

# 基本概念与公式

## 黎曼几何基本物理量

### 张量

#### 标量

$$\phi'(x') = \phi(x) \quad (1)$$

#### 逆变矢量

$$\phi'^\mu(x') = A_\nu^\mu \phi^\nu(x) \quad (2)$$

#### 协变矢量

$$\phi'_\mu(x') = \bar{A}_\mu^\nu \phi_\nu(x) \quad (3)$$

### 张量

$$\phi'^{\mu_1 \dots \mu_n}_{\nu_1 \dots \nu_m}(x') = A_{\alpha_1}^{\mu_1} \dots A_{\alpha_n}^{\mu_n} \bar{A}_{\nu_1}^{\beta_1} \dots \bar{A}_{\nu_m}^{\beta_m} \phi^{\alpha_1 \dots \alpha_n}_{\beta_1 \dots \beta_m}(x) \quad (4)$$

## 协变微商

### 逆变矢量的协变微商

$$\nabla_\mu \phi^\nu(x) \equiv \partial_\mu \phi^\nu(x) + \Gamma_{\mu\lambda}^\nu \phi^\lambda(x) \quad (5)$$

### 协变矢量的协变微商

$$\nabla_\mu \phi_\nu(x) = \partial_\mu \phi_\nu(x) - \Gamma_{\mu\nu}^\lambda \phi_\lambda(x) \quad (6)$$

### 二阶张量的协变微商

### 二阶张量的协变微商

二阶张量的协变微商的具体形式分别为

$$\nabla_\mu \phi^{\nu\lambda} = \partial_\mu \phi^{\nu\lambda} + \Gamma_{\mu\rho}^\nu \phi^{\rho\lambda} + \Gamma_{\mu\rho}^\lambda \phi^{\nu\rho} \quad (7)$$

$$\nabla_\mu \phi_{\nu\lambda} = \partial_\mu \phi_{\nu\lambda} - \Gamma_{\mu\nu}^\rho \phi_{\rho\lambda} - \Gamma_{\mu\lambda}^\rho \phi_{\nu\rho} \quad (8)$$

$$\nabla_\mu \phi_\lambda^\nu = \partial_\mu \phi_\lambda^\nu + \Gamma_{\mu\rho}^\nu \phi_\lambda^\rho - \Gamma_{\mu\lambda}^\rho \phi_\rho^\nu \quad (9)$$

## 联络在坐标变换下的变换规律

联络的变换规律

$$\Gamma'{}^\mu_{\nu\lambda} = A_\alpha^\mu \bar{A}_\nu^\beta \bar{A}_\lambda^\gamma \Gamma^\alpha_{\beta\gamma} + A_\alpha^\mu \bar{A}_\nu^\beta \partial_\beta \bar{A}_\lambda^\alpha \quad (10)$$

## 曲率张量

$$R^\lambda_{\alpha\mu\nu} \equiv \partial_\mu \Gamma^\lambda_{\nu\alpha} - \partial_\nu \Gamma^\lambda_{\mu\alpha} + \Gamma^\lambda_{\mu\beta} \Gamma^\beta_{\nu\alpha} - \Gamma^\lambda_{\nu\beta} \Gamma^\beta_{\mu\alpha} \quad (11)$$

## 挠率张量

$$T^\alpha_{\mu\nu} \equiv \Gamma^\alpha_{\mu\nu} - \Gamma^\alpha_{\nu\mu} \quad (12)$$

## 黎曼联络 (克氏符)

由  $\nabla_\lambda g_{\mu\nu} = 0$  和  $\Gamma^\lambda_{\mu\nu} = \Gamma^\lambda_{\nu\mu}$  求得的联络  $\Gamma^\lambda_{\mu\nu}$  称为黎曼联络，也称为克氏符。

可以证明，克氏符的具体表达式为：

$$\Gamma^\sigma_{\mu\nu} = \frac{1}{2} g^{\sigma\lambda} (\partial_\mu g_{\lambda\nu} + \partial_\nu g_{\lambda\mu} - \partial_\lambda g_{\mu\nu}) \quad (13)$$

## 黎曼曲率张量

当曲率张量

$$R^\lambda_{\sigma\mu\nu} \quad (14)$$

中的联络为黎曼联络（克氏符）时，其称为黎曼曲率张量。

$$R^\lambda_{\sigma\mu\nu} = -R^\lambda_{\sigma\nu\mu} \quad (15)$$

全部协变指标的黎曼曲率张量：

$$R_{\tau\sigma\mu\nu} \equiv g_{\tau\lambda} R^\lambda_{\sigma\mu\nu} \quad (16)$$

其满足：

$$R_{\lambda\sigma\mu\nu} = R_{\mu\nu\lambda\sigma} \quad (17)$$

$$R_{\lambda\sigma\mu\nu} = -R_{\lambda\sigma\nu\mu} \quad (18)$$

$$R_{\lambda\sigma\mu\nu} = -R_{\sigma\lambda\mu\nu} \quad (19)$$

$$R_{\lambda\sigma\mu\nu} + R_{\lambda\mu\nu\sigma} + R_{\lambda\nu\sigma\mu} = 0 \quad (20)$$

## 比安基恒等式

$$\nabla_\lambda R^\rho_{\sigma\mu\nu} + \nabla_\mu R^\rho_{\sigma\nu\lambda} + \nabla_\nu R^\rho_{\sigma\lambda\mu} = 0 \quad (21)$$

