

1

计算牛顿近似中的所有非零联络 $\Gamma_{\mu\nu}^\lambda$.

牛顿近似线元:

$$ds^2 = -c^2 dt^2 (1 - h_{00}) + (dx^1)^2 + (dx^2)^2 + (dx^3)^2 \quad (1)$$

度规:

$$g_{00} = -(1 - h_{00}), \quad g_{0i} = g^{0i} = 0, \quad g_{ij} = \delta_{ij} \quad (2)$$

利用小量近似 $1/(1-x) \approx 1+x, |x| \ll 1$ 有逆度规:

$$g^{00} = -(1 + h_{00}), \quad g^{0i} = g^{i0} = 0, \quad g^{ij} = \delta^{ij} \quad (3)$$

知道了度规和逆度规, 就可以计算黎曼联络:

$$\Gamma_{\mu\nu}^\lambda = \frac{1}{2} g^{\lambda\sigma} (\partial_\mu g_{\sigma\nu} + \partial_\nu g_{\sigma\mu} - \partial_\sigma g_{\mu\nu}) \quad (4)$$

- $\lambda = i$

$$\begin{aligned} \Gamma_{\mu\nu}^i &= \frac{1}{2} g^{i\sigma} (\partial_\mu g_{\sigma\nu} + \partial_\nu g_{\sigma\mu} - \partial_\sigma g_{\mu\nu}) \\ &= \frac{1}{2} g^{ij} (\partial_\mu g_{j\nu} + \partial_\nu g_{j\mu} - \partial_j g_{\mu\nu}) \\ &= \frac{1}{2} \delta^{ij} (\partial_\mu g_{j\nu} + \partial_\nu g_{j\mu} - \partial_j g_{\mu\nu}) \end{aligned} \quad (5)$$

- $\circ (\mu, \nu) = (l, m)$

$$\begin{aligned} \Gamma_{lm}^i &= \frac{1}{2} \delta^{ij} (\partial_l g_{jm} + \partial_m g_{jl} - \partial_j g_{lm}) \\ &= 0 \end{aligned} \quad (6)$$

- $\circ (\mu, \nu) = (0, m)$

$$\begin{aligned} \Gamma_{0m}^i &= \frac{1}{2} \delta^{ij} (\partial_0 g_{jm} + \partial_m g_{j0} - \partial_j g_{0m}) \\ &= 0 \end{aligned} \quad (7)$$

- $\circ (\mu, \nu) = (l, 0)$

$$\Gamma_{l0}^i = 0 \quad (8)$$

- $\circ (\mu, \nu) = (0, 0)$

$$\begin{aligned} \Gamma_{00}^i &= \frac{1}{2} \delta^{ij} (\partial_0 g_{j0} + \partial_0 g_{j0} - \partial_j g_{00}) \\ &= -\frac{1}{2} \delta^{ij} \partial_j [-(1 - h_{00})] \\ &= -\frac{1}{2} \delta^{ij} \partial_j h_{00} \\ &= -\frac{1}{2} \partial_i h_{00} \end{aligned} \quad (9)$$

- $\lambda = 0$

$$\begin{aligned} \Gamma_{\mu\nu}^0 &= \frac{1}{2} g^{0\sigma} (\partial_\mu g_{\sigma\nu} + \partial_\nu g_{\sigma\mu} - \partial_\sigma g_{\mu\nu}) \\ &= \frac{1}{2} g^{00} (\partial_\mu g_{0\nu} + \partial_\nu g_{0\mu} - \partial_0 g_{\mu\nu}) \end{aligned} \quad (10)$$

- $\circ (\mu, \nu) = (l, m)$

$$\begin{aligned}\Gamma_{lm}^0 &= \frac{1}{2}g^{00}(\partial_l g_{0m} + \partial_m g_{0l} - \partial_0 g_{lm}) \\ &= 0\end{aligned}\quad (11)$$

- $\circ (\mu, \nu) = (0, m)$

$$\begin{aligned}\Gamma_{0m}^0 &= \frac{1}{2}g^{00}(\partial_0 g_{0m} + \partial_m g_{00} - \partial_0 g_{0m}) \\ &= \frac{1}{2}g^{00}\partial_m g_{00} \\ &= \frac{1}{2}[-(1 + h_{00})]\partial_m[-(1 - h_{00})] \\ &\approx -\frac{1}{2}\partial_m h_{00}\end{aligned}\quad (12)$$

舍去二阶小量

- $\circ (\mu, \nu) = (l, 0)$

$$\Gamma_{l0}^0 = -\frac{1}{2}\partial_l h_{00}\quad (13)$$

- $\circ (\mu, \nu) = (0, 0)$

$$\begin{aligned}\Gamma_{00}^0 &= \frac{1}{2}g^{00}(\partial_0 g_{00} + \partial_0 g_{00} - \partial_0 g_{00}) \\ &= 0\end{aligned}\quad (14)$$

2

写出逆变、协变与二阶张量的坐标变换式，已知 $A_\nu^\mu = \partial \tilde{x}^\mu / \partial x^\nu$, $\bar{A}_\nu^\mu = \partial x^\mu / \partial \tilde{x}^\nu$ 且 $\det[A_\nu^\mu] \neq 0$

$$\phi'^\mu(x') = A_\alpha^\mu \phi^\alpha(x) \quad (15)$$

$$\phi'_\mu(x') = \bar{A}_\mu^\alpha \phi_\alpha(x) \quad (16)$$

$$\phi'^{\mu\nu}(x') = A_\alpha^\mu A_\beta^\nu \phi^{\alpha\beta}(x) \quad (17)$$

$$\phi'_\nu{}^\mu(x') = A_\alpha^\mu \bar{A}_\nu^\beta \phi_\beta^\alpha(x) \quad (18)$$

$$\phi'_{\mu\nu}(x') = \bar{A}_\mu^\alpha \bar{A}_\nu^\beta \phi_{\alpha\beta}(x) \quad (19)$$

$$\nabla_\mu \phi^\nu = \partial_\mu \phi^\nu + \Gamma_{\mu\lambda}^\nu \phi^\lambda \quad (20)$$

