# Introduction to Computation

Autumn, 2023

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# 7 Outline

- Recursion
- Problems

# Recursion

#### **Function calls**

- In python, a function can call another function defined before it
- In a program, we have a chain of function calls:  $A_1 \rightarrow A_2 \rightarrow A_3 \rightarrow \cdots \rightarrow A_n$ 
  - Call order:  $A_1 \rightarrow A_2 \rightarrow A_3 \rightarrow \cdots \rightarrow A_n$
  - $\circ$  Return order:  $A_n \to A_{n-1} \to A_{n-3} \to \cdots \to A_1$
  - Example: the boss of a company plans to check the progress of a task

```
def f1():
    print("f1: begin")

def f2():
    print("f2: begin")
    f1()
    print("f1: finish")

def f3():
    print("f3: begin")
    f2()
    print("f2: finish")
    print("f3: finish")
```



```
f3: begin
f2: begin
f1: begin
f1: finish
f2: finish
f3: finish
```



# **Experiment**

Assume that we would like to implement a function f(x), where f(x) will call f(y) inside

```
def f(x):
    do_sth()
    f(y)
    return
```

- The order of function call  $x \to y \to z \to w \to u \dots (y \neq x)$
- If there are infinite function calls (e.g., loop) in the program, an error will occur
- In do\_sth(), there must be a condition where the function execution will be returned, and the self call will not be invoked

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```
1 def f(n):
2    if n == 0:
3        return n
4    if n == 1:
5        return 1
6
7    return f(n-1) + f(n-2)
8
9 print(f(10))
```

55

### Recursion (递归)

#### It is legal for a function to call itself

In mathematics, recursive functions allow a series to define itself by the other items

$$f_0 = f_1 = 1, f_{n+2} = f_{n+1} + f_n$$

Recall: A function call is determined by the pair

(function\_name, parameters)

For example, (print, 3)  $\neq$  (print, [3])

Thus, it does make sense to invoke itself inside the function definition

The challenge is that recursion may be never ended (Logic error)

$$f(n) \rightarrow f(n-1) \rightarrow f(0) \rightarrow f(-1), \dots, f(-\infty)$$

#### There should be an stop!

- Idea: To define a function f(n)
  - For the simple cases like n=0 or 1, we directly return its values
  - For the general cases, we try to solve f(n) by reduce it to its previous solutions f(0), f(1), ..., f(n-1)
  - By mathematical induction (数学归纳法), the solutions above always terminate in finite steps

#### **Recursive function**

- 1. A simple base case (or cases) (基本状态)
- 2. A set of rules which reduce (化简) all other cases toward the base case
- The formal definition: a method exhibits recursive behavior when it can be defined by two properties:
- The first property will make sure the function will terminate finally. 简单的情况直接计算(不递归)

● The second property will call the function itself to reach the base case. 复杂的情况转化为简单的情况

(递归)

Example: compute the factorial(n): n!

```
1  def factorial(n):
2    print(f"{n} begins")
3    if n == 0:
4        return 1
5    else:
6        f = factorial(n - 1)
7        print(f"{n-1} done")
8        return n * f
9
10
11  print(factorial(7))
```

