

## 3-1

### 3-1-1

由  $\gamma_i = -i\beta\alpha_i \implies \alpha_i = i\beta\gamma_i$

$\alpha_i, \beta$  满足:

$$\alpha_i\alpha_j + \alpha_j\alpha_i = 2\delta_{ij}I, \quad i, j = 1, 2, 3$$

$$\alpha_i\beta + \beta\alpha_i = 0$$

$$\beta^2 = I$$

考虑:

$$\gamma_i = -i\beta\alpha_i$$

上式左乘  $\beta$  得:

$$\beta\gamma_i = -i\beta^2\alpha_i = -i\alpha_i$$

因此:

$$\alpha_i = \frac{\beta\gamma_i}{-i} = i\beta\gamma_i$$

### 3-1-2

利用 Pauli 矩阵, 写出 Dirac-Pauli 表象中  $\gamma_\mu$  矩阵以及  $\gamma_5, C = i\gamma_2\gamma_4$  的具体形式。

二阶 Pauli 矩阵:

$$\sigma_1^0 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \quad \sigma_2^0 = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}, \quad \sigma_3^0 = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

$$\alpha_i = \begin{bmatrix} 0 & \sigma_i^0 \\ \sigma_i^0 & 0 \end{bmatrix}, \quad \beta = \begin{bmatrix} I^0 & 0 \\ 0 & -I^0 \end{bmatrix}$$

$$\gamma_i \equiv -i\beta\alpha_i, \quad \gamma_4 \equiv \beta, \quad \gamma_5 \equiv \gamma_1\gamma_2\gamma_3\gamma_4$$

$$\gamma_i = \begin{bmatrix} 0 & -i\sigma_i^0 \\ i\sigma_i^0 & 0 \end{bmatrix}, \quad \gamma_4 = \begin{bmatrix} I^0 & 0 \\ 0 & -I^0 \end{bmatrix}$$

$$C = i\gamma_2\gamma_4$$

具体形式为：

$$\gamma_1 = \begin{bmatrix} 0 & -i\sigma_1^0 \\ i\sigma_1^0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & -i \\ 0 & 0 & -i & 0 \\ 0 & i & 0 & 0 \\ i & 0 & 0 & 0 \end{bmatrix}$$

$$\gamma_2 = \begin{bmatrix} 0 & -i\sigma_2^0 \\ i\sigma_2^0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{bmatrix}$$

$$\gamma_3 = \begin{bmatrix} 0 & -i\sigma_3^0 \\ i\sigma_3^0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & -i & 0 \\ 0 & 0 & 0 & i \\ i & 0 & 0 & 0 \\ 0 & -i & 0 & 0 \end{bmatrix}$$

$$\gamma_4 = \begin{bmatrix} I^0 & 0 \\ 0 & -I^0 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{bmatrix}$$

$$\gamma_5 \equiv \gamma_1\gamma_2\gamma_3\gamma_4 = \begin{bmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \\ -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{bmatrix}$$

$$C = i\gamma_2\gamma_4 = \begin{bmatrix} 0 & 0 & 0 & i \\ 0 & 0 & -i & 0 \\ 0 & i & 0 & 0 \\ -i & 0 & 0 & 0 \end{bmatrix}$$

### 3-1-3

定义

$$\sigma_i = \begin{bmatrix} \sigma_i^0 & 0 \\ 0 & \sigma_i^0 \end{bmatrix} = \frac{1}{2i}\varepsilon_{ijk}\gamma_j\gamma_k$$