## 里奇张量

$$R_{\sigma\nu} \equiv R^\lambda_{\sigma\lambda\nu} \quad (22)$$

## 标曲率

$$R \equiv g^{\mu\nu} R_{\mu\nu} \quad (23)$$

## 爱因斯坦张量

$$G^\mu_\nu \equiv R^\mu_\nu - \frac{1}{2}\delta^\mu_\nu R \quad (24)$$

可以证明，混合指标的爱因斯坦张量的协变散度为零：

$$\nabla_\mu G^\mu_\nu = 0 \quad (25)$$

也可以证明：

$$\nabla^\mu G_{\mu\nu} = 0 \quad (26)$$

$$\nabla_\mu G^{\mu\nu} = 0 \quad (27)$$

## 解题必背物理量

### 牛顿近似

### 弱场线性近似

### 引力场作用量、拉式密度以及变分

$$I_g = \int_M L_g \sqrt{-g} d^4x = \frac{c^3}{16\pi G} \int_M R \sqrt{-g} d^4x, \quad L_g = \frac{c^3}{16\pi G} R \quad (28)$$

想算  $I_g$  对度规的变分，要先算  $R\sqrt{-g}$  对度规的变分。

$$\begin{aligned} \delta(R\sqrt{-g}) &= \delta(\sqrt{-g} R_{\mu\nu} \sqrt{-g}) \\ &= (\delta g^{\mu\nu}) R_{\mu\nu} \sqrt{-g} + g^{\mu\nu} (\delta R_{\mu\nu}) \sqrt{-g} + g^{\mu\nu} R_{\mu\nu} \delta(\sqrt{-g}) \end{aligned} \quad (29)$$

利用Palatini.II公式

$$\sqrt{-g} g^{\mu\nu} \delta R_{\mu\nu} = \partial_\mu (\sqrt{-g} \phi^\mu), \quad \phi^\mu \equiv g^{\lambda\nu} \delta \Gamma^\mu_{\lambda\nu} - g^{\mu\nu} \delta \Gamma^\lambda_{\lambda\nu} \quad (30)$$

以及

$$\delta g = gg^{\mu\nu}\delta g_{\mu\nu} = -gg_{\mu\nu}\delta g^{\mu\nu} \quad (31)$$

$$\delta(\sqrt{-g}) = -\frac{1}{2}\frac{\delta g}{\sqrt{-g}} = -\frac{1}{2}\frac{-gg_{\mu\nu}\delta g^{\mu\nu}}{\sqrt{-g}} = -\frac{1}{2}\sqrt{-g}g_{\mu\nu}\delta g^{\mu\nu} \quad (32)$$

于是有

$$\begin{aligned} \delta(R\sqrt{-g}) &= (\delta g^{\mu\nu})R_{\mu\nu}\sqrt{-g} + g^{\mu\nu}(\delta R_{\mu\nu})\sqrt{-g} + g^{\mu\nu}R_{\mu\nu}\delta(\sqrt{-g}) \\ &= R_{\mu\nu}\sqrt{-g}\delta g^{\mu\nu} + \partial_\mu(\sqrt{-g}\phi^\mu) - \frac{1}{2}\sqrt{-g}Rg_{\mu\nu}\delta g^{\mu\nu} \end{aligned} \quad (33)$$

因此引力场作用量对度规的变分为

$$\begin{aligned} \delta I_g &= \frac{c^3}{16\pi G} \int_M \delta(R\sqrt{-g}) d^4x \\ &= \frac{c^3}{16\pi G} \int_M \left( R_{\mu\nu}\sqrt{-g}\delta g^{\mu\nu} + \partial_\mu(\sqrt{-g}\phi^\mu) - \frac{1}{2}\sqrt{-g}Rg_{\mu\nu}\delta g^{\mu\nu} \right) d^4x \\ &= \frac{c^3}{16\pi G} \int_M \left( R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R \right) (\delta g^{\mu\nu}) \sqrt{-g} d^4x \end{aligned} \quad (34)$$

## 引力源物质作用量的变分

$$I_m = \frac{1}{c} \int_M L_m \sqrt{-g} d^4x \quad (35)$$

假定引力源物质拉式密度  $L_m$  只含  $g^{\mu\nu}$ , 而不含  $\partial_\lambda g^{\mu\nu}$ , 则

$$\begin{aligned} \delta I_m &= \frac{1}{c} \int_M \delta(L_m \sqrt{-g}) d^4x \\ &= \frac{1}{c} \int_M \frac{\partial(L_m \sqrt{-g})}{\partial g^{\mu\nu}} \delta g^{\mu\nu} d^4x \end{aligned} \quad (36)$$

定义

$$T_{\mu\nu} \equiv -\frac{2}{\sqrt{-g}} \frac{\partial(L_m \sqrt{-g})}{\partial g^{\mu\nu}}, \quad \frac{\partial(L_m \sqrt{-g})}{\partial g^{\mu\nu}} = -\frac{1}{2}\sqrt{-g}T_{\mu\nu} \quad (37)$$

则

$$\begin{aligned} \delta I_m &= \frac{1}{c} \int_M \frac{\partial(L_m \sqrt{-g})}{\partial g^{\mu\nu}} \delta g^{\mu\nu} d^4x \\ &= -\frac{1}{2c} \int_M T_{\mu\nu} (\delta g^{\mu\nu}) \sqrt{-g} d^4x \end{aligned} \quad (38)$$

