# COMP 3331/9331: Computer Networks and Applications

Week 7
Congestion Control (Transport Layer)

Reading Guide: Chapter 3, Sections: 3.6-3.7

## Transport Layer: Outline

- 3.1 transport-layer services
- 3.2 multiplexing and demultiplexing
- 3.3 connectionless transport: UDP
- 3.4 principles of reliable data transfer

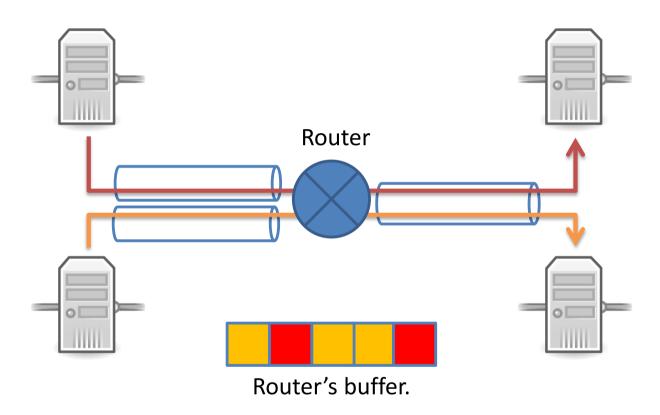
- 3.5 connection-oriented transport: TCP
  - segment structure
  - reliable data transfer
  - flow control
  - connection management
- 3.6 principles of congestion contro
- 3.7 TCP congestion control

#### Principles of congestion control

#### congestion:

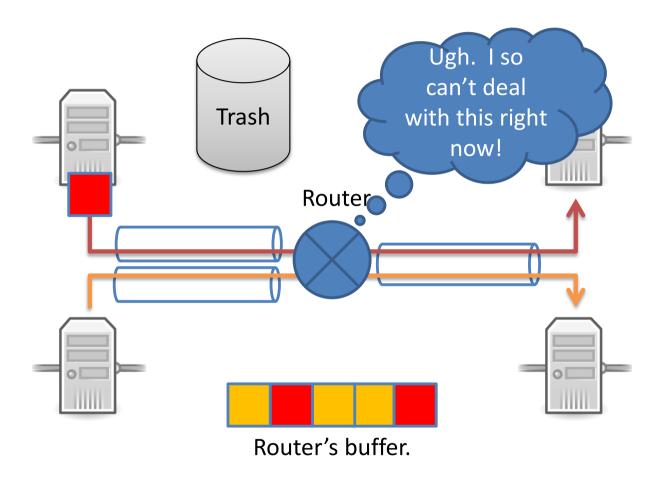
- informally: "too many sources sending too much data too fast for network to handle"
- different from flow control!
- manifestations:
  - lost packets (buffer overflow at routers)
  - long delays (queueing in router buffers)
- a top-10 problem!

## Congestion



Incoming rate is faster than outgoing link can support.

# Congestion



Incoming rate is faster than outgoing link can support.

