

# 1

## 1.1

证明弧元  $ds^2 \equiv eg_{\mu\nu}dx^\mu dx^\nu$  是坐标变换下的不变量。

$$dx'^\mu = \frac{\partial x'^\mu}{\partial x^\nu} dx^\nu = A_\nu^\mu dx^\nu \quad (1)$$

$$\begin{aligned} ds'^2 &\equiv eg'_{\mu\nu} dx'^\mu dx'^\nu \\ &= e \bar{A}_\mu^\alpha \bar{A}_\nu^\beta g_{\alpha\beta} (A_\lambda^\mu dx^\lambda) (A_\rho^\nu dx^\rho) \\ &= eg_{\alpha\beta} (\bar{A}_\mu^\alpha A_\lambda^\mu) (\bar{A}_\nu^\beta A_\rho^\nu) dx^\lambda dx^\rho \\ &= eg_{\alpha\beta} \delta_\lambda^\alpha \delta_\rho^\beta dx^\lambda dx^\rho \\ &= eg_{\alpha\beta} dx^\alpha dx^\beta \end{aligned} \quad (2)$$

## 1.2

由协变微商的协变性推导联络在坐标变换下的变换式。

由定义

$$\nabla_\mu \phi^\nu(x) \equiv \partial_\mu \phi^\nu(x) + \Gamma_{\mu\lambda}^\nu \phi^\lambda(x) \quad (3)$$

$$\nabla'_\mu \phi'^\nu(x') \equiv \partial'_\mu \phi'^\nu(x) + \Gamma_{\mu\lambda}^\nu \phi'^\lambda(x') \quad (4)$$

我们知道  $\partial_\mu$  是协变矢量,  $\phi^\nu(x)$  是逆变矢量, 利用它们的变换规律

$$\partial'_\mu = \bar{A}_\mu^\alpha \partial_\alpha, \quad \phi'^\nu(x') = A_\beta^\nu \phi^\beta(x) \quad (5)$$

有

$$\begin{aligned} \nabla'_\mu \phi'^\nu(x') &\equiv \partial'_\mu \phi'^\nu(x) + \Gamma_{\mu\lambda}^\nu \phi'^\lambda(x') \\ &= \bar{A}_\mu^\alpha \partial_\alpha [A_\beta^\nu \phi^\beta(x)] + \Gamma_{\mu\lambda}^\nu A_\gamma^\lambda \phi^\gamma(x) \\ &= \bar{A}_\mu^\alpha (\partial_\alpha A_\beta^\nu) \phi^\beta(x) + \bar{A}_\mu^\alpha A_\beta^\nu \partial_\alpha \phi^\beta(x) + \Gamma_{\mu\lambda}^\nu A_\gamma^\lambda \phi^\gamma(x) \end{aligned} \quad (6)$$

而我们希望逆变矢量的协变微商是一个张量, 其满足张量的变换规律

$$\begin{aligned} \nabla'_\mu \phi'^\nu(x') &= \bar{A}_\mu^\alpha A_\beta^\nu \nabla_\alpha \phi^\beta(x) \\ &= \bar{A}_\mu^\alpha A_\beta^\nu [\partial_\alpha \phi^\beta(x) + \Gamma_{\alpha\gamma}^\beta \phi^\gamma(x)] \\ &= \bar{A}_\mu^\alpha A_\beta^\nu \partial_\alpha \phi^\beta(x) + \bar{A}_\mu^\alpha A_\beta^\nu \Gamma_{\alpha\gamma}^\beta \phi^\gamma(x) \end{aligned} \quad (7)$$

有

$$\bar{A}_\mu^\alpha (\partial_\alpha A_\beta^\nu) \phi^\beta(x) + \bar{A}_\mu^\alpha A_\beta^\nu \partial_\alpha \phi^\beta(x) + \Gamma_{\mu\lambda}^\nu A_\gamma^\lambda \phi^\gamma(x) = \bar{A}_\mu^\alpha A_\beta^\nu \partial_\alpha \phi^\beta(x) + \bar{A}_\mu^\alpha A_\beta^\nu \Gamma_{\alpha\gamma}^\beta \phi^\gamma(x) \quad (8)$$

化简为

$$\Gamma_{\mu\lambda}^\nu A_\gamma^\lambda \phi^\gamma(x) = \bar{A}_\mu^\alpha A_\beta^\nu \Gamma_{\alpha\gamma}^\beta \phi^\gamma(x) - \bar{A}_\mu^\alpha (\partial_\alpha A_\beta^\nu) \phi^\beta(x) \quad (9)$$

替换哑标得

$$\Gamma_{\mu\lambda}^\nu A_\gamma^\lambda \phi^\gamma(x) = \bar{A}_\mu^\alpha A_\beta^\nu \Gamma_{\alpha\gamma}^\beta \phi^\gamma(x) - \bar{A}_\mu^\alpha (\partial_\alpha A_\gamma^\nu) \phi^\gamma(x) \quad (10)$$

因此有

$$\Gamma_{\mu\lambda}^\nu A_\gamma^\lambda = \bar{A}_\mu^\alpha A_\beta^\nu \Gamma_{\alpha\gamma}^\beta - \bar{A}_\mu^\alpha (\partial_\alpha A_\gamma^\nu) \quad (11)$$

两边同乘  $\bar{A}_\rho^\gamma$ , 并对  $\gamma$  求和, (11) 式左边

$$\begin{aligned}\Gamma_{\mu\lambda}^{\nu} A_\gamma^\lambda \bar{A}_\rho^\gamma &= \Gamma_{\mu\lambda}^{\nu} \delta_\rho^\lambda \\ &= \Gamma_{\mu\rho}^{\nu}\end{aligned}\quad (12)$$

(11) 式右边

$$\begin{aligned}\bar{A}_\rho^\gamma [\bar{A}_\mu^\alpha A_\beta^\nu \Gamma_{\alpha\gamma}^\beta - \bar{A}_\mu^\alpha (\partial_\alpha A_\gamma^\nu)] &= \bar{A}_\rho^\gamma \bar{A}_\mu^\alpha A_\beta^\nu \Gamma_{\alpha\gamma}^\beta - \bar{A}_\mu^\alpha \bar{A}_\rho^\gamma \partial_\alpha A_\gamma^\nu \\ &= \bar{A}_\rho^\gamma \bar{A}_\mu^\alpha A_\beta^\nu \Gamma_{\alpha\gamma}^\beta - \bar{A}_\mu^\alpha [\partial_\alpha (\bar{A}_\rho^\gamma A_\gamma^\nu) - A_\gamma^\nu \partial_\alpha \bar{A}_\rho^\gamma] \\ &= \bar{A}_\rho^\gamma \bar{A}_\mu^\alpha A_\beta^\nu \Gamma_{\alpha\gamma}^\beta - \bar{A}_\mu^\alpha [\partial_\alpha \delta_\rho^\nu - A_\gamma^\nu \partial_\alpha \bar{A}_\rho^\gamma] \\ &= \bar{A}_\rho^\gamma \bar{A}_\mu^\alpha A_\beta^\nu \Gamma_{\alpha\gamma}^\beta + \bar{A}_\mu^\alpha A_\gamma^\nu \partial_\alpha \bar{A}_\rho^\gamma \\ &= A_\beta^\nu \bar{A}_\mu^\alpha \bar{A}_\rho^\gamma \Gamma_{\alpha\gamma}^\beta + A_\gamma^\nu \bar{A}_\mu^\alpha \partial_\alpha \bar{A}_\rho^\gamma\end{aligned}\quad (13)$$

于是得到联络的变换规律

$$\Gamma_{\mu\rho}^{\nu} = A_\beta^\nu \bar{A}_\mu^\alpha \bar{A}_\rho^\gamma \Gamma_{\alpha\gamma}^\beta + A_\gamma^\nu \bar{A}_\mu^\alpha \partial_\alpha \bar{A}_\rho^\gamma \quad (14)$$

替换指标就得到

$$\Gamma_{\nu\lambda}^{\mu} = A_\alpha^\mu \bar{A}_\nu^\beta \bar{A}_\lambda^\gamma \Gamma_{\beta\gamma}^\alpha + A_\alpha^\mu \bar{A}_\nu^\beta \partial_\beta \bar{A}_\lambda^\alpha \quad (15)$$

## 1.3

证明挠率  $\Gamma_{[\mu,\nu]}^\lambda$  是一个张量。

$$\Gamma_{\mu\nu}^{\lambda} = A_\alpha^\lambda \bar{A}_\mu^\beta \bar{A}_\nu^\gamma \Gamma_{\beta\gamma}^\alpha + A_\alpha^\lambda \bar{A}_\mu^\beta \partial_\beta \bar{A}_\nu^\alpha \quad (16)$$

$$\Gamma_{\nu\mu}^{\lambda} = A_\alpha^\lambda \bar{A}_\nu^\beta \bar{A}_\mu^\gamma \Gamma_{\beta\gamma}^\alpha + A_\alpha^\lambda \bar{A}_\nu^\beta \partial_\beta \bar{A}_\mu^\alpha \quad (17)$$

注意到

$$\begin{aligned}\bar{A}_\mu^\beta \partial_\beta \bar{A}_\nu^\alpha - \bar{A}_\nu^\beta \partial_\beta \bar{A}_\mu^\alpha &\equiv \frac{\partial x^\beta}{\partial x'^\mu} \frac{\partial}{\partial x^\beta} \frac{\partial x^\alpha}{\partial x'^\nu} - \frac{\partial x^\beta}{\partial x'^\nu} \frac{\partial}{\partial x^\beta} \frac{\partial x^\alpha}{\partial x'^\mu} \\ &= \frac{\partial}{\partial x'^\mu} \frac{\partial x^\alpha}{\partial x'^\nu} - \frac{\partial}{\partial x'^\nu} \frac{\partial x^\alpha}{\partial x'^\mu} \\ &= 0\end{aligned}\quad (18)$$

因此

$$\begin{aligned}\Gamma_{[\mu,\nu]}^{\lambda} &\equiv \Gamma_{\mu\nu}^{\lambda} - \Gamma_{\nu\mu}^{\lambda} \\ &= (A_\alpha^\lambda \bar{A}_\mu^\beta \bar{A}_\nu^\gamma \Gamma_{\beta\gamma}^\alpha + A_\alpha^\lambda \bar{A}_\mu^\beta \partial_\beta \bar{A}_\nu^\alpha) - (A_\alpha^\lambda \bar{A}_\nu^\beta \bar{A}_\mu^\gamma \Gamma_{\beta\gamma}^\alpha + A_\alpha^\lambda \bar{A}_\nu^\beta \partial_\beta \bar{A}_\mu^\alpha) \\ &= (A_\alpha^\lambda \bar{A}_\mu^\beta \bar{A}_\nu^\gamma \Gamma_{\beta\gamma}^\alpha - A_\alpha^\lambda \bar{A}_\nu^\beta \bar{A}_\mu^\gamma \Gamma_{\beta\gamma}^\alpha) + A_\alpha^\lambda (\bar{A}_\mu^\beta \partial_\beta \bar{A}_\nu^\alpha - \bar{A}_\nu^\beta \partial_\beta \bar{A}_\mu^\alpha) \\ &= A_\alpha^\lambda \bar{A}_\mu^\beta \bar{A}_\nu^\gamma \Gamma_{\beta\gamma}^\alpha - A_\alpha^\lambda \bar{A}_\nu^\beta \bar{A}_\mu^\gamma \Gamma_{\beta\gamma}^\alpha \\ &= A_\alpha^\lambda \bar{A}_\mu^\beta \bar{A}_\nu^\gamma \Gamma_{\beta\gamma}^\alpha - A_\alpha^\lambda \bar{A}_\nu^\gamma \bar{A}_\mu^\beta \Gamma_{\gamma\beta}^\alpha \\ &= A_\alpha^\lambda \bar{A}_\mu^\beta \bar{A}_\nu^\gamma (\Gamma_{\beta\gamma}^\alpha - \Gamma_{\gamma\beta}^\alpha) \\ &= A_\alpha^\lambda \bar{A}_\mu^\beta \bar{A}_\nu^\gamma \Gamma_{[\beta,\gamma]}^\alpha\end{aligned}\quad (19)$$

## 1.4

由  $\nabla_\lambda g_{\mu\nu} = 0$  证明  $\nabla_\lambda g^{\mu\nu} = 0$ .

$$g^{\mu\alpha} g_{\alpha\nu} = \delta_\nu^\mu \quad (20)$$

两边用协变微商作用

$$\nabla_\lambda (g^{\mu\alpha} g_{\alpha\nu}) = 0 \quad (21)$$

利用协变微商的莱布尼兹律

$$\begin{aligned}\nabla_\lambda(g^{\mu\alpha}g_{\alpha\nu}) &= (\nabla_\lambda g^{\mu\alpha})g_{\alpha\nu} + g^{\mu\alpha}(\nabla_\lambda g_{\alpha\nu}) \\ &= (\nabla_\lambda g^{\mu\alpha})g_{\alpha\nu}\end{aligned}\quad (22)$$

