
Chapter Nine

Searching and Hashing

Revision - Searching

- Searching is a process of **checking** and **finding an element** from a list of elements.
- Let A be a collection of data elements, *i.e.*, A is a linear array of say n elements. If we want to find the presence of an element “**data**” in A , then we have to search for it.
- The search is successful if **data** does appear in A and unsuccessful if otherwise.
- There are several types of searching techniques; one has some advantage(s) over other.
- Following are the **four important** searching techniques :
 - » Linear or Sequential Searching
 - » Binary Searching
 - » **Interpolation Searching**
 - » **Fibonacci Searching**

Interpolation Search

- This method is even **more efficient** than binary search, if the elements are **uniformly distributed** (or sorted) in an array A.
- Its average case complexity is **$O(\log \log N)$** where N is the number of keys.
- Consider an array A of n elements and the elements are uniformly distributed (sorted).
- Initially, as in binary search, **low is set to 0** and **high is set to $n-1$** .
- Now we are searching an element key in an array between **$A[\text{low}]$ and $A[\text{high}]$** .
- The key would be expected to be at **mid**, which is an approximately position.
 - » $\text{mid} = \text{low} + (\text{high} - \text{low}) \times ((\text{key} - A[\text{low}]) / (A[\text{high}] - A[\text{low}]))$

Cont'd

- If key is lower than $A[\text{mid}]$, reset high to $\text{mid}-1$; else reset low to $\text{mid}+1$.
- Repeat the process until the key has found or $\text{low} > \text{high}$.
- Example: 2, 25, 35, 39, 40, 47, 50
 - » **CASE 1:** Say we are **searching 50** from the array.
 - Here $n = 7$
 - Key = 50
 - low = 0
 - high = $n - 1 = 6$
 - $\text{mid} = 0 + (6 - 0) \times ((50 - 2) / (50 - 2))$
 - $= 6 \times (48 / 48) = 6$
 - if (key == $A[\text{mid}]$)
 - $\Rightarrow \text{key} == A[6]$
 - $\Rightarrow 50 == 50$
 - » \Rightarrow key is found.

Cont'd

□ **CASE 2:** Say we are searching 34 from the array

- » Here $n = 7$ Key = 34
- » low = 0
- » high = $n - 1 = 6$
- » mid = $0 + (6 - 0) \times ((34 - 2)/(34 - 2))$
- » = $6 \times (32/48)$
- » = 4
- » if(key < A[mid])
- » \Rightarrow key < A[4]
- » $\Rightarrow 34 < 40$
- » so reset high = mid-1
- » $\Rightarrow 3$
- » low = 0
- » high = 3

Cont'd

- » Since ($low < high$)
- » $mid = 0 + (3 - 0) \times ((34 - 2) / (39 - 2))$
- » $= 3 \times (32 / 37)$
- » $= \mathbf{2.59}$ Here we consider only the integer part of the mid
 - i.e., $mid = 2$
- » if ($key < A[mid]$)
- » $\Rightarrow key < A[2]$
- » $\Rightarrow 34 < 35$
- » so reset $high = mid - 1$
- » $\Rightarrow 1$
- » $low = 0$
- » $high = 1$

Cont'd

- » Since ($low < high$)
- » $mid = 0 + (1 - 0) \times ((34 - 2) / (25 - 2))$
- » $= 3 \times (32 / 23)$
- » $= 1$
- » here ($key > A[mid]$)
- » $\Rightarrow key > A[1]$
- » $\Rightarrow 34 > 25$
- » so reset $low = mid + 1$
- » $\Rightarrow 2$
- » $low = 2$
- » $high = 1$
- » Since ($low > high$)
- » DISPLAY “The key is not in the array”
- » STOP

