

# 1

$$|\sigma_1, \dots, \sigma_N\rangle \mapsto ?$$

## single spin vs. single two-modes fermion

$$|\sigma_i\rangle \mapsto f_{\sigma_i}^\dagger |0\rangle, \quad \sigma_i = \pm 1/2 \quad (1)$$

单占据子空间投影算符

$$\mathcal{P}_i \equiv \sum_{\sigma_i} f_{\sigma_i}^\dagger |0\rangle \langle 0| f_{\sigma_i} \quad (2)$$

首先想证明

$$\forall \sigma_i, \sigma'_i, \quad f_{\sigma_i}^\dagger |0\rangle \langle 0| f_{\sigma'_i} = \mathcal{P}_i f_{\sigma_i}^\dagger f_{\sigma'_i} \mathcal{P}_i \quad (3)$$

首先恒等算符

$$\begin{aligned} \mathcal{P}_i f_{\sigma_i}^\dagger f_{\sigma'_i} \mathcal{P}_i &= \left( \sum_{\sigma''_i} f_{\sigma''_i}^\dagger |0\rangle \langle 0| f_{\sigma''_i} \right) f_{\sigma_i}^\dagger f_{\sigma'_i} \left( \sum_{\sigma'''_i} f_{\sigma'''_i}^\dagger |0\rangle \langle 0| f_{\sigma'''_i} \right) \\ &= \sum_{\sigma''_i \sigma'''_i} f_{\sigma''_i}^\dagger |0\rangle \langle 0| f_{\sigma''_i} f_{\sigma_i}^\dagger f_{\sigma'_i} f_{\sigma'''_i}^\dagger |0\rangle \langle 0| f_{\sigma'''_i} \\ &= \sum_{\sigma''_i \sigma'''_i} f_{\sigma''_i}^\dagger |0\rangle \langle 0| \left( \delta_{\sigma_i, \sigma''_i} - f_{\sigma_i}^\dagger f_{\sigma''_i} \right) f_{\sigma'_i} f_{\sigma'''_i}^\dagger |0\rangle \langle 0| f_{\sigma'''_i} \\ &= \sum_{\sigma''_i \sigma'''_i} \delta_{\sigma_i, \sigma''_i} f_{\sigma''_i}^\dagger |0\rangle \langle 0| f_{\sigma'_i} f_{\sigma'''_i}^\dagger |0\rangle \langle 0| f_{\sigma'''_i} \\ &= \sum_{\sigma''_i \sigma'''_i} \delta_{\sigma_i, \sigma''_i} f_{\sigma''_i}^\dagger |0\rangle \delta_{\sigma'_i, \sigma'''_i} \langle 0| f_{\sigma'''_i} \\ &= f_{\sigma_i}^\dagger |0\rangle \langle 0| f_{\sigma'_i} \end{aligned} \quad (4)$$

格点  $i$  上单自旋任意算符

$$\begin{aligned} O_i &= \sum_{\sigma_i \sigma'_i} \langle \sigma_i | O_i | \sigma'_i \rangle |\sigma_i\rangle \langle \sigma'_i| \mapsto \sum_{\sigma_i \sigma'_i} \langle \sigma_i | O_i | \sigma'_i \rangle f_{\sigma_i}^\dagger |0\rangle \langle 0| f_{\sigma'_i} \\ &= \sum_{\sigma_i \sigma'_i} \langle \sigma_i | O_i | \sigma'_i \rangle \mathcal{P}_i f_{\sigma_i}^\dagger f_{\sigma'_i} \mathcal{P}_i \end{aligned} \quad (5)$$

考虑把这样一个映射分为两次映射：

$$\Gamma : \mathcal{H}_i^{\text{spin}} \rightarrow \mathcal{H}_i^1 \quad (6)$$

$$\Gamma_1 : \mathcal{H}_i^{\text{spin}} \rightarrow \mathcal{H}_i^{\text{Fock}}, \quad \Gamma_2 : \mathcal{H}_i^{\text{Fock}} \rightarrow \mathcal{H}_i^1 \quad (7)$$

$$\Gamma = \Gamma_2 \circ \Gamma_1 \quad (8)$$

想证明 算符

$$\Gamma(O_1 O_2) = \Gamma_2(\Gamma_1(O_1) \Gamma_1(O_2)) \quad (9)$$

# 多自旋与多2模式费米子单占据子空间一一对应

## 态矢的映射

$$|\sigma_1, \dots, \sigma_N\rangle \mapsto f_{1,\sigma_1}^\dagger \cdots f_{N,\sigma_N}^\dagger |0\rangle \quad (10)$$

$$\langle \sigma_1, \dots, \sigma_N | \mapsto \langle 0 | f_{N,\sigma_N} \cdots f_{1,\sigma_1} \quad (11)$$

## 各格点单占据子空间投影算符

$$\mathcal{P} \equiv \sum_{\sigma_1, \dots, \sigma_N} f_{1,\sigma_1}^\dagger \cdots f_{N,\sigma_N}^\dagger |0\rangle \langle 0| f_{N,\sigma_N} \cdots f_{1,\sigma_1} \quad (12)$$

## 投影算符与任意算符对易

$$[\mathcal{P}, \tilde{O}] = 0. \quad (13)$$

## 算符的映射

$$\begin{aligned} O &= \sum_{\sigma_1, \dots, \sigma_N} \sum_{\sigma'_1, \dots, \sigma'_N} \langle \sigma_1, \dots, \sigma_N | O | \sigma'_1, \dots, \sigma'_N \rangle |\sigma_1, \dots, \sigma_N\rangle \langle \sigma_1, \dots, \sigma_N| \\ &\mapsto \sum_{\sigma_1, \dots, \sigma_N} \sum_{\sigma'_1, \dots, \sigma'_N} \langle \sigma_1, \dots, \sigma_N | O | \sigma'_1, \dots, \sigma'_N \rangle f_{1,\sigma_1}^\dagger \cdots f_{N,\sigma_N}^\dagger |0\rangle \langle 0| f_{N,\sigma_N} \cdots f_{1,\sigma_1} \\ &\mapsto \mathcal{P} \left( \sum_{\sigma_1, \dots, \sigma_N} \sum_{\sigma'_1, \dots, \sigma'_N} \langle \sigma_1, \dots, \sigma_N | O | \sigma'_1, \dots, \sigma'_N \rangle f_{1,\sigma_1}^\dagger \cdots f_{N,\sigma_N}^\dagger f_{N,\sigma_N} \cdots f_{1,\sigma_1} \right) \mathcal{P} \end{aligned} \quad (14)$$

也就是说，算符的——映射等价于先把算符映射到 Fock 空间中的相应算符，再投影到各格点单占据子空间。

## 映射保算符对态矢作用、保算符乘积

## 本征方程对应关系

设  $H$  是  $N$  自旋 Hilbert 空间上的算符， $E$  和  $|\psi\rangle$  是某一组本征解；设  $\tilde{H}$  是相应  $N$  两模式费米子 Fock 空间上的算符；设  $H'$  是相应  $N$  两模式费米子各格点单占据子空间上的算符，则

$$H |\psi\rangle = E |\psi\rangle, \quad (15)$$

两边同时做 tilde 映射

$$\tilde{H} |\tilde{\psi}\rangle = E |\tilde{\psi}\rangle, \quad (16)$$

利用投影算符与任意算符对易这一性质，以及  $\mathcal{P}^2 = \mathcal{P}$ ，投影算符作用

$$\mathcal{P} \tilde{H} |\tilde{\psi}\rangle = E \mathcal{P} |\tilde{\psi}\rangle, \quad (17)$$

左边

$$\mathcal{P} \tilde{H} |\tilde{\psi}\rangle = \mathcal{P}^2 \tilde{H} |\tilde{\psi}\rangle = \mathcal{P} \tilde{H} \mathcal{P} |\tilde{\psi}\rangle = \mathcal{P} \tilde{H} \mathcal{P} \mathcal{P} |\tilde{\psi}\rangle = H' |\psi'\rangle \quad (18)$$

右边

$$E \mathcal{P} |\tilde{\psi}\rangle = E |\psi'\rangle, \quad (19)$$

即

$$H' |\psi'\rangle = E |\psi'\rangle, \quad (20)$$

设  $\tilde{H}$  的某一组本征解为  $E, |\tilde{\psi}\rangle$ , 下面证明  $E, |\psi'\rangle$  也是  $H'$  的本征解。

$$\tilde{H} |\tilde{\psi}\rangle = E |\tilde{\psi}\rangle, \quad (21)$$

## Abrikosov Pseudo-Fermion Representation

从自旋-1/2到 2-模式复费米子体系的映射:

$$|\uparrow\rangle \mapsto f_{\uparrow}^{\dagger} |0\rangle, \quad |\downarrow\rangle \mapsto f_{\downarrow}^{\dagger} |0\rangle. \quad (22)$$

$$\Gamma : \sigma^{\alpha} \mapsto \sum_{ab} f_a^{\dagger} \sigma_{ab}^{\alpha} f_b, \quad (23)$$

$$\begin{cases} \sigma^x \mapsto f_{\uparrow}^{\dagger} f_{\downarrow} + f_{\downarrow}^{\dagger} f_{\uparrow}, \\ \sigma^y \mapsto -i (f_{\uparrow}^{\dagger} f_{\downarrow} - f_{\downarrow}^{\dagger} f_{\uparrow}), \\ \sigma^z \mapsto f_{\uparrow}^{\dagger} f_{\uparrow} - f_{\downarrow}^{\dagger} f_{\downarrow}, \\ f_{\uparrow}^{\dagger} f_{\uparrow} + f_{\downarrow}^{\dagger} f_{\downarrow} = 1. \end{cases} \quad (24)$$

指定第一种复费米子模式为  $f_{\uparrow}, f_{\uparrow}^{\dagger}$ , 第二种复费米子模式为  $f_{\downarrow}, f_{\downarrow}^{\dagger}$ , 则

在单占据子空间

$$\sum_a f_a^{\dagger} f_a = 1 \quad (25)$$

保持算符乘法和自旋-1/2李代数:

$$\Gamma (\sigma^{\alpha} \sigma^{\beta}) = \Gamma (\sigma^{\alpha}) \Gamma (\sigma^{\beta}), \quad (26)$$

$$[\sigma^{\alpha}, \sigma^{\beta}] = 2i\varepsilon^{\alpha\beta\gamma} \sigma^{\gamma}, \quad [\Gamma (\sigma^{\alpha}), \Gamma (\sigma^{\beta})] = 2i\varepsilon^{\alpha\beta\gamma} \Gamma (\sigma^{\gamma}). \quad (27)$$

证明:

首先

$$[\sigma^{\alpha}, \sigma^{\beta}] = 2i\varepsilon^{\alpha\beta\gamma} \sigma^{\gamma}, \quad \{\sigma^{\alpha}, \sigma^{\beta}\} = 2\delta^{\alpha\beta} I \quad (28)$$

$$\sigma^{\alpha} \sigma^{\beta} = \frac{1}{2} ([\sigma^{\alpha}, \sigma^{\beta}] + \{\sigma^{\alpha}, \sigma^{\beta}\}) = \delta^{\alpha\beta} I + i\varepsilon^{\alpha\beta\gamma} \sigma^{\gamma} \quad (29)$$

## Majorana Representation of Complex Fermion

设  $c, c^{\dagger}$  分别是某种费米子模式的湮灭、产生算符, 利用它们可构造出两个 Majorana 算符:

$$\gamma_1 \equiv c + c^{\dagger}, \quad \gamma_2 \equiv -i(c - c^{\dagger}), \quad (30)$$

或者说复费米子算符可由 Majorana 算符表示:

$$c = \frac{1}{2} (\gamma_1 + i\gamma_2), \quad c^{\dagger} = \frac{1}{2} (\gamma_1 - i\gamma_2). \quad (31)$$

利用复费米子反对易关系

$$\{c, c^{\dagger}\} = 1, \quad \{c, c\} = \{c^{\dagger}, c^{\dagger}\} = 0, \quad (32)$$

可以得到 Majorana 算符反对易关系:

$$\{\gamma_1, \gamma_2\} = 0, \quad \{\gamma_1, \gamma_1\} = 2, \quad \{\gamma_2, \gamma_2\} = 2, \quad (33)$$

或统一写为：

$$\{\gamma_i, \gamma_j\} = 2\delta_{ij}, \quad ij \in \{1, 2\}. \quad (34)$$

$$\gamma_1^2 = \gamma_2^2 = 1, \quad \gamma_1^\dagger = \gamma_1, \quad \gamma_2^\dagger = \gamma_2. \quad (35)$$

## 从 spin-1/2 到 2-模式复费米子再到 Majorana 费米子

$$\begin{cases} \sigma^x \mapsto f_\uparrow^\dagger f_\downarrow + f_\downarrow^\dagger f_\uparrow, \\ \sigma^y \mapsto -i \left( f_\uparrow^\dagger f_\downarrow - f_\downarrow^\dagger f_\uparrow \right), \\ \sigma^z \mapsto f_\uparrow^\dagger f_\uparrow - f_\downarrow^\dagger f_\downarrow, \\ f_\uparrow^\dagger f_\uparrow + f_\downarrow^\dagger f_\downarrow = 1. \end{cases} \quad (36)$$

用复费米子算符构造如下的 Majorana 算符：

$$\gamma_{\uparrow,1} = f_\uparrow + f_\uparrow^\dagger, \quad \gamma_{\uparrow,2} = -i \left( f_\uparrow - f_\uparrow^\dagger \right), \quad (37)$$

$$\gamma_{\downarrow,1} = f_\downarrow + f_\downarrow^\dagger, \quad \gamma_{\downarrow,2} = -i \left( f_\downarrow - f_\downarrow^\dagger \right). \quad (38)$$

可以反解出

$$f_\uparrow = \frac{1}{2} (\gamma_{\uparrow,1} + i\gamma_{\uparrow,2}), \quad f_\uparrow^\dagger = \frac{1}{2} (\gamma_{\uparrow,1} - i\gamma_{\uparrow,2}) \quad (39)$$

$$f_\downarrow = \frac{1}{2} (\gamma_{\downarrow,1} + i\gamma_{\downarrow,2}), \quad f_\downarrow^\dagger = \frac{1}{2} (\gamma_{\downarrow,1} - i\gamma_{\downarrow,2}) \quad (40)$$

则

$$\sigma^x \mapsto f_\uparrow^\dagger f_\downarrow + f_\downarrow^\dagger f_\uparrow = i\gamma_{\uparrow,1}\gamma_{\downarrow,2}, \quad (41)$$

$$\sigma^y \mapsto -i \left( f_\uparrow^\dagger f_\downarrow - f_\downarrow^\dagger f_\uparrow \right) = -i\gamma_{\uparrow,1}\gamma_{\downarrow,1}, \quad (42)$$

$$\sigma^z \mapsto f_\uparrow^\dagger f_\uparrow - f_\downarrow^\dagger f_\downarrow = i\gamma_{\uparrow,1}\gamma_{\uparrow,2}. \quad (43)$$

