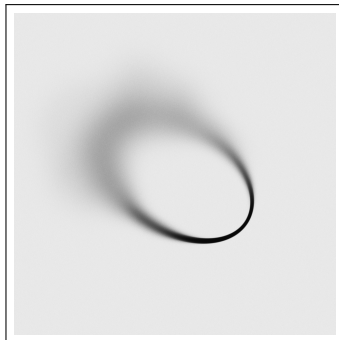


# Notes of General Relativity

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From the lectures of  
prof. Francesco D'Eramo.  
2024-2025

With additions and comparisons to Carroll's book.

These notes rely heavily on Carroll's textbook, but I think they could still be useful as they are tailored to prof. D'Eramo's lessons.

If you find these notes helpful, feel free to use and share them. On the other hand, if you come across any errors or inaccuracies, I'd appreciate it if you could let me know.

**\*\*Disclaimer\*\***: I don't guarantee the accuracy of the content in these notes.

Source for these: [github.com/BelliLuigi/RG](https://github.com/BelliLuigi/RG)

Mail: student unipd mail w/o numbers.

Have fun :)

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# Chapter 1

## Introduction

### 1.1 Lecture 1

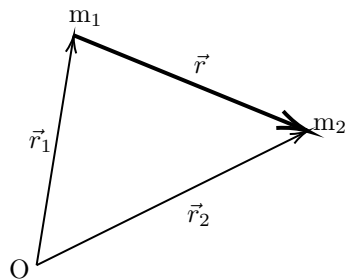
General Relativity describes *gravity* in terms of *curvature* of *space-time*.

We will define and describe those three words.

To understand *curvature*, let's think about a RF, reference frame, in a flat space, so that the sum of all internal angles of a triangle is  $180^\circ$ , as we add curvature, the sum increase its value.

Sphere is a 2D *manifold*. What is a manifold?

#### From Newton to Einstein



We got two masses,  $m_1, m_2$ , the origin, O, of the RF.

Each mass' position is identified by its own position vector.

$$\begin{aligned}\vec{r} &= \vec{r}_1 + \vec{r}_2 \\ \vec{F}_{21} &= -\frac{Gm_1m_2}{r^2}\hat{r} \\ \text{with } \hat{r} &= \frac{\vec{r}}{|\vec{r}|}\end{aligned}$$

so, we see that  $m_2$  is attracted.

P.S.  $G = 6.67 \times 10^{-11} \frac{Nm^2}{kg^2}$

Introducing the second law of dynamics in the study, we have

$$\begin{aligned}m_2\vec{a}_2 &= \vec{F}_{21} = -\frac{Gm_1m_2}{r^2}\hat{r} \\ \text{simplifying } m_2 & \\ \vec{a}_2 &= -\frac{Gm_1}{r^2}\hat{r}\end{aligned}$$

We can express  $\mathbf{a}_2$  as

$\vec{a}_2 = -\nabla\phi$  Gradient of the Gravitational Potential

$$\phi = -\frac{Gm_1}{r}$$

$$\nabla^2\phi = -4\pi G\rho$$

We will use the Minkowski metric tensor

$$\eta_{\mu\nu} = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & +1 & 0 & 0 \\ 0 & 0 & +1 & 0 \\ 0 & 0 & 0 & +1 \end{pmatrix} \quad (1.1)$$

We will see also other symbols, like the Christoffel one, or the Ricci Tensor...  
But in the end the central goal is to derive the *Einstein Equation*:

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = 8\pi GT_{\mu\nu} \quad (1.2)$$

In GR particles move freely along *straight lines* of a curved space-time. These are called *geodesics*.

**Example** Two chucks, one on the desk, the other is launched in the air. Which one is accelerated? From a GR perspective, the one in the air is moving along a geodesic, so it is the one moving freely, while the other is stopped from doing that by some interference/force.

In GR gravity is *not* a force.

# Chapter 2

## Math tools

### 2.1 Lec 2 - A recap of SR

We will develop some of the necessary math on this framework.

Let's look at the Galilean Relativity.

Newtonian dynamics is based on three principles

1. inertia
2.  $\vec{F} = m\vec{a}$
3. action-reaction

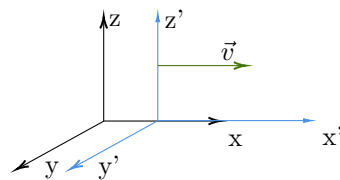
The first says something like *An object at rest remains at rest, and an object in motion remains in motion at constant speed and in a straight line unless acted on by an unbalanced force.*

The second one says:

$$(2) : \vec{F} = 0 \implies \vec{a} = 0 \implies (1)$$

So, it seems the first principle is contained by the second, but we know that  $\vec{F} = m\vec{a}$  is valid only in Inertial Frames (IF).

**Galilean Relativity:** all the laws of *mechanics* take the same form in every IF. (You can not distinguish two IF just by doing experiments.)



$$\begin{cases} x' = x - vt \\ y' = y \\ z' = z \\ t' = t \end{cases}$$

$$t = t' = 0 \implies O = O'$$

Taking the first derivative:

$$\begin{cases} v'_x = v_x - v \\ v'_y = v_y \\ v'_z = v_z \end{cases} \quad \text{and for the 2nd derivative:} \quad \begin{cases} a'_x = a_x \\ a'_y = a_y \\ a'_z = a_z \end{cases} \implies \vec{a}' = \vec{a} \quad (2.1)$$

so also  $\vec{F}' = \vec{F}$ . And if  $m$  is independent on the frame, we got

$$\vec{F}' = m\vec{a}' = \vec{F} = m\vec{a} \quad (2.2)$$

Then there are Maxwell equations, people thanks to them found that EM-waves propagates with speed  $c$  in the void.

But they found also that these equations were not invariant in Galilean Boosts.

Things started to get better when the idea of a preferred IF was ditched and Einstein decided to use Lorentz Transformations.

There are two postulates:

- *Relativity principle*: same as before but with *physics* instead of *mechanics*.  
**All the laws of physics ...**
- *Speed of light*: in every IF, light propagates with constant speed,  $c$ .

So we see that Galilean transformation become inconsistent with this, meanwhile stays valid for  $\vec{v} \ll \vec{c}$ .