## 史瓦西时空类时 $\theta = \pi/2$ 平面类时测地线方程

以线长  $s$  为参数, 在  $\theta = \pi/2$  平面上内

$$\frac{dt^2}{ds^2} + \nu' \frac{dt}{ds} \frac{dr}{ds} = 0, \quad \text{or} \quad ds^2 = -c^2 e^\nu dt^2 + e^{-\nu} dr^2 + r^2 d\phi^2 \quad (39)$$

$$\frac{d^2r}{ds^2} + \frac{c^2 \nu'}{2} e^{2\nu} \left( \frac{dt}{ds} \right)^2 - \frac{\nu'}{2} \left( \frac{dr}{ds} \right)^2 - r e^\nu \left( \frac{d\phi}{ds} \right)^2 = 0 \quad (40)$$

$$\frac{d^2\phi}{ds^2} + \frac{2}{r} \frac{dr}{ds} \frac{d\phi}{ds} = 0 \quad (41)$$

## 史瓦西时空类光 $\theta = \pi/2$ 平面类光测地线方程

以  $\lambda$  为参数, 在  $\theta = \pi/2$  平面上内

$$\frac{dt^2}{d\lambda^2} + \nu' \frac{dt}{d\lambda} \frac{dr}{d\lambda} = 0, \quad \text{or} \quad ds^2 = 0 = -c^2 e^\nu dt^2 + e^{-\nu} dr^2 + r^2 d\phi^2 \quad (42)$$

$$\frac{d^2r}{d\lambda^2} + \frac{c^2 \nu'}{2} e^{2\nu} \left( \frac{dt}{d\lambda} \right)^2 - \frac{\nu'}{2} \left( \frac{dr}{d\lambda} \right)^2 - r e^\nu \left( \frac{d\phi}{d\lambda} \right)^2 = 0 \quad (43)$$

$$\frac{d^2\phi}{d\lambda^2} + \frac{2}{r} \frac{dr}{d\lambda} \frac{d\phi}{d\lambda} = 0 \quad (44)$$

## 方程特解与形式解

### 水星近日点进动

#### $u$ 的方程

$$\frac{d^2u}{d\phi^2} + u = \frac{3GM}{c^2} u^2 + \frac{GM}{h^2} \quad (45)$$

#### $u_0$ 的方程

$$\frac{d^2u_0}{d\phi^2} + u_0 = \frac{GM}{h^2} \quad (46)$$

其解为

$$u_0 = \frac{1}{p} (1 + e \cos \phi), \quad p = \frac{h^2}{GM} \quad (47)$$

## $u_1$ 的方程

令  $\alpha = 3GM/c^2$ , 则

$$\frac{d^2u}{d\phi^2} + u = \alpha u^2 + \frac{GM}{h^2} \quad (48)$$

设  $u = u_0 + \alpha u_1$ , 则

$$\frac{d^2u_0}{d\phi^2} + u_0 + \alpha \frac{d^2u_1}{d\phi^2} + \alpha u_1 = \alpha (u_0 + \alpha u_1)^2 + \frac{GM}{h^2} \quad (49)$$

利用  $u_0$  满足的方程就得到

$$\alpha \frac{d^2u_1}{d\phi^2} + \alpha u_1 = \alpha (u_0 + \alpha u_1)^2 \quad (50)$$

由于  $\alpha$  是个小量, 约去右边括号内的高阶小量, 再利用  $u_0$  的表达式, 就得到

$$\frac{d^2u_1}{d\phi^2} + u_1 = u_0^2 = \frac{(1 + e \cos \phi)^2}{p^2} = \frac{1}{p^2} \left[ \left(1 + \frac{e^2}{2}\right) + 2e \cos \phi + \frac{e^2}{2} \cos 2\phi \right] \quad (51)$$

## $u$ 的解

设  $u_1$  的形式解为

$$u_1 = A + B\phi \sin \phi + C \cos 2\phi \quad (52)$$

$$u_1 = \frac{1}{p^2} \left(1 + \frac{e^2}{2}\right) + \frac{e}{p^2} \phi \sin \phi - \frac{e^2}{6p^2} \cos 2\phi \quad (53)$$

$$u = u_0 + \alpha u_1 = \frac{1}{p} \left[ (1 + e \cos \phi) + \frac{\alpha}{p} \left(1 + \frac{e^2}{2}\right) + \frac{\alpha e}{p} \phi \sin \phi - \frac{1}{6} \frac{\alpha e^2}{p^2} \cos 2\phi \right] \quad (54)$$

上面只有  $\phi \sin \phi$  项是累加的, 只保留对轨道有长期影响的项:

$$u = \frac{1}{p} \left[ (1 + e \cos \phi) + \frac{\alpha e}{p} \phi \sin \phi \right] \quad (55)$$

由于  $\alpha$  是小量, 则

$$1 \approx \cos \left( \frac{\alpha}{p} \phi \right), \quad \frac{\alpha}{p} \phi \approx \sin \left( \frac{\alpha}{p} \phi \right) \quad (56)$$

于是

$$\begin{aligned}
u &= \frac{1}{p} \left[ (1 + e \cos \phi) + \frac{\alpha e}{p} \phi \sin \phi \right] \\
&= \frac{1}{p} \left[ 1 + e \left( 1 \cdot \cos \phi + \frac{\alpha}{p} \phi \sin \phi \right) \right] \\
&\approx \frac{1}{p} \left[ 1 + e \left( \cos \left( \frac{\alpha}{p} \phi \right) \cos \phi + \sin \left( \frac{\alpha}{p} \phi \right) \sin \phi \right) \right] \\
&= \frac{1}{p} \left[ 1 + \cos \left( \left( 1 - \frac{\alpha}{p} \right) \phi \right) \right] \\
&\equiv \frac{1}{p} (1 + e \cos \Phi)
\end{aligned} \tag{57}$$

