

# COMP9024: Data Structures and Algorithms

Text Processing

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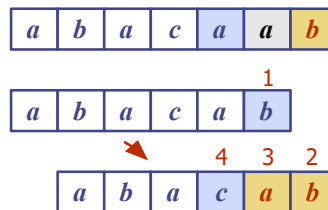
## Contents

- Pattern matching
- Tries
- Greedy method
- Text compression
- Dynamic programming

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# Pattern Matching



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## Strings

- A string is a sequence of characters
- Examples of strings:
  - Java program
  - HTML document
  - DNA sequence
  - Digitized image
- An alphabet  $\Sigma$  is the set of possible characters for a family of strings
- Example of alphabets:
  - ASCII
  - Unicode
  - $\{0, 1\}$
  - $\{A, C, G, T\}$
- Let  $P$  be a string of size  $m$ 
  - A substring  $P[i..j]$  of  $P$  is the subsequence of  $P$  consisting of the characters with ranks between  $i$  and  $j$
  - A prefix of  $P$  is a substring of the type  $P[0..i]$
  - A suffix of  $P$  is a substring of the type  $P[i..m-1]$
- Given strings  $T$  (text) and  $P$  (pattern), the pattern matching problem consists of finding a substring of  $T$  equal to  $P$
- Applications:
  - Text editors
  - Search engines
  - Biological research

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## Brute-Force Pattern Matching

- The brute-force pattern matching algorithm compares the pattern  $P$  with the text  $T$  for each possible shift of  $P$  relative to  $T$ , until either
  - a match is found, or
  - all placements of the pattern have been tried
- Brute-force pattern matching runs in time  $O(nm)$
- Example of worst case:
  - $T = aaa \dots ah$
  - $P = aaah$
  - may occur in images and DNA sequences
  - unlikely in English text

### Algorithm *BruteForceMatch*( $T, P$ )

**Input** text  $T$  of size  $n$  and pattern

$P$  of size  $m$

**Output** starting index of a substring of  $T$  equal to  $P$  or  $-1$  if no such substring exists

```
{ for (  $i = 0$ ;  $i < n - m + 1$ ;  $i++$  )
  { // test shift  $i$  of the pattern
     $j = 0$ ;
    while (  $j < m \wedge T[i + j] = P[j]$  )
       $j = j + 1$ ;
    if (  $j = m$  )
      return  $i$ ; // match at  $i$ 
  }
return  $-1$  // no match anywhere
}
```

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## Boyer-Moore Heuristics

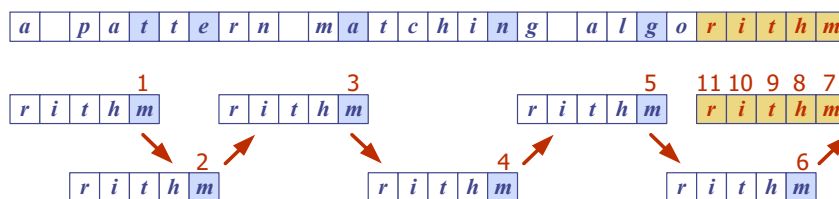
- The Boyer-Moore's pattern matching algorithm is based on two heuristics

**Looking-glass heuristic:** Compare  $P$  with a subsequence of  $T$  moving backwards

**Character-jump heuristic:** When a mismatch occurs at  $T[i] = c$

- If  $P$  contains  $c$ , shift  $P$  to align the last occurrence of  $c$  in  $P$  with  $T[i]$
- Else, shift  $P$  to align  $P[0]$  with  $T[i + 1]$

- Example



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## Last-Occurrence Function

- Boyer-Moore's algorithm preprocesses the pattern  $P$  and the alphabet  $\Sigma$  to build the last-occurrence function  $L$  mapping  $\Sigma$  to integers, where  $L(c)$  is defined as
  - the largest index  $i$  such that  $P[i] = c$  or
  - $-1$  if no such index exists
- Example:
  - $\Sigma = \{a, b, c, d\}$
  - $P = abacab$

$c$	$a$	$b$	$c$	$d$
$L(c)$	4	5	3	-1

- The last-occurrence function can be represented by an array indexed by the numeric codes of the characters
- The last-occurrence function can be computed in time  $O(m + s)$ , where  $m$  is the size of  $P$  and  $s$  is the size of  $\Sigma$

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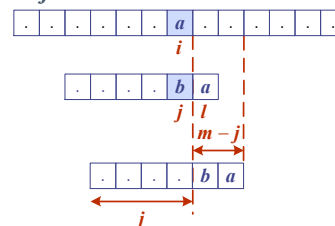
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## The Boyer-Moore Algorithm

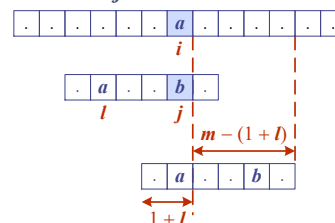
```

Algorithm BoyerMooreMatch( $T, P, \Sigma$ )
{
     $L = \text{lastOccurrenceFunction}(P, \Sigma)$ 
     $i = m - 1$ 
     $j = m - 1$ 
    repeat
        if ( $T[i] = P[j]$ )
        {
            if ( $j = 0$ )
                return  $i$  // match at  $i$ 
            else
                {
                     $i = i - 1;$ 
                     $j = j - 1;$ 
                }
        }
        else // character-jump
        {
             $l = L[T[i]];$ 
             $i = i + m - \min(j, 1 + l);$ 
             $j = m - 1;$ 
        }
    until ( $i > n - 1$ )
    return  $-1$  // no match
}
    
```