Algorithm

- 1. Input a sorted array of n elements and the key to be searched
- 2. Initialize $low = 0$ and $high = n - 1$
- 3. Repeat the steps 4 through 7 until $if(low < high)$
- 4. $Mid = low + (high - low) \times ((key - A[low]) / (A[high] - A[low]))$
- 5. $If(key < A[mid])$
 - » (a) $high = mid - 1$
- 6. $Elseif (key > A[mid])$
 - » (a) $low = mid + 1$
- 7. Else
 - » (a) DISPLAY “ The key is not in the array”
 - » (b) STOP
- 8. STOP

Implementation

```
□ class interpolation
□ {
□ int Key;
□ int Low,High,Mid;
□ public:
□ void InterSearch(int*,int);
□ };
□ //This function will search the element using interpolation search
□ void interpolation::InterSearch(int *Arr,int No)
□ {
□ int Key;
□ //Assigning the pointer low and high
□ Low=0;High=No-1;
□ //Inputting the element to be searched
□ cout<<"\n\nEnter the Number to be searched = ";
□ cin>>Key;
```

Cont'd

```
□ while (Low < High)
□ {
□ //Finding the Mid position of the array to be searched
□ Mid=Low+(High-Low)*((Key-Arr[Low])/(Arr[High]-Arr[Low]));
□ if (Key < Arr[Mid])
□ //Re-initializing the high pointer if the
□ //key is greater than the mid value
□ High=Mid-1;
□ else if (Key > Arr[Mid])
□ //Re initializing the low pointer if the
□ //key is less than the mid value
□ Low=Mid+1;
□ else
□ {
□ //if the key value is equal to the mid value
```

Cont'd

```
□ //of the array, the key is found
□ cout<<"\nThe key "<<Key<<" is found at the location "<<Mid;
□ return;
□ }
□ };
□ cout<<"\n\nThe Key "<<Key<<" is NOT found";
□ }
□ void main()
□ {
□ int *a,n,*b;
□ interpolation Ob;
□ clrscr();
□ cout<<"\n\nEnter the number of elements : ";
□ cin>>n;
□ a=new int[n];
□ b=a;
```

Cont'd

```
□ //Input the elements in the array
□ for (int i=0;i<n;i++)
□ {
□ cout<<"\nEnter the "<<i<<" element : ";
□ cin>>*a;
□ a++;
□ }
□ //calling the InterSearch function using objects
□ Ob.InterSearch(b,n);
□ cout<<"\n\nPress any key to continue...";
□ getch();
□ }
```

Fibonacci Search

- Fibonacci Search – Reading Assignment

Hashing

- **Hashing** is a technique where we can compute the location of the **desired record** in order to retrieve it **in a single access** (or comparison).
- Suppose we were to come up with a “***magic function***” that, given **a value to search for**, would tell us **exactly** where in the array to look.
 - » If it's in that location, it's in the array.
 - » If it's not in that location, it's not in the array.
- This function would have no other purpose.
- If we look at the function's inputs and outputs, they probably won't “make sense”.
- This function is called a **hash function** because it “**makes hash**” of its inputs.

Cont'd

- Key-value pairs are stored in a fixed size table called a *hash table (symbol table)*.
 - » A hash table is partitioned into many *buckets*.
 - » Each bucket has many *slots*.
 - » Each slot holds **one record**.
 - » A hash function $h(x)$ transforms the identifier (key) into an address in the hash table
- The process of implementing this hash table (symbol table) is called *hashing* which is both conceptually **simple and very efficient**.
- Search tree methods: key comparisons
 - » Time complexity: $O(\text{size})$ or $O(\log n)$
- Hashing methods: hash functions
 - » **Expected time: $O(1)$**

Cont'd

- Following are the most popular **Distribution - Independent hash functions** :
 - » Division method
 - $H(k) = k \pmod{m}$
 - » Mid Square method
 - $H(k) = k^2$ and the middle digits will be selected as a key.
 - » Folding method.
 - $H(k) = k_1 + k_2 + \dots + k_r$

