

无磁场

$$\tilde{H} = -i \sum_{\langle j,k \rangle} K_{\alpha_{jk}} u_{jk} c_j c_k, \quad (1)$$

其中 u_{jk} 是 \hat{u}_{jk} 的本征值。

首先 c_j 是 j 格点的 Majorana-c 算符。若区分 A, B 子格，用 $c_{r,\alpha}$ 表示 r unit cell 内 β 子格格点上的 Majorana-c 算符，则哈密顿量可改写为：

$$\begin{aligned} \tilde{H} &= -i \sum_{\langle j,k \rangle} K_{\alpha_{jk}} u_{jk} c_j c_k \\ &= -i \sum_{r \in \text{UC}} (K_x u_{r,A;r,B} c_{r,A} c_{r,B} + K_y u_{r,A;r+a_1,B} c_{r,A} c_{r+a_1,B} + K_z u_{r,A;r+a_2,B} c_{r,A} c_{r+a_2,B}) \\ &= -i \sum_{r \in \text{UC}} \sum_{\delta \in \{\mathbf{0}, \mathbf{a}_1, \mathbf{a}_2\}} K_\delta u_{r,A;r+\delta,B} c_{r,A} c_{r+\delta,B}. \end{aligned} \quad (2)$$

其中

$$\text{UC} \equiv \{\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N\}, \quad (3)$$

$N = N_1 N_2$ 为总 unit cell 数， \mathbf{r}_i 为第 i 个元胞的位置矢量，

$$K_\delta \equiv \begin{cases} K_x, & \delta = \mathbf{0}, \\ K_y, & \delta = \mathbf{a}_1, \\ K_z, & \delta = \mathbf{a}_2. \end{cases} \quad (4)$$

把 r unit cell 内的两个 Majorana-c 算符组合成复费米子算符：

$$a_r \equiv \frac{1}{2} (c_{r,A} + i c_{r,B}), \quad a_r^\dagger \equiv \frac{1}{2} (c_{r,A} - i c_{r,B}), \quad r \in \text{UC}, \quad (5)$$

可以反解出

$$c_{r,A} = a_r + a_r^\dagger, \quad c_{r,B} = \frac{1}{i} (a_r - a_r^\dagger), \quad (6)$$

则哈密顿量可表达为

$$\begin{aligned} \tilde{H} &= -i \sum_{r \in \text{UC}} \sum_{\delta \in \{\mathbf{0}, \mathbf{a}_1, \mathbf{a}_2\}} K_\delta u_{r,A;r+\delta,B} c_{r,A} c_{r+\delta,B} \\ &= -i \sum_r \sum_\delta K_\delta u_{r,A;r+\delta,B} (a_r + a_r^\dagger) \cdot \frac{1}{i} (a_{r+\delta} - a_{r+\delta}^\dagger) \\ &= \sum_r \sum_\delta K_\delta u_{r,A;r+\delta,B} (a_r + a_r^\dagger) (-a_{r+\delta} + a_{r+\delta}^\dagger) \\ &= \sum_\delta \sum_r K_\delta u_{r,A;r+\delta,B} (a_r + a_r^\dagger) (-a_{r+\delta} + a_{r+\delta}^\dagger) \\ &= \sum_\delta \sum_r \sum_{r' \in \text{UC}} \sum_{r'' \in \text{UC}} \delta_{r',r} \delta_{r'',r+\delta} K_\delta u_{r,A;r+\delta,B} (a_{r'} + a_{r'}^\dagger) (-a_{r''} + a_{r''}^\dagger) \\ &= \sum_\delta \sum_{r'} \sum_{r''} \sum_r \delta_{r',r} \delta_{r'',r+\delta} K_\delta u_{r,A;r+\delta,B} (a_{r'} + a_{r'}^\dagger) (-a_{r''} + a_{r''}^\dagger) \\ &= \sum_\delta \sum_{r'} \sum_{r''} \delta_{r',r''-\delta} K_\delta u_{r',A;r'',B} (a_{r'} + a_{r'}^\dagger) (-a_{r''} + a_{r''}^\dagger) \\ &= \sum_{r'} \sum_{r''} \left(\sum_\delta \delta_{r',r''-\delta} K_\delta u_{r',A;r'',B} \right) (a_{r'} + a_{r'}^\dagger) (-a_{r''} + a_{r''}^\dagger) \\ &= \sum_{i=1}^N \sum_{j=1}^N \left(\sum_\delta \delta_{r_i,r_j-\delta} K_\delta u_{r_i,A;r_j,B} \right) (a_i + a_i^\dagger) (-a_j + a_j^\dagger) \end{aligned} \quad (7)$$