$$\nabla_\mu \phi_\nu = \partial_\mu \phi_\nu - \Gamma_{\mu\nu}^\lambda \phi_\lambda \quad (21)$$

$$\nabla_\mu \phi^{\nu\lambda} = \partial_\mu \phi^{\nu\lambda} + \Gamma_{\mu\rho}^\nu \phi^{\rho\lambda} + \Gamma_{\mu\rho}^\lambda \phi^{\nu\rho} \quad (22)$$

$$\nabla_\mu \phi_\lambda^\nu = \partial_\mu \phi_\lambda^\nu + \Gamma_{\mu\rho}^\nu \phi_\lambda^\rho - \Gamma_{\mu\lambda}^\rho \phi_\rho^\nu \quad (23)$$

$$\nabla_\mu \phi_{\nu\lambda} = \partial_\mu \phi_{\nu\lambda} - \Gamma_{\mu\nu}^\rho \phi_{\rho\lambda} - \Gamma_{\mu\lambda}^\rho \phi_{\nu\rho} \quad (24)$$

3

由比安基恒等式证明 $(R^{\mu\nu} - \frac{1}{2}g^{\mu\nu}R)_{;\nu} = 0$

比安基恒等式：

$$\nabla_\lambda R^\rho{}_{\sigma\mu\nu} + \nabla_\mu R^\rho{}_{\sigma\nu\lambda} + \nabla_\nu R^\rho{}_{\sigma\lambda\mu} = 0 \quad (25)$$

令 $\rho = \mu$ 得到

$$\nabla_{\lambda} R^{\mu}{}_{\sigma\mu\nu} + \nabla_{\mu} R^{\mu}{}_{\sigma\nu\lambda} + \nabla_{\nu} R^{\mu}{}_{\sigma\lambda\mu} = 0 \quad (26)$$

由 $R_{\mu\nu} \equiv R^{\lambda}{}_{\mu\lambda\nu}$ 可知第一项化为

$$\nabla_{\lambda} R^{\mu}{}_{\sigma\mu\nu} = \nabla_{\lambda} R_{\sigma\nu} \quad (27)$$

由 $R^{\rho}{}_{\sigma\mu\nu} = -R^{\rho}{}_{\sigma\nu\mu}$ 知第三项化为

$$\nabla_{\nu} R^{\mu}{}_{\sigma\lambda\mu} = -\nabla_{\nu} R^{\mu}{}_{\sigma\mu\lambda} = -\nabla_{\nu} R_{\sigma\lambda} \quad (28)$$

于是有

$$\nabla_{\nu} R_{\sigma\lambda} = \nabla_{\lambda} R_{\sigma\nu} + \nabla_{\mu} R^{\mu}{}_{\sigma\nu\lambda} \quad (29)$$

两边同乘 $g^{\sigma\lambda}$, 利用 $\nabla_{\nu} g^{\sigma\lambda} = 0$ 、协变微分的莱布尼兹律以及 $R \equiv g^{\mu\nu} R_{\mu\nu}$, $R^{\mu}{}_{\nu} \equiv g^{\mu\rho} R_{\rho\nu}$ 有

$$\nabla_{\nu} R = \nabla_{\lambda} R^{\lambda}{}_{\nu} + \nabla_{\mu} (g^{\sigma\lambda} R^{\mu}{}_{\sigma\nu\lambda}) \quad (30)$$

上式右边第二项, 利用 $R_{\mu\nu\lambda\rho} = -R_{\nu\mu\lambda\rho}$, $R^{\lambda}{}_{\sigma\mu\nu} = -R^{\lambda}{}_{\sigma\nu\mu}$ 有

$$\begin{aligned} g^{\sigma\lambda} R^{\mu}{}_{\sigma\nu\lambda} &= g^{\mu\rho} g^{\sigma\lambda} R_{\rho\sigma\nu\lambda} = g^{\mu\rho} g^{\sigma\lambda} R_{\nu\lambda\rho\sigma} \\ &= -g^{\mu\rho} g^{\sigma\lambda} R_{\lambda\nu\rho\sigma} = -g^{\mu\rho} R^{\sigma}{}_{\nu\rho\sigma} = g^{\mu\rho} R^{\sigma}{}_{\nu\sigma\rho} \\ &= g^{\mu\rho} R_{\nu\rho} = R^{\mu}{}_{\nu} \end{aligned} \quad (31)$$

于是得到

$$\nabla_{\nu} R = \nabla_{\lambda} R^{\lambda}{}_{\nu} + \nabla_{\mu} R^{\mu}{}_{\nu} \quad (32)$$

也即

$$\nabla_{\nu} R = 2\nabla_{\mu} R^{\mu}{}_{\nu}, \quad \nabla_{\mu} R^{\mu}{}_{\nu} = \frac{1}{2} \nabla_{\nu} R = \frac{1}{2} \delta^{\mu}{}_{\nu} \nabla_{\mu} R \quad (33)$$

即

$$\nabla_{\mu} \left(R^{\mu}{}_{\nu} - \frac{1}{2} \delta^{\mu}{}_{\nu} R \right) = 0 \quad (34)$$

两边同乘 $g^{\rho\nu}$ 得

$$\nabla_{\mu} \left(R^{\mu\rho} - \frac{1}{2} g^{\rho\mu} R \right) = 0 \quad (35)$$

又 $R^{\mu\nu} = R^{\nu\mu}$ 于是

$$\nabla_{\mu} \left(R^{\rho\mu} - \frac{1}{2} g^{\rho\mu} R \right) = 0 \quad (36)$$

等价于

$$\left(R^{\mu\nu} - \frac{1}{2} g^{\mu\nu} R \right)_{;\nu} = 0 \quad (37)$$

4

写出爱因斯坦作用量, 并变分得到场方程。已知Palatini公式

$$\sqrt{-g} g^{\mu\nu} \delta R_{\mu\nu} = \partial_{\mu} (\sqrt{-g} \phi^{\mu}) \quad (38)$$