As mentioned before, updated version of G. Boosts are Lorentz transformations (or Lorentz Boosts.)

$$\begin{cases} x' = \frac{x-vt}{\sqrt{1-(\frac{v}{c})^2}} \\ y' = y \\ z' = z \\ t' = \frac{t-vx/c^2}{\sqrt{1-(\frac{v}{c})^2}} \end{cases} \quad (2.3)$$

To ensure the L.T. Is consistent we can perform three different checks:

- $v \ll c$
- $v = 0$
- dimensional check

People use a notation to make the L.T. easier to write:  $\gamma(v) \equiv \frac{1}{\sqrt{1-(\frac{v}{c})^2}}$ , so it becomes

$$\begin{cases} x' = \gamma(x - vt) \\ y' = y \\ z' = z \\ t' = \gamma(t - \frac{vx}{c^2}) \end{cases} \quad (2.4)$$

What happens to the transformation of velocity is: ( $v$  is fixed)

$$\begin{cases} dx' = \gamma(dx - vdt) \\ dy' = dy \\ dz' = dz \\ dt' = \gamma(dt - \frac{vdx}{c^2}) \end{cases} \quad (2.5)$$

so

$$\begin{cases} v'_x = \frac{dx'}{dt'} \\ v'_y = \frac{dy'}{dt'} = \frac{dy}{\gamma(dt - \frac{v dx}{c^2})} = \frac{v_y}{\gamma(1 - \frac{v v_x}{c^2})} \\ v'_z = \frac{dz'}{dt'} = \dots \end{cases} \quad (2.6)$$

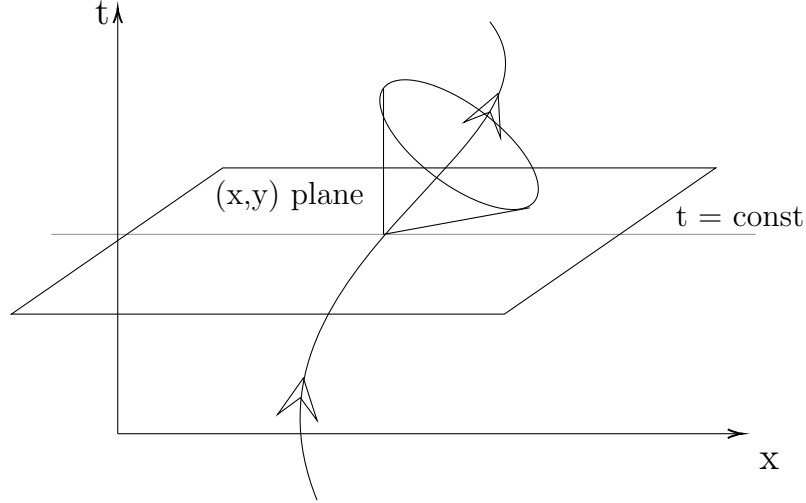
So we see that space-time changes also along other axes.

Now let's talk about space-time and its parts.

**Space-time** space-time is a manifold. For now it is a collection of  $(t, x, y, z)$ , four dimensional set of all the possible values of the coordinates.

**Event** a point of space-time.

**World line** path of a particle in space-time.



There is no notion of absolute time anymore, because now it is dependent on the frame. Regarding the light-cone, after the event on the  $(x, y)$  plane, the particle can move *only* inside the light-cone, in the appropriate direction (time forward). Now let's talk about **Clock Synchronization**.

It is kinda easy if in in IF. In GR it is quite subtle instead.

**Example:** Be me in Origin of a RF watching my clock (A). How to define  $t$  at another generic location (B)??

I send a light ray at time  $t_1$  to B. I get the answer on  $t_2$ . There is symmetry between the two trajectories so

$$t_m = \frac{t_1 + t_2}{2}.$$

I say to my friend on B: "set your clock to  $t_m$  when you receive the signal." So, following this methodology, each point could have its own clock.



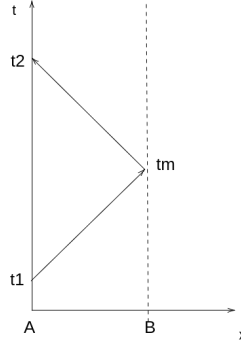


Figure 2.1: Reception and send of the signal

**Proper time:** How to define proper time?

$t$  is the time coordinate. Let's introduce the metric tensor:

$$\text{the Minkowski metric tensor: } \eta_{\mu\nu} = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad (2.7)$$

for a Lorentz Transformation if I have 2 events E,F.

$$\begin{aligned} \text{Frame 1: } x_F^\mu &= (t_F, x_F, y_F, z_F) \\ x_E &= (\dots) \end{aligned}$$

$$\begin{aligned} \text{Frame 2: } x_F^{\mu'} &= (t_{F'}, x_{F'}, y_{F'}, z_{F'}) \\ x_E^{\mu'} &= (\dots) \end{aligned}$$

same events in 2 different frames.

A Lorentz Transformation connects these two events.

Be  $\Delta s^2$  the Lorentz Invariant separation between E-F.

$$\begin{aligned} \Delta s^2 &= -c(t_F - t_E)^2 + (x_F - x_E)^2 + (y_F - y_E)^2 + (z_F - z_E)^2 = \\ &= -c(t_{F'} - t_{E'})^2 + (x_{F'} - x_{E'})^2 + (y_{F'} - y_{E'})^2 + (z_{F'} - z_{E'})^2 \\ \Delta s^2 &= \eta_{\mu\nu} \Delta x^\mu \Delta x^\nu \end{aligned}$$

we have defined  $\Delta x^\mu \equiv x_F^\mu - x_E^\mu$ , with  $\mu = 0, 1, 2, 3$ .

From this point onward, we will use  $c = 1$ , not a big deal, it is just a rescaling.

So, repeating for clarity, the Lorentz Invariant separation is

$$\Delta s^2 = \eta_{\mu\nu} \Delta x^\mu \Delta x^\nu = \eta_{\mu'\nu'} \Delta x^{\mu'} \Delta x^{\nu'} \quad (2.8)$$

Minkowski metric tensor does not change form if we change coordinates (Cartesian coordinates, meanwhile if we use like polar ones it changes for obvious reasons.)

if

$$\begin{aligned}\Delta s^2 &> 0, \text{ space-like separation} \\ &< 0, \text{ time-like, (it could be an actual WL for a massive particle)} \\ &= 0, \text{ light-like or null}\end{aligned}$$

Now we can define the *proper time* as

$$\Delta\tau^2 \equiv -\Delta s^2$$

or

$$\Delta\tau^2 = -\eta_{\mu\nu}\Delta x^\mu\Delta x^\nu$$

to be clear, if the proper time is *positive* it is time-like.