其中， $\sigma_i^0$  具有以下性质：

$$\sigma_i^0\sigma_j^0 + \sigma_j^0\sigma_i^0 = 2\delta_{ij}I^0$$

$$\sigma_i^0 \sigma_j^0 = \delta_{ij} I^0 + i \varepsilon_{ijk} \sigma_k^0$$

证明:

$$\sigma_i \sigma_j + \sigma_j \sigma_i = 2\delta_{ij} I$$

$$\gamma_i \gamma_j = \sigma_i \sigma_j = \delta_{ij} I + i \varepsilon_{ijk} \sigma_k$$

$$\sigma_1 \sigma_2 = i \sigma_3$$

$$\sigma_2 \sigma_3 = i \sigma_1$$

$$\sigma_3 \sigma_1 = i \sigma_2$$

$$\vec{\sigma} = -\vec{\alpha} \gamma_5$$

**证明**  $\sigma_i \sigma_j + \sigma_j \sigma_i = 2\delta_{ij} I$

$$\begin{aligned} \sigma_i \sigma_j + \sigma_j \sigma_i &= \begin{bmatrix} \sigma_i^0 & 0 \\ 0 & \sigma_i^0 \end{bmatrix} \begin{bmatrix} \sigma_j^0 & 0 \\ 0 & \sigma_j^0 \end{bmatrix} + \begin{bmatrix} \sigma_j^0 & 0 \\ 0 & \sigma_j^0 \end{bmatrix} \begin{bmatrix} \sigma_i^0 & 0 \\ 0 & \sigma_i^0 \end{bmatrix} \\ &= \begin{bmatrix} \sigma_i^0 \sigma_j^0 + \sigma_j^0 \sigma_i^0 & 0 \\ 0 & \sigma_i^0 \sigma_j^0 + \sigma_j^0 \sigma_i^0 \end{bmatrix} \\ &= \begin{bmatrix} 2\delta_{ij} I^0 & 0 \\ 0 & 2\delta_{ij} I^0 \end{bmatrix} \\ &= 2\delta_{ij} I \end{aligned}$$

**证明**  $\gamma_i \gamma_j = \sigma_i \sigma_j = \delta_{ij} I + i \varepsilon_{ijk} \sigma_k$

二阶泡利矩阵满足:

$$\sigma_i^0 \sigma_j^0 = \delta_{ij} I^0 + i \varepsilon_{ijk} \sigma_k^0$$

四阶泡利矩阵:

$$\begin{aligned}
\sigma_i \sigma_j &= \begin{bmatrix} \sigma_i^0 & 0 \\ 0 & \sigma_i^0 \end{bmatrix} \begin{bmatrix} \sigma_j^0 & 0 \\ 0 & \sigma_j^0 \end{bmatrix} \\
&= \begin{bmatrix} \sigma_i^0 \sigma_j^0 & 0 \\ 0 & \sigma_i^0 \sigma_j^0 \end{bmatrix} \\
&= \begin{bmatrix} \delta_{ij} I^0 + \mathbf{i} \varepsilon_{ijk} \sigma_k^0 & 0 \\ 0 & \delta_{ij} I^0 + \mathbf{i} \varepsilon_{ijk} \sigma_k^0 \end{bmatrix} \\
&= \delta_{ij} \begin{bmatrix} I^0 & 0 \\ 0 & I^0 \end{bmatrix} + \mathbf{i} \varepsilon_{ijk} \begin{bmatrix} \sigma_k^0 & 0 \\ 0 & \sigma_k^0 \end{bmatrix} \\
&= \delta_{ij} I + \mathbf{i} \varepsilon_{ijk} \sigma_k
\end{aligned}$$

$$\sigma_i = \frac{1}{2\mathbf{i}} \varepsilon_{ijk} \gamma_j \gamma_k$$

同乘  $\varepsilon_{ilm}$  并对  $i$  求和：

$$\begin{aligned}
\varepsilon_{ilm} \sigma_i &= \frac{1}{2\mathbf{i}} \varepsilon_{ilm} \varepsilon_{ijk} \gamma_j \gamma_k \\
&= \frac{1}{2\mathbf{i}} (\delta_{lj} \delta_{mk} - \delta_{lk} \delta_{mj}) \gamma_j \gamma_k \\
&= \frac{1}{2\mathbf{i}} (\gamma_l \gamma_m - \gamma_m \gamma_l)
\end{aligned}$$

即：

$$\gamma_l \gamma_m - \gamma_m \gamma_l = 2\mathbf{i} \varepsilon_{ilm} \sigma_i$$