单占据条件  $f_\uparrow^\dagger f_\uparrow + f_\downarrow^\dagger f_\downarrow = 1$  化为：

$$\gamma_{\uparrow,1}\gamma_{\uparrow,2}\gamma_{\downarrow,1}\gamma_{\downarrow,2} = 1 \quad (44)$$

若令：

$$\gamma_{\uparrow,1} = c, \quad \gamma_{\uparrow,2} = -b^z, \quad \gamma_{\downarrow,1} = b^y, \quad \gamma_{\downarrow,2} = -b^x, \quad (45)$$

则有

$$\sigma^x \mapsto ib^x c, \quad (46)$$

$$\sigma^y \mapsto ib^y c, \quad (47)$$

$$\sigma^z \mapsto ib^z c \quad (48)$$

单占据条件化为

$$b^x b^y b^z c = 1. \quad (49)$$

## 用 Majorana 算符表达 Kitaev Honeycomb 模型哈密顿量

Kitaev Honeycomb 哈密顿量：

$$H = K_x \sum_{\langle j,k \rangle_x} \sigma_j^x \sigma_k^x + K_y \sum_{\langle j,k \rangle_y} \sigma_j^y \sigma_k^y + K_z \sum_{\langle j,k \rangle_z} \sigma_j^z \sigma_k^z. \quad (50)$$

定义  $\alpha_{jk}$  为最近邻  $j, k$  格点的 bond 类型,  $\alpha_{ij} \in \{x, y, z\}$ , 则

$$H = \sum_{\langle j,k \rangle} K_{\alpha_{jk}} \sigma_j^{\alpha_{jk}} \sigma_k^{\alpha_{jk}}. \quad (51)$$

哈密顿量映射为:

$$\begin{aligned} H \mapsto \widetilde{H} &= \sum_{\langle j,k \rangle} K_{\alpha_{jk}} (\mathrm{i} b_j^{\alpha_{jk}} c_j) (\mathrm{i} b_k^{\alpha_{jk}} c_k) \\ &= \mathrm{i} \sum_{\langle j,k \rangle} K_{\alpha_{jk}} (-\mathrm{i} b_j^{\alpha_{jk}} b_k^{\alpha_{jk}}) c_j c_k. \end{aligned} \quad (52)$$

为了方便数值计算, 定义:

$$\hat{u}_{jk} \equiv \begin{cases} -\mathrm{i} b_j^{\alpha_{jk}} b_k^{\alpha_{jk}}, & j \text{ 和 } k \text{ 最近邻} \\ 0, & \text{其他情况} \end{cases} \quad (53)$$

则

$$\widetilde{H} = \mathrm{i} \sum_{\langle j,k \rangle} K_{\alpha_{jk}} \hat{u}_{jk} c_j c_k \quad (54)$$

考虑构型  $\{u_{jk}\}$ , 则

$$\widetilde{H} = \mathrm{i} \sum_{\langle j,k \rangle} K_{\alpha_{jk}} u_{jk} c_j c_k, \quad (55)$$

其中  $u_{jk}$  是  $\hat{u}_{jk}$  的本征值。

## 把每个 unit cell 内两格点的 Majorana-c 算符组合成复费米子

首先  $c_j$  是  $j$  格点的 Majorana-c 算符。若区分  $A, B$  子格, 用  $c_{\mathbf{r},\alpha}$  表示  $\mathbf{r}$  unit cell 内  $\beta$  子格格点上的 Majorana-c 算符, 则哈密顿量可改写为:

$$\begin{aligned} \widetilde{H} &= \mathrm{i} \sum_{\langle j,k \rangle} K_{\alpha_{jk}} u_{jk} c_j c_k \\ &= \mathrm{i} \sum_{\mathbf{r} \in \text{UC}} (K_x u_{\mathbf{r},A;\mathbf{r},B} c_{\mathbf{r},A} c_{\mathbf{r},B} + K_y u_{\mathbf{r},A;\mathbf{r}+\mathbf{a}_1,B} c_{\mathbf{r},A} c_{\mathbf{r}+\mathbf{a}_1,B} + K_z u_{\mathbf{r},A;\mathbf{r}+\mathbf{a}_2,B} c_{\mathbf{r},A} c_{\mathbf{r}+\mathbf{a}_2,B}) \\ &= \mathrm{i} \sum_{\mathbf{r} \in \text{UC}} \sum_{\boldsymbol{\delta} \in \{\mathbf{0}, \mathbf{a}_1, \mathbf{a}_2\}} K_{\boldsymbol{\delta}} u_{\mathbf{r},A;\mathbf{r}+\boldsymbol{\delta},B} c_{\mathbf{r},A} c_{\mathbf{r}+\boldsymbol{\delta},B}. \end{aligned} \quad (56)$$

其中

$$\text{UC} \equiv \{\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N\}, \quad (57)$$

$N = N_1 N_2$  为总 unit cell 数,  $\mathbf{r}_i$  为第  $i$  个元胞的位置矢量,

$$K_{\boldsymbol{\delta}} \equiv \begin{cases} K_x, & \boldsymbol{\delta} = \mathbf{0}, \\ K_y, & \boldsymbol{\delta} = \mathbf{a}_1, \\ K_z, & \boldsymbol{\delta} = \mathbf{a}_2. \end{cases} \quad (58)$$

把  $\mathbf{r}$  unit cell 内的两个 Majorana-c 算符组合成复费米子算符:

$$a_{\mathbf{r}} \equiv \frac{1}{2} (c_{\mathbf{r},A} + \mathrm{i} c_{\mathbf{r},B}), \quad a_{\mathbf{r}}^{\dagger} \equiv \frac{1}{2} (c_{\mathbf{r},A} - \mathrm{i} c_{\mathbf{r},B}), \quad \mathbf{r} \in \text{UC}, \quad (59)$$

可以反解出

$$c_{r,A} = a_r + a_r^\dagger, \quad c_{r,B} = \frac{1}{i} (a_r - a_r^\dagger), \quad (60)$$

则哈密顿量可表达为

$$\begin{aligned}
\widetilde{H} &= i \sum_{r \in \text{UC}} \sum_{\delta \in \{0, a_1, a_2\}} K_\delta u_{r,A;r+\delta,B} c_{r,A} c_{r+\delta,B} \\
&= i \sum_r \sum_\delta K_\delta u_{r,A;r+\delta,B} (a_r + a_r^\dagger) \cdot \frac{1}{i} (a_{r+\delta} - a_{r+\delta}^\dagger) \\
&= \sum_r \sum_\delta K_\delta u_{r,A;r+\delta,B} (a_r + a_r^\dagger) (a_{r+\delta} - a_{r+\delta}^\dagger) \\
&= \sum_\delta \sum_r K_\delta u_{r,A;r+\delta,B} (a_r + a_r^\dagger) (a_{r+\delta} - a_{r+\delta}^\dagger) \\
&= \sum_\delta \sum_r \sum_{r' \in \text{UC}} \sum_{r'' \in \text{UC}} \delta_{r',r} \delta_{r'',r+\delta} K_\delta u_{r,A;r+\delta,B} (a_{r'} + a_{r'}^\dagger) (a_{r''} - a_{r''}^\dagger) \\
&= \sum_\delta \sum_{r'} \sum_{r''} \sum_r \delta_{r',r} \delta_{r'',r+\delta} K_\delta u_{r,A;r+\delta,B} (a_{r'} + a_{r'}^\dagger) (a_{r''} - a_{r''}^\dagger) \\
&= \sum_\delta \sum_{r'} \sum_{r''} \delta_{r',r''-\delta} K_\delta u_{r',A;r'',B} (a_{r'} + a_{r'}^\dagger) (a_{r''} - a_{r''}^\dagger) \\
&= \sum_{r'} \sum_{r''} \left( \sum_\delta \delta_{r',r''-\delta} K_\delta u_{r',A;r'',B} \right) (a_{r'} + a_{r'}^\dagger) (a_{r''} - a_{r''}^\dagger) \\
&= \sum_{i=1}^N \sum_{j=1}^N \left( \sum_\delta \delta_{r_i,r_j-\delta} K_\delta u_{r_i,A;r_j,B} \right) (a_i + a_i^\dagger) (a_j - a_j^\dagger)
\end{aligned} \quad (61)$$

令

$$t_{ij} = \sum_{\delta \in \{0, a_1, a_2\}} \delta_{r_i,r_j-\delta} K_\delta u_{r_i,A;r_j,B}, \quad (62)$$

则

$$\begin{aligned}
\widetilde{H} &= \sum_{i=1}^N \sum_{j=1}^N \left( \sum_\delta \delta_{r_i,r_j-\delta} K_\delta u_{r_i,A;r_j,B} \right) (a_i + a_i^\dagger) (a_j - a_j^\dagger) \\
&= \sum_{i=1}^N \sum_{j=1}^N t_{ij} (a_i + a_i^\dagger) (a_j - a_j^\dagger) \\
&= \sum_{i=1}^N \sum_{j=1}^N (t_{ij} a_i a_j - t_{ij} a_i^\dagger a_j^\dagger - t_{ij} a_i a_j^\dagger + t_{ij} a_i^\dagger a_j)
\end{aligned} \quad (63)$$

$$\begin{aligned}
\sum_{i=1}^N \sum_{j=1}^N (t_{ij}) a_i a_j &= \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (t_{ij}) a_i a_j + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (t_{ij}) a_i a_j \\
&= \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N -t_{ij} a_j a_i + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (t_{ij}) a_i a_j \\
&= \frac{1}{2} \sum_{j=1}^N \sum_{i=1}^N -t_{ji} a_i a_j + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (t_{ij}) a_i a_j \\
&= \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (t_{ij} - t_{ji}) a_i a_j
\end{aligned} \quad (64)$$

$$\begin{aligned}
\sum_{i=1}^N \sum_{j=1}^N -t_{ij} a_i^\dagger a_j^\dagger &= \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N -t_{ij} a_i^\dagger a_j^\dagger + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N -t_{ij} a_i^\dagger a_j^\dagger \\
&= \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N t_{ij} a_j^\dagger a_i^\dagger + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N -t_{ij} a_i^\dagger a_j^\dagger \\
&= \frac{1}{2} \sum_{j=1}^N \sum_{i=1}^N t_{ji} a_i^\dagger a_j^\dagger + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N -t_{ij} a_i^\dagger a_j^\dagger \\
&= \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N -(t_{ij} - t_{ji}) a_i^\dagger a_j^\dagger
\end{aligned} \tag{65}$$

$$\begin{aligned}
\sum_{i,j} \left( -t_{ij} a_i a_j^\dagger + t_{ij} a_i^\dagger a_j \right) &= \frac{1}{2} \sum_{i,j} \left( -t_{ij} a_i a_j^\dagger + t_{ij} a_i^\dagger a_j \right) + \frac{1}{2} \sum_{i,j} \left( -t_{ij} a_i a_j^\dagger + t_{ij} a_i^\dagger a_j \right) \\
&= \frac{1}{2} \sum_{i,j} \left[ -t_{ij} \left( \delta_{ij} - a_j^\dagger a_i \right) + t_{ij} \left( \delta_{ij} - a_j a_i^\dagger \right) \right] + \frac{1}{2} \sum_{i,j} \left( -t_{ij} a_i a_j^\dagger + t_{ij} a_i^\dagger a_j \right) \\
&= \frac{1}{2} \sum_{i,j} \left( t_{ij} a_j^\dagger a_i - t_{ij} a_j a_i^\dagger \right) + \frac{1}{2} \sum_{i,j} \left( -t_{ij} a_i a_j^\dagger + t_{ij} a_i^\dagger a_j \right) \\
&= \frac{1}{2} \sum_{j,i} \left( t_{ji} a_i^\dagger a_j - t_{ji} a_i a_j^\dagger \right) + \frac{1}{2} \sum_{i,j} \left( -t_{ij} a_i a_j^\dagger + t_{ij} a_i^\dagger a_j \right) \\
&= \frac{1}{2} \sum_{i,j} (t_{ij} + t_{ji}) a_i^\dagger a_j + \frac{1}{2} \sum_{i,j} -(t_{ij} + t_{ji}) a_i a_j^\dagger
\end{aligned} \tag{66}$$

--- above revised

于是

$$\begin{aligned}
\widetilde{H} &= \sum_{i=1}^N \sum_{j=1}^N \left( t_{ij} a_i a_j - t_{ij} a_i^\dagger a_j^\dagger - t_{ij} a_i a_j^\dagger + t_{ij} a_i^\dagger a_j \right) \\
&= \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N (t_{ij} - t_{ji}) a_i a_j + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N -(t_{ij} - t_{ji}) a_i^\dagger a_j^\dagger + \frac{1}{2} \sum_{i,j} (t_{ij} + t_{ji}) a_i^\dagger a_j + \frac{1}{2} \sum_{i,j} -(t_{ij} + t_{ji}) a_i a_j^\dagger
\end{aligned} \tag{67}$$

设

$$\begin{aligned}
\widetilde{H} &= \frac{1}{2} \Psi^\dagger \mathbf{h} \Psi \\
&= \frac{1}{2} \begin{bmatrix} a_1^\dagger & \cdots & a_N^\dagger & a_1 & \cdots & a_N \end{bmatrix} \mathbf{h} \begin{bmatrix} a_1 \\ \vdots \\ a_N \\ a_1^\dagger \\ \vdots \\ a_N^\dagger \end{bmatrix} \\
&= \frac{1}{2} \begin{bmatrix} a_1^\dagger & \cdots & a_N^\dagger & a_1 & \cdots & a_N \end{bmatrix} \begin{bmatrix} \mathbf{h}_{11} & \mathbf{h}_{12} \\ \mathbf{h}_{21} & \mathbf{h}_{22} \end{bmatrix} \begin{bmatrix} a_1 \\ \vdots \\ a_N \\ a_1^\dagger \\ \vdots \\ a_N^\dagger \end{bmatrix} \\
&= \frac{1}{2} \sum_{i,j} (\mathbf{h}_{11})_{ij} a_i^\dagger a_j + \frac{1}{2} \sum_{i,j} (\mathbf{h}_{12})_{ij} a_i^\dagger a_j^\dagger + \frac{1}{2} \sum_{i,j} (\mathbf{h}_{21})_{ij} a_i a_j + \frac{1}{2} \sum_{i,j} (\mathbf{h}_{22})_{ij} a_i a_j^\dagger
\end{aligned} \tag{68}$$