```
7 begins
6 begins
5 begins
4 begins
2 begins
1 begins
0 begins
0 done
1 done
2 done
4 done
5 done
6 done
5040
```

# 

# 调用顺序 执行顺序 N=1

# 调用顺序 执行顺序 N=2

# Stack (栈) diagram





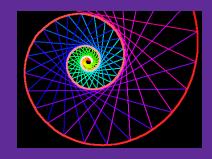
- Suppose we have a collection of books. When we pile them on a desk, we will first put a book on surface of the desktop and put the second one on the top of the first one, and so on. This process is called push (推)
- When we want to pick up the first book, we need to move the books from the last one to the second one.
   This process is called pop (弹)
- The whole process can be modeled by stack.

FIFO: First in last out (push→pop)

- In a program, we have a chain of function calls:  $A_1 \rightarrow A_2 \rightarrow A_3 \rightarrow \cdots \rightarrow A_n$ 
  - Stack diagram: when a function calls another function, the processed can also be modeled by a stack.
  - The functions  $A_1, A_2, ..., A_n$  will be pushed into the stack
  - When  $A_n$  is executed, it will be pop up from the stack. The system will repeat popping up  $A_{n-1}$ , ...,  $A_2$ ,  $A_1$

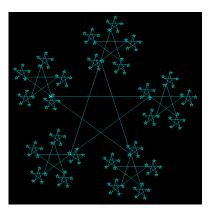
# **Problems**







### Recursion (递归)



$$F(n) = g(F(n-1, ..., F(0)))$$

F(0), F(1), F(2)

#### 递归函数解决问题的特点

- 1. 问题具有递归的结构,可以状态转移(从一个函数值到另一个)
- 2. 初始状态容易处理

递归函数编写的注意事项,必须严格按照以下步骤

- 1. 首先判断是否达到基本状态
- 2. 再决定是否用递归关系处理
- 3. 否则,会陷入死循环

一个函数调用由 函数名+具体的参数值 决定: f(1)和f(2)是不同的函数调用 递归调用:表面上是自己调用自己,实际上参数不同,是不同的函数调用

#### **Greatest Common Divisor (GCD)**

$$(9,6) = (6,9\%6) = (6,3) = (3,6\%3) = (3,0) = 3$$

#### 最大公约数

- 递归: gcd(a, b) = gcd(b, a%b)
- 初始: gcd(a,0) = a
- 证明:
  - 会在有限步后结束
  - loga步结束

```
1 def gcd(a, b):
2    if a < b:
3        return gcd(b, a)
4    if b == 0:
5        return a
6
7    return gcd(b, a % b)
8
9
10 print(gcd(120, 88), gcd(90, 125))</pre>
```

# gcd(a, b) \_\_\_\_ 0 (b=0)



gcd(a, b)

gcd(b, a%b)

### Fibonacci sequence

```
\{f_0, f_1, f_2, \dots, f_n, \dots\}: f_0 = 0, f_1 = 1, f_n = f_{n-1} + f_{n-2}
```

```
1 def fib(n):
2    if n == 0 or n == 1:
3        return n
4
5    return fib(n - 1) + fib(n - 2)
6
7
8 print(fib(10))
```

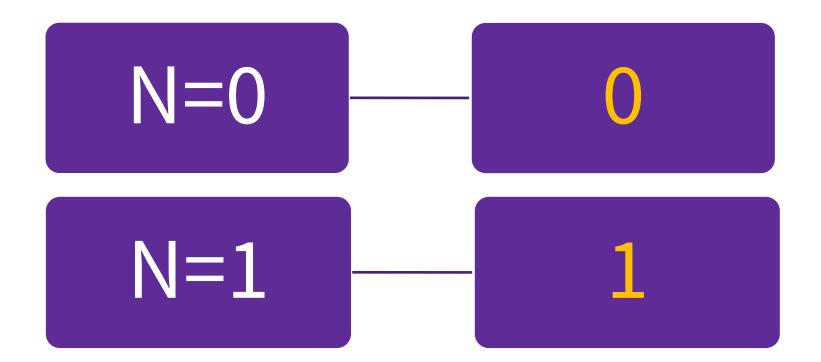
```
1 def fib(n):
2    return n if n == 0 or n == 1 else fib(n - 1) + fib(n - 2)
3
4
5 print(fib(0), fib(1), fib(10), fib(35))
```

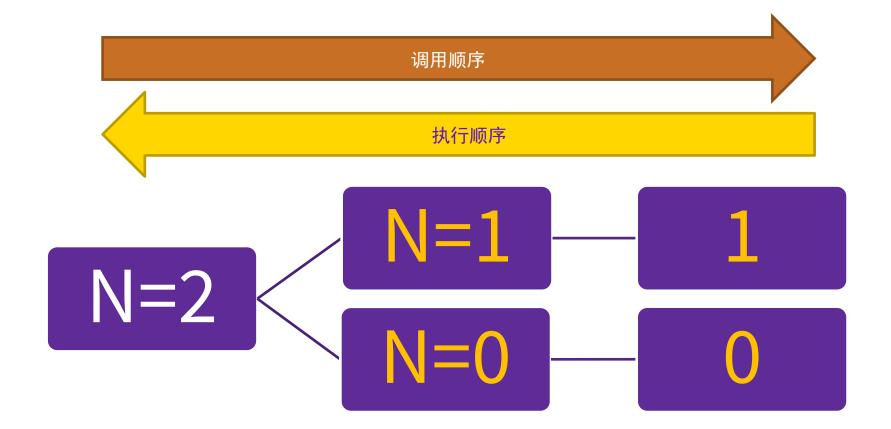
0 1 55 9227465

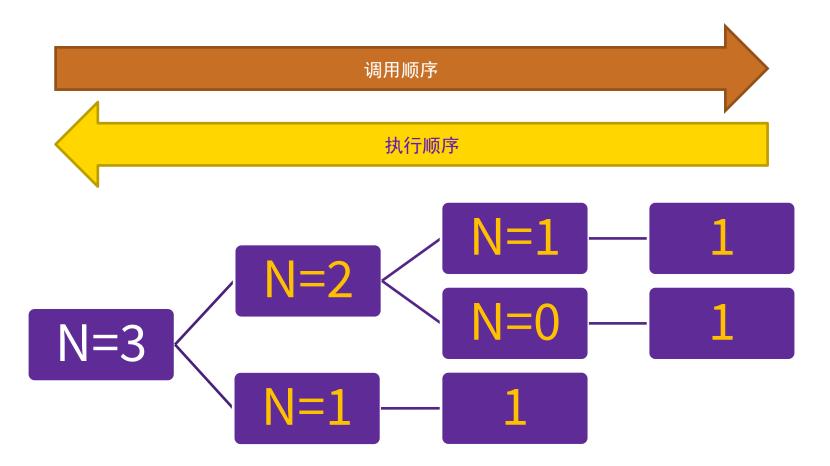
Exercise:

1.  $a_1 = 1, a_2 = 2, a_3 = 3,$   $a_{n+3} = 3a_{n+2} - 2a_{n+1} + a_n$ 2. f(0,n) = 1, f(m,0) = mf(m,n) = f(m-1,n) + f(m,n-1) - f(m-1,n-1)

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#### **Bits Problems**

- Compute the binary form of an integer
- Sum of bits: the sum of all the bits
- Length of an integer
- Inverse an integer. Don't use str