If the segment **EF** marks the begin and end of the trajectory of a massive particle,  $\Delta\tau$  is the time elapsed on a clock sitting on a RF that moves with constant speed between E and F.

In the moving frame  $\Delta\tau = \Delta t_*$  where  $t_*$  is the time coordinate of the moving frame. In a frame where I'm at rest this is how  $\Delta t^2$  changes:

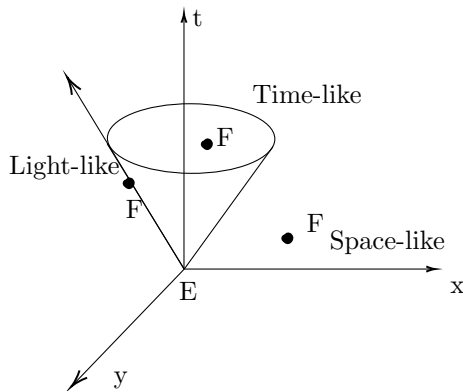
$$\Delta\tau^2 = +\Delta t^2 - \Delta x^2 - \Delta y^2 - \Delta z^2. \quad (2.9)$$

## 2.2 Lecture 3

The meaning of the Lorentz Invariant is that **events**, like  $(E, F)$  exist before I define coordinates. It is a property of the two events.

So to recap what we did in the last lecture, be:

$$x_E^\mu \text{ and } x_E^{\mu'} \quad (2.10)$$



If I have two events and computing  $\Delta\tau$  gives a positive result, the separation is **time-like**. This means that they could be on the WL of a massive particle moving at constant speed.

**Physical meaning of  $\Delta\tau$**  It's the time elapsed on a clock of the observer moving between E and F at constant speed.

This means that if I compute  $\Delta\tau$  on the frame where the observer it is at rest, i get

$$\Delta\tau = \Delta t'$$

Lets do an example:

**Example** In fig. 2.2 we see the straight line **ABC** that is the WL of a object not moving. Computing its proper time will be:

$$\Delta\tau_{ABC} = (t_c - t_A) \quad (2.11)$$

But for the other WL, of a object moving at constant speed between **AB'** and **B'C**, we see that

$$\begin{aligned} t_B &= t_{B'} \\ \text{and so} \\ \Delta\tau_{AB'C} &= 2\sqrt{(t_B - t_A)^2 - (\tilde{x} - \bar{x})^2} = \Delta\tau_{ABC}\sqrt{1 - \left(\frac{v}{c}\right)^2} \\ \implies \Delta\tau_{AB'C} &< \Delta\tau_{ABC} \end{aligned}$$

This means that I have the longest **proper time** when I don't move.

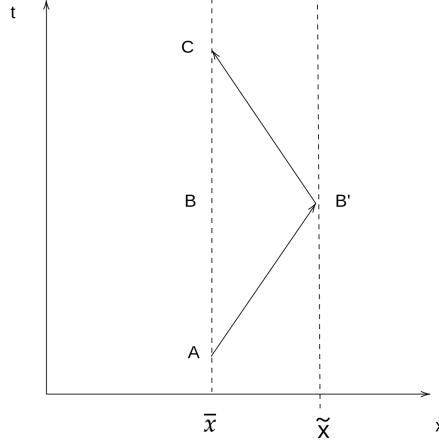


Figure 2.2: It is like the twin paradox.

We can do one more generalization: by parametrize the WL with a quantity  $\lambda$  we get

$$\Delta\tau = \int \sqrt{-\eta_{\mu\nu} \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda}} d\lambda \quad \text{that is a time like trajectory.}$$

Enough with proper time.

### 2.2.1 Tensor Calculus

Be a Lorentz Group, we want to look for the transformations.

$$x^\mu \rightarrow x^{\mu'} = \Lambda_{\mu}^{\mu'} x^\mu \quad (2.12)$$

we see that it is a linear transformation. An example to see better what are we doing could be

$$x^{0'} = \Lambda_0^{0'} x^0 + \Lambda_1^{0'} x^1 + \Lambda_2^{0'} x^2 + \Lambda_3^{0'} x^3 \quad (2.13)$$

What we need to know is that  $\Lambda_{\mu}^{\mu'}$  is a constant matrix.

We see that  $\Lambda$  is a constant matrix.

We want to find linear transformations such that

$$\Delta s^2 = \eta_{\mu\nu} \Delta x^\mu \Delta x^\nu = \eta_{\mu'\nu'} \Delta x^{\mu'} \Delta x^{\nu'} \quad (2.14)$$

So the Lorentz Invariant is still invariant. (WTF)

Now, because a SR<sup>1</sup> property: if I move from IF<sup>2</sup> to another,  $\eta$  is still unchanged. So

$$\eta_{\mu\nu} = \eta_{\mu'\nu'}$$

We have to say that Minkowski assumes cartesian coordinates.

The question now is: What trivial transformations leave  $\Delta s^2$  unchanged?

<sup>1</sup>Special Relativity

<sup>2</sup>Inertial frame

**Translations**

$$\begin{aligned}\eta_{\mu\nu}\Delta x^\mu x^\nu &= \eta_{\mu'\nu'} \left( \Lambda_{\mu'}^{\mu} \Delta x^\mu \right) \left( \Lambda_{\nu'}^{\nu} \Delta x^\nu \right) \\ \implies \eta_{\mu\nu} &= \eta_{\mu'\nu'} \Lambda_{\mu'}^{\mu} \Lambda_{\nu}^{\nu'} \\ \text{this obviously needs to be valid } &\forall \Delta x^\mu \\ \text{an alternative notation could be } &\eta = \Lambda^T \eta \Lambda\end{aligned}$$

We will use just the first notation, because we need to get good at tensors.

