

# COMP9024: Data Structures and Algorithms



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## Graphs (II)



# Contents

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- Depth-First Search
- Breadth-First Search
- Transitive Closure
- Topological Sorting

# Properties

## Property 1

$$\sum_v \deg(v) = 2m$$

Proof: each edge is counted twice

## Notation

$n$  number of vertices

$m$  number of edges

$\deg(v)$  degree of vertex  $v$

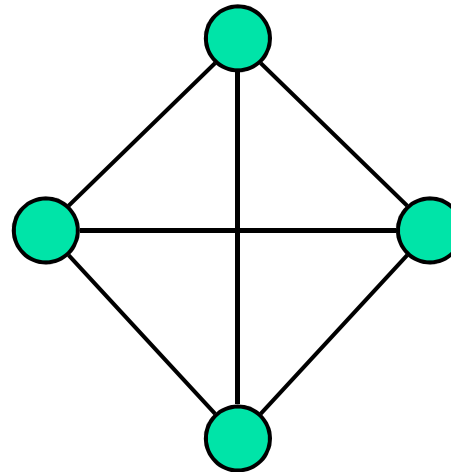
## Property 2

In an undirected graph with no self-loops and no multiple edges

$$m \leq n(n-1)/2$$

Proof: each vertex has degree at most  $(n-1)$

What is the bound for a directed graph?



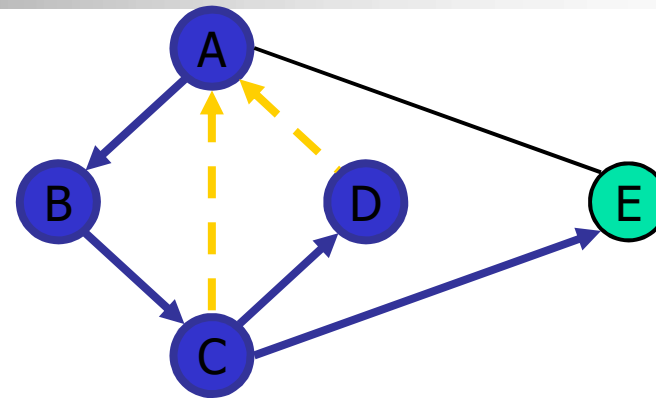
## Example

■  $n = 4$

■  $m = 6$

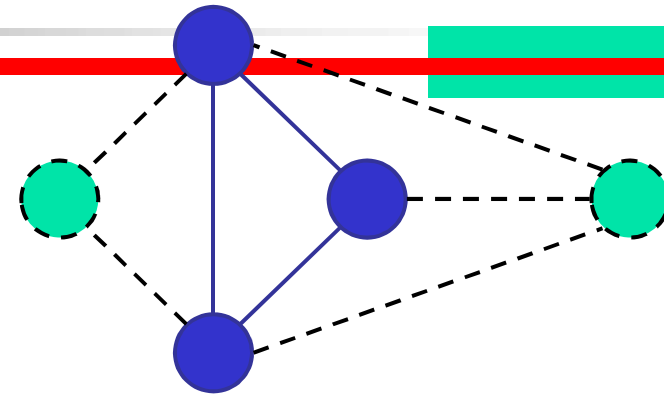
■  $\deg(v) = 3$

# Depth-First Search

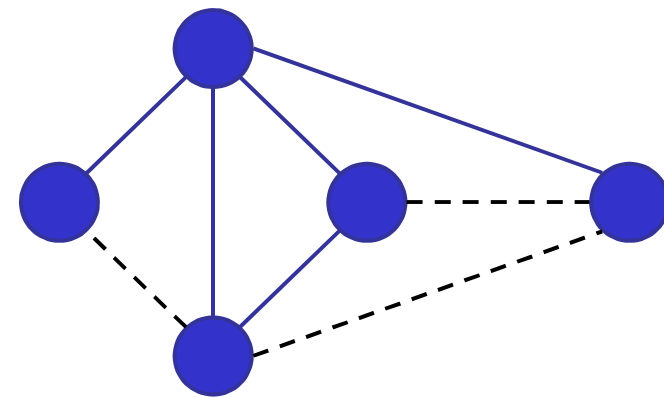


# Subgraphs

- A subgraph  $S$  of a graph  $G$  is a graph such that
  - The vertices of  $S$  are a subset of the vertices of  $G$
  - The edges of  $S$  are a subset of the edges of  $G$
- A spanning subgraph of  $G$  is a subgraph that contains all the vertices of  $G$



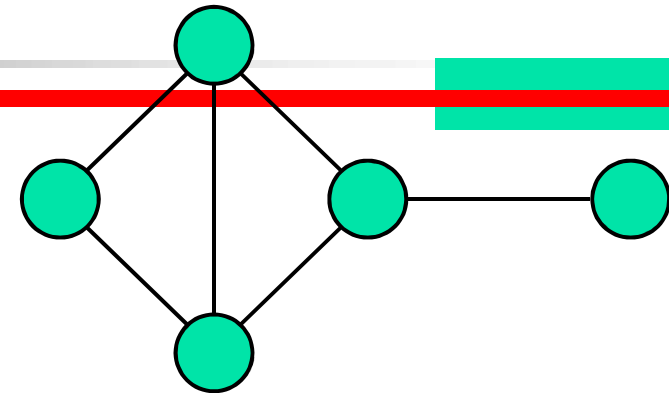
Subgraph



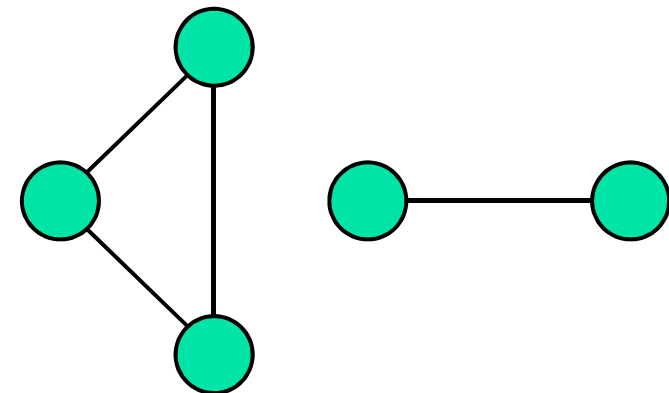
Spanning subgraph

# Connectivity

- A graph is connected if there is a path between every pair of vertices
- A connected component of a graph  $G$  is a maximal connected subgraph of  $G$



Connected graph



Non connected graph with two connected components

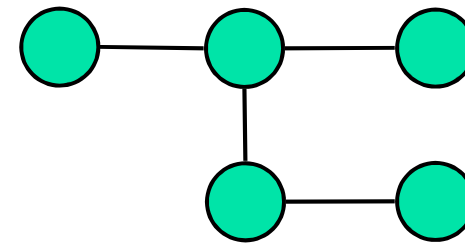
# Trees and Forests

- A (free) tree is an undirected graph  $T$  such that

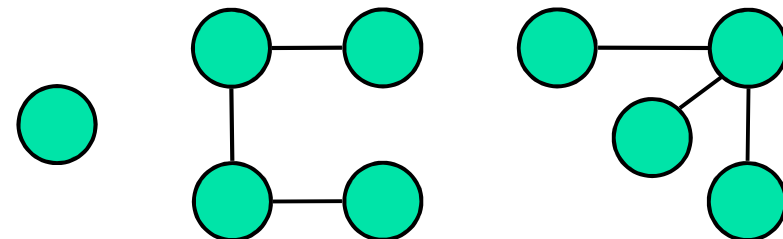
- $T$  is connected
- $T$  has no cycles

This definition of tree is different from the one of a rooted tree

- A forest is an undirected graph without cycles
- The connected components of a forest are trees



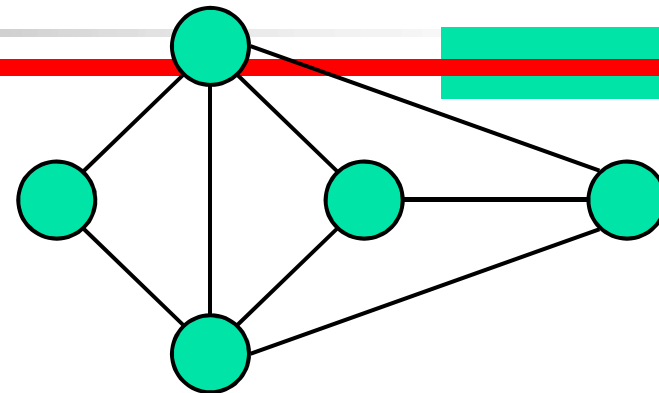
Tree



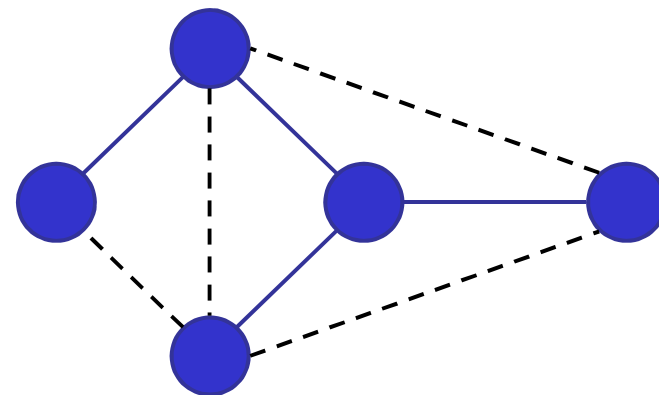
Forest

# Spanning Trees and Forests

- A spanning tree of a connected graph is a spanning subgraph that is a tree
- A spanning tree is not unique unless the graph is a tree
- Spanning trees have applications to the design of communication networks
- A spanning forest of a graph is a spanning subgraph that is a forest



Graph



Spanning tree





# Depth-First Search

- Depth-first search (DFS) is a general technique for traversing a graph
- A DFS traversal of a graph  $G$ 
  - Visits all the vertices and edges of  $G$
  - Determines whether  $G$  is connected
  - Computes the connected components of  $G$
  - Computes a spanning forest of  $G$
- DFS on a graph with  $n$  vertices and  $m$  edges takes  $O(n + m)$  time
- DFS can be further extended to solve other graph problems
  - Find and report a path between two given vertices
  - Find a cycle in the graph
- Depth-first search is to graphs what Euler tour is to binary trees

# DFS Algorithm

The algorithm uses a mechanism for setting and getting “labels” of vertices and edges

## Algorithm *DFS(G)*

**Input** graph  $G$

**Output** labeling of the edges of  $G$   
as discovery edges and  
back edges

```
{ for all  $u \in G.vertices()$ 
     $setLabel(u, UNEXPLORED);$ 
  for all  $e \in G.edges()$ 
     $setLabel(e, UNEXPLORED);$ 
  for all  $v \in G.vertices()$ 
    if (  $getLabel(v) = UNEXPLORED$  )
       $DFS(G, v);$ 
}
```

## Algorithm *DFS(G, v)*

**Input** graph  $G$  and a start vertex  $v$  of  $G$

**Output** labeling of the edges of  $G$   
in the connected component of  $v$   
as discovery edges and back edges

```
{  $setLabel(v, VISITED);$ 
  for all  $e \in G.incidentEdges(v)$ 
    if (  $getLabel(e) = UNEXPLORED$  )
      {  $w = opposite(v, e);$ 
        if (  $getLabel(w) = UNEXPLORED$  )
          {  $setLabel(e, DISCOVERY);$ 
             $DFS(G, w);$ 
          }
        else
           $setLabel(e, BACK);$ 
      }
}
```

## Example (1/2)

A

unexplored vertex

A

visited vertex



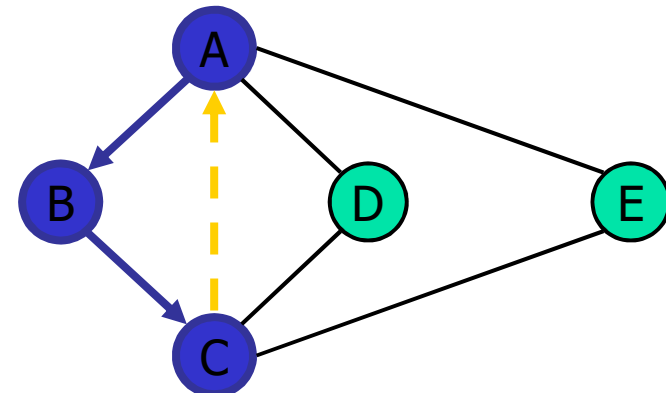
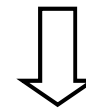
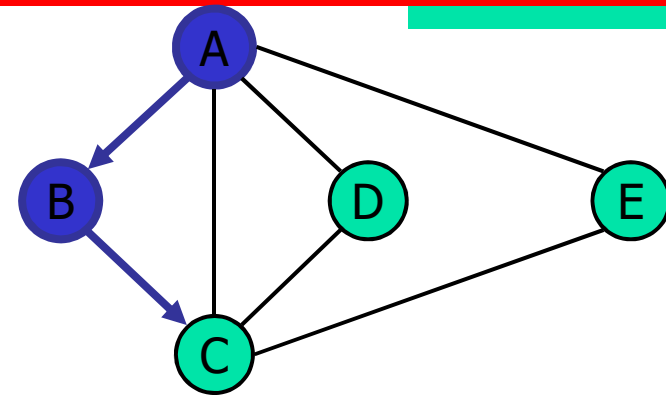
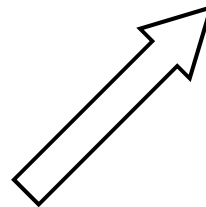
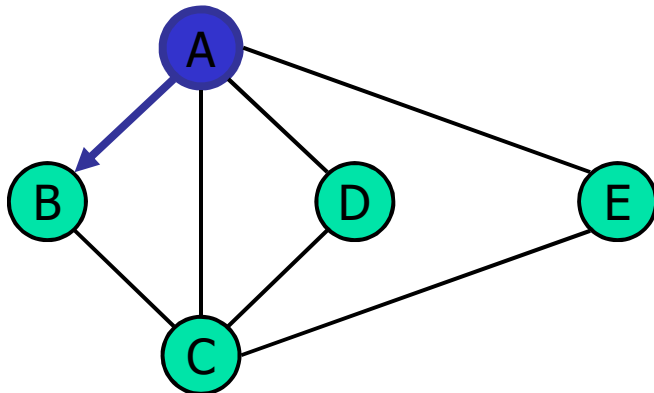
unexplored edge



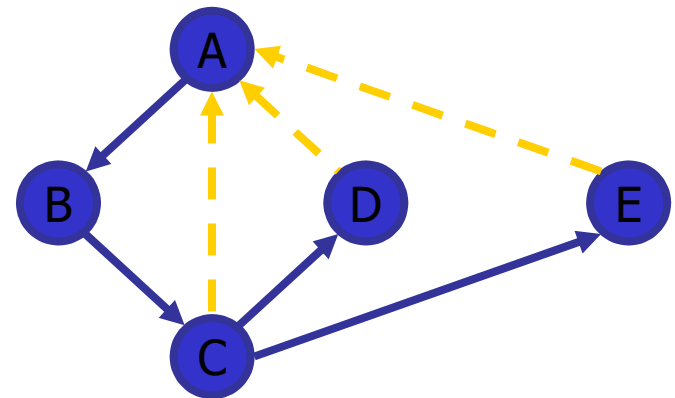
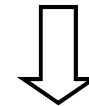
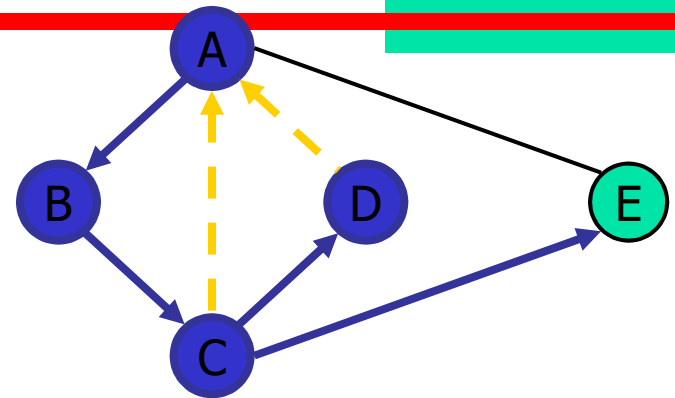
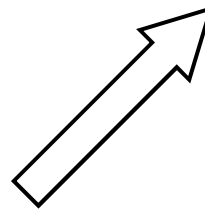
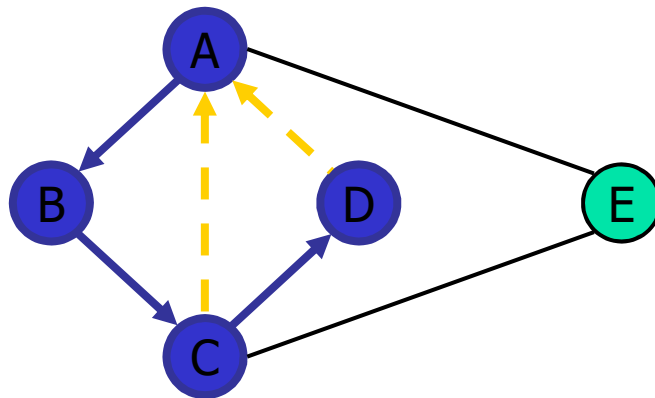
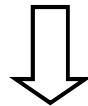
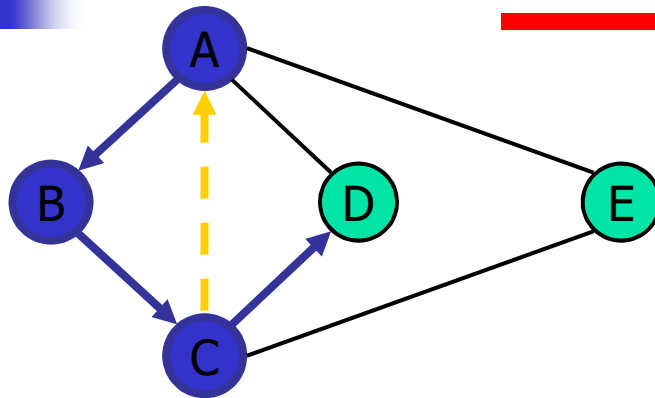
discovery edge