一种取法为

$$(\mathbf{h}_{11})_{ij} = t_{ij} + t_{ji} \quad (69)$$

$$(\mathbf{h}_{12})_{ij} = -(t_{ij} - t_{ji}) \quad (70)$$

$$(\mathbf{h}_{21})_{ij} = t_{ij} - t_{ji} \quad (71)$$

$$(\mathbf{h}_{22})_{ij} = -(t_{ij} + t_{ji}) \quad (72)$$

--- above revised

## 磁场微扰

如果考虑

$$H_{\text{eff}}^{(3)} = -\kappa \sum_{\langle j,k,l \rangle} \sigma_j^x \sigma_k^y \sigma_l^z = -\kappa \sum_{\mathbf{r} \in \text{UC}} (\text{around}A + \text{around}B), \quad (73)$$

$$\begin{aligned} \text{around}A &= \sigma_{\mathbf{r},A}^x \sigma_{\mathbf{r}+\mathbf{a}_1,B}^y \sigma_{\mathbf{r}+\mathbf{a}_2,B}^z + \sigma_{\mathbf{r},B}^x \sigma_{\mathbf{r},A}^y \sigma_{\mathbf{r}+\mathbf{a}_2,B}^z + \sigma_{\mathbf{r},B}^x \sigma_{\mathbf{r}+\mathbf{a}_1,B}^y \sigma_{\mathbf{r},A}^z \\ &= -i u_{\mathbf{r}+\mathbf{a}_1,B;\mathbf{r},A} u_{\mathbf{r}+\mathbf{a}_2,B;\mathbf{r},A} c_{\mathbf{r}+\mathbf{a}_1,B} c_{\mathbf{r}+\mathbf{a}_2,B} \\ &\quad - i u_{\mathbf{r}+\mathbf{a}_2,B;\mathbf{r},A} u_{\mathbf{r},B;\mathbf{r},A} c_{\mathbf{r}+\mathbf{a}_2,B} c_{\mathbf{r},B} \\ &\quad - i u_{\mathbf{r},B;\mathbf{r},A} u_{\mathbf{r}+\mathbf{a}_1,B;\mathbf{r},A} c_{\mathbf{r},B} c_{\mathbf{r}+\mathbf{a}_1,B} \\ &= -i \sum_{\substack{(\delta_1,\delta_2) \in \\ \{(\mathbf{a}_1,\mathbf{a}_2),(\mathbf{a}_2,\mathbf{0}),(\mathbf{0},\mathbf{a}_1)\}}} u_{\mathbf{r}+\delta_1,B;\mathbf{r},A} u_{\mathbf{r}+\delta_2,B;\mathbf{r},A} c_{\mathbf{r}+\delta_1,B} c_{\mathbf{r}+\delta_2,B} \end{aligned} \quad (74)$$

$$\begin{aligned} \text{around}B &= \sigma_{\mathbf{r},B}^x \sigma_{\mathbf{r}-\mathbf{a}_1,A}^y \sigma_{\mathbf{r}-\mathbf{a}_2,A}^z + \sigma_{\mathbf{r},A}^x \sigma_{\mathbf{r},B}^y \sigma_{\mathbf{r}-\mathbf{a}_2,A}^z + \sigma_{\mathbf{r},A}^x \sigma_{\mathbf{r}-\mathbf{a}_1,A}^y \sigma_{\mathbf{r},B}^z \\ &= \text{around}A (\mathbf{a}_1 \leftrightarrow -\mathbf{a}_1, \mathbf{a}_2 \leftrightarrow -\mathbf{a}_2, A \leftrightarrow B) \\ &= -i \sum_{\substack{(\delta'_1,\delta'_2) \in \\ \{(-\mathbf{a}_1,-\mathbf{a}_2),(-\mathbf{a}_2,\mathbf{0}),(\mathbf{0},-\mathbf{a}_1)\}}} u_{\mathbf{r}+\delta'_1,A;\mathbf{r},B} u_{\mathbf{r}+\delta'_2,A;\mathbf{r},B} c_{\mathbf{r}+\delta'_1,A} c_{\mathbf{r}+\delta'_2,A} \end{aligned} \quad (75)$$

$$\begin{aligned} -\kappa \sum_{\mathbf{r}} \text{around}A &= i\kappa \sum_{\mathbf{r}} \sum_{(\delta_1,\delta_2)} u_{\mathbf{r}+\delta_1,B;\mathbf{r},A} u_{\mathbf{r}+\delta_2,B;\mathbf{r},A} c_{\mathbf{r}+\delta_1,B} c_{\mathbf{r}+\delta_2,B} \\ &= i\kappa \sum_{(\delta_1,\delta_2)} \sum_{\mathbf{r}'} \sum_{\mathbf{r}''} \sum_{\mathbf{r}} \delta_{\mathbf{r}',\mathbf{r}+\delta_1} \delta_{\mathbf{r}'',\mathbf{r}+\delta_2} u_{\mathbf{r}',B;\mathbf{r},A} u_{\mathbf{r}'',B;\mathbf{r},A} c_{\mathbf{r}',B} c_{\mathbf{r}'',B} \\ &= i\kappa \sum_{(\delta_1,\delta_2)} \sum_{\mathbf{r}'} \sum_{\mathbf{r}''} \delta_{\mathbf{r}'-\delta_1,\mathbf{r}''-\delta_2} u_{\mathbf{r}',B;\mathbf{r}'-\delta_1,A} u_{\mathbf{r}'',B;\mathbf{r}''-\delta_2,A} c_{\mathbf{r}',B} c_{\mathbf{r}'',B} \\ &= i\kappa \sum_{\mathbf{r}'} \sum_{\mathbf{r}''} \left( \sum_{(\delta_1,\delta_2)} \delta_{\mathbf{r}'-\delta_1,\mathbf{r}''-\delta_2} u_{\mathbf{r}',B;\mathbf{r}'-\delta_1,A} u_{\mathbf{r}'',B;\mathbf{r}''-\delta_2,A} \right) c_{\mathbf{r}',B} c_{\mathbf{r}'',B} \\ &= i\kappa \sum_{i=1}^N \sum_{j=1}^N \left( \sum_{(\delta_1,\delta_2)} \delta_{\mathbf{r}_i-\delta_1,\mathbf{r}_j-\delta_2} u_{\mathbf{r}_i,B;\mathbf{r}_i-\delta_1,A} u_{\mathbf{r}_j,B;\mathbf{r}_j-\delta_2,A} \right) c_{i,B} c_{j,B} \end{aligned} \quad (76)$$

令

$$t_{ij}^{(+)} = \sum_{(\delta_1,\delta_2)} \delta_{\mathbf{r}_i-\delta_1,\mathbf{r}_j-\delta_2} u_{\mathbf{r}_i,B;\mathbf{r}_i-\delta_1,A} u_{\mathbf{r}_j,B;\mathbf{r}_j-\delta_2,A} \quad (77)$$

$$c_{\mathbf{r},A} = a_{\mathbf{r}} + a_{\mathbf{r}}^{\dagger}, \quad c_{\mathbf{r},B} = \frac{1}{i} (a_{\mathbf{r}} - a_{\mathbf{r}}^{\dagger}), \quad (78)$$

则



$$\begin{aligned}
-\kappa \sum_{\mathbf{r}} \text{around} A &= \mathrm{i}\kappa \sum_{i=1}^N \sum_{j=1}^N \left( \sum_{(\delta_1, \delta_2)} \delta_{\mathbf{r}_i - \delta_1, \mathbf{r}_j - \delta_2} \mathbf{u}_{\mathbf{r}_i, B; \mathbf{r}_i - \delta_1, A} \mathbf{u}_{\mathbf{r}_j, B; \mathbf{r}_j - \delta_2, A} \right) c_{i, B} c_{j, B} \\
&= \mathrm{i}\kappa \sum_{i=1}^N \sum_{j=1}^N t_{ij}^{(+)} c_{i, B} c_{j, B} \\
&= -\mathrm{i}\kappa \sum_{i=1}^N \sum_{j=1}^N t_{ij}^{(+)} \left( a_i - a_i^\dagger \right) \left( a_j - a_j^\dagger \right) \\
&= -\mathrm{i}\kappa \sum_{i=1}^N \sum_{j=1}^N t_{ij}^{(+)} \left( a_i a_j + a_i^\dagger a_j^\dagger - a_i a_j^\dagger - a_i^\dagger a_j \right) \\
&= \mathrm{i}\kappa \sum_{i=1}^N \sum_{j=1}^N -\frac{\left( t_{ij}^{(+)} - t_{ji}^{(+)} \right)}{2} \left( a_i a_j + a_i^\dagger a_j^\dagger \right) + \mathrm{i}\kappa \sum_{i=1}^N \sum_{j=1}^N -\left( t_{ji}^{(+)} - t_{ij}^{(+)} \right) a_i^\dagger a_j + \mathrm{i}\kappa \sum_{i=1}^N t_{ii}^{(+)}
\end{aligned} \tag{79}$$

令

$$t_{ij}^{(-)} = \sum_{(\delta'_1, \delta'_2)} \delta_{\mathbf{r}_i - \delta'_1, \mathbf{r}_j - \delta'_2} \mathbf{u}_{\mathbf{r}_i, A; \mathbf{r}_i - \delta'_1, B} \mathbf{u}_{\mathbf{r}_j, A; \mathbf{r}_j - \delta'_2, B} \tag{80}$$

则

$$\begin{aligned}
-\kappa \sum_{\mathbf{r}} \text{around} B &= \mathrm{i}\kappa \sum_{i=1}^N \sum_{j=1}^N t_{ij}^{(-)} c_{i, A} c_{j, A} \\
&= \mathrm{i}\kappa \sum_{i=1}^N \sum_{j=1}^N t_{ij}^{(-)} \left( a_i + a_i^\dagger \right) \left( a_j + a_j^\dagger \right) \\
&= \mathrm{i}\kappa \sum_{i=1}^N \sum_{j=1}^N t_{ij}^{(-)} \left( a_i a_j + a_i^\dagger a_j^\dagger + a_i a_j^\dagger + a_i^\dagger a_j \right) \\
&= \mathrm{i}\kappa \sum_{i=1}^N \sum_{j=1}^N \frac{\left( t_{ij}^{(-)} - t_{ji}^{(-)} \right)}{2} \left( a_i a_j + a_i^\dagger a_j^\dagger \right) - \mathrm{i}\kappa \sum_{i=1}^N \sum_{j=1}^N \left( t_{ji}^{(-)} - t_{ij}^{(-)} \right) a_i^\dagger a_j + \mathrm{i}\kappa \sum_{i=1}^N t_{ii}^{(-)}
\end{aligned} \tag{81}$$

于是微扰哈密顿量为

$$\begin{aligned}
\widetilde{H}_\kappa &= \frac{1}{2} \sum_{i, j} \mathrm{i}\kappa \left[ \left( t_{ij}^- - t_{ij}^+ \right) - \left( t_{ji}^- - t_{ji}^+ \right) \right] a_i a_j \\
&\quad + \frac{1}{2} \sum_{i, j} \mathrm{i}\kappa \left[ \left( t_{ij}^- - t_{ij}^+ \right) - \left( t_{ji}^- - t_{ji}^+ \right) \right] a_i^\dagger a_j^\dagger \\
&\quad + \frac{1}{2} \sum_{i, j} \mathrm{i}\kappa \left[ \left( t_{ij}^+ + t_{ij}^- \right) - \left( t_{ji}^+ + t_{ji}^- \right) \right] a_i a_j^\dagger \\
&\quad + \frac{1}{2} \sum_{i, j} \mathrm{i}\kappa \left[ \left( t_{ij}^+ + t_{ij}^- \right) - \left( t_{ji}^+ + t_{ji}^- \right) \right] a_i^\dagger a_j
\end{aligned} \tag{82}$$

--- above revised

设

$$\begin{aligned}
\widetilde{H}_{\text{eff}}^{(3)} &= \frac{1}{2} \begin{bmatrix} a_1^\dagger & \cdots & a_N^\dagger & a_1 & \cdots & a_N \end{bmatrix} \begin{bmatrix} \mathbf{h}'_{11} & \mathbf{h}'_{12} \\ \mathbf{h}'_{21} & \mathbf{h}'_{22} \end{bmatrix} \begin{bmatrix} a_1 \\ \vdots \\ a_N \\ a_1^\dagger \\ \vdots \\ a_N^\dagger \end{bmatrix} \\
&= \frac{1}{2} \sum_{i,j} (\mathbf{h}'_{11})_{ij} a_i^\dagger a_j + \frac{1}{2} \sum_{i,j} (\mathbf{h}'_{12})_{ij} a_i^\dagger a_j^\dagger + \frac{1}{2} \sum_{i,j} (\mathbf{h}'_{21})_{ij} a_i a_j + \frac{1}{2} \sum_{i,j} (\mathbf{h}'_{22})_{ij} a_i a_j^\dagger
\end{aligned} \tag{83}$$

一种取法为

$$(\mathbf{h}'_{11})_{ij} = \mathbf{i}\kappa \left[ (t_{ij}^+ + t_{ij}^-) - (t_{ji}^+ + t_{ji}^-) \right] \tag{84}$$

$$(\mathbf{h}'_{12})_{ij} = \mathbf{i}\kappa \left[ (t_{ij}^- - t_{ij}^+) - (t_{ji}^- - t_{ji}^+) \right] \tag{85}$$

$$(\mathbf{h}'_{21})_{ij} = \mathbf{i}\kappa \left[ (t_{ij}^- - t_{ij}^+) - (t_{ji}^- - t_{ji}^+) \right] \tag{86}$$

$$(\mathbf{h}'_{22})_{ij} = \mathbf{i}\kappa \left[ (t_{ij}^+ + t_{ij}^-) - (t_{ji}^+ + t_{ji}^-) \right] \tag{87}$$

若令

$$\Xi' = \mathbf{h}'_{11}, \quad \Delta' = \mathbf{h}'_{12} \tag{88}$$

$$\Xi'^\dagger = \Xi, \quad \Delta'^T = -\Delta'^T \tag{89}$$

则

$$\mathbf{h}'_{22} = -\Xi'^T = \Xi' \tag{90}$$

$$\mathbf{h}'_{21} = \Delta'^\dagger = \Delta' \tag{91}$$

则

$$\mathbf{h}' = \begin{bmatrix} \mathbf{h}'_{11} & \mathbf{h}'_{12} \\ \mathbf{h}'_{21} & \mathbf{h}'_{22} \end{bmatrix} = \begin{bmatrix} \Xi' & \Delta' \\ \Delta' & \Xi' \end{bmatrix} \tag{92}$$

--- above revises

## 计算基态宇称

已知

$$\widetilde{H} = \frac{1}{2} \begin{bmatrix} a_1^\dagger & \cdots & a_N^\dagger & a_1 & \cdots & a_N \end{bmatrix} \begin{bmatrix} \Xi & \Delta \\ \Delta^\dagger & -\Xi^T \end{bmatrix} \begin{bmatrix} a_1 \\ \vdots \\ a_N \\ a_1^\dagger \\ \vdots \\ a_N^\dagger \end{bmatrix} \tag{93}$$

其中

$$a_i \equiv \frac{1}{2} (c_{i,A} + \mathbf{i}c_{i,B}), \quad a_i^\dagger \equiv \frac{1}{2} (c_{i,A} - \mathbf{i}c_{i,B}), \tag{94}$$

$$c_{i,A} = a_i + a_i^\dagger, \quad c_{i,B} = \frac{1}{\mathbf{i}} (a_i - a_i^\dagger), \tag{95}$$

设  $\widetilde{H}$  可以写为

$$\widetilde{H} = \frac{\mathrm{i}}{2} \begin{bmatrix} c_{1,A} & c_{1,B} & \cdots & c_{N,A} & c_{N,B} \end{bmatrix} A \begin{bmatrix} c_{1,A} \\ c_{1,B} \\ \vdots \\ c_{N,A} \\ c_{N,B} \end{bmatrix} \quad (96)$$

则反对称的  $A$  怎么写?