#### Quiz: What's the worst that can happen?

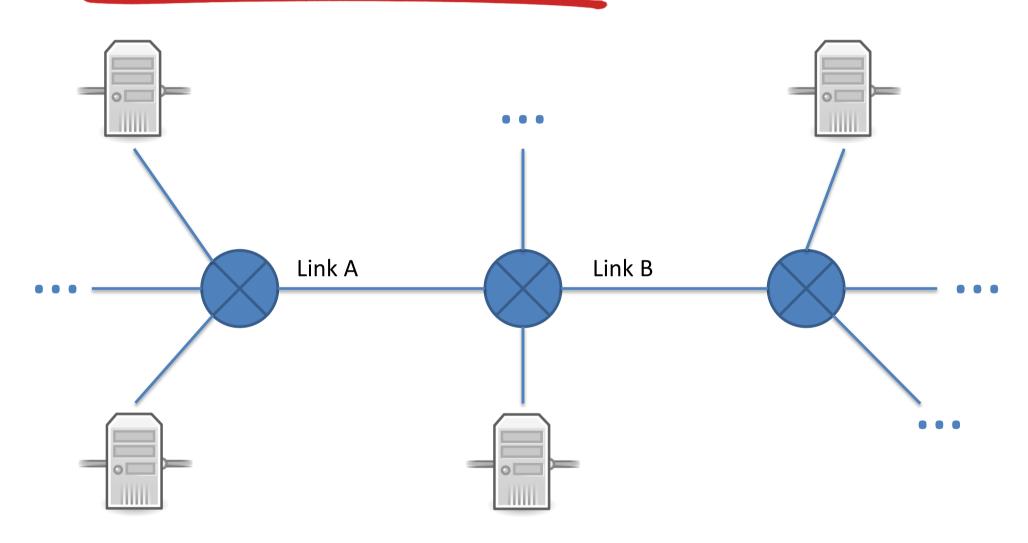


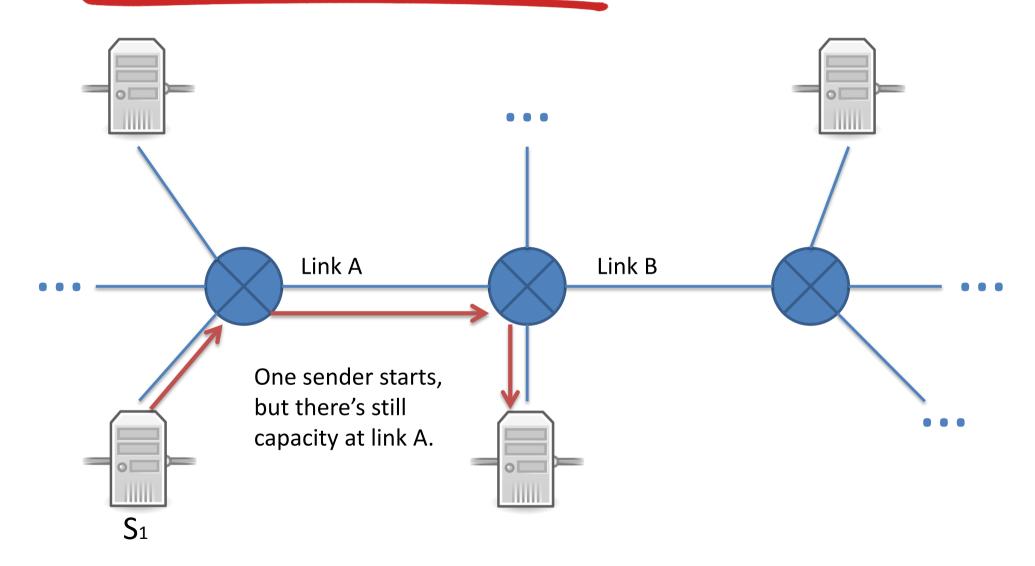
A: This is no problem. Senders just transmitting, and it'll all work out.

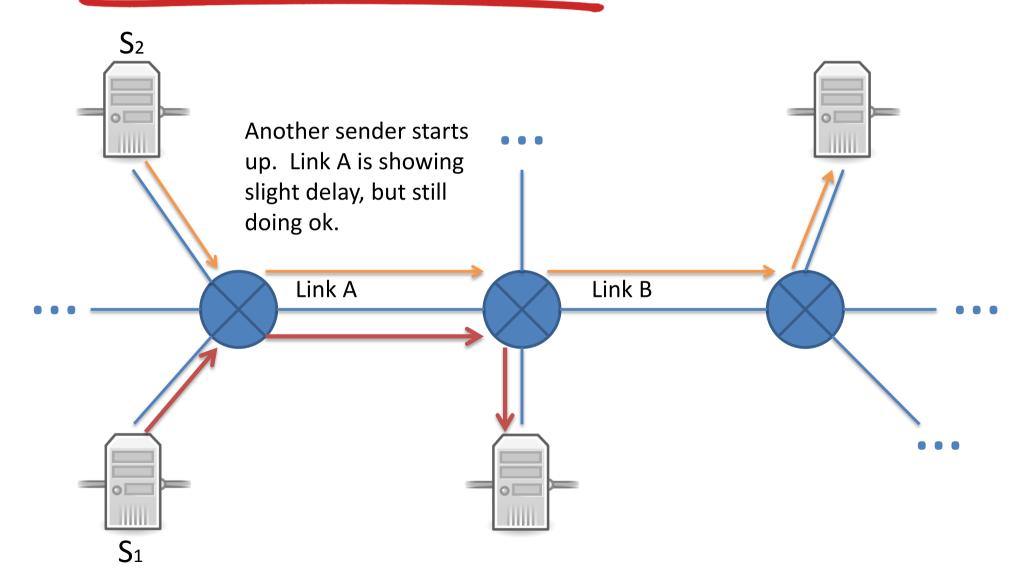
B: There will be retransmissions, but the network will still perform without much trouble.