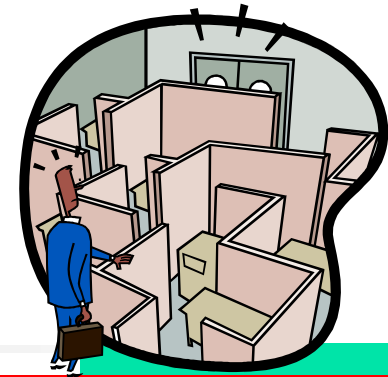
back edge



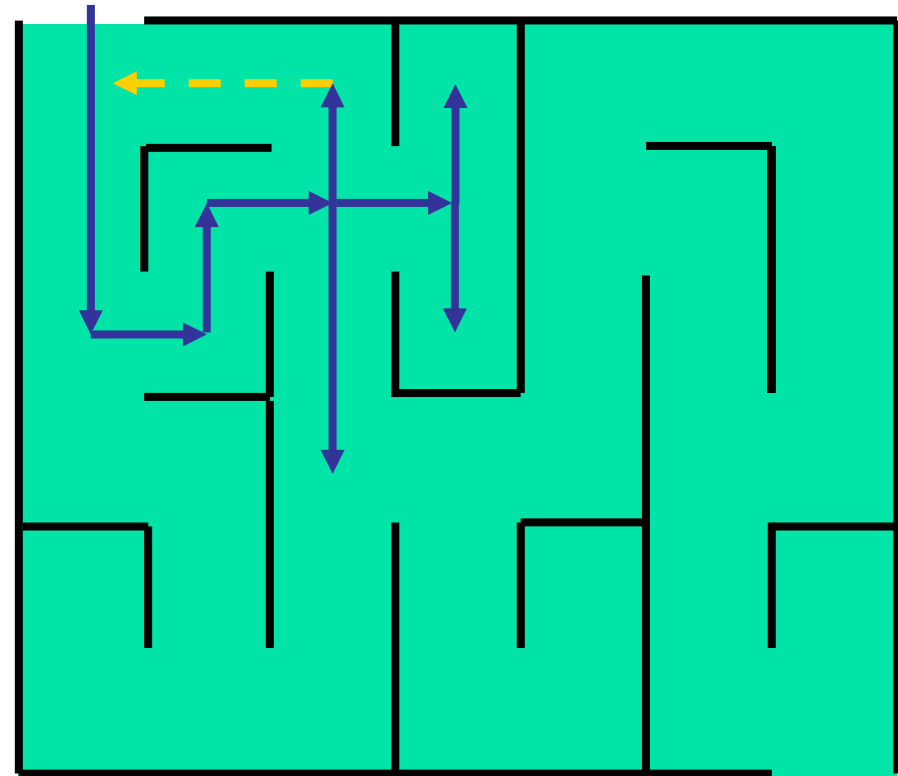
## Example (2/2)



# DFS and Maze Traversal



- The DFS algorithm is similar to a classic strategy for exploring a maze
  - We mark each intersection, corner and dead end (vertex) visited
  - We mark each corridor (edge ) traversed
  - We keep track of the path back to the entrance (start vertex) by means of a rope (recursion stack)



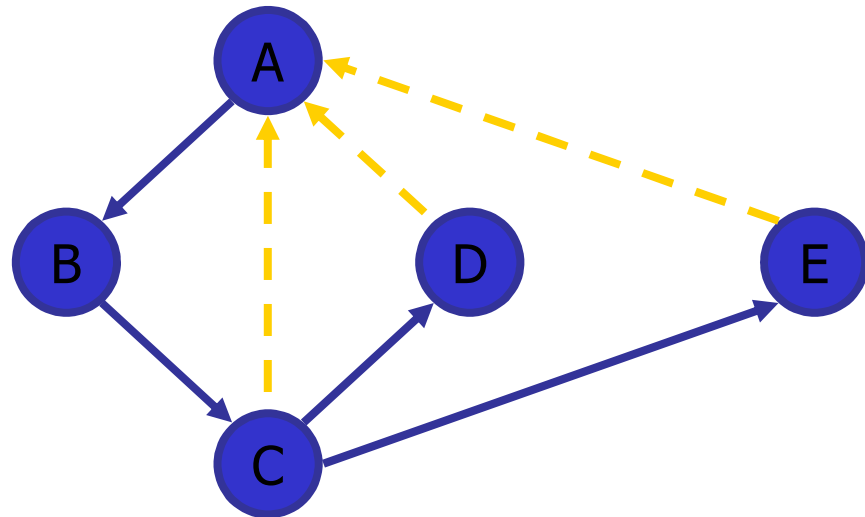
# Properties of DFS

## Property 1

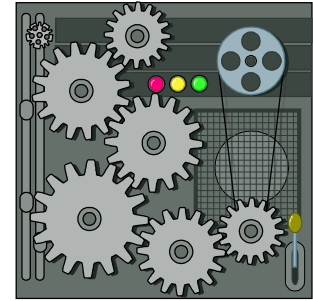
$DFS(G, v)$  visits all the vertices and edges in the connected component of  $v$

## Property 2

The discovery edges labeled by  $DFS(G, v)$  form a spanning tree of the connected component of  $v$



# Analysis of DFS



- Setting/getting a vertex/edge label takes  $O(1)$  time
- Each vertex is labeled twice
  - once as UNEXPLORED
  - once as VISITED
- Each edge is labeled twice
  - once as UNEXPLORED
  - once as DISCOVERY or BACK
- Method incidentEdges is called once for each vertex
- DFS runs in  $O(n + m)$  time provided the graph is represented by the adjacency list structure
  - Recall that  $\sum_v \deg(v) = 2m$

# Path Finding



- We can specialize the DFS algorithm to find a path between two given vertices  $v$  and  $z$  using the template method pattern
- We call  $DFS(G, v)$  with  $v$  as the start vertex
- We use a stack  $S$  to keep track of the path between the start vertex and the current vertex
- As soon as destination vertex  $z$  is encountered, we return the path as the contents of the stack

```
Algorithm pathDFS( $G, v, z$ )
{
  setLabel( $v, VISITED$ );
   $S.push(v)$ ;
  if (  $v = z$  )
    return  $S.elements()$ ;
  for all  $e \in G.incidentEdges(v)$ 
    if ( getLabel( $e$ ) = UNEXPLORED )
      {
         $w = opposite(v, e)$ ;
        if ( getLabel( $w$ ) = UNEXPLORED )
          {
            setLabel( $e, DISCOVERY$ );
             $S.push(e)$ ;
            pathDFS( $G, w, z$ );
             $S.pop(e)$ ;
          }
        else
          setLabel( $e, BACK$ );
      }
     $S.pop(v)$ ;
}
```



# Cycle Finding

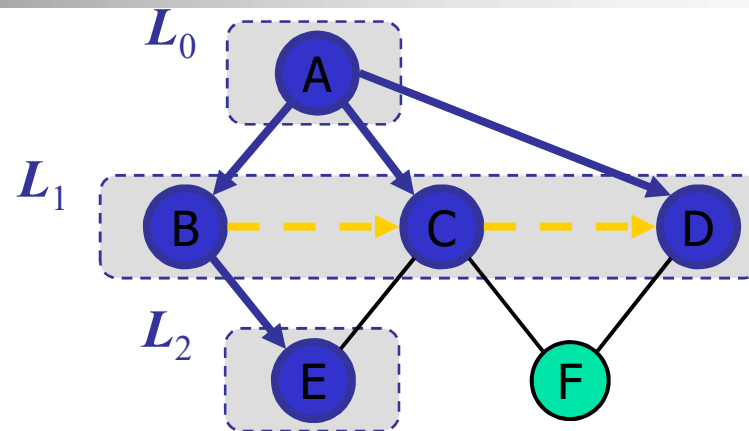


- We can specialize the DFS algorithm to find a simple cycle using the template method pattern
- We use a stack  $S$  to keep track of the path between the start vertex and the current vertex
- As soon as a back edge  $(v, w)$  is encountered, we return the cycle as the portion of the stack from the top to vertex  $w$

Algorithm *cycleDFS*( $G, v$ )

```
{ setLabel( $v$ , VISITED);  
  S.push( $v$ );  
  for all  $e \in G.incidentEdges(v)$   
    if ( getLabel( $e$ ) = UNEXPLORED )  
      {  $w = opposite(v, e)$ ;  
        S.push( $e$ );  
        if ( getLabel( $w$ ) = UNEXPLORED )  
          { setLabel( $e$ , DISCOVERY);  
            cycleDFS( $G, w$ );  
            S.pop( $e$ ); }  
        else  
          {  $T$  = new empty stack  
            repeat  
              {  $o = S.pop()$ ;  
                T.push( $o$ ); }  
            until (  $o = w$  );  
            return T.elements(); }  
      S.pop( $v$ );  
}
```

# Breadth-First Search





# Breadth-First Search

- Breadth-first search (BFS) is a general technique for traversing a graph
- A BFS traversal of a graph  $G$ 
  - Visits all the vertices and edges of  $G$
  - Determines whether  $G$  is connected
  - Computes the connected components of  $G$
  - Computes a spanning forest of  $G$
- BFS on a graph with  $n$  vertices and  $m$  edges takes  $O(n + m)$  time
- BFS can be further extended to solve other graph problems
  - Find and report a path with the minimum number of edges between two given vertices
  - Find a simple cycle, if there is one

# BFS Algorithm

The algorithm uses a mechanism for setting and getting "labels" of vertices and edges

## Algorithm *BFS(G)*

**Input** graph  $G$

**Output** labeling of the edges and partition of the vertices of  $G$

```
{
  for all  $u \in G.vertices()$ 
     $setLabel(u, UNEXPLORED);$ 
  for all  $e \in G.edges()$ 
     $setLabel(e, UNEXPLORED);$ 
  for all  $v \in G.vertices()$ 
    if (  $getLabel(v) = UNEXPLORED$  )
       $BFS(G, v);$ 
}
```

## Algorithm *BFS(G, s)*

```
{  $L_0$  = new empty sequence;
   $L_0.insertLast(s);$ 
   $setLabel(s, VISITED);$ 
   $i = 0;$ 
  while (  $\neg L_i.isEmpty()$  )
    {  $L_{i+1}$  = new empty sequence;
      for all  $v \in L_i.elements()$ 
        for all  $e \in G.incidentEdges(v)$ 
          if (  $getLabel(e) = UNEXPLORED$  )
            {  $w = opposite(v, e);$ 
              if (  $getLabel(w) = UNEXPLORED$  )
                {  $setLabel(e, DISCOVERY);$ 
                   $setLabel(w, VISITED);$ 
                   $L_{i+1}.insertLast(w);$  }
              else
                 $setLabel(e, CROSS);$ 
            }
          }
       $i = i + 1;$ 
    }
}
```

# Example (1/3)

A

unexplored vertex

A

visited vertex

—

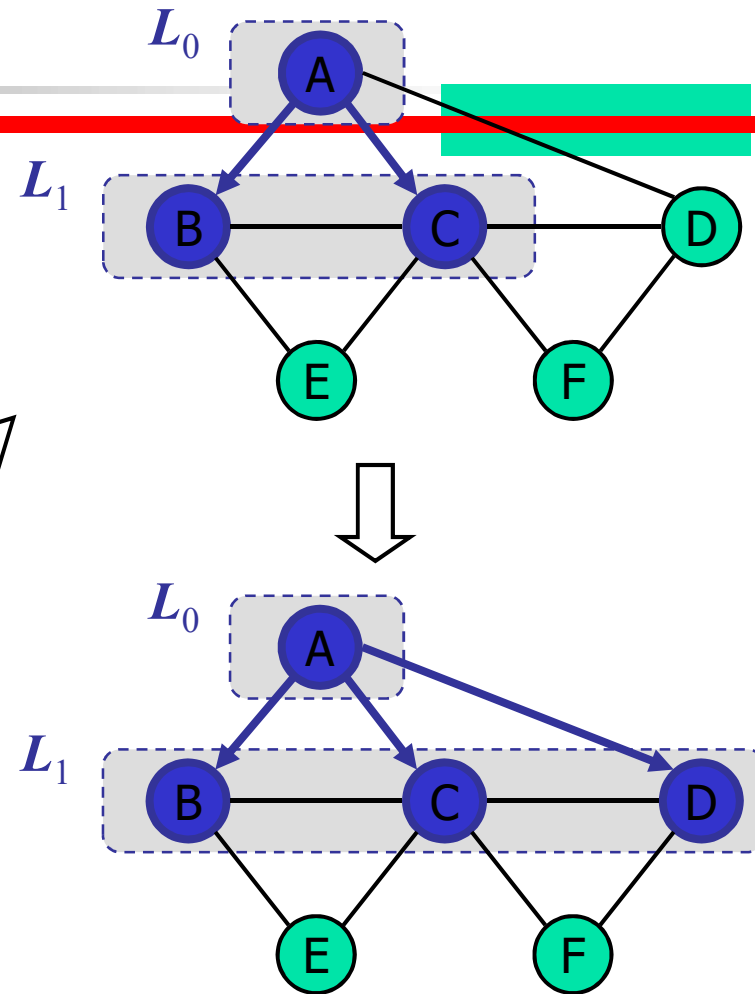
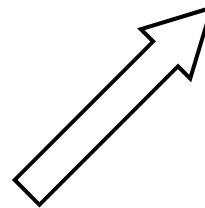
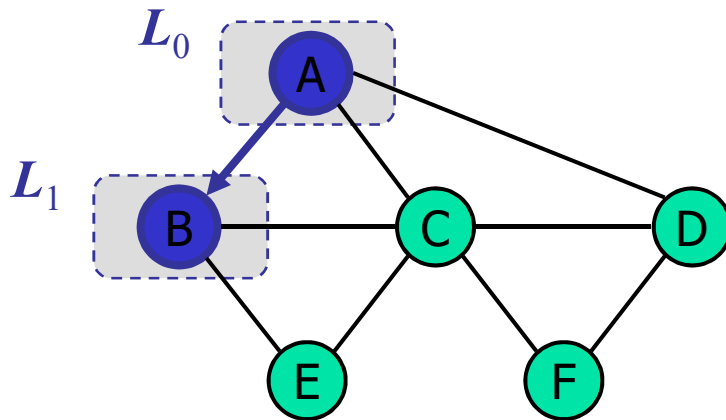
unexplored edge