Case 1:  $j \leq 1 + l$



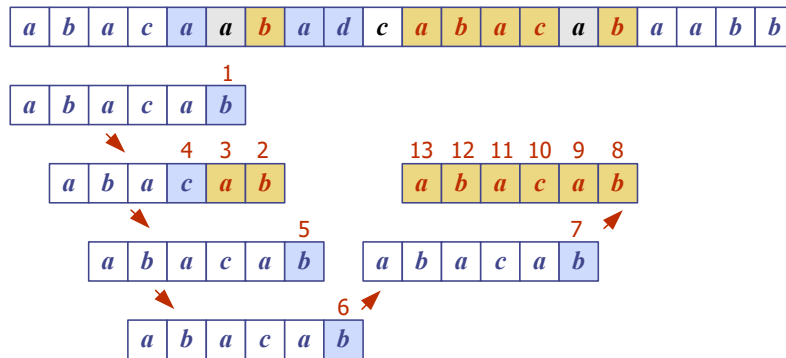
Case 2:  $1 + l \leq j$



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## Example

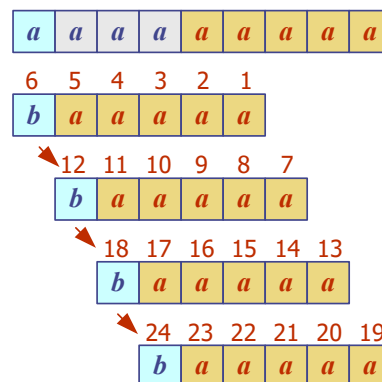


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## Analysis

- Boyer-Moore's algorithm runs in time  $O(nm + s)$
- Example of worst case:
  - $T = aaa \dots a$
  - $P = baaa$
- The worst case may occur in images and DNA sequences but is unlikely in English text
- Boyer-Moore's algorithm is significantly faster than the brute-force algorithm on English text

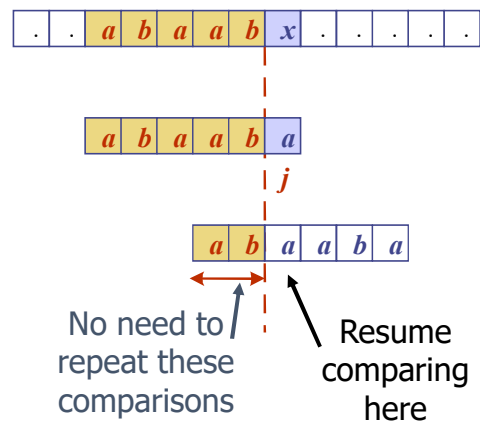


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## The KMP Algorithm

- Knuth-Morris-Pratt's algorithm compares the pattern to the text in **left-to-right**, but shifts the pattern more intelligently than the brute-force algorithm.
- When a mismatch occurs, what is the **most** we can shift the pattern so as to avoid redundant comparisons?
- Answer: the largest prefix of  $P[0..j-1]$  that is a suffix of  $P[1..j-1]$

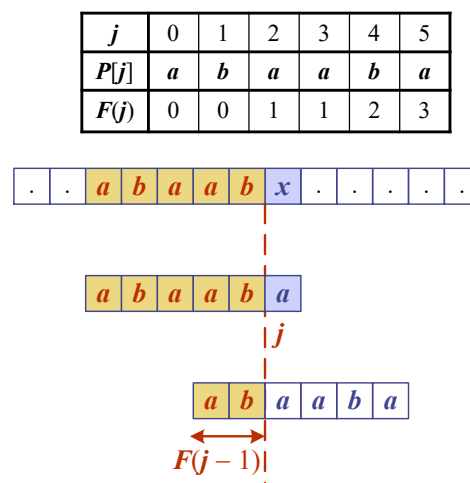


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## KMP Failure Function

- Knuth-Morris-Pratt's algorithm preprocesses the pattern to find matches of prefixes of the pattern with the pattern itself
- The **failure function**  $F(j)$  is defined as the size of the largest prefix of  $P[0..j]$  that is also a suffix of  $P[1..j]$
- Knuth-Morris-Pratt's algorithm modifies the brute-force algorithm so that if a mismatch occurs at  $P[j] \neq T[i]$  we set  $j \leftarrow F(j - 1)$



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## The KMP Algorithm

- The failure function can be represented by an array and can be computed in  $O(m)$  time
- At each iteration of the while-loop, either
  - $i$  increases by one, or
  - the shift amount  $i - j$  increases by at least one (observe that  $F(j - 1) < j$ )
- Hence, there are no more than  $2n$  iterations of the while-loop
- Thus, KMP's algorithm runs in optimal time  $O(m + n)$

```

Algorithm KMPMatch( $T, P$ )
{  $F = \text{failureFunction}(P)$ ;
   $i = 0$ ;
   $j = 0$ ;
  while ( $i < n$ )
    if ( $T[i] = P[j]$ )
      { if ( $j = m - 1$ )
        return  $i - j$ ; // match
        else
          {  $i = i + 1$ ;  $j = j + 1$ ; }
      }
    else
      if ( $j > 0$ )
         $j = F[j - 1]$ ;
      else
         $i = i + 1$ ;
    return  $-1$ ; // no match
}
    
```

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## Computing the Failure Function

- The failure function can be represented by an array and can be computed in  $O(m)$  time
- The construction is similar to the KMP algorithm itself
- At each iteration of the while-loop, either
  - $i$  increases by one, or
  - the shift amount  $i - j$  increases by at least one (observe that  $F(j - 1) < j$ )
- Hence, there are no more than  $2m$  iterations of the while-loop

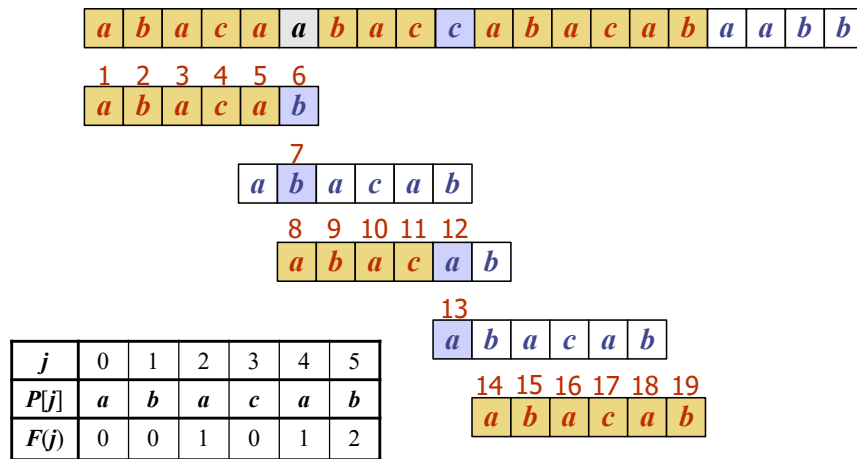
```

Algorithm failureFunction( $P$ )
{  $F[0] = 0$ ;
   $i = 1$ ;
   $j = 0$ ;
  while ( $i < m$ )
    if ( $P[i] = P[j]$ )
      { // we have matched  $j + 1$  char
         $F[i] = j + 1$ ;
         $i = i + 1$ ;
         $j = j + 1$ ; }
    else if ( $j > 0$ )
      // use failure function to shift  $P$ 
       $j = F[j - 1]$ ;
    else
      {  $F[i] = 0$ ; // no match
         $i = i + 1$ ; }
}
    
```