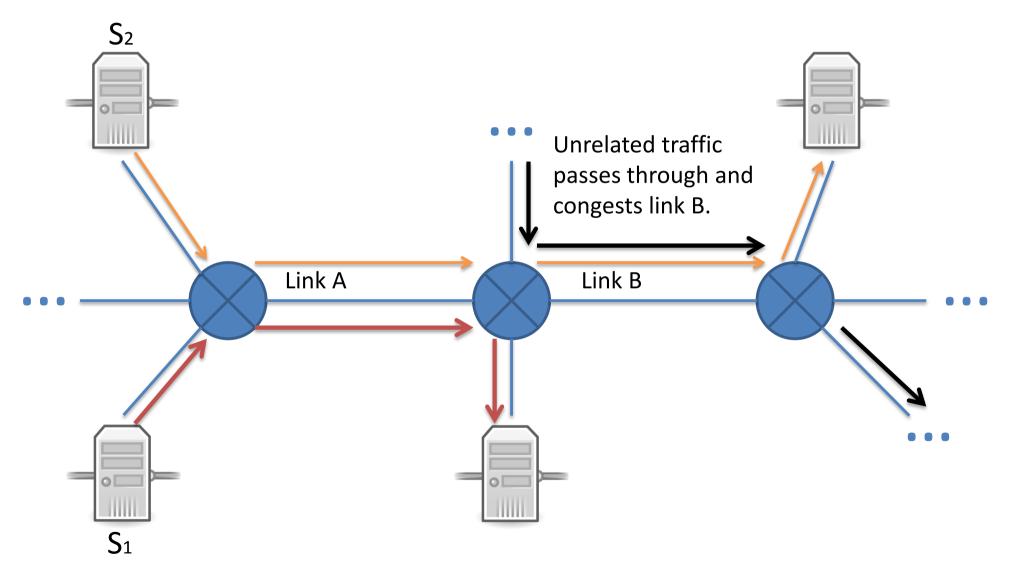
C: Retransmissions will become very frequent, causing a serious loss of efficiency

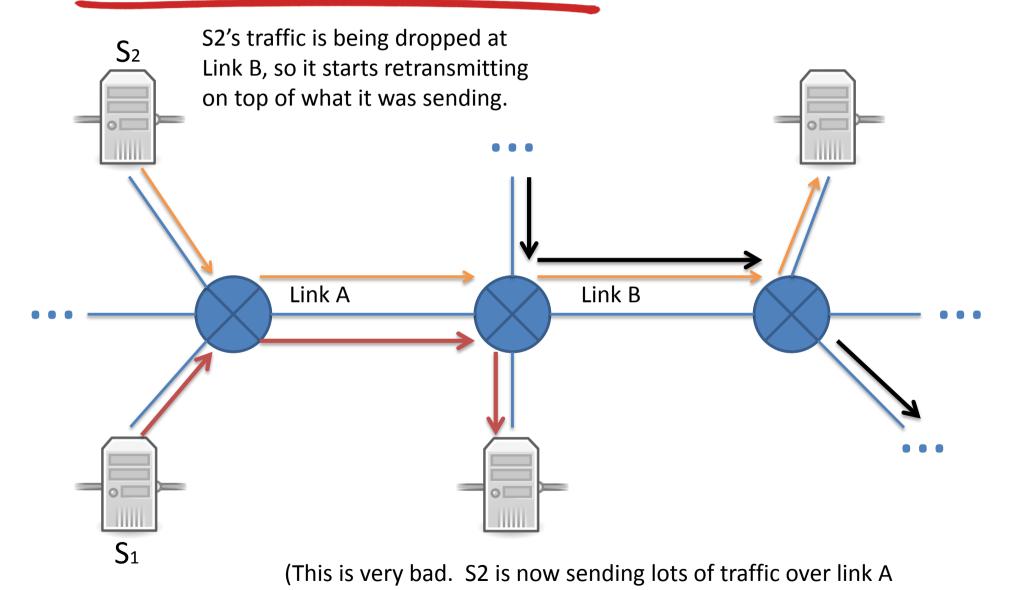
D: The network will become completely unusable