→

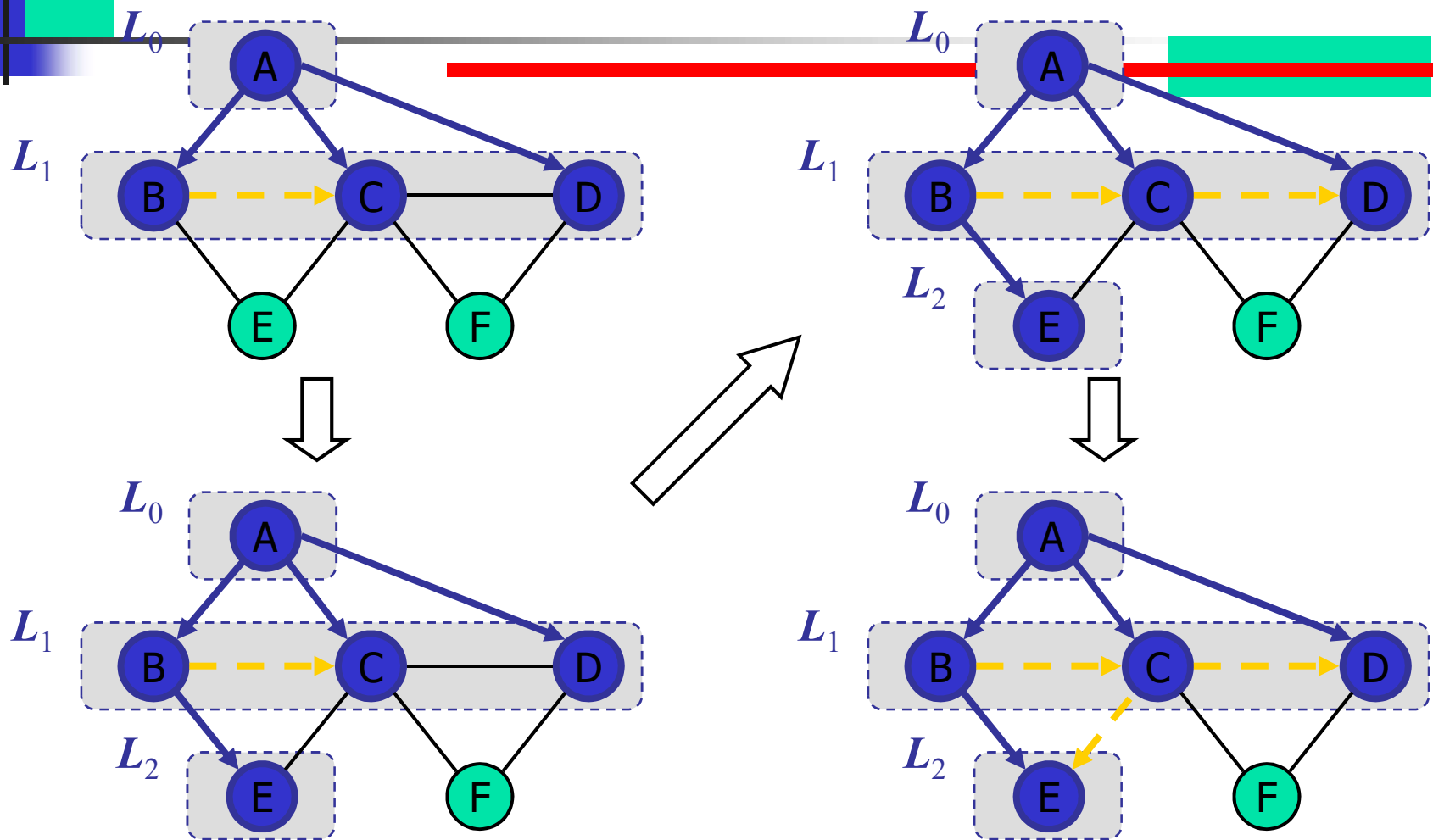
discovery edge

- - ->

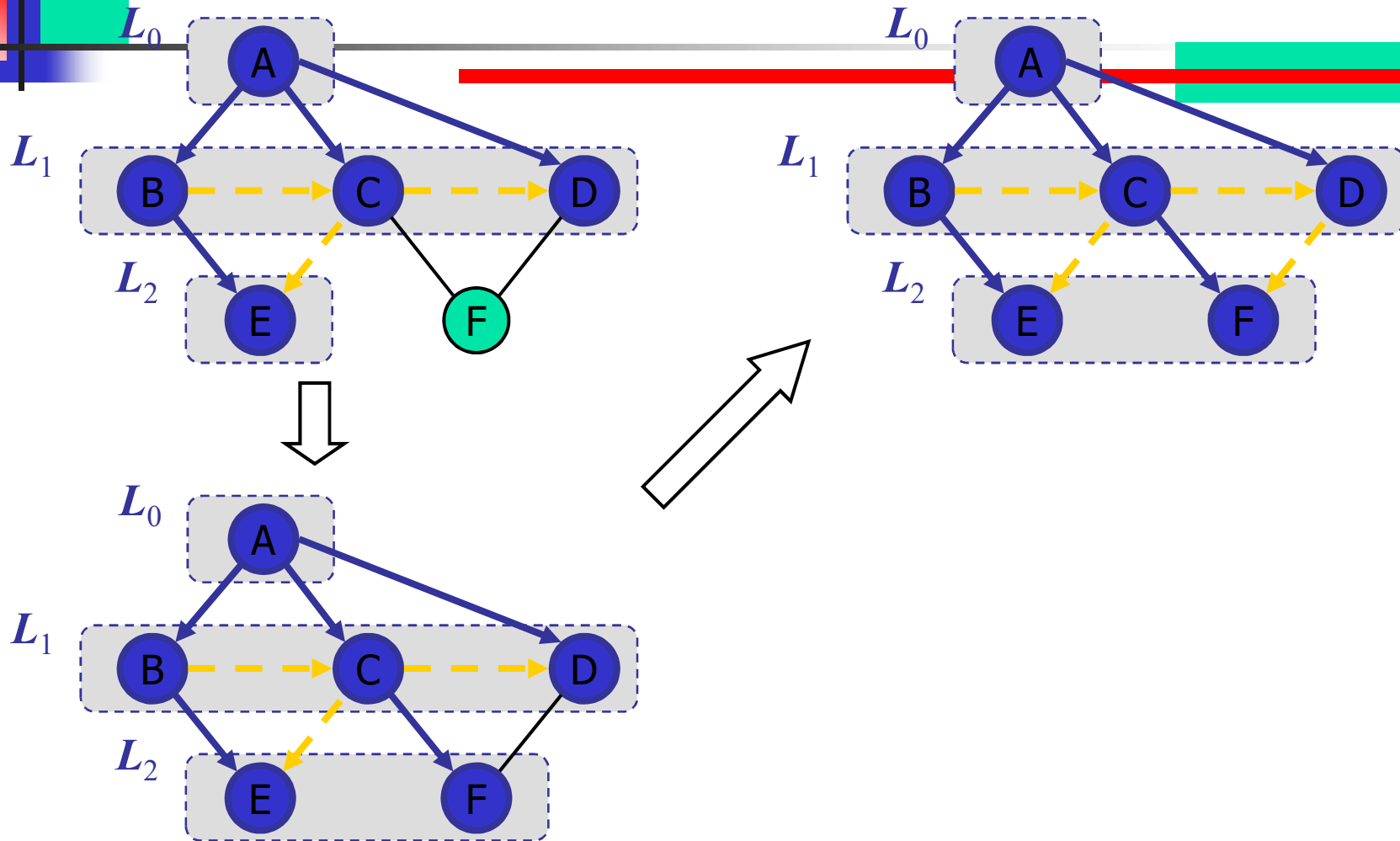
cross edge



## Example (2/3)



## Example (3/3)



# Properties

## Notation

$G_s$ : connected component of  $s$

## Property 1

$BFS(G, s)$  visits all the vertices and edges of  $G_s$

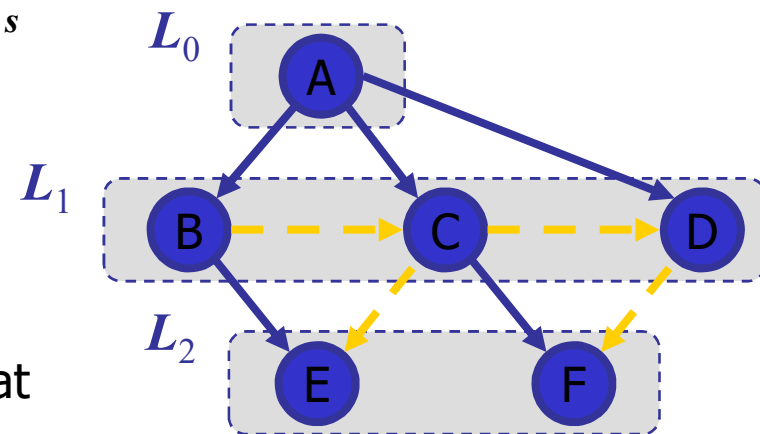
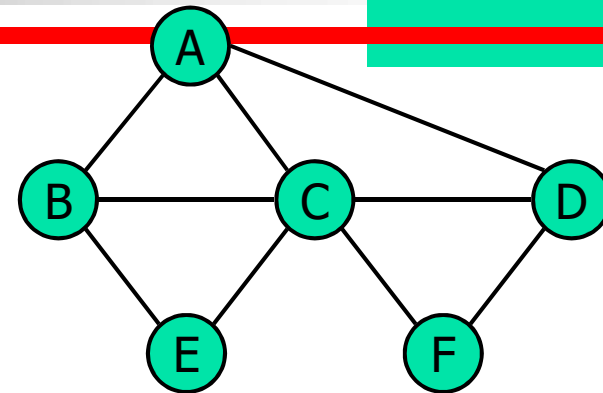
## Property 2

The discovery edges labeled by  $BFS(G, s)$  form a spanning tree  $T_s$  of  $G_s$

## Property 3

For each vertex  $v$  in  $L_i$

- The path of  $T_s$  from  $s$  to  $v$  has  $i$  edges
- Every path from  $s$  to  $v$  in  $G_s$  has at least  $i$  edges







# Analysis

Setting/getting a vertex/edge label takes  $O(1)$  time

- Each vertex is labeled twice
  - once as UNEXPLORED
  - once as VISITED
- Each edge is labeled twice
  - once as UNEXPLORED
  - once as DISCOVERY or CROSS
- Each vertex is inserted once into a sequence  $L_i$
- Method incidentEdges is called once for each vertex
- BFS runs in  $O(n + m)$  time provided the graph is represented by the adjacency list structure
  - Recall that  $\sum_v \deg(v) = 2m$

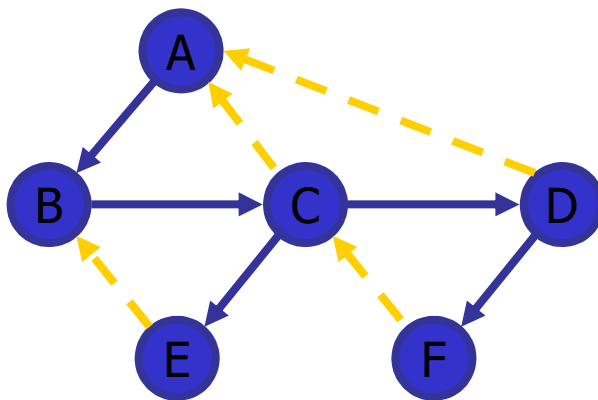


# Applications

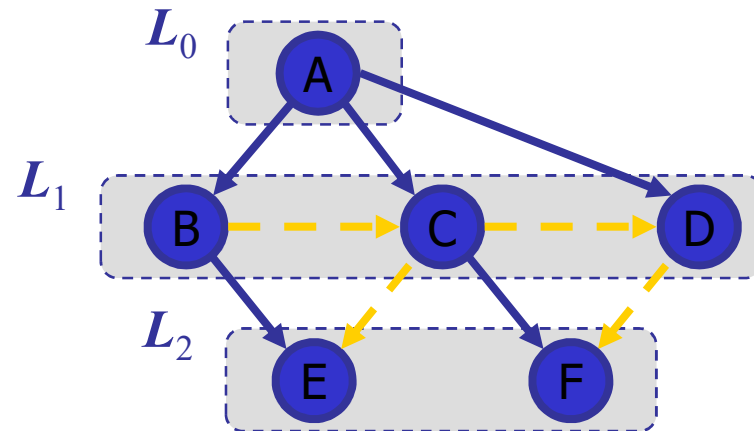
- Using the template method pattern, we can specialize the BFS traversal of a graph  $G$  to solve the following problems in  $O(n + m)$  time
  - Compute the connected components of  $G$
  - Compute a spanning forest of  $G$
  - Find a simple cycle in  $G$ , or report that  $G$  is a forest
  - Given two vertices of  $G$ , find a path in  $G$  between them with the minimum number of edges, or report that no such path exists

# DFS vs. BFS (1/2)

Applications	DFS	BFS
Spanning forest, connected components, paths, cycles	√	√
Shortest paths		√
Biconnected components	√	



DFS

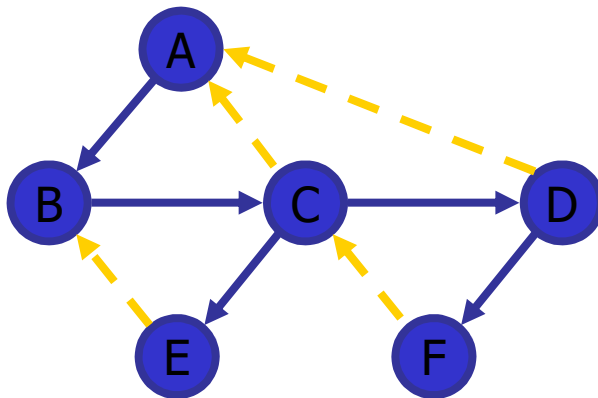


BFS

## DFS vs. BFS (2/2)

Back edge  $(v, w)$

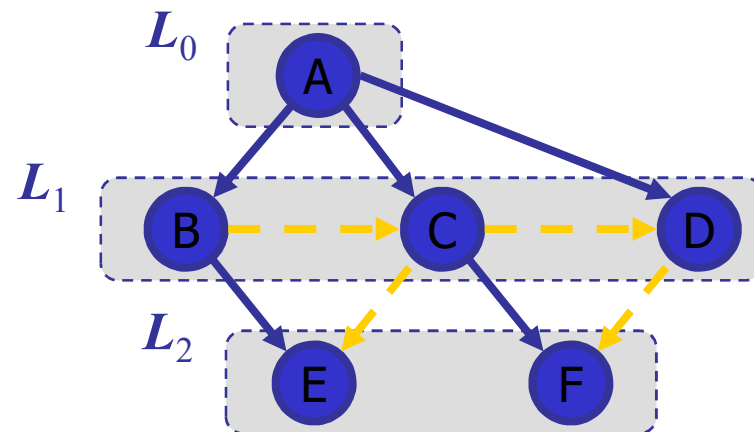
- $w$  is an ancestor of  $v$  in the tree of discovery edges



DFS

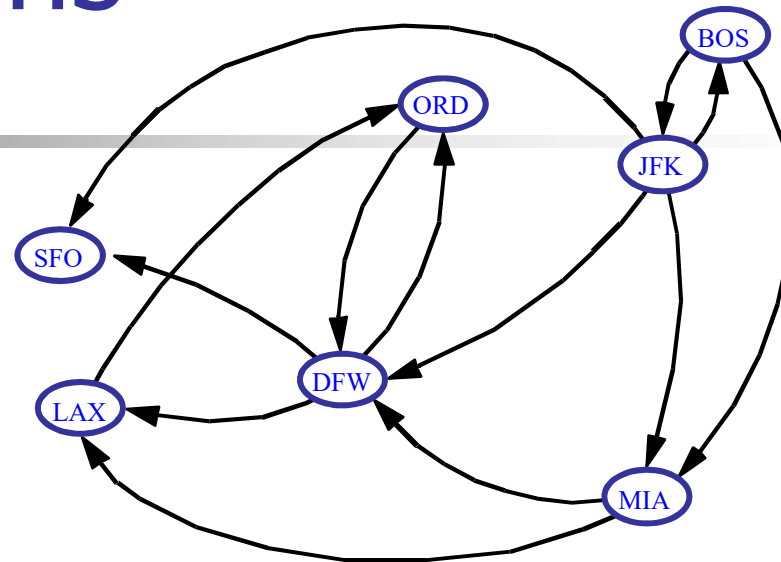
Cross edge  $(v, w)$

- $w$  is in the same level as  $v$  or in the next level in the tree of discovery edges



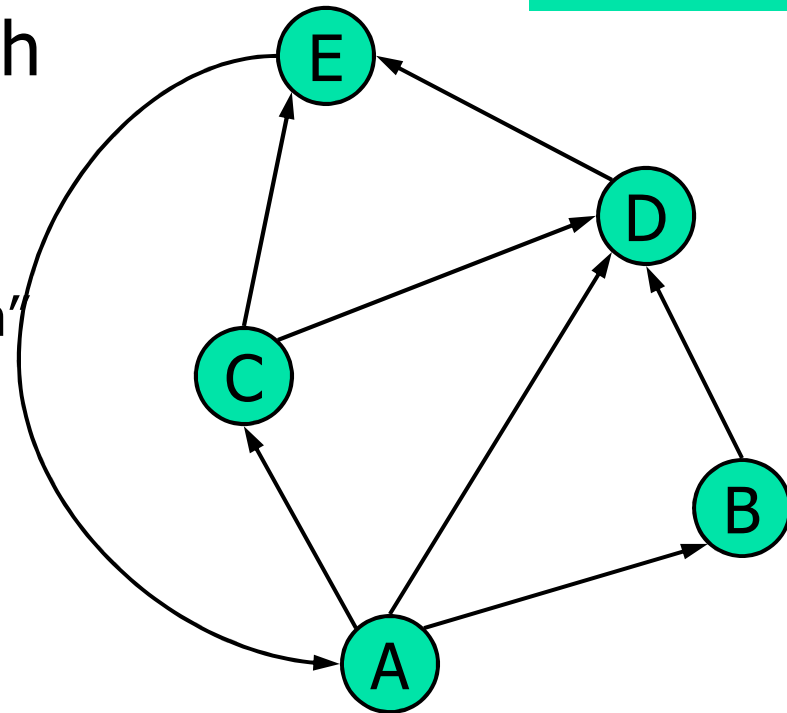
BFS

# Directed Graphs



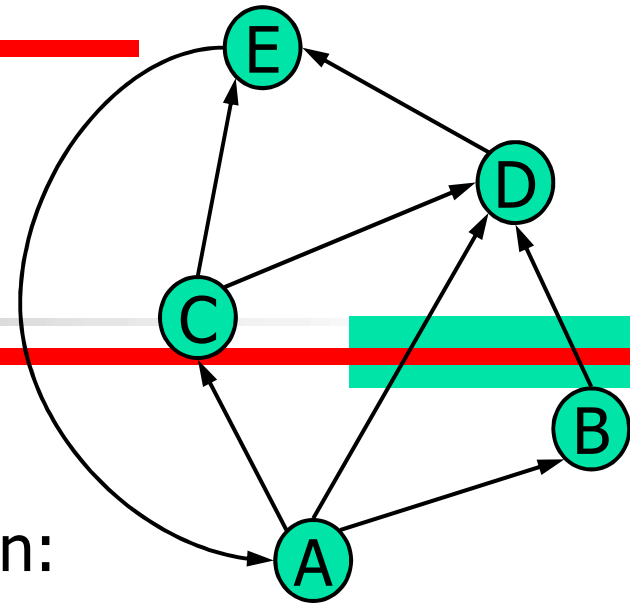
# Digraphs

- A **digraph** is a graph whose edges are all directed
  - Short for “directed graph”
- Applications
  - one-way streets
  - flights
  - task scheduling



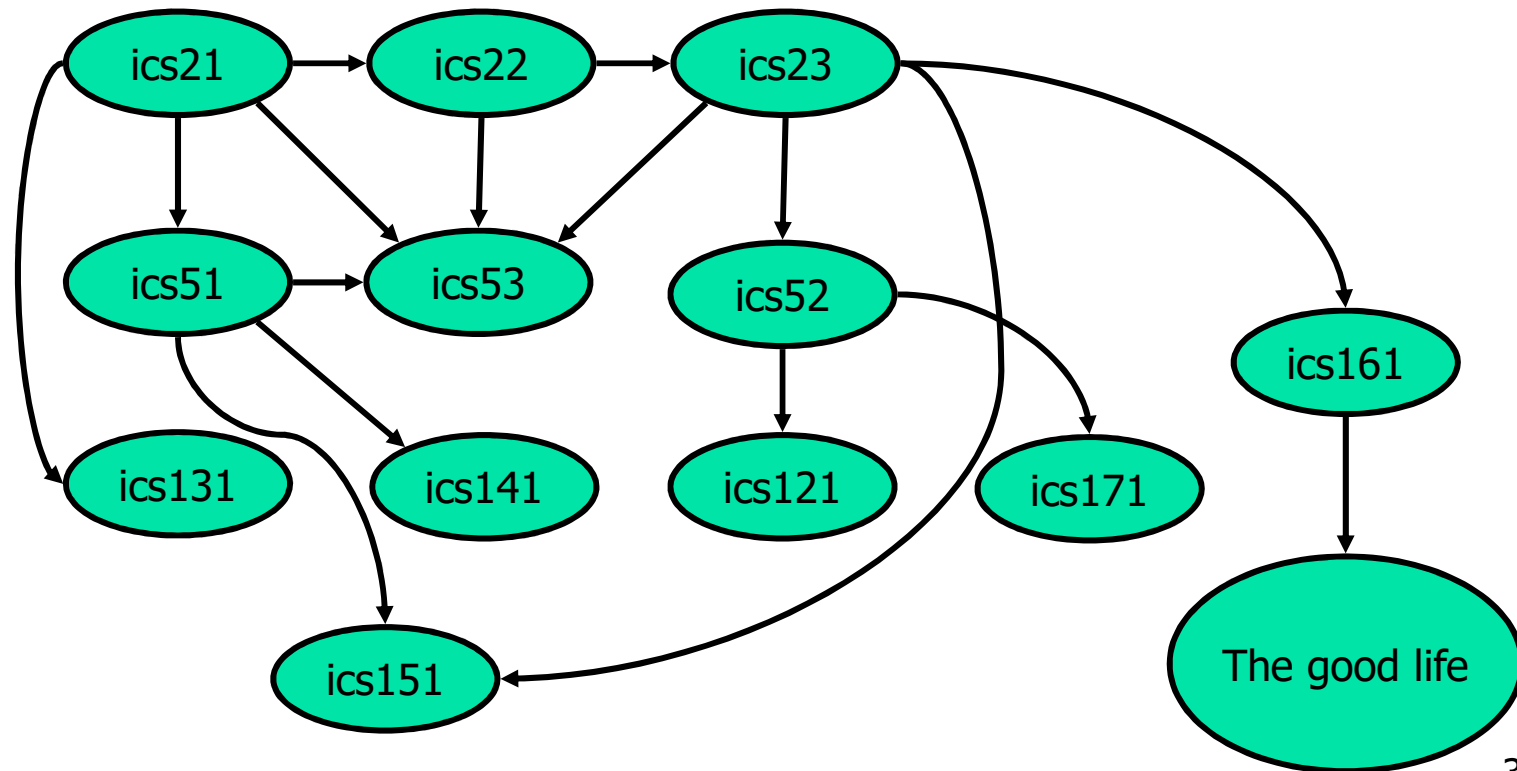
# Digraph Properties

- A graph  $G=(V,E)$  such that
  - Each edge goes in one direction:
    - Edge  $(a,b)$  goes from  $a$  to  $b$ , but not  $b$  to  $a$ .
- If  $G$  is simple,  $m \leq n*(n-1)$ .
- If we keep in-edges and out-edges in separate adjacency lists, we can perform listing of in-edges and out-edges in time proportional to their size.