## 光线偏折

### $u$ 的方程

$$\frac{d^2 u}{d\phi^2} + u = \frac{3GM}{c^2} u^2 = \alpha u^2 \tag{58}$$

设

$$u = u_0 + \alpha u_1 \tag{59}$$

### $u_0$ 的方程

$$\frac{d^2 u_0}{d\phi^2} + u_0 = 0 \implies u_0 = \frac{1}{b} \sin \phi \tag{60}$$

### $u_1$ 的方程

$$\frac{d^2 u_0}{d\phi^2} + u_0 + \alpha \left( \frac{d^2 u_1}{d\phi^2} + u_1 \right) = \alpha (u_0 + \alpha u_1)^2 \tag{61}$$

也即

$$\frac{d^2 u_1}{d\phi^2} + u_1 = (u_0 + \alpha u_1)^2 \approx u_0^2 = \frac{1}{b^2} \sin^2 \phi \tag{62}$$

设  $u_1$  的形式解为

$$u_1 = A \sin^2 \phi + B \tag{63}$$

### $u$ 的解

$$u_1 = A \sin^2 \phi + B = -\frac{1}{3b^2} (\sin^2 \phi - 2) = \frac{1}{3b^2} (\cos^2 \phi + 1) \tag{64}$$

$$\begin{aligned}
u &= u_0 + \alpha u_1 = \frac{1}{b} \sin \phi + \frac{\alpha}{3b^2} (\cos^2 \phi + 1) \\
&= \frac{1}{b} \sin \phi + \frac{GM}{c^2 b^2} (\cos^2 \phi + 1)
\end{aligned} \tag{65}$$

## 重点题目

### 张量在坐标变换下的变换规律

### 最小作用量原理推导场方程

总作用量

$$I = I_g + I_m \tag{66}$$

其中  $I_g$  是引力场作用量,  $I_m$  是引力源物质作用量。

$$I_g = \int_M L_g \sqrt{-g} d^4x = \frac{c^3}{16\pi G} \int_M R \sqrt{-g} d^4x, \quad L_g = \frac{c^3}{16\pi G} R \tag{67}$$

想算  $I_g$  对度规的变分, 要先算  $R\sqrt{-g}$  对度规的变分。

$$\begin{aligned}
\delta(R\sqrt{-g}) &= \delta(g^{\mu\nu} R_{\mu\nu} \sqrt{-g}) \\
&= (\delta g^{\mu\nu}) R_{\mu\nu} \sqrt{-g} + g^{\mu\nu} (\delta R_{\mu\nu}) \sqrt{-g} + g^{\mu\nu} R_{\mu\nu} \delta(\sqrt{-g})
\end{aligned} \tag{68}$$

利用Palatini.II公式

$$\sqrt{-g} g^{\mu\nu} \delta R_{\mu\nu} = \partial_\mu (\sqrt{-g} \phi^\mu), \quad \phi^\mu \equiv g^{\lambda\nu} \delta \Gamma_{\lambda\nu}^\mu - g^{\mu\nu} \delta \Gamma_{\lambda\nu}^\lambda \tag{69}$$

以及

$$\delta g = gg^{\mu\nu} \delta g_{\mu\nu} = -gg_{\mu\nu} \delta g^{\mu\nu} \tag{70}$$

$$\delta(\sqrt{-g}) = -\frac{1}{2} \frac{\delta g}{\sqrt{-g}} = -\frac{1}{2} \frac{-gg_{\mu\nu} \delta g^{\mu\nu}}{\sqrt{-g}} = -\frac{1}{2} \sqrt{-g} g_{\mu\nu} \delta g^{\mu\nu} \tag{71}$$

于是有

$$\begin{aligned}
\delta(R\sqrt{-g}) &= (\delta g^{\mu\nu}) R_{\mu\nu} \sqrt{-g} + g^{\mu\nu} (\delta R_{\mu\nu}) \sqrt{-g} + g^{\mu\nu} R_{\mu\nu} \delta(\sqrt{-g}) \\
&= R_{\mu\nu} \sqrt{-g} \delta g^{\mu\nu} + \partial_\mu (\sqrt{-g} \phi^\mu) - \frac{1}{2} \sqrt{-g} R g_{\mu\nu} \delta g^{\mu\nu}
\end{aligned} \tag{72}$$

因此引力场作用量对度规的变分为

$$\begin{aligned}
\delta I_g &= \frac{c^3}{16\pi G} \int_M \delta(R\sqrt{-g}) d^4x \\
&= \frac{c^3}{16\pi G} \int_M \left( R_{\mu\nu} \sqrt{-g} \delta g^{\mu\nu} + \partial_\mu (\sqrt{-g} \phi^\mu) - \frac{1}{2} \sqrt{-g} R g_{\mu\nu} \delta g^{\mu\nu} \right) d^4x \\
&= \frac{c^3}{16\pi G} \int_M \left( R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R \right) (\delta g^{\mu\nu}) \sqrt{-g} d^4x
\end{aligned} \tag{73}$$

接下来还需要引力源物质作用量对度规的变分。

$$I_m = \frac{1}{c} \int_M L_m \sqrt{-g} d^4x \tag{74}$$

假定引力源物质拉式密度  $L_m$  只含  $g^{\mu\nu}$ , 而不含  $\partial_\lambda g^{\mu\nu}$ , 则

$$\begin{aligned}
\delta I_m &= \frac{1}{c} \int_M \delta(L_m \sqrt{-g}) d^4x \\
&= \frac{1}{c} \int_M \frac{\partial(L_m \sqrt{-g})}{\partial g^{\mu\nu}} \delta g^{\mu\nu} d^4x
\end{aligned} \tag{75}$$