设

$$\begin{bmatrix} a_1 \\ \vdots \\ a_N \\ a_1^\dagger \\ \vdots \\ a_N^\dagger \end{bmatrix} = P \begin{bmatrix} a_1 \\ a_1^\dagger \\ \vdots \\ a_N \\ a_N^\dagger \end{bmatrix} \quad (97)$$

则上面的矩阵方程可化为

$$\begin{cases} a_i = \sum_{j=1}^N \left( P_{i,2j-1} a_j + P_{i,2j} a_j^\dagger \right) \\ a_i^\dagger = \sum_{j=1}^N \left( P_{i+N,2j-1} a_j + P_{i+N,2j} a_j^\dagger \right) \end{cases}, i = 1, 2, \cdots, N \quad (98)$$

因此,  $P$  的非零矩阵元为:

$$P_{i,2i-1} = 1, \quad P_{i+N,2i} = 1, \quad i = 1, 2, \cdots, N \quad (99)$$

再设

$$\begin{bmatrix} a_1 \\ a_1^\dagger \\ \vdots \\ a_N \\ a_N^\dagger \end{bmatrix} = P' \begin{bmatrix} c_{1,A} \\ c_{1,B} \\ \vdots \\ c_{N,A} \\ c_{N,B} \end{bmatrix} \quad (100)$$

注意到

$$\begin{bmatrix} a_i \\ a_i^\dagger \end{bmatrix} = \begin{bmatrix} \frac{1}{2} (c_{i,A} + \mathrm{i} c_{i,B}) \\ \frac{1}{2} (c_{i,A} - \mathrm{i} c_{i,B}) \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 1 & \mathrm{i} \\ 1 & -\mathrm{i} \end{bmatrix} \begin{bmatrix} c_{i,A} \\ c_{i,B} \end{bmatrix} \quad (101)$$

因此

$$P' = \bigoplus_{i=1}^N \frac{1}{2} \begin{bmatrix} 1 & \mathrm{i} \\ 1 & -\mathrm{i} \end{bmatrix} \quad (102)$$

总的来说

$$\begin{aligned}
\widetilde{H} &= \frac{1}{2} \begin{bmatrix} a_1^\dagger & \cdots & a_N^\dagger & a_1 & \cdots & a_N \end{bmatrix} \begin{bmatrix} \Xi & \Delta \\ \Delta^\dagger & -\Xi^T \end{bmatrix} \begin{bmatrix} a_1 \\ \vdots \\ a_N \\ a_1^\dagger \\ \vdots \\ a_N^\dagger \end{bmatrix} \\
&= \frac{1}{2} \begin{bmatrix} c_{1,A} & c_{1,B} & \cdots & c_{N,A} & c_{N,B} \end{bmatrix} (PP')^\dagger h (PP') \begin{bmatrix} c_{1,A} \\ c_{1,B} \\ \vdots \\ c_{N,A} \\ c_{N,B} \end{bmatrix} \\
&= \frac{i}{2} \begin{bmatrix} c_{1,A} & c_{1,B} & \cdots & c_{N,A} & c_{N,B} \end{bmatrix} \left[ -i (PP')^\dagger h (PP') \right] \begin{bmatrix} c_{1,A} \\ c_{1,B} \\ \vdots \\ c_{N,A} \\ c_{N,B} \end{bmatrix}
\end{aligned} \tag{103}$$

令

$$A' = -i (PP')^\dagger h (PP'), \tag{104}$$

其中

$$h = \begin{bmatrix} \Xi & \Delta \\ \Delta^\dagger & -\Xi^T \end{bmatrix} \tag{105}$$

$$P_{i,2i-1} = 1, \quad P_{i+N,2i} = 1, \quad i = 1, 2, \dots, N, \quad P \text{ 的其余矩阵元为 } 0 \tag{106}$$

$$P' = \bigoplus_{i=1}^N \frac{1}{2} \begin{bmatrix} 1 & i \\ 1 & -i \end{bmatrix} = I_N \otimes \frac{1}{2} \begin{bmatrix} 1 & i \\ 1 & -i \end{bmatrix} \tag{107}$$

由 c-Majorana 反对易关系

$$i \neq j, \quad c_i c_j = -c_j c_i \tag{108}$$

可知

$$\begin{aligned}
\widetilde{H} &= \frac{i}{2} \mathbf{c}^\dagger A' \mathbf{c} = \frac{i}{2} \sum_{i,j,i \neq j} A'_{ij} c_i c_j = \frac{i}{2} \sum_{i,j,i \neq j} -A'_{ij} c_j c_i = \frac{i}{2} \sum_{j,i,j \neq i} -A'_{ji} c_i c_j \\
&= \frac{i}{2} \mathbf{c}^\dagger (-A'^T) \mathbf{c}
\end{aligned} \tag{109}$$

于是

$$\widetilde{H} = \frac{i}{2} \mathbf{c}^\dagger A' \mathbf{c} = \frac{i}{2} \mathbf{c}^\dagger \left[ \frac{1}{2} (A' - A'^T) \right] \mathbf{c} \tag{110}$$

于是最终有

$$A = \frac{1}{2} (A' - A'^T) \tag{111}$$

$$\begin{aligned}
\widetilde{H} &= \frac{i}{2} \begin{bmatrix} \cdots & c_{i,A} & c_{i,B} & \cdots \end{bmatrix} M \begin{bmatrix} \vdots \\ c_{i,A} \\ c_{i,B} \\ \vdots \end{bmatrix} \\
&= \frac{i}{2} \sum_{i=1}^N \sum_{j=1}^N (M_{2i-1,2j-1} c_{i,A} c_{j,A} + M_{2i-1,2j} c_{i,A} c_{j,B} + M_{2i,2j-1} c_{i,B} c_{j,A} + M_{2i,2j} c_{i,B} c_{j,B})
\end{aligned} \tag{112}$$

对无磁场哈密顿量,

$$\begin{aligned}
\widetilde{H}_0 &= \frac{i}{2} \sum_{i=1}^N \sum_{j=1}^N (2t_{ij}) c_{i,A} c_{j,B} \\
&= \frac{i}{2} \sum_{i=1}^N \sum_{j=1}^N [(t_{ij}) c_{i,A} c_{j,B} - t_{ji} c_{i,B} c_{j,A}]
\end{aligned} \tag{113}$$

一种取法为

$$(M_0)_{2i-1,2j-1} = 0 \tag{114}$$

$$(M_0)_{2i-1,2j} = t_{ij} \tag{115}$$

$$(M_0)_{2i,2j-1} = -t_{ji} \tag{116}$$

$$(M_0)_{2i,2j} = 0 \tag{117}$$

对  $\kappa$  项,

$$\widetilde{H}_\kappa = \frac{i}{2} \sum_{i=1}^N \sum_{j=1}^N [\kappa (t_{ij}^+ - t_{ji}^+) c_{i,B} c_{j,B} + \kappa (t_{ij}^- - t_{ji}^-) c_{i,A} c_{j,A}] \tag{118}$$

$M_\kappa$  的一种取法为

$$(M_\kappa)_{2i-1,2j-1} = \kappa (t_{ij}^- - t_{ji}^-) \tag{119}$$

$$(M_\kappa)_{2i-1,2j} = 0 \tag{120}$$

$$(M_\kappa)_{2i,2j-1} = 0 \tag{121}$$

$$(M_\kappa)_{2i,2j} = \kappa (t_{ij}^+ - t_{ji}^+) \tag{122}$$

总哈密顿量为

$$\widetilde{H} = \widetilde{H}_0 + \widetilde{H}_\kappa = \frac{i}{2} \begin{bmatrix} \cdots & c_{i,A} & c_{i,B} & \cdots \end{bmatrix} M \begin{bmatrix} \vdots \\ c_{i,A} \\ c_{i,B} \\ \vdots \end{bmatrix} \tag{123}$$

$$M = M_0 + M_\kappa \tag{124}$$

## $h_{\text{BdG}}$ 的粒子-空穴对称性

设

$$h = \begin{bmatrix} \Xi & \Delta \\ \Delta^\dagger & -\Xi^T \end{bmatrix}, \quad (125)$$

其中

$$\Xi^\dagger = \Xi, \quad \Delta^T = -\Delta. \quad (126)$$

上面两式同取复共轭

$$\Xi^T = \Xi^*, \quad \Delta^\dagger = -\Delta^*. \quad (127)$$

设  $E, (u, v)^T$  是  $h$  的一组本征解, 可以证明  $-E, (v^*, u^*)^T$  也是  $h$  的一组本征解。

$$\begin{bmatrix} \Xi & \Delta \\ \Delta^\dagger & -\Xi^T \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} \Xi u + \Delta v \\ \Delta^\dagger u - \Xi^T v \end{bmatrix} = E \begin{bmatrix} u \\ v \end{bmatrix} \quad (128)$$

$$\Xi u + \Delta v = Eu, \quad \Delta^\dagger u - \Xi^T v = Ev \quad (129)$$

两边取复共轭

$$\Xi^* u^* + \Delta^* v^* = Eu^*, \quad \Delta^T u^* - \Xi^\dagger v^* = Ev^* \quad (130)$$

也即

$$\Xi^T u^* - \Delta^\dagger v^* = Eu^*, \quad -\Delta u^* - \Xi v^* = Ev^* \quad (131)$$

于是

$$\begin{bmatrix} \Xi & \Delta \\ \Delta^\dagger & -\Xi^T \end{bmatrix} \begin{bmatrix} v^* \\ u^* \end{bmatrix} = \begin{bmatrix} \Xi v^* + \Delta u^* \\ \Delta^\dagger v^* - \Xi^T u^* \end{bmatrix} = \begin{bmatrix} -Ev^* \\ -Eu^* \end{bmatrix} = -E \begin{bmatrix} v^* \\ u^* \end{bmatrix} \quad (132)$$

## Bogoliubov变换与基态能量

$$\begin{aligned} \widetilde{H} &= \frac{1}{2} \begin{bmatrix} a^\dagger & a^T \end{bmatrix} \mathbf{h} \begin{bmatrix} a \\ (a^\dagger)^T \end{bmatrix} \\ &= \frac{1}{2} \begin{bmatrix} a^\dagger & a^T \end{bmatrix} \mathbf{U} \mathbf{D} \mathbf{U}^\dagger \begin{bmatrix} a \\ (a^\dagger)^T \end{bmatrix} \end{aligned} \quad (133)$$

$$\mathbf{D} = \text{diag}(E_1, \dots, E_N, -E_1, \dots, -E_N) \quad (134)$$

$$\mathbf{U} = \begin{pmatrix} \mathbf{w} & \mathbf{v}^* \\ \mathbf{v} & \mathbf{w}^* \end{pmatrix} \quad (135)$$

$$\mathbf{w} = (\mathbf{w}_1 \quad \dots \quad \mathbf{w}_N) \quad (136)$$

$$\mathbf{v} = (\mathbf{v}_1 \quad \dots \quad \mathbf{v}_N) \quad (137)$$

$$\boldsymbol{\alpha} = \mathbf{w}^\dagger \mathbf{a} + \mathbf{v}^\dagger (\mathbf{a}^\dagger)^T \quad (138)$$

$$\begin{aligned} \alpha_i &= (\mathbf{w}_i)^\dagger \mathbf{a} + (\mathbf{v}_i)^\dagger (\mathbf{a}^\dagger)^T = \sum_{j=1}^N \left[ (\mathbf{w}_i)_j^* a_j + (\mathbf{v}_i)_j^* a_j^\dagger \right] \\ &= \sum_{j=1}^N \left( w_{ji}^* a_j + v_{ji}^* a_j^\dagger \right) \end{aligned} \quad (139)$$

$$\alpha_i^\dagger = \sum_{j=1}^N \left( v_{ji} a_j + w_{ij} a_j^\dagger \right) \quad (140)$$

$$\begin{aligned}
\widetilde{H} &= \frac{1}{2} \begin{pmatrix} \boldsymbol{\alpha}^\dagger & \boldsymbol{\alpha}^\mathrm{T} \end{pmatrix} \mathbf{D} \begin{pmatrix} \boldsymbol{\alpha} \\ (\boldsymbol{\alpha}^\dagger)^\mathrm{T} \end{pmatrix} \\
&= \frac{1}{2} \sum_{i=1}^N E_i \alpha_i^\dagger \alpha_i + \frac{1}{2} \sum_{i=1}^N (-E_i) \alpha_i \alpha_i^\dagger \\
&= \sum_{i=1}^N E_i \alpha_i^\dagger \alpha_i - \frac{1}{2} \sum_{i=1}^N E_i
\end{aligned} \tag{141}$$

基态能量为

$$E_{\mathrm{GS}} = -\frac{1}{2} \sum_{i=1}^N E_i \tag{142}$$

## 基态波函数

基态  $|\Omega\rangle$  是  $\alpha$  准粒子的真空态, 满足:

$$\alpha_i |\Omega\rangle = 0 \tag{143}$$

也即

$$\sum_{j=1}^N \left( w_{ji}^* a_j + v_{ji}^* a_j^\dagger \right) |\Omega\rangle = 0 \tag{144}$$

## 基态形式

$$|\Omega\rangle = \mathcal{N} \exp \left( \frac{1}{2} \sum_{i,j} f_{i,j} a_i^\dagger a_j^\dagger \right) |0_a\rangle \tag{145}$$

其中  $|0_a\rangle$  是  $a$  费米子真空态,  $f_{i,j} = -f_{j,i}$

由于

$$\left[ a_i^\dagger a_j^\dagger, a_k^\dagger a_l^\dagger \right] = 0 \tag{146}$$

而

$$[A, B] = 0 \implies \exp(A + B) = \exp(A) \exp(B) \tag{147}$$

于是

$$\begin{aligned}
|\Omega\rangle &= \mathcal{N} \exp \left( \frac{1}{2} \sum_{i,j} f_{i,j} a_i^\dagger a_j^\dagger \right) |0_a\rangle \\
&= \mathcal{N} \prod_{i,j} \exp \left( \frac{1}{2} f_{i,j} a_i^\dagger a_j^\dagger \right) |0_a\rangle
\end{aligned} \tag{148}$$