另一方面，利用  $\gamma_\mu$  矩阵反对易关系

$$\gamma_l \gamma_m + \gamma_m \gamma_l = 2\delta_{lm} I$$

两式相加可得：

$$\gamma_l \gamma_m = \delta_{lm} I + \mathbf{i} \varepsilon_{ilm} \sigma_i = \delta_{lm} I + \mathbf{i} \varepsilon_{lmi} \sigma_i$$

替换哑标得：

$$\gamma_i \gamma_j = \delta_{ij} I + \mathbf{i} \varepsilon_{ijk} \sigma_k$$

$$\gamma_i \gamma_j = \sigma_i \sigma_j = \delta_{ij} I + \mathbf{i} \varepsilon_{ijk} \sigma_k$$

**证明**  $\sigma_1\sigma_2 = \mathrm{i}\sigma_3, \sigma_2\sigma_3 = \mathrm{i}\sigma_1, \sigma_3\sigma_1 = \mathrm{i}\sigma_2$

由于  $\sigma_i\sigma_j = \delta_{ij}I + \mathrm{i}\varepsilon_{ijk}\sigma_k$ , 因此:

$$\sigma_1\sigma_2 = \mathrm{i}\varepsilon_{12k}\sigma_k = \mathrm{i}\sigma_3$$

$$\sigma_2\sigma_3 = \mathrm{i}\varepsilon_{23k}\sigma_k = \mathrm{i}\sigma_1$$

$$\sigma_3\sigma_1 = \mathrm{i}\varepsilon_{31k}\sigma_k = \mathrm{i}\sigma_2$$

**证明**  $\vec{\sigma} = -\vec{\alpha}\gamma_5$

$$\alpha_i = \begin{bmatrix} 0 & \sigma_i^0 \\ \sigma_i^0 & 0 \end{bmatrix}, \quad \beta = \begin{bmatrix} I^0 & 0 \\ 0 & -I^0 \end{bmatrix}$$

$$\gamma_i = -\mathrm{i}\beta\alpha_i = -\mathrm{i} \begin{bmatrix} I^0 & 0 \\ 0 & -I^0 \end{bmatrix} \begin{bmatrix} 0 & \sigma_i^0 \\ \sigma_i^0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & -\mathrm{i}\sigma_i^0 \\ \mathrm{i}\sigma_i^0 & 0 \end{bmatrix}$$

$$\gamma_4 = \beta = \begin{bmatrix} I^0 & 0 \\ 0 & -I^0 \end{bmatrix}$$

于是:

$$\begin{aligned} -\vec{\alpha}\gamma_5 &= -\alpha_i\vec{e}_i\gamma_1\gamma_2\gamma_3\gamma_4 \\ &= -\alpha_i\vec{e}_i \begin{bmatrix} 0 & -\mathrm{i}\sigma_1^0 \\ \mathrm{i}\sigma_1^0 & 0 \end{bmatrix} \begin{bmatrix} 0 & -\mathrm{i}\sigma_2^0 \\ \mathrm{i}\sigma_2^0 & 0 \end{bmatrix} \begin{bmatrix} 0 & -\mathrm{i}\sigma_3^0 \\ \mathrm{i}\sigma_3^0 & 0 \end{bmatrix} \begin{bmatrix} I^0 & 0 \\ 0 & -I^0 \end{bmatrix} \\ &= -\alpha_i\vec{e}_i \begin{bmatrix} 0 & \mathrm{i}\sigma_1^0\sigma_2^0\sigma_3^0 \\ \mathrm{i}\sigma_1^0\sigma_2^0\sigma_3^0 & 0 \end{bmatrix} \\ &= -\alpha_i\vec{e}_i \begin{bmatrix} 0 & -I^0 \\ -I^0 & 0 \end{bmatrix} \\ &= - \begin{bmatrix} 0 & \sigma_i^0 \\ \sigma_i^0 & 0 \end{bmatrix} \begin{bmatrix} 0 & -I^0 \\ -I^0 & 0 \end{bmatrix} \vec{e}_i \\ &= \begin{bmatrix} \sigma_i^0 & 0 \\ 0 & \sigma_i^0 \end{bmatrix} \vec{e}_i \\ &= \sigma_i\vec{e}_i \\ &= \vec{\sigma} \end{aligned}$$