# Digraph Application

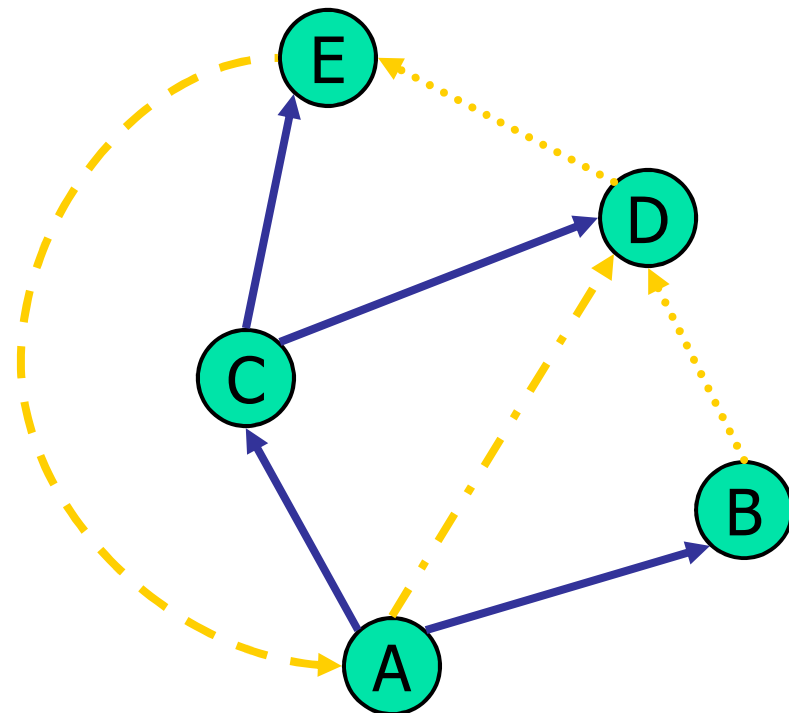
- Scheduling: edge  $(a,b)$  means task  $a$  must be completed before  $b$  can be started





# Directed DFS

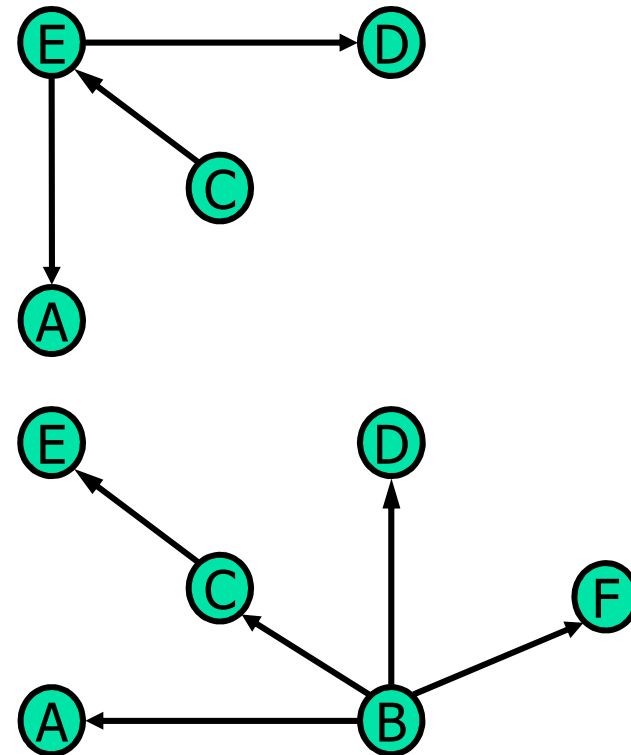
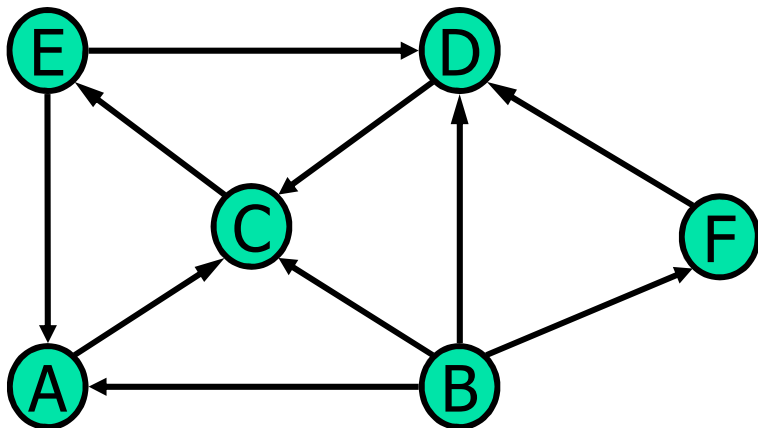
- We can specialize the traversal algorithms (DFS and BFS) to digraphs by traversing edges only along their direction
- In the directed DFS algorithm, we have four types of edges
  - discovery edges
  - back edges
  - forward edges
  - cross edges
- A directed DFS starting at a vertex  $s$  determines the vertices reachable from  $s$



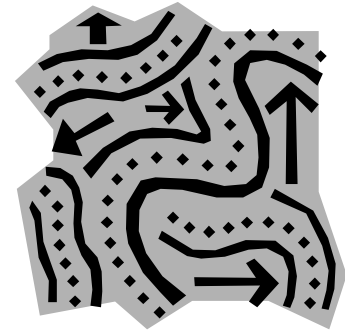
# Reachability



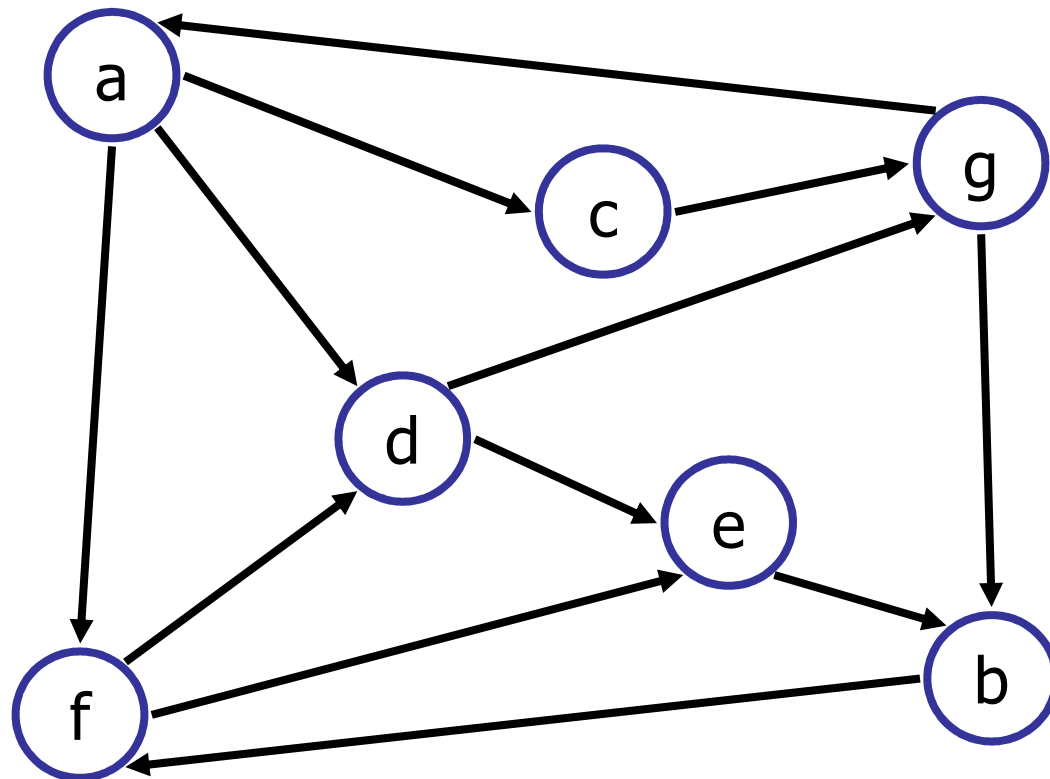
- DFS tree rooted at  $v$ : vertices reachable from  $v$  via directed paths



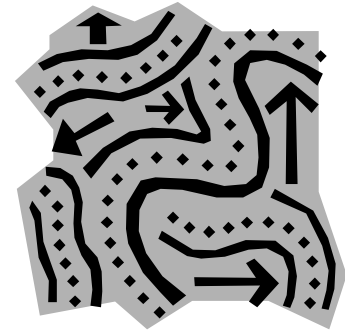
# Strong Connectivity



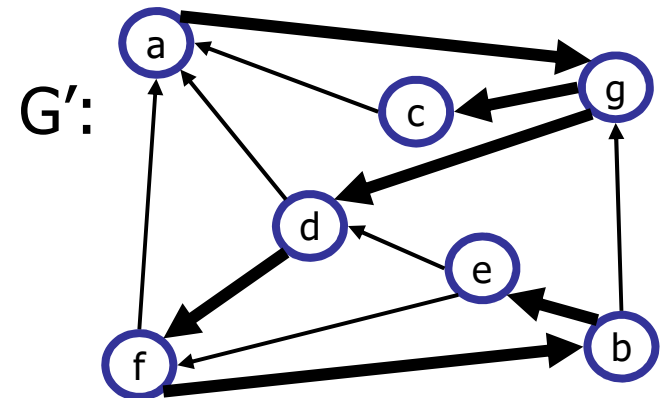
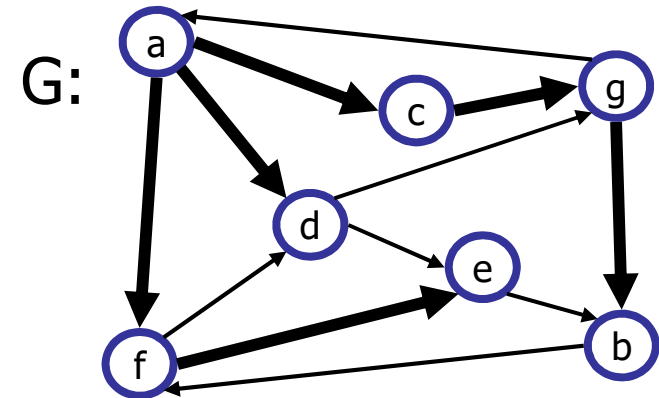
- Each vertex can reach all other vertices



# Strong Connectivity Algorithm



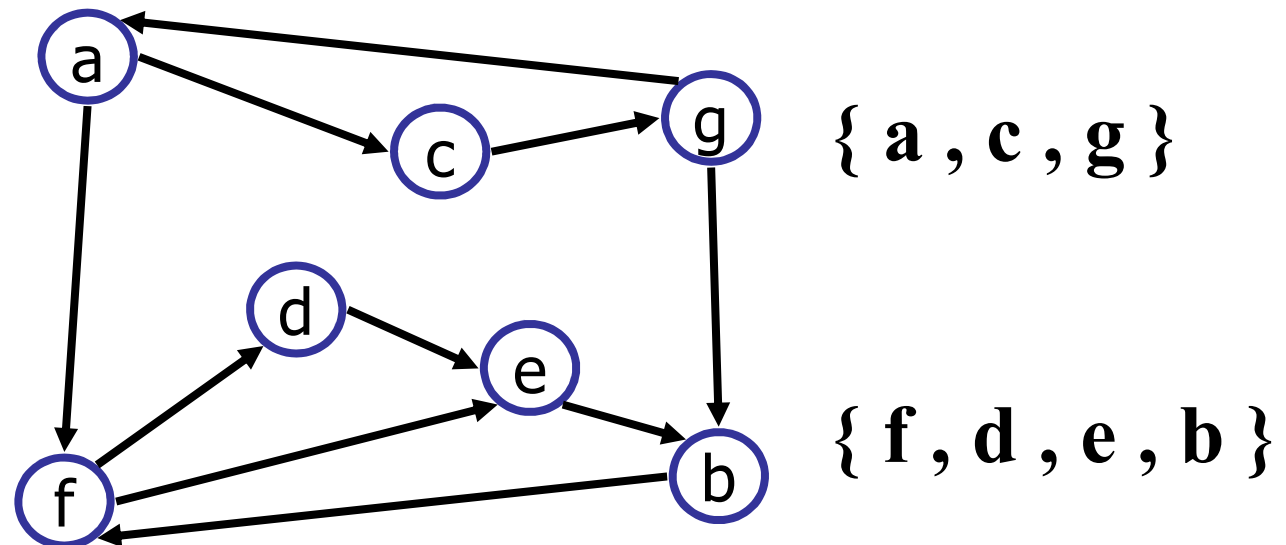
- Pick a vertex  $v$  in  $G$ .
- Perform a DFS from  $v$  in  $G$ .
  - If there's a  $w$  not visited, print "no".
- Let  $G'$  be  $G$  with edges reversed.
- Perform a DFS from  $v$  in  $G'$ .
  - If there's a  $w$  not visited, print "no".
  - Else, print "yes".
- Running time:  $O(n+m)$ .



# Strongly Connected Components

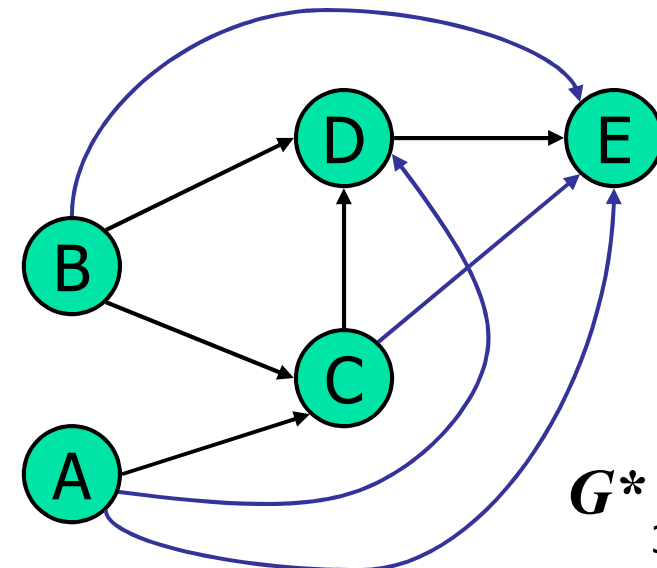
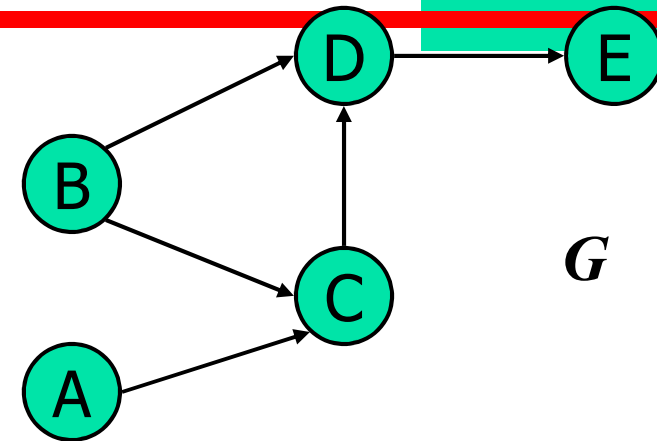


- Maximal subgraphs such that each vertex can reach all other vertices in the subgraph
- Can also be done in  $O(n+m)$  time using DFS, but is more complicated (similar to biconnectivity).



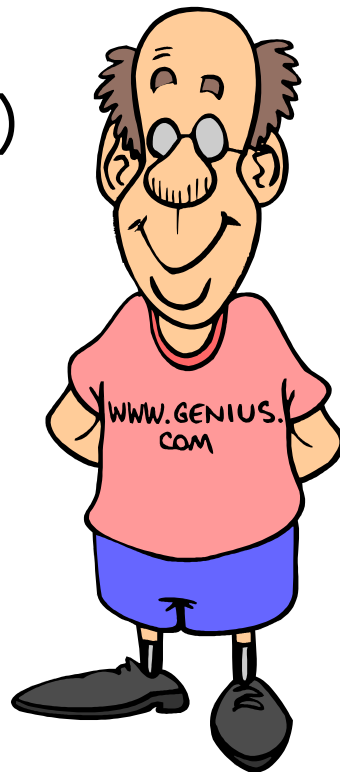
# Transitive Closure

- Given a digraph  $G$ , the transitive closure of  $G$  is the digraph  $G^*$  such that
  - $G^*$  has the same vertices as  $G$
  - if  $G$  has a directed path from  $u$  to  $v$  ( $u \neq v$ ),  $G^*$  has a directed edge from  $u$  to  $v$
- The transitive closure provides reachability information about a digraph



# Computing the Transitive Closure

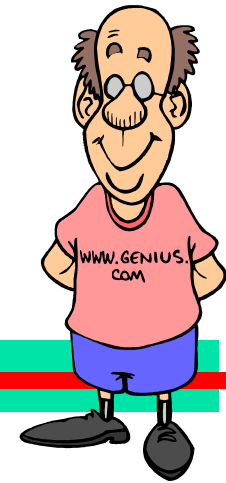
- We can perform DFS starting at each vertex
  - $O(n(n+m))$



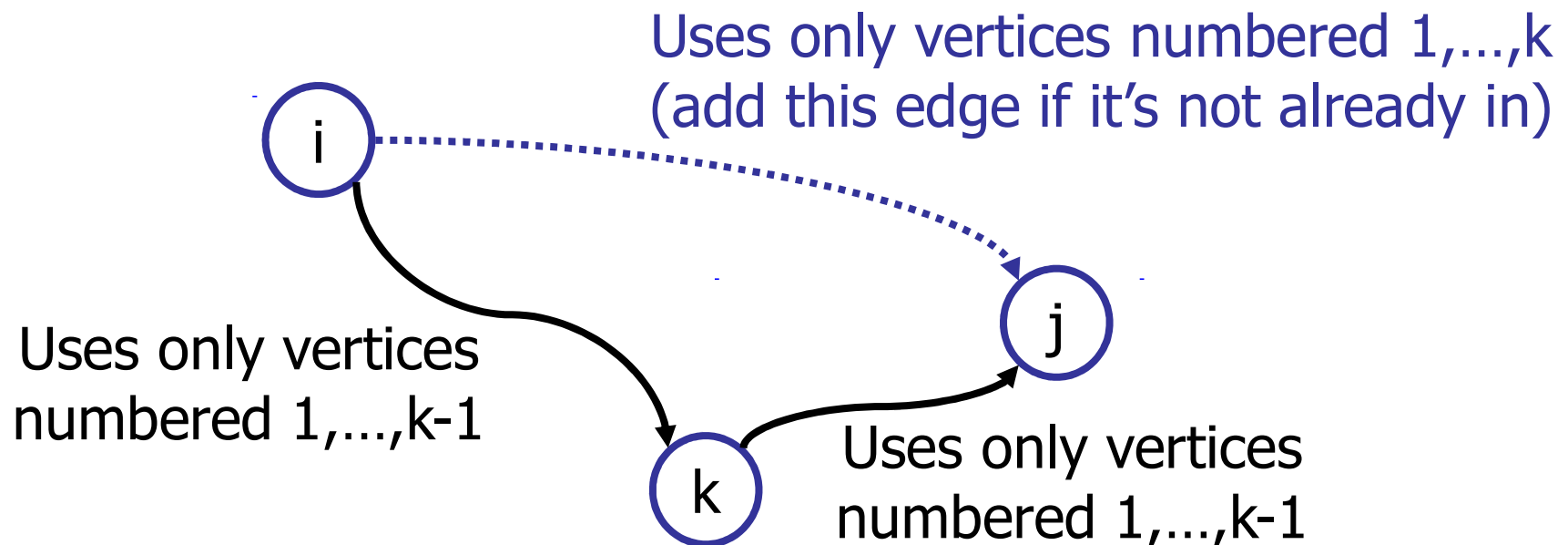
If there's a way to get from **A** to **B** and from **B** to **C**, then there's a way to get from **A** to **C**.