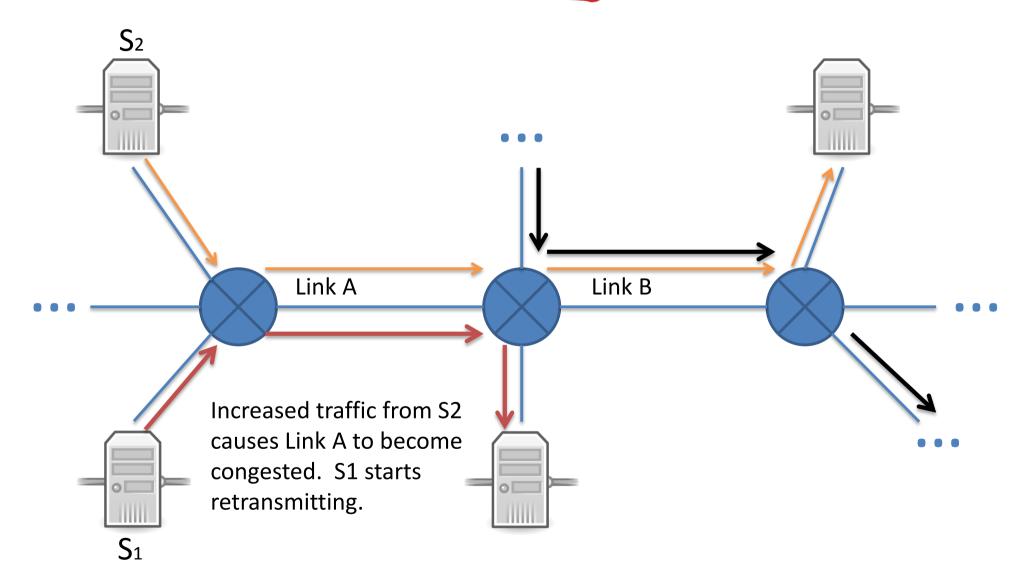


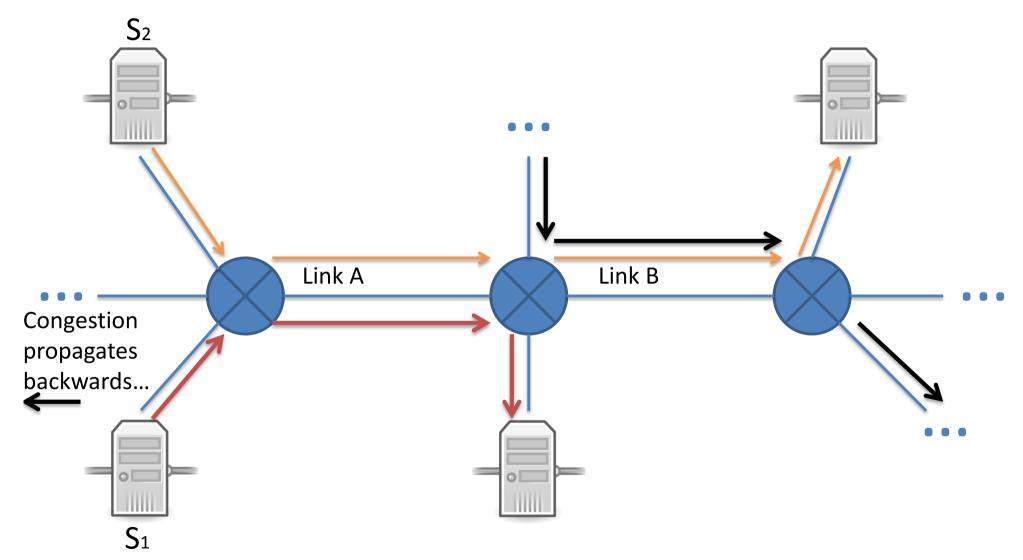




that has no hope of crossing link B.)