### 3-1-4

已知  $H = \vec{\alpha} \cdot \vec{p} + \beta m, \vec{L} = \vec{r} \times \vec{p}$ , 证明:  $[H, \vec{L}] = -\mathrm{i}\vec{\alpha} \times \vec{p}, [H, \vec{\sigma}] = 2\mathrm{i}\vec{\alpha} \times \vec{p}$

$$L_i = (\vec{r} \times \vec{p})_i = \varepsilon_{ijk}x_jp_k$$

$$\begin{aligned}
[H, L_i] &= [\alpha_l p_l + \beta m, \varepsilon_{ijk} x_j p_k] \\
&= \varepsilon_{ijk} \alpha_l [p_l, x_j p_k] \\
&= \varepsilon_{ijk} \alpha_l (x_j [p_l, p_k] + [p_l, x_j] p_k) \\
&= \varepsilon_{ijk} \alpha_l (-i\hbar \delta_{lj}) p_k \\
&= -i\hbar \varepsilon_{ijk} \alpha_j p_k \\
&= -i\hbar (\vec{\alpha} \times \vec{p})_i \\
&= -i (\vec{\alpha} \times \vec{p})_i
\end{aligned}$$

因此：

$$\begin{aligned}
[H, \vec{L}] &= -i\vec{\alpha} \times \vec{p} \\
[\alpha_i, \alpha_j] &= \alpha_i \alpha_j - \alpha_j \alpha_i \\
&= \begin{bmatrix} 0 & \sigma_i^0 \\ \sigma_i^0 & 0 \end{bmatrix} \begin{bmatrix} 0 & \sigma_j^0 \\ \sigma_j^0 & 0 \end{bmatrix} - \begin{bmatrix} 0 & \sigma_j^0 \\ \sigma_j^0 & 0 \end{bmatrix} \begin{bmatrix} 0 & \sigma_i^0 \\ \sigma_i^0 & 0 \end{bmatrix} \\
&= \begin{bmatrix} \sigma_i^0 \sigma_j^0 - \sigma_j^0 \sigma_i^0 & 0 \\ 0 & \sigma_i^0 \sigma_j^0 - \sigma_j^0 \sigma_i^0 \end{bmatrix} \\
&= 2i\varepsilon_{ijk} \sigma_k
\end{aligned}$$