To be more concrete:

$$\Lambda_{\mu}^{\mu'} = \begin{pmatrix} \Lambda_0^{0'} & \Lambda_1^{0'} & \Lambda_2^{0'} & \Lambda_3^{0'} \\ \Lambda_0^{1'} & \dots & \dots & \dots \\ \Lambda_0^{2'} & \dots & \dots & \dots \\ \Lambda_0^{3'} & \dots & \dots & \dots \end{pmatrix} \quad (2.15)$$

**Rotations** Rotations are a kind of transformation of the type:

$$\begin{aligned}x_{i'} &= R_{ii'} x_i \\ \text{or } R^T \mathbb{I} R &= \mathbb{I} \\ \text{with } R R^T &= R^T R = \mathbb{I}\end{aligned}$$

it could be something like

$$\Lambda_{\mu}^{\mu'} = \begin{pmatrix} \cosh \eta & -\sinh \eta & 0 & 0 \\ -\sinh \eta & \cosh \eta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad (2.16)$$

this one is a boost along the  $x$  direction. If we do some computing we find that

$$\tanh \eta \equiv v$$

so this is the same of the L.T. we saw last week.

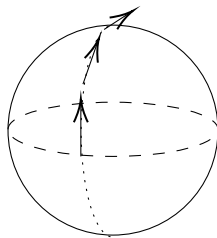
Rotations do not change the time coordinate. The point was to tell what L.T. is in this language.

**Vectors** I have a generic vector, **do i need to specify about the RF** where it is defined, so in a specific spacetime location? yes

In newtonian mechanics parallel vectors are the same because I can superpose them, I can move them around, also to use the parallelogram rule to get a sum.

$\implies$  If I have 3D euclidean space there is no ambiguities about where i move my vectors.

**BUT** in a sphere:



I have this vector at the equator tangent to the surface. If I transport it to the pole i get a different vector.

There are ambiguities. So in a non-flat space we need a **different** procedure.  
A vector field is a map between:

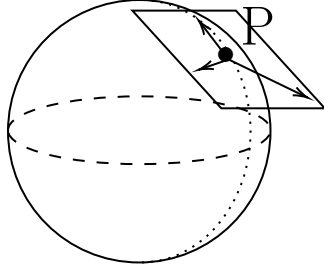
$$x^\mu \rightarrow v^\mu$$

where  $x^\mu$  is an event and  $v^\mu$  is a vector.

Let's define: **Tangent space  $T_P$** :

Given an event  $P$  we define the tangent space  $T_P$  as all the vectors in  $P$ .

Instead of having spacetime we have a sphere.



Define a plane tangent to the sphere only in  $P$ . All vectors that lie there  $\in T_P$ .

$T_P$  is a **vector space**:

$$V, W \in T_P \implies \alpha V + \beta W, (\alpha, \beta \in \mathbb{R}) \in T_P$$

So if there is a vector there is also the inverse vector.

Whenever I have a vector space, I can define infinite basis independently on the coordinate choice. The number of elements in the basis is equal to the dimension of the space, in our case 4 elements.

Obviously if I define the basis its elements need to be Linearly Independent.

**Basis** Given a generic vector  $V \in T_P$ , I can define  $V$  regardless the coordinate system I'm using. So we can say *metaphorically* that  $V$  exists before I define coordinates.

Be our basis:

$$\hat{e}_{(\mu)}, \text{ with } \mu = 0, 1, 2, 3$$

those indices are label, does not mean "tensor". So my basis is made of

$$\hat{e}_{(0)}, \hat{e}_{(1)}, \hat{e}_{(2)}, \hat{e}_{(3)}$$

Now we can talk about

**Components** given a generic vector  $V$

$$V = V^0 \hat{e}_{(0)} + V^1 \hat{e}_{(1)} + V^2 \hat{e}_{(2)} + V^3 \hat{e}_{(3)} = V^\mu \hat{e}_{(\mu)}$$

using repeating indices we get the last equivalence.

$V^\mu$  are components of the vector  $V$  in this specific frame.

In another frame  $V^{\mu'}$  could not be the same:

$$V = V^\mu \hat{e}_{(\mu)} = V^{\mu'} \hat{e}_{(\mu')}$$

**Question:** how do components transform?

**Contravariant vector** : is a math object whose components transform based on position

$$V^{\mu'} = \Lambda^{\mu'}_{\mu} V^{\mu}$$

These are not the only covariant vectors (?).

If you have a generic WL or path, you can parametrize the position by a  $\lambda$  in this way:

$$x^{\mu}(\lambda)$$

And taking its first derivative you get something similar to the four-velocity

$$u^{\mu} \sim \frac{dx^{\mu}}{d\lambda}$$

(I say similar because four-velocity is defined like  $u^{\mu} = \frac{dx^{\mu}}{d\tau}$ ).

If I do a L.T.  $x^{\mu}$  will change but  $\lambda$  won't.

$$u^{\mu'} = \Lambda^{\mu'}_{\mu} u^{\mu}$$

I can get a more general definition of what a vector is by following this procedure: choose basis  $\rightarrow$  find components  $\rightarrow$  study how components change if i change position or basis.

**Second definition** : Transformation of the basis vectors. The question is "how to relate  $\hat{e}_{(\mu)}$  to  $\hat{e}_{(\mu')}$ ?"

We will take advantage of **invariance**.

$$V = V^{\mu} \hat{e}_{(\mu)} = V^{\mu'} \hat{e}_{(\mu')} = \left( \Lambda^{\mu'}_{\mu} V^{\mu} \right) \hat{e}_{(\mu')}$$

That's possible **only** if  $\hat{e}_{(\mu)} = \Lambda^{\mu'}_{\mu} \hat{e}_{(\mu')}$ .

An inverse of LT it is also a LT, so

$$\begin{aligned} \Lambda^{\mu'}_{\mu} \Lambda^{\mu}_{\nu'} &= \delta^{\mu'}_{\nu'} \\ \Lambda^{\mu}_{\mu'} \Lambda^{\mu'}_{\nu} &= \delta^{\mu}_{\nu} \end{aligned}$$

Those are Kroneker's delta and they are an Identity matrix.