Congestion Control 11





#### Without congestion control

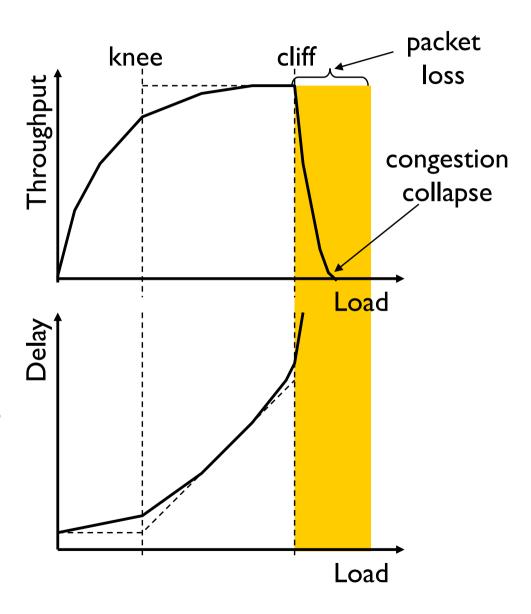
#### congestion:

- Increases delivery latency
- Increases loss rate
- Increases retransmissions, many unnecessary
- Wastes capacity of traffic that is never delivered
- Increases congestion, cycle continues ...

## Cost of Congestion

- Knee point after which
  - Throughput increases slowly
  - Delay increases fast

- Cliff point after which
  - Throughput starts to drop to zero (congestion collapse)
  - Delay approaches infinity



This happened to the Internet (then NSFnet) in 1986

- Rate dropped from a blazing 32 Kbps to 40bps
- This happened on and off for two years
- In 1988, Van Jacobson published "Congestion" Avoidance and Control"
- The fix: senders voluntarily limit sending rate

#### Approaches towards congestion control

two broad approaches towards congestion control:

#### end-end congestion control:

- no explicit feedback from network
- congestion inferred from end-system observed loss, delay
- approach taken by

#### network-assisted congestion control:

- routers provide feedback to end systems
  - single bit indicating congestion (SNA, DECbit, TCP/IP ECN, ATM)
  - explicit rate for sender to send at

## Transport Layer: Outline

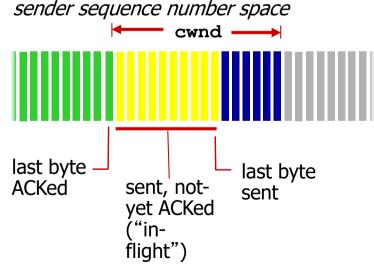
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# TCP's Approach in a Nutshell

- TCP connection has window
  - Controls number of packets in flight
- TCP sending rate:
  - roughly: send cwnd bytes, wait RTT for ACKS, then send more bytes

rate 
$$\approx \frac{\text{cwnd}}{\text{RTT}}$$
 bytes/sec



Vary window size to control sending rate

#### All These Windows...

- Congestion Window: CWND
  - How many bytes can be sent without overflowing routers
  - Computed by the sender using congestion control algorithm
- Flow control window: AdvertisedWindow (RWND)
  - How many bytes can be sent without overflowing receiver's buffers
  - Determined by the receiver and reported to the sender
- Sender-side window = minimum{cwnd, RWND}
  - Assume for this lecture that RWND >> CWND

#### **CWND**

- This lecture will talk about CWND in units of MSS
  - (Recall MSS: Maximum Segment Size, the amount of payload data in a TCP packet)
  - This is only for pedagogical purposes

 Keep in mind that real implementations maintain CWND in bytes

## Two Basic Questions

How does the sender detect congestion?