注意到

$$\begin{aligned}
\exp \left( \frac{1}{2} f_{i,j} a_i^\dagger a_j^\dagger \right) |0_a\rangle &= \sum_{k=0}^{\infty} \frac{1}{k!} \left( \frac{1}{2} f_{i,j} a_i^\dagger a_j^\dagger \right)^k |0_a\rangle \\
&= \left( 1 + \frac{1}{1!} \cdot \frac{1}{2} f_{i,j} a_i^\dagger a_j^\dagger + \frac{1}{2!} \cdot \frac{1}{2^2} a_i^\dagger a_j^\dagger a_i^\dagger a_j^\dagger + \cdots \right) |0_a\rangle \\
&= \left( 1 + \frac{1}{2} f_{i,j} a_i^\dagger a_j^\dagger \right) |0_a\rangle
\end{aligned} \tag{149}$$

于是

$$\begin{aligned}
 |\Omega\rangle &= \mathcal{N} \prod_{i,j} \exp\left(\frac{1}{2} f_{i,j} a_i^\dagger a_j^\dagger\right) |0_a\rangle \\
 &= \mathcal{N} \prod_{i,j} \left(1 + \frac{1}{2} f_{i,j} a_i^\dagger a_j^\dagger\right) |0_a\rangle
 \end{aligned} \tag{150}$$

求基态波函数  $f_{i,j}$

$$\begin{aligned}
 \alpha_i &= \sum_{j=1}^N \left(w_{ji}^* a_j + v_{ji}^* a_j^\dagger\right) \\
 |\Omega\rangle &= \mathcal{N} \prod_{l,m} \left(1 + \frac{1}{2} f_{l,m} a_l^\dagger a_m^\dagger\right) |0_a\rangle
 \end{aligned} \tag{151}$$

基态由

$$\alpha_i |\Omega\rangle = 0 \tag{152}$$

确定。

利用

$$AB = [A, B] + BA \tag{153}$$

$$\left[A, \prod_i B_i\right] = \sum_k \left(\prod_{j < k} B_j\right) [A, B_k] \left(\prod_{j > k} B_j\right) \tag{154}$$

$$\left[1 + \frac{1}{2} f_{i,j} a_i^\dagger a_j^\dagger, 1 + \frac{1}{2} f_{l,m} a_l^\dagger a_m^\dagger\right] = 0 \tag{155}$$

$$\begin{aligned}
 \left[a_j, 1 + \frac{1}{2} f_{l',m'} a_{l'}^\dagger a_{m'}^\dagger\right] &= \frac{1}{2} f_{l',m'} [a_j, a_{l'}^\dagger a_{m'}^\dagger] \\
 &= \frac{1}{2} f_{l',m'} \left([a_j, a_{l'}^\dagger] a_{m'}^\dagger + a_{l'}^\dagger [a_j, a_{m'}^\dagger]\right) \\
 &= \frac{1}{2} f_{l',m'} \left[\left(\{a_j, a_{l'}^\dagger\} - 2a_{l'}^\dagger a_j\right) a_{m'}^\dagger + a_{l'}^\dagger \left(\{a_j, a_{m'}^\dagger\} - 2a_{m'}^\dagger a_j\right)\right] \\
 &= \frac{1}{2} f_{l',m'} \left[(\delta_{j,l'} - 2a_{l'}^\dagger a_j) a_{m'}^\dagger + a_{l'}^\dagger (\delta_{j,m'} - 2a_{m'}^\dagger a_j)\right] \\
 &= \frac{1}{2} f_{l',m'} \left(\delta_{j,l'} a_{m'}^\dagger + \delta_{j,m'} a_{l'}^\dagger - 2a_{l'}^\dagger a_j a_{m'}^\dagger - 2a_{l'}^\dagger a_{m'}^\dagger a_j\right) \\
 &= \frac{1}{2} f_{l',m'} \left[\delta_{j,l'} a_{m'}^\dagger + \delta_{j,m'} a_{l'}^\dagger - 2a_{l'}^\dagger (\delta_{j,m'} - a_{m'}^\dagger a_j) - 2a_{l'}^\dagger a_{m'}^\dagger a_j\right] \\
 &= \frac{1}{2} f_{l',m'} \left(\delta_{j,l'} a_{m'}^\dagger - \delta_{j,m'} a_{l'}^\dagger\right)
 \end{aligned} \tag{156}$$

$$\left[a_{m'}^\dagger, 1 + \frac{1}{2} f_{l,m} a_l^\dagger a_m^\dagger\right] = 0 \tag{157}$$

$$\begin{aligned}
 &\frac{1}{2} f_{l',m'} \left(\delta_{j,l'} a_{m'}^\dagger - \delta_{j,m'} a_{l'}^\dagger\right) \cdot \left(1 + \frac{1}{2} f_{l',m'} a_{l'}^\dagger a_{m'}^\dagger\right) \\
 &= \frac{1}{2} f_{l',m'} \left(\delta_{j,l'} a_{m'}^\dagger - \delta_{j,m'} a_{l'}^\dagger\right) + \frac{1}{4} f_{l',m'}^2 \left(\delta_{j,l'} a_{m'}^\dagger - \delta_{j,m'} a_{l'}^\dagger\right) a_{l'}^\dagger a_{m'}^\dagger \\
 &= \frac{1}{2} f_{l',m'} \left(\delta_{j,l'} a_{m'}^\dagger - \delta_{j,m'} a_{l'}^\dagger\right)
 \end{aligned} \tag{158}$$

有



$$\begin{aligned}
a_j \prod_{l,m} \left( 1 + \frac{1}{2} f_{l,m} a_l^\dagger a_m^\dagger \right) |0_a\rangle &= \left[ a_j, \prod_{l,m} \left( 1 + \frac{1}{2} f_{l,m} a_l^\dagger a_m^\dagger \right) \right] |0_a\rangle + \prod_{l,m} \left( 1 + \frac{1}{2} f_{l,m} a_l^\dagger a_m^\dagger \right) a_j |0_a\rangle \\
&= \left[ a_j, \prod_{l,m} \left( 1 + \frac{1}{2} f_{l,m} a_l^\dagger a_m^\dagger \right) \right] |0_a\rangle \\
&= \sum_{l',m'} \left[ a_j, 1 + \frac{1}{2} f_{l',m'} a_{l'}^\dagger a_{m'}^\dagger \right] \prod_{(l,m) \setminus (l',m')} \left( 1 + \frac{1}{2} f_{l,m} a_l^\dagger a_m^\dagger \right) a_j |0_a\rangle \\
&= \sum_{l',m'} \frac{1}{2} f_{l',m'} \left( \delta_{j,l'} a_{m'}^\dagger - \delta_{j,m'} a_{l'}^\dagger \right) \prod_{(l,m) \setminus (l',m')} \left( 1 + \frac{1}{2} f_{l,m} a_l^\dagger a_m^\dagger \right) a_j |0_a\rangle \\
&= \sum_{l',m'} \frac{1}{2} f_{l',m'} \left( \delta_{j,l'} a_{m'}^\dagger - \delta_{j,m'} a_{l'}^\dagger \right) \cdot \left( 1 + \frac{1}{2} f_{l',m'} a_{l'}^\dagger a_{m'}^\dagger \right) \prod_{(l,m) \setminus (l',m')} \left( 1 + \frac{1}{2} f_{l,m} a_l^\dagger a_m^\dagger \right) a_j |0_a\rangle \\
&= \sum_{l',m'} \frac{1}{2} f_{l',m'} \left( \delta_{j,l'} a_{m'}^\dagger - \delta_{j,m'} a_{l'}^\dagger \right) \prod_{l,m} \left( 1 + \frac{1}{2} f_{l,m} a_l^\dagger a_m^\dagger \right) a_j |0_a\rangle \\
&= \left[ \sum_{m'} \frac{1}{2} f_{j,m'} a_{m'}^\dagger - \sum_{l'} \frac{1}{2} f_{l',j} a_{l'}^\dagger \right] \prod_{l,m} \left( 1 + \frac{1}{2} f_{l,m} a_l^\dagger a_m^\dagger \right) a_j |0_a\rangle \\
&= \left[ \sum_{m'} \frac{1}{2} f_{j,m'} a_{m'}^\dagger + \sum_{l'} \frac{1}{2} f_{j,l'} a_{l'}^\dagger \right] \prod_{l,m} \left( 1 + \frac{1}{2} f_{l,m} a_l^\dagger a_m^\dagger \right) a_j |0_a\rangle \\
&= \sum_k f_{j,k} a_k^\dagger \prod_{l,m} \left( 1 + \frac{1}{2} f_{l,m} a_l^\dagger a_m^\dagger \right) a_j |0_a\rangle
\end{aligned}$$

于是  $a_j$  对  $|\Omega\rangle$  的作用为

$$\begin{aligned}
a_j |\Omega\rangle &= \mathcal{N} a_j \prod_{l,m} \left( 1 + \frac{1}{2} f_{l,m} a_l^\dagger a_m^\dagger \right) |0_a\rangle \\
&= \mathcal{N} \sum_k f_{j,k} a_k^\dagger \prod_{l,m} \left( 1 + \frac{1}{2} f_{l,m} a_l^\dagger a_m^\dagger \right) a_j |0_a\rangle \\
&= \sum_k f_{j,k} a_k^\dagger |\Omega\rangle
\end{aligned} \tag{160}$$

基态由

$$\alpha_i |\Omega\rangle = 0 \tag{161}$$

确定，也即

$$\sum_{j=1}^N \left( w_{ji}^* a_j + v_{ji}^* a_j^\dagger \right) |\Omega\rangle = 0 \tag{162}$$

把  $a_j |\Omega\rangle$  代入：

$$\begin{aligned}
0 &= \sum_{j=1}^N \left( w_{ji}^* a_j + v_{ji}^* a_j^\dagger \right) |\Omega\rangle \\
&= \sum_{j=1}^N \left( w_{ji}^* \sum_k f_{j,k} a_k^\dagger + v_{ji}^* a_j^\dagger \right) |\Omega\rangle \\
&= \sum_{j=1}^N \left( w_{ji}^* \sum_k f_{j,k} a_k^\dagger + v_{ji}^* \sum_k \delta_{j,k} a_k^\dagger \right) |\Omega\rangle \\
&= \sum_{j=1}^N \left( \sum_k w_{ji}^* f_{j,k} a_k^\dagger + \sum_k v_{ji}^* \delta_{j,k} a_k^\dagger \right) |\Omega\rangle \\
&= \sum_{j=1}^N \sum_k \left( w_{ji}^* f_{j,k} a_k^\dagger + v_{ji}^* \delta_{j,k} a_k^\dagger \right) |\Omega\rangle \\
&= \sum_k \sum_{j=1}^N \left( w_{ji}^* f_{j,k} a_k^\dagger + v_{ji}^* \delta_{j,k} a_k^\dagger \right) |\Omega\rangle \\
&= \sum_k \left[ \sum_{j=1}^N (w_{ji}^* f_{j,k} + v_{ji}^* \delta_{j,k}) \right] a_k^\dagger |\Omega\rangle
\end{aligned} \tag{163}$$

因此有

$$\sum_{j=1}^N (w_{ji}^* f_{j,k} + v_{ji}^* \delta_{j,k}) = 0, \quad \forall i, k \tag{164}$$

利用  $f_{j,k}$  的反对称性有

$$\sum_{j=1}^N (-f_{k,j} w_{ji}^* + \delta_{k,j} v_{ji}^*) = 0, \quad \forall i, k \tag{165}$$

构造  $\mathbf{f}$  矩阵:

$$\mathbf{f} = \begin{pmatrix} f_{1,1} & f_{1,2} & \cdots \\ f_{2,1} & \ddots & \vdots \\ \vdots & \cdots & \ddots \end{pmatrix} \tag{166}$$

则化为

$$-(\mathbf{f}\mathbf{w}^*)_{k,i} + (\mathbf{I}\mathbf{v}^*)_{k,i} = 0, \quad \forall i, k \tag{167}$$

也即矩阵方程

$$\mathbf{f}\mathbf{w}^* = \mathbf{v}^* \tag{168}$$

于是

$$\mathbf{f} = \mathbf{v}^* (\mathbf{w}^*)^{-1} \tag{169}$$

**求归一化系数  $\mathcal{N}$**

$$|\Omega\rangle = \mathcal{N} \exp \left( \frac{1}{2} \sum_{i,j} f_{i,j} a_i^\dagger a_j^\dagger \right) |0_a\rangle = \mathcal{N} \prod_{i,j} \left( 1 + \frac{1}{2} f_{i,j} a_i^\dagger a_j^\dagger \right) |0_a\rangle \tag{170}$$

现在要求  $\mathcal{N}$ .