```
def binary_int(n):
    return str(n) if n == 0 or n == 1 else binary_int(n // 2) + str(n % 2)

print(binary_int(0), binary_int(1), binary_int(2), binary_int(3), binary_int(4))
```

0 1 10 11 100

```
def sum_of_bits(n):
    return n if n == 0 or n == 1 else sum_of_bits(n // 2) + (n & 1)

print(sum_of_bits(7), sum_of_bits(5), sum_of_bits(4), sum_of_bits(1))
```

```
def length_int(n):
    return 1 if n < 10 else length_int(n // 10) + 1

def inverse_int(n):
    return n if n < 10 else (n % 10) * 10 ** (length_int(n) - 1) + inverse_int(n // 10)

print(inverse_int(1001), inverse_int(1000), inverse_int(123456789))</pre>
```

1001 1 987654321

#### Infinite recursive function

Recursive functions without base case will lead to errors.

```
94  def print_test(str1):
95     print_test(str1)
96
97  print_test("Hello world")
```

```
Traceback (most recent call last):
    File "C:\Users\fcheng\OneDrive\CS124计算导论\2018\lecture notes\2.py", line 5, in <module>
        print_test("Hello world")
    File "C:\Users\fcheng\OneDrive\CS124计算导论\2018\lecture notes\2.py", line 3, in print_test
        print_test(str)
    [Previous line repeated 995 more times]
RecursionError: maximum recursion depth exceeded
```

#### a\*\*n

- How to implement  $a^n$ , where n is an integer
- $y = a^{n/2}$
- $a = y \times y$

```
def my_pow(a, n):
        if n == 0:
            return 1
        t = my_pow(a, n // 2)
        return t * t if n % 2 == 0 else t * t * a
 9
10
    for i in range(4):
        print(my_pow(2, i))
11
```

#### **Ackermann function**

For nonnegative integers m and n, A(m, n) is defined as follows:

- 1. A(0,n) = n+1
- 2. A(m+1,0) = A(m,1)
- 3. A(m+1,n+1) = A(m,A(m+1,n))
- Its value grows very rapidly; for example,
   A(4,2) results in 2<sup>65536</sup> 3, an integer of 19,729 decimal digits.

