wrapper methods
9. Neural Networks (Perceptron & Deep Neural Networks)
10. Convolutional Neural Networks

### PART 2: Security of Machine Learning Systems

We will view systems built on ML through confidentiality, integrity, and availability (CIA) model, articulate a comprehensive threat model for machine learning systems, and categorize attacks and defenses within an adversarial framework.

1. Attacks on the Machine Learning Pipeline: Poisoning attacks, model theft attacks, adversarial examples, recovery of sensitive training data, and physical-world attacks
2. Threat Models: White Box, Black Box, and Grey Box
3. Transferability
4. Types of Defenses: Pre-processing, and robust optimization
5. Introduction to Privacy in Machine Learning: Membership inference and model inversion attacks

### Recitations (The schedule of recitations will be determined later.)

1. **Background:** This recitation will provide students an overview of probability, linear algebra, and vector and matrix calculus.
2. **Computational Tools for Data Science:** This recitation will focus on developing practical skills in working with security data. This will be a coding-intensive recitation. We are using Python, since it allows for fast prototyping and is supported by a great variety of scientific (and, specifically, data related) libraries. We will cover a set of tools such as Scikit-learn (a free software machine learning library), NumPy (Python mathematical functions library) and Pandas (Python Data Analysis Library).
3. **Evaluating Machine Learning Algorithms:** This recitation will cover correct evaluation methodology of machine learning systems, including case studies of methodological errors. You will learn terms to evaluate machine learning systems, such as stratified cross validation, confusion matrix, and ROC curves.
4. **Introduction of Deep Learning Libraries in Python:** We will introduce Keras, a library for deep learning in Python, especially for beginners. We will demonstrate the steps to build a CNN using Keras with Theano or TensorFlow backend.

**Changes to the syllabus:** This syllabus is subject to change. Updates will be posted and dated on the course website.







## (Tentative) Schedule

### Background: The Basics of Machine Learning

Week 1 (Aug 19 - Aug 23)	Logistics & Course Overview	What is machine learning: A brief history and applications
	The Processes of Learning and Overview of Key Concepts	Data-driven problem solving, learning stages, feature engineering, training, model selection, generalization, optimization, prediction overfitting, underfitting, and regularization
	Background Readings (do them before class)	<a href="#">Review notation for Machine Learning</a> and <a href="#">Chapters 2, 3, 4, &amp; 5 from Mathematics of Machine Learning</a> (Recommended for Basic linear algebra and vector calculus)






### Part 1: Machine Learning Algorithms for Security

Week 2 (Aug 26 - Aug 30)	Linear Models for Regression	The Ordinary Least Squares (OLS), regularization, Ridge and Lasso regression
	Loss Functions and Optimization	Convex optimization, Gradient descent (GD) and stochastic gradient descent (SGD)
	Background Readings (do them before class)	<a href="#">Bishop Chapter 1</a> (excluding 1.2.5, 1.2.6 and 1.6) and <a href="#">Elements of Statistical Learning Chapter 3</a>
	 Homework #1	(Due Sunday 9/8/2019 11:59 PM)
Week 3 (Sep 2 - Sep 6)	Nearest Neighbors (NN)	Introduction to supervised learning, similarity measures, decision boundaries, model selection for NN, and metric learning
	Decision Trees	Entropy, Information gain, Decision Trees, Regularization
	Background Readings (do them before class)	<a href="#">A Course in Machine Learning</a> Chapter 1 and Chapter 3 (except Section 3.4). <a href="#">Introducing Scikit-Learn</a> and <a href="#">Hyperparameters and model validation in Scikit-Learn</a>
Week 4 (Sep 9 - Sep 13)	Clustering	Introduction to unsupervised learning, k-means, hierarchical clustering, k-means++, Mixture of Gaussians and EM algorithm
	Background Readings (do them before class)	<a href="#">Foundations of Data Science (Chapter 7)</a> and <a href="#">Mixture Models by Kevin Murphy</a>
	 Paper Review	<a href="#">Yen et al.</a> , Beehive: Large-scale log analysis for detecting suspicious activity in enterprise networks
Week 5 (Sep 16 - Sep 20)	Naive Bayes Classifier	Bayes' theorem, generative models, independence between features
	Logistic Regression	Discriminative models, Predicting probabilities, logit function, the exponential loss and loss minimization viewpoint, Gradient Descent (GD) for LR
	 Paper Review	<a href="#">Sikder et al.</a> , 6thSense: A Context-aware Sensor-based Attack Detector for Smart Devices
	Background Readings (do them before class)	<a href="#">A Course in Machine Learning</a> (Chapter 7) and <a href="#">A Course in Machine Learning</a> (Chapter 9)
	 Homework #2	(Due Sunday 9/22/2019 11:59 PM)