◆ Alternatively ... Use dynamic programming: The Floyd-Warshall Algorithm

# Floyd-Warshall Transitive Closure



- Idea #1: Number the vertices  $1, 2, \dots, n$ .
- Idea #2: Consider paths that use only vertices numbered  $1, 2, \dots, k$ , as intermediate vertices:





# Floyd-Warshall's Algorithm



Floyd-Warshall's algorithm numbers the vertices of  $G$  as  $v_1, \dots, v_n$  and computes a series of digraphs  $G_0, \dots, G_n$

- $G_0 = G$
- $G_k$  has a directed edge  $(v_i, v_j)$  if  $G$  has a directed path from  $v_i$  to  $v_j$  with intermediate vertices in the set  $\{v_1, \dots, v_k\}$

We have that  $G_n = G^*$

In phase  $k$ , digraph  $G_k$  is computed from  $G_{k-1}$

Running time:  $O(n^3)$ , assuming  $\text{areAdjacent}$  is  $O(1)$  (e.g., adjacency matrix)

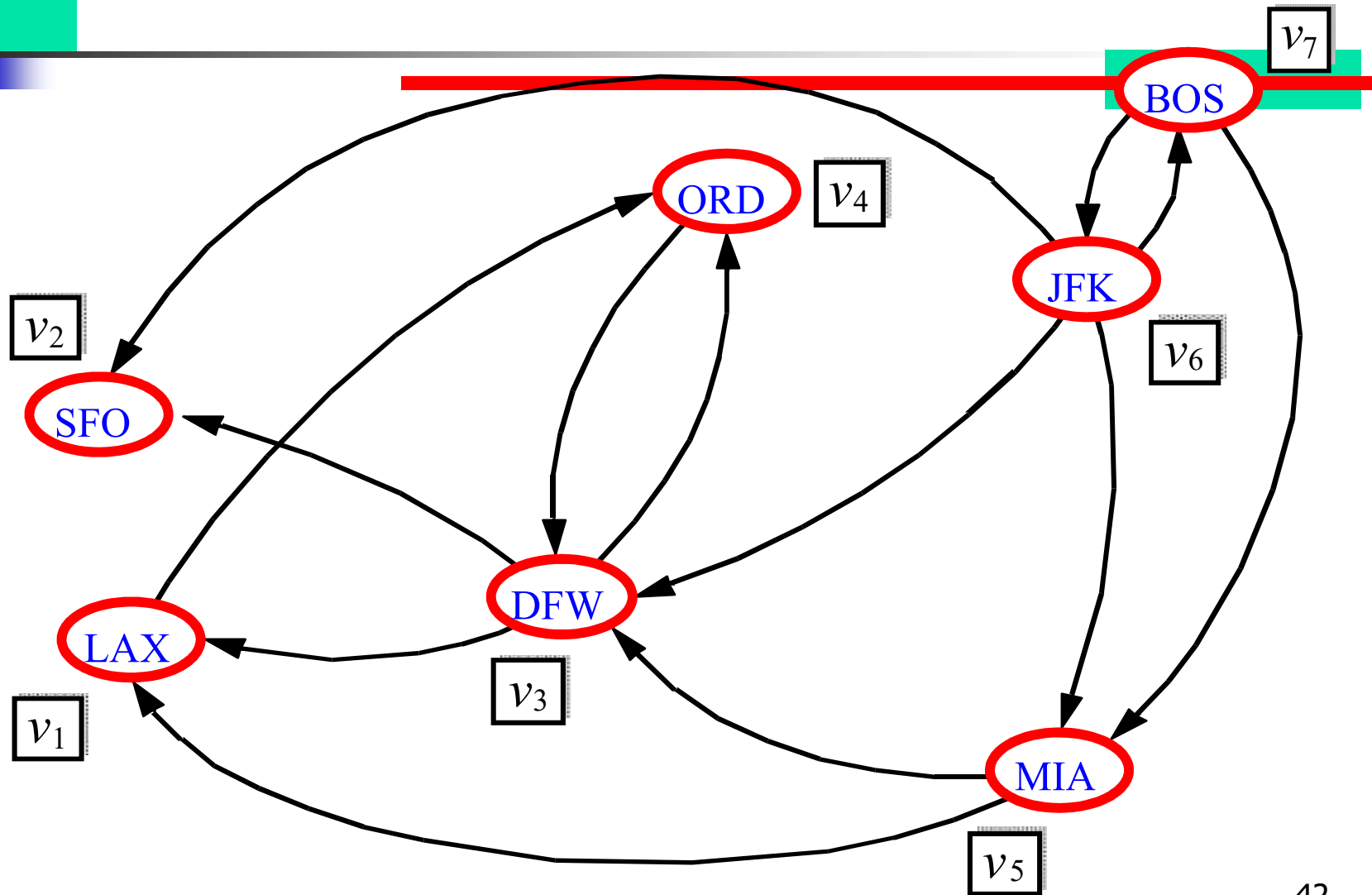
**Algorithm** *FloydWarshall*( $G$ )

**Input** digraph  $G$

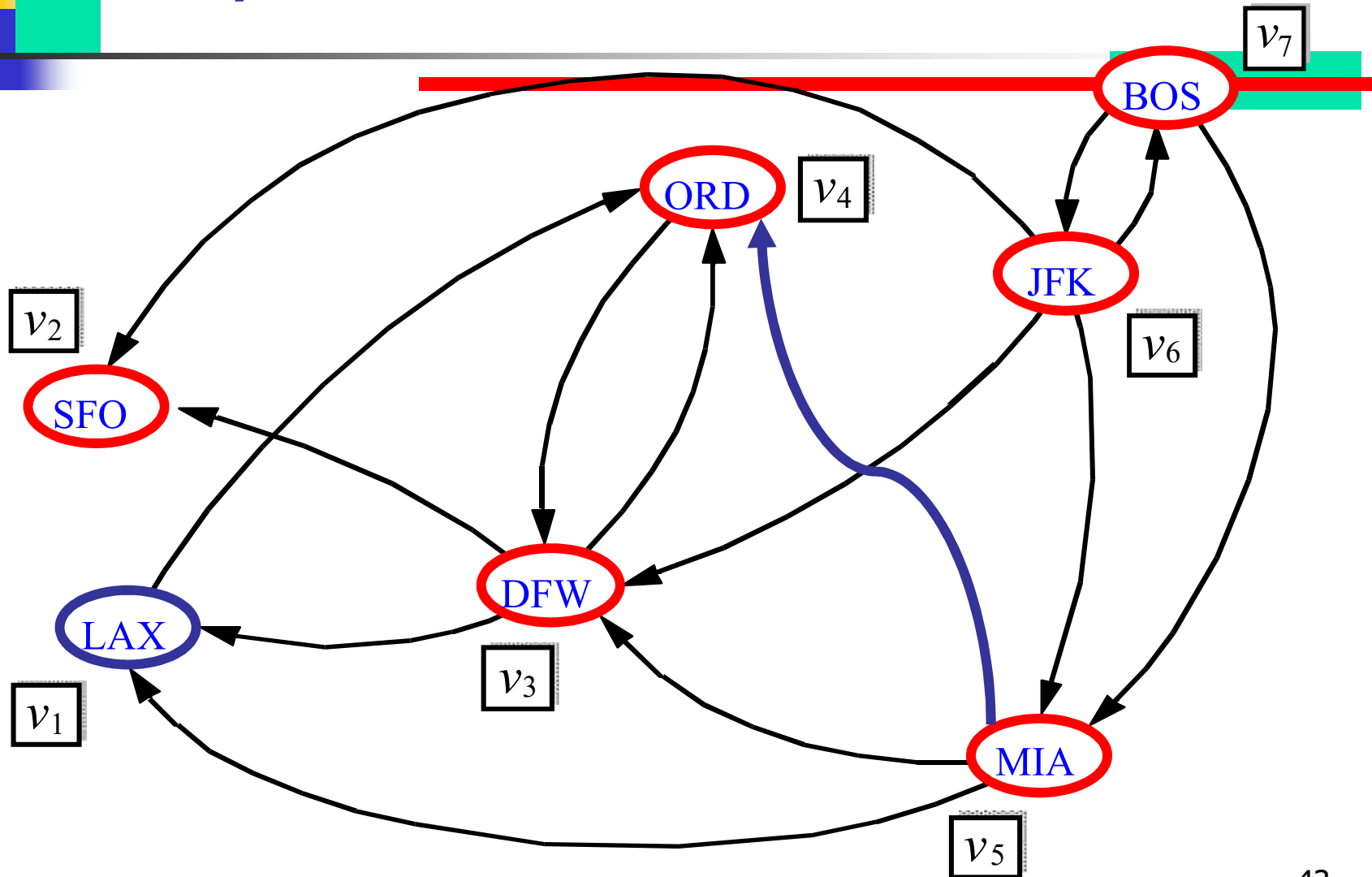
**Output** transitive closure  $G^*$  of  $G$

```
{  $i = 1$ ;  
  for all  $v \in G.\text{vertices}()$   
    { denote  $v$  as  $v_i$ ;  
       $i = i + 1$ ; }  
 $G_0 = G$ ;  
  for  $k = 1$  to  $n$  do  
    {  $G_k = G_{k-1}$ ;  
      for  $i = 1$  to  $n$  ( $i \neq k$ ) do  
        for  $j = 1$  to  $n$  ( $j \neq i, k$ ) do  
          if  $G_{k-1}.\text{areAdjacent}(v_i, v_k) \wedge$   
              $G_{k-1}.\text{areAdjacent}(v_k, v_j)$   
            if  $\neg G_k.\text{areAdjacent}(v_i, v_j)$   
               $G_k.\text{insertDirectedEdge}(v_i, v_j, k)$ ;  
        }  
      }  
  return  $G_n$   
}
```