且

$$\delta g = g g^{\mu\nu} \delta g_{\mu\nu} = -g g_{\mu\nu} \delta g^{\mu\nu} \quad (39)$$

总作用量

$$I = I_g + I_m \quad (40)$$

其中 I_g 是引力场作用量, I_m 是引力源物质作用量。

$$I_g = \int_M L_g \sqrt{-g} d^4x = \frac{c^3}{16\pi G} \int_M R \sqrt{-g} d^4x, \quad L_g = \frac{c^3}{16\pi G} R \quad (41)$$

想算 I_g 对度规的变分, 要先算 $R\sqrt{-g}$ 对度规的变分。

$$\begin{aligned} \delta(R\sqrt{-g}) &= \delta(g^{\mu\nu} R_{\mu\nu} \sqrt{-g}) \\ &= (\delta g^{\mu\nu}) R_{\mu\nu} \sqrt{-g} + g^{\mu\nu} (\delta R_{\mu\nu}) \sqrt{-g} + g^{\mu\nu} R_{\mu\nu} \delta(\sqrt{-g}) \end{aligned} \quad (42)$$

利用Palatini.II公式

$$\sqrt{-g} g^{\mu\nu} \delta R_{\mu\nu} = \partial_\mu (\sqrt{-g} \phi^\mu), \quad \phi^\mu \equiv g^{\lambda\nu} \delta \Gamma_{\lambda\nu}^\mu - g^{\mu\nu} \delta \Gamma_{\lambda\nu}^\lambda \quad (43)$$

以及

$$\delta g = g g^{\mu\nu} \delta g_{\mu\nu} = -g g_{\mu\nu} \delta g^{\mu\nu} \quad (44)$$

$$\delta(\sqrt{-g}) = -\frac{1}{2} \frac{\delta g}{\sqrt{-g}} = -\frac{1}{2} \frac{-g g_{\mu\nu} \delta g^{\mu\nu}}{\sqrt{-g}} = -\frac{1}{2} \sqrt{-g} g_{\mu\nu} \delta g^{\mu\nu} \quad (45)$$

于是有

$$\begin{aligned} \delta(R\sqrt{-g}) &= (\delta g^{\mu\nu}) R_{\mu\nu} \sqrt{-g} + g^{\mu\nu} (\delta R_{\mu\nu}) \sqrt{-g} + g^{\mu\nu} R_{\mu\nu} \delta(\sqrt{-g}) \\ &= R_{\mu\nu} \sqrt{-g} \delta g^{\mu\nu} + \partial_\mu (\sqrt{-g} \phi^\mu) - \frac{1}{2} \sqrt{-g} R g_{\mu\nu} \delta g^{\mu\nu} \end{aligned} \quad (46)$$

因此引力场作用量对度规的变分为

$$\begin{aligned} \delta I_g &= \frac{c^3}{16\pi G} \int_M \delta(R\sqrt{-g}) d^4x \\ &= \frac{c^3}{16\pi G} \int_M \left(R_{\mu\nu} \sqrt{-g} \delta g^{\mu\nu} + \partial_\mu (\sqrt{-g} \phi^\mu) - \frac{1}{2} \sqrt{-g} R g_{\mu\nu} \delta g^{\mu\nu} \right) d^4x \\ &= \frac{c^3}{16\pi G} \int_M \left(R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R \right) (\delta g^{\mu\nu}) \sqrt{-g} d^4x \end{aligned} \quad (47)$$

接下来还需要引力源物质作用量对度规的变分。

$$I_m = \frac{1}{c} \int_M L_m \sqrt{-g} d^4x \quad (48)$$

假定拉式密度 L_m 只含 $g^{\mu\nu}$, 而不含 $\partial_\lambda g^{\mu\nu}$, 则

$$\begin{aligned} \delta I_m &= \frac{1}{c} \int_M \delta(L_m \sqrt{-g}) d^4x \\ &= \frac{1}{c} \int_M \frac{\partial(L_m \sqrt{-g})}{\partial g^{\mu\nu}} \delta g^{\mu\nu} d^4x \end{aligned} \quad (49)$$

定义

$$T_{\mu\nu} \equiv -\frac{2}{\sqrt{-g}} \frac{\partial(L_m \sqrt{-g})}{\partial g^{\mu\nu}}, \quad \frac{\partial(L_m \sqrt{-g})}{\partial g^{\mu\nu}} = -\frac{1}{2} \sqrt{-g} T_{\mu\nu} \quad (50)$$

则

$$\begin{aligned}
\delta I_m &= \frac{1}{c} \int_M \frac{\partial (L_m \sqrt{-g})}{\partial g^{\mu\nu}} \delta g^{\mu\nu} d^4x \\
&= -\frac{1}{2c} \int_M T_{\mu\nu} (\delta g^{\mu\nu}) \sqrt{-g} d^4x
\end{aligned} \tag{51}$$

最小作用量原理说， $\delta I = 0$ 给出体系的运动方程，也即

$$\begin{aligned}
0 = \delta I &= \delta I_g + \delta I_m = \frac{c^3}{16\pi G} \int_M \left(R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R \right) (\delta g^{\mu\nu}) \sqrt{-g} d^4x - \frac{1}{2c} \int_M T_{\mu\nu} (\delta g^{\mu\nu}) \sqrt{-g} d^4x \\
&= \frac{c^3}{16\pi G} \int_M \left(R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R - \frac{8\pi G}{c^4} T_{\mu\nu} \right) (\delta g^{\mu\nu}) \sqrt{-g} d^4x
\end{aligned} \tag{52}$$

最终得到爱因斯坦引力场方程：

$$R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = \frac{8\pi G}{c^4} T_{\mu\nu} \tag{53}$$

5

求弱场线性近似的里奇张量，并导出线性化的场方程。

由于

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}, \quad |h_{\mu\nu}| \ll 1, \tag{54}$$