定义

$$T_{\mu\nu} \equiv -\frac{2}{\sqrt{-g}} \frac{\partial(L_m \sqrt{-g})}{\partial g^{\mu\nu}}, \quad \frac{\partial(L_m \sqrt{-g})}{\partial g^{\mu\nu}} = -\frac{1}{2} \sqrt{-g} T_{\mu\nu} \tag{76}$$

则

$$\begin{aligned}
\delta I_m &= \frac{1}{c} \int_M \frac{\partial(L_m \sqrt{-g})}{\partial g^{\mu\nu}} \delta g^{\mu\nu} d^4x \\
&= -\frac{1}{2c} \int_M T_{\mu\nu} (\delta g^{\mu\nu}) \sqrt{-g} d^4x
\end{aligned} \tag{77}$$

最小作用量原理说,  $\delta I = 0$  给出体系的运动方程, 也即

$$\begin{aligned}
0 = \delta I &= \delta I_g + \delta I_m = \frac{c^3}{16\pi G} \int_M \left( R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R \right) (\delta g^{\mu\nu}) \sqrt{-g} d^4x - \frac{1}{2c} \int_M T_{\mu\nu} (\delta g^{\mu\nu}) \sqrt{-g} d^4x \\
&= \frac{c^3}{16\pi G} \int_M \left( R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R - \frac{8\pi G}{c^4} T_{\mu\nu} \right) (\delta g^{\mu\nu}) \sqrt{-g} d^4x
\end{aligned} \tag{78}$$

最终得到爱因斯坦引力场方程:

$$R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = \frac{8\pi G}{c^4} T_{\mu\nu} \tag{79}$$

# Kruskal图与Penrose图

## 水星近日点进动

考虑史瓦西时空，以线长  $s$  为参数，在  $\theta = \pi/2$  平面内

$$\frac{d^2t}{ds^2} + \nu' \frac{dt}{ds} \frac{dr}{ds} = 0, \quad \text{or} \quad ds^2 = -c^2 e^\nu dt^2 + e^{-\nu} dr^2 + r^2 d\phi^2 \quad (80)$$

$$\frac{d^2r}{ds^2} + \frac{c^2 \nu'}{2} e^{2\nu} \left( \frac{dt}{ds} \right)^2 - \frac{\nu'}{2} \left( \frac{dr}{ds} \right)^2 - r e^\nu \left( \frac{d\phi}{ds} \right)^2 = 0 \quad (81)$$

$$\frac{d^2\phi}{ds^2} + \frac{2}{r} \frac{dr}{ds} \frac{d\phi}{ds} = 0 \quad (82)$$

注意到上面第三条方程

$$\frac{d^2\phi}{ds^2} + \frac{2}{r} \frac{dr}{ds} \frac{d\phi}{ds} = 0, \quad r^2 \frac{d^2\phi}{ds^2} + 2r \frac{dr}{ds} \frac{d\phi}{ds} = 0, \quad r^2 \frac{d}{ds} \frac{d\phi}{ds} + \frac{d(r^2)}{ds} \frac{d\phi}{ds} = 0 \quad (83)$$

也即

$$\frac{d}{ds} \left( r^2 \frac{d\phi}{ds} \right) = 0 \quad (84)$$

因此

$$r^2 \frac{d\phi}{ds} = \text{const} \equiv \frac{h}{c} \quad (85)$$

这是 GR 中的角动量守恒。

我们要找轨道方程，因此需要找到  $r, \phi$  的微分方程。

由于  $\theta = \pi/2$ ，则线元

$$ds^2 = -c^2 e^\nu dt^2 + e^{-\nu} dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2), \quad e^\nu = 1 - \frac{2GM}{c^2 r} \quad (86)$$

可化简为

$$ds^2 = -c^2 e^\nu dt^2 + e^{-\nu} dr^2 + r^2 d\phi^2 \quad (87)$$

利用线元  $ds^2$  与线长  $ds$  的关系

$$ds = \sqrt{-ds^2}, \quad (ds)^2 = -ds^2 = c^2 e^\nu dt^2 - e^{-\nu} dr^2 - r^2 d\phi^2 \quad (88)$$

两边同除  $(ds)^2$ ，再同乘  $e^\nu$ ，得到

$$\left( \frac{dr}{ds} \right)^2 = c^2 e^{2\nu} \left( \frac{dt}{ds} \right)^2 - r^2 e^\nu \left( \frac{d\phi}{ds} \right)^2 - e^\nu \quad (89)$$

上式代入  $r$  关于  $s$  的二阶偏微分方程，就消去  $t$ ：

$$\frac{d^2r}{ds^2} + \frac{\nu'}{2} e^\nu r^2 \left( \frac{d\phi}{ds} \right)^2 - r e^\nu \left( \frac{d\phi}{ds} \right)^2 + \frac{1}{2} \nu' e^\nu = 0 \quad (90)$$

这就是GR中参数形式的行星轨道方程。

利用 GR 中的角动量守恒

$$r^2 \frac{d\phi}{ds} = \frac{h}{c}, \quad \frac{d\phi}{ds} = \frac{h}{cr^2} \quad (91)$$

进一步化简为

$$\frac{d^2r}{ds^2} + \left( \frac{\nu'}{2} r^2 - r \right) e^\nu \left( \frac{h}{cr^2} \right)^2 + \frac{1}{2} \nu' e^\nu = 0 \quad (92)$$

$$\frac{d^2r}{ds^2} + \frac{1}{2} \nu' e^\nu \left( \frac{h^2}{c^2 r^2} + 1 \right) - r e^\nu \left( \frac{h}{cr^2} \right)^2 = 0 \quad (93)$$