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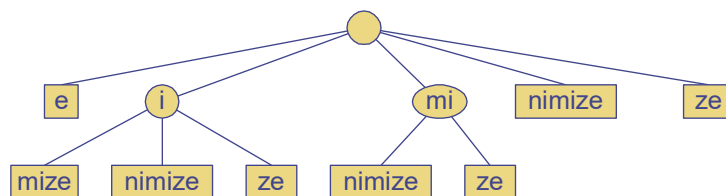
## Example



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## Tries



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## Preprocessing Strings

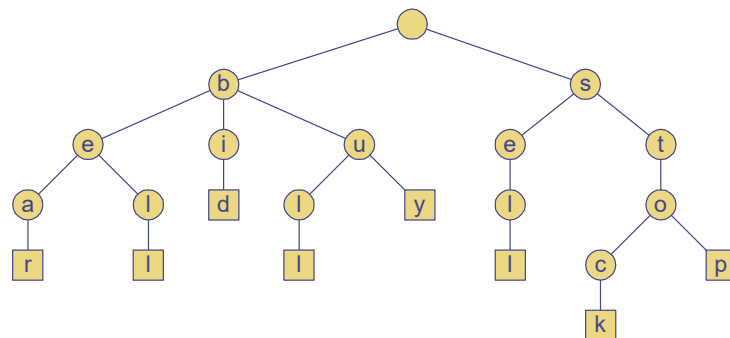
- Preprocessing the pattern speeds up pattern matching queries
  - After preprocessing the pattern, KMP's algorithm performs pattern matching in time proportional to the text size
- If the text is large, immutable and searched for often (e.g., works by Shakespeare), we may want to preprocess the text instead of the pattern
- A trie is a compact data structure for representing a set of strings, such as all the words in a text
  - A trie supports pattern matching queries in time proportional to the pattern size

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## Standard Tries

- The standard trie for a set of strings  $S$  is an ordered tree such that:
  - Each node but the root is labeled with a character
  - The children of a node are alphabetically ordered
  - The paths from the external nodes to the root yield the strings of  $S$
- Example: standard trie for the set of strings  
 $S = \{ \text{bear, bell, bid, bull, buy, sell, stock, stop} \}$

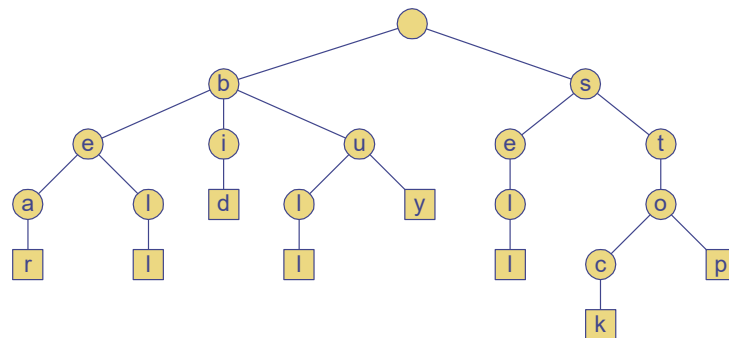


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## Analysis of Standard Tries

- A standard trie uses  $O(n)$  space and supports searches, insertions and deletions in time  $O(dm)$ , where:
  - $n$  total size of the strings in  $S$
  - $m$  size of the string parameter of the operation
  - $d$  size of the alphabet



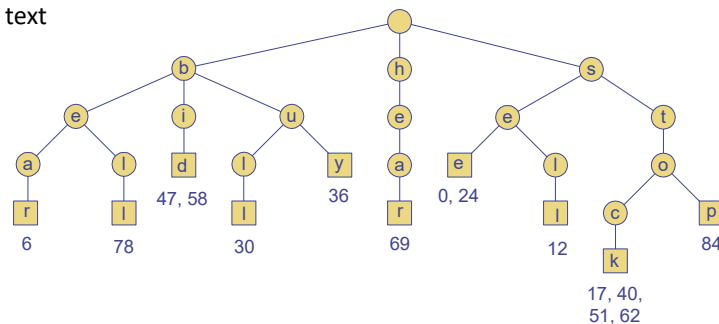
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## Word Matching with a Trie

- We insert the words of the text into a trie
- Each leaf stores the occurrences of the associated word in the text

s	e	e		a		b	e	a	r	?		s	e	i	l		s	t	o	c	k	!	
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
s	e	e		a		b	u	i	l	?		b	u	y		s	t	o	c	k	!		
24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	
b	i	d		s	t	o	c	k	!		b	i	d		s	t	o	c	k	!			
47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68		
h	e	a	r		t	h	e		b	e	i	l	?		s	t	o	p	!				
69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88				