线性近似理论只保留小量 $h_{\mu\nu}$ 的一阶项，于是

$$\begin{aligned}
\Gamma_{\alpha\beta}^\mu &\equiv \frac{1}{2} g^{\mu\nu} (\partial_\alpha g_{\beta\nu} + \partial_\beta g_{\alpha\nu} - \partial_\nu g_{\alpha\beta}) \\
&\equiv \frac{1}{2} (\eta^{\mu\nu} + h^{\mu\nu}) [\partial_\alpha (\eta_{\beta\nu} + h_{\beta\nu}) + \partial_\beta (\eta_{\alpha\nu} + h_{\alpha\nu}) - \partial_\nu (\eta_{\alpha\beta} + h_{\alpha\beta})] \\
&= \frac{1}{2} \eta^{\mu\nu} (\partial_\beta h_{\alpha\nu} + \partial_\alpha h_{\beta\nu} - \partial_\nu h_{\alpha\beta}) \\
&= \frac{1}{2} [\partial_\beta (\eta^{\mu\nu} h_{\alpha\nu}) + \partial_\alpha (\eta^{\mu\nu} h_{\beta\nu}) - \eta^{\mu\nu} \partial_\nu (h_{\alpha\beta})] \\
&= \frac{1}{2} (\partial_\beta h_\alpha^\mu + \partial_\alpha h_\beta^\mu - \partial^\mu h_{\alpha\beta}) \\
&= \frac{1}{2} (h_{\alpha,\beta}^\mu + h_{\beta,\alpha}^\mu - h_{\alpha\beta}^\mu)
\end{aligned} \tag{55}$$

克氏符 Γ 是 $h_{\mu\nu}$ 一阶小量的叠加，因此 Riemann 曲率张量中的 $\Gamma\Gamma$ 项可以舍去，此时 Riemann 曲率张量为：

$$\begin{aligned}
R_{\mu\alpha\nu}^\lambda &\equiv \partial_\alpha \Gamma_{\nu\mu}^\lambda - \partial_\nu \Gamma_{\alpha\mu}^\lambda + \Gamma_{\alpha\beta}^\lambda \Gamma_{\nu\mu}^\beta - \Gamma_{\nu\beta}^\lambda \Gamma_{\alpha\mu}^\beta \\
&= \partial_\alpha \Gamma_{\nu\mu}^\lambda - \partial_\nu \Gamma_{\alpha\mu}^\lambda \\
&= \frac{1}{2} [\partial_\alpha (h_{\nu,\mu}^\lambda + h_{\mu,\nu}^\lambda - h_{\nu\mu}^\lambda) - \partial_\nu (h_{\alpha,\mu}^\lambda + h_{\mu,\alpha}^\lambda - h_{\alpha\mu}^\lambda)]
\end{aligned} \tag{56}$$

Ricci张量为

$$\begin{aligned}
R_{\mu\nu} &= R_{\mu\alpha\nu}^\alpha \\
&= \frac{1}{2} [\partial_\alpha (h_{\nu,\mu}^\alpha + h_{\mu,\nu}^\alpha - h_{\nu\mu}^\alpha) - \partial_\nu (h_{\alpha,\mu}^\alpha + h_{\mu,\alpha}^\alpha - h_{\alpha\mu}^\alpha)] \\
&= \frac{1}{2} (\partial_\alpha h_{\nu,\mu}^\alpha + \partial_\nu h_{\alpha\mu}^\alpha - \partial_\alpha h_{\nu\mu}^\alpha - \partial_\nu h_{\alpha\mu}^\alpha) \\
&= -\frac{1}{2} (h_{\nu\mu,\alpha}^\alpha + h_{\alpha\mu,\nu}^\alpha - h_{\nu,\mu,\alpha}^\alpha - h_{\alpha\mu,\nu}^\alpha) \\
&= -\frac{1}{2} (h_{\mu\nu,\alpha}^\alpha + h_{\alpha,\mu,\nu}^\alpha - h_{\nu,\mu,\alpha}^\alpha - h_{\alpha\mu,\nu}^\alpha)
\end{aligned} \tag{57}$$

注意到

$$h_{\alpha,\mu,\nu}^{\alpha} = \eta^{\lambda\alpha} h_{\lambda\alpha,\mu,\nu} = (\eta^{\lambda\alpha} h_{\lambda\alpha})_{,\mu,\nu} = h_{,\mu,\nu} \quad (58)$$

$$h_{\alpha\mu,\nu}^{\alpha} = \eta^{\alpha\beta} h_{\alpha\mu,\nu,\beta} = h_{\mu,\nu,\beta}^{\beta} = h_{\mu,\nu,\alpha}^{\alpha} \quad (59)$$

因此Ricci张量可化为

$$\begin{aligned} R_{\mu\nu} &= -\frac{1}{2} (h_{\mu\nu,\alpha}^{\alpha} + h_{\alpha,\mu,\nu}^{\alpha} - h_{\nu,\mu,\alpha}^{\alpha} - h_{\alpha\mu,\nu}^{\alpha}) \\ &= -\frac{1}{2} (h_{\mu\nu,\alpha}^{\alpha} + h_{,\mu,\nu} - h_{\nu,\mu,\alpha}^{\alpha} - h_{\mu,\nu,\alpha}^{\alpha}) \end{aligned} \quad (60)$$

先算Ricci标量：

$$\begin{aligned} R &= \eta^{\mu\nu} R_{\mu\nu} \\ &= -\frac{1}{2} \eta^{\mu\nu} (h_{\mu\nu,\alpha}^{\alpha} + h_{,\mu,\nu} - h_{\nu,\mu,\alpha}^{\alpha} - h_{\mu,\nu,\alpha}^{\alpha}) \\ &= -\frac{1}{2} (h_{,\alpha}^{\alpha} + h_{,\nu}^{\nu} - h_{\nu,\alpha}^{\alpha,\nu} - h_{\mu,\alpha}^{\alpha,\mu}) \\ &= -\frac{1}{2} (2h_{,\alpha}^{\alpha} - 2h_{\beta,\alpha}^{\alpha,\beta}) \\ &= -h_{,\alpha}^{\alpha} + h_{\beta,\alpha}^{\alpha,\beta} \end{aligned} \quad (61)$$