令

$$t_{ij} = \sum_{\delta \in \{\mathbf{0}, a_1, a_2\}} \delta_{r_i, r_j - \delta} K_\delta u_{r_i, A; r_j, B}, \quad (8)$$

则

$$\begin{aligned} \widetilde{H} &= \sum_{i=1}^N \sum_{j=1}^N \left(\sum_{\delta} \delta_{r_i, r_j - \delta} K_\delta u_{r_i, A; r_j, B} \right) \left(a_i + a_i^\dagger \right) \left(-a_j + a_j^\dagger \right) \\ &= \sum_{i=1}^N \sum_{j=1}^N t_{ij} \left(a_i + a_i^\dagger \right) \left(-a_j + a_j^\dagger \right) \\ &= \sum_{i=1}^N \sum_{j=1}^N \left(-t_{ij} a_i a_j + t_{ij} a_i^\dagger a_j^\dagger + t_{ij} a_i a_j^\dagger - t_{ij} a_i^\dagger a_j \right) \end{aligned} \quad (9)$$

$$\begin{aligned} \sum_{i=1}^N \sum_{j=1}^N (-t_{ij}) a_i a_j &= \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (-t_{ij}) a_i a_j + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (-t_{ij}) a_i a_j \\ &= \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N t_{ij} a_j a_i + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (-t_{ij}) a_i a_j \\ &= \frac{1}{2} \sum_{j=1}^N \sum_{i=1}^N t_{ji} a_i a_j + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (-t_{ij}) a_i a_j \\ &= \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (t_{ji} - t_{ij}) a_i a_j \end{aligned} \quad (10)$$

$$\begin{aligned} \sum_{i=1}^N \sum_{j=1}^N t_{ij} a_i^\dagger a_j^\dagger &= \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N t_{ij} a_i^\dagger a_j^\dagger + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N t_{ij} a_i^\dagger a_j^\dagger \\ &= \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N -t_{ij} a_j^\dagger a_i^\dagger + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N t_{ij} a_i^\dagger a_j^\dagger \\ &= \frac{1}{2} \sum_{j=1}^N \sum_{i=1}^N -t_{ji} a_i^\dagger a_j^\dagger + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N t_{ij} a_i^\dagger a_j^\dagger \\ &= \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (t_{ij} - t_{ji}) a_i^\dagger a_j^\dagger \end{aligned} \quad (11)$$

$$\begin{aligned} \sum_{i=1}^N \sum_{j=1}^N \left(t_{ij} a_i a_j^\dagger - t_{ij} a_i^\dagger a_j \right) &= \sum_{i=1}^N \sum_{j=1}^N \left[t_{ij} \left(\delta_{ij} - a_j^\dagger a_i \right) - t_{ij} a_i^\dagger a_j \right] \\ &= \sum_{i=1}^N t_{ii} + \sum_{i=1}^N \sum_{j=1}^N (-t_{ij}) a_j^\dagger a_i + \sum_{i=1}^N \sum_{j=1}^N (-t_{ij}) a_i^\dagger a_j \\ &= \sum_{i=1}^N t_{ii} + \sum_{j=1}^N \sum_{i=1}^N (-t_{ji}) a_i^\dagger a_j + \sum_{i=1}^N \sum_{j=1}^N (-t_{ij}) a_i^\dagger a_j \\ &= \sum_{i=1}^N t_{ii} + \sum_{i=1}^N \sum_{j=1}^N -(t_{ij} + t_{ji}) a_i^\dagger a_j \end{aligned} \quad (12)$$

于是

$$\begin{aligned} \widetilde{H} &= \sum_{i=1}^N \sum_{j=1}^N \left(-t_{ij} a_i a_j + t_{ij} a_i^\dagger a_j^\dagger + t_{ij} a_i a_j^\dagger - t_{ij} a_i^\dagger a_j \right) \\ &= \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (t_{ji} - t_{ij}) a_i a_j + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (t_{ij} - t_{ji}) a_i^\dagger a_j^\dagger + \sum_{i=1}^N \sum_{j=1}^N -(t_{ij} + t_{ji}) a_i^\dagger a_j + \sum_{i=1}^N t_{ii} \end{aligned} \quad (13)$$