得到

$$(\nabla_\lambda g^{\mu\alpha})g_{\alpha\nu} = 0 \quad (23)$$

上式两边乘  $g^{\nu\beta}$  并对  $\nu$  求和

$$\begin{aligned}0 &= (\nabla_\lambda g^{\mu\alpha})g_{\alpha\nu}g^{\nu\beta} \\ &= (\nabla_\lambda g^{\mu\alpha})\delta_\alpha^\beta \\ &= \nabla_\lambda g^{\mu\beta}\end{aligned}\quad (24)$$

## 1.5

假设有一对称张量  $f_{\mu\nu}$  及其逆  $f^{\mu\nu}$  可对张量指标进行升降

$$f_{\mu\nu}\phi^\nu = \phi_\mu, \quad f^{\mu\nu}\phi_\nu = \phi^\mu \quad (25)$$

由协变微商定义及其性质，证明此张量的协变微商为零，即

$$\nabla_\lambda f_{\mu\nu} = 0 \quad (26)$$

$$\phi_\mu = f_{\mu\nu}\phi^\nu \quad (27)$$

$$\begin{aligned}\nabla_\lambda\phi_\mu &= \nabla_\lambda(f_{\mu\nu}\phi^\nu) \\ &= (\nabla_\lambda f_{\mu\nu})\phi^\nu + f_{\mu\nu}(\nabla_\lambda\phi^\nu) \\ &= (\nabla_\lambda f_{\mu\nu})\phi^\nu + \nabla_\lambda\phi_\mu\end{aligned}\quad (28)$$

前后对比得

$$(\nabla_\lambda f_{\mu\nu})\phi^\nu = 0 \quad (29)$$

由  $\phi^\nu$  的任意性有

$$\nabla_\lambda f_{\mu\nu} = 0 \quad (30)$$

## 2

### 2.1

已知  $ds^2 = g_{\mu\nu}dx^\mu dx^\nu = -d\tau^2$ , 从变分原理  $\delta \int_A^B ds = 0$  或  $\delta \int_A^B (d\tau/d\lambda)^2 d\lambda = 0$  求出“短”程线方程。

段先生书上已经有对线长变分求短程线的过程，也就是从

$$\delta \int_{\lambda_1}^{\lambda_2} \sqrt{-g_{\mu\nu}\dot{x}^\mu \dot{x}^\nu} d\lambda = 0 \quad (31)$$

出发找到  $x^\mu(\lambda)$  要满足的方程。

这里

$$d\tau = \sqrt{-g_{\mu\nu}dx^\mu dx^\nu} = \sqrt{-g_{\mu\nu}\dot{x}^\mu \dot{x}^\nu} d\lambda, \quad (32)$$

也就是从

$$\delta \int_{\lambda_1}^{\lambda_2} -g_{\mu\nu}\dot{x}^\mu\dot{x}^\nu d\lambda = 0 \quad (33)$$

出发推导短程线方程。也即

$$\int_{\lambda_1}^{\lambda_2} \delta(-g_{\mu\nu}\dot{x}^\mu\dot{x}^\nu) d\lambda = 0 \quad (34)$$

令：

$$F(x, \dot{x}) = -g_{\mu\nu}\dot{x}^\mu\dot{x}^\nu, \quad (35)$$

$$\begin{aligned} \delta F &= -\delta(g_{\mu\nu}\dot{x}^\mu\dot{x}^\nu) \\ &= -[\dot{x}^\mu\dot{x}^\nu\delta g_{\mu\nu} + g_{\mu\nu}\dot{x}^\nu\delta\dot{x}^\mu + g_{\mu\nu}\dot{x}^\mu\delta\dot{x}^\nu] \\ &= -\left[\dot{x}^\mu\dot{x}^\nu\partial_\alpha g_{\mu\nu}\delta x^\alpha + g_{\mu\nu}\dot{x}^\nu\frac{d}{d\lambda}\delta x^\mu + g_{\mu\nu}\dot{x}^\mu\frac{d}{d\lambda}\delta x^\nu\right] \\ &= -\left[\dot{x}^\mu\dot{x}^\nu\partial_\alpha g_{\mu\nu}\delta x^\alpha + g_{\alpha\nu}\dot{x}^\nu\frac{d}{d\lambda}\delta x^\alpha + g_{\mu\alpha}\dot{x}^\mu\frac{d}{d\lambda}\delta x^\alpha\right] \\ &= -\left\{\dot{x}^\mu\dot{x}^\nu\partial_\alpha g_{\mu\nu}\delta x^\alpha + \frac{d}{d\lambda}[(g_{\alpha\nu}\dot{x}^\nu + g_{\mu\alpha}\dot{x}^\mu)\delta x^\alpha] - \delta x^\alpha\frac{d}{d\lambda}(g_{\alpha\nu}\dot{x}^\nu + g_{\mu\alpha}\dot{x}^\mu)\right\} \\ &= -\frac{d}{d\lambda}[(g_{\alpha\nu}\dot{x}^\nu + g_{\mu\alpha}\dot{x}^\mu)\delta x^\alpha] + \delta x^\alpha[(\dot{x}^\nu\partial_\beta g_{\alpha\nu}\dot{x}^\beta + g_{\alpha\nu}\ddot{x}^\nu + \dot{x}^\mu\partial_\beta g_{\mu\alpha}\dot{x}^\beta + g_{\mu\alpha}\ddot{x}^\mu) - \dot{x}^\mu\dot{x}^\nu\partial_\alpha g_{\mu\nu}] \\ &= -\frac{d}{d\lambda}[(g_{\alpha\nu}\dot{x}^\nu + g_{\mu\alpha}\dot{x}^\mu)\delta x^\alpha] + \delta x^\alpha[(\dot{x}^\nu\partial_\mu g_{\alpha\nu}\dot{x}^\mu + g_{\alpha\nu}\ddot{x}^\mu + \dot{x}^\mu\partial_\nu g_{\mu\alpha}\dot{x}^\nu + g_{\alpha\mu}\ddot{x}^\mu) - \dot{x}^\mu\dot{x}^\nu\partial_\alpha g_{\mu\nu}] \\ &= -\frac{d}{d\lambda}[(g_{\alpha\nu}\dot{x}^\nu + g_{\mu\alpha}\dot{x}^\mu)\delta x^\alpha] + \delta x^\alpha[\dot{x}^\mu\dot{x}^\nu(\partial_\mu g_{\alpha\nu} + \partial_\nu g_{\alpha\mu} - \partial_\alpha g_{\mu\nu}) + 2g_{\alpha\mu}\ddot{x}^\mu] \end{aligned} \quad (36)$$

由  $\int_{\lambda_1}^{\lambda_2} \delta F d\lambda$  可得

$$\dot{x}^\mu\dot{x}^\nu(\partial_\mu g_{\alpha\nu} + \partial_\nu g_{\alpha\mu} - \partial_\alpha g_{\mu\nu}) + 2g_{\alpha\mu}\ddot{x}^\mu = 0 \quad (37)$$

上式两边同乘  $g_{\lambda\alpha}$  可得

$$\Gamma_{\mu\nu}^\lambda \dot{x}^\mu \dot{x}^\nu + \ddot{x}^\lambda = 0 \quad (38)$$

也即短程线方程：

$$\frac{d^2x^\lambda}{d\lambda^2} + \Gamma_{\mu\nu}^\lambda \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda} = 0. \quad (39)$$

## 2.2

已知  $\nabla_\nu A_\mu = \partial_\nu A_\mu - \Gamma_{\nu\mu}^\lambda A_\lambda$ , 利用标量微分关系  $\nabla_\nu U = \partial_\nu U$  以及莱布尼茨法则证明  $\nabla_\nu B^\mu = \partial_\nu B^\mu + \Gamma_{\nu\lambda}^\mu B^\lambda$ .

一方面，协变微商满足莱布尼兹法则：

$$\begin{aligned} \nabla_\nu(A_\mu B^\mu) &= B^\mu \nabla_\nu A_\mu + A_\mu \nabla_\nu B^\mu \\ &= B^\mu (\partial_\nu A_\mu - \Gamma_{\nu\mu}^\lambda A_\lambda) + A_\mu \nabla_\nu B^\mu \\ &= B^\mu \partial_\nu A_\mu - B^\mu \Gamma_{\nu\mu}^\lambda A_\lambda + A_\mu \nabla_\nu B^\mu \end{aligned} \quad (40)$$

另一方面， $A_\mu B^\mu$  是标量，其协变微商等于普通偏微分：

$$\begin{aligned} \nabla_\nu(A_\mu B^\mu) &= \partial_\nu(A_\mu B^\mu) \\ &= B^\mu \partial_\nu A_\mu + A_\mu \partial_\nu B^\mu \end{aligned} \quad (41)$$

对比可得：

$$\begin{aligned} A_\mu \nabla_\nu B^\mu &= A_\mu \partial_\nu B^\mu + B^\mu \Gamma_{\nu\mu}^\lambda A_\lambda \\ &= A_\mu \partial_\nu B^\mu + A_\lambda \Gamma_{\nu\mu}^\lambda B^\mu \\ &= A_\mu \partial_\nu B^\mu + A_\mu \Gamma_{\nu\lambda}^\mu B^\lambda \end{aligned} \quad (42)$$

从而得到:

$$\nabla_\nu B^\mu = \partial_\nu B^\mu + \Gamma_{\nu\lambda}^\mu B^\lambda \quad (43)$$

## 2.3

一个嵌入三维欧氏空间的普通球面, 选用球极坐标, 其线元为  $ds^2 = a^2 d\theta^2 + a^2 \sin^2 \theta d\phi^2$ , 求: (1)  $g^{\nu\mu}$ ; (2) 全部非零克氏符  $\Gamma_{\mu\nu}^\lambda$ ; (3) 全部非零  $R_{\mu\sigma\lambda}^\nu, R_{\mu\nu}, R$ ; (4) 写出该度规表示的球面空间的测地线方程。

### 度规

取  $(x^1, x^2) = (\theta, \phi)$ , 根据线元

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = a^2 d\theta^2 + a^2 \sin^2 \theta d\phi^2 \quad (44)$$

可得度规

$$g_{11} = a^2, \quad g_{12} = g_{21} = 0, \quad g_{22} = a^2 \sin^2 \theta, \quad (45)$$

$$[g_{\mu\nu}] = \begin{bmatrix} a^2 & 0 \\ 0 & a^2 \sin^2 \theta \end{bmatrix} \quad (46)$$

以及逆度规

$$[g^{\mu\nu}] = \begin{bmatrix} \frac{1}{a^2} & 0 \\ 0 & \frac{1}{a^2 \sin^2 \theta} \end{bmatrix} \quad (47)$$

$$g^{11} = \frac{1}{a^2}, \quad g^{12} = g^{21} = 0, \quad g^{22} = \frac{1}{a^2 \sin^2 \theta}, \quad (48)$$

### 克氏符

由度规  $g_{\mu\nu}$  以及逆度规  $g^{\mu\nu}$  可得克氏符:

$$\Gamma_{\mu\nu}^\lambda = \frac{1}{2} g^{\lambda\sigma} (\partial_\mu g_{\sigma\nu} + \partial_\nu g_{\sigma\mu} - \partial_\sigma g_{\mu\nu}), \quad (49)$$

$$\begin{aligned} \Gamma_{\mu\nu}^1 &= \frac{1}{2} g^{1\sigma} (\partial_\mu g_{\sigma\nu} + \partial_\nu g_{\sigma\mu} - \partial_\sigma g_{\mu\nu}) \\ &= \frac{1}{2} g^{11} (\partial_\mu g_{1\nu} + \partial_\nu g_{1\mu} - \partial_1 g_{\mu\nu}) \\ &= \frac{1}{2a^2} (-\partial_1 g_{\mu\nu}) \\ &= -\frac{1}{2a^2} \partial_1 g_{\mu\nu} \end{aligned} \quad (50)$$

$$\Gamma_{11}^1 = \Gamma_{12}^1 = \Gamma_{21}^1 = 0, \quad (51)$$

$$\Gamma_{22}^1 = -\frac{1}{2a^2} \partial_1 g_{22} = -\frac{1}{2a^2} \partial_\theta (a^2 \sin^2 \theta) = -\sin \theta \cos \theta, \quad (52)$$

$$\begin{aligned} \Gamma_{\mu\nu}^2 &= \frac{1}{2} g^{2\sigma} (\partial_\mu g_{\sigma\nu} + \partial_\nu g_{\sigma\mu} - \partial_\sigma g_{\mu\nu}) \\ &= \frac{1}{2} g^{22} (\partial_\mu g_{2\nu} + \partial_\nu g_{2\mu} - \partial_2 g_{\mu\nu}) \\ &= \frac{1}{2} g^{22} (\partial_\mu g_{2\nu} + \partial_\nu g_{2\mu}) \\ &= \frac{1}{2a^2 \sin^2 \theta} (\partial_\mu g_{2\nu} + \partial_\nu g_{2\mu}) \end{aligned} \quad (53)$$

$$\Gamma_{11}^2 = \Gamma_{22}^2 = 0, \quad (54)$$

$$\Gamma_{12}^2 = \Gamma_{21}^2 = \frac{1}{2a^2 \sin^2 \theta} (\partial_1 g_{22} + \partial_2 g_{12}) = \frac{1}{2a^2 \sin^2 \theta} \partial_\theta (a^2 \sin^2 \theta) = \frac{\cos \theta}{\sin \theta} = \cot \theta \quad (55)$$