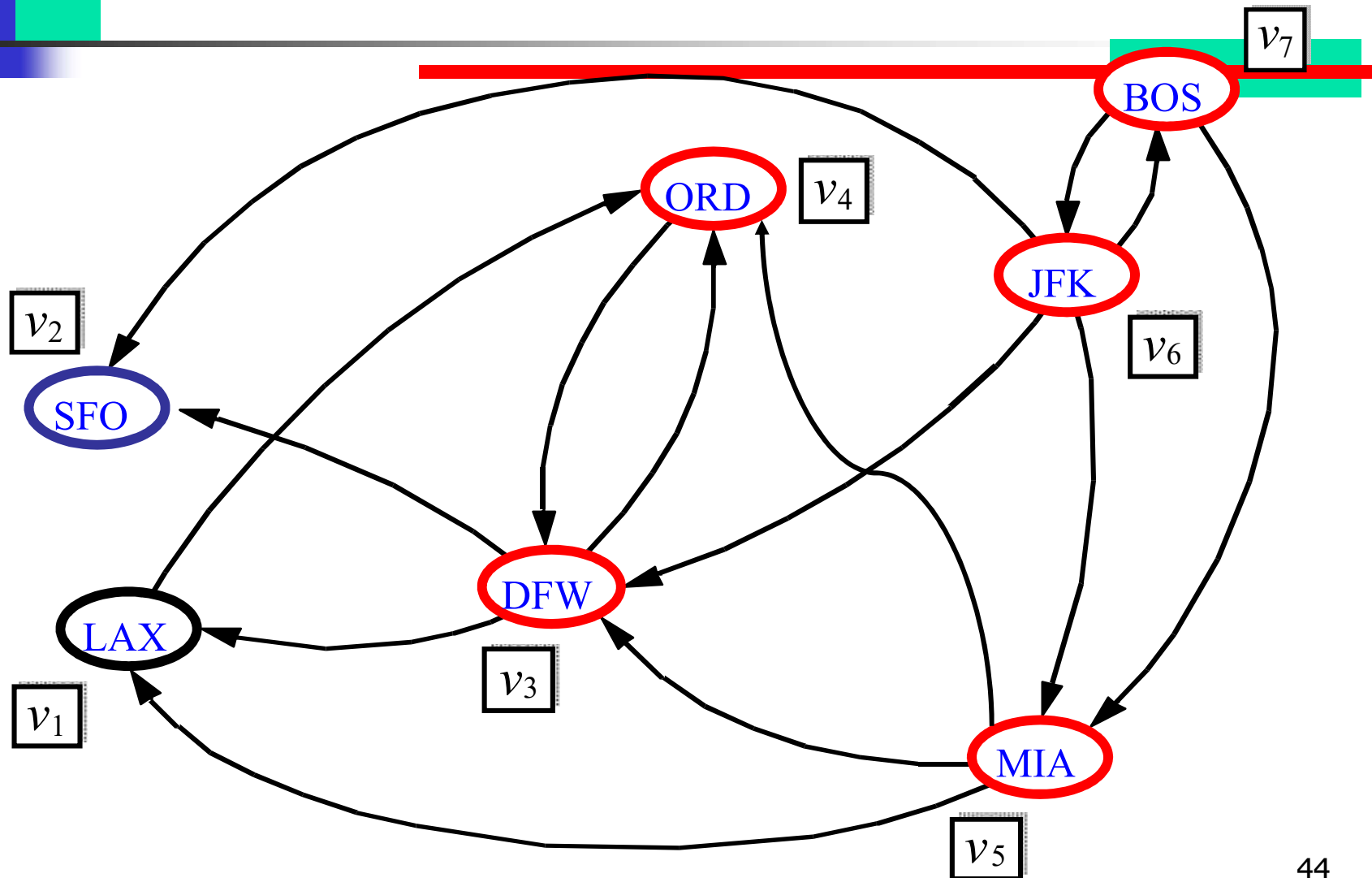
# Floyd-Warshall Example



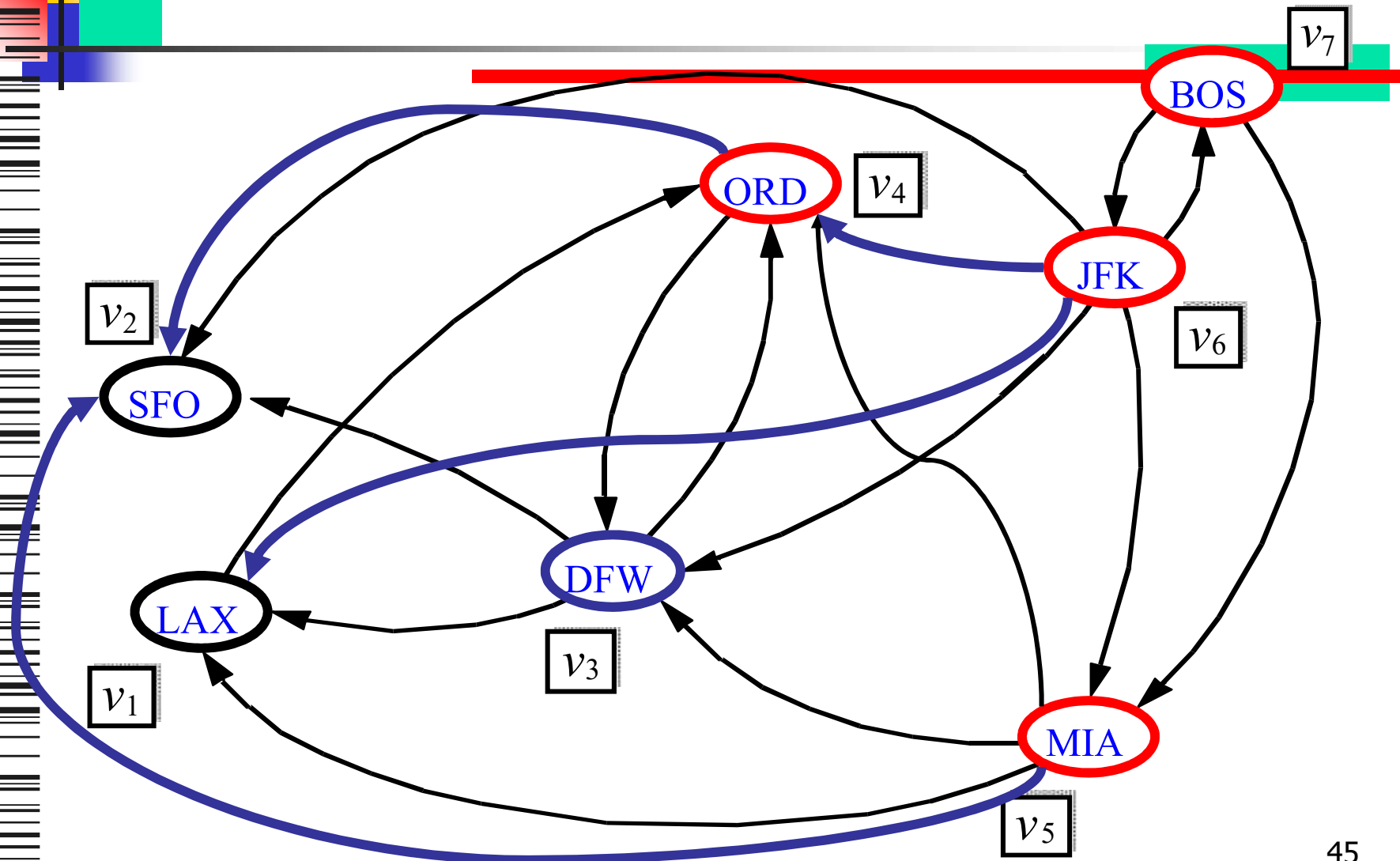
# Floyd-Warshall, Iteration 1



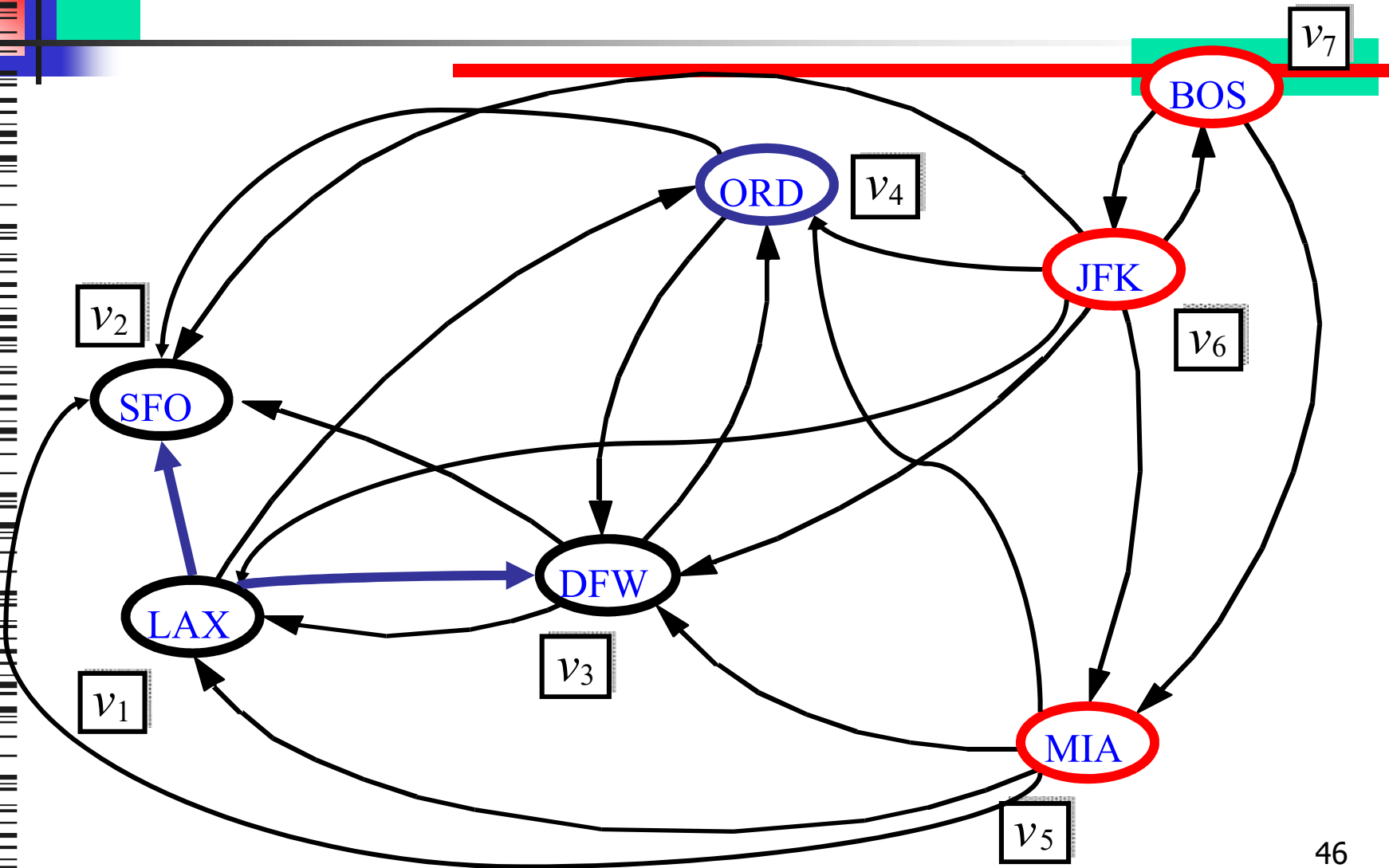
## Floyd-Warshall, Iteration 2



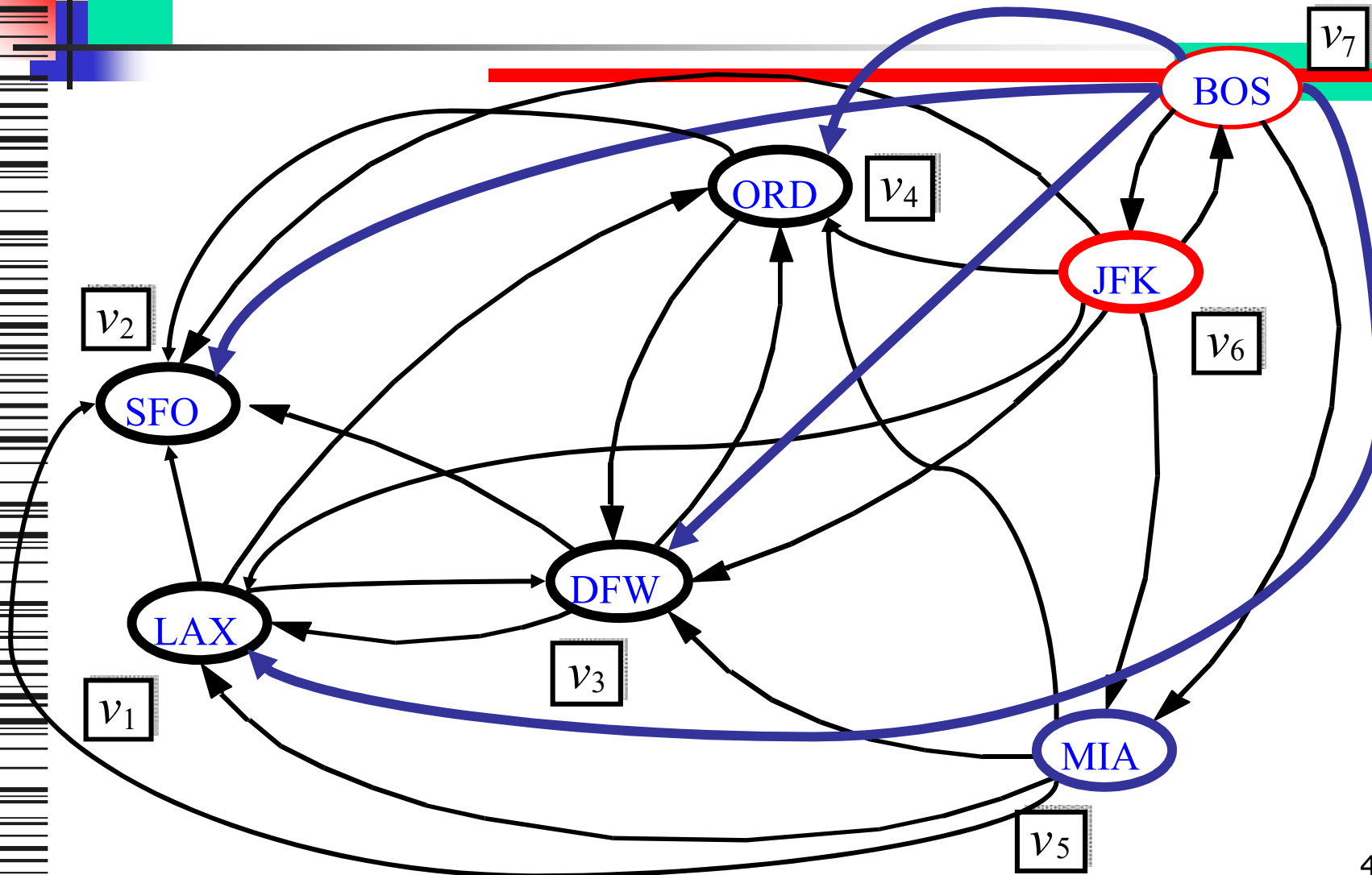
## Floyd-Warshall, Iteration 3



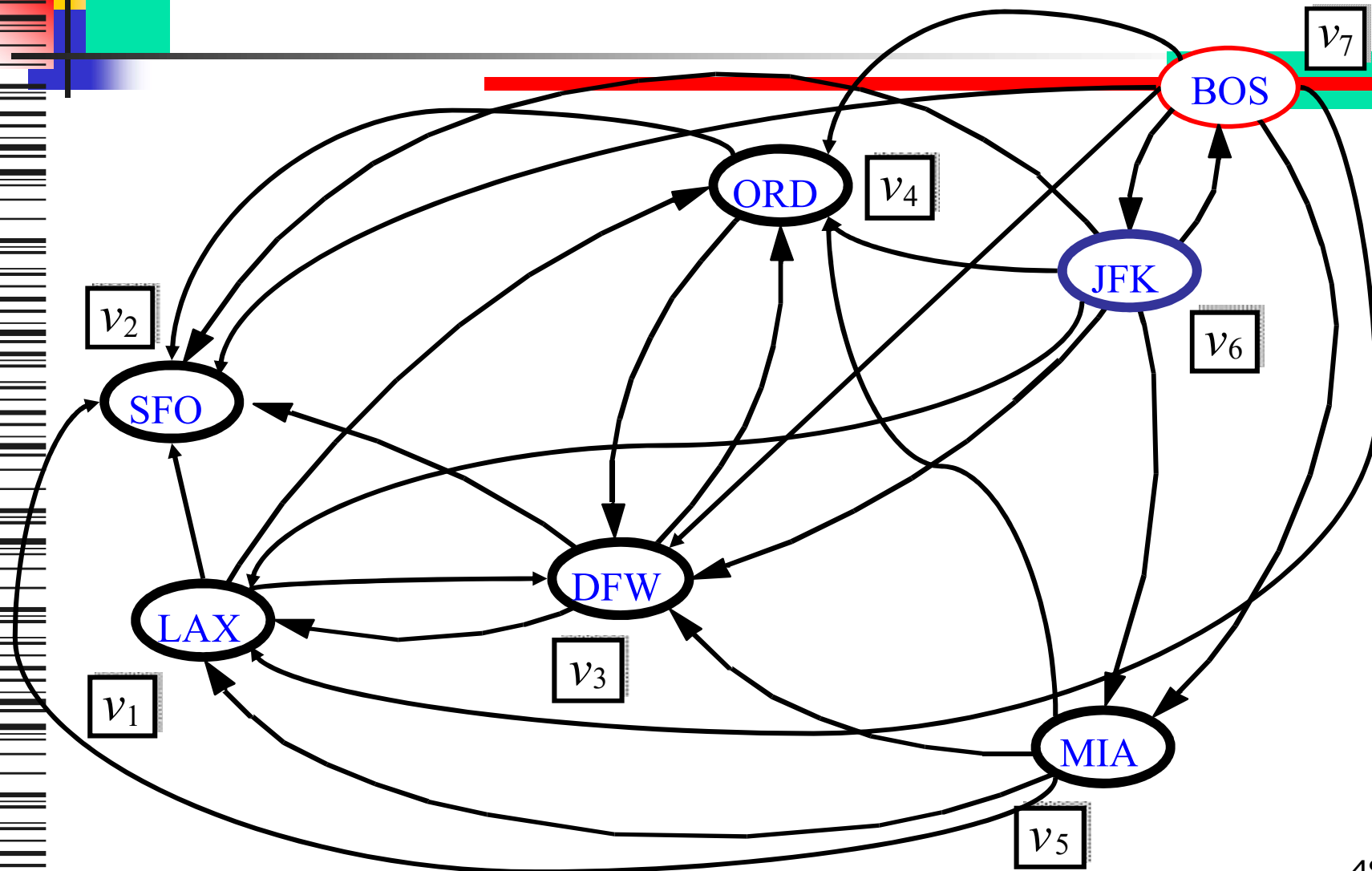
# Floyd-Warshall, Iteration 4



# Floyd-Warshall, Iteration 5

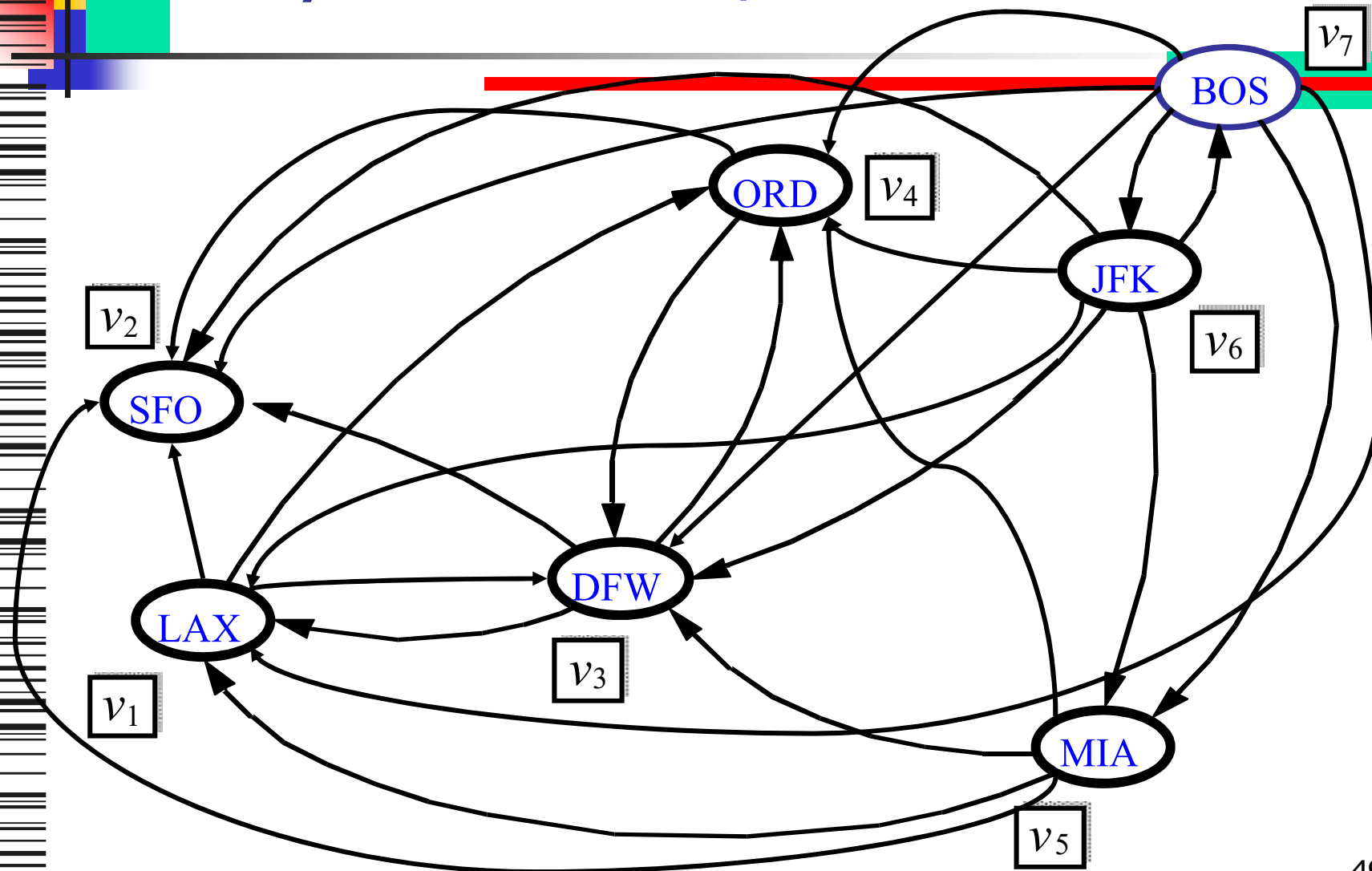


# Floyd-Warshall, Iteration 6





# Floyd-Warshall, Conclusion



# DAGs and Topological Ordering

- A directed acyclic graph (DAG) is a digraph that has no directed cycles
- A topological ordering of a digraph is a numbering

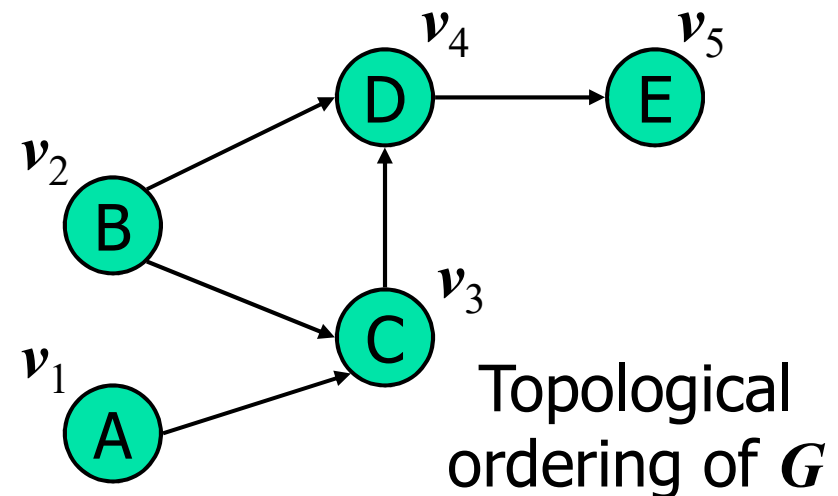
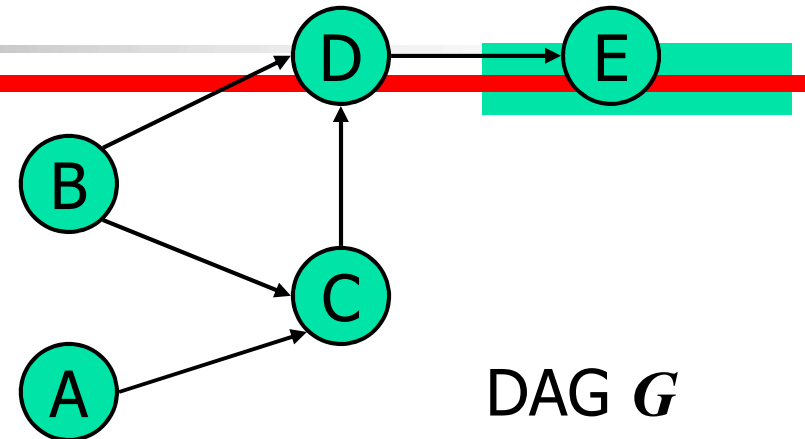
$$v_1, \dots, v_n$$

of the vertices such that for every edge  $(v_i, v_j)$ , we have  $i < j$

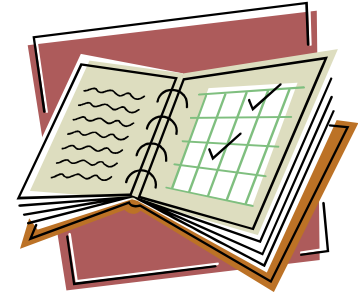
- Example: in a task scheduling digraph, a topological ordering a task sequence that satisfies the precedence constraints