利用

$$\bar{h} = -h, \quad \bar{h}_{\mu\nu} \equiv h_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} h = h_{\mu\nu} + \frac{1}{2} \eta_{\mu\nu} \bar{h} \implies h_{\mu\nu} = \bar{h}_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} \bar{h} \quad (62)$$

$$h_{\nu}^{\alpha} = \bar{h}_{\nu}^{\alpha} - \frac{1}{2} \delta_{\nu}^{\alpha} \bar{h} \quad (63)$$

有

$$\begin{aligned} \bar{R}_{\mu\nu} &\equiv R_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} R \\ &= -\frac{1}{2} (h_{\mu\nu,\alpha}^{\alpha} + h_{,\mu,\nu} - h_{\nu,\mu,\alpha}^{\alpha} - h_{\alpha\mu,\nu}^{\alpha}) - \frac{1}{2} \eta_{\mu\nu} (-h_{,\alpha}^{\alpha} + h_{\beta,\alpha}^{\alpha,\beta}) \\ &= -\frac{1}{2} [h_{\mu\nu,\alpha}^{\alpha} + h_{,\mu,\nu} - h_{\nu,\mu,\alpha}^{\alpha} - h_{\alpha\mu,\nu}^{\alpha} - \eta_{\mu\nu} h_{,\alpha}^{\alpha} + \eta_{\mu\nu} h_{\beta,\alpha}^{\alpha,\beta}] \\ &= -\frac{1}{2} \left[\left(\bar{h}_{\mu\nu,\alpha}^{\alpha} - \frac{1}{2} \eta_{\mu\nu} \bar{h}_{,\alpha}^{\alpha} \right) + (-\bar{h}_{,\mu,\nu}) - \left(\bar{h}_{\nu,\mu,\alpha}^{\alpha} - \frac{1}{2} \delta_{\nu}^{\alpha} \bar{h}_{,\mu,\alpha} \right) - \left(\bar{h}_{\mu,\nu,\alpha}^{\alpha} - \frac{1}{2} \delta_{\mu}^{\alpha} \bar{h}_{,\nu,\alpha} \right) - (-\eta_{\mu\nu} \bar{h}_{,\alpha}^{\alpha}) + \left(\eta_{\mu\nu} \left(\bar{h}_{\beta,\alpha}^{\alpha,\beta} - \frac{1}{2} \delta_{\beta}^{\alpha} \bar{h}_{,\alpha}^{\alpha,\beta} \right) \right) \right] \\ &= -\frac{1}{2} [\bar{h}_{\mu\nu,\alpha}^{\alpha} - \bar{h}_{\nu,\mu,\alpha}^{\alpha} - \bar{h}_{\mu,\nu,\alpha}^{\alpha} + \eta_{\mu\nu} \bar{h}_{\beta,\alpha}^{\alpha,\beta}] \\ &= -\frac{1}{2} [\bar{h}_{\mu\nu,\alpha}^{\alpha} - \bar{h}_{\nu,\mu,\alpha}^{\alpha} - \bar{h}_{\mu,\nu,\alpha}^{\alpha} + \eta_{\mu\nu} \eta^{\alpha\rho} \bar{h}_{\rho\beta,\alpha}^{\beta}] \\ &= -\frac{1}{2} [\bar{h}_{\mu\nu,\alpha}^{\alpha} - \bar{h}_{\nu,\mu,\alpha}^{\alpha} - \bar{h}_{\mu,\nu,\alpha}^{\alpha} + \eta_{\mu\nu} \bar{h}_{\rho\beta}^{\rho,\beta}] \\ &= -\frac{1}{2} [\bar{h}_{\mu\nu,\alpha}^{\alpha} + \eta_{\mu\nu} \bar{h}_{\alpha\beta}^{\alpha,\beta} - \bar{h}_{\nu,\mu,\alpha}^{\alpha} - \bar{h}_{\mu,\nu,\alpha}^{\alpha}] \end{aligned} \quad (64)$$

最后，Einstein引力场方程

$$\bar{R}_{\mu\nu} \equiv R_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} R = 8\pi G T_{\mu\nu} \quad (65)$$

就化为：

$$-\frac{1}{2} [\bar{h}_{\mu\nu,\alpha}^{\alpha} + \eta_{\mu\nu} \bar{h}_{\alpha\beta}^{\alpha,\beta} - \bar{h}_{\nu,\mu,\alpha}^{\alpha} - \bar{h}_{\mu,\nu,\alpha}^{\alpha}] = 8\pi G T_{\mu\nu} \quad (66)$$

也即：

$$\bar{h}_{\mu\nu,\alpha}^{\alpha} + \eta_{\mu\nu} \bar{h}_{\alpha\beta}^{\alpha,\beta} - \bar{h}_{\nu,\mu,\alpha}^{\alpha} - \bar{h}_{\mu,\nu,\alpha}^{\alpha} = -16\pi G T_{\mu\nu} \quad (67)$$

6

推导光线在行星附近的轨道方程，并讨论其偏折，这证明了GR的什么效应？

考虑史瓦西时空，以线长 s 为参数，在 $\theta = \pi/2$ 平面内

$$\frac{d^2 t}{ds^2} + \nu' \frac{dt}{ds} \frac{dr}{ds} = 0, \quad \text{or} \quad ds^2 = -c^2 e^\nu dt^2 + e^{-\nu} dr^2 + r^2 d\phi^2 \quad (68)$$

$$\frac{d^2 r}{ds^2} + \frac{c^2 \nu'}{2} e^{2\nu} \left(\frac{dt}{ds} \right)^2 - \frac{\nu'}{2} \left(\frac{dr}{ds} \right)^2 - r e^\nu \left(\frac{d\phi}{ds} \right)^2 = 0 \quad (69)$$

$$\frac{d^2 \phi}{ds^2} + \frac{2}{r} \frac{dr}{ds} \frac{d\phi}{ds} = 0 \quad (70)$$