Now we can study how basis vectors change.

$$\begin{aligned} \hat{e}_{(\mu)} &= \Lambda^{\mu'}_{\mu} \hat{e}_{(\mu')} \\ \Lambda^{\mu}_{\nu'} \hat{e}_{(\mu)} &= \Lambda^{\mu'}_{\mu} \Lambda^{\mu}_{\nu'} \hat{e}_{\mu'} \\ \Lambda^{\mu}_{\nu'} \hat{e}_{(\mu)} &= \delta^{\mu'}_{\nu'} \hat{e}_{(\mu')} \\ \Lambda^{\mu}_{\nu'} \hat{e}_{(\mu)} &= \hat{e}_{(\nu')} \\ \text{so } \hat{e}_{(\nu')} &= \Lambda^{\mu}_{\nu'} \hat{e}_{(\mu)} \end{aligned}$$

## 2.3 Lecture 4

### Brief recap of lec3

We defined vectors

- localized at each spacetime point
- for each event  $P$  we defined the tangent space  $T_P$
- there is linear combination inside  $T_P$
- it has a basis
- Vectors and basis transform under LT Group.

### Dual vectors

Using old terminology they are covariant, so with lower indices. Meanwhile contravariant do have upper indices.

Let's start with defining the **dual space** of a vector space: *Given a vector space (for concreteness  $T_P$ ), we define the dual space  $T_P^*$  as the space of linear maps between  $T_P$  and  $\mathbb{R}$ .*

**Example** Being  $\omega \in T_P^*$ ,  $V \in T_P$  then

$$\omega(V) \in \mathbb{R}$$

Linearity tells me that

$$\omega(\alpha V + \beta W) = \alpha \omega(V) + \beta \omega(W)$$

**1<sup>st</sup> statement** : The dual space is a vector space.

$$(\alpha \omega + \beta \eta)(v) = \alpha \omega(v) + \beta \eta(v)$$

**2<sup>nd</sup> statement** : What is the dual of the dual?

$$(T_P^*)^* = T_P \implies v(\omega) = \omega(v) \in \mathbb{R}$$

**Basis for  $T_P^*$**  :  $\hat{o}^{(\mu)}$ .

How to define this? Definition is

$$\hat{o}^{(\mu)}(\hat{e}_{(\nu)}) \equiv \delta_{\nu}^{\mu}$$

Now let's see if we can get how dual vectors work with vectors. If I have:

- generic item of  $T_P$ :  $V = V^{\nu} \hat{e}_{(\nu)}$
- generic item of  $T_P^*$ :  $\omega = \omega_{\mu} \hat{o}^{(\mu)}$



I can compute:

$$\begin{aligned}\omega(v) &= \omega_\mu \hat{o}^{(\mu)}(v^\nu \hat{e}_{(\nu)}) = \\ &= \omega_\mu v^\nu \hat{o}^{(\mu)}(\hat{e}_{(\nu)}) = \omega_\mu v^\nu \delta_\nu^\mu = \omega_\mu v^\mu\end{aligned}$$

**exercise:** show the way  $\omega_{\mu'}$  transform. What to do is to start from  $\Lambda$  equality.

What is the example of a dual vector?

$$A_{\mu'} = \Lambda_{\mu}^{\mu'} A_{\mu}$$

the gradient is a beautiful example of a *dual vector*.

$$A_{\mu} = \frac{\partial \phi}{\partial x^{\mu}} ; A_{\mu'} = \frac{\partial \phi}{\partial x^{\mu'}}$$

This is useful to define LTs, in this way

$$\frac{\partial \phi}{\partial x^{\mu'}} = \frac{\partial \phi}{\partial x^{\mu}} \frac{\partial x^{\mu}}{\partial x^{\mu'}} \rightarrow A_{\mu'} = \frac{\partial x^{\mu}}{\partial x^{\mu'}} A_{\mu}$$

the LT is the last partial derivative.

There is a *more compact* notation to write partial derivatives that is

$$\partial_{\mu} \phi \equiv \frac{\partial \phi}{\partial x^{\mu}}$$

### 2.3.1 Tensors

Tensors are generalization of dual vectors and vectors.

They are *multilinear maps*, i.e. functions of several variables and linear for all of them. For each tensor of *rank* (k,l), we have

$$T_P^* \times \dots \times T_P^* \times T_P \times \dots \times T_P \rightarrow \mathbb{R}$$

Where each dual vector space is present **k**-times, and vector space **l**-times.

Now let's see what is multilinearity on the combat field.

Be a (1,1) tensor:

- $\alpha, \beta, \gamma, \delta \in \mathbb{R}$
- $\omega, \eta \in T_P^*$
- $v, w \in T_P$

Given these we have

$$T(\alpha\omega + \beta\eta, \gamma v + \delta w) = \alpha\gamma T(\omega, v) + \beta\delta T(\eta, w) + \alpha\delta T(\omega, w) + \beta\gamma T(\eta, v) \quad (2.17)$$

Once we have this general definition, let's take one step back:

- Scalar  $\rightarrow (0,0)$  tensor
- Vector  $\rightarrow (1,0)$  tensor
- Dual vector  $\rightarrow (0,1)$  tensor

### Tensor product

Be:

- T, rank (k,l) tensor
- S, rank (m,n) tensor

We want to understand the action of  $\otimes$ .

So we know that  $T \otimes S$  outputs (k+m, l+n) tensor. In particular,

$$\begin{aligned} T \otimes S \left[ \omega^{(1)}, \dots, \omega^{(k)}, \omega^{(k+1)}, \dots, \omega^{(k+m)}, v^{(1)}, \dots, v^{(l)}, v^{(l+1)}, \dots, v^{(l+n)} \right] &\equiv \\ \equiv T \left( \omega^{(1)}, \dots, \omega^{(k)}, v^{(1)}, \dots, v^{(l)} \right) \times S \left( \omega^{(k+1)}, \dots, \omega^{(k+m)}, v^{(l+1)}, \dots, v^{(l+n)} \right) & \\ \implies T \otimes S \neq S \otimes T & \end{aligned}$$

so tensors do not commute.

### Basis for a tensor

Let  $T$  be a generic tensor with rank (k,l), *basis* is given by

$$\hat{e}_{(\mu_1)} \otimes \dots \otimes \hat{e}_{(\mu_k)} \otimes \hat{o}_{(\nu_1)} \otimes \dots \otimes \hat{o}_{(\nu_l)}$$

A tensor can be written as

$$T = T_{\nu_1, \dots, \nu_l}^{\mu_1, \dots, \mu_k} (\hat{e}_{(\mu_1)} \otimes \dots) = T_{\nu'_1, \dots, \nu'_l}^{\mu'_1, \dots, \mu'_k} (\hat{e}_{(\mu'_1)} \otimes \dots)$$

So the tensor is always the same, the thing that changes is its components, because a change of RF I think.