```
1  def Ackermann(m, n):
2    if m == 0:
3        return n + 1
4    if n == 0:
5        return Ackermann(m - 1, 1)
6
7    return Ackermann(m - 1, Ackermann(m, n - 1))
8
9
10  print(Ackermann(3, 6))
11  print(Ackermann(4, 0))
```

```
    m
    0
    1
    2
    3
    4

    0
    1
    2
    3
    4
    5

    1
    2
    3
    4
    5
    6

    2
    3
    4
    5
    6

    2
    3
    5
    7
    9
    11

    3
    5
    13
    29
    61
    125

    13
    65533
    2^{65536} - 3
    2^{2^{65536}} - 3
    2^{2^{25536}} - 3

    4
    = 2^{2^2} - 3
    = 2^{2^2^2} - 3
    = 2^{2^{2^2^2}} - 3
    = 2^{2^{2^2^2^2}} - 3

    = 2^{1} + 3 - 3
    = 2 + 1 + 3 - 3
    = 2 + 1 + 3 - 3
    = 2 + 1 + 3 - 3
    = 2 + 1 + 3 - 3
```

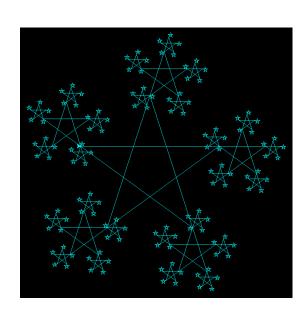
Values of A(m, n)

509 13

#### **Fractal**

In Python, turtle graphics provides a representation of a physical "turtle" (a little robot with a pen) that draws on a sheet of paper on the floor.

```
import turtle
tur = turtle.Turtle()
tur.speed(6)
tur.getscreen().bgcolor("black")
tur.color("cyan")
tur.penup()
tur.goto((-200, 50))
tur.pendown()
def star(turtle, size):
    if size <= 10:
        return
        for i in range(5):
            turtle.forward(size)
            star(turtle, size / 3)
            turtle.left(216)
star(tur, 360)
turtle.done()
```



https://docs.python.org/3/library/turtle.html

#### Sort

- Given a list of integers: number= [7, 3, 4, 5, 1, 2, 3]. Rearrange the numbers in ascending order
- Divide the list into two parts in equal sizes: number1, number2
- Sort number1 and number2, respectively
- Merge the sorted number1 and number2 to recover number

```
def merge(number, left, right):
    pass
def merge sort(number, left, right):
    if left >= right: return
    merge sort(number, left, (left+right)//2)
    merge_sort(number, (left+right)//2+1, right)
    merge(number, left, right)
number = [-x for x in range(10)]
merge sort(number, 0, 9)
print(number)
```

#### **Nested List**

- Find the sum of the numbers in a nested list
- [[1, [1], [1]], [2], [3]]

```
def sum of nested(number):
    if len(number) == 0:
        return 0
    if type(number[-1]) != type([]):
        return number[-1] + sum_of_nested(number[0:-1])
    return sum_of_nested(number[-1]) + sum_of_nested(number[0:-1])
number_lst = [[1, [1], [1]], [2], [3], 1, 1, 1]
print(sum of nested(number lst))
number lst = [[[[[1]]]]]]
print(sum_of_nested(number_lst))
number_lst = [[[[[[]]]]]]]
print(sum of nested(number lst))
```

11 1 0

# Binary\_search

- Given a non-decreasing list of numbers: S=[-3, -4, 1, 3, 5], find whether  $x \in S$
- Halving S equally, search the left or the right part according to the comparison of x and mid

```
def binary search(number, x, left, right):
    if left > right:
        return None
    mid = (left + right) // 2
    if number[mid] == x:
        return mid
    if number[mid] > x:
        return binary search(number, x, left, mid - 1)
    return binary_search(number, x, mid + 1, right)
number = [-3, -4, 1, 3, 5]
print(binary search(number, -6, 0, len(number) - 1))
print(binary_search(number, -3, 0, len(number) - 1))
print(binary search(number, 1, 0, len(number) - 1))
print(binary search(number, 0, 0, len(number) - 1))
print(binary_search(number, 5, 0, len(number) - 1))
print(binary_search(number, 7, 0, len(number) - 1))
```

None 0 2 None 4 None

### permutation

- 问题: 生成1,...,n的所有排列
- 问题具有递归的特点: 1-n可以由1-(n-1)插入n得到
- 函数定义 def perm(n): # return list: 每个元素是1-n的一个排列 [(), (), (),...]