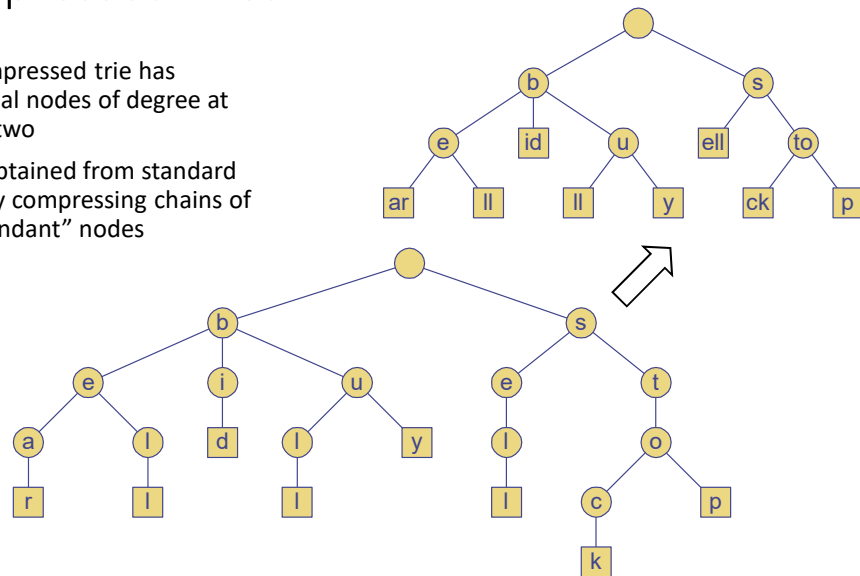


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## Compressed Tries

- A compressed trie has internal nodes of degree at least two
- It is obtained from standard trie by compressing chains of “redundant” nodes

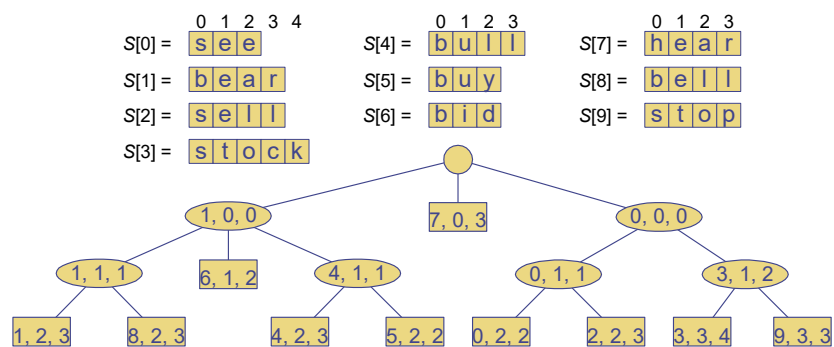


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## Compact Representation

- Compact representation of a compressed trie for an array of strings:
  - Stores at the nodes ranges of indices instead of substrings
  - Uses  $O(s)$  space, where  $s$  is the number of strings in the array
  - Serves as an auxiliary index structure

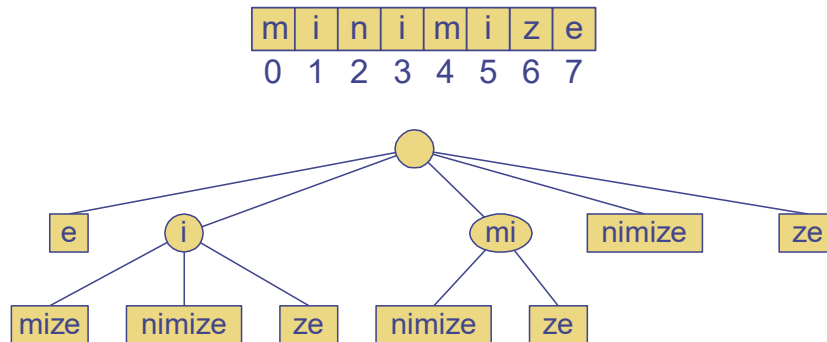


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# Suffix Trie

- The suffix trie of a string  $X$  is the compressed trie of all the suffixes of  $X$



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## Pattern Matching Using Suffix Trie (1/2)

```

Algorithm suffixTrieMatch( $T, P$ )
{
   $p = P.length$ ;  $j = 0$ ;  $v = T.root()$ ;
  repeat
  {
    for each child  $w$  of  $v$  do
    {
      // we have matched  $j + 1$  char
      childTraversed=false;  $i = start(w)$ ; // start( $w$ ) is the start index of  $w$ 
      if (  $P[j] = X[i]$  ) // process child  $w$ 
      {
        childTraversed=true;
         $x = end(w) - i + 1$ ; // end( $w$ ) is the end index of  $w$ 
        if (  $p \leq x$  )
          // suffix is shorter than or of the same length of the node label
          {
            if (  $P[j:j+p-1] = X[i:i+p-1]$  ) return  $i - j$ ;
            else return "P is not a substring of X";
          }
        else // the pattern goes beyond the substring stored at  $w$ 
        {
          if (  $P[j:j+x-1] = X[i:i+x-1]$  )
          {
             $p = p - x$ ; // update suffix length
             $j = j + x$ ; // update suffix start index
             $v = w$ ; break ;
          }
          else return "P is not a substring of X";
        }
      }
    }
  }
  until childTraversed=false or T.isExternal( $v$ );
  return "P is not a substring of X";
}

```

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## Pattern Matching Using Suffix Trie (2/2)

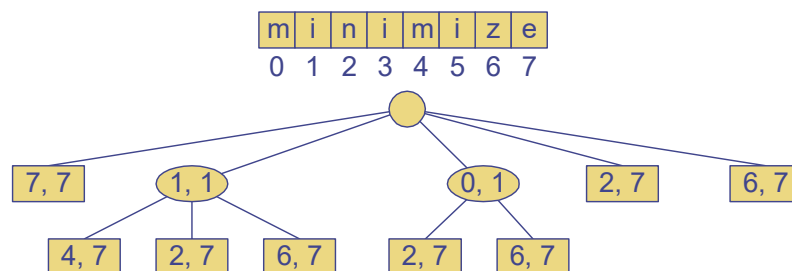
- Input of the algorithm:
  - Compact suffix trie  $T$  for a text  $X$  and pattern  $P$ .
- Output of the algorithm:
  - Starting index of a substring of  $X$  matching  $P$  or an indication that  $P$  is not a substring.
- The algorithm assumes the following additional property on the labels of the nodes in the compact representation of the suffix trie:
  - If node  $v$  has label  $(i, j)$  and  $Y$  is the string of length  $y$  associated with the path from the root to  $v$  (included), then  $X[j-y+1..j]=Y$ .
- This property ensures that we can easily compute the start index of the pattern in the text when a match occurs.