$$\begin{aligned}
[H, \sigma_i] &= \left[ \alpha_l p_l + \beta m, \frac{1}{2i} \varepsilon_{ijk} \gamma_j \gamma_k \right] \\
&= \frac{1}{2i} \varepsilon_{ijk} [\alpha_l p_l + \beta m, \gamma_j \gamma_k] \\
&= \frac{1}{2i} \varepsilon_{ijk} [\alpha_l p_l + \beta m, (-i\beta \alpha_j) (-i\beta \alpha_k)] \\
&= -\frac{1}{2i} \varepsilon_{ijk} [\alpha_l p_l + \beta m, \beta \alpha_j \beta \alpha_k] \\
&= \frac{1}{2i} \varepsilon_{ijk} [\alpha_l p_l + \beta m, \alpha_j \beta \beta \alpha_k] \\
&= \frac{1}{2i} \varepsilon_{ijk} [\alpha_l p_l + \beta m, \alpha_j \alpha_k] \\
&= \frac{1}{2i} \varepsilon_{ijk} ([\alpha_l p_l, \alpha_j \alpha_k] + m [\beta, \alpha_j \alpha_k]) \\
&= \frac{1}{2i} \varepsilon_{ijk} \{ \alpha_l [p_l, \alpha_j \alpha_k] + [\alpha_l, \alpha_j \alpha_k] p_l + m (\beta \alpha_j \alpha_k - \alpha_j \alpha_k \beta) \} \\
&= \frac{1}{2i} \varepsilon_{ijk} \{ (\alpha_j [\alpha_l, \alpha_k] + [\alpha_l, \alpha_j] \alpha_k) p_l + m \beta \alpha_j \alpha_k - m \beta \alpha_j \alpha_k \} \\
&= \frac{1}{2i} \varepsilon_{ijk} (2i \varepsilon_{lkn} \alpha_j \alpha_n + 2i \varepsilon_{ljq} \alpha_q \alpha_k) p_l \\
&= (\varepsilon_{kij} \varepsilon_{knl} \alpha_j \alpha_n + \varepsilon_{jki} \varepsilon_{jql} \alpha_q \alpha_k) p_l \\
&= \{ (\delta_{in} \delta_{jl} - \delta_{il} \delta_{jn}) \alpha_j \alpha_n + (\delta_{kq} \delta_{il} - \delta_{kl} \delta_{iq}) \alpha_q \alpha_k \} p_l \\
&= (\alpha_l \alpha_i - \delta_{il} \alpha_n \alpha_n + \delta_{il} \alpha_k \alpha_k - \alpha_i \alpha_l) p_l \\
&= [\alpha_l, \alpha_i] p_l \\
&= 2i \varepsilon_{lit} \alpha_t p_l \\
&= 2i \varepsilon_{itl} \alpha_t p_l \\
&= 2i (\vec{\alpha} \times \vec{p})_i
\end{aligned}$$

因此：

$$[H, \vec{\sigma}] = 2i \vec{\alpha} \times \vec{p}$$

### 3-1-5

证明  $\vec{\sigma}$  的本征值为  $+1, -1$ .

利用公式

$$(\vec{\sigma} \cdot \vec{A}) (\vec{\sigma} \cdot \vec{B}) = \vec{A} \cdot \vec{B} + i \vec{\sigma} \cdot (\vec{A} \times \vec{B})$$

有：

$$(\vec{\sigma} \cdot \vec{n})^2 = \vec{n} \cdot \vec{n} + i\vec{\sigma} \cdot (\vec{n} \times \vec{n}) = 1$$

设  $(\vec{\sigma} \cdot \vec{n})$  的本征方程为：

$$(\vec{\sigma} \cdot \vec{n}) u(p) = \lambda u(p)$$

则：

$$(\vec{\sigma} \cdot \vec{n})^2 u(p) = \lambda^2 u(p)$$

又

$$(\vec{\sigma} \cdot \vec{n})^2 u(p) = u(p)$$

对比可得  $(\vec{\sigma} \cdot \vec{n})$  的本征值为：

$$\lambda = \pm 1$$

### 3-1-6

证明有电磁场存在时，Dirac 方程是 Lorentz 协变的。

电磁场存在时  $x'$  系的 Dirac 方程为：

$$(\gamma_\mu \partial'_\mu - ieA'_\mu \gamma_\mu + m) \psi'(x') = 0$$

时空坐标进行 Lorentz 变换：

$$x_\mu \rightarrow x'_\mu = A_{\mu\nu} x_\nu, \quad A_{\mu\lambda} x'_\mu = x_\lambda$$

$x'$  系的物理量用  $x$  系的物理量表达：

$$\partial'_\mu \equiv \frac{\partial}{\partial x'_\mu} = \frac{\partial x_\nu}{\partial x'_\mu} \frac{\partial}{\partial x_\nu} = A_{\mu\nu} \partial_\nu$$

$$\psi'(x') = \Lambda \psi(x)$$

$$A'_\mu = A_{\mu\nu} A_\nu$$

则 Dirac 方程化为：

$$\begin{aligned} 0 &= (\gamma_\mu \partial'_\mu - ieA'_\mu \gamma_\mu + m) \psi'(x') \\ &= (\gamma_\mu A_{\mu\nu} \partial_\nu - ieA_{\mu\nu} A_\nu \gamma_\mu + m) \Lambda \psi(x) \end{aligned}$$