设

$$\begin{aligned}
\widetilde{H} &= \frac{1}{2} [a_1^\dagger \ \cdots \ a_N^\dagger \ a_1 \ \cdots \ a_N] \begin{bmatrix} \Xi & \Delta \\ \Delta^\dagger & -\Xi^T \end{bmatrix} \begin{bmatrix} a_1 \\ \vdots \\ a_N \\ a_1^\dagger \\ \vdots \\ a_N^\dagger \end{bmatrix} + C \\
&= C + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N \Xi_{ij} a_i^\dagger a_j + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (-\Xi^T)_{ij} a_i a_j^\dagger + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N \Delta_{ij} a_i^\dagger a_j^\dagger + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (\Delta^\dagger)_{ij} a_i a_j \\
&= C + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N \Xi_{ij} a_i^\dagger a_j + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (-\Xi_{ji}) (\delta_{ij} - a_j^\dagger a_i) + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N \Delta_{ij} a_i^\dagger a_j^\dagger + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (-\Delta_{ij}^*) a_i a_j \\
&= C - \frac{1}{2} \sum_{i=1}^N \Xi_{ii} + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N \Xi_{ij} a_i^\dagger a_j + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N \Xi_{ji} a_j^\dagger a_i + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N \Delta_{ij} a_i^\dagger a_j^\dagger + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (-\Delta_{ij}^*) a_i a_j \\
&= C - \frac{1}{2} \sum_{i=1}^N \Xi_{ii} + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N \Xi_{ij} a_i^\dagger a_j + \frac{1}{2} \sum_{j=1}^N \sum_{i=1}^N \Xi_{ij} a_i^\dagger a_j + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N \Delta_{ij} a_i^\dagger a_j^\dagger + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (-\Delta_{ij}^*) a_i a_j \\
&= C - \frac{1}{2} \sum_{i=1}^N \Xi_{ii} + \sum_{j=1}^N \sum_{i=1}^N \Xi_{ij} a_i^\dagger a_j + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N \Delta_{ij} a_i^\dagger a_j^\dagger + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (-\Delta_{ij}^*) a_i a_j
\end{aligned} \tag{14}$$

其中

$$\Delta^T = -\Delta, \quad \Xi^\dagger = \Xi. \tag{15}$$

对比可得

$$\Xi_{ij} = -(t_{ij} + t_{ji}), \quad \Delta_{ij} = t_{ij} - t_{ji}, \quad C = \frac{1}{2} \sum_{i=1}^N \Xi_{ii} + \sum_{i=1}^N t_{ii} = 0. \tag{16}$$

磁场微扰

如果考虑

$$\begin{aligned}
H_{\text{eff}}^{(3)} &= -\kappa \sum_{\langle j, k, l \rangle} \sigma_j^x \sigma_k^y \sigma_l^z = -\kappa \sum_{\mathbf{r} \in \text{UC}} (\text{around } A + \text{around } B), \\
\text{around } A &= \sigma_{\mathbf{r}, A}^x \sigma_{\mathbf{r} + \mathbf{a}_1, B}^y \sigma_{\mathbf{r} + \mathbf{a}_2, B}^z + \sigma_{\mathbf{r}, B}^x \sigma_{\mathbf{r}, A}^y \sigma_{\mathbf{r} + \mathbf{a}_2, B}^z + \sigma_{\mathbf{r}, B}^x \sigma_{\mathbf{r} + \mathbf{a}_1, B}^y \sigma_{\mathbf{r}, A}^z \\
&\quad + i u_{\mathbf{r} + \mathbf{a}_1, B; \mathbf{r}, A} u_{\mathbf{r} + \mathbf{a}_2, B; \mathbf{r}, A} c_{\mathbf{r} + \mathbf{a}_1, B} c_{\mathbf{r} + \mathbf{a}_2, B} \\
&\quad + i u_{\mathbf{r} + \mathbf{a}_2, B; \mathbf{r}, A} u_{\mathbf{r}, B; \mathbf{r}, A} c_{\mathbf{r} + \mathbf{a}_2, B} c_{\mathbf{r}, B} \\
&\quad + i u_{\mathbf{r}, B; \mathbf{r}, A} u_{\mathbf{r} + \mathbf{a}_1, B; \mathbf{r}, A} c_{\mathbf{r}, B} c_{\mathbf{r} + \mathbf{a}_1, B} \\
&= i \sum_{\substack{(\delta_1, \delta_2) \in \\ \{(\mathbf{a}_1, \mathbf{a}_2), (\mathbf{a}_2, \mathbf{0}), (\mathbf{0}, \mathbf{a}_1)\}}} u_{\mathbf{r} + \delta_1, B; \mathbf{r}, A} u_{\mathbf{r} + \delta_2, B; \mathbf{r}, A} c_{\mathbf{r} + \delta_1, B} c_{\mathbf{r} + \delta_2, B}
\end{aligned} \tag{18}$$