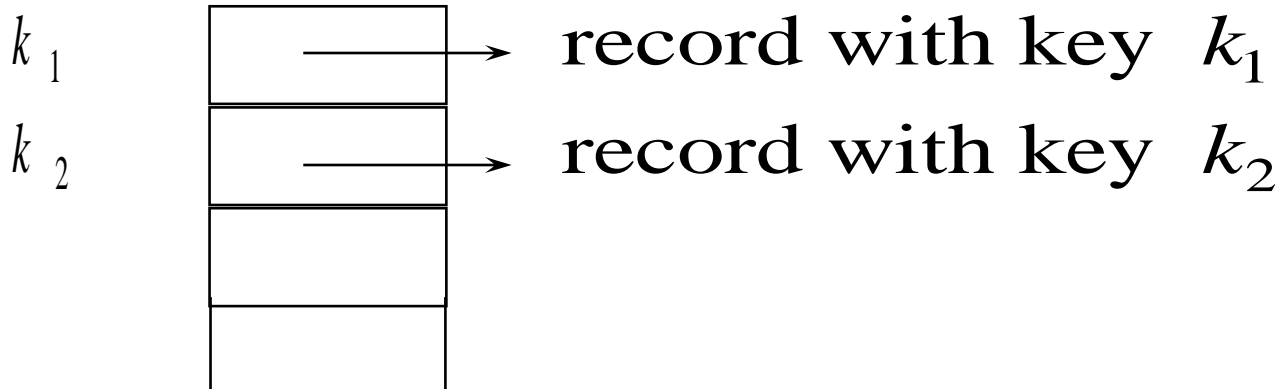
Cont'd

| | | s slots | | | | |
|-----------|-----|---------------------|---------------------|-----------|--|---------------------|
| | | 0 | 1 | | | s-1 |
| b buckets | 0 | | | ▪ ▪ ▪ | | |
| | 1 | | | | | |
| | | ▪ ▪ ▪ | ▪ ▪ ▪ | | | ▪ ▪ ▪ |
| | b-1 | | | ▪ ▪ ▪ | | |

Cont'd

- **Observation:** We can store a set very easily if we can use its keys as array indices:

- A:



- e.g. $\text{SEARCH}(A, k)$
- return $A[k]$

Cont'd

- **Problem:** usually, the number of **possible keys** is *far larger* than the *number of keys actually stored*, or even than available memory. (E.g., strings.)
- **Idea of hashing:** use a **function h** to map keys into a smaller set of indices, say the integers $0 \dots m$. This function is called a *hash function*.
- E.g. $h(k)$ = position of k 's **first letter** in the alphabet.

$h(\text{"Andy"}) = 1$

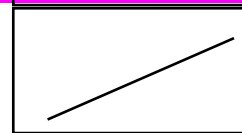
T:1



Andy

$h(\text{"Cindy"}) = 3$

2



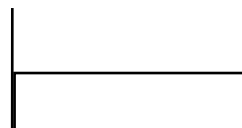
3



Cindy

$h(\text{"Tony"}) = 20$

20



Tony

$h(\text{"Thomas"}) = 20$... oops ...

Problem: *Collisions*. They are *inevitable* if there are more possible key values than table slots.

Cont'd

- Uses an array `table[0:b-1]`.
 - » Each position of this array is a `bucket`.
 - » A bucket can normally hold only one dictionary pair.
- Uses a hash function `h` that converts each key `k` into an index in the range `[0, b-1]`.
- Every dictionary pair `(key, element)` is stored in its home bucket `table[h[key]]`.