令

$$\left| \widetilde{\Omega} \right\rangle = \prod_{i,j} \left( 1 + \frac{1}{2} f_{i,j} a_i^\dagger a_j^\dagger \right) |0_a\rangle \quad (171)$$

$|\Omega\rangle$  的归一性

$$\langle \Omega | \Omega \rangle = 1 \quad (172)$$

给出

$$\mathcal{N}^2 \langle \widetilde{\Omega} | \widetilde{\Omega} \rangle = 1 \quad (173)$$

因此

$$\mathcal{N} = \sqrt{\frac{1}{\langle \widetilde{\Omega} | \widetilde{\Omega} \rangle}} \quad (174)$$

于是只需要计算  $\langle \widetilde{\Omega} | \widetilde{\Omega} \rangle$ .

$$\langle 0_a | a_{i'_{2n}} \cdots a_{i'_1} a_{i_1}^\dagger \cdots a_{i_{2n}}^\dagger | 0_a \rangle = \sum_{P \in S_{2n}} \text{sgn}(P) \prod_{k=1}^{2n} \delta_{i'_k, i_{P(k)}} \quad (175)$$

$|\widetilde{\Omega}\rangle$  的求和形式

$$\begin{aligned} |\widetilde{\Omega}\rangle &= \prod_{i,j} \left( 1 + \frac{1}{2} f_{i,j} a_i^\dagger a_j^\dagger \right) |0_a\rangle \\ &= \sum_{n=0}^N \frac{1}{2^n} \sum_{i_{\{1 \rightarrow 2n\}}=1}^N \prod_{j=1}^n f_{i_{2j-1}, i_{2j}} a_{i_1}^\dagger \cdots a_{i_{2n}}^\dagger |0_a\rangle \end{aligned} \quad (176)$$

$$\langle \widetilde{\Omega} | = \sum_{n'=0}^N \frac{1}{2^{n'}} \sum_{i'_{\{1 \rightarrow 2n'\}}=1}^N \prod_{j'=1}^{n'} f_{i'_{2j'-1}, i'_{2j'}}^* \langle 0_a | a_{i'_{2n'}} \cdots a_{i'_1} \quad (177)$$

内积为

$$\begin{aligned} \langle \widetilde{\Omega} | \widetilde{\Omega} \rangle &= \sum_{n=0}^N \sum_{n'=0}^N \frac{1}{2^{n+n'}} \sum_{i_{\{1 \rightarrow 2n\}}=1}^N \sum_{i'_{\{1 \rightarrow 2n'\}}=1}^N \prod_{j=1}^n f_{i_{2j-1}, i_{2j}} \prod_{j'=1}^{n'} f_{i'_{2j'-1}, i'_{2j'}}^* \langle 0_a | a_{i'_{2n'}} \cdots a_{i'_1} a_{i_1}^\dagger \cdots a_{i_{2n}}^\dagger | 0_a \rangle \\ &= \sum_{n=0}^N \frac{1}{2^{2n}} \sum_{i_{\{1 \rightarrow 2n\}}=1}^N \sum_{i'_{\{1 \rightarrow 2n\}}=1}^N \prod_{j=1}^n f_{i_{2j-1}, i_{2j}} \prod_{j'=1}^n f_{i'_{2j'-1}, i'_{2j'}}^* \langle 0_a | a_{i'_{2n}} \cdots a_{i'_1} a_{i_1}^\dagger \cdots a_{i_{2n}}^\dagger | 0_a \rangle \end{aligned} \quad (178)$$

$$\begin{aligned} &= \sum_{n=0}^N \frac{1}{2^{2n}} \sum_{i_{\{1 \rightarrow 2n\}}=1}^N \sum_{i'_{\{1 \rightarrow 2n\}}=1}^N \prod_{j=1}^n f_{i'_{2j-1}, i'_{2j}}^* f_{i_{2j-1}, i_{2j}} \langle 0_a | a_{i'_{2n}} \cdots a_{i'_1} a_{i_1}^\dagger \cdots a_{i_{2n}}^\dagger | 0_a \rangle \\ &= \sum_{n=0}^N \frac{1}{2^{2n}} \sum_{i_{\{1 \rightarrow 2n\}}=1}^N \sum_{P \in S_{2n}} \text{sgn}(P) \prod_{j=1}^n f_{i_{P(2j-1)}, i_{P(2j)}}^* f_{i_{2j-1}, i_{2j}} \end{aligned}$$

$$\langle \widetilde{\Omega} | \widetilde{\Omega} \rangle = \sum_{n=0}^N \frac{n!}{2^n} \sum_{i_1, \dots, i_{2n}} \left( \prod_{j=1}^n f_{i_{2j-1}, i_{2j}} \right) \text{Pf} (M^{i_{\{1 \rightarrow 2n\}}}) \quad (179)$$

$$M_{j,k}^{i_{\{1 \rightarrow 2n\}}} = f_{i_j, i_k}^*, \quad f_{i,j} = -f_{j,k}, \quad f_{i,j} \text{ 已知} \quad (180)$$

## overlap

现在已知

$$|\Omega_0\rangle = \mathcal{N}_0 \exp\left(\frac{1}{2} \sum_{i,j} f_{i,j}^{(0)} a_i^\dagger a_j^\dagger\right) |0\rangle \quad (181)$$

$$|\Omega_1\rangle = \mathcal{N}_1 \exp\left(\frac{1}{2} \sum_{i,j} f_{i,j}^{(1)} a_i^\dagger a_j^\dagger\right) |0\rangle \quad (182)$$

定义

$$|\widetilde{\Omega}_0\rangle = \exp\left(\frac{1}{2} \sum_{i,j} f_{i,j}^{(0)} a_i^\dagger a_j^\dagger\right) |0\rangle \quad (183)$$

$$|\widetilde{\Omega}_1\rangle = \exp\left(\frac{1}{2} \sum_{i,j} f_{i,j}^{(1)} a_i^\dagger a_j^\dagger\right) |0\rangle \quad (184)$$

先求 overlap

$$\langle \widetilde{\Omega}_0 | \widetilde{\Omega}_1 \rangle \quad (185)$$

## Grassmann

### 费米子相干态

单模相干态：

$$|z_i\rangle \equiv \exp\left(-z_i a_i^\dagger\right) |0\rangle \quad (186)$$

$$\langle z_i| \equiv \langle 0| \exp\left(-a_i \bar{z}_i\right) \quad (187)$$

多模相干态：

$$|z\rangle \equiv \exp\left(-\sum_i z_i a_i^\dagger\right) |0\rangle \quad (188)$$

$$\langle z| \equiv \langle 0| \exp\left(-\sum_i a_i \bar{z}_i\right) \quad (189)$$

相干态是湮灭算符本征态：

$$a_i |z\rangle = z_i |z\rangle \quad (190)$$

$$\langle z| a_i^\dagger = \langle z| \bar{z}_i \quad (191)$$

相干态与真空态overlap

$$\langle 0 | z \rangle = 1 \quad (192)$$

$$\langle z | 0 \rangle = 1 \quad (193)$$

### 费米子相干态表象完备性关系

$$\int \left( \prod_i d\bar{z}_i dz_i \right) \exp\left(-\sum_j \bar{z}_j z_j\right) |z\rangle \langle z| = 1 \quad (194)$$

## Grassmann高斯积分

反对称矩阵  $A_{2N \times 2N}$ ,  $\Theta = (\theta_1, \dots, \theta_{2N})^\top$  实Grassmann高斯积分:

$$\int \left( \prod_{i=1}^{2N} d\theta_i \right) \exp \left( -\frac{1}{2} \Theta^\top A \Theta \right) = \text{Pf}(A) \quad (195)$$

$$\int \left( \prod_{i=1}^{2N} d\theta_i \right) \exp \left( \frac{1}{2} \Theta^\top A \Theta \right) = (-1)^N \text{Pf}(A) \quad (196)$$

$A_{N \times N}$ ,  $\Theta = (\theta_1, \dots, \theta_N)^\top$ ,  $\bar{\Theta} = (\bar{\theta}_1, \dots, \bar{\theta}_N)^\top$  复Grassmann高斯积分:

$$\int \left( \prod_i d\bar{\theta}_i d\theta_i \right) \exp (-\bar{\Theta}^\top A \Theta) = \det(A) \quad (197)$$

复Grassmann数高斯积分更一般形式:

## 在费米子相干态表象计算overlap

$$|\tilde{\Omega}_1\rangle = \exp \left( \frac{1}{2} \sum_{i,j} f_{i,j}^{(1)} a_i^\dagger a_j^\dagger \right) |0\rangle, \quad f_{i,j}^{(1)} = -f_{j,i}^{(1)} \quad (198)$$

$$|\tilde{\Omega}_2\rangle = \exp \left( \frac{1}{2} \sum_{i,j} f_{i,j}^{(2)} a_i^\dagger a_j^\dagger \right) |0\rangle, \quad f_{i,j}^{(2)} = -f_{j,i}^{(2)} \quad (199)$$

$$\begin{aligned} \langle \tilde{\Omega}_1 | \tilde{\Omega}_2 \rangle &= \int \left( \prod_i d\bar{z}_i dz_i \right) \exp \left( -\sum_j \bar{z}_j z_j \right) \langle \tilde{\Omega}_1 | z \rangle \langle z | \tilde{\Omega}_2 \rangle \\ &= \int d(\bar{z}, z) \exp (-\bar{z}^\top z) \left\langle 0 \left| \exp \left( \frac{1}{2} \sum_{i,j} f_{i,j}^{(1)*} a_j a_i \right) \right| z \right\rangle \left\langle z \left| \exp \left( \frac{1}{2} \sum_{i,j} f_{i,j}^{(2)} a_i^\dagger a_j^\dagger \right) \right| 0 \right\rangle \\ &= \int d(\bar{z}, z) \exp (-\bar{z}^\top z) \exp \left( \frac{1}{2} \sum_{i,j} f_{i,j}^{(1)*} z_j z_i \right) \exp \left( \frac{1}{2} \sum_{i,j} f_{i,j}^{(2)} \bar{z}_i \bar{z}_j \right) \langle 0 | z \rangle \langle z | 0 \rangle \\ &= \int d(\bar{z}, z) \exp (-\bar{z}^\top z) \exp \left( -\frac{1}{2} \sum_{i,j} f_{i,j}^{(1)*} z_i z_j \right) \exp \left( \frac{1}{2} \sum_{i,j} f_{i,j}^{(2)} \bar{z}_i \bar{z}_j \right) \\ &= \int d(\bar{z}, z) \exp (-\bar{z}^\top z) \exp \left( -\frac{1}{2} z^\top f^{(1)*} z \right) \exp \left( \frac{1}{2} \bar{z}^\top f^{(2)} \bar{z} \right) \end{aligned} \quad (200)$$

为了用实Grassmann高斯积分的结果, 构造

$$Z \equiv (\bar{z}_1, \dots, \bar{z}_N, z_1, \dots, z_N)^\top \quad (201)$$

从

$$\bar{z}_1, z_1, \bar{z}_2, z_2, \dots, \bar{z}_N, z_N \quad (202)$$

到

$$\bar{z}_1, \dots, \bar{z}_N, z_1, \dots, z_N \quad (203)$$

共需要多少次最近邻交换?

以

$$\bar{z}_1 \bar{z}_2 \dots \bar{z}_N z_1 z_2 \dots z_N \quad (204)$$

为正序, 则逆序对数量为

$$(N-1) + (N-2) + \cdots + 1 = \frac{N(N-1)}{2} \quad (205)$$

因此

$$\begin{aligned} d(\bar{z}, z) &\equiv \prod_i d\bar{z}_i dz_i = (d\bar{z}_1 dz_1) \cdots (d\bar{z}_N dz_N) \\ &= (-1)^{N(N-1)/2} d\bar{z}_1 \cdots d\bar{z}_N dz_1 dz_N \\ &= (-1)^{N(N-1)/2} \left( \prod_i d\bar{z}_i \right) \left( \prod_j dz_j \right) \\ &= (-1)^{N(N-1)/2} \prod_{i=1}^{2N} dZ_i \end{aligned} \quad (206)$$

而

$$\begin{aligned} &\exp(-\bar{z}^\top z) \exp\left(-\frac{1}{2} z^\top f^{(1)*} z\right) \exp\left(\frac{1}{2} \bar{z}^\top f^{(2)} \bar{z}\right) \\ &= \exp\left(-\bar{z}^\top z - \frac{1}{2} z^\top f^{(1)*} z + \frac{1}{2} \bar{z}^\top f^{(2)} \bar{z}\right) \\ &= \exp\left(-\frac{1}{2} \bar{z}^\top z - \frac{1}{2} \bar{z}^\top z - \frac{1}{2} z^\top f^{(1)*} z + \frac{1}{2} \bar{z}^\top f^{(2)} \bar{z}\right) \\ &= \exp\left(-\frac{1}{2} \bar{z}^\top z + \frac{1}{2} z^\top \bar{z} - \frac{1}{2} z^\top f^{(1)*} z + \frac{1}{2} \bar{z}^\top f^{(2)} \bar{z}\right) \end{aligned} \quad (207)$$

$$\begin{aligned} -\frac{1}{2} \bar{z}^\top z + \frac{1}{2} z^\top \bar{z} - \frac{1}{2} z^\top f^{(1)*} z + \frac{1}{2} \bar{z}^\top f^{(2)} \bar{z} &= \frac{1}{2} \begin{pmatrix} \bar{z}^\top & z^\top \end{pmatrix} \begin{pmatrix} f^{(2)} & -I \\ I & -f^{(1)*} \end{pmatrix} \begin{pmatrix} \bar{z} \\ z \end{pmatrix} \\ &= \frac{1}{2} Z^\top M Z \end{aligned} \quad (208)$$

$$M_{i,j} = -M_{j,i} \quad (209)$$

于是

$$\begin{aligned} \langle \tilde{\Omega}_1 | \tilde{\Omega}_2 \rangle &= \int d(\bar{z}, z) \exp(-\bar{z}^\top z) \exp\left(-\frac{1}{2} z^\top f^{(1)*} z\right) \exp\left(\frac{1}{2} \bar{z}^\top f^{(2)} \bar{z}\right) \\ &= (-1)^{N(N-1)/2} \int \prod_{i=1}^{2N} dZ_i \exp\left(\frac{1}{2} Z^\top M Z\right) \\ &= (-1)^{N(N-1)/2} \int \prod_{i=1}^{2N} dZ_i \exp\left(-\frac{1}{2} Z^\top (-M) Z\right) \\ &= (-1)^{N(N-1)/2} \text{Pf}(-M) \\ &= (-1)^{N(N-1)/2} \cdot (-1)^N \text{Pf}(M) \\ &= (-1)^{N(N+1)/2} \text{Pf}(M) \end{aligned} \quad (210)$$

## Pf的基本性质

$$A^\top = -A \quad (211)$$

## 与行列式关系

$$\text{Pf}^2(A) = \det(A) \quad (212)$$

## 块对角矩阵的Pf

若

$$A = \begin{pmatrix} A_1 & 0 \\ 0 & A_2 \end{pmatrix} \quad (213)$$

则

$$\text{Pf}(A) = \text{Pf}(A_1)\text{Pf}(A_2) \quad (214)$$

## 正交变换

若  $O \in \text{O}(2N)$ , 则

$$\text{Pf}(OAO^\top) = \det(O)\text{Pf}(A) \quad (215)$$

$$\text{Pf}(A) = \frac{1}{\det(O)}\text{Pf}(OAO^\top), \quad O \in \text{O}(2N) \quad (216)$$

## 归一化系数 $\mathcal{N}$

特别地,

$$\langle \widetilde{\Omega}_1 | \widetilde{\Omega}_1 \rangle = (-1)^{N(N+1)/2} \text{Pf} \begin{pmatrix} f^{(1)} & -I \\ I & -f^{(1)*} \end{pmatrix} \quad (217)$$

令

$$M = \begin{pmatrix} f^{(1)} & -I \\ I & -f^{(1)*} \end{pmatrix}, \quad (218)$$

$$\begin{aligned} \langle \widetilde{\Omega}_1 | \widetilde{\Omega}_1 \rangle^2 &= (-1)^{N(N+1)} \text{Pf}^2(M) \\ &= (-1)^{N(N+1)} \det(M) \end{aligned} \quad (219)$$

利用

$$\det \begin{pmatrix} A & B \\ C & D \end{pmatrix} = \det(A)\det(D - CA^{-1}B) \quad (220)$$