How does the sender adjust its sending rate?



# Quiz: What is a "congestion event"

A: A segment loss (but how can the sender be sure of this?)

B: Increased delays

C: Receiving duplicate acknowledgement (s)

D: A retransmission timeout firing

D: Some subset of A, B, C & D (what is the subset?)



#### Quiz: How should we set CWND?

A: We should keep raising it until a "congestion event" then back off slightly until we notice no more events.

B: We should raise it until a "congestion event", then go back to I and start raising it again

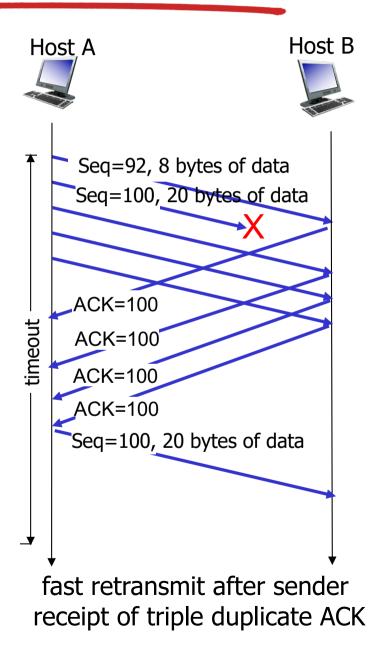
C: We should raise it until a "congestion event" then go back to median value and start raising it again.

D: We should sent as fast as possible at all times.

#### Not All Losses the Same

- Duplicate ACKs: isolated loss
  - dup ACKs indicate network capable of delivering some segments
- Timeout: much more serious
  - Not enough dup ACKs
  - Must have suffered several losses
- \* Will adjust rate differently for each case

#### RECAP: TCP fast retransmit



## Rate Adjustment

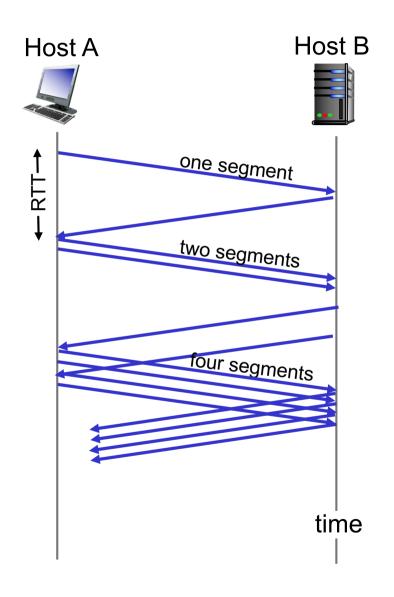
- Basic structure:
  - Upon receipt of ACK (of new data): increase rate
  - Upon detection of loss: decrease rate
- \* How we increase/decrease the rate depends on the phase of congestion control we're in:
  - Discovering available bottleneck bandwidth vs.
  - Adjusting to bandwidth variations

#### Bandwidth Discovery with Slow Start (SS)

- Goal: estimate available bandwidth
  - start slow (for safety)
  - but ramp up quickly (for efficiency)
- Consider
  - RTT = 100ms, MSS=1000bytes
  - Window size to fill IMbps of BW = 12.5 packets
  - Window size to fill IGbps = 12,500 packets
  - Either is possible!

#### TCP Slow Start

- when connection begins, increase rate exponentially until first loss event:
  - initially cwnd = I MSS
  - double cwnd every RTT
  - Simpler implementation achieved by incrementing cwnd for every ACK received
- \* <u>summary</u>: initial rate is slow but ramps up exponentially fast



# Adjusting to Varying Bandwidth

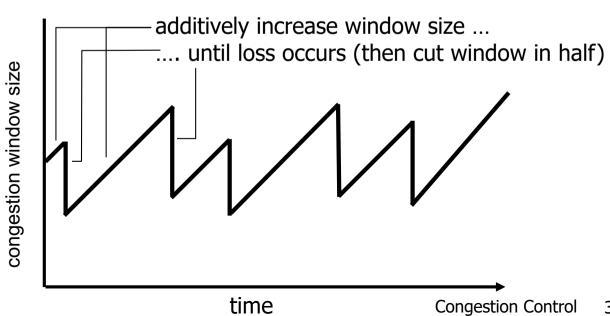
- Slow start gave an estimate of available bandwidth
- Now, want to track variations in this available bandwidth, oscillating around its current value
  - Repeated probing (rate increase) and backoff (rate decrease)
  - Known as Congestion Avoidance (CA)
- \* TCP uses: "Additive Increase Multiplicative Decrease" (AIMD)
  - We'll see why shortly...