Cont'd

- Pairs are: (22,a), (33,c), (3,d), (73,e), (85,f).
- Hash table is $\text{table}[0:7]$, $b = 8$.
- Hash function is $\text{key} \pmod{11}$.

| | | | | | | | |
|-------|-----|--------|--------|-----|-----|--------|--------|
| (3,d) | | (22,a) | (33,c) | | | (73,e) | (85,f) |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] |

Cont'd

| | | | | | | | |
|-------|-----|--------|--------|-----|-----|--------|--------|
| (3,d) | | (22,a) | (33,c) | | | (73,e) | (85,f) |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] |

- Where does (26,g) go?
- Keys that have the same home bucket are **synonyms**.
 - » 22 and 26 are synonyms with respect to the hash function that is in use.
- The bucket for (26,g) is already occupied.

Collisions

- When ***two values*** hash to the ***same array location***, this is called a **collision**.
- ***Collisions*** are normally treated as “first come, first served” - the ***first value*** that hashes to the location gets it.
- We have to find something to do with the second and subsequent values that hash to this same location.

Resolving Collisions

- Let's assume for now that our hash function is OK, and deal with the collision resolution problem.
- Two groups of solutions:
 - » Store the colliding key in the hash-table array. (“***Closed hashing***”)
 - » Store it somewhere else. (“***Open hashing***”)

Closed Hashing

- Store colliders in the hash table array itself:

T:

| | |
|---|-------|
| 1 | Andy |
| 2 | |
| 3 | Cindy |
| | |

(“Closed hashing” or
“Open addressing”)

| | |
|----|------|
| 20 | Tony |
| 21 | |

Insert Thomas

| | |
|----|--------|
| 20 | Tony |
| 21 | Thomas |

Example: Insertion I

- Suppose you want to add **seagull** to this hash table
- Also suppose:
 - » `hashCode(seagull) = 143`
 - » `table[143]` is not empty
 - » `table[143] != seagull`
 - » `table[144]` is not empty
 - » `table[144] != seagull`
 - » `table[145]` is empty
- Therefore, put **seagull** at location 145

| | |
|-----|---------|
| ... | |
| 141 | |
| 142 | robin |
| 143 | sparrow |
| 144 | hawk |
| 145 | seagull |
| 146 | |
| 147 | bluejay |
| 148 | owl |
| ... | |

Searching I

- Suppose you want to look up **seagull** in this hash table
- Also suppose:
 - » `hashCode(seagull) = 143`
 - » `table[143]` is not empty
 - » `table[143] != seagull`
 - » `table[144]` is not empty
 - » `table[144] != seagull`
 - » `table[145]` is not empty
 - » `table[145] == seagull` !
- We found **seagull** at location 145

| | |
|-----|---------|
| ... | |
| 141 | |
| 142 | robin |
| 143 | sparrow |
| 144 | hawk |
| 145 | seagull |
| 146 | |
| 147 | bluejay |
| 148 | owl |
| ... | |

Searching II

- Suppose you want to look up **cow** in this hash table
- Also suppose:
 - » `hashCode(cow) = 144`
 - » `table[144]` is not empty
 - » `table[144] != cow`
 - » `table[145]` is not empty
 - » `table[145] != cow`
 - » `table[146]` is empty
- If **cow** were in the table, we should have found it by now
- Therefore, it isn't here

| | |
|-----|---------|
| ... | |
| 141 | |
| 142 | robin |
| 143 | sparrow |
| 144 | hawk |
| 145 | seagull |
| 146 | |
| 147 | bluejay |
| 148 | owl |
| ... | |

Insertion II

- Suppose you want to add **hawk** to this hash table
- Also suppose
 - » `hashCode(hawk) = 143`
 - » `table[143]` is not empty
 - » `table[143] != hawk`
 - » `table[144]` is not empty
 - » `table[144] == hawk`
- **hawk** is already in the table, so do nothing

| | |
|-----|---------|
| ... | |
| 141 | |
| 142 | robin |
| 143 | sparrow |
| 144 | hawk |
| 145 | seagull |
| 146 | |
| 147 | bluejay |
| 148 | owl |
| ... | |

Insertion III

- Suppose:
 - » You want to add **cardinal** to this hash table
 - » **hashCode(cardinal) = 147**
 - » The last location is 148
 - » 147 and 148 are occupied
- Solution:
 - » Treat the table as circular; after 148 comes 0
 - » Hence, **cardinal** goes in location 0 (or 1, or 2, or ...)