可得

$$\begin{aligned} \langle \widetilde{\Omega}_1 | \widetilde{\Omega}_1 \rangle^2 &= (-1)^{N(N+1)} \det(M) \\ &= (-1)^{N(N+1)} \det(f^{(1)}) \det(-f^{(1)*} - I(f^{(1)})^{-1}(-I)) \\ &= (-1)^{N(N+1)} \det(I - f^{(1)}f^{(1)*}) \\ &= (-1)^{N(N+1)} \det\left((I - f^{(1)}f^{(1)*})^\top\right) \\ &= (-1)^{N(N+1)} \det(I - f^{(1)*}f^{(1)}) \\ &= (-1)^{N(N+1)} \det(I + f^{(1)\dagger}f^{(1)}) \\ &= \det(I + f^{(1)\dagger}f^{(1)}) \end{aligned} \quad (221)$$

由归一化条件

$$1 = \mathcal{N}_1^2 \langle \widetilde{\Omega}_1 | \widetilde{\Omega}_1 \rangle \quad (222)$$

归一化系数  $\mathcal{N}_1$  可以取为

$$\text{Pf}(I + f^\dagger f), \quad f^\top = -f \quad (223)$$

## 两点关联函数

### 用Grassmann积分表达 $\langle \Psi_1 | F(a, a^\dagger) | \Psi_2 \rangle$

构造

$$\tilde{z} \equiv (\bar{z}_1, \dots, \bar{z}_N, z_1, \dots, z_N)^\top, \quad (224)$$

完备性关系

$$\int \left( \prod_i d\bar{z}_i dz_i \right) \exp \left( - \sum_j \bar{z}_j z_j \right) |z\rangle \langle z| = 1 \quad (225)$$

可化为

$$(-1)^{N(N-1)/2} \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \exp \left( - \sum_j \bar{z}_i z_j \right) |z\rangle \langle z| = 1 \quad (226)$$

$$\langle \Psi_1 | a_l a_m | \Psi_2 \rangle$$

$$\begin{aligned} & \langle \Psi_1 | a_l a_m | \Psi_2 \rangle \\ &= \langle \Psi_1 | a_l a_m 1 | \Psi_2 \rangle \\ &= (-1)^{N(N+1)/2} \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \exp \left( - \sum_j \bar{z}_j z_j \right) \langle \Psi_1 | a_l a_m | z \rangle \langle z | \Psi_2 \rangle \\ &= (-1)^{N(N+1)/2} \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \exp \left( - \sum_j \bar{z}_j z_j \right) \langle \Psi_1 | a_l a_m | z \rangle \langle z | \Psi_2 \rangle \\ &= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \exp \left( - \sum_j \bar{z}_j z_j \right) \left\langle 0 \left| \exp \left( \frac{1}{2} \sum_{i,j} f_{i,j}^{(1)*} a_j a_i \right) \right| a_l a_m \right| z \rangle \left\langle z \left| \exp \left( \frac{1}{2} \sum_{i,j} f_{i,j}^{(2)} a_i^\dagger a_j^\dagger \right) \right| 0 \right\rangle \\ &= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \exp \left( - \sum_j \bar{z}_j z_j \right) \exp \left( \frac{1}{2} \sum_{i,j} f_{i,j}^{(1)*} z_j z_i \right) z_l z_m \exp \left( \frac{1}{2} \sum_{i,j} f_{i,j}^{(2)} \bar{z}_i \bar{z}_j \right) \langle 0 | z \rangle \langle z | 0 \rangle \\ &= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) z_l z_m \exp \left( - \sum_j \bar{z}_j z_j \right) \exp \left( - \frac{1}{2} \sum_{i,j} f_{i,j}^{(1)*} z_i z_j \right) \exp \left( \frac{1}{2} \sum_{i,j} f_{i,j}^{(2)} \bar{z}_i \bar{z}_j \right) \\ &= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) z_l z_m \exp \left( \frac{1}{2} \begin{pmatrix} \bar{z} & z \end{pmatrix} \begin{pmatrix} f^{(2)} & -I \\ I & -f^{(1)*} \end{pmatrix} \begin{pmatrix} \bar{z} \\ z \end{pmatrix} \right) \\ &= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) z_l z_m \exp \left( \frac{1}{2} \tilde{z}^\top M \tilde{z} \right) \end{aligned} \quad (227)$$

其中

$$M \equiv \begin{pmatrix} f^{(2)} & -I \\ I & -f^{(1)*} \end{pmatrix} \quad (228)$$



$$\begin{aligned}
& \langle \Psi_1 | a_l a_m^\dagger | \Psi_2 \rangle \\
&= \langle \Psi_1 | a_l a_m^\dagger | \Psi_2 \rangle \\
&= \langle \Psi_1 | a_l 1 a_m^\dagger | \Psi_2 \rangle \\
&= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \exp \left( - \sum_j \tilde{z}_j z_j \right) \left\langle 0 \left| \exp \left( \frac{1}{2} \sum_{i,j} f_{i,j}^{(1)*} a_j a_i \right) \right| a_l \right| z \rangle \left\langle z \left| a_m^\dagger \exp \left( \frac{1}{2} \sum_{i,j} f_{i,j}^{(2)} a_i^\dagger a_j^\dagger \right) \right| 0 \right\rangle \\
&= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) z_l \bar{z}_m \exp \left( \frac{1}{2} \tilde{z}^\top M \tilde{z} \right)
\end{aligned}$$

$$\begin{aligned}
& \langle \Psi_1 | a_l^\dagger a_m | \Psi_2 \rangle \\
&= \langle \Psi_1 | a_l^\dagger a_m | \Psi_2 \rangle = \langle \Psi_1 | (\delta_{l,m} - a_m a_l^\dagger) | \Psi_2 \rangle \\
&= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) (\delta_{l,m} - z_m \bar{z}_l) \exp \left( \frac{1}{2} \tilde{z}^\top M \tilde{z} \right) \\
& \quad (l \neq m) = (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \bar{z}_l z_m \exp \left( \frac{1}{2} \tilde{z}^\top M \tilde{z} \right)
\end{aligned} \tag{230}$$

$$\begin{aligned}
& \langle \Psi_1 | a_l^\dagger a_m^\dagger | \Psi_2 \rangle \\
&= \langle \Psi_1 | a_l^\dagger a_m^\dagger | \Psi_2 \rangle = \langle \Psi_1 | 1 a_l^\dagger a_m^\dagger | \Psi_2 \rangle \\
&= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \bar{z}_l \bar{z}_m \exp \left( \frac{1}{2} \tilde{z}^\top M \tilde{z} \right)
\end{aligned} \tag{231}$$

如果定义

$$\tilde{a} \equiv (a_1, \dots, a_N, a_1^\dagger, \dots, a_N^\dagger)^\top, \tag{232}$$

则

$$\bullet \quad 1 \leq i \leq N, 1 \leq j \leq N$$

$$\begin{aligned}
& \langle \Psi_1 | \tilde{a}_i \tilde{a}_j | \Psi_2 \rangle = \langle \Psi_1 | a_i a_j | \Psi_2 \rangle \\
&= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) z_i z_j \exp \left( \frac{1}{2} \tilde{z}^\top M \tilde{z} \right) \\
&= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \tilde{z}_{i+N} \tilde{z}_{j+N} \exp \left( \frac{1}{2} \tilde{z}^\top M \tilde{z} \right)
\end{aligned} \tag{233}$$

$$\bullet \quad 1 \leq i \leq N, N+1 \leq j \leq 2N$$

$$\begin{aligned}
& \langle \Psi_1 | \tilde{a}_i \tilde{a}_j | \Psi_2 \rangle = \langle \Psi_1 | a_i a_{j-N}^\dagger | \Psi_2 \rangle \\
&= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) z_i \bar{z}_{j-N} \exp \left( \frac{1}{2} \tilde{z}^\top M \tilde{z} \right) \\
&= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \tilde{z}_{i+N} \tilde{z}_{j-N} \exp \left( \frac{1}{2} \tilde{z}^\top M \tilde{z} \right)
\end{aligned} \tag{234}$$

$$\bullet \quad N+1 \leq i \leq 2N, 1 \leq j \leq N, i-N \neq j$$

$$\begin{aligned}
\langle \Psi_1 | \tilde{a}_i \tilde{a}_j | \Psi_2 \rangle &= \left\langle \Psi_1 \left| a_{i-N}^\dagger a_j \right| \Psi_2 \right\rangle \\
&= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \tilde{z}_{i-N} z_j \exp \left( \frac{1}{2} \tilde{z}^\top M \tilde{z} \right) \\
&= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \tilde{z}_{i-N} \tilde{z}_{j+N} \exp \left( \frac{1}{2} \tilde{z}^\top M \tilde{z} \right)
\end{aligned} \tag{235}$$

$$\bullet \quad N+1 \leq i \leq 2N, N+1 \leq j \leq 2N$$

$$\begin{aligned}
\langle \Psi_1 | \tilde{a}_i \tilde{a}_j | \Psi_2 \rangle &= \left\langle \Psi_1 \left| a_{i-N}^\dagger a_{j-N}^\dagger \right| \Psi_2 \right\rangle \\
&= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \tilde{z}_{i-N} \tilde{z}_{j-N} \exp \left( \frac{1}{2} \tilde{z}^\top M \tilde{z} \right) \\
&= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \tilde{z}_{i-N} \tilde{z}_{j-N} \exp \left( \frac{1}{2} \tilde{z}^\top M \tilde{z} \right)
\end{aligned} \tag{236}$$

### 计算 exp 前有Grassmann数的积分

现在要计算形如

$$\int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \tilde{z}_l \tilde{z}_m \exp \left( \frac{1}{2} \tilde{z}^\top M \tilde{z} \right) \tag{237}$$

的积分。

根据

$$\int \left( \prod_i d\theta_i \right) \exp \left( \frac{1}{2} \Theta^\top A \Theta \right) \exp (\eta^\top \Theta) = \text{Pf}(A) \exp \left( \frac{1}{2} \eta^\top A^{-1} \eta \right) \tag{238}$$

两边同时求偏导  $\partial^2 / \partial \eta_m \partial \eta_l$ , 左边:

$$\begin{aligned}
\text{LHS} &= \frac{\partial^2}{\partial \eta_m \partial \eta_l} \int \left( \prod_i d\theta_i \right) \exp \left( \frac{1}{2} \Theta^\top A \Theta \right) \exp (\eta^\top \Theta) \\
&= \int \left( \prod_i d\theta_i \right) \exp \left( \frac{1}{2} \Theta^\top A \Theta \right) \frac{\partial^2}{\partial \eta_m \partial \eta_l} \exp (\eta^\top \Theta) \\
&= \int \left( \prod_i d\theta_i \right) \exp \left( \frac{1}{2} \Theta^\top A \Theta \right) (-\theta_l \theta_m) \exp (\eta^\top \Theta)
\end{aligned} \tag{239}$$

右边:

$$\begin{aligned}
\text{RHS} &= \frac{\partial^2}{\partial \eta_m \partial \eta_l} \text{Pf}(A) \exp \left( \frac{1}{2} \eta^\top A^{-1} \eta \right) \\
&= \text{Pf}(A) \frac{\partial^2}{\partial \eta_m \partial \eta_l} \exp \left( \frac{1}{2} \sum_{i,j} (A^{-1})_{ij} \eta_i \eta_j \right) \\
&= \text{Pf}(A) (A^{-1})_{l,m} \exp \left( \frac{1}{2} \eta^\top A^{-1} \eta \right)
\end{aligned} \tag{240}$$

于是

$$\int \left( \prod_i d\theta_i \right) \exp \left( \frac{1}{2} \Theta^\top A \Theta \right) (-\theta_l \theta_m) \exp (\eta^\top \Theta) = \text{Pf}(A) (A^{-1})_{l,m} \exp \left( \frac{1}{2} \eta^\top A^{-1} \eta \right) \tag{241}$$

对比两边关于  $\eta$  的零次项, 得

$$\int \left( \prod_i d\theta_i \right) \exp \left( \frac{1}{2} \Theta^\top A \Theta \right) (-\theta_l \theta_m) = \text{Pf}(A) (A^{-1})_{l,m} \quad (242)$$

也即

$$\int \left( \prod_i d\theta_i \right) \theta_l \theta_m \exp \left( \frac{1}{2} \Theta^\top A \Theta \right) = \text{Pf}(A) (-1) (A^{-1})_{l,m} \quad (243)$$

$$\langle \Psi_1 | i c_{i,A} c_{j,B} | \Psi_2 \rangle = \left[ \mathcal{N}_1^* \mathcal{N}_2 (-1)^{N(N+1)/2} \text{Pf}(M) \right] \left[ - (M^{-1})_{i+N,j+N} + (M^{-1})_{i+N,j} - (M^{-1})_{i,j+N} + (M^{-1})_{i,j} \right] \quad (244)$$

$$\langle \Psi_1 | c_{i,B} c_{j,B} | \Psi_2 \rangle = \left[ \mathcal{N}_1^* \mathcal{N}_2 (-1)^{N(N+1)/2} \text{Pf}(M) \right] \left[ (M^{-1})_{i+N,j+N} - (M^{-1})_{i+N,j} - (M^{-1})_{i,j+N} + (M^{-1})_{i,j} \right] \quad (245)$$

- $1 \leq i \leq N, 1 \leq j \leq N$

$$\begin{aligned} \langle \Psi_1 | \tilde{a}_i \tilde{a}_j | \Psi_2 \rangle &= \langle \Psi_1 | a_i a_j | \Psi_2 \rangle \\ &= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \tilde{z}_{i+N} \tilde{z}_{j+N} \exp \left( \frac{1}{2} \tilde{z}^\top M \tilde{z} \right) \\ &= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \text{Pf}(M) (-1) (M^{-1})_{i+N,j+N} \end{aligned} \quad (246)$$

- $1 \leq i \leq N, N+1 \leq j \leq 2N$

$$\begin{aligned} \langle \Psi_1 | \tilde{a}_i \tilde{a}_j | \Psi_2 \rangle &= \left\langle \Psi_1 \left| a_i a_{j-N}^\dagger \right| \Psi_2 \right\rangle \\ &= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \tilde{z}_{i+N} \tilde{z}_{j-N} \exp \left( \frac{1}{2} \tilde{z}^\top M \tilde{z} \right) \\ &= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \text{Pf}(M) (-1) (M^{-1})_{i+N,j-N} \end{aligned} \quad (247)$$

- $N+1 \leq i \leq 2N, 1 \leq j \leq N, i-N \neq j$

$$\begin{aligned} \langle \Psi_1 | \tilde{a}_i \tilde{a}_j | \Psi_2 \rangle &= \left\langle \Psi_1 \left| a_{i-N}^\dagger a_j \right| \Psi_2 \right\rangle \\ &= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \tilde{z}_{i-N} \tilde{z}_{j+N} \exp \left( \frac{1}{2} \tilde{z}^\top M \tilde{z} \right) \\ &= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \text{Pf}(M) (-1) (M^{-1})_{i-N,j+N} \end{aligned} \quad (248)$$