令  $u = \frac{1}{r}$ , 注意到

$$e^\nu = 1 - \frac{2GM}{c^2 r} = 1 - \frac{2GM}{c^2} u \quad (94)$$

$$\nu' e^\nu = (e^\nu)' = \frac{2GM}{c^2 r^2} = \frac{2GM}{c^2} u^2 \quad (95)$$

$$\frac{d\phi}{ds} = \frac{h}{cr^2} = \frac{h}{c} u^2 \quad (96)$$

$$\frac{d}{ds} = \frac{d\phi}{ds} \frac{d}{d\phi} = \frac{h}{c} u^2 \frac{d}{d\phi} \quad (97)$$

$$\begin{aligned} \frac{d^2r}{ds^2} &= \frac{d}{ds} \left( \frac{d(1/u)}{ds} \right) = \frac{d}{ds} \left( -\frac{1}{u^2} \frac{du}{ds} \right) = \frac{d}{ds} \left[ -\frac{1}{u^2} \cdot \left( \frac{h}{c} u^2 \frac{du}{d\phi} \right) \right] \\ &= -\frac{h}{c} \frac{d}{ds} \left( \frac{du}{d\phi} \right) = -\frac{h}{c} \cdot \frac{h}{c} u^2 \frac{d}{d\phi} \left( \frac{du}{d\phi} \right) \\ &= -\frac{h^2}{c^2} u^2 \frac{d^2u}{d\phi^2} \end{aligned} \quad (98)$$

于是轨道的参数方程就化为如下的轨道方程：

$$\frac{d^2u}{d\phi^2} + u = \frac{3GM}{c^2} u^2 + \frac{GM}{h^2} \quad (99)$$

上式就是GR中的Binet方程。

设  $u_0$  满足牛顿力学中的Binet方程

$$\frac{d^2u_0}{d\phi^2} + u_0 = \frac{GM}{h^2} \quad (100)$$

其解为

$$u_0 = \frac{1}{p} (1 + e \cos \phi), \quad p = \frac{h^2}{GM} \quad (101)$$

令  $\alpha = 3GM/c^2$ , 则

$$\frac{d^2 u}{d\phi^2} + u = \alpha u^2 + \frac{GM}{h^2} \quad (102)$$

设  $u = u_0 + \alpha u_1$ , 则

$$\frac{d^2 u_0}{d\phi^2} + u_0 + \alpha \frac{d^2 u_1}{d\phi^2} + \alpha u_1 = \alpha (u_0 + \alpha u_1)^2 + \frac{GM}{h^2} \quad (103)$$

利用  $u_0$  满足的方程就得到

$$\alpha \frac{d^2 u_1}{d\phi^2} + \alpha u_1 = \alpha (u_0 + \alpha u_1)^2 \quad (104)$$

由于  $\alpha$  是个小量, 约去右边括号内的高阶小量, 再利用  $u_0$  的表达式, 就得到

$$\frac{d^2 u_1}{d\phi^2} + u_1 = u_0^2 = \frac{(1 + e \cos \phi)^2}{p^2} = \frac{1}{p^2} \left[ \left( 1 + \frac{e^2}{2} \right) + 2e \cos \phi + \frac{e^2}{2} \cos 2\phi \right] \quad (105)$$

设  $u_1$  的形式解为

$$u_1 = A + B\phi \sin \phi + C \cos 2\phi \quad (106)$$

$$\frac{du_1}{d\phi} = B(\sin \phi + \phi \cos \phi) - 2C \sin 2\phi \quad (107)$$

$$\frac{d^2 u_1}{d\phi^2} = B(2 \cos \phi - \phi \sin \phi) - 4C \cos 2\phi \quad (108)$$

代回方程得到

$$B(2 \cos \phi - \phi \sin \phi) - 4C \cos 2\phi + A + B\phi \sin \phi + C \cos 2\phi = \frac{1}{p^2} \left[ \left( 1 + \frac{e^2}{2} \right) + 2e \cos \phi + \frac{e^2}{2} \cos 2\phi \right]$$

对比各项前的系数就得到

$$A = \frac{1}{p^2} \left( 1 + \frac{e^2}{2} \right), \quad B = \frac{e}{p^2}, \quad C = -\frac{e^2}{6p^2} \quad (110)$$

因此

$$u_1 = \frac{1}{p^2} \left( 1 + \frac{e^2}{2} \right) + \frac{e}{p^2} \phi \sin \phi - \frac{e^2}{6p^2} \cos 2\phi \quad (111)$$

$$u = u_0 + \alpha u_1 = \frac{1}{p} \left[ (1 + e \cos \phi) + \frac{\alpha}{p} \left( 1 + \frac{e^2}{2} \right) + \frac{\alpha e}{p} \phi \sin \phi - \frac{1}{6} \frac{\alpha e^2}{p^2} \cos 2\phi \right] \quad (112)$$

上面只有  $\phi \sin \phi$  项是累加的，只保留对轨道有长期影响的项：

$$u = \frac{1}{p} \left[ (1 + e \cos \phi) + \frac{\alpha e}{p} \phi \sin \phi \right] \quad (113)$$

由于  $\alpha$  是小量，则

$$1 \approx \cos \left( \frac{\alpha}{p} \phi \right), \quad \frac{\alpha}{p} \phi \approx \sin \left( \frac{\alpha}{p} \phi \right) \quad (114)$$