## Riemann张量

$$R_{\sigma\mu\nu}^\lambda = \partial_\mu \Gamma_{\nu\sigma}^\lambda - \partial_\nu \Gamma_{\mu\sigma}^\lambda + \Gamma_{\mu\alpha}^\lambda \Gamma_{\nu\sigma}^\alpha - \Gamma_{\nu\alpha}^\lambda \Gamma_{\mu\sigma}^\alpha \quad (56)$$

$$R_{212}^1 = \partial_1 \Gamma_{22}^1 - \partial_2 \Gamma_{12}^1 + \Gamma_{1\alpha}^1 \Gamma_{22}^\alpha - \Gamma_{2\alpha}^1 \Gamma_{12}^\alpha = \sin^2 \theta \quad (57)$$

- $\lambda = 1$
- $\circ (\mu, \nu) = (1, 2)$

$$\begin{aligned} R_{\sigma 12}^1 &= \partial_1 \Gamma_{2\sigma}^1 - \partial_2 \Gamma_{1\sigma}^1 + \Gamma_{1\alpha}^1 \Gamma_{2\sigma}^\alpha - \Gamma_{2\alpha}^1 \Gamma_{1\sigma}^\alpha \\ &= \partial_1 \Gamma_{2\sigma}^1 - \Gamma_{22}^1 \Gamma_{1\sigma}^2 \end{aligned} \quad (58)$$

- $\circ \blacksquare \sigma = 1$

$$\begin{aligned} R_{112}^1 &= \partial_1 \Gamma_{21}^1 - \Gamma_{22}^1 \Gamma_{11}^2 \\ &= -\Gamma_{22}^1 \Gamma_{11}^2 \\ &= 0 \end{aligned} \quad (59)$$

- $\circ \blacksquare \sigma = 2$

$$\begin{aligned} R_{212}^1 &= \partial_1 \Gamma_{22}^1 - \Gamma_{22}^1 \Gamma_{12}^2 \\ &= \sin^2 \theta \end{aligned} \quad (60)$$

$$R_{221}^1 = -R_{212}^1 = -\sin^2 \theta \quad (61)$$

- $\lambda = 2$
- $\circ (\mu, \nu) = (1, 2)$

$$\begin{aligned} R_{\sigma 12}^2 &= \partial_1 \Gamma_{2\sigma}^2 - \partial_2 \Gamma_{1\sigma}^2 + \Gamma_{1\alpha}^2 \Gamma_{2\sigma}^\alpha - \Gamma_{2\alpha}^2 \Gamma_{1\sigma}^\alpha \\ &= \partial_1 \Gamma_{2\sigma}^2 + \Gamma_{12}^2 \Gamma_{2\sigma}^2 - \Gamma_{21}^2 \Gamma_{1\sigma}^1 \\ &= \partial_1 \Gamma_{2\sigma}^2 + \cot \theta \Gamma_{2\sigma}^2 - \cot \theta \Gamma_{1\sigma}^1 \end{aligned} \quad (62)$$

- $\circ \blacksquare \sigma = 1$

$$\begin{aligned} R_{112}^2 &= \partial_1 \Gamma_{21}^2 + \cot \theta \Gamma_{21}^2 - \cot \theta \Gamma_{11}^1 \\ &= \partial_\theta \cot \theta + \cot \theta \cdot \cot \theta \\ &= -1 \end{aligned} \quad (63)$$

$$R_{121}^2 = -R_{112}^2 = 1 \quad (64)$$

- $\circ \blacksquare \sigma = 2$

$$\begin{aligned} R_{212}^2 &= \partial_1 \Gamma_{22}^2 + \cot \theta \Gamma_{22}^2 - \cot \theta \Gamma_{12}^1 \\ &= 0 \end{aligned} \quad (65)$$

## Ricci张量

$$R_{\mu\nu} = R_{\mu\lambda\nu}^\lambda = R_{\mu 1\nu}^1 + R_{\mu 2\nu}^2 \quad (66)$$

- $(\mu, \nu) = (1, 1)$

$$R_{11} = R_{111}^1 + R_{121}^2 = 1 \quad (67)$$

- $(\mu, \nu) = (1, 2)$

$$R_{12} = R_{112}^1 + R_{122}^2 = 0 \quad (68)$$

- $(\mu, \nu) = (2, 1)$

$$R_{21} = R_{211}^1 + R_{221}^2 = 0 \quad (69)$$

- $(\mu, \nu) = (2, 2)$

$$R_{22} = R_{212}^1 + R_{222}^2 = \sin^2 \theta \quad (70)$$

## 曲率标量

$$R = g^{\mu\nu} R_{\mu\nu} = g^{11} R_{11} + g^{22} R_{22} = \frac{2}{a^2} \quad (71)$$

## 测地线方程

测地线方程：

$$\ddot{x}^\lambda + \Gamma_{\mu\nu}^\lambda \dot{x}^\mu \dot{x}^\nu = 0 \quad (72)$$

- $\lambda = 1$

$$\ddot{x}^1 + \Gamma_{22}^1 \dot{x}^2 \dot{x}^2 = 0 \quad (73)$$

$$\ddot{\theta} - \sin \theta \cos \theta \dot{\phi}^2 = 0 \quad (74)$$

- $\lambda = 2$

$$\ddot{x}^2 + \Gamma_{12}^2 \dot{x}^1 \dot{x}^2 + \Gamma_{21}^2 \dot{x}^2 \dot{x}^1 = 0 \quad (75)$$

$$\ddot{\phi} + 2 \cot \theta \dot{\theta} \dot{\phi} = 0 \quad (76)$$

## 2.4

由协变矢量双重协变微商非对称部分  $\phi_{\lambda;[\mu;\nu]}$  推导曲率张量与挠率张量  $\nabla_\mu \nabla_\nu \phi_\lambda - \nabla_\nu \nabla_\mu \phi_\lambda = -R_{\lambda\mu\nu}^\sigma \phi_\sigma - T_{\mu\nu}^\alpha \nabla_\alpha \phi_\lambda$ .

$$\begin{aligned} \nabla_\mu \nabla_\nu \phi_\lambda - \mu \leftrightarrow \nu &= \nabla_\mu (\nabla_\nu \phi_\lambda) - \mu \leftrightarrow \nu \\ &= \partial_\mu (\nabla_\nu \phi_\lambda) - \Gamma_{\mu\nu}^\alpha \nabla_\alpha \phi_\lambda - \Gamma_{\mu\lambda}^\alpha \nabla_\nu \phi_\alpha - \mu \leftrightarrow \nu \\ &= \partial_\mu (\partial_\nu \phi_\lambda - \Gamma_{\nu\lambda}^\alpha \phi_\alpha) - \Gamma_{\mu\nu}^\alpha \nabla_\alpha \phi_\lambda - \Gamma_{\mu\lambda}^\alpha (\partial_\nu \phi_\alpha - \Gamma_{\nu\alpha}^\beta \phi_\beta) - \mu \leftrightarrow \nu \\ &= \partial_\mu \partial_\nu \phi_\lambda - (\partial_\mu \Gamma_{\nu\lambda}^\alpha) \phi_\alpha - \Gamma_{\nu\lambda}^\alpha \partial_\mu \phi_\alpha - \Gamma_{\mu\lambda}^\alpha \partial_\nu \phi_\alpha + \Gamma_{\mu\lambda}^\alpha \Gamma_{\nu\alpha}^\beta \phi_\beta - \Gamma_{\mu\nu}^\alpha \nabla_\alpha \phi_\lambda - \mu \leftrightarrow \nu \\ &= -(\partial_\mu \Gamma_{\nu\lambda}^\alpha - \partial_\nu \Gamma_{\mu\lambda}^\alpha) \phi_\alpha + \Gamma_{\mu\lambda}^\alpha \Gamma_{\nu\alpha}^\beta \phi_\beta - \Gamma_{\nu\lambda}^\alpha \Gamma_{\mu\alpha}^\beta \phi_\beta - (\Gamma_{\mu\nu}^\alpha - \Gamma_{\nu\mu}^\alpha) \nabla_\alpha \phi_\lambda \\ &= -(\partial_\mu \Gamma_{\nu\lambda}^\beta - \partial_\nu \Gamma_{\mu\lambda}^\beta) \phi_\beta + \Gamma_{\mu\lambda}^\alpha \Gamma_{\nu\alpha}^\beta \phi_\beta - \Gamma_{\nu\lambda}^\alpha \Gamma_{\mu\alpha}^\beta \phi_\beta - (\Gamma_{\mu\nu}^\alpha - \Gamma_{\nu\mu}^\alpha) \nabla_\alpha \phi_\lambda \\ &= -R_{\lambda\mu\nu}^\beta \phi_\beta - T_{\mu\nu}^\alpha \nabla_\alpha \phi_\lambda \end{aligned} \quad (77)$$

## 2.5

试求出适用任意时轴正交时空、任意观者的光速表达式，并由此验证广义相对论中的光速不变原理。提示：利用类光线元，并只考虑光线沿径向传播的简单情形。

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu \quad (78)$$

时轴正交时空， $g_{0i} = 0$ ，线元可写为

$$ds^2 = g_{tt} c^2 dt^2 + g_{ij} dx^i dx^j \quad (79)$$

考虑光线沿径向传播，则类光线元满足

$$ds^2 = g_{tt} c^2 dt^2 + g_{rr} dr^2 = 0 \quad (80)$$

其中

$$g_{tt} < 0, \quad g_{rr} > 0 \quad (81)$$

考虑任意观者  $x^\mu(\tau)$ ，其四速度  $u^\mu(\tau)$  满足

$$g_{\mu\nu} u^\mu u^\nu = -c^2 \quad (82)$$

观者观测的时间间隔为

$$dt_{\text{obs}} = -\frac{1}{c^2} u_\mu dx^\mu = -\frac{1}{c^2} (u_0 dx^0 + u_1 dx^1) \quad (83)$$

观者观测的空间距离为

$$dl_{\text{obs}} = \sqrt{h_{\mu\nu} dx^\mu dx^\nu} = \sqrt{\left(g_{\mu\nu} + \frac{u_\mu u_\nu}{c^2}\right) dx^\mu dx^\nu} \quad (84)$$

对于类光线元,

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = 0 \quad (85)$$

则

$$dl_{\text{obs}} = \sqrt{\frac{1}{c^2} (u_\mu dx^\mu)^2} = -\frac{1}{c} u_\mu dx^\mu \quad (86)$$

测量光速

$$c_{\text{obs}} = \frac{dl_{\text{obs}}}{dt_{\text{obs}}} = c \quad (87)$$

## 3

### 3.1

弱引力场近似下, 度规表示为

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}, \quad |h_{\mu\nu}| \ll 1 \quad (88)$$

线性近似理论中只保留  $h_{\mu\nu}$  中的线性项 (一阶小量)。定义

$$\bar{h}_{\mu\nu} \equiv h_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} h, \quad h \equiv \eta^{\mu\nu} h_{\mu\nu}, \quad (89)$$

试证明, 它的逆变换是

$$\bar{h}_{\mu\nu} \equiv \bar{h}_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} \bar{h} = h_{\mu\nu} \quad (90)$$

**证明:**

$$\begin{aligned} \bar{h} &\equiv \eta^{\mu\nu} \bar{h}_{\mu\nu} = \eta^{\mu\nu} \left( h_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} h \right) \\ &= \eta^{\mu\nu} h_{\mu\nu} - \frac{1}{2} \eta^{\mu\nu} \eta_{\mu\nu} h \\ &= h - \frac{1}{2} \delta_\mu^\mu h \\ &= -h \end{aligned} \quad (91)$$

于是

$$\begin{aligned} \bar{h}_{\mu\nu} &\equiv \bar{h}_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} \bar{h} \\ &= \left( h_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} h \right) - \frac{1}{2} \eta_{\mu\nu} (-h) \\ &= h_{\mu\nu} \end{aligned} \quad (92)$$

### 3.2

线性近似理论中, 证明克氏符

$$\Gamma_{\alpha\beta}^{\mu} = \frac{1}{2}\eta^{\mu\nu}(\partial_{\beta}h_{\alpha\nu} + \partial_{\alpha}h_{\beta\nu} - \partial_{\nu}h_{\alpha\beta}) = \frac{1}{2}(h_{\alpha,\beta}^{\mu} + h_{\beta,\alpha}^{\mu} - h_{\alpha\beta}^{\mu}) \quad (93)$$

线性近似理论中张量指标的升降借助  $\eta_{\mu\nu}$  和  $\eta^{\mu\nu}$  进行。并求线性化后的Ricci张量

$$R_{\mu\nu} = \Gamma_{\mu\nu,\lambda}^{\lambda} - \Gamma_{\mu\lambda,\nu}^{\lambda} = -\frac{1}{2}(h_{\mu\nu,\alpha}^{\alpha} + h_{,\mu,\nu}^{\alpha} - h_{\mu,\nu,\alpha}^{\alpha} - h_{\nu,\mu,\alpha}^{\alpha}) \quad (94)$$