We will often write the components instead of the actual tensor, but it is our convention to think they are equivalent.

This is how the components are related:

$$\begin{aligned} \hat{e}_{(\mu')} &= \Lambda_{\mu'}^{\mu} \hat{e}_{(\mu)} \\ \hat{o}_{(\mu')} &= \Lambda_{\mu}^{\mu'} \hat{o}_{(\mu)} \\ \implies T &= T_{\nu_1, \dots, \nu_l}^{\mu_1, \dots, \mu_k} \left( \Lambda_{\mu_1}^{\mu'_1} \hat{e}_{(\mu'_1)} \otimes \dots \right) \end{aligned}$$

So we find, as result, that when I change frame

$$T_{\nu'_1, \dots, \nu'_k}^{\mu'_1, \dots, \mu'_k} = \Lambda_{\mu_1}^{\mu'_1} \dots \Lambda_{\nu'_1}^{\nu_1} \dots T_{\nu_1, \dots, \nu_l}^{\mu_1, \dots, \mu_k} \quad (2.18)$$

## 2.4 Lec 5

### 2.4.1 Transformations

The goal is to find what is this  $T_{\nu'_1, \dots, \nu'_l}^{\mu'_1, \dots, \mu'_k} = ?$ .

$$T = T_{\nu_1, \dots, \nu_l}^{\mu_1, \dots, \mu_k} (\hat{e}_{(\mu_1)} \otimes \dots) = T_{\nu'_1, \dots, \nu'_l}^{\mu'_1, \dots, \mu'_k} (\hat{e}_{(\mu'_1)} \otimes \dots) \quad (2.19)$$

I know two facts:

$$\begin{cases} \hat{e}_{\mu'} = \Lambda_{\mu'}^{\mu} \hat{e}_{(\mu)} \\ \hat{o}^{\mu'} = \Lambda_{\mu}^{\mu'} \hat{o}^{\mu} \end{cases} \quad (2.20)$$

and also the *inverse*.

So i apply the Lambda transformation to each term of the basis and I get the following

$$T_{\nu'_1, \dots, \nu'_l}^{\mu'_1, \dots, \mu'_k} = \left( \Lambda_{\mu'_1}^{\mu'_1} \dots \Lambda_{\mu'_k}^{\mu'_k} \right) \left( \Lambda_{\nu'_1}^{\nu_1} \dots \Lambda_{\nu'_l}^{\nu_l} \right) (T_{\nu_1, \dots, \nu_l}^{\mu_1, \dots, \mu_k}) \quad (2.21)$$

that is something that was obvious by looking at indexes.

### 2.4.2 Tensor Manipulations / Operations

We defined  $(k, l)$  vectors as a multilinear map from dual spaces and vector spaces to real numbers, but it is not only that. For example a  $(1, 1)$  tensor could be a map from vectors to vectors, in this way

$$V^{\mu} \rightarrow A_{\nu}^{\mu} V^{\nu} \quad (2.22)$$

so if i do not saturate all the indices, i get a tensor of rank made by what remains. If we saturate, we get real numbers or  $(0,0)$  tensors.

There are some objects that are well known in flat spacetime.

#### Particular Tensor in flat ST

These are

- $\eta_{\mu\nu}$  metric, or metric tensor
- $\eta^{\mu\nu}$ , inverse metric
- $\delta_{\nu}^{\mu}$ , Kronecker's  $\delta$
- $\epsilon_{\mu\nu\rho\delta}$ , totally anti-symmetric tensor of Levi-Civita

This last one is defined:

$$\begin{cases} +1 \text{ if } (0, 1, 2, 3) \text{ or even permutations} \\ -1 \text{ if odd permutations} \\ 0 \text{ otherwise} \end{cases} \quad (2.23)$$

These are the only tensors of the flat spacetime that their components do not depend on the RF.

### Other operations

#### Contraction

$$(k, l) \rightarrow (k - 1, l - 1)$$

Example: I have (3,2) tensor  $T_{\delta\gamma}^{\mu\nu\rho} \rightarrow (2, 1)??$  We contract:

$$T_{\delta}^{\mu} \begin{matrix} \nu \\ \gamma \end{matrix}^{\rho} \rightarrow T_{\delta}^{\mu} \begin{matrix} \nu \\ \nu \end{matrix}^{\rho} \equiv A_{\delta}^{\mu\rho}$$

Obviously I can *only* contract an upper with a lower index. It is very important the order, and which indices we contract.

$$T_{\delta}^{\mu} \begin{matrix} \nu \\ \nu \end{matrix}^{\rho} \neq T_{\nu}^{\mu} \begin{matrix} \nu \\ \nu \end{matrix}^{\rho}$$

What is the actual operation we perform?

$$T_{\delta\gamma}^{\mu\nu\rho} = \delta_{\nu}^{\gamma} T_{\delta\gamma}^{\mu\nu\rho}$$

**Raising/Lowering Indices** To raise we use  $\eta^{\mu\nu}$ , to lower  $\eta_{\mu\nu}$ .

$$\eta^{\rho\alpha} T_{\alpha\beta}^{\mu\nu} \equiv T_{\beta}^{\mu\nu\rho}$$

$$\eta^{\rho} \begin{matrix} \beta \\ \alpha \end{matrix} T_{\alpha}^{\mu\nu} \equiv T_{\alpha}^{\mu\nu} \begin{matrix} \beta \\ \beta \end{matrix}$$

The order is important, and writing by hand one should be careful keeping the position moving up and down the indices.

Simple operations:

$$\begin{aligned} V^{\mu} &\rightarrow V_{\mu} = \eta_{\mu\nu} V^{\nu} \\ V_{\mu} &\rightarrow V^{\mu} = \eta^{\mu\nu} V_{\nu} \end{aligned}$$

#### Inner Product

$$T_P \times T_P \rightarrow \mathbb{R}$$

$$(V, W) \rightarrow \eta_{\mu\nu} V^{\mu} V^{\nu}$$

#### Symmetry Properties

Let's consider a (0,2) tensor  $T_{\mu\nu}$ , or to be precise, its components. It is symmetric? Anti-symmetric? Both? None?

A tensor is *symmetric* if

$$T_{\mu\nu} = T_{\nu\mu}$$

it is *anti-symmetric* if

$$T_{\mu\nu} = -T_{\nu\mu}$$

It is **never** possible to have a tensor that is *both*. But really possible that is *none* of the above.