于是

$$\begin{aligned} u &= \frac{1}{p} \left[ (1 + e \cos \phi) + \frac{\alpha e}{p} \phi \sin \phi \right] \\ &= \frac{1}{p} \left[ 1 + e \left( 1 \cdot \cos \phi + \frac{\alpha}{p} \phi \sin \phi \right) \right] \\ &\approx \frac{1}{p} \left[ 1 + e \left( \cos \left( \frac{\alpha}{p} \phi \right) \cos \phi + \sin \left( \frac{\alpha}{p} \phi \right) \sin \phi \right) \right] \\ &= \frac{1}{p} \left[ 1 + \cos \left( \left( 1 - \frac{\alpha}{p} \right) \phi \right) \right] \\ &\equiv \frac{1}{p} (1 + e \cos \Phi) \end{aligned} \quad (115)$$

$$\Phi \equiv \left( 1 - \frac{\alpha}{p} \right) \phi \quad (116)$$

当  $\Phi = \Phi_n = (2n + 1)\pi$  时， $\cos \Phi = -1$ ，此时  $u$  最小， $r$  最大，相应  $\phi_n$  为

$$\phi_n = \frac{\Phi_n}{1 - \alpha/p} \approx \Phi_n \left( 1 + \frac{\alpha}{p} \right) \quad (117)$$

$$\phi_{n+1} \approx \Phi_{n+1} \left( 1 + \frac{\alpha}{p} \right) \quad (118)$$

于是

$$\phi_{n+1} - \phi_n = 2\pi \left( 1 + \frac{\alpha}{p} \right) \quad (119)$$

一周期进动角为

$$\Delta = \phi_{n+1} - \phi_n - 2\pi = 2\pi \frac{\alpha}{p} = \frac{6\pi GM}{c^2 p} \quad (120)$$

由

$$T^2 = \frac{4\pi^2}{GM} a^3, \quad GM = 4\pi^2 \frac{a^3}{T^2}, \quad p = a (1 - e^2) \quad (121)$$

可得

$$\Delta = \frac{24\pi^3 a^2}{c^2 T^2 (1 - e^2)} \quad (122)$$

对于水星，其世纪进动角为

$$\Delta_c \approx 43'' \quad (123)$$

## 光线偏折

对于光有  $ds^2 = 0$ ，因此测地线方程不能以线长  $s$  为参数。但可引入参数  $\lambda$  来定义光线的切矢：

$$K^\mu \equiv \frac{dx^\mu}{d\lambda} \quad (124)$$

由于  $ds^2 = 0$  可得

$$g_{\mu\nu} K^\mu K^\nu = 0 \quad (125)$$

假设切矢  $K^\mu$  在光的传播路线上是平行的，即

$$\nabla_\mu K^\sigma = 0, \quad K^\mu \nabla_\mu K^\sigma = 0 \quad (126)$$

也即

$$K^\mu (\partial_\mu K^\sigma + \Gamma_{\mu\nu}^\sigma K^\nu) = 0 \quad (127)$$

又

$$K^\mu \partial_\mu K^\sigma = \frac{dx^\mu}{d\lambda} \frac{\partial K^\sigma}{\partial x^\mu} = \frac{dK^\sigma}{d\lambda} \quad (128)$$

因此有

$$\frac{dK^\sigma}{d\lambda} + \Gamma_{\mu\nu}^\sigma K^\mu K^\nu = 0, \quad K^\sigma \equiv \frac{dx^\sigma}{d\lambda} \quad (129)$$

也即

$$\frac{d^2x^\sigma}{d\lambda^2} + \Gamma_{\mu\nu}^\sigma \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda} = 0 \quad (130)$$

这就是光线传播的路径方程。相比有质量粒子的测地线方程，只是把线长  $s$  替换成参数  $\lambda$  而已。

我们知道，Schwarzschild解情况下有质量粒子在  $\theta = \pi/2$  平面内的测地线方程有三条：

$$\frac{d^2t}{ds^2} + \nu' \frac{dt}{ds} \frac{dr}{ds} = 0 \quad (131)$$

$$\frac{d^2r}{ds^2} + \frac{c^2\nu'}{2} e^{2\nu} \left( \frac{dt}{ds} \right)^2 - \frac{\nu'}{2} \left( \frac{dr}{ds} \right)^2 - r e^\nu \left( \frac{d\phi}{ds} \right)^2 = 0 \quad (132)$$

$$\frac{d^2\phi}{ds^2} + \frac{2}{r} \frac{dr}{ds} \frac{d\phi}{ds} = 0 \quad (133)$$

对于光子，同样考虑Schwarzschild解，由于二者的联络都是一样，因此只需要把  $s$  替换成  $\lambda$  就得到三条Schwarzschild解下光传播路径的参数方程：

$$\frac{d^2t}{d\lambda^2} + \nu' \frac{dt}{d\lambda} \frac{dr}{d\lambda} = 0 \quad (134)$$

$$\frac{d^2r}{d\lambda^2} + \frac{c^2\nu'}{2} e^{2\nu} \left( \frac{dt}{d\lambda} \right)^2 - \frac{\nu'}{2} \left( \frac{dr}{d\lambda} \right)^2 - r e^\nu \left( \frac{d\phi}{d\lambda} \right)^2 = 0 \quad (135)$$

$$\frac{d^2\phi}{d\lambda^2} + \frac{2}{r} \frac{dr}{d\lambda} \frac{d\phi}{d\lambda} = 0 \quad (136)$$

对于第三条方程，同样有

$$\frac{d}{d\lambda} \left( r^2 \frac{d\phi}{d\lambda} \right) = 0, \quad r^2 \frac{d\phi}{d\lambda} = k \quad (137)$$