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## Analysis of Suffix Tries

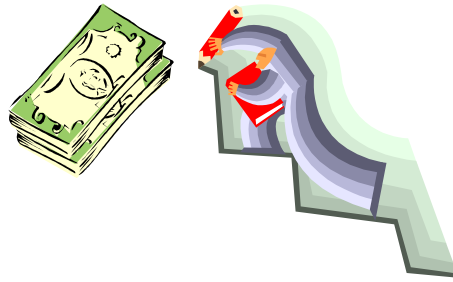
- Compact representation of the suffix trie for a string  $X$  of size  $n$  from an alphabet of size  $d$ 
  - Uses  $O(n)$  space
  - Supports arbitrary pattern matching queries in  $X$  in  $O(dm)$  time, where  $m$  is the size of the pattern
  - Can be constructed in  $O(n)$  time



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# Greedy Method and Text Compression



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## The Greedy Method Technique

- **The greedy method** is a general algorithm design paradigm, built on the following elements:
  - **configurations**: different choices, collections, or values to find
  - **objective function**: a score assigned to configurations, which we want to either maximize or minimize
- It works best when applied to problems with the **greedy-choice** property:
  - a globally-optimal solution can always be found by a series of local improvements from a starting configuration.

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## Text Compression

- Given a string X, efficiently encode X into a smaller string Y
  - Saves memory and/or bandwidth
- A good approach: **Huffman encoding**
  - Compute frequency  $f(c)$  for each character  $c$ .
  - Encode high-frequency characters with short code words
  - No code word is a prefix for another code
  - Use an optimal encoding tree to determine the code words

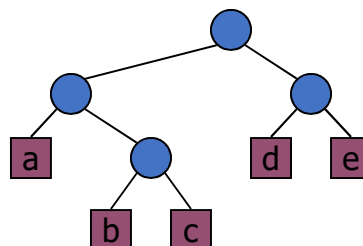
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## Encoding Tree Example

- A **code** is a mapping of each character of an alphabet to a binary code-word
- A **prefix code** is a binary code such that no code-word is the prefix of another code-word
- An **encoding tree** represents a prefix code
  - Each external node stores a character
  - The code word of a character is given by the path from the root to the external node storing the character (0 for a left child and 1 for a right child)

00	010	011	10	11
a	b	c	d	e

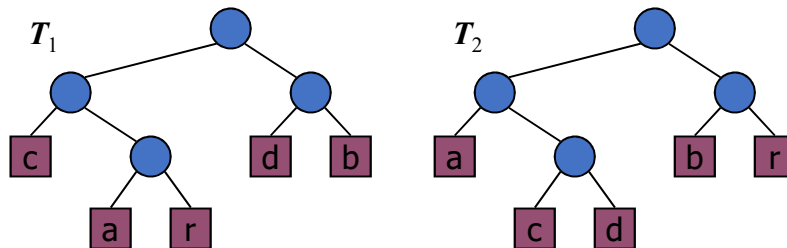


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## Encoding Tree Optimization

- Given a text string  $X$ , we want to find a prefix code for the characters of  $X$  that yields a small encoding for  $X$ 
  - Frequent characters should have short code-words
  - Rare characters should have long code-words
- Example
  - $X = \text{abracadabra}$
  - $T_1$  encodes  $X$  into 29 bits
  - $T_2$  encodes  $X$  into 24 bits



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## Huffman's Algorithm

- Given a string  $X$ , Huffman's algorithm constructs a prefix code that minimizes the size of the encoding of  $X$
- It runs in time  $O(n + d \log d)$ , where  $n$  is the size of  $X$  and  $d$  is the number of distinct characters of  $X$
- A heap-based priority queue is used as an auxiliary structure

```

Algorithm HuffmanEncoding( $X$ )
  Input string  $X$  of size  $n$ 
  Output optimal encoding trie for  $X$ 
  {
     $C = \text{distinctCharacters}(X)$ ;
    computeFrequencies( $C, X$ );
     $Q = \text{new empty heap}$ ;
    for all  $c \in C$ 
      {  $T = \text{new single-node tree storing } c$ ;
         $Q.\text{insert}(\text{getFrequency}(c), T)$ ; }
    while ( $Q.\text{size}() > 1$ )
      {  $f_1 = Q.\text{minKey}()$ ;
         $T_1 = Q.\text{removeMin}()$ ;
         $f_2 = Q.\text{minKey}()$ ;
         $T_2 = Q.\text{removeMin}()$ ;
         $T = \text{join}(T_1, T_2)$ ;
         $Q.\text{insert}(f_1 + f_2, T)$ ;
      }
    return  $Q.\text{removeMin}()$ ;
  }
  
```

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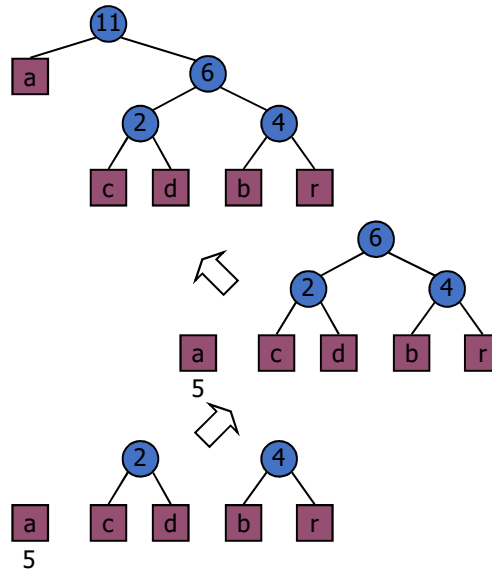
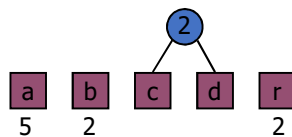
## Example

$X = \text{abracadabra}$

Frequencies

a	b	c	d	r
5	2	1	1	2

a	b	c	d	r
5	2	1	1	2



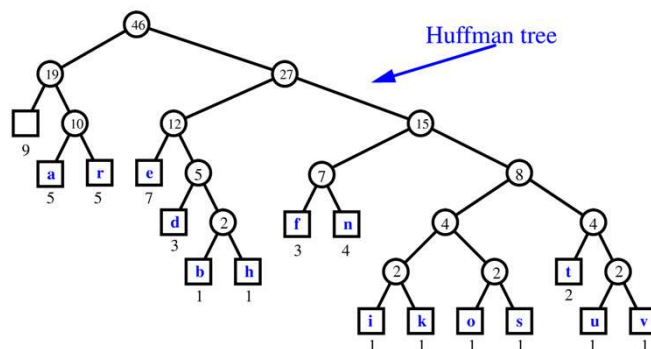
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## Extended Huffman Tree Example