$$\begin{aligned}
\text{around } B &= \sigma_{\mathbf{r}, B}^x \sigma_{\mathbf{r} - \mathbf{a}_1, A}^y \sigma_{\mathbf{r} - \mathbf{a}_2, A}^z + \sigma_{\mathbf{r}, A}^x \sigma_{\mathbf{r}, B}^y \sigma_{\mathbf{r} - \mathbf{a}_2, A}^z + \sigma_{\mathbf{r}, A}^x \sigma_{\mathbf{r} - \mathbf{a}_1, A}^y \sigma_{\mathbf{r}, B}^z \\
&= \text{around } A (\mathbf{a}_1 \leftrightarrow -\mathbf{a}_1, \mathbf{a}_2 \leftrightarrow -\mathbf{a}_2, A \leftrightarrow B) \\
&= i \sum_{\substack{(\delta'_1, \delta'_2) \in \\ \{(-\mathbf{a}_1, -\mathbf{a}_2), (-\mathbf{a}_2, \mathbf{0}), (\mathbf{0}, -\mathbf{a}_1)\}}} u_{\mathbf{r} + \delta'_1, A; \mathbf{r}, B} u_{\mathbf{r} + \delta'_2, A; \mathbf{r}, B} c_{\mathbf{r} + \delta'_1, A} c_{\mathbf{r} + \delta'_2, A}
\end{aligned} \tag{19}$$

$$\begin{aligned}
-\kappa \sum_r \text{around}A &= -i\kappa \sum_r \sum_{(\delta_1, \delta_2)} u_{r+\delta_1, B; r, A} u_{r+\delta_2, B; r, A} c_{r+\delta_1, B} c_{r+\delta_2, B} \\
&= -i\kappa \sum_{(\delta_1, \delta_2)} \sum_{r'} \sum_{r''} \sum_r \delta_{r', r+\delta_1} \delta_{r'', r+\delta_2} u_{r', B; r, A} u_{r'', B; r, A} c_{r', B} c_{r'', B} \\
&= -i\kappa \sum_{(\delta_1, \delta_2)} \sum_{r'} \sum_{r''} \delta_{r'-\delta_1, r''-\delta_2} u_{r', B; r'-\delta_1, A} u_{r'', B; r''-\delta_2, A} c_{r', B} c_{r'', B} \\
&= -i\kappa \sum_{r'} \sum_{r''} \left(\sum_{(\delta_1, \delta_2)} \delta_{r'-\delta_1, r''-\delta_2} u_{r', B; r'-\delta_1, A} u_{r'', B; r''-\delta_2, A} \right) c_{r', B} c_{r'', B} \\
&= -i\kappa \sum_{i=1}^N \sum_{j=1}^N \left(\sum_{(\delta_1, \delta_2)} \delta_{r_i-\delta_1, r_j-\delta_2} u_{r_i, B; r_i-\delta_1, A} u_{r_j, B; r_j-\delta_2, A} \right) c_{i, B} c_{j, B}
\end{aligned} \tag{20}$$

令

$$t_{ij}^{(+)} = \sum_{(\delta_1, \delta_2)} \delta_{r_i-\delta_1, r_j-\delta_2} u_{r_i, B; r_i-\delta_1, A} u_{r_j, B; r_j-\delta_2, A} \tag{21}$$

$$c_{r, A} = a_r + a_r^\dagger, \quad c_{r, B} = \frac{1}{i} (a_r - a_r^\dagger), \tag{22}$$

则

$$\begin{aligned}
-\kappa \sum_r \text{around}A &= -i\kappa \sum_{i=1}^N \sum_{j=1}^N \left(\sum_{(\delta_1, \delta_2)} \delta_{r_i-\delta_1, r_j-\delta_2} u_{r_i, B; r_i-\delta_1, A} u_{r_j, B; r_j-\delta_2, A} \right) c_{i, B} c_{j, B} \\
&= -i\kappa \sum_{i=1}^N \sum_{j=1}^N t_{ij}^{(+)} c_{i, B} c_{j, B} \\
&= i\kappa \sum_{i=1}^N \sum_{j=1}^N t_{ij}^{(+)} (a_i - a_i^\dagger) (a_j - a_j^\dagger) \\
&= i\kappa \sum_{i=1}^N \sum_{j=1}^N t_{ij}^{(+)} (a_i a_j + a_i^\dagger a_j^\dagger - a_i a_j^\dagger - a_i^\dagger a_j) \\
&= i\kappa \sum_{i=1}^N \sum_{j=1}^N \frac{(t_{ij}^{(+)} - t_{ji}^{(+)})}{2} (a_i a_j + a_i^\dagger a_j^\dagger) + i\kappa \sum_{i=1}^N \sum_{j=1}^N (t_{ji}^{(+)} - t_{ij}^{(+)}) a_i^\dagger a_j - i\kappa \sum_{i=1}^N t_{ii}^{(+)}
\end{aligned} \tag{23}$$