We can *symmetrize* a tensor:

$$T_{(\mu\nu)} = \frac{1}{2} (T_{\mu\nu} + T_{\nu\mu})$$

We can *anti-symmetrize* a tensor:

$$T_{[\mu\nu]} = \frac{1}{2} (T_{\mu\nu} - T_{\nu\mu})$$

A tensor can be symmetric on all indices, so it *totally symmetric*, or just on some indices, like two, three etc. The general formula can be:

$$T_{(\mu\nu\rho)} = \frac{1}{3!} (T_{\mu\nu\rho} + \text{all permutations})$$

For anti-symmetrizing odd permutations get the minus in front.

### Trace

$$x^\mu_\mu$$

given a (1,1) tensor  $\rightarrow \mathbb{R}$  by summing all indices. For example the trace of metric tensor is 2. Of Kronecker delta is 4.

## 2.5 Lec 6

### 2.5.1 Energy & momentum

Since our goal is to get to the Einstein Equation, we know that in there there should be the *energy momentum tensor*  $T^{\mu\nu}$ .

As always we will study everything for a flat space-time but it will be useful for non flat ones.

We already saw the four-velocity  $u^\mu$ :

$$u^\mu \equiv \frac{dx^\mu}{d\tau}$$

while the proper time is  $\Delta\tau^2 = -\eta_{\mu\nu}dx^\mu dx^\nu$ .

We need to make clear that we are talking about a time-like space-time trajectory, so  $\Delta s^2 < 0$ .

Let's start with the WL of a single particle, this is specified by a map  $\mathbb{R} \rightarrow M$ , where  $M$  is a manifold that represents spacetime. We usually think about the path as a curve parameterized by  $\lambda$  so  $x^\mu(\lambda)$ .

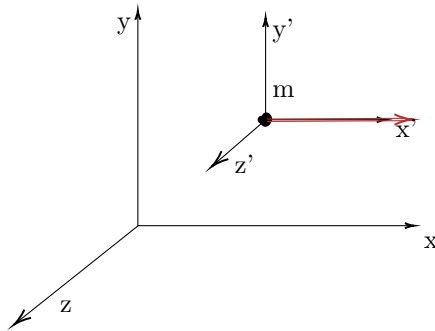
We also use as parameter the  $\tau$  so  $x^\mu(\tau)$ , this has some advantages because maybe it could be easier to switch to four-velocity.

$$u^\mu u_\mu = u_\mu u^\mu = \eta_{\mu\nu} u^\mu u^\nu = -1 \quad (2.24)$$

By the way, four-velocity is what we need to find the *four momentum*:

$$p^\mu \equiv m u^\mu \quad (2.25)$$

where  $m$  is the rest mass that has the same values  $\forall$  RF, and it's just a number.



So in the rest frame  $(x', y', z')$ :

$p^{\mu'} = (m, 0, 0, 0)$ , because the four-velocity in the rest frame is  $u^{\mu'} = (1, 0, 0, 0)$ .

What is the expression of  $p^\mu$  in the  $(x, y, z)$  frame? What is the fastest way to compute it?

We can start from the rest frame and use a LT.  
For a generic four vector we have:

$$\begin{cases} a^{0'} = \gamma (a^0 - v a^1) \\ a^{1'} = \gamma (a^1 - v a^0) \\ a^{2'} = a^2 \\ a^{3'} = a^3 \end{cases} \quad (2.26)$$

Now we find the inverse, we can search the inverse of the matrix or use an

inverse LT,

$$\begin{cases} a^0 = \gamma (a^{0'} + va^{1'}) \\ a^1 = \gamma (a^{1'} + va^{0'}) \\ a^2 = a^{2'} \\ a^3 = a^{3'} \end{cases} \quad (2.27)$$

So for the four-momentum we have:

$$\begin{cases} p^0 = E = \gamma p^{0'} = \gamma m = \frac{m}{\sqrt{1-v^2}} \\ p^1 = m\gamma v = \frac{mv}{\sqrt{1-v^2}} \\ p^2 = 0 \\ p^3 = 0 \end{cases} \quad (2.28)$$

In the NR limit we should be able to recover Newton Mechanics:

$$\begin{aligned} E &\approx m + \frac{mv^2}{2} + \dots \\ p^1 &\approx mv + \dots \end{aligned}$$

The four-momentum as we got it provides the description of a single particle but often we need to study a lot of particles as a continuum, like a *fluid*, characterized by quantities as density, pressure, entropy, viscosity...

A single momentum four-vector field is insufficient to describe the energy and the momentum of a fluid so we go further and define the *energy-momentum tensor*.

## 2.5.2 Energy-Momentum Tensor

$$T^{\alpha\beta}$$

For now it is just a tensor, and we are happy to see that it transform like a tensor:

$$T^{\alpha'\beta'} = \Lambda_{\alpha}^{\alpha'} \Lambda_{\beta}^{\beta'} T^{\alpha\beta}$$

In words, it is defined like "the flux of four-momentum  $p^{\alpha}$  across the surface where  $x^{\beta}$  is constant".

For a system of  $N$  particles we have:

$$p^{\alpha} = \sum_{j=1}^N p_j^{\alpha}$$

where  $j$  shows the  $j$ -th particle, not an index to contract. The *number density*,  $n$  for a system of  $N$  particles is:

$$n = \sum_j \delta(\vec{r} - \vec{r}_j)$$

So we have these components of the energy-momentum tensor:

$$T^{\alpha 0} = \sum_j p_j^\alpha \frac{dt}{dt} \delta(\vec{r} - \vec{r}_j)$$

$$T^{\alpha i} = \sum_j p_j^\alpha \frac{dx_j^i}{dt} \delta(\vec{r} - \vec{r}_j)$$

The  $\frac{dt}{dt}$  is obvious that is simplified but we put it for clarity, while  $\frac{dx_j^i}{dt}$  is the flux. The meaning is that the tensor is the output of many contribution, each contribute has the center around the  $j$ -th particle.

This gives me all the components of the E-M tensor. Now, what is a tensor? We used the word tensor because we know a priori what we are gonna find it, but without knowing neither looking at the definition and components. We will do it by looking at LTs, and how they act on this object.