String: **a fast runner need never be afraid of the dark**

Character	a	b	d	e	f	h	i	k	n	o	r	s	t	u	v
Frequency	9	5	1	3	7	3	1	1	1	4	1	5	1	2	1



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## The Fractional Knapsack Problem

- Given: A set  $S$  of  $n$  items, with each item  $i$  having
  - $b_i$  - a positive benefit
  - $w_i$  - a positive weight
- Goal: Choose items with maximum total benefit but with weight at most  $W$ .
- If we are allowed to take fractional amounts, then this is the **fractional knapsack problem**.
  - In this case, we let  $x_i$  denote the amount we take of item  $i$

- Objective: maximize 
$$\sum_{i \in S} b_i (x_i / w_i)$$

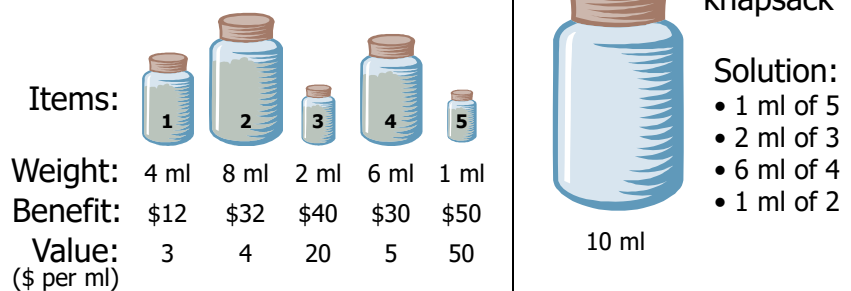
- Constraint: 
$$\sum_{i \in S} x_i \leq W$$

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## Example

- Given: A set  $S$  of  $n$  items, with each item  $i$  having
  - $b_i$  - a positive benefit
  - $w_i$  - a positive weight
- Goal: Choose items with maximum total benefit but with weight at most  $W$ .



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## The Fractional Knapsack Algorithm

- Greedy choice: Keep taking item with highest **value** (benefit to weight ratio)
  - Since  $\sum_{i \in S} b_i (x_i / w_i) = \sum_{i \in S} (b_i / w_i) x_i$
  - Run time:  $O(n \log n)$ . Why?
- Correctness: Suppose there is a better solution
  - there is an item  $i$  with higher value than a chosen item  $j$ , but  $x_i < w_i$ ,  $x_j > 0$  and  $v_i < v_j$
  - If we substitute some  $i$  with  $j$ , we get a better solution
  - How much of  $i$ :  $\min\{w_i - x_i, x_j\}$
  - Thus, there is no better solution than the greedy one

### Algorithm *fractionalKnapsack*( $S, W$ )

**Input:** set  $S$  of items with benefit  $b_i$  and weight  $w_i$ ; max. weight  $W$

**Output:** amount  $x_i$  of each item  $i$  to maximize benefit with weight at most  $W$

```

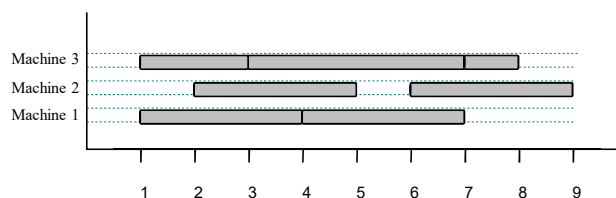
{ for each item  $i$  in  $S$ 
  {  $x_i = 0$ ;
     $v_i = b_i / w_i$ ; // value
  }
 $w = 0$ ; // total weight
while (  $w < W$  )
  { remove item  $i$  with highest  $v_i$ 
     $x_i = \min\{w_i, W - w\}$ ;
     $w = w + \min\{w_i, W - w\}$ ;
  }
}
```

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## Task Scheduling

- Given: a set  $T$  of  $n$  tasks, each having:
  - A start time,  $s_i$
  - A finish time,  $f_i$  (where  $s_i < f_i$ )
- Goal: Perform all the tasks using a minimum number of "machines."



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## Task Scheduling Algorithm

- Greedy choice: consider tasks by their start time and use as few machines as possible with this order.
  - Run time:  $O(n \log n)$ . Why?
- Correctness: Suppose there is a better schedule.
  - We can use  $k-1$  machines
  - The algorithm uses  $k$
  - Let  $i$  be first task scheduled on machine  $k$
  - Machine  $i$  must conflict with  $k-1$  other tasks
  - But that means there is no non-conflicting schedule using  $k-1$  machines

### Algorithm *taskSchedule(T)*

**Input:** set  $T$  of tasks with start time  $s_i$  and finish time  $f_i$

**Output:** non-conflicting schedule with minimum number of machines

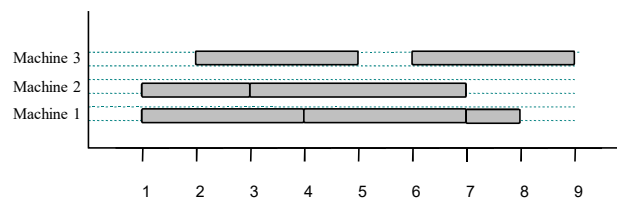
```
{  $m = 0$ ; // no. of machines
while  $T$  is not empty
{ remove task  $i$  with smallest  $s_i$ 
  if there's a machine  $j$  for  $i$  then
    schedule  $i$  on machine  $j$ ;
  else
    {  $m = m + 1$ ;
      schedule  $i$  on machine  $m$ ;
    }
}
```

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## Example

- Given: a set  $T$  of  $n$  tasks, each having:
  - A start time,  $s_i$
  - A finish time,  $f_i$  (where  $s_i < f_i$ )
  - $[1,4], [1,3], [2,5], [3,7], [4,7], [6,9], [7,8]$  (ordered by start)
- Goal: Perform all tasks on min. number of machines



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# Dynamic Programming



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## Matrix Chain-Products

- **Dynamic Programming** is a general algorithm design paradigm.