**证明：**

由于

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}, \quad |h_{\mu\nu}| \ll 1, \quad (95)$$

线性近似理论只保留小量  $h_{\mu\nu}$  的一阶项，于是

$$\begin{aligned} \Gamma_{\alpha\beta}^{\mu} &\equiv \frac{1}{2}g^{\mu\nu}(\partial_{\alpha}g_{\beta\nu} + \partial_{\beta}g_{\alpha\nu} - \partial_{\nu}g_{\alpha\beta}) \\ &\equiv \frac{1}{2}(\eta^{\mu\nu} + h^{\mu\nu})[\partial_{\alpha}(\eta_{\beta\nu} + h_{\beta\nu}) + \partial_{\beta}(\eta_{\alpha\nu} + h_{\alpha\nu}) - \partial_{\nu}(\eta_{\alpha\beta} + h_{\alpha\beta})] \\ &= \frac{1}{2}\eta^{\mu\nu}(\partial_{\beta}h_{\alpha\nu} + \partial_{\alpha}h_{\beta\nu} - \partial_{\nu}h_{\alpha\beta}) \\ &= \frac{1}{2}[\partial_{\beta}(\eta^{\mu\nu}h_{\alpha\nu}) + \partial_{\alpha}(\eta^{\mu\nu}h_{\beta\nu}) - \eta^{\mu\nu}\partial_{\nu}(h_{\alpha\beta})] \\ &= \frac{1}{2}(\partial_{\beta}h_{\alpha}^{\mu} + \partial_{\alpha}h_{\beta}^{\mu} - \partial^{\mu}h_{\alpha\beta}) \\ &= \frac{1}{2}(h_{\alpha,\beta}^{\mu} + h_{\beta,\alpha}^{\mu} - h_{\alpha\beta}^{\mu}) \end{aligned} \quad (96)$$

克氏符  $\Gamma$  是  $h_{\mu\nu}$  一阶小量的叠加，因此 Riemann 曲率张量中的  $\Gamma\Gamma$  项可以舍去，此时 Riemann 曲率张量为：

$$\begin{aligned} R_{\mu\alpha\nu}^{\lambda} &\equiv \partial_{\alpha}\Gamma_{\nu\mu}^{\lambda} - \partial_{\nu}\Gamma_{\alpha\mu}^{\lambda} + \Gamma_{\alpha\beta}^{\lambda}\Gamma_{\nu\mu}^{\beta} - \Gamma_{\nu\beta}^{\lambda}\Gamma_{\alpha\mu}^{\beta} \\ &= \partial_{\alpha}\Gamma_{\nu\mu}^{\lambda} - \partial_{\nu}\Gamma_{\alpha\mu}^{\lambda} \\ &= \frac{1}{2}[\partial_{\alpha}(h_{\nu,\mu}^{\lambda} + h_{\mu,\nu}^{\lambda} - h_{\nu\mu}^{\lambda}) - \partial_{\nu}(h_{\alpha,\mu}^{\lambda} + h_{\mu,\alpha}^{\lambda} - h_{\alpha\mu}^{\lambda})] \end{aligned} \quad (97)$$

Ricci张量为

$$\begin{aligned} R_{\mu\nu} &= R_{\mu\alpha\nu}^{\alpha} \\ &= \frac{1}{2}[\partial_{\alpha}(h_{\nu,\mu}^{\alpha} + h_{\mu,\nu}^{\alpha} - h_{\nu\mu}^{\alpha}) - \partial_{\nu}(h_{\alpha,\mu}^{\alpha} + h_{\mu,\alpha}^{\alpha} - h_{\alpha\mu}^{\alpha})] \\ &= \frac{1}{2}(\partial_{\alpha}h_{\nu,\mu}^{\alpha} + \partial_{\nu}h_{\alpha\mu}^{\alpha} - \partial_{\alpha}h_{\nu\mu}^{\alpha} - \partial_{\nu}h_{\alpha\mu}^{\alpha}) \\ &= -\frac{1}{2}(h_{\nu\mu,\alpha}^{\alpha} + h_{\alpha,\mu,\nu}^{\alpha} - h_{\nu,\mu,\alpha}^{\alpha} - h_{\alpha\mu,\nu}^{\alpha}) \\ &= -\frac{1}{2}(h_{\mu\nu,\alpha}^{\alpha} + h_{\alpha,\mu,\nu}^{\alpha} - h_{\nu,\mu,\alpha}^{\alpha} - h_{\alpha\mu,\nu}^{\alpha}) \end{aligned} \quad (98)$$

注意到

$$h_{\alpha,\mu,\nu}^{\alpha} = \eta^{\lambda\alpha}h_{\lambda\alpha,\mu,\nu} = (\eta^{\lambda\alpha}h_{\lambda\alpha})_{,\mu,\nu} = h_{,\mu,\nu} \quad (99)$$

$$h_{\alpha\mu,\nu}^{\alpha} = \eta^{\alpha\beta}h_{\alpha\mu,\nu,\beta} = h_{\mu,\nu,\beta}^{\beta} = h_{\mu,\nu,\alpha}^{\alpha} \quad (100)$$

因此Ricci张量可化为

$$\begin{aligned} R_{\mu\nu} &= -\frac{1}{2}(h_{\mu\nu,\alpha}^{\alpha} + h_{\alpha,\mu,\nu}^{\alpha} - h_{\nu,\mu,\alpha}^{\alpha} - h_{\alpha\mu,\nu}^{\alpha}) \\ &= -\frac{1}{2}(h_{\mu\nu,\alpha}^{\alpha} + h_{,\mu,\nu}^{\alpha} - h_{\nu,\mu,\alpha}^{\alpha} - h_{\mu,\nu,\alpha}^{\alpha}) \end{aligned} \quad (101)$$

### 3.3

由以上公式，证明线性化Einstein引力场方程

$$\bar{R}_{\mu\nu} \equiv R_{\mu\nu} - \frac{1}{2}\eta_{\mu\nu}R = 8\pi GT_{\mu\nu} \quad (102)$$

具体化为

$$\bar{h}_{\mu\nu,\alpha}^\alpha + \eta_{\mu\nu}\bar{h}_{\alpha\beta}^{\alpha,\beta} - \bar{h}_{\mu\alpha,\nu}^\alpha - \bar{h}_{\nu\alpha,\mu}^\alpha = -16\pi GT_{\mu\nu} \quad (103)$$

**证明：**

先算Ricci标量：

$$\begin{aligned} R &= \eta^{\mu\nu}R_{\mu\nu} \\ &= -\frac{1}{2}\eta^{\mu\nu}(h_{\mu\nu,\alpha}^\alpha + h_{,\mu,\nu} - h_{\nu,\mu,\alpha}^\alpha - h_{\mu,\nu,\alpha}^\alpha) \\ &= -\frac{1}{2}(h_{,\alpha}^\alpha + h_{,\nu}^\nu - h_{\nu,\alpha}^{\alpha,\nu} - h_{\mu,\alpha}^{\alpha,\mu}) \\ &= -\frac{1}{2}(2h_{,\alpha}^\alpha - 2h_{\beta,\alpha}^{\alpha,\beta}) \\ &= -h_{,\alpha}^\alpha + h_{\beta,\alpha}^{\alpha,\beta} \end{aligned} \quad (104)$$

利用

$$\bar{h} = -h, \quad \bar{h}_{\mu\nu} \equiv h_{\mu\nu} - \frac{1}{2}\eta_{\mu\nu}h = h_{\mu\nu} + \frac{1}{2}\eta_{\mu\nu}\bar{h} \implies h_{\mu\nu} = \bar{h}_{\mu\nu} - \frac{1}{2}\eta_{\mu\nu}\bar{h} \quad (105)$$

$$h_\nu^\alpha = \bar{h}_\nu^\alpha - \frac{1}{2}\delta_\nu^\alpha\bar{h} \quad (106)$$

有

$$\begin{aligned} \bar{R}_{\mu\nu} &\equiv R_{\mu\nu} - \frac{1}{2}\eta_{\mu\nu}R \\ &= -\frac{1}{2}(h_{\mu\nu,\alpha}^\alpha + h_{,\mu,\nu} - h_{\nu,\mu,\alpha}^\alpha - h_{\mu,\nu,\alpha}^\alpha) - \frac{1}{2}\eta_{\mu\nu}(-h_{,\alpha}^\alpha + h_{\beta,\alpha}^{\alpha,\beta}) \\ &= -\frac{1}{2}[h_{\mu\nu,\alpha}^\alpha + h_{,\mu,\nu} - h_{\nu,\mu,\alpha}^\alpha - h_{\mu,\nu,\alpha}^\alpha - \eta_{\mu\nu}h_{,\alpha}^\alpha + \eta_{\mu\nu}h_{\beta,\alpha}^{\alpha,\beta}] \\ &= -\frac{1}{2}\left[\left(\bar{h}_{\mu\nu,\alpha}^\alpha - \frac{1}{2}\eta_{\mu\nu}\bar{h}_{,\alpha}^\alpha\right) + (-\bar{h}_{,\mu,\nu}) - \left(\bar{h}_{\nu,\mu,\alpha}^\alpha - \frac{1}{2}\delta_\nu^\alpha\bar{h}_{,\mu,\alpha}\right) - \left(\bar{h}_{\mu,\nu,\alpha}^\alpha - \frac{1}{2}\delta_\mu^\alpha\bar{h}_{,\nu,\alpha}\right) - (-\eta_{\mu\nu}\bar{h}_{,\alpha}^\alpha) + \left(\eta_{\mu\nu}\left(\bar{h}_{\beta,\alpha}^{\alpha,\beta} - \frac{1}{2}\delta_\beta^\alpha\bar{h}_{,\alpha}^{\beta,\beta}\right)\right)\right] \\ &= -\frac{1}{2}\left[\bar{h}_{\mu\nu,\alpha}^\alpha - \bar{h}_{\nu,\mu,\alpha}^\alpha - \bar{h}_{\mu,\nu,\alpha}^\alpha + \eta_{\mu\nu}\bar{h}_{\beta,\alpha}^{\alpha,\beta}\right] \\ &= -\frac{1}{2}\left[\bar{h}_{\mu\nu,\alpha}^\alpha - \bar{h}_{\nu,\mu,\alpha}^\alpha - \bar{h}_{\mu,\nu,\alpha}^\alpha + \eta_{\mu\nu}\eta^{\alpha\rho}\bar{h}_{\rho\beta,\alpha}^{\beta,\beta}\right] \\ &= -\frac{1}{2}\left[\bar{h}_{\mu\nu,\alpha}^\alpha - \bar{h}_{\nu,\mu,\alpha}^\alpha - \bar{h}_{\mu,\nu,\alpha}^\alpha + \eta_{\mu\nu}\bar{h}_{\rho\beta}^{\rho,\beta}\right] \\ &= -\frac{1}{2}\left[\bar{h}_{\mu\nu,\alpha}^\alpha + \eta_{\mu\nu}\bar{h}_{\alpha\beta}^{\alpha,\beta} - \bar{h}_{\nu,\mu,\alpha}^\alpha - \bar{h}_{\mu,\nu,\alpha}^\alpha\right] \end{aligned} \quad (107)$$

最后，Einstein引力场方程

$$\bar{R}_{\mu\nu} \equiv R_{\mu\nu} - \frac{1}{2}\eta_{\mu\nu}R = 8\pi GT_{\mu\nu} \quad (108)$$

就化为：

$$-\frac{1}{2}\left[\bar{h}_{\mu\nu,\alpha}^\alpha + \eta_{\mu\nu}\bar{h}_{\alpha\beta}^{\alpha,\beta} - \bar{h}_{\nu,\mu,\alpha}^\alpha - \bar{h}_{\mu,\nu,\alpha}^\alpha\right] = 8\pi GT_{\mu\nu} \quad (109)$$

也即：

$$\bar{h}_{\mu\nu,\alpha}^\alpha + \eta_{\mu\nu}\bar{h}_{\alpha\beta}^{\alpha,\beta} - \bar{h}_{\nu,\mu,\alpha}^\alpha - \bar{h}_{\mu,\nu,\alpha}^\alpha = -16\pi GT_{\mu\nu} \quad (110)$$

### 3.4

证明对于静态时空，线性化Ricci

$$R_{\mu\nu} = \Gamma_{\mu\nu,\lambda}^\lambda - \Gamma_{\mu\lambda,\nu}^\lambda = -\frac{1}{2} (h_{\mu\nu,\alpha}^\alpha + h_{,\mu,\nu} - h_{\mu,\nu,\alpha}^\alpha - h_{\nu,\mu,\alpha}^\alpha) \quad (111)$$

可化为

$$R_{00} = -\frac{1}{2} h_{00,i,i}, \quad R_{0i} = \frac{1}{2} (h_{k0,i,k} - h_{0i,k,k}) \quad (112)$$

$$R_{ij} = -\frac{1}{2} (-h_{00,i,j} + h_{kk,i,j} - h_{ki,j,k} - h_{kj,i,k} + h_{ij,k,k}) \quad (113)$$