## Theorem

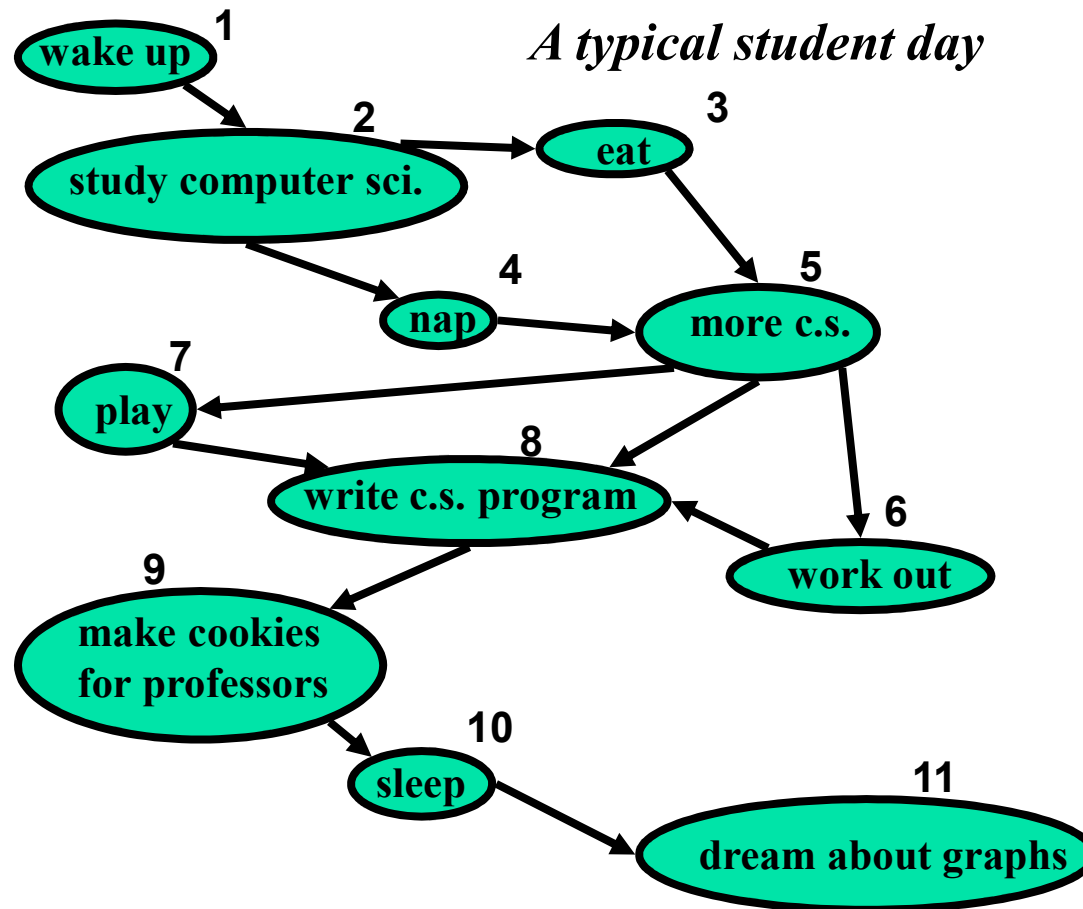
A digraph admits a topological ordering if and only if it is a DAG



# Topological Sorting



Number vertices, so that  $(u,v)$  in  $E$  implies  $u < v$





# Algorithm for Topological Sorting



**Method** TopologicalSort( $G$ )

{  $H = G$ ;      // Temporary copy of  $G$

$n = G.numVertices()$ ;

**while**  $H$  is not empty **do**

    { Let  $v$  be a vertex with no outgoing edges;

      Label  $v = n$ ;

$n = n - 1$ ;

      Remove  $v$  from  $H$ ;

    }

  }

- Running time:  $O(n + m)$ . How...?

# Topological Sorting Algorithm using DFS

- Simulate the algorithm by using depth-first search

## Algorithm *topologicalDFS(G)*

```
Input dag  $G$ 
Output topological ordering of  $G$ 
{  $n = G.numVertices()$ ;
  for all  $u \in G.vertices()$ 
     $setLabel(u, UNEXPLORED)$ ;
  for all  $e \in G.edges()$ 
     $setLabel(e, UNEXPLORED)$ ;
  for all  $v \in G.vertices()$ 
    if (  $getLabel(v) = UNEXPLORED$  )
       $topologicalDFS(G, v)$ ;
}
```

- $O(n+m)$  time.

## Algorithm *topologicalDFS(G, v)*

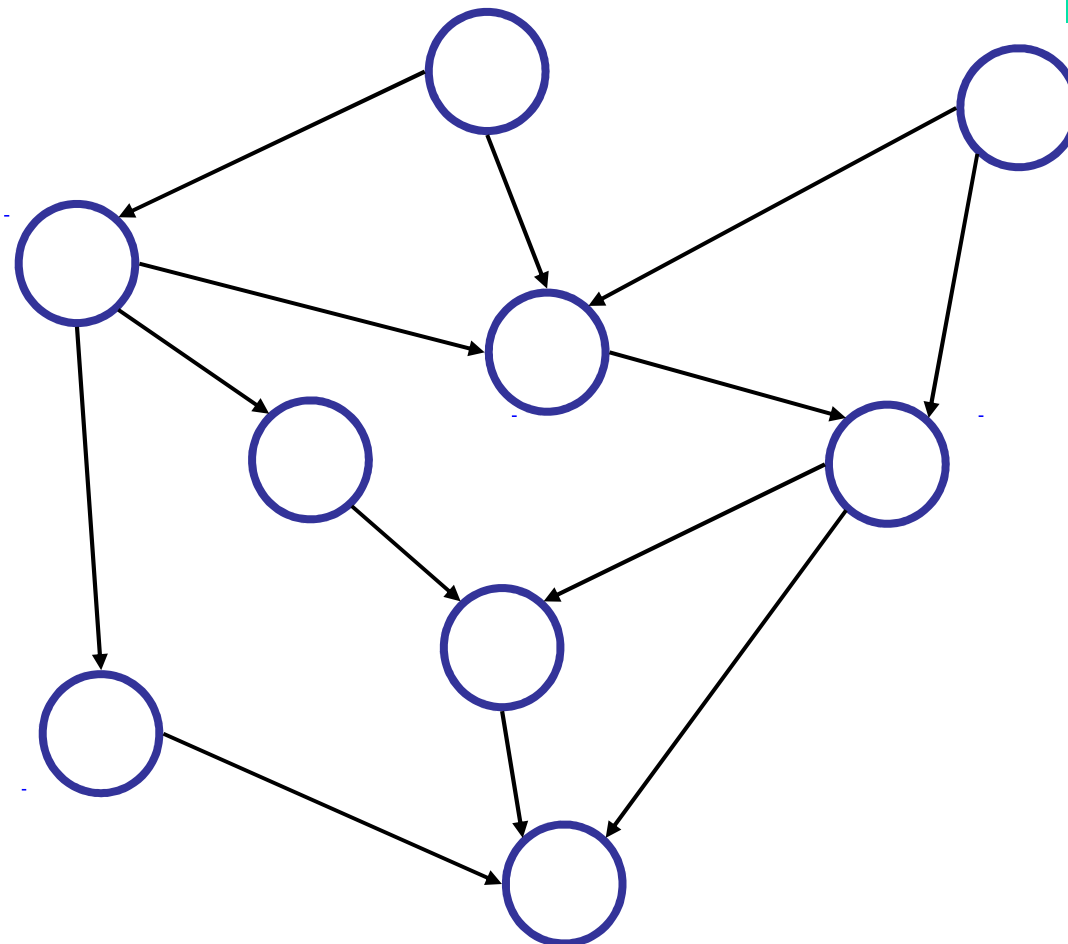
**Input** graph  $G$  and a start vertex  $v$  of  $G$

**Output** labeling of the vertices of  $G$   
in the connected component of  $v$

```
{  $setLabel(v, VISITED)$ ;
  for all  $e \in G.incidentEdges(v)$ 
    if (  $getLabel(e) = UNEXPLORED$  )
      {  $w = opposite(v, e)$ ;
        if (  $getLabel(w) = UNEXPLORED$  )
          {  $setLabel(e, DISCOVERY)$ ;
             $topologicalDFS(G, w)$ ; }
      }
  Label  $v$  with topological number  $n$ ;
   $n = n - 1$ ;
  return;
}
```

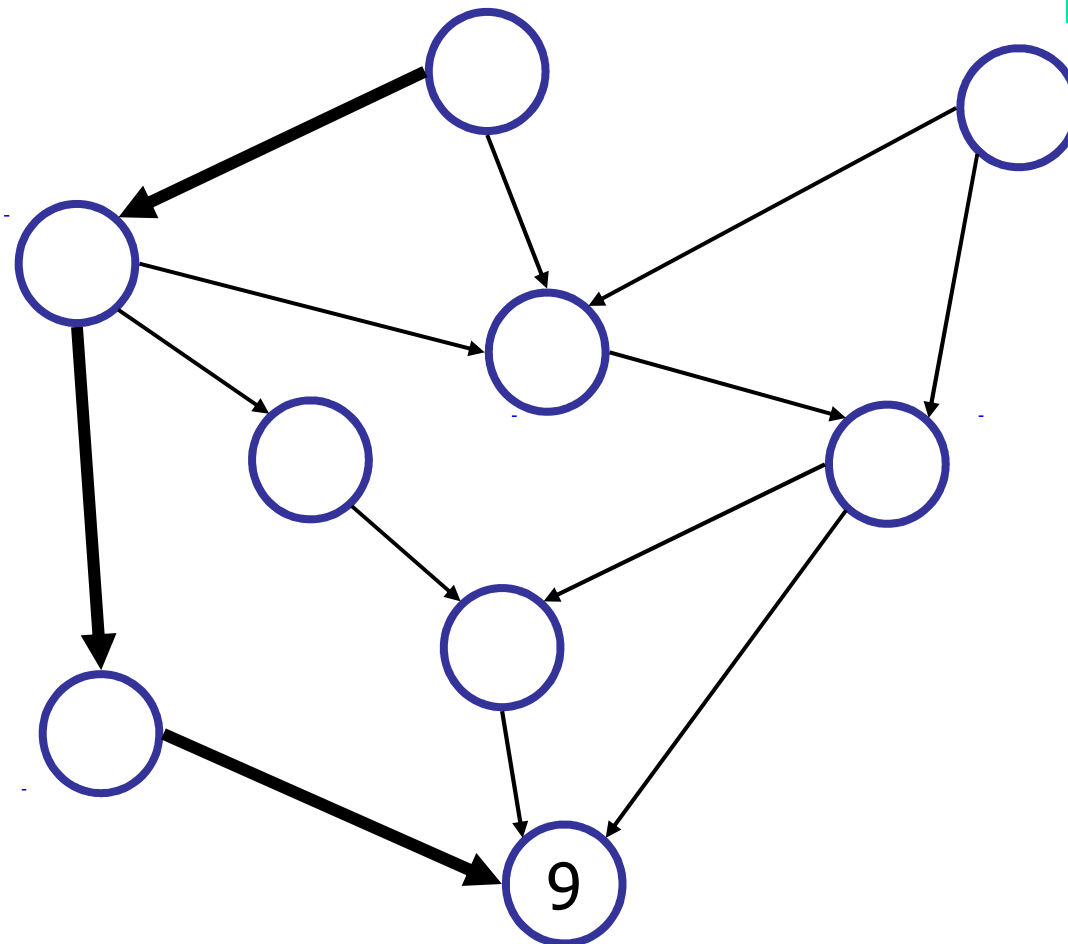
# Topological Sorting Example

(1/10)



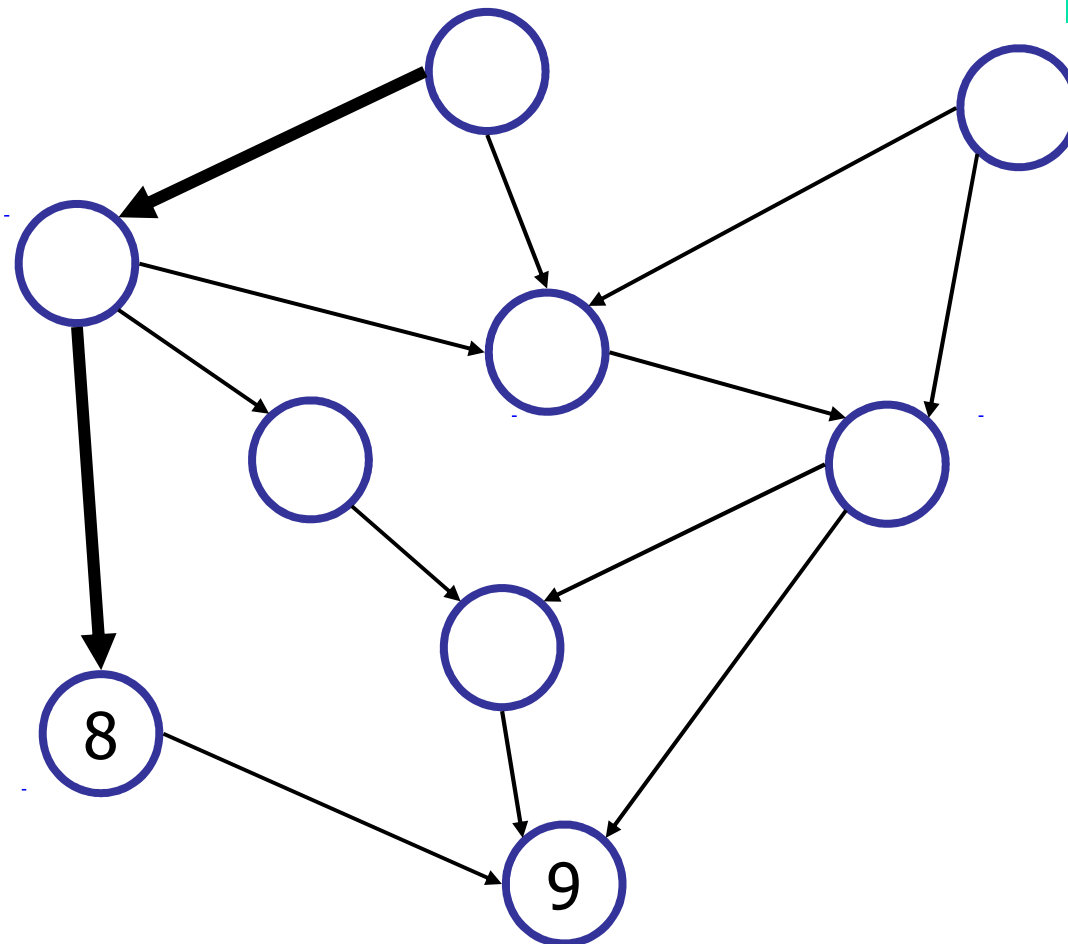
# Topological Sorting Example

## (2/10)



# Topological Sorting Example

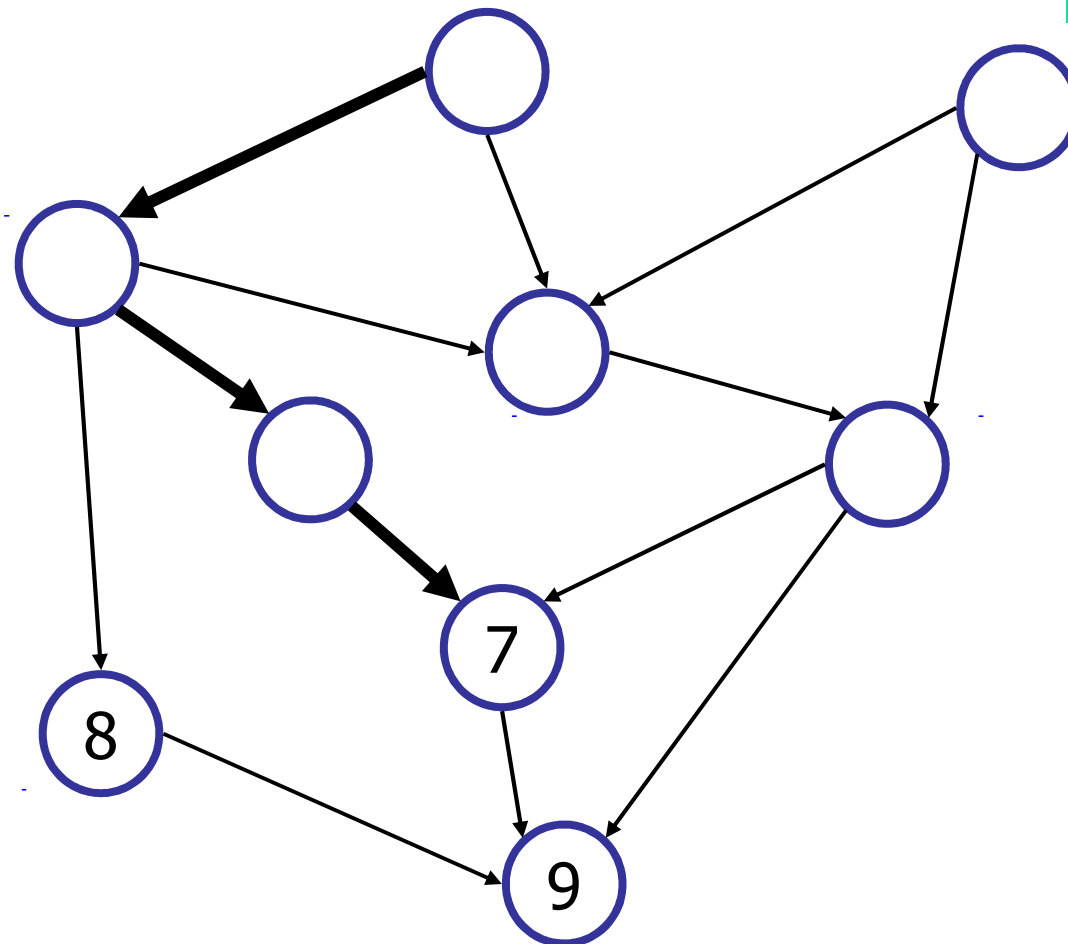
(3/10)