<b>Week 6</b> (Sep 23 - Sep 27)	Feature Selection	Filter and Wrapper methods
	Feature Projection	Principal Component Analysis (PCA), Fisher's Linear Discriminant Analysis
	Background Readings (do them before class)	<a href="#">A tutorial on PCA</a>
	🕒 Homework #3	(Due Sunday 10/6/2019 11:59 PM)
	⚡ Paper Review	<a href="#">Rossow et al.</a> , Prudent practices for designing malware experiments: Status quo and outlook
<b>Week 7</b> (Sep 30 - Oct 4)	Artificial Neural Networks	Binary classification, Perceptron algorithm and its convergence, multiple layer perceptron, an overview of Deep Neural Networks and its key challenges
	Background Readings (do them before class)	<a href="#">Neural Networks and Deep Learning</a> (Chapter 1 and Chapter 2 (for backpropagation algorithm))
	⚡ Paper Review	<a href="#">Shin et al.</a> , Recognizing Functions in Binaries with Neural Networks
<b>Week 8</b> (Oct 7 - Oct 12)	Convolutional Neural Networks	Convolution operation, CNN Architectures, filters, padding, sparse connectivity, weight sharing, stride, and Pooling
	Background Readings (do them before class)	<a href="#">Deep Learning book (Chapter 9)</a>
<b>Week 9</b> (Oct 14 - Oct 18)	Review Part 1	Wrap up the material, ask me anything
	⚠ Midterm Exam (Oct 17)	Covers all topics

## Part 2: Security of Machine Learning Systems

<b>Week 10</b> (Oct 21 - Oct 25)	Overview of key concepts	Threat, vulnerabilities, risk, adversarial capabilities, trust and security model, poisoning attacks, model extraction, adversarial examples, and threats to privacy
	Background Readings (do them before class)	<a href="#">Saltzer's and Schroeder's Design Principles</a>
	⚡ Paper Review	<a href="#">Papernot et al.</a> , Towards the Science of Security and Privacy in Machine Learning
<b>Week 11</b> (Oct 28 - Nov 1)	Perturbation Attack Strategies	Adversarial samples, misclassification and targeted attacks, FGSM, JSMA, and CW attacks
	Background Readings (do them before class)	<a href="#">Adversarial Examples: Attacks and Defenses for Deep Learning</a>
	🕒 Homework #4	(Due Sunday 11/3/2019 11:59 PM)
	⚡ Paper Review	<a href="#">Cao et al.</a> , Adversarial Objects Against LiDAR-Based Autonomous Driving Systems
<b>Week 12</b> (Nov 4 - Nov 8)	Adversarial Examples in Physical-world	Noisy physical environments, dynamic physical conditions including different viewpoint angles and distances
	Adversarial Examples in Constrained Domains	Semantics of features and capability of only controlling a subset of features
	Background Readings (do them before class)	<a href="#">Adversarial Examples for Malware Detection</a>

	 Paper Review	<a href="#">Kevin et al.</a> , Robust Physical-World Attacks on Deep Learning Visual Classification
	 Homework #5	(Due Sunday 11/24/2019 11:59 PM)
Week 13 (Nov 11 - Nov 15)	Transferability & Black-Box Attacks	Substitute models, intra and cross-technique transferability
	Evaluating Robustness of ML systems	Defense evaluations and adversarial example defenses
	Background Readings (do them before class)	<a href="#">When Does Machine Learning FAIL? Generalized Transferability for Evasion and Poisoning Attacks</a> and <a href="#">On Evaluating Adversarial Robustness</a>
	 Paper Review	<a href="#">Ling et al.</a> , DeepSec: A Uniform Platform for Security Analysis of Deep Learning Models
Week 14 (Nov 18 - Nov 22)	Defenses Against Adversarial Attacks	Pre-processing and robust optimization techniques, and empirical and theoretic approaches
	Thanksgiving break (Nov 27 - Nov 30)	No class on Thursday
	Background Readings (do them before class)	TBD
Week 15 (Nov 25 - Nov 29)	Generative Adversarial Networks (GANs)	Fake data generation and its applications
	Introduction to Privacy in ML Models	Model reconstruction, model inversion, membership inference attacks, and privacy preserving ML
	 Paper Review	<a href="#">Hitaj et al.</a> , Deep Models Under the GAN: Information Leakage from Collaborative Deep Learning
Week 16 (Dec 3 - Dec 7)	Future Research Directions	Introduction of related conferences and open research topics
	Review Part 2	Wrap up the material, ask me anything
 Final Exam	December 9-14 (TBD)	Covers all topics