**证明：**

静态时空满足

$$\partial_0 h_{\mu\nu} = 0 \quad (114)$$

也即

$$h_{\mu\nu,0} = 0 \quad (115)$$

$$h_{\mu\nu}^0 = \eta^{0\alpha} h_{\mu\nu,\alpha} = \eta^{00} h_{\mu\nu,0} = 0 \quad (116)$$

$$h_{,0} = \eta^{\mu\nu} h_{\mu\nu,0} = 0 \quad (117)$$

$$h^0 = \eta^{0\alpha} h_{,\alpha} = \eta^{00} h^0 = 0 \quad (118)$$

$$h_{\nu,0}^\mu = \eta^{\mu\alpha} h_{\alpha\nu,0} = 0 \quad (119)$$

于是可计算Ricci张量：

$$\begin{aligned} R_{00} &= -\frac{1}{2} (h_{00,\alpha}^\alpha + h_{,0,0} - h_{0,0,\alpha}^\alpha - h_{0,0,\alpha}^\alpha) \\ &= -\frac{1}{2} h_{00,\alpha}^\alpha \\ &= -\frac{1}{2} h_{00,i}^i \end{aligned} \quad (120)$$

$$\begin{aligned} R_{0i} &= -\frac{1}{2} (h_{0i,\alpha}^\alpha + h_{,0,i} - h_{0,i,\alpha}^\alpha - h_{i,0,\alpha}^\alpha) \\ &= \frac{1}{2} (h_{0,i,\alpha}^\alpha - h_{0i,\alpha}^\alpha) \\ &= \frac{1}{2} (h_{0,i,k}^k - h_{0i,k}^k) \end{aligned} \quad (121)$$

$$\begin{aligned} R_{ij} &= -\frac{1}{2} (h_{ij,\alpha}^\alpha + h_{,i,j} - h_{i,j,\alpha}^\alpha - h_{j,i,\alpha}^\alpha) \\ &= -\frac{1}{2} (h_{ij,k}^k + h_{,i,j} - h_{i,j,k}^k - h_{j,i,k}^k) \\ &= -\frac{1}{2} (h_{ij,k}^k - h_{00,i,j} + h_{k,i,j}^k - h_{i,j,k}^k - h_{j,i,k}^k) \end{aligned} \quad (122)$$

由于

$$\eta_{\mu\nu} = \text{diag}(-1, +1, +1, +1) \quad (123)$$

若认为一对重复的上/下指标也代表求和，则：

$$\begin{aligned} R_{00} &= -\frac{1}{2} h_{00,i}^i \\ &= -\frac{1}{2} \eta^{\alpha i} h_{00,i,\alpha} \\ &= -\frac{1}{2} h_{00,i,i} \end{aligned} \quad (124)$$

$$\begin{aligned}
R_{0i} &= \frac{1}{2} \left( h_{0,i,k}^k - h_{0i,k}^k \right) \\
&= \frac{1}{2} \left( \eta^{k\alpha} h_{\alpha 0,i,k} - \eta^{k\alpha} h_{0i,k,\alpha} \right) \\
&= \frac{1}{2} (h_{k0,i,k} - h_{0i,k,k})
\end{aligned} \tag{125}$$

$$\begin{aligned}
R_{ij} &= -\frac{1}{2} \left( h_{ij,k}^k - h_{00,i,j} + h_{k,i,j}^k - h_{i,j,k}^k - h_{j,i,k}^k \right) \\
&= -\frac{1}{2} \left( \eta^{k\alpha} h_{ij,k,\alpha} - h_{00,i,j} + \eta^{k\alpha} h_{\alpha k,i,j} - \eta^{k\alpha} h_{\alpha i,j,k} - \eta^{k\alpha} h_{kj,i,k} \right) \\
&= -\frac{1}{2} (-h_{00,i,j} + h_{kk,i,j} - h_{ki,j,k} - h_{kj,i,k} + h_{ij,k,k})
\end{aligned} \tag{126}$$

## 4

### 4.1

由  $f(R)$  引力作用量

$$S = \frac{1}{16\pi G} \int d^4x \sqrt{-g} f(R) + S_m \tag{127}$$

变分，推出  $f(R)$  引力中的引力场方程

$$f'(R)R_{\mu\nu} - \frac{1}{2}f(R)g_{\mu\nu} - (\nabla_\mu \nabla_\nu - g_{\mu\nu} \nabla^\lambda \nabla_\lambda) f'(R) = 8\pi G T_{\mu\nu} \tag{128}$$

先看引力作用量

$$S_g = \frac{1}{16\pi G} \int d^4x \sqrt{-g} f(R) \tag{129}$$

对度规的变分。计算

$$\delta [\sqrt{-g} f(R)] = \delta (\sqrt{-g}) f(R) + \sqrt{-g} \delta [f(R)] \tag{130}$$

对于第一项，利用  $\delta g = -gg_{\mu\nu}\delta g^{\mu\nu}$  有

$$\begin{aligned}
\delta (\sqrt{-g}) f(R) &= -\frac{1}{2} \frac{\delta g}{\sqrt{-g}} f(R) \\
&= -\frac{1}{2} \frac{-gg_{\mu\nu}\delta g^{\mu\nu}}{\sqrt{-g}} f(R) \\
&= -\frac{1}{2} \sqrt{-g} g_{\mu\nu} f(R) \delta g^{\mu\nu}
\end{aligned} \tag{131}$$

对于第二项，利用  $g^{\mu\nu}\delta R_{\mu\nu} = \nabla_\mu \phi^\mu$

$$\begin{aligned}
\sqrt{-g} \delta [f(R)] &= \sqrt{-g} f'(R) \delta R \\
&= \sqrt{-g} f'(R) \delta (g^{\mu\nu} R_{\mu\nu}) \\
&= \sqrt{-g} f'(R) [(\delta g^{\mu\nu}) R_{\mu\nu} + g^{\mu\nu} \delta R_{\mu\nu}] \\
&= \sqrt{-g} f'(R) R_{\mu\nu} \delta g^{\mu\nu} + \sqrt{-g} f'(R) \nabla_\mu \phi^\mu \\
&= \sqrt{-g} f'(R) R_{\mu\nu} \delta g^{\mu\nu} + \sqrt{-g} \{ \nabla_\mu [f'(R) \phi^\mu] - \phi^\mu \nabla_\mu f'(R) \} \\
&= \sqrt{-g} f'(R) R_{\mu\nu} \delta g^{\mu\nu} + \sqrt{-g} \nabla_\mu [f'(R) \phi^\mu] - \sqrt{-g} \phi^\mu \nabla_\mu f'(R) \\
&= \sqrt{-g} f'(R) R_{\mu\nu} \delta g^{\mu\nu} - \sqrt{-g} \phi^\mu \nabla_\mu f'(R) + [\partial M \text{ term}]
\end{aligned} \tag{132}$$

其中  $\partial M$  term 在体积分中可化为边界面积分，为零。为了进一步计算，注意到

$$\phi^\mu \equiv g^{\lambda\nu} \delta \Gamma_{\lambda\nu}^\mu - g^{\mu\nu} \delta \Gamma_{\lambda\nu}^\lambda \tag{133}$$

利用联络对  $g_{\mu\nu}$  的变分

$$\delta\Gamma_{\mu\nu}^\lambda = \frac{1}{2}g^{\lambda\sigma}(\nabla_\mu\delta g_{\nu\sigma} + \nabla_\nu\delta g_{\mu\sigma} - \nabla_\sigma\delta g_{\mu\nu}) \quad (134)$$

可以计算

$$\delta\Gamma_{\lambda\nu}^\mu = \frac{1}{2}g^{\mu\sigma}(\nabla_\lambda\delta g_{\nu\sigma} + \nabla_\nu\delta g_{\lambda\sigma} - \nabla_\sigma\delta g_{\lambda\nu}) \quad (135)$$

$$\delta\Gamma_{\lambda\nu}^\lambda = \frac{1}{2}g^{\lambda\sigma}(\nabla_\lambda\delta g_{\nu\sigma} + \nabla_\nu\delta g_{\lambda\sigma} - \nabla_\sigma\delta g_{\lambda\nu}) \quad (136)$$

于是可以进一步表达  $\phi^\mu$

$$\begin{aligned} \phi^\mu &\equiv g^{\lambda\nu}\delta\Gamma_{\lambda\nu}^\mu - g^{\mu\nu}\delta\Gamma_{\lambda\nu}^\lambda \\ &= g^{\lambda\nu}\left[\frac{1}{2}g^{\mu\sigma}(\nabla_\lambda\delta g_{\nu\sigma} + \nabla_\nu\delta g_{\lambda\sigma} - \nabla_\sigma\delta g_{\lambda\nu})\right] - g^{\mu\nu}\left[\frac{1}{2}g^{\lambda\sigma}(\nabla_\lambda\delta g_{\nu\sigma} + \nabla_\nu\delta g_{\lambda\sigma} - \nabla_\sigma\delta g_{\lambda\nu})\right] \\ &= \frac{1}{2}(\nabla^\nu\delta g_\nu^\mu + \nabla^\lambda\delta g_\lambda^\mu - \nabla^\mu\delta g_\nu^\nu) - \frac{1}{2}(\nabla^\sigma\delta g_\sigma^\mu + \nabla^\mu\delta g_\sigma^\sigma - \nabla^\lambda\delta g_\lambda^\mu) \\ &= \frac{1}{2}(2\nabla^\nu\delta g_\nu^\mu - \nabla^\mu\delta g_\nu^\nu) - \frac{1}{2}\nabla^\mu\delta g_\sigma^\sigma \\ &= \nabla^\nu\delta g_\nu^\mu - \nabla^\mu\delta g_\nu^\nu \end{aligned} \quad (137)$$

于是

$$\begin{aligned} -\sqrt{-g}\phi^\mu\nabla_\mu f'(R) &= -\sqrt{-g}[\nabla^\nu(\delta g_\nu^\mu) - \nabla^\mu(\delta g_\nu^\nu)]\nabla_\mu f'(R) \\ &= \sqrt{-g}[\nabla^\mu(\delta g_\nu^\nu)]\nabla_\mu f'(R) - \sqrt{-g}[\nabla^\nu(\delta g_\nu^\mu)]\nabla_\mu f'(R) \\ &= \sqrt{-g}[-(\delta g_\nu^\nu)\nabla^\mu\nabla_\mu f'(R)] - \sqrt{-g}[-(\delta g_\nu^\mu)\nabla^\nu\nabla_\mu f'(R)] + [\partial M \text{ term}] \\ &= \sqrt{-g}g_{\nu\sigma}(\delta g^{\mu\sigma})\nabla^\nu\nabla_\mu f'(R) - \sqrt{-g}g_{\nu\sigma}(\delta g^{\nu\sigma})\nabla^\mu\nabla_\mu f'(R) + [\partial M \text{ term}] \\ &= \sqrt{-g}(\delta g^{\mu\sigma})\nabla_\sigma\nabla_\mu f'(R) - \sqrt{-g}g_{\mu\nu}(\delta g^{\mu\nu})\nabla^\lambda\nabla_\lambda f'(R) + [\partial M \text{ term}] \\ &= \sqrt{-g}(\delta g^{\mu\nu})\nabla_\nu\nabla_\mu f'(R) - \sqrt{-g}g_{\mu\nu}(\delta g^{\mu\nu})\nabla^\lambda\nabla_\lambda f'(R) + [\partial M \text{ term}] \\ &= \sqrt{-g}(\delta g^{\nu\mu})\nabla_\nu\nabla_\mu f'(R) - \sqrt{-g}g_{\mu\nu}(\delta g^{\mu\nu})\nabla^\lambda\nabla_\lambda f'(R) + [\partial M \text{ term}] \\ &= \sqrt{-g}(\delta g^{\mu\nu})\nabla_\mu\nabla_\nu f'(R) - \sqrt{-g}g_{\mu\nu}(\delta g^{\mu\nu})\nabla^\lambda\nabla_\lambda f'(R) + [\partial M \text{ term}] \end{aligned} \quad (138)$$

总之,

$$\begin{aligned} \delta[\sqrt{-g}f(R)] &= \delta(\sqrt{-g})f(R) + \sqrt{-g}\delta[f(R)] \\ &= -\frac{1}{2}\sqrt{-g}g_{\mu\nu}f(R)\delta g^{\mu\nu} + \sqrt{-g}f'(R)R_{\mu\nu}\delta g^{\mu\nu} - \sqrt{-g}\phi^\mu\nabla_\mu f'(R) + [\partial M \text{ term}] \\ &= -\frac{1}{2}\sqrt{-g}g_{\mu\nu}f(R)\delta g^{\mu\nu} + \sqrt{-g}f'(R)R_{\mu\nu}\delta g^{\mu\nu} + \sqrt{-g}(\delta g^{\mu\nu})\nabla_\mu\nabla_\nu f'(R) - \sqrt{-g}g_{\mu\nu}(\delta g^{\mu\nu})\nabla^\lambda\nabla_\lambda f'(R) + [\partial M \text{ term}] \\ &= \sqrt{-g}\left[f'(R)R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}f(R) + (\nabla_\mu\nabla_\nu - g_{\mu\nu}\nabla^\lambda\nabla_\lambda)f'(R)\right]\delta g^{\mu\nu} + [\partial M \text{ term}] \end{aligned} \quad (139)$$