注意到上面第三条方程

$$\frac{d^2 \phi}{ds^2} + \frac{2}{r} \frac{dr}{ds} \frac{d\phi}{ds} = 0, \quad r^2 \frac{d^2 \phi}{ds^2} + 2r \frac{dr}{ds} \frac{d\phi}{ds} = 0, \quad r^2 \frac{d}{ds} \frac{d\phi}{ds} + \frac{d(r^2)}{ds} \frac{d\phi}{ds} = 0 \quad (71)$$

也即

$$\frac{d}{ds} \left(r^2 \frac{d\phi}{ds} \right) = 0 \quad (72)$$

因此

$$r^2 \frac{d\phi}{ds} = \text{const} \equiv \frac{h}{c} \quad (73)$$

这是 GR 中的角动量守恒。

我们要找轨道方程，因此需要找到 r, ϕ 的微分方程。

由于 $\theta = \pi/2$ ，则线元

$$ds^2 = -c^2 e^\nu dt^2 + e^{-\nu} dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2), \quad e^\nu = 1 - \frac{2GM}{c^2 r} \quad (74)$$

可化简为

$$ds^2 = -c^2 e^\nu dt^2 + e^{-\nu} dr^2 + r^2 d\phi^2 \quad (75)$$

利用线元 ds^2 与线长 ds 的关系

$$ds = \sqrt{-ds^2}, \quad (ds)^2 = -ds^2 = c^2 e^\nu dt^2 - e^{-\nu} dr^2 - r^2 d\phi^2 \quad (76)$$

两边同除 $(ds)^2$ ，再同乘 e^ν ，得到

$$\left(\frac{dr}{ds} \right)^2 = c^2 e^{2\nu} \left(\frac{dt}{ds} \right)^2 - r^2 e^\nu \left(\frac{d\phi}{ds} \right)^2 - e^\nu \quad (77)$$

上式代入 r 关于 s 的二阶偏微分方程，就消去 t ：

$$\frac{d^2 r}{ds^2} + \frac{\nu'}{2} e^\nu r^2 \left(\frac{d\phi}{ds} \right)^2 - r e^\nu \left(\frac{d\phi}{ds} \right)^2 + \frac{1}{2} \nu' e^\nu = 0 \quad (78)$$

$$\frac{d^2 r}{ds^2} + \left(\frac{1}{2} r^2 \nu' e^\nu - r e^\nu \right) \left(\frac{d\phi}{ds} \right)^2 + \frac{1}{2} \nu' e^\nu = 0 \quad (79)$$

这就是GR中参数形式的行星轨道方程。

利用 GR 中的角动量守恒

$$r^2 \frac{d\phi}{ds} = \frac{h}{c}, \quad \frac{d\phi}{ds} = \frac{h}{cr^2} \quad (80)$$

进一步化简为

$$\frac{d^2 r}{ds^2} + \left(\frac{\nu'}{2} r^2 - r \right) e^\nu \left(\frac{h}{cr^2} \right)^2 + \frac{1}{2} \nu' e^\nu = 0 \quad (81)$$

$$\frac{d^2 r}{ds^2} + \frac{1}{2} \nu' e^\nu \left(\frac{h^2}{c^2 r^2} + 1 \right) - r e^\nu \left(\frac{h}{cr^2} \right)^2 = 0 \quad (82)$$

令 $u = \frac{1}{r}$, 注意到

$$e^\nu = 1 - \frac{2GM}{c^2 r} = 1 - \frac{2GM}{c^2} u \quad (83)$$

$$\nu' e^\nu = (e^\nu)' = \frac{2GM}{c^2 r^2} = \frac{2GM}{c^2} u^2 \quad (84)$$

$$\frac{d\phi}{ds} = \frac{h}{cr^2} = \frac{h}{c} u^2 \quad (85)$$

$$\frac{d}{ds} = \frac{d\phi}{ds} \frac{d}{d\phi} = \frac{h}{c} u^2 \frac{d}{d\phi} \quad (86)$$

$$\begin{aligned} \frac{d^2 r}{ds^2} &= \frac{d}{ds} \left(\frac{d(1/u)}{ds} \right) = \frac{d}{ds} \left(-\frac{1}{u^2} \frac{du}{ds} \right) = \frac{d}{ds} \left[-\frac{1}{u^2} \cdot \left(\frac{h}{c} u^2 \frac{du}{d\phi} \right) \right] \\ &= -\frac{h}{c} \frac{d}{ds} \left(\frac{du}{d\phi} \right) = -\frac{h}{c} \cdot \frac{h}{c} u^2 \frac{d}{d\phi} \left(\frac{du}{d\phi} \right) \\ &= -\frac{h^2}{c^2} u^2 \frac{d^2 u}{d\phi^2} \end{aligned} \quad (87)$$

于是轨道的参数方程就化为如下的轨道方程：

$$\frac{d^2 u}{d\phi^2} + u = \frac{3GM}{c^2} u^2 + \frac{GM}{h^2} \quad (88)$$

上式就是GR中的Binet方程。

设 u_0 满足牛顿力学中的Binet方程

$$\frac{d^2 u_0}{d\phi^2} + u_0 = \frac{GM}{h^2} \quad (89)$$

其解为

$$u_0 = \frac{1}{p} (1 + e \cos \phi), \quad p = \frac{h^2}{GM} \quad (90)$$

令 $\alpha = 3GM/c^2$, 则

$$\frac{d^2 u}{d\phi^2} + u = \alpha u^2 + \frac{GM}{h^2} \quad (91)$$

设 $u = u_0 + \alpha u_1$, 则

$$\frac{d^2 u_0}{d\phi^2} + u_0 + \alpha \frac{d^2 u_1}{d\phi^2} + \alpha u_1 = \alpha (u_0 + \alpha u_1)^2 + \frac{GM}{h^2} \quad (92)$$

利用 u_0 满足的方程就得到

$$\alpha \frac{d^2 u_1}{d\phi^2} + \alpha u_1 = \alpha (u_0 + \alpha u_1)^2 \quad (93)$$