First thing we compute:

$$\frac{dx_j^i}{dt} = \frac{dx_j^i}{d\tau} \frac{d\tau}{dt} = \frac{dx_j^i}{d\tau} \frac{1}{\gamma_j}$$

because

$$\frac{d\tau^2}{dt^2} = \frac{dt^2 - dx^2}{dt^2} = 1 - v_j^2 = \frac{1}{\gamma_j^2}$$

why is it useful? Because it appears in components

$$\frac{dx_j^i}{d\tau} = \left( m_j \frac{dx_j^i}{d\tau} \right) \frac{1}{m_j \gamma_j} = \frac{p_j^i}{p_j^0}$$

so i can rewrite the energy-momentum tensor like:

$$T^{\alpha\beta} = \sum_j \frac{p_j^\alpha p_j^\beta}{p_j^0} \delta(\vec{r} - \vec{r}_j)$$

so if

- $\beta = 0 \rightarrow p_j^\alpha$
- $\beta = 1 \rightarrow \frac{p_j^i}{p_j^0}$

If i switch  $\alpha$  with  $\beta$ , I find the same objects, because the tensor is symmetric.

$$T^{(\alpha\beta)} = T^{\alpha\beta} ; T^{[\alpha\beta]} = 0$$

Why is a tensor?

If I change frame I have to change  $\alpha, \beta$  but also  $p_0$  that is not Lorentz Invariant. In fact, it's the energy of the particle  $j$ , and with a boost it will be

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<sup>3</sup> $\delta(\vec{r} - \vec{r}_j)$  is the Dirac's delta function, here it is centered in  $\vec{r}_j$ . Its value is 0 everywhere but in  $\vec{r} = \vec{r}_j$  where it assume value  $\infty$ . The function is normalized in such a way that the integral over the whole space(time?) region is 1.



different.

We have shown that

$$\frac{\delta(\vec{r} - \vec{r}_j)}{p_j^0}$$

is a Lorentz scalar. Writing

$$T_j^{\alpha\beta} = \frac{p_j^\alpha p_j^\beta}{p_j^0} \delta^{(3)}(\vec{r} - \vec{r}_j)$$

with a 3-d Dirac Delta function, and with this definition

$$T^{\alpha\beta} = \sum_j T_j^{\alpha\beta}$$

gives us a tensor because sum of tensors is still a tensor.

Let's focus on the single contribution:

$$T_j^{\alpha\beta} = \frac{p_j^\alpha m u_j^\beta}{m \gamma_j} \delta^{(3)}(\vec{r} - \vec{r}_j) =$$

we see that  $m u^\beta$  is  $p^\beta$ , and  $m \gamma$  is  $p^0$ . We can simplify the masses and we get:

$$\begin{aligned} T_j^{\alpha\beta} &= \frac{p_j^\alpha u_j^\beta}{\gamma_j} \delta^{(3)}(\vec{r} - \vec{r}_j) = \\ &= \int d\tau_j p_j^\alpha u_j^\beta \delta^{(4)}(x^\mu - x_j^\mu(\tau_j)) = \end{aligned}$$

The two expression are equivalent, to show it I have to compute the integral. I use the delta function of the 0 component: so the  $p, u, \gamma$  elements can be extracted from the integral and we compute just

$$= \int d\tau_j \delta(x^0 - x_j^0(\tau)) = \int \frac{1}{|dx_j^0/d\tau|_{d\bar{\tau}}} d\tau_j \delta(\tau_j - \bar{\tau}_j) = \quad (2.29)$$

$\bar{\tau}$  is where the argument of the delta function is not 0. The integral is straightforward. We have to change variable of the delta function, that gets contributes only from the point that match the argument, I integrate  $\tau_j$  - number, There is a jacobian factor:  $\frac{1}{|dx_j^0/d\tau|_{d\bar{\tau}}}$  that is equal to  $\gamma_j = \frac{dt}{d\tau_j}$ .

This is a tensor, because I have objects with indices  $\alpha, \beta$ , integral over  $\tau_j$  which is a Lorentz Invariant Scalar and a  $\delta$  over all space coordinates.

Delta function has the very useful property:

$$\delta(f(x)) = \frac{1}{|f'(x_0)|} \delta(x - x_0) \rightarrow \int_{x-\epsilon}^{x+\epsilon} dx \delta(x - x_0) = 1$$

**Case I: Dust**

The dust is defined as *generic ensemble of  $N$  particles that move very slowly*. So it's any set of particles with kinetic energy much smaller than rest mass energy.

So the important part is that the relative velocity in some RF  $\rightarrow 0$ .

The total energy density  $\rho$  is described as

$$T^{00} = \sum_{j=1}^N p_j^0 \delta(x - \bar{x}_j) = \rho$$

So dust in the rest frame is

$$T^{\mu\nu} = \begin{pmatrix} m \cdot n & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \quad (2.30)$$

where  $\rho = m \cdot n$ , all particles have the same mass,  $n$  is the number density. It's clear that if the particles are at rest, the flux is null.

What is  $T^{\mu\nu}$  in a generic frame? I could apply LTs, (and we are invited to try it (paragraph: 2.5.3)), but actually I could reason a little on the meaning of the tensor.

I can call  $u^\mu$  *fluid four-velocity*, if you think about that there is a velocity field on a moving fluid like a river. In the rest frame

$$u^\mu = (1, 0, 0, 0)$$

so

$$T^{\mu\nu} = \rho u^\mu u^\nu$$

This is more generic way to find the tensor  $T^{\mu\nu}$  in a generic frame, The strategy, as you may guess, is that I know the expression in a generic frame and I want to recover the tensor from this.

Dust is something very common in cosmology, and is a fluid with zero pressure. But is not always true that in a fluid the pressure is negligible. Photons for example do not have 0 pressure or relative velocity  $\rightarrow 0$ .

**Case II: Fluid with pressure or *Perfect Fluid***

Be

$$T_{rest}^{\mu\nu} = \begin{pmatrix} \rho & 0 & 0 & 0 \\ 0 & p & 0 & 0 \\ 0 & 0 & p & 0 \\ 0 & 0 & 0 & p \end{pmatrix} \quad (2.31)$$

Let's talk about the physics underlying this definition:

The parts  $0i$  or  $i0$  under rotations or LTs transform like  $3-d$  vectors, and since the values are 0s, the fluid is *isotropic*  $\rightarrow$  no preference about any direction.

$ij$  parts represent the flux of momentum  $p$