```
import pprint as pp

pp.pprint(perm(1))
pp.pprint(perm(2))
pp.pprint(perm(3))
pp.pprint(perm(4))
pp.pprint(perm(5))
pp.pprint(perm(5))
```

pprint — Data pretty printer
https://docs.python.org/3/library/pprint.html#module-pprint

#### 扩展问题

- 1. 有序排列
- 2. n选r排列
- n选r组合

```
print(perm(1))
print(perm(2))
print(perm(3))
print(perm(3))
print(perm(4))
[(1,1)]
[(2, 1), (1, 2)]
[(3, 2, 1), (2, 3, 1), (2, 1, 3), (3, 1, 2), (1, 3, 2), (1, 2, 3)]
[(4, 3, 2, 1), (3, 4, 2, 1), (3, 2, 4, 1), (3, 2, 1, 4), (4, 2, 3, 1), (2, 4, 3, 1), (2, 3, 4, 1), (2, 3, 1, 4), (4, 2, 1, 3), (2, 1, 4, 3), (2, 1, 3, 4), (4, 3, 1, 2), (3, 4, 1, 2), (3, 1, 2, 4), (4, 1, 3, 2), (1, 3, 4, 2), (1, 3, 4, 2), (1, 3, 2, 4), (4, 1, 2, 3), (1, 2, 3, 4)]
```

# **Increasing permutations**

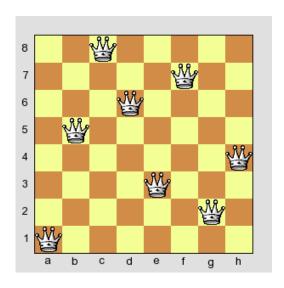
```
def perm1(n):
   if n==1:
       return [(1,)]
   lst = perm1(n-1)
   ans = []
   for i in range(1,n+1): # 把元素 i 放到首位
       for x in 1st:
           lx = list(x)
           for ii in range(n-1): # 用i+1,i+2,...,n代替原来排列中的i,i+1,...,n-1
               if lx[ii]>=i:
                  lx[ii] += 1
           nx = (i,)+tuple(lx)
           ans.append(nx)
   return ans
```

```
print("Increasing permuation:")
print(perm1(1))
print(perm1(2))
print(perm1(3))
print(perm1(4))
```

```
import pprint as pp
pp.pprint("Increasing permuation:")
pp.pprint(perm1(1))
pp.pprint(perm1(2))
pp.pprint(perm1(3))
pp.pprint(perm1(4))
```

# **Eight Queens**

- Find the number of solutions for 8 Queens problem
  - How to check whether a given solution is valid
  - How to generate all the possible solutions
  - O Ans: 92



如何表示一个正确的解

表示: 用元组f表示放在各行的皇后的列号, 那么f必须是1, ···, 8的一个排列。

思路: 枚举1-8的所有排列,判断各个排列是不是合法的皇后放置方式 (不同行、不同列,不同对角线)

最多8! 种可能

# **Eight Queens: Code**

- Python中自带了permutation函数,可以生成各种排列组合
- 本问题中,和前面的perm函数等价(比较测试你的代码是否正确)

```
from itertools import permutations
    perm = permutations(list(range(8)))
    ans = 0
    def valid(sln):
        for i in range(8):
            for j in range(i+1, 8):
                if abs(sln[i]-sln[j]) == abs(i-j):
                     return False
10
        return True
11
12
    for x in perm:
        if valid(x):
13
14
            ans += 1
15
    print(f"The number of solutions is {ans}")
```

规范:循环的迭代深度不超过**2**轮,超过了用函数

#### Hanoi



- The Tower of Hanoi (汉诺塔) is a mathematical game or puzzle.
- It consists of three rods and a number of disks of different sizes, which can slide onto any rod.
- The puzzle starts with the disks in a neat stack in ascending order of size on one rod, the smallest at the top, thus making a conical shape.
- The objective of the puzzle is to move the entire stack to another rod, obeying the following simple rules:
  - A. Only one disk can be moved at a time.
  - B. Each move consists of taking the upper disk from one of the stacks and placing it on top of another stack or on an empty rod.
  - C. No disk may be placed on top of a smaller disk

Give a solution to this problem.

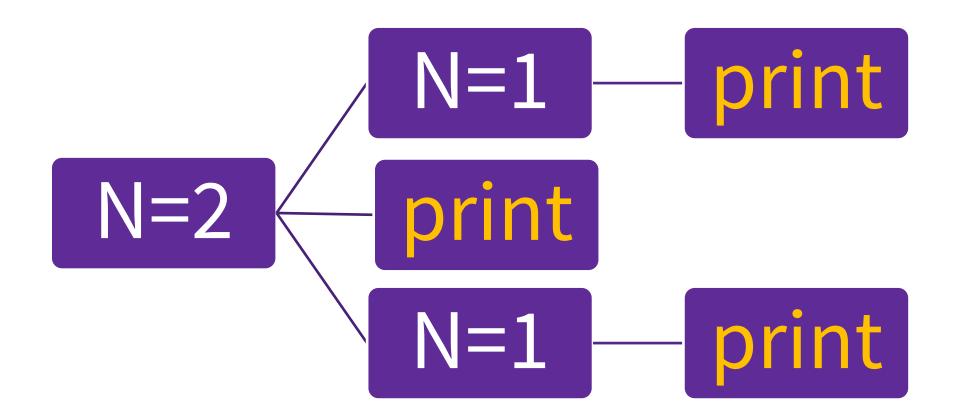
假定,任务是从圆柱1,将圆盘经过圆柱2,全部移动到圆柱3。输出移动的过程