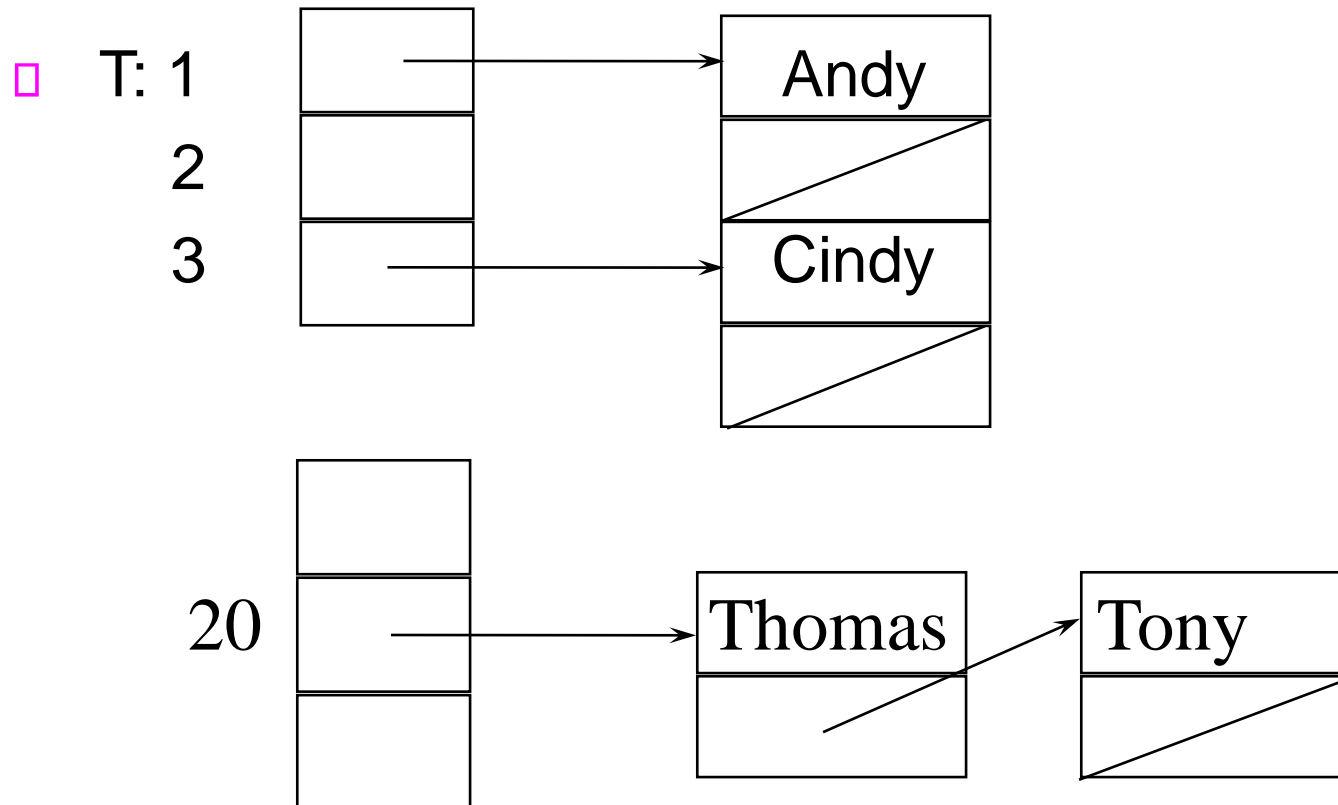
| | |
|-----|---------|
| ... | |
| 141 | |
| 142 | robin |
| 143 | sparrow |
| 144 | hawk |
| 145 | seagull |
| 146 | |
| 147 | bluejay |
| 148 | owl |

Closed Hashing...