由于 α 是个小量, 约去右边括号内的高阶小量, 再利用 u_0 的表达式, 就得到

$$\frac{d^2 u_1}{d\phi^2} + u_1 = u_0^2 = \frac{(1 + e \cos \phi)^2}{p^2} = \frac{1}{p^2} \left[\left(1 + \frac{e^2}{2} \right) + 2e \cos \phi + \frac{e^2}{2} \cos 2\phi \right] \quad (94)$$

设 u_1 的形式解为

$$u_1 = A + B\phi \sin \phi + C \cos 2\phi \quad (95)$$

$$\frac{du_1}{d\phi} = B(\sin \phi + \phi \cos \phi) - 2C \sin 2\phi \quad (96)$$

$$\frac{d^2 u_1}{d\phi^2} = B(2 \cos \phi - \phi \sin \phi) - 4C \cos 2\phi \quad (97)$$

代入方程得到

$$B(2 \cos \phi - \phi \sin \phi) - 4C \cos 2\phi + A + B\phi \sin \phi + C \cos 2\phi = \frac{1}{p^2} \left[\left(1 + \frac{e^2}{2}\right) + 2e \cos \phi + \frac{e^2}{2} \cos 2\phi \right] \quad (98)$$

对比各项前的系数就得到

$$A = \frac{1}{p^2} \left(1 + \frac{e^2}{2}\right), \quad B = \frac{e}{p^2}, \quad C = -\frac{e^2}{6p^2} \quad (99)$$

因此

$$u_1 = \frac{1}{p^2} \left(1 + \frac{e^2}{2}\right) + \frac{e}{p^2} \phi \sin \phi - \frac{e^2}{6p^2} \cos 2\phi \quad (100)$$

$$u = u_0 + \alpha u_1 = \frac{1}{p} \left[(1 + e \cos \phi) + \frac{\alpha}{p} \left(1 + \frac{e^2}{2}\right) + \frac{\alpha e}{p} \phi \sin \phi - \frac{1}{6} \frac{\alpha e^2}{p^2} \cos 2\phi \right] \quad (101)$$

上面只有 $\phi \sin \phi$ 项是累加的，只保留对轨道有长期影响的项：

$$u = \frac{1}{p} \left[(1 + e \cos \phi) + \frac{\alpha e}{p} \phi \sin \phi \right] \quad (102)$$

由于 α 是小量，则

$$1 \approx \cos \left(\frac{\alpha}{p} \phi \right), \quad \frac{\alpha}{p} \phi \approx \sin \left(\frac{\alpha}{p} \phi \right) \quad (103)$$

于是

$$\begin{aligned} u &= \frac{1}{p} \left[(1 + e \cos \phi) + \frac{\alpha e}{p} \phi \sin \phi \right] \\ &= \frac{1}{p} \left[1 + e \left(1 \cdot \cos \phi + \frac{\alpha}{p} \phi \sin \phi \right) \right] \\ &\approx \frac{1}{p} \left[1 + e \left(\cos \left(\frac{\alpha}{p} \phi \right) \cos \phi + \sin \left(\frac{\alpha}{p} \phi \right) \sin \phi \right) \right] \\ &= \frac{1}{p} \left[1 + \cos \left(\left(1 - \frac{\alpha}{p} \right) \phi \right) \right] \\ &\equiv \frac{1}{p} (1 + e \cos \Phi) \end{aligned} \quad (104)$$

$$\Phi \equiv \left(1 - \frac{\alpha}{p} \right) \phi \quad (105)$$

当 $\Phi = \Phi_n = (2n + 1)\pi$ 时， $\cos \Phi = -1$ ，此时 u 最小， r 最大，相应 ϕ_n 为

$$\phi_n = \frac{\Phi_n}{1 - \alpha/p} \approx \Phi_n \left(1 + \frac{\alpha}{p} \right) \quad (106)$$

$$\phi_{n+1} \approx \Phi_{n+1} \left(1 + \frac{\alpha}{p} \right) \quad (107)$$

于是

$$\phi_{n+1} - \phi_n = 2\pi \left(1 + \frac{\alpha}{p} \right) \quad (108)$$

一周期进动角为

$$\Delta = \phi_{n+1} - \phi_n - 2\pi = 2\pi \frac{\alpha}{p} = \frac{6\pi GM}{c^2 p} \quad (109)$$

由

$$T^2 = \frac{4\pi^2}{GM} a^3, \quad GM = 4\pi^2 \frac{a^3}{T^2}, \quad p = a(1 - e^2) \quad (110)$$

可得

$$\Delta = \frac{24\pi^3 a^2}{c^2 T^2 (1 - e^2)} \quad (111)$$

7

推导史瓦西时空与闵可夫斯基时空的类光测地线，简述并画出Kruskal延拓图与彭罗斯图。

闵氏时空线元

$$ds^2 = -c^2 dt^2 + dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2) \quad (112)$$

类光测地线满足

$$ds^2 = 0 \quad (113)$$

如果只考虑径向，则

$$-c^2 dt^2 + dr^2 = 0 \quad (114)$$

取 $c = 1$ ，则

$$\left(\frac{dt}{dr}\right)^2 = 1, \quad \frac{dt}{dr} = \pm 1, \quad (115)$$

径向类光测地线为

$$t = \pm r + \text{const} \quad (116)$$

史瓦西时空线元

$$ds^2 = -c^2 \left(1 - \frac{2GM}{c^2 r}\right) dt^2 + \left(1 - \frac{2GM}{c^2 r}\right)^{-1} dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2) \quad (117)$$

如果只考虑径向，并取 $c = G = 1$ ，则

$$ds^2 = -\left(1 - \frac{2M}{r}\right) dt^2 + \left(1 - \frac{2M}{r}\right)^{-1} dr^2 \quad (118)$$

类光测地线满足

$$ds^2 = 0 \quad (119)$$

即

$$\left(\frac{dt}{dr}\right)^2 = \left(1 - \frac{2M}{r}\right)^{-2}, \quad \frac{dt}{dr} = \pm \left(1 - \frac{2M}{r}\right)^{-1} \quad (120)$$

$$dt = \pm \frac{1}{1 - 2M/r} dr = \pm \frac{r}{r - 2M} dr = \pm \frac{r - 2M + 2M}{r - 2M} dr = \pm \left(1 + \frac{2M}{r - 2M}\right) d(r - 2M) \quad (121)$$