```
def Hanoi(n, x, y, z):
        if n == 1:
            print(f"{n}: {x}->{z}")
            return
        Hanoi(n - 1, x, z, y)
        print(f"{n}: {x}->{z}")
        Hanoi(n - 1, y, x, z)
    print("Hanoi: n = 1")
    Hanoi(1, 1, 2, 3)
13
    print("Hanoi: n = 2")
    Hanoi(2, 1, 2, 3)
    print("Hanoi: n = 3")
    Hanoi(3, 1, 2, 3)
```

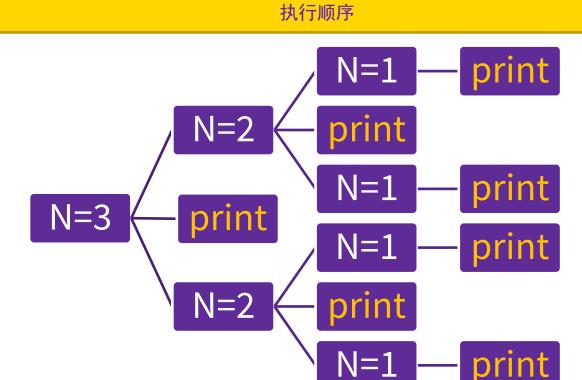
Hanoi: n = 11: 1->3 Hanoi: n = 21: 1->2 2: 1->3 1: 2->3 Hanoi: n = 31: 1->3 2: 1->2 1: 3->2 3: 1->3 1: 2->1  $2: 2 \rightarrow 3$ 1: 1->3

函数可以递归定义。但是函数调用时,即使函数 名相同,参数不同也可以认为是不同的函数调用

# N=1 print



#### 调用顺序



# 递归函数

- 函数:一段具有某种功能的代码。
  - 函数执行结束,表示着已经完成了函数所定义的功能
- 功能可以是:
  - 函数值,譬如gcd(简单,好理解)
  - 抽象的一组事件。譬如Hanoi(抽象,要仔细分析)
  - 有问题???从定义出发思考
- 递归函数:
  - 1. 自己调用自己 : 从一个参数状态到另一个参数状态的转移(状态转移)
  - 2. 初始状态结束递归(保证不会死循环)
  - 3. 一个函数调用由 函数名+参数 决定,参数不同、函数名相同也是不同的调用

函数:一段具有某种功能的代码。函数执行结束,表示着已经完成了函数所定义的功能