则引力作用量的变分为

$$\begin{aligned} \delta S_g &= \frac{1}{16\pi G}\int d^4x\delta[\sqrt{-g}f(R)] \\ &= \frac{1}{16\pi G}\int d^4x\sqrt{-g}\left[f'(R)R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}f(R) + (\nabla_\mu\nabla_\nu - g_{\mu\nu}\nabla^\lambda\nabla_\lambda)f'(R)\right]\delta g^{\mu\nu} + [\partial M \text{ term}] \\ &= \frac{1}{16\pi G}\int d^4x\sqrt{-g}\left[f'(R)R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}f(R) + (\nabla_\mu\nabla_\nu - g_{\mu\nu}\nabla^\lambda\nabla_\lambda)f'(R)\right]\delta g^{\mu\nu} \end{aligned} \quad (140)$$

对于引力源物质作用量，能动张量满足

$$\delta S_m = -\frac{1}{2}\int d^4x\sqrt{-g}T_{\mu\nu}\delta g^{\mu\nu} \quad (141)$$

由最小作用量原理

$$\delta S = \delta S_g + \delta S_m = 0 \quad (142)$$

可得

$$f'(R)R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}f(R) + (\nabla_\mu\nabla_\nu - g_{\mu\nu}\nabla^\lambda\nabla_\lambda)f'(R) - 8\pi GT_{\mu\nu} = 0 \quad (143)$$

也即  $f(R)$  引力中的引力场方程

$$f'(R)R_{\mu\nu} - \frac{1}{2}f(R)g_{\mu\nu} - (\nabla_\mu\nabla_\nu - g_{\mu\nu}\nabla^\lambda\nabla_\lambda)f'(R) = 8\pi GT_{\mu\nu} \quad (144)$$

## 4.2

计算Schwarzchild解的空间部分线元

$$ds^2 = r^2(d\theta^2 + \sin^2\theta d\phi^2) + \frac{dr^2}{1 - 2GM/c^2r} \quad (145)$$

当坐标半径  $r = a$  时的球面面积;  $r = a$  时的球体体积; 从半径为  $r = 2GM/c^2$  的球面到  $r = 3GM/c^2$  的球面的径向距离。

- $r = a$  时的球面面积

在  $r = a$  的二维球面上,

$$ds^2 = a^2d\theta^2 + a^2\sin^2\theta d\phi^2 \quad (146)$$

度规:

$$[g_{ij}] = \text{diag}(a^2, a^2\sin^2\theta) \quad (147)$$

面元为

$$dA = \sqrt{\det[g_{ij}]}dx^i dx^j = a^2\sin\theta d\theta d\phi \quad (148)$$

球面面积:

$$A = \int dA = \int_{\phi=0}^{\phi=2\pi} \int_{\theta=0}^{\theta=\pi} a^2\sin\theta d\theta d\phi = 4\pi a^2 \quad (149)$$

- $r = a$  时的球体体积

令

$$r_s \equiv \frac{2GM}{c^2} \quad (150)$$

则

$$\begin{aligned} ds^2 &= r^2(d\theta^2 + \sin^2\theta d\phi^2) + \frac{dr^2}{1 - 2GM/c^2r} \\ &= ds^2 = r^2(d\theta^2 + \sin^2\theta d\phi^2) + \frac{dr^2}{1 - r_s/r} \end{aligned} \quad (151)$$

度规:

$$[g_{ij}] = \text{diag}(1 - r_s/r, r^2, r^2\sin^2\theta) \quad (152)$$

体元:

$$dV = \sqrt{\det[g_{ij}]}dx^i dx^j = \frac{r^2\sin\theta}{\sqrt{1 - r_s/r}}dr d\theta d\phi \quad (153)$$

球体体积:

$$\begin{aligned}
V &= \int dV = \int_{r=0}^{r=a} \int_{\phi=0}^{\phi=2\pi} \int_{\theta=0}^{\theta=\pi} \frac{r^2 \sin \theta}{\sqrt{1-r_s/r}} dr d\theta d\phi \\
&= 4\pi \int_{r=0}^{r=a} \frac{r^2}{\sqrt{1-r_s/r}} dr
\end{aligned} \tag{154}$$

- 从半径为  $r = 2GM/c^2 = r_s$  的球面到  $r = 3GM/c^2 = 3r_s/2$  的球面的径向距离

$$\begin{aligned}
\Delta r &= \int_{r=r_s}^{r=3r_s/2} \frac{dr}{\sqrt{1-r_s/r}} = \left[ \sqrt{r(r-r_s)} + r_s \ln \left| \frac{\sqrt{r-r_s} + \sqrt{r}}{\sqrt{r_s}} \right| \right] \Big|_{r=r_s}^{r=3r_s/2} \\
&= \frac{\sqrt{3}}{2} r_s + r_s \ln \left( \frac{\sqrt{2} + \sqrt{6}}{2} \right) \\
&= \left[ \frac{\sqrt{3}}{2} + \ln \left( \frac{\sqrt{2} + \sqrt{6}}{2} \right) \right] r_s
\end{aligned} \tag{155}$$

## 4.3

计算Schwarzchild度规

$$ds^2 = -e^\nu c^2 dt^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2) + e^{-\nu} dr^2 \tag{156}$$

$$e^\nu = 1 - \frac{2GM}{c^2 r} \tag{157}$$

情况下所有联络  $\Gamma_{\mu\nu}^\lambda$ .

$$(x^0, x^1, x^2, x^3) \equiv (ct, r, \theta, \phi) \tag{158}$$

线元

$$\begin{aligned}
ds^2 &= -e^\nu c^2 dt^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2) + e^{-\nu} dr^2 \\
&= g_{\mu\nu} dx^\mu dx^\nu
\end{aligned} \tag{159}$$

度规

$$[g_{\mu\nu}] = \text{diag}(-e^\nu, e^{-\nu}, r^2, r^2 \sin^2 \theta) \tag{160}$$

逆度规

$$[g^{\mu\nu}] = \text{diag} \left( -e^{-\nu}, e^\nu, \frac{1}{r^2}, \frac{1}{r^2 \sin^2 \theta} \right) \tag{161}$$

下面计算联络。

$$\Gamma_{\mu\nu}^\lambda = \frac{1}{2} g^{\lambda\sigma} (\partial_\mu g_{\nu\sigma} + \partial_\nu g_{\mu\sigma} - \partial_\sigma g_{\mu\nu}) \tag{162}$$

- $\lambda = 0$

$$\begin{aligned}
\Gamma_{\mu\nu}^0 &= \frac{1}{2} g^{0\sigma} (\partial_\mu g_{\nu\sigma} + \partial_\nu g_{\mu\sigma} - \partial_\sigma g_{\mu\nu}) \\
&= \frac{1}{2} g^{00} (\partial_\mu g_{\nu 0} + \partial_\nu g_{\mu 0} - \partial_0 g_{\mu\nu}) \\
&= -\frac{1}{2} e^{-\nu} (\partial_\mu g_{\nu 0} + \partial_\nu g_{\mu 0})
\end{aligned} \tag{163}$$

当  $(\mu, \nu) = (i, j)$  时，联络恒为零；当  $\mu = 0$  且  $\nu = 0$  时联络也为零。

非零联络：

$$\Gamma_{10}^0 = \Gamma_{01}^0 = -\frac{1}{2} e^{-\nu} (\partial_0 g_{10} + \partial_1 g_{00}) = -\frac{1}{2} e^{-\nu} \partial_1 (-e^\nu) = \frac{1}{2} \nu' \tag{164}$$

- $\lambda = 1$

$$\begin{aligned}
\Gamma_{\mu\nu}^1 &= \frac{1}{2} g^{1\sigma} (\partial_\mu g_{\nu\sigma} + \partial_\nu g_{\mu\sigma} - \partial_\sigma g_{\mu\nu}) \\
&= \frac{1}{2} g^{11} (\partial_\mu g_{\nu 1} + \partial_\nu g_{\mu 1} - \partial_1 g_{\mu\nu}) \\
&= \frac{1}{2} e^\nu (\partial_\mu g_{\nu 1} + \partial_\nu g_{\mu 1} - \partial_1 g_{\mu\nu})
\end{aligned} \tag{165}$$

当  $\mu, \nu \neq 1$  时, 非零的联络为

$$\Gamma_{00}^1 = \frac{1}{2} e^\nu (-\partial_r (-e^\nu)) = \frac{\nu'}{2} e^{2\nu} \tag{166}$$

$$\Gamma_{22}^1 = \frac{1}{2} e^\nu (-\partial_r (r^2)) = -r e^\nu \tag{167}$$

$$\Gamma_{33}^1 = \frac{1}{2} e^\nu (-\partial_r (r^2 \sin^2 \theta)) = -r e^\nu \sin^2 \theta \tag{168}$$

当  $\mu = \nu = 1$  时,

$$\Gamma_{11}^1 = \frac{1}{2} e^\nu (\partial_1 g_{11}) = \frac{1}{2} e^\nu (\partial_r (e^{-\nu})) = -\frac{\nu'}{2} \tag{169}$$

当  $\mu, \nu$  中只有一个为 1 时,

$$\Gamma_{01}^1 = \Gamma_{10}^1 = \Gamma_{12}^1 = \Gamma_{21}^1 = \Gamma_{13}^1 = \Gamma_{31}^1 = 0 \tag{170}$$

•  $\lambda = 2$

$$\begin{aligned}
\Gamma_{\mu\nu}^2 &= \frac{1}{2} g^{2\sigma} (\partial_\mu g_{\nu\sigma} + \partial_\nu g_{\mu\sigma} - \partial_\sigma g_{\mu\nu}) \\
&= \frac{1}{2} g^{22} (\partial_\mu g_{\nu 2} + \partial_\nu g_{\mu 2} - \partial_2 g_{\mu\nu}) \\
&= \frac{1}{2r^2} (\partial_\mu g_{\nu 2} + \partial_\nu g_{\mu 2} - \partial_2 g_{\mu\nu})
\end{aligned} \tag{171}$$

当  $\mu, \nu \neq 2$  时,

$$\Gamma_{33}^2 = \frac{1}{2r^2} (-\partial_\theta (r^2 \sin^2 \theta)) = -\sin \theta \cos \theta \tag{172}$$

当  $\mu, \nu$  中只有一个为 2 时,

$$\Gamma_{12}^2 = \Gamma_{21}^2 = \frac{1}{2r^2} (\partial_1 g_{22}) = \frac{1}{2r^2} (\partial_r (r^2)) = \frac{1}{r} \tag{173}$$

当  $(\mu, \nu) = (2, 2)$  时,

$$\Gamma_{22}^2 = 0 \tag{174}$$

•  $\lambda = 3$

$$\begin{aligned}
\Gamma_{\mu\nu}^3 &= \frac{1}{2} g^{3\sigma} (\partial_\mu g_{\nu\sigma} + \partial_\nu g_{\mu\sigma} - \partial_\sigma g_{\mu\nu}) \\
&= \frac{1}{2} g^{33} (\partial_\mu g_{\nu 3} + \partial_\nu g_{\mu 3} - \partial_3 g_{\mu\nu}) \\
&= \frac{1}{2r^2 \sin^2 \theta} (\partial_\mu g_{\nu 3} + \partial_\nu g_{\mu 3})
\end{aligned} \tag{175}$$

当  $\mu, \nu \neq 3$  时, 所有联络都为零。

当  $\mu, \nu$  中只有一个为 3 时,

$$\Gamma_{13}^3 = \Gamma_{31}^3 = \frac{1}{2r^2 \sin^2 \theta} (\partial_1 g_{33}) = \frac{1}{2r^2 \sin^2 \theta} (\partial_r (r^2 \sin^2 \theta)) = \frac{1}{r} \tag{176}$$

$$\Gamma_{23}^3 = \Gamma_{32}^3 = \frac{1}{2r^2 \sin^2 \theta} (\partial_2 g_{33}) = \frac{1}{2r^2 \sin^2 \theta} (\partial_\theta (r^2 \sin^2 \theta)) = \cot \theta \tag{177}$$

当  $\mu, \nu = 3$  时,

$$\Gamma_{33}^3 = 0 \quad (178)$$

\$\$\begin{aligned} & \text{\begin{equation}} \\ & \text{\end{equation}} \\ & \text{\begin{equation}} \\ & \text{\end{equation}} \end{aligned}

## 4.4

据上题结果, 由Schwarzchild时空粒子运动方程出发, 导出在平面极坐标系下轨道满足的方程 (GR中的Binet方程), 并讨论水星进动问题。

Schwarzchild线元:

$$ds^2 = -c^2 e^\nu dt^2 + e^{-\nu} dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2), \quad e^\nu = 1 - \frac{2GM}{c^2 r} \quad (179)$$