左乘  $\Lambda^{-1}$ ，并利用



$$\Lambda^{-1} \gamma_\mu \Lambda = A_{\mu\rho} \gamma_\rho$$

可得：

$$\begin{aligned} 0 &= \Lambda^{-1} (\gamma_\mu A_{\mu\nu} \partial_\nu - ie A_{\mu\nu} A_\nu \gamma_\mu + m) \Lambda \psi(x) \\ &= (A_{\mu\rho} \gamma_\rho A_{\mu\nu} \partial_\nu - ie A_{\mu\nu} A_\nu A_{\mu\rho} \gamma_\rho + m) \psi(x) \\ &= (\delta_{\rho\nu} \gamma_\rho \partial_\nu - ie \delta_{\nu\rho} A_\nu \gamma_\rho + m) \psi(x) \\ &= (\gamma_\nu \partial_\nu - ie A_\rho \gamma_\rho + m) \psi(x) \end{aligned}$$

因此， $x$  系中的 Dirac 方程为：

$$(\gamma_\mu \partial_\mu - ie A_\mu \gamma_\mu + m) \psi(x) = 0$$

与  $x'$  系中的 Dirac 方程

$$(\gamma_\mu \partial'_\mu - ie A'_\mu \gamma_\mu + m) \psi'(x') = 0$$

对比可知，电磁场存在时 Dirac 方程具有 Lorentz 协变性。

### 3-1-7

证明  $\bar{\psi} \gamma_\mu \gamma_5 \psi$  是 Lorentz 赝矢量。

$$\begin{aligned} \bar{\psi}' \gamma_\mu \gamma_5 \psi' &= k \bar{\psi} \Lambda^{-1} \gamma_\mu \gamma_5 \Lambda \psi \\ &= \bar{\psi} \Lambda^{-1} \gamma_\mu \gamma_5 \Lambda \psi \\ &= \bar{\psi} \Lambda^{-1} \gamma_\mu \Lambda \Lambda^{-1} \gamma_5 \Lambda \psi \\ &= \bar{\psi} A_{\mu\nu} \gamma_\nu |A| \gamma_5 \psi \\ &= |A| A_{\mu\nu} \bar{\psi} \gamma_\nu \gamma_5 \psi \end{aligned}$$

即  $\bar{\psi} \gamma_\mu \gamma_5 \psi$  服从赝矢量的变换规律，因此  $\bar{\psi} \gamma_\mu \gamma_5 \psi$  是赝矢量。

### 3-1-8

利用  $u_a(\vec{p})$  的正交完备性，证明  $u_a(\vec{p}')$  的正交完备性（空间反射变换）。

$u_a(\vec{p})$  的正交完备性给出：

$$u_a^\dagger(\vec{p}) u_b(\vec{p}) = \delta_{ab}$$

$$u_a(\vec{p}) u_a^\dagger(\vec{p}) = I$$

$u_a(-\vec{p})$  的正交性：

$$\begin{aligned}
u_a^\dagger(-\vec{p})u_b(-\vec{p}) &= [\eta_P\gamma_4u_a(\vec{p})]^\dagger \eta_P\gamma_4u_b(\vec{p}) \\
&= \eta_P^\dagger\eta_Pu_a^\dagger(\vec{p})\gamma_4^\dagger\gamma_4u_b(\vec{p}) \\
&= u_a^\dagger(\vec{p})u_b(\vec{p}) \\
&= \delta_{ab}
\end{aligned}$$

$u_a(-\vec{p})$  的完备性:

$$\begin{aligned}
u_a(-\vec{p})u_a^\dagger(-\vec{p}) &= \eta_P\gamma_4u_a(\vec{p}) [\eta_P\gamma_4u_a(\vec{p})]^\dagger \\
&= \eta_P\eta_P^\dagger\gamma_4u_a(\vec{p})u_a^\dagger(\vec{p})\gamma_4^\dagger \\
&= \gamma_4I\gamma_4^\dagger \\
&= I
\end{aligned}$$