```
以函数Hanoi(n, x, y, z)为例:
定义功能:输出将n个盘子从x途经y搬到z的过程(学习体会!)
     由于Hanoi中每个小盘子只能放到大盘子上
首先要把盘子n,从x搬到z。必须先将1-(n-1)号盘子先搬到中间点y。
Hanoi(n-1, x, z, y) # 调用函数 n-1, x, z, y。函数结束运行后,表明已经完成了定义的功能(即输出了所有的步
骤!细细体会!)
然后将n号盘子从x搬到z。
最后一步, 我们需要将1-(n-1)号盘子从y搬到z, 递归调用即可
Hanoi(n-1, y, x, z)#调用函数 n-1, x, z, y。函数结束运行后,表明已经完成了定义的功能(即输出了所有的步骤!
细细体会!)
def Hanoi(n, x, y, z):
   if n == 1: # 确保函数会结束递归
      print(f''(n): \{x\} - > \{z\}'')
      return
#下面三个函数调用完整地实现了Hanoi既定的功能
  Hanoi(n-1, x, z, y)
  print(f"{n}: {x}->{z}")
```

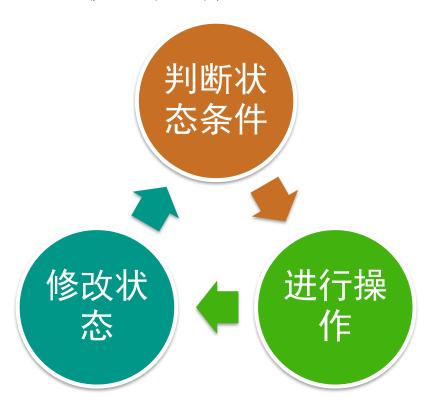
Hanoi(n-1, y, x, z)

# 递归与循环

- 递归调用其实是个循环过程
- 递归函数调用需要额外时间、空间开销:传递参数,保存中间值,切换函数
  - 循环比函数递归更高效
- 递归函数更容易写,更符合人的思维模式
- 递归函数是把复杂问题转化为简单问题 初学者滥用递归:能用递归的地方一律递归
- 循环是从简单条件出发一步步构造复杂情况
- Life is short, use python: Python给大家很多便利, 更符合人的思维
- 计算机的思维: 0/1
  - 你只能用"三角形盖房子"
  - 程序员来适应计算机
- 任何程序都可以用赋值、逻辑语句、判断语句、循环语句、跳转语句实现
- 难点:循环语句
  - while是一个复杂过程
- 如何通过设计一个循环来完成一个复杂的功能

递归:从一般到特殊;循环:从特殊到一般

while: 状态更新





# 递归与循环

计算一个数各位数字的和

```
def digit_sum(x):
    ans = 0
    for i in str(x):
        ans += int(i)
    return ans

def digit_sum_re(x):
    if x < 10:
        return x

    return x*10 + digit_sum_re(x//10)

def digit_sum_while(x):
    ans = 0
    while x>0:
        ans += x*10
        x //= 10

    return ans

print(digit_sum(123), digit_sum_re(123), digit_sum_while(123))
```



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while: 必须明确地想清楚整个变化过程, 从i到i+1(递归函数自动完成) 并不是所有的递归都可以很轻松地用while写

# 递归与循环

计算Fibonacci序列第n项

```
def fib(n):
       return 0
    if n == 1:
    return fib(n-1) + fib(n-2)
def fib_loop_1(n):
    lst = [0]*(n+1)
    lst[1] = 1
    for i in range(2, n+1):
        lst[i] = lst[i-1] + lst[i-2]
    return lst[n]
def fib_loop_2(n):
    if n == 0:
       return 0
    if n == 1:
    x1, x2 = 0, 1
    ans = 0
    for i in range(2, n+1):
       ans = x1 + x2
       x1, x2 = x2, ans
    return ans
print(fib(20), fib_loop_1(20), fib_loop_2(20))
```



- while: 必须明确地想清楚整个变化过程, 从i到i+1(递归函数自动完成)
- 并不是所有的递归都可以很轻松地用while写

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#### **Recursive Functions** → **Loop**

- 1. Write a Python program to calculate the sum of a list of numbers
- 2. Write a Python program to converting an integer to a string in any base.
- 3. Write a Python program of recursion list sum
  - 1. Test Data: [1, 2, [3,4], [5,6]]
  - 2. Expected Result: 21
- 4. Write a Python program to get the factorial of a non-negative integer
- 5. Write a Python program to get the sum of digitals of a non-negative integer
  - 1. Test Data:
  - 2. sumDigits(345) -> 12
  - 3. sumDigits(45) -> 9
- Write a Python program to calculate the geometric sum of n items

Note: In mathematics, a geometric series is a series with a constant ratio between successive terms

Example:

$$\sum_{i=0}^{n} a_i$$

- 7. Write a Python program to calculate the value of 'a' to the power 'b'
  - Test Data: power(3,4) -> 81
- 8. Fibonacci, gcd, climbing steps, binary search