- Advantage:
 - No extra storage for lists
- Disadvantages:
 - Harder to program
 - Harder to analyze
 - Table can overflow
 - Performance is worse

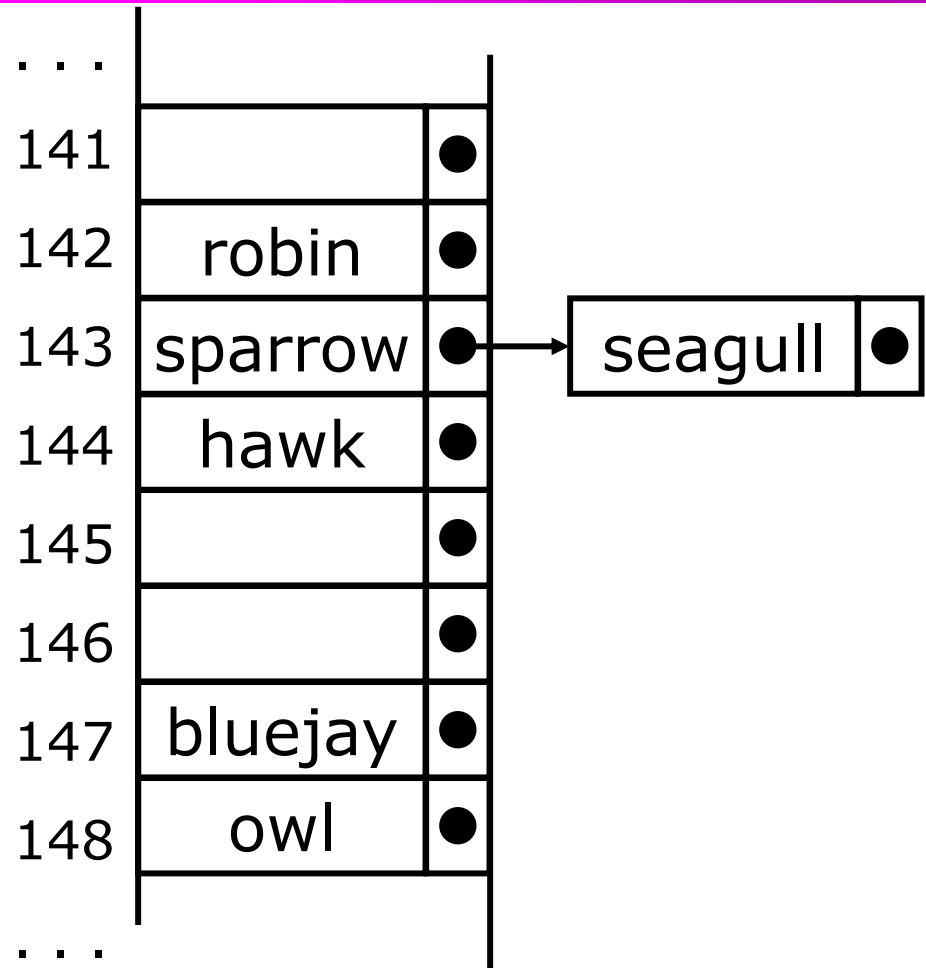
Open Hashing

- Put all the keys that hash to the same index onto a linked list. Each $T[i]$ called a **bucket** or **slot**.



Example: Insertion

- The previous solutions used **closed hashing**: all entries went into a “flat” (unstructured) array.
- Another solution is to make each array location the header of a ***linked list*** of values that hash to that location.



Application of Hashing

- Hashing is *vastly* more prevalent than trees for in-memory storage.

- Examples:
 - UNIX shell command cache
 - “arrays” in Icon, Awk, Tcl, Perl, etc.
 - Compiler symbol tables
 - Filenames on CD-ROM
 - And more...