Schwarzchild度规下的非零联络:

$$\Gamma_{01}^0 = \Gamma_{10}^0 = \frac{\nu'}{2}, \quad (180)$$

$$\Gamma_{00}^1 = \frac{\nu'}{2} e^{2\nu}, \quad \Gamma_{11}^1 = -\frac{\nu'}{2}, \quad \Gamma_{22}^1 = -r e^\nu, \quad \Gamma_{33}^1 = -r e^\nu \sin^2 \theta \quad (181)$$

$$\Gamma_{12}^2 = \Gamma_{21}^2 = \frac{1}{r}, \quad \Gamma_{33}^2 = -\sin \theta \cos \theta, \quad (182)$$

$$\Gamma_{13}^3 = \Gamma_{31}^3 = \frac{1}{r}, \quad \Gamma_{23}^3 = \Gamma_{32}^3 = \cot \theta \quad (183)$$

以线长  $s$  为参量, 测地线方程:

$$\frac{d^2x^\sigma}{ds^2} + \Gamma_{\mu\nu}^\sigma \frac{dx^\mu}{ds} \frac{dx^\nu}{ds} = 0 \quad (184)$$

$\sigma$  分别取 0, 1, 2, 3 就得到四条关于坐标的参数方程。

•  $\sigma = 0$

非零联络:

$$\Gamma_{01}^0 = \Gamma_{10}^0 = \frac{\nu'}{2}, \quad (185)$$

$$\frac{d^2x^0}{ds^2} + \Gamma_{01}^0 \frac{dx^0}{ds} \frac{dx^1}{ds} + \Gamma_{10}^0 \frac{dx^1}{ds} \frac{dx^0}{ds} = 0 \quad (186)$$

$$\frac{d^2t}{ds^2} + \nu' \frac{dt}{ds} \frac{dr}{ds} = 0 \quad (187)$$

•  $\sigma = 1$

非零联络:

$$\Gamma_{00}^1 = \frac{\nu'}{2} e^{2\nu}, \quad \Gamma_{11}^1 = -\frac{\nu'}{2}, \quad \Gamma_{22}^1 = -r e^\nu, \quad \Gamma_{33}^1 = -r e^\nu \sin^2 \theta \quad (188)$$

$$\frac{d^2x^1}{ds^2} + \Gamma_{00}^1 \frac{dx^0}{ds} \frac{dx^0}{ds} + \Gamma_{11}^1 \frac{dx^1}{ds} \frac{dx^1}{ds} + \Gamma_{22}^1 \frac{dx^2}{ds} \frac{dx^2}{ds} + \Gamma_{33}^1 \frac{dx^3}{ds} \frac{dx^3}{ds} = 0 \quad (189)$$

$$\frac{d^2r}{ds^2} + \frac{c^2 \nu'}{2} e^{2\nu} \left( \frac{dt}{ds} \right)^2 - \frac{\nu'}{2} \left( \frac{dr}{ds} \right)^2 - r e^\nu \left( \frac{d\theta}{ds} \right)^2 - r e^\nu \sin^2 \theta \left( \frac{d\phi}{ds} \right)^2 = 0 \quad (190)$$

•  $\sigma = 2$

非零联络:

$$\Gamma_{12}^2 = \Gamma_{21}^2 = \frac{1}{r}, \quad \Gamma_{33}^2 = -\sin \theta \cos \theta, \quad (191)$$

$$\frac{d^2x^2}{ds^2} + \Gamma_{12}^2 \frac{dx^1}{ds} \frac{dx^2}{ds} + \Gamma_{21}^2 \frac{dx^2}{ds} \frac{dx^1}{ds} + \Gamma_{33}^2 \frac{dx^3}{ds} \frac{dx^3}{ds} = 0 \quad (192)$$

$$\frac{d^2\theta}{ds^2} + \frac{2}{r} \frac{dr}{ds} \frac{d\theta}{ds} - \sin \theta \cos \theta \left( \frac{d\phi}{ds} \right)^2 = 0 \quad (193)$$

•  $\sigma = 3$

非零联络:

$$\Gamma_{13}^3 = \Gamma_{31}^3 = \frac{1}{r}, \quad \Gamma_{23}^3 = \Gamma_{32}^3 = \cot \theta \quad (194)$$

$$\frac{d^2x^3}{ds^2} + \Gamma_{13}^3 \frac{dx^1}{ds} \frac{dx^3}{ds} + \Gamma_{31}^3 \frac{dx^3}{ds} \frac{dx^1}{ds} + \Gamma_{23}^3 \frac{dx^2}{ds} \frac{dx^3}{ds} + \Gamma_{32}^3 \frac{dx^3}{ds} \frac{dx^2}{ds} = 0 \quad (195)$$

$$\frac{d^2\phi}{ds^2} + \frac{2}{r} \frac{dr}{ds} \frac{d\phi}{ds} + 2 \cot \theta \frac{d\theta}{ds} \frac{d\phi}{ds} = 0 \quad (196)$$

总之, 四条参数方程为

$$\frac{d^2t}{ds^2} + \nu' \frac{dt}{ds} \frac{dr}{ds} = 0 \quad (197)$$

$$\frac{d^2r}{ds^2} + \frac{c^2\nu'}{2} e^{2\nu} \left( \frac{dt}{ds} \right)^2 - \frac{\nu'}{2} \left( \frac{dr}{ds} \right)^2 - r e^\nu \left( \frac{d\theta}{ds} \right)^2 - r e^\nu \sin^2 \theta \left( \frac{d\phi}{ds} \right)^2 = 0 \quad (198)$$

$$\frac{d^2\theta}{ds^2} + \frac{2}{r} \frac{dr}{ds} \frac{d\theta}{ds} - \sin \theta \cos \theta \left( \frac{d\phi}{ds} \right)^2 = 0 \quad (199)$$

$$\frac{d^2\phi}{ds^2} + \frac{2}{r} \frac{dr}{ds} \frac{d\phi}{ds} + 2 \cot \theta \frac{d\theta}{ds} \frac{d\phi}{ds} = 0 \quad (200)$$

取轨道面  $\theta = \pi/2$ , 则方程简化为

$$\frac{d^2t}{ds^2} + \nu' \frac{dt}{ds} \frac{dr}{ds} = 0 \quad (201)$$

$$\frac{d^2r}{ds^2} + \frac{c^2\nu'}{2} e^{2\nu} \left( \frac{dt}{ds} \right)^2 - \frac{\nu'}{2} \left( \frac{dr}{ds} \right)^2 - r e^\nu \left( \frac{d\phi}{ds} \right)^2 = 0 \quad (202)$$

$$\frac{d^2\phi}{ds^2} + \frac{2}{r} \frac{dr}{ds} \frac{d\phi}{ds} = 0 \quad (203)$$

注意到上面第三条方程

$$\frac{d^2\phi}{ds^2} + \frac{2}{r} \frac{dr}{ds} \frac{d\phi}{ds} = 0, \quad r^2 \frac{d^2\phi}{ds^2} + 2r \frac{dr}{ds} \frac{d\phi}{ds} = 0, \quad r^2 \frac{d}{ds} \frac{d\phi}{ds} + \frac{d(r^2)}{ds} \frac{d\phi}{ds} = 0 \quad (204)$$

也即

$$\frac{d}{ds} \left( r^2 \frac{d\phi}{ds} \right) = 0 \quad (205)$$

因此

$$r^2 \frac{d\phi}{ds} = \text{const} \equiv \frac{h}{c} \quad (206)$$

这是 GR 中的角动量守恒。

我们要找轨道方程, 因此需要找到  $r, \phi$  的微分方程。

由于  $\theta = \pi/2$ , 则线元

$$ds^2 = -c^2 e^\nu dt^2 + e^{-\nu} dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2), \quad e^\nu = 1 - \frac{2GM}{c^2 r} \quad (207)$$

可化简为

$$ds^2 = -c^2 e^\nu dt^2 + e^{-\nu} dr^2 + r^2 d\phi^2 \quad (208)$$

利用线元  $ds^2$  与线长  $ds$  的关系

$$ds = \sqrt{-ds^2}, \quad (ds)^2 = -ds^2 = c^2 e^\nu dt^2 - e^{-\nu} dr^2 - r^2 d\phi^2 \quad (209)$$

两边同除  $(ds)^2$ , 再同乘  $e^\nu$ , 得到

$$\left(\frac{dr}{ds}\right)^2 = c^2 e^{2\nu} \left(\frac{dt}{ds}\right)^2 - r^2 e^\nu \left(\frac{d\phi}{ds}\right)^2 - e^\nu \quad (210)$$

上式代入  $r$  关于  $s$  的二阶偏微分方程, 就消去  $t$ :

$$\frac{d^2r}{ds^2} + \frac{\nu'}{2} e^\nu r^2 \left(\frac{d\phi}{ds}\right)^2 - r e^\nu \left(\frac{d\phi}{ds}\right)^2 + \frac{1}{2} \nu' e^\nu = 0 \quad (211)$$

这就是GR中参数形式的行星轨道方程。

利用 GR 中的角动量守恒

$$r^2 \frac{d\phi}{ds} = \frac{h}{c}, \quad \frac{d\phi}{ds} = \frac{h}{cr^2} \quad (212)$$

进一步化简为

$$\frac{d^2r}{ds^2} + \left(\frac{\nu'}{2} r^2 - r\right) e^\nu \left(\frac{h}{cr^2}\right)^2 + \frac{1}{2} \nu' e^\nu = 0 \quad (213)$$

$$\frac{d^2r}{ds^2} + \frac{1}{2} \nu' e^\nu \left(\frac{h^2}{c^2 r^2} + 1\right) - r e^\nu \left(\frac{h}{cr^2}\right)^2 = 0 \quad (214)$$

令  $u = \frac{1}{r}$ , 注意到

$$e^\nu = 1 - \frac{2GM}{c^2 r} = 1 - \frac{2GM}{c^2} u \quad (215)$$

$$\nu' e^\nu = (e^\nu)' = \frac{2GM}{c^2 r^2} = \frac{2GM}{c^2} u^2 \quad (216)$$

$$\frac{d\phi}{ds} = \frac{h}{cr^2} = \frac{h}{c} u^2 \quad (217)$$

$$\frac{d}{ds} = \frac{d\phi}{ds} \frac{d}{d\phi} = \frac{h}{c} u^2 \frac{d}{d\phi} \quad (218)$$

$$\begin{aligned} \frac{d^2r}{ds^2} &= \frac{d}{ds} \left( \frac{d(1/u)}{ds} \right) = \frac{d}{ds} \left( -\frac{1}{u^2} \frac{du}{ds} \right) = \frac{d}{ds} \left[ -\frac{1}{u^2} \cdot \left( \frac{h}{c} u^2 \frac{du}{d\phi} \right) \right] \\ &= -\frac{h}{c} \frac{d}{ds} \left( \frac{du}{d\phi} \right) = -\frac{h}{c} \cdot \frac{h}{c} u^2 \frac{d}{d\phi} \left( \frac{du}{d\phi} \right) \\ &= -\frac{h^2}{c^2} u^2 \frac{d^2u}{d\phi^2} \end{aligned} \quad (219)$$

于是轨道的参数方程就化为如下的轨道方程:

$$\frac{d^2u}{d\phi^2} + u = \frac{3GM}{c^2} u^2 + \frac{GM}{h^2} \quad (220)$$

上式就是GR中的Binet方程。

设  $u_0$  满足牛顿力学中的Binet方程

$$\frac{d^2u_0}{d\phi^2} + u_0 = \frac{GM}{h^2} \quad (221)$$

其解为

$$u_0 = \frac{1}{p} (1 + e \cos \phi), \quad p = \frac{h^2}{GM} \quad (222)$$

令  $\alpha = 3GM/c^2$ , 则

$$\frac{d^2 u}{d\phi^2} + u = \alpha u^2 + \frac{GM}{h^2} \quad (223)$$

设  $u = u_0 + \alpha u_1$ , 则

$$\frac{d^2 u_0}{d\phi^2} + u_0 + \alpha \frac{d^2 u_1}{d\phi^2} + \alpha u_1 = \alpha (u_0 + \alpha u_1)^2 + \frac{GM}{h^2} \quad (224)$$

利用  $u_0$  满足的方程就得到

$$\alpha \frac{d^2 u_1}{d\phi^2} + \alpha u_1 = \alpha (u_0 + \alpha u_1)^2 \quad (225)$$

由于  $\alpha$  是个小量, 约去右边括号内的高阶小量, 再利用  $u_0$  的表达式, 就得到

$$\frac{d^2 u_1}{d\phi^2} + u_1 = u_0^2 = \frac{(1 + e \cos \phi)^2}{p^2} = \frac{1}{p^2} \left[ \left( 1 + \frac{e^2}{2} \right) + 2e \cos \phi + \frac{e^2}{2} \cos 2\phi \right] \quad (226)$$