#### **AIMD**

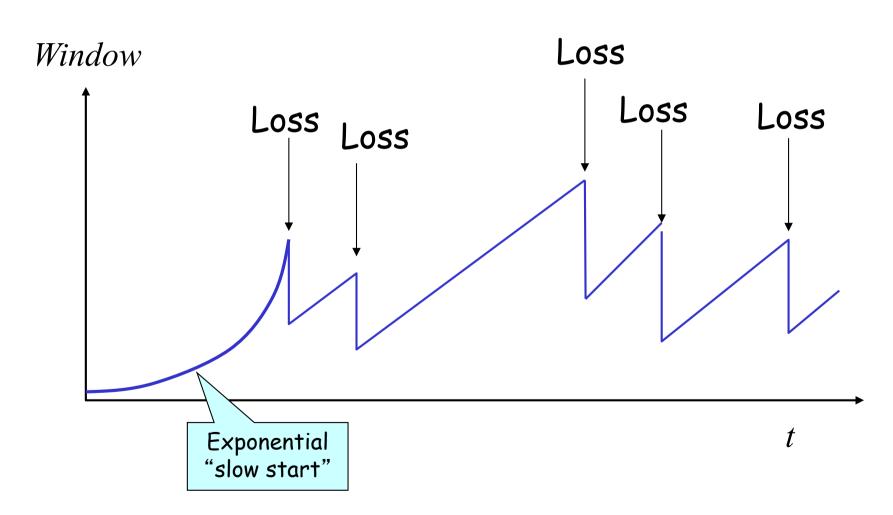
- approach: sender increases transmission rate (window size), probing for usable bandwidth, until loss occurs
  - additive increase: increase cwnd by I MSS every RTT until loss detected
  - For each successful RTT, cwnd = cwnd +1
  - Simple implementation: for each ACK, cwnd = cwnd + 1/cwnd
  - multiplicative decrease: cut cwnd in half after loss

cwnd: TCP sender

AIMD saw tooth behavior: probing for bandwidth



# Leads to the TCP "Sawtooth"



#### Slow-Start vs. AIMD

- When does a sender stop Slow-Start and start Additive Increase?
- Introduce a "slow start threshold" (ssthresh)
  - Initialized to a large value
  - On timeout/loss, ssthresh = CWND/2
- When CWND = ssthresh, sender switches from slowstart to AIMD-style increase

## Implementation

- State at sender
  - CWND (initialized to a small constant)
  - ssthresh (initialized to a large constant, so initial slow start can learn network condition fast)
  - [Also dupACKcount and timer, as before]

#### Events

- ACK (new data)
- dupACK (duplicate ACK for old data)
- Timeout

# Event: ACK (new data)

If CWND < ssthresh</p> CWND packets per RTT ■ CWND += + Hence after one RTT with no drops: CWND = 2xCWND

## Event: ACK (new data)

- If CWND < ssthresh</p>
  - CWND += I

Slow start phase

- Else
  - CWND = CWND + I/CWND

"Congestion
Avoidance" phase
(additive increase)

- CWND packets per RTT
- Hence after one RTT with no drops:

CWND = CWND + I

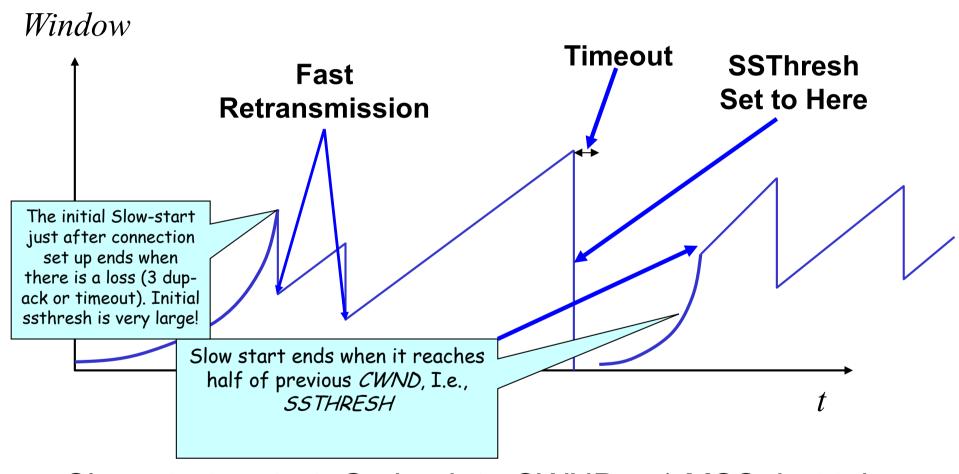
## Event: dupACK

- \* dupACKcount ++
- If dupACKcount = 3 /\* fast retransmit \*/
  - ssthresh = CWND/2
  - CWND = CWND/2

#### **Event: TimeOut**

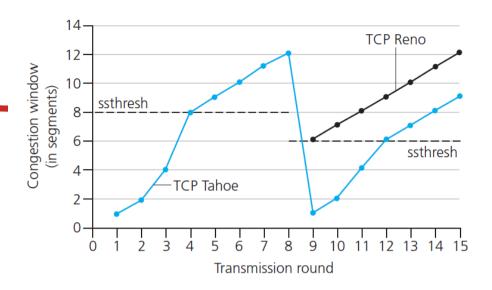
- On Timeout
  - ssthresh ← CWND/2
  - CWND ← I

## Example



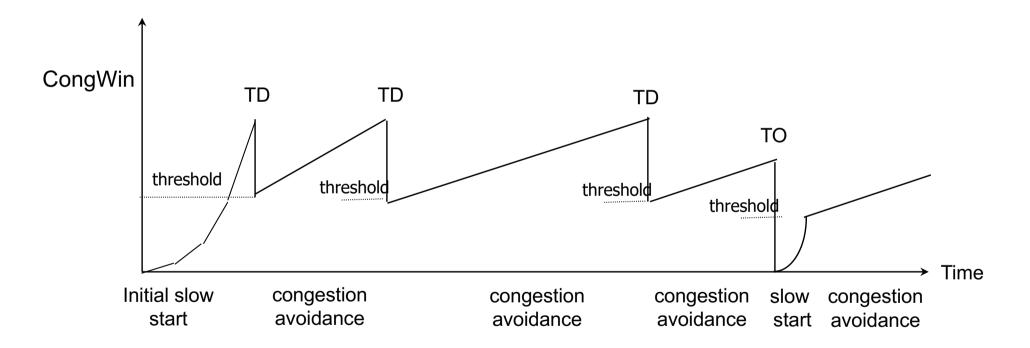
Slow-start restart: Go back to CWND = 1 MSS, but take advantage of knowing the previous value of CWND

#### TCP Flavours



- TCP-Reno (Assumed Default in this course)
  - cwnd = I on timeout
  - cwnd = cwnd/2 on triple dup ACK
- TCP-Tahoe (Old/original version)
  - cwnd = I on both triple dup ACK & timeout
  - ❖ Figure 3.52, page 304 of 7<sup>th</sup> Ed. textbook assumes a special TCP Reno that implements Fast Recovery, which is out of scope in this course.

#### TCP/Reno (Default in this course): Big Picture



TD: Triple duplicate acknowledgements TO: Timeout

# Transport Layer: Summary

- principles behind transport layer services:
  - multiplexing, demultiplexing
  - reliable data transfer
  - flow control
  - congestion control
- instantiation, implementation in the Internet
  - UDP
  - TCP

#### next:

- leaving the network "edge" (application, transport layers)
- into the network "core"