两边积分得

$$t = \pm (r + 2M \ln |r - 2M|) + \text{const} \quad (122)$$

史瓦西时空线元：

$$ds^2 = -(1 - 2M/r) dt^2 + (1 - 2M/r)^{-1} dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2) \quad (123)$$

考虑坐标变换

$$\begin{cases} T = 4M \left(\frac{r}{2M} - 1 \right)^{1/2} e^{\frac{r}{4M}} \sinh \left(\frac{t}{4M} \right) \\ R = 4M \left(\frac{r}{2M} - 1 \right)^{1/2} e^{\frac{r}{4M}} \cosh \left(\frac{t}{4M} \right) \end{cases}, r > 2M, \text{I}$$

$$\begin{cases} T = -4M \left(\frac{r}{2M} - 1 \right)^{1/2} e^{\frac{r}{4M}} \sinh \left(\frac{t}{4M} \right) \\ R = -4M \left(\frac{r}{2M} - 1 \right)^{1/2} e^{\frac{r}{4M}} \cosh \left(\frac{t}{4M} \right) \end{cases}, r > 2M, \text{II}$$

$$\begin{cases} T = 4M \left(1 - \frac{r}{2M} \right)^{1/2} e^{\frac{r}{4M}} \cosh \left(\frac{t}{4M} \right) \\ R = 4M \left(1 - \frac{r}{2M} \right)^{1/2} e^{\frac{r}{4M}} \sinh \left(\frac{t}{4M} \right) \end{cases}, r < 2M, \text{F}$$

$$\begin{cases} T = -4M \left(1 - \frac{r}{2M} \right)^{1/2} e^{\frac{r}{4M}} \cosh \left(\frac{t}{4M} \right) \\ R = -4M \left(1 - \frac{r}{2M} \right)^{1/2} e^{\frac{r}{4M}} \sinh \left(\frac{t}{4M} \right) \end{cases}, r < 2M, \text{P}$$

Kruskal坐标下的线元:

$$ds^2 = \frac{2M}{r} e^{-r/2M} (-dT^2 + dR^2) + r^2 (d\theta^2 + \sin^2 \theta d\phi^2) \quad (124)$$

r 与 R, T 的关系:

$$R^2 - T^2 = 16M^2 \left(\frac{r}{2M} - 1 \right) e^{r/2M} \quad (125)$$

上式对四个区域都成立。

Kruskal坐标消除了度规分量在引力半径处的奇异性, 但无法消除 $r = 0$ 的奇点。

Kruskal度规具有最大解析区和最高完备性。

- 类时未来无穷远 I^+ : r 有限, $t \rightarrow +\infty$
- 类时过去无穷远 I^- : r 有限, $t \rightarrow -\infty$
- 类空无穷远 I^0 : t 有限, $r \rightarrow +\infty$
- 类光未来无穷远 J^+ : $(t - r)$ 有限, $(t + r) \rightarrow +\infty$
- 类光过去无穷远 J^- : $(t + r)$ 有限, $(t - r) \rightarrow +\infty$

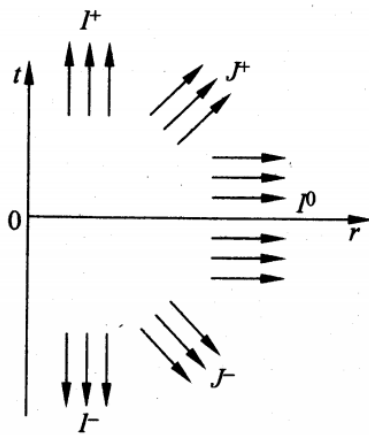


图 6.2.4 闵可夫斯基时空图

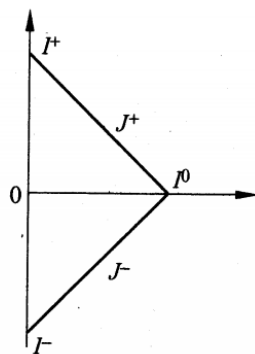


图 6.2.5 闵氏时空彭若斯图

克鲁斯卡坐标系可以统一描述整个史瓦西时空,它覆盖了黑洞内、外及视界。而且,从克鲁斯卡时空图(图 6.2.3)可知,它扩大了史瓦西时空。两条对角线是视界。I 区即通常的黑洞外部宇宙,F 区为黑洞区,P 区为白洞区,II 区是另一个洞外宇宙,它和我们的宇宙没有因果连通,没有任何信息交流。奇点 $r=0$ 分别出现在白洞区和黑洞区,以双曲线形式呈现。I 区和 II 区中“ $r=\text{常数}$ ”的双曲线,就是史瓦西时空中静止粒子的世界线。F 区和 P 区中“ $r=\text{常数}$ ”的双曲线为等时线。应当注意,此图中的任何一点,都代表一个二维球面。光锥如图 6.2.3 所示,

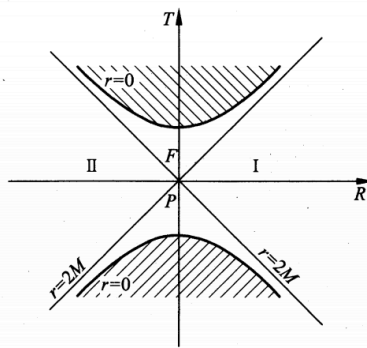


图 6.2.3 克鲁斯卡时空图

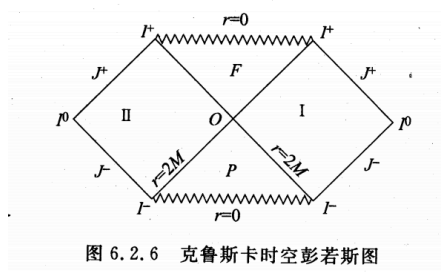


图 6.2.6 克鲁斯卡时空彭若斯图