设  $u_1$  的形式解为

$$u_1 = A + B\phi \sin \phi + C \cos 2\phi \quad (227)$$

$$\frac{du_1}{d\phi} = B(\sin \phi + \phi \cos \phi) - 2C \sin 2\phi \quad (228)$$

$$\frac{d^2 u_1}{d\phi^2} = B(2 \cos \phi - \phi \sin \phi) - 4C \cos 2\phi \quad (229)$$

代回方程得到

$$B(2 \cos \phi - \phi \sin \phi) - 4C \cos 2\phi + A + B\phi \sin \phi + C \cos 2\phi = \frac{1}{p^2} \left[ \left( 1 + \frac{e^2}{2} \right) + 2e \cos \phi + \frac{e^2}{2} \cos 2\phi \right] \quad (230)$$

对比各项前的系数就得到

$$A = \frac{1}{p^2} \left( 1 + \frac{e^2}{2} \right), \quad B = \frac{e}{p^2}, \quad C = -\frac{e^2}{6p^2} \quad (231)$$

因此

$$u_1 = \frac{1}{p^2} \left( 1 + \frac{e^2}{2} \right) + \frac{e}{p^2} \phi \sin \phi - \frac{e^2}{6p^2} \cos 2\phi \quad (232)$$

$$u = u_0 + \alpha u_1 = \frac{1}{p} \left[ (1 + e \cos \phi) + \frac{\alpha}{p} \left( 1 + \frac{e^2}{2} \right) + \frac{\alpha e}{p} \phi \sin \phi - \frac{1}{6} \frac{\alpha e^2}{p^2} \cos 2\phi \right] \quad (233)$$

上面只有  $\phi \sin \phi$  项是累加的, 只保留对轨道有长期影响的项:

$$u = \frac{1}{p} \left[ (1 + e \cos \phi) + \frac{\alpha e}{p} \phi \sin \phi \right] \quad (234)$$

由于  $\alpha$  是小量, 则

$$1 \approx \cos \left( \frac{\alpha}{p} \phi \right), \quad \frac{\alpha}{p} \phi \approx \sin \left( \frac{\alpha}{p} \phi \right) \quad (235)$$

于是

$$\begin{aligned}
u &= \frac{1}{p} \left[ (1 + e \cos \phi) + \frac{\alpha e}{p} \phi \sin \phi \right] \\
&= \frac{1}{p} \left[ 1 + e \left( 1 \cdot \cos \phi + \frac{\alpha}{p} \phi \sin \phi \right) \right] \\
&\approx \frac{1}{p} \left[ 1 + e \left( \cos \left( \frac{\alpha}{p} \phi \right) \cos \phi + \sin \left( \frac{\alpha}{p} \phi \right) \sin \phi \right) \right] \\
&= \frac{1}{p} \left[ 1 + \cos \left( \left( 1 - \frac{\alpha}{p} \right) \phi \right) \right] \\
&\equiv \frac{1}{p} (1 + e \cos \Phi)
\end{aligned} \tag{236}$$

$$\Phi \equiv \left( 1 - \frac{\alpha}{p} \right) \phi \tag{237}$$

当  $\Phi = \Phi_n = (2n + 1)\pi$  时,  $\cos \Phi = -1$ , 此时  $u$  最小,  $r$  最大, 相应  $\phi_n$  为

$$\phi_n = \frac{\Phi_n}{1 - \alpha/p} \approx \Phi_n \left( 1 + \frac{\alpha}{p} \right) \tag{238}$$

$$\phi_{n+1} \approx \Phi_{n+1} \left( 1 + \frac{\alpha}{p} \right) \tag{239}$$

于是

$$\phi_{n+1} - \phi_n = 2\pi \left( 1 + \frac{\alpha}{p} \right) \tag{240}$$

一周期进动角为

$$\Delta = \phi_{n+1} - \phi_n - 2\pi = 2\pi \frac{\alpha}{p} = \frac{6\pi GM}{c^2 p} \tag{241}$$

由

$$T^2 = \frac{4\pi^2}{GM} a^3, \quad GM = 4\pi^2 \frac{a^3}{T^2}, \quad p = a(1 - e^2) \tag{242}$$

可得

$$\Delta = \frac{24\pi^3 a^2}{c^2 T^2 (1 - e^2)} \tag{243}$$

对于水星, 其世纪进动角为

$$\Delta_c \approx 43'' \tag{244}$$

## 4.5

导出光子在平面极坐标系下轨道所满足的方程, 并讨论光线在太阳附近偏折的问题。

对于光有  $ds^2 = 0$ , 因此测地线方程不能以线长  $s$  为参数。但可引入参数  $\lambda$  来定义光线的切矢:

$$K^\mu \equiv \frac{dx^\mu}{d\lambda} \tag{245}$$

由于  $ds^2 = 0$  可得

$$g_{\mu\nu} K^\mu K^\nu = 0 \tag{246}$$

假设切矢  $K^\mu$  在光的传播路线上是平行的, 即

$$\nabla_\mu K^\sigma = 0, \quad K^\mu \nabla_\mu K^\sigma = 0 \tag{247}$$

也即

$$K^\mu (\partial_\mu K^\sigma + \Gamma_{\mu\nu}^\sigma K^\nu) = 0 \quad (248)$$

又

$$K^\mu \partial_\mu K^\sigma = \frac{dx^\mu}{d\lambda} \frac{\partial K^\sigma}{\partial x^\mu} = \frac{dK^\sigma}{d\lambda} \quad (249)$$

因此有

$$\frac{dK^\sigma}{d\lambda} + \Gamma_{\mu\nu}^\sigma K^\mu K^\nu = 0, \quad K^\sigma \equiv \frac{dx^\sigma}{d\lambda} \quad (250)$$

也即

$$\frac{d^2x^\sigma}{d\lambda^2} + \Gamma_{\mu\nu}^\sigma \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda} = 0 \quad (251)$$

我们知道，Schwarzschild解情况下有质量粒子在  $\theta = \pi/2$  平面内的测地线方程有三条：

$$\frac{d^2t}{ds^2} + \nu' \frac{dt}{ds} \frac{dr}{ds} = 0 \quad (252)$$

$$\frac{d^2r}{ds^2} + \frac{c^2\nu'}{2} e^{2\nu} \left( \frac{dt}{ds} \right)^2 - \frac{\nu'}{2} \left( \frac{dr}{ds} \right)^2 - r e^\nu \left( \frac{d\phi}{ds} \right)^2 = 0 \quad (253)$$

$$\frac{d^2\phi}{ds^2} + \frac{2}{r} \frac{dr}{ds} \frac{d\phi}{ds} = 0 \quad (254)$$

对于光子，同样考虑Schwarzschild解，由于二者的联络都是一样，因此只需要把  $s$  替换成  $\lambda$  就得到Schwarzschild解下光传播路径的参数方程：

$$\frac{d^2t}{d\lambda^2} + \nu' \frac{dt}{d\lambda} \frac{dr}{d\lambda} = 0 \quad (255)$$

$$\frac{d^2r}{d\lambda^2} + \frac{c^2\nu'}{2} e^{2\nu} \left( \frac{dt}{d\lambda} \right)^2 - \frac{\nu'}{2} \left( \frac{dr}{d\lambda} \right)^2 - r e^\nu \left( \frac{d\phi}{d\lambda} \right)^2 = 0 \quad (256)$$

$$\frac{d^2\phi}{d\lambda^2} + \frac{2}{r} \frac{dr}{d\lambda} \frac{d\phi}{d\lambda} = 0 \quad (257)$$

对于第三条方程，同样有

$$\frac{d}{d\lambda} \left( r^2 \frac{d\phi}{d\lambda} \right) = 0, \quad r^2 \frac{d\phi}{d\lambda} = k \quad (258)$$

在  $\theta = \pi/2$  平面上，线元

$$ds^2 = 0 = -c^2 e^\nu dt^2 + e^{-\nu} dr^2 + r^2 d\phi^2 \quad (259)$$

可以证明，可以从上式和第三条方程推导出第一条方程。上式两边同除  $(d\lambda)^2$ ，并移项，就得到

$$c^2 e^\nu \left( \frac{dt}{d\lambda} \right)^2 = e^{-\nu} \left( \frac{dr}{d\lambda} \right)^2 + r^2 \left( \frac{d\phi}{d\lambda} \right)^2 \quad (260)$$

上式代回  $r$  关于  $\lambda$  二阶导式子，就得到

$$\frac{d^2r}{d\lambda^2} + \left( \frac{r^2}{2} \nu' e^\nu - r e^\nu \right) \left( \frac{d\phi}{d\lambda} \right)^2 = 0 \quad (261)$$

令  $u = \frac{1}{r}$ ，注意到

$$e^\nu = 1 - \frac{2GM}{c^2 r} = 1 - \frac{2GM}{c^2} u \quad (262)$$

$$\nu' e^\nu = (e^\nu)' = \frac{2GM}{c^2 r^2} = \frac{2GM}{c^2} u^2 \quad (263)$$

$$\frac{d\phi}{d\lambda} = \frac{k}{r^2} = k u^2 \quad (264)$$

$$\frac{d}{d\lambda} = \frac{d\phi}{d\lambda} \frac{d}{d\phi} = ku^2 \frac{d}{d\phi} \quad (265)$$

$$\begin{aligned} \frac{d^2r}{d\lambda^2} &= \frac{d}{d\lambda} \frac{d(1/u)}{d\lambda} = \frac{d}{d\lambda} \left( -\frac{1}{u^2} \frac{du}{d\lambda} \right) = \frac{d}{d\lambda} \left( -\frac{1}{u^2} \cdot ku^2 \frac{du}{d\phi} \right) \\ &= -k \frac{d}{d\lambda} \frac{du}{d\phi} = -k \cdot ku^2 \frac{d}{d\phi} \frac{du}{d\phi} \\ &= -k^2 u^2 \frac{d^2u}{d\phi^2} \end{aligned} \quad (266)$$

于是可以消去参数  $\lambda$ , 得到轨道微分方程

$$\frac{d^2u}{d\phi^2} + u = \frac{3GM}{c^2} u^2 \quad (267)$$

定义小量

$$\alpha \equiv \frac{3GM}{c^2} \quad (268)$$

则

$$\frac{d^2u}{d\phi^2} + u = \alpha u^2 \quad (269)$$

设  $u_0$  满足

$$\frac{d^2u_0}{d\phi^2} + u_0 = 0 \quad (270)$$

其解为

$$u_0 = \frac{1}{b} \sin \phi \quad (271)$$

设

$$u = u_0 + \alpha u_1 \quad (272)$$

则

$$\frac{d^2u_0}{d\phi^2} + u_0 + \alpha \left( \frac{d^2u_1}{d\phi^2} + u_1 \right) = \alpha (u_0 + \alpha u_1)^2 \quad (273)$$

也即

$$\frac{d^2u_1}{d\phi^2} + u_1 = (u_0 + \alpha u_1)^2 \approx u_0^2 = \frac{1}{b^2} \sin^2 \phi \quad (274)$$

设  $u_1$  的形式解为

$$u_1 = A \sin^2 \phi + B \quad (275)$$

$$\frac{du_1}{d\phi} = 2A \sin \phi \cos \phi = A \sin 2\phi \quad (276)$$

$$\frac{d^2u_1}{d\phi^2} = 2A \cos 2\phi = 2A (1 - 2 \sin^2 \phi) = 2A - 4A \sin^2 \phi \quad (277)$$

代回  $u_1$  满足的微分方程, 得到

$$2A - 4A \sin^2 \phi + A \sin^2 \phi + B = \frac{1}{b^2} \sin^2 \phi \quad (278)$$

对比可得

$$3A = -\frac{1}{b^2}, \quad 2A + B = 0 \quad (279)$$

解得

$$A = -\frac{1}{3b^2}, \quad B = \frac{2}{3b^2} \quad (280)$$

$$u_1 = A \sin^2 \phi + B = -\frac{1}{3b^2} (\sin^2 \phi - 2) = \frac{1}{3b^2} (\cos^2 \phi + 1) \quad (281)$$

$$\begin{aligned} u = u_0 + \alpha u_1 &= \frac{1}{b} \sin \phi + \frac{\alpha}{3b^2} (\cos^2 \phi + 1) \\ &= \frac{1}{b} \sin \phi + \frac{GM}{c^2 b^2} (\cos^2 \phi + 1) \end{aligned} \quad (282)$$

定义小量  $a \equiv GM/c^2b$ , 当  $r \rightarrow +\infty, u = 0$ , 此时

$$\sin \phi + a (2 - \sin^2 \phi) = 0 \quad (283)$$

$$\sin \phi = \frac{1 \pm \sqrt{1 + 8a^2}}{2a} \approx \frac{1 \pm (1 + 4a^2)}{2a} = -2a \quad \text{or} \quad \frac{1 + 2a^2}{a} \quad (284)$$

舍去  $\sin \phi = \frac{1+2a^2}{a} > 1$  的解, 考虑  $\phi \rightarrow 0$  的那侧, 则

$$\phi \approx \sin \phi = -2a, \quad r \rightarrow +\infty \quad (285)$$

偏折角为

$$\delta = 4a = \frac{4GM}{c^2b} \quad (286)$$