- Rather than give the general structure, let us first give a motivating example:

- **Matrix Chain-Products**

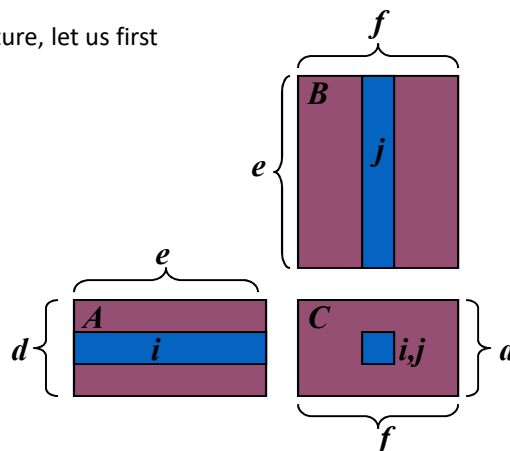
- Review: Matrix Multiplication.

- $C = A * B$

- $A$  is  $d \times e$  and  $B$  is  $e \times f$

$$C[i, j] = \sum_{k=0}^{e-1} A[i, k] * B[k, j]$$

- $O(def)$  time



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## Matrix Chain-Products

- **Matrix Chain-Product:**

- Compute  $A = A_0 * A_1 * \dots * A_{n-1}$
- $A_i$  is  $d_i \times d_{i+1}$
- Problem: How to parenthesize?

- **Example**

- B is  $3 \times 100$
- C is  $100 \times 5$
- D is  $5 \times 5$
- $(B * C) * D$  takes  $1500 + 75 = 1575$  ops
- $B * (C * D)$  takes  $1500 + 2500 = 4000$  ops

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## An Enumeration Approach

- **Matrix Chain-Product Alg.:**

- Try all possible ways to parenthesize  $A = A_0 * A_1 * \dots * A_{n-1}$
- Calculate number of ops for each one
- Pick the one that is best

- **Running time:**

- The number of parenthesizations is equal to the number of binary trees with  $n$  nodes
- This is **exponential!**
- It is called the Catalan number, and it is almost  $4^n$ .
- This is a terrible algorithm!

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## A Greedy Approach

- Idea #1: repeatedly select the product that uses (up) the most operations.
- Counter-example:
  - A is  $10 \times 5$
  - B is  $5 \times 10$
  - C is  $10 \times 5$
  - D is  $5 \times 10$
  - Greedy idea #1 gives  $(A*B)*(C*D)$ , which takes  $500+1000+500 = 2000$  ops
  - $A*((B*C)*D)$  takes  $500+250+250 = 1000$  ops

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## Another Greedy Approach

- Idea #2: repeatedly select the product that uses the fewest operations.
- Counter-example:
  - A is  $101 \times 11$
  - B is  $11 \times 9$
  - C is  $9 \times 100$
  - D is  $100 \times 99$
  - Greedy idea #2 gives  $A*((B*C)*D)$ , which takes  $109989+9900+108900=228789$  ops
  - $(A*B)*(C*D)$  takes  $9999+89991+89100=189090$  ops
- The greedy approach is not giving us the optimal value.

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## A “Recursive” Approach

- Define **subproblems**:
  - Find the best parenthesization of  $A_i * A_{i+1} * \dots * A_j$ .
  - Let  $N_{i,j}$  denote the number of operations done by this subproblem.
  - The optimal solution for the whole problem is  $N_{0,n-1}$ .
- **Subproblem optimality**: The optimal solution can be defined in terms of optimal subproblems
  - There has to be a final multiplication (root of the expression tree) for the optimal solution.
  - Say, the final multiply is at index  $i$ :  $(A_0 * \dots * A_i) * (A_{i+1} * \dots * A_{n-1})$ .
  - Then the optimal solution  $N_{0,n-1}$  is the sum of two optimal subproblems,  $N_{0,i}$  and  $N_{i+1,n-1}$  plus the time for the last multiply.
  - If the global optimum did not have these optimal subproblems, we could define an even better “optimal” solution.

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## A Characterizing Equation

- The global optimal has to be defined in terms of optimal subproblems, depending on where the final multiply is at.
- Let us consider all possible places for that final multiply:
  - Recall that  $A_i$  is a  $d_i \times d_{i+1}$  dimensional matrix.
  - So, a characterizing equation for  $N_{i,j}$  is the following:

$$N_{i,j} = \min_{i \leq k < j} \{N_{i,k} + N_{k+1,j} + d_i d_{k+1} d_{j+1}\}$$

- Note that subproblems are not independent--the **subproblems overlap**.

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## A Dynamic Programming Algorithm

- Since subproblems overlap, we don't use recursion.
- Instead, we construct optimal subproblems "bottom-up."
- $N_{i,i}$ 's are easy, so start with them
- Then do length 2,3,... subproblems, and so on.
- Running time:  $O(n^3)$

### Algorithm *matrixChain(S)*:

**Input:** sequence  $S$  of  $n$  matrices to be multiplied

**Output:** number of operations in an optimal parenthesization of  $S$

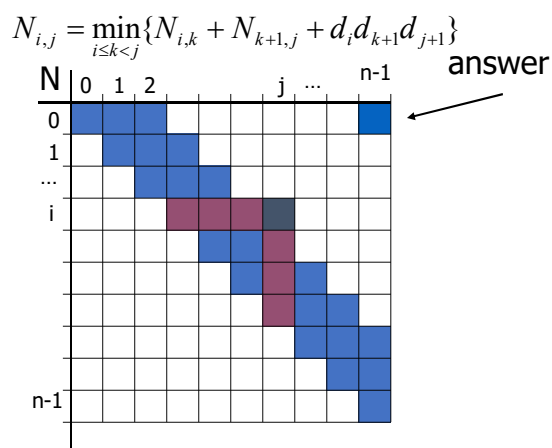
```
{ for ( i = 1; i ≤ n-1; i++ )
    Ni,i = 0;
  for ( b = 1; b ≤ n-1; b++ )
    for ( i = 0; i ≤ n-b-1; i++ )
      { j = i+b;
        Ni,j = +infinity;
        for ( k = i; k ≤ j-1; k++ )
          Ni,j = min{Ni,k, Nk+1,j + didk+1
                    dj+1}};
      }
}
```