- $N+1 \leq i \leq 2N, N+1 \leq j \leq 2N$

$$\begin{aligned} \langle \Psi_1 | \tilde{a}_i \tilde{a}_j | \Psi_2 \rangle &= \left\langle \Psi_1 \left| a_{i-N}^\dagger a_{j-N}^\dagger \right| \Psi_2 \right\rangle \\ &= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \int \left( \prod_{i=1}^{2N} d\tilde{z}_i \right) \tilde{z}_{i-N} \tilde{z}_{j-N} \exp \left( \frac{1}{2} \tilde{z}^\top M \tilde{z} \right) \\ &= (-1)^{N(N+1)/2} \mathcal{N}_1^* \mathcal{N}_2 \text{Pf}(M) (-1) (M^{-1})_{i-N,j-N} \end{aligned} \quad (249)$$

## 两点关联函数

$$\langle \tilde{a}_i \tilde{a}_j \rangle \equiv \frac{\left\langle 0 \left| \exp \left( \frac{1}{2} \sum_{l,m} f_{l,m}^* (\lambda_1) a_m a_l \right) \tilde{a}_i \tilde{a}_j \exp \left( \frac{1}{2} \sum_{l,m} f_{l,m} (\lambda_2) a_l^\dagger a_m^\dagger \right) \right| 0 \right\rangle}{\left\langle 0 \left| \exp \left( \frac{1}{2} \sum_{l,m} f_{l,m}^* (\lambda_1) a_m a_l \right) \exp \left( \frac{1}{2} \sum_{l,m} f_{l,m} (\lambda_2) a_l^\dagger a_m^\dagger \right) \right| 0 \right\rangle} \quad (250)$$

$$\mathcal{Z} \equiv \left\langle 0 \left| \exp \left( \frac{1}{2} \sum_{l,m} f_{l,m}^* (\lambda_1) a_m a_l \right) \exp \left( \frac{1}{2} \sum_{l,m} f_{l,m} (\lambda_2) a_l^\dagger a_m^\dagger \right) \right| 0 \right\rangle = (-1)^{N(N+1)/2} \text{Pf}(M) \quad (251)$$

$$\bullet \quad 1 \leq i \leq N, 1 \leq j \leq N$$

$$\begin{aligned} \langle \tilde{a}_i \tilde{a}_j \rangle &= \frac{1}{(-1)^{N(N+1)/2} \text{Pf}(M)} \cdot (-1)^{N(N+1)/2} \text{Pf}(M) (-1) (M^{-1})_{i+N, j+N} \\ &= (-1) (M^{-1})_{i+N, j+N} \end{aligned} \quad (252)$$

$$\bullet \quad 1 \leq i \leq N, N+1 \leq j \leq 2N$$

$$\langle \tilde{a}_i \tilde{a}_j \rangle = (-1) (M^{-1})_{i+N, j-N} \quad (253)$$

$$\bullet \quad N+1 \leq i \leq 2N, 1 \leq j \leq N, i-N \neq j$$

$$\langle \tilde{a}_i \tilde{a}_j \rangle = (-1) (M^{-1})_{i-N, j+N} \quad (254)$$

$$\bullet \quad N+1 \leq i \leq 2N, N+1 \leq j \leq 2N$$

$$\langle \tilde{a}_i \tilde{a}_j \rangle = (-1) (M^{-1})_{i-N, j-N} \quad (255)$$

## 关联函数矩阵

$$\left\langle \begin{pmatrix} c_1^\dagger \\ \vdots \\ c_N^\dagger \\ c_1 \\ \vdots \\ c_N \end{pmatrix} \begin{pmatrix} c_1^\dagger & \cdots & c_N^\dagger & c_1 & \cdots & c_N \end{pmatrix} \right\rangle \quad (256)$$

如何用  $M$  表达？

## $\chi$ 费米子

## 低能激发态

## 矩阵元

已知费米型 vison pair 湮灭、产生算符：

$$\chi_{\mathbf{r}, \alpha} \equiv \frac{1}{2} (b_{\mathbf{r}}^\alpha + i b_{\mathbf{r}+\delta_\alpha}^\alpha), \quad \mathbf{r} \in A \quad (257)$$

$$\chi_{\mathbf{r}, \alpha}^\dagger \equiv \frac{1}{2} (b_{\mathbf{r}}^\alpha - i b_{\mathbf{r}+\delta_\alpha}^\alpha), \quad \mathbf{r} \in A \quad (258)$$

如何证明

$$u_{i,j} \equiv -i b_i^\alpha b_j^\alpha = (-1)^{\chi_{i,\alpha}^\dagger \chi_{i,\alpha}}, \quad i \in A \quad (259)$$

$$u_{\mathbf{r}, \mathbf{r}+\delta_\alpha} \equiv -i b_{\mathbf{r}}^\alpha b_{\mathbf{r}+\delta_\alpha}^\alpha = 1 - 2 \chi_{\mathbf{r}, \alpha}^\dagger \chi_{\mathbf{r}, \alpha} = (-1)^{\chi_{\mathbf{r}, \alpha}^\dagger \chi_{\mathbf{r}, \alpha}} \quad (260)$$

$u$  算符本征值为  $+1$  的本征态是  $\chi^\dagger \chi$  本征值为  $0$  的本征态， $u$  算符本征值为  $-1$  的本征态是  $\chi^\dagger \chi$  本征值为  $1$  的本征态。因此， $u$  取  $-1$  相当于 bond 上占据了一个  $\chi$  费米子。 $u$  取  $+1$  相当于 bond 上没有  $\chi$  费米子。

$\chi$  与 vison 的联系是什么？

若用  $|\Omega\rangle$  表示无磁场基态 ( $\alpha$  准粒子零占据、所有  $u_{ij}$  全为 +1, 也就是所有  $\chi$  费米子也零占据), 则  $\chi_{r,\alpha}^\dagger |\Omega\rangle$  表示产生一个  $\chi$  费米子的态, 也就是翻转某个  $u_{i,j}$  使得  $u_{i,j} = -1$  的态。这条键两边的  $W_p$  也反号, 因此产生一对 vison

玻色型 vison pair 产生算符:

$$d_{r,\alpha,j}^\dagger |\Omega\rangle \equiv \left( \prod_{\text{boundary}} \chi^\dagger \right) \chi_{r,\alpha}^\dagger \tilde{\alpha}_j^\dagger |\Omega\rangle \quad (261)$$

如何计算

$$\langle \Omega | d_{r,\beta,m} H_h d_{r,\alpha,l}^\dagger | \Omega \rangle \quad (262)$$

考虑

$$\left( -\vec{h} \cdot \sum_{\mathbf{r}} \vec{\sigma}_{\mathbf{r}} \right) \left( \prod \chi^\dagger \right) \chi_{r,\alpha}^\dagger \tilde{\alpha}_j^\dagger |0_\chi, 0_\alpha\rangle \quad (263)$$

$\left( \prod \chi^\dagger \right) \chi_{r,\alpha}^\dagger \tilde{\alpha}_j^\dagger |0_\chi, 0_\alpha\rangle$  是  $\hat{W}_p$  的本征态。

$\sigma_{\mathbf{r}}^\alpha d_{r,\beta,l}^\dagger |\Omega\rangle$  仍是  $\hat{W}_p$  的本征态。相对于  $d_{r,\beta,l}^\dagger |\Omega\rangle$ ,  $\sigma_{\mathbf{r}}^\alpha d_{r,\beta,l}^\dagger |\Omega\rangle$  由  $(\mathbf{r}, \alpha)$  确定的 bond 所连接的两个  $W_p$  的本征值反号。

$$\left\langle \Omega \left| d_{r,\alpha,m} \left( -\vec{h} \cdot \sum_{\mathbf{r}'} \vec{\sigma}_{\mathbf{r}'} \right) d_{r,\beta,l}^\dagger \right| \Omega \right\rangle = - \sum_{\gamma} \varepsilon^{\alpha\beta\gamma} h_\gamma \left\langle \Omega \left| d_{r,\alpha,m} \left( \sigma_{\mathbf{r}}^\gamma + \sigma_{\mathbf{r}+\delta_\gamma}^\gamma \right) d_{r,\beta,l}^\dagger \right| \Omega \right\rangle \quad (264)$$

•  $\alpha, \beta = x, y$ , 非零  $\gamma = z$

$$\left\langle \Omega \left| d_{r,x,m} H_h d_{r,y,l}^\dagger \right| \Omega \right\rangle = -h_z \left\langle \Omega \left| d_{r,x,m} \left( \sigma_{\mathbf{r}}^z + \sigma_{\mathbf{r}+\delta_z}^z \right) d_{r,y,l}^\dagger \right| \Omega \right\rangle \quad (265)$$

$$\begin{aligned} \sigma_{\mathbf{r}}^z &= i b_{\mathbf{r}}^z c_{\mathbf{r}} \\ &= i b_{\mathbf{r}}^z c_{\mathbf{r}} (b_{\mathbf{r}}^x b_{\mathbf{r}}^y b_{\mathbf{r}}^z c_{\mathbf{r}}) \\ &= -i b_{\mathbf{r}}^x b_{\mathbf{r}}^y \\ &= -i (\chi_{r,x} + \chi_{r,x}^\dagger) (\chi_{r,y} + \chi_{r,y}^\dagger) \end{aligned} \quad (266)$$

$$\begin{aligned} \chi_{r,x} \sigma_{\mathbf{r}}^z \chi_{r,y}^\dagger &= -i \chi_{r,x} (\chi_{r,x} + \chi_{r,x}^\dagger) (\chi_{r,y} + \chi_{r,y}^\dagger) \chi_{r,y}^\dagger \\ &= -i \chi_{r,x} \chi_{r,x}^\dagger \chi_{r,y} \chi_{r,y}^\dagger \\ &= -i (1 - \chi_{r,x}^\dagger \chi_{r,x}) (1 - \chi_{r,y}^\dagger \chi_{r,y}) \end{aligned} \quad (267)$$

$$-h_z \left\langle \Omega \left| d_{r,x,m} \left( \sigma_{\mathbf{r}}^z \right) d_{r,y,l}^\dagger \right| \Omega \right\rangle = -h_z \left\langle \Psi_0^c(\mathbf{r}, x) \left| \tilde{\alpha}_m(\mathbf{r}, x) (-i) \tilde{\alpha}_l^\dagger(\mathbf{r}, y) \right| \Psi_0^c(\mathbf{r}, y) \right\rangle \quad (268)$$

$$|\Omega\rangle \equiv |0_\chi\rangle \otimes |\Psi_0^c[\{u_{ij}^{\text{std}}\}]\rangle? \quad (269)$$

$$d_{r,y,l}^\dagger |\Omega\rangle \equiv \chi_{r,y}^\dagger |0_\chi\rangle \otimes \tilde{a}_l^\dagger |\Psi_0^c(\mathbf{r}, y)\rangle? \quad (270)$$

$$\begin{aligned} \sigma_{\mathbf{r}+\delta_z}^z &= i b_{\mathbf{r}+\delta_z}^z c_{\mathbf{r}+\delta_z} \\ &= b_{\mathbf{r}}^x b_{\mathbf{r}}^y b_{\mathbf{r}}^z c_{\mathbf{r}} (i b_{\mathbf{r}+\delta_z}^z c_{\mathbf{r}+\delta_z}) \\ &= -i b_{\mathbf{r}}^x b_{\mathbf{r}}^y (-i c_{\mathbf{r}} c_{\mathbf{r}+\delta_z}) (i b_{\mathbf{r}}^z b_{\mathbf{r}+\delta_z}^z) \\ &= \sigma_{\mathbf{r}}^z (i c_{\mathbf{r}} c_{\mathbf{r}+\delta_z}) (-i b_{\mathbf{r}}^z b_{\mathbf{r}+\delta_z}^z) \end{aligned} \quad (271)$$

$$\begin{aligned} &-h_z \left\langle \Omega \left| d_{r,x,m} [\sigma_{\mathbf{r}}^z (i c_{\mathbf{r}} c_{\mathbf{r}+\delta_z}) (-i b_{\mathbf{r}}^z b_{\mathbf{r}+\delta_z}^z)] d_{r,y,l}^\dagger \right| \Omega \right\rangle \\ &= -h_z \left\langle \Omega \left| d_{r,x,m} [\sigma_{\mathbf{r}}^z (i c_{\mathbf{r}} c_{\mathbf{r}+\delta_z}) (+1)] d_{r,y,l}^\dagger \right| \Omega \right\rangle \\ &= -h_z \left\langle \Psi_0^c(\mathbf{r}, x) \left| \tilde{\alpha}_m(\mathbf{r}, x) (-i) (i c_{\mathbf{r}} c_{\mathbf{r}+\delta_z}) \tilde{\alpha}_l^\dagger(\mathbf{r}, y) \right| \Psi_0^c(\mathbf{r}, y) \right\rangle \end{aligned} \quad (272)$$

$$\left\langle \Omega \left| d_{\boldsymbol{r},x,m} H_h d_{\boldsymbol{r},y,l}^\dagger \right| \Omega \right\rangle = \mathrm{i} h_z \left\langle \Psi_0^c(\boldsymbol{r}, x) \left| \tilde{\alpha}_m(\boldsymbol{r}, x) (1 + \mathrm{i} c_{\boldsymbol{r}} c_{\boldsymbol{r}+\boldsymbol{\delta}_z}) \tilde{\alpha}_l^\dagger(\boldsymbol{r}, y) \right| \Psi_0^c(\boldsymbol{r}, y) \right\rangle \tag{273}$$

$\alpha, \beta$  更一般情况的写法为

$$\left\langle \Omega \left| d_{\boldsymbol{r},\alpha,m} H_h d_{\boldsymbol{r},\beta,l}^\dagger \right| \Omega \right\rangle = \sum_{\gamma} \mathrm{i} \varepsilon^{\alpha\beta\gamma} h_{\gamma} \left\langle \Psi_0^c(\boldsymbol{r}, \alpha) \left| \tilde{\alpha}_m(\boldsymbol{r}, \alpha) (1 + \mathrm{i} c_{\boldsymbol{r}} c_{\boldsymbol{r}+\boldsymbol{\delta}_{\gamma}}) \tilde{\alpha}_l^\dagger(\boldsymbol{r}, \beta) \right| \Psi_0^c(\boldsymbol{r}, \beta) \right\rangle \tag{274}$$

- $|\Psi_0^c[\{u_{ij}\}]\rangle = \mathcal{N} \exp\left(\frac{1}{2} \sum_{i,j} f_i^\dagger f_j^\dagger\right) |0_f\rangle$  如何用  $|\Psi_0^c[\{u_{ij}^{\text{std}}\}]\rangle = |0_f\rangle$  表达?
- 用overlap算矩阵元的具体数值?
- $\langle \Omega | H_h d_{\boldsymbol{r},\mu}^\dagger | \Omega \rangle = ?$
- $H_{\text{B}} = ?$