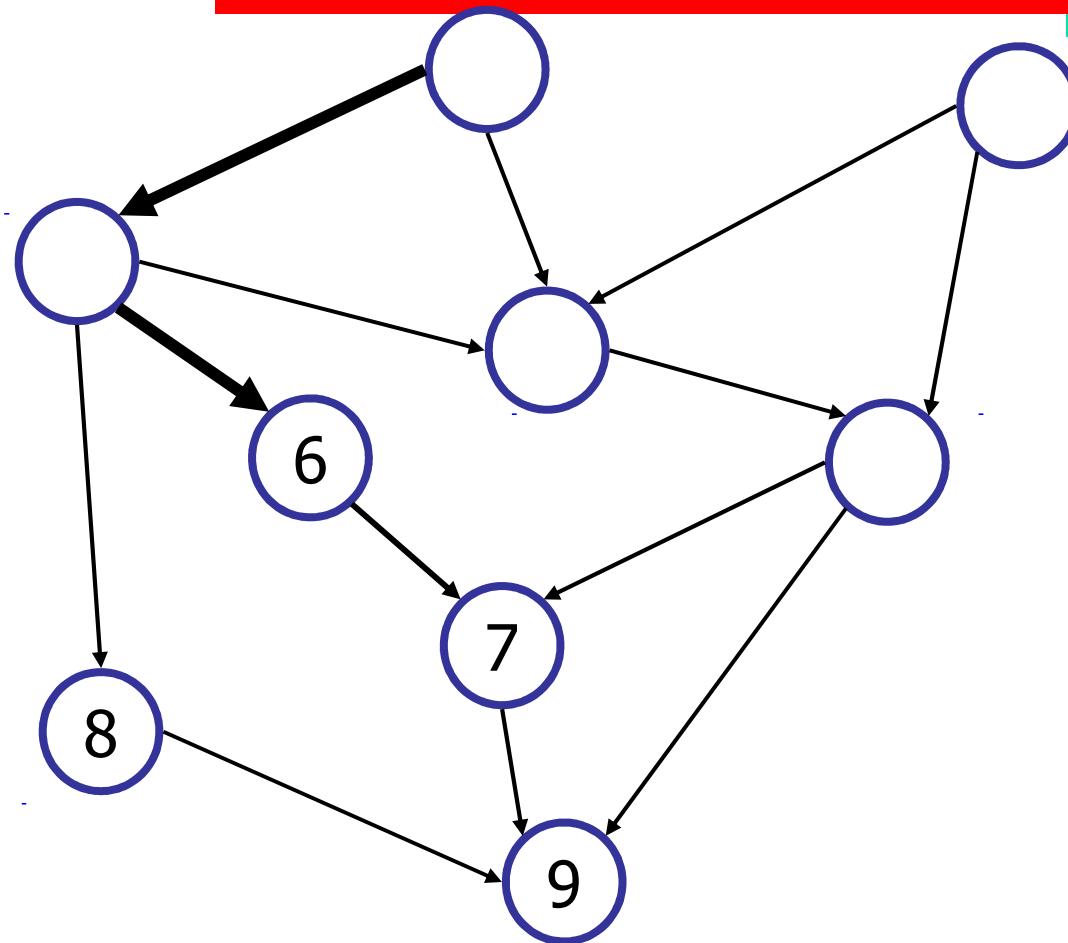
# Topological Sorting Example

(4/10)



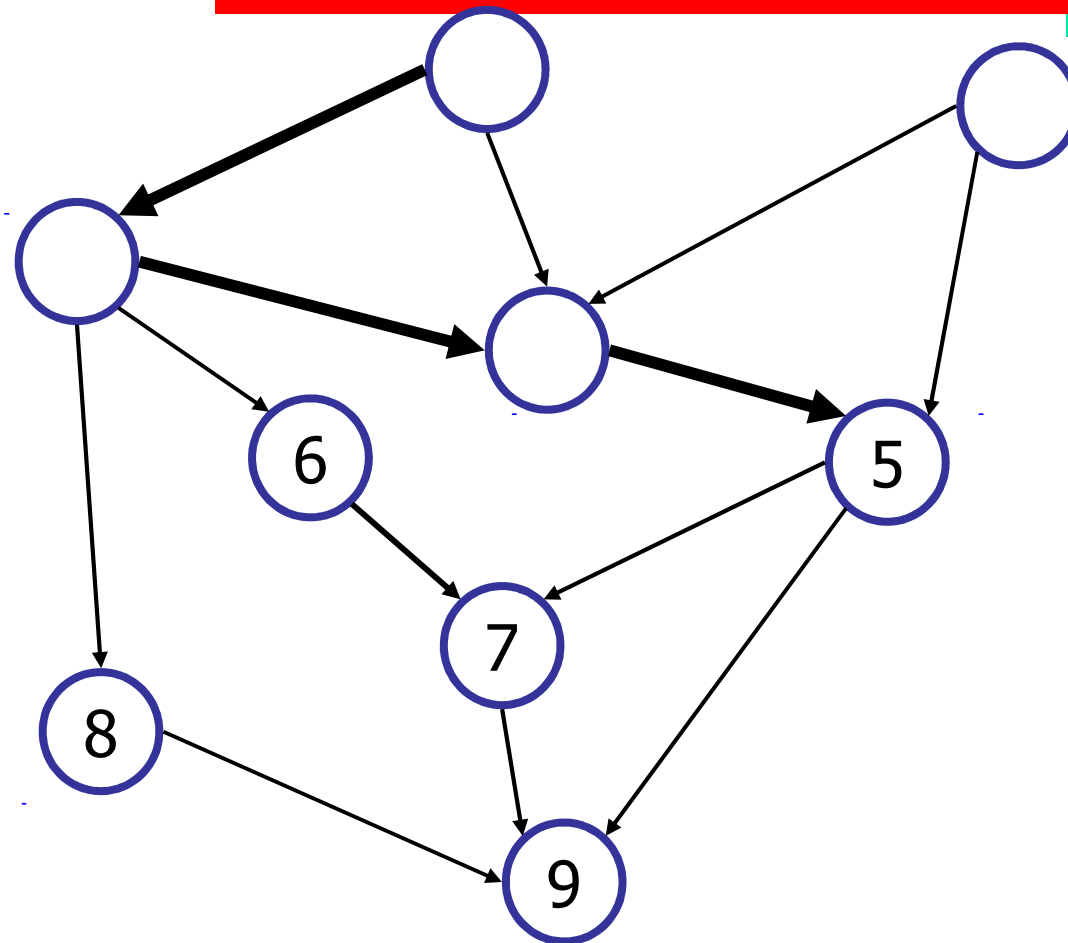
# Topological Sorting Example

(5/10)



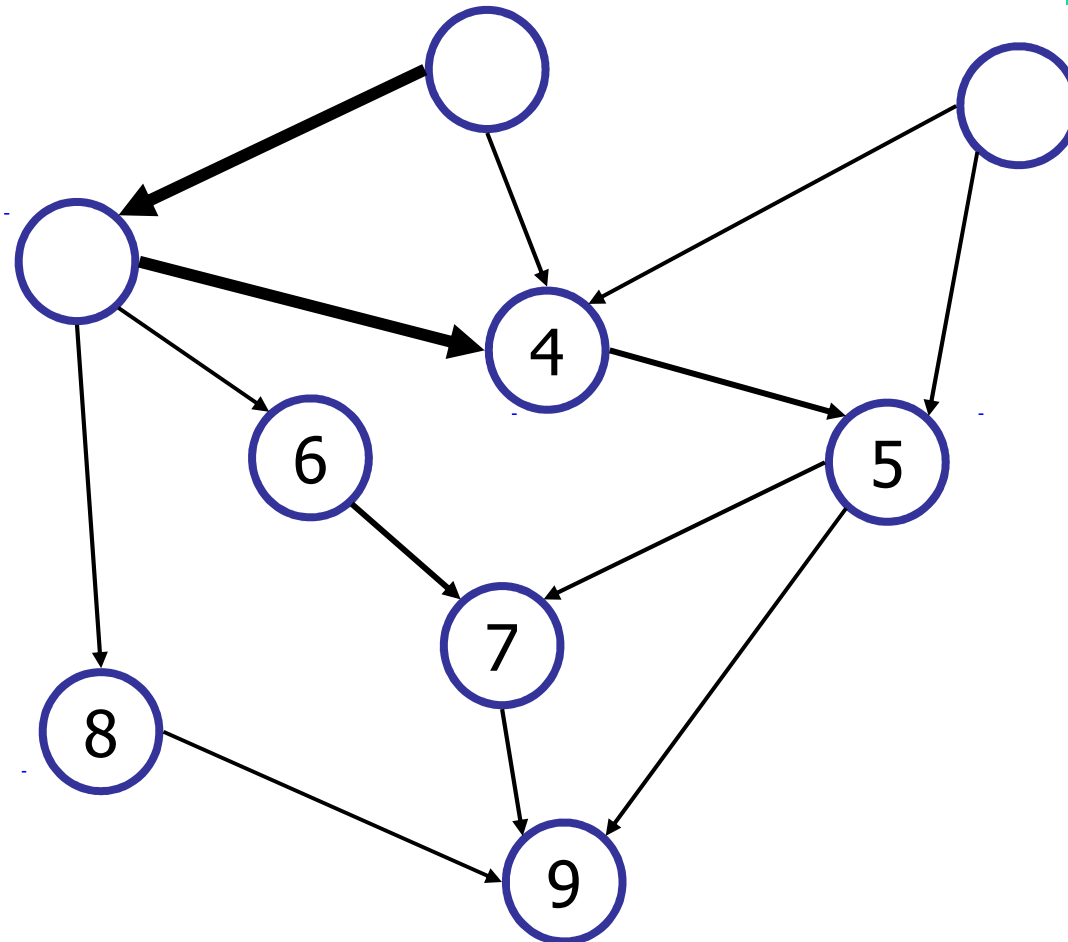
# Topological Sorting Example

(6/10)



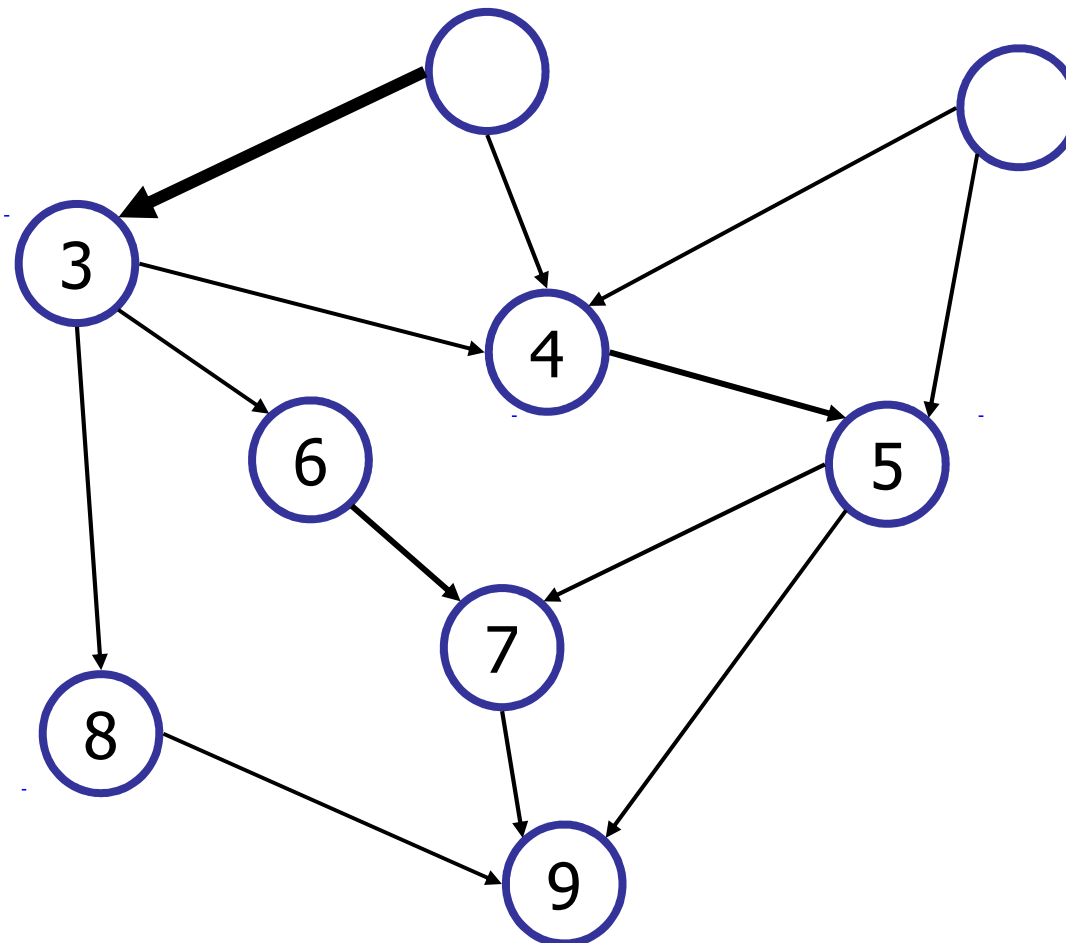
# Topological Sorting Example

(7/10)



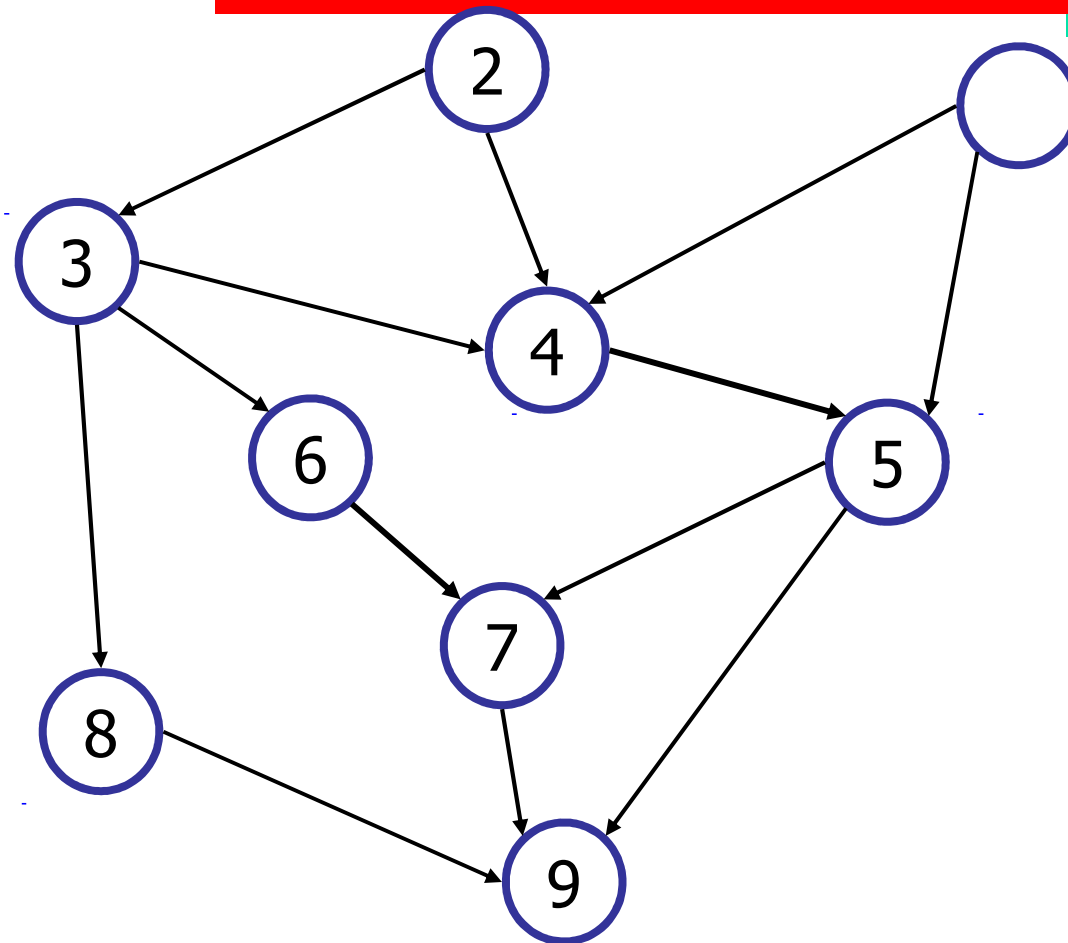
# Topological Sorting Example

(8/10)

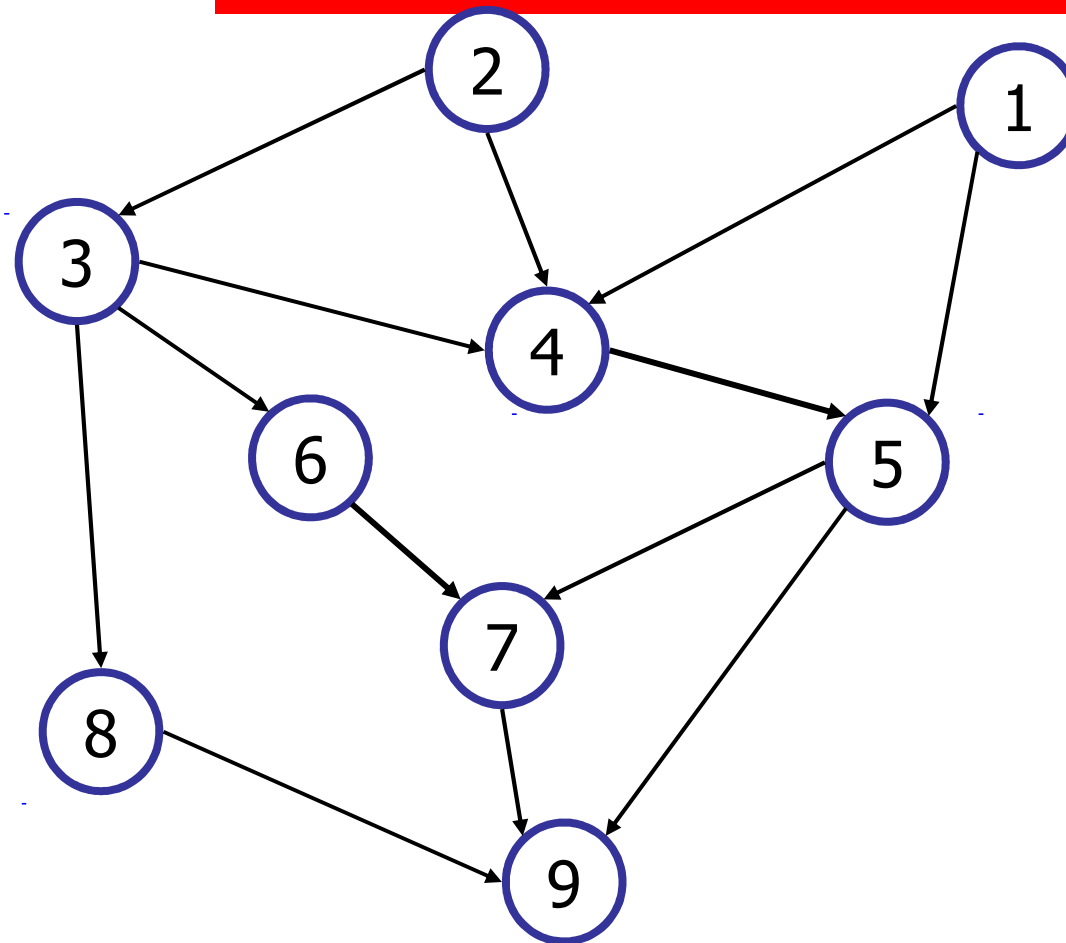


# Topological Sorting Example

(9/10)



# Topological Sorting Example (10/10)





# Summary



- Depth-First Search
- Breadth-First Search
- Transitive Closure
- Topological Sorting
- Suggested reading (Sedgewick):
  - Ch.18
  - Ch.19