令

$$t_{ij}^{(-)} = \sum_{(\delta'_1, \delta'_2)} \delta_{r_i-\delta'_1, r_j-\delta'_2} u_{r_i, A; r_i-\delta'_1, B} u_{r_j, A; r_j-\delta'_2, B} \tag{24}$$

则

$$\begin{aligned}
-\kappa \sum_r \text{around}B &= -i\kappa \sum_{i=1}^N \sum_{j=1}^N t_{ij}^{(-)} c_{i, A} c_{j, A} \\
&= -i\kappa \sum_{i=1}^N \sum_{j=1}^N t_{ij}^{(-)} (a_i + a_i^\dagger) (a_j + a_j^\dagger) \\
&= -i\kappa \sum_{i=1}^N \sum_{j=1}^N t_{ij}^{(-)} (a_i a_j + a_i^\dagger a_j^\dagger + a_i a_j^\dagger + a_i^\dagger a_j) \\
&= -i\kappa \sum_{i=1}^N \sum_{j=1}^N \frac{(t_{ij}^{(-)} - t_{ji}^{(-)})}{2} (a_i a_j + a_i^\dagger a_j^\dagger) + i\kappa \sum_{i=1}^N \sum_{j=1}^N (t_{ji}^{(-)} - t_{ij}^{(-)}) a_i^\dagger a_j - i\kappa \sum_{i=1}^N t_{ii}^{(-)}
\end{aligned} \tag{25}$$

于是微扰哈密顿量为

$$\begin{aligned}
\widetilde{H}_{\text{eff}}^{(3)} &= -\kappa \sum_{\mathbf{r} \in \text{UC}} (\text{around } A + \text{around } B) \\
&= \sum_{i=1}^N \sum_{j=1}^N \frac{i\kappa}{2} \left[\left(t_{ij}^{(+)} - t_{ji}^{(+)} \right) - \left(t_{ij}^{(-)} - t_{ji}^{(-)} \right) \right] \left(a_i a_j + a_i^\dagger a_j^\dagger \right) + \sum_{i=1}^N \sum_{j=1}^N i\kappa \left[\left(t_{ji}^{(+)} - t_{ij}^{(+)} \right) + \left(t_{ji}^{(-)} - t_{ij}^{(-)} \right) \right] a_i^\dagger a_j - i\kappa \sum_{i=1}^N \left(t_{ii}^{(+)} + t_{ii}^{(-)} \right)
\end{aligned}$$

设

$$\begin{aligned}
\widetilde{H}_{\text{eff}}^{(3)} &= \frac{1}{2} \begin{bmatrix} a_1^\dagger & \cdots & a_N^\dagger & a_1 & \cdots & a_N \end{bmatrix} \begin{bmatrix} \Xi' & \Delta' \\ \Delta'^\dagger & -\Xi'^T \end{bmatrix} \begin{bmatrix} a_1 \\ \vdots \\ a_N \\ a_1^\dagger \\ \vdots \\ a_N^\dagger \end{bmatrix} + C' \\
&= C' - \frac{1}{2} \sum_{i=1}^N \Xi'_{ii} + \sum_{j=1}^N \sum_{i=1}^N \Xi'_{ij} a_i^\dagger a_j + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N \Delta'_{ij} a_i^\dagger a_j^\dagger + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N \left(-(\Delta'_{ij})^* \right) a_i a_j
\end{aligned} \tag{27}$$

对比可得

$$\Xi'_{ij} = i\kappa \left[\left(t_{ji}^{(+)} - t_{ij}^{(+)} \right) + \left(t_{ji}^{(-)} - t_{ij}^{(-)} \right) \right], \quad \Delta'_{ij} = \frac{i\kappa}{2} \left[\left(t_{ij}^{(+)} - t_{ji}^{(+)} \right) - \left(t_{ij}^{(-)} - t_{ji}^{(-)} \right) \right] \tag{28}$$