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## A Dynamic Programming Algorithm Visualization

- The bottom-up construction fills in the  $N$  array by diagonals
- $N_{i,j}$  gets values from previous entries in  $i$ -th row and  $j$ -th column
- Filling in each entry in the  $N$  table takes  $O(n)$  time.
- Total running time:  $O(n^3)$
- Getting actual parenthesization can be done by remembering "k" for each  $N$  entry



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## The General Dynamic Programming Technique

- Applies to a problem that at first seems to require a lot of time (possibly exponential), provided we have:
  - **Simple subproblems:** the subproblems can be defined in terms of a few variables, such as  $j$ ,  $k$ ,  $l$ ,  $m$ , and so on.
  - **Subproblem optimality:** the global optimum value can be defined in terms of optimal subproblems
  - **Subproblem overlap:** the subproblems are not independent, but instead they overlap (hence, should be constructed bottom-up).

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## Subsequences

- A **subsequence** of a character string  $x_0x_1x_2\dots x_{n-1}$  is a string of the form  $x_{i_1}x_{i_2}\dots x_{i_k}$ , where  $i_j < i_{j+1}$ .
- Not the same as substring!
- Example String: ABCDEFGHIJK
  - Subsequence: ACEGJK
  - Subsequence: DFGHK
  - Not subsequence: DAGH

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## The Longest Common Subsequence (LCS) Problem

- Given two strings X and Y, the longest common subsequence (LCS) problem is to find a longest subsequence common to both X and Y
- Has applications to DNA similarity testing (alphabet is {A,C,G,T})
- Example: ABCDEFG and XZACKDFWGH have ACDFG as a longest common subsequence

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## A Poor Approach to the LCS Problem

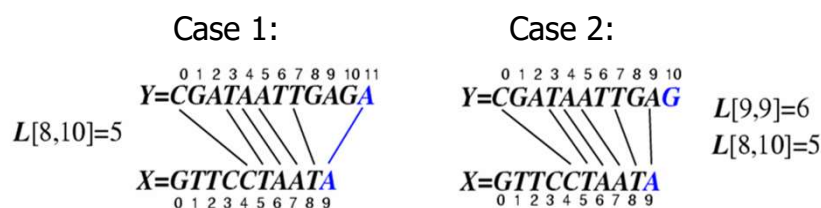
- A Brute-force solution:
  - Enumerate all subsequences of X
  - Test which ones are also subsequences of Y
  - Pick the longest one.
- Analysis:
  - If X is of length n, then it has  $2^n$  subsequences
  - This is an exponential-time algorithm!

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## A Dynamic-Programming Approach to the LCS Problem

- Define  $L[i,j]$  to be the length of the longest common subsequence of  $X[0..i]$  and  $Y[0..j]$ .
- Allow for -1 as an index, so  $L[-1,k] = 0$  and  $L[k,-1]=0$ , to indicate that the null part of  $X$  or  $Y$  has no match with the other.
- Then we can define  $L[i,j]$  in the general case as follows:
  - If  $x_i = y_j$ , then  $L[i,j] = L[i-1,j-1] + 1$  (we can add this match)
  - If  $x_i \neq y_j$ , then  $L[i,j] = \max\{L[i-1,j], L[i,j-1]\}$  (we have no match here)



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## An LCS Algorithm

**Algorithm**  $LCS(X,Y)$ :

**Input:** Strings  $X$  and  $Y$  with  $n$  and  $m$  elements, respectively

**Output:** For  $i = 0, \dots, n-1$ ,  $j = 0, \dots, m-1$ , the length  $L[i, j]$  of a longest string that is a subsequence of both the string  $X[0..i] = x_0x_1x_2\dots x_i$  and the string  $Y[0..j] = y_0y_1y_2\dots y_j$

```

{ for (  $i = -1$ ;  $i \leq n-1$ ,  $i++$  )
     $L[i, -1] = 0$ ;
  for (  $j = -1$ ;  $j \leq m-1$ ,  $j++$  )
     $L[-1, j] = 0$ ;
  for (  $i = 0$ ;  $i \leq n-1$ ,  $i++$  )
    for (  $j = 0$ ;  $j \leq m-1$ ,  $j++$  )
      if (  $x_i = y_j$  )
         $L[i, j] = L[i-1, j-1] + 1$ ;
      else
         $L[i, j] = \max\{L[i-1, j], L[i, j-1]\}$ ;
  return array  $L$ ;
}
```

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## Visualizing the LCS Algorithm

<i>L</i>	-1	0	1	2	3	4	5	6	7	8	9	10	11
-1	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	1	1	1	1	1	1	1	1	1	1	1
1	0	0	1	1	2	2	2	2	2	2	2	2	2
2	0	0	1	1	2	2	2	3	3	3	3	3	3
3	0	1	1	1	2	2	2	3	3	3	3	3	3
4	0	1	1	1	2	2	2	3	3	3	3	3	3
5	0	1	1	1	2	2	2	3	4	4	4	4	4
6	0	1	1	2	2	3	3	3	4	4	5	5	5
7	0	1	1	2	2	3	4	4	4	4	5	5	6
8	0	1	1	2	3	3	4	5	5	5	5	5	6
9	0	1	1	2	3	4	4	5	5	5	6	6	6

$Y = \text{CGATAATTGAGA}$   
 $X = \text{G TTCCTAATA}$

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## Analysis of LCS Algorithm

- We have two nested loops
  - The outer one iterates  $n$  times
  - The inner one iterates  $m$  times
  - A constant amount of work is done inside each iteration of the inner loop
  - Thus, the total running time is  $O(nm)$
- Answer is contained in  $L[n,m]$  (and the subsequence can be recovered from the  $L$  table).

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# Summary

1. Boyer-Moore algorithm
2. KMP algorithm
3. Standard tries
4. Compressed tries
5. Compact representation of compressed tries
6. Greedy method
7. Dynamic programming
8. Suggested reading:  
Sedgewick, Ch. 5.3, 15.2, 15.3.