在  $\theta = \pi/2$  平面上，线元

$$ds^2 = 0 = -c^2 e^\nu dt^2 + e^{-\nu} dr^2 + r^2 d\phi^2 \quad (138)$$

可以证明，可以从上式和第三条方程推导出第一条方程。上式两边同除  $(d\lambda)^2$ ，并移项，就得到

$$c^2 e^\nu \left( \frac{dt}{d\lambda} \right)^2 = e^{-\nu} \left( \frac{dr}{d\lambda} \right)^2 + r^2 \left( \frac{d\phi}{d\lambda} \right)^2 \quad (139)$$

上式代回  $r$  关于  $\lambda$  二阶导式子，就得到

$$\frac{d^2r}{d\lambda^2} + \left( \frac{r^2}{2} \nu' e^\nu - r e^\nu \right) \left( \frac{d\phi}{d\lambda} \right)^2 = 0 \quad (140)$$

令  $u = \frac{1}{r}$ ，注意到

$$e^\nu = 1 - \frac{2GM}{c^2 r} = 1 - \frac{2GM}{c^2} u \quad (141)$$

$$\nu' e^\nu = (e^\nu)' = \frac{2GM}{c^2 r^2} = \frac{2GM}{c^2} u^2 \quad (142)$$

$$\frac{d\phi}{d\lambda} = \frac{k}{r^2} = k u^2 \quad (143)$$

$$\frac{d}{d\lambda} = \frac{d\phi}{d\lambda} \frac{d}{d\phi} = k u^2 \frac{d}{d\phi} \quad (144)$$

$$\begin{aligned} \frac{d^2r}{d\lambda^2} &= \frac{d}{d\lambda} \frac{d(1/u)}{d\lambda} = \frac{d}{d\lambda} \left( -\frac{1}{u^2} \frac{du}{d\lambda} \right) = \frac{d}{d\lambda} \left( -\frac{1}{u^2} \cdot k u^2 \frac{du}{d\phi} \right) \\ &= -k \frac{d}{d\lambda} \frac{du}{d\phi} = -k \cdot k u^2 \frac{d}{d\phi} \frac{du}{d\phi} \\ &= -k^2 u^2 \frac{d^2u}{d\phi^2} \end{aligned} \quad (145)$$

于是可以消去参数  $\lambda$ , 得到轨道微分方程

$$\frac{d^2u}{d\phi^2} + u = \frac{3GM}{c^2}u^2 \quad (146)$$

定义小量

$$\alpha \equiv \frac{3GM}{c^2} \quad (147)$$

则

$$\frac{d^2u}{d\phi^2} + u = \alpha u^2 \quad (148)$$

设  $u_0$  满足

$$\frac{d^2u_0}{d\phi^2} + u_0 = 0 \quad (149)$$

其解为

$$u_0 = \frac{1}{b} \sin \phi \quad (150)$$

设

$$u = u_0 + \alpha u_1 \quad (151)$$

则

$$\frac{d^2u_0}{d\phi^2} + u_0 + \alpha \left( \frac{d^2u_1}{d\phi^2} + u_1 \right) = \alpha (u_0 + \alpha u_1)^2 \quad (152)$$

也即

$$\frac{d^2u_1}{d\phi^2} + u_1 = (u_0 + \alpha u_1)^2 \approx u_0^2 = \frac{1}{b^2} \sin^2 \phi \quad (153)$$

设  $u_1$  的形式解为

$$u_1 = A \sin^2 \phi + B \quad (154)$$

$$\frac{du_1}{d\phi} = 2A \sin \phi \cos \phi = A \sin 2\phi \quad (155)$$

$$\frac{d^2u_1}{d\phi^2} = 2A \cos 2\phi = 2A (1 - 2 \sin^2 \phi) = 2A - 4A \sin^2 \phi \quad (156)$$

代回  $u_1$  满足的微分方程, 得到

$$2A - 4A \sin^2 \phi + A \sin^2 \phi + B = \frac{1}{b^2} \sin^2 \phi \quad (157)$$

对比可得

$$3A = -\frac{1}{b^2}, \quad 2A + B = 0 \quad (158)$$

解得

$$A = -\frac{1}{3b^2}, \quad B = \frac{2}{3b^2} \quad (159)$$

$$u_1 = A \sin^2 \phi + B = -\frac{1}{3b^2} (\sin^2 \phi - 2) = \frac{1}{3b^2} (\cos^2 \phi + 1) \quad (160)$$

$$\begin{aligned} u = u_0 + \alpha u_1 &= \frac{1}{b} \sin \phi + \frac{\alpha}{3b^2} (\cos^2 \phi + 1) \\ &= \frac{1}{b} \sin \phi + \frac{GM}{c^2 b^2} (\cos^2 \phi + 1) \end{aligned} \quad (161)$$

定义小量  $a \equiv GM/c^2 b$ , 当  $r \rightarrow +\infty, u = 0$ , 此时

$$\sin \phi + a (2 - \sin^2 \phi) = 0 \quad (162)$$

$$\sin \phi = \frac{1 \pm \sqrt{1 + 8a^2}}{2a} \approx \frac{1 \pm (1 + 4a^2)}{2a} = -2a \quad \text{or} \quad \frac{1 + 2a^2}{a} \quad (163)$$

舍去  $\sin \phi = \frac{1+2a^2}{a} > 1$  的解, 考虑  $\phi \rightarrow 0$  的那侧, 则

$$\phi \approx \sin \phi = -2a, \quad r \rightarrow +\infty \quad (164)$$

偏折角为

$$\delta = 4a = \frac{4GM}{c^2 b} \quad (165)$$

## 雷达回波延迟

## 牛顿近似克氏符

## 弱场线性近似与场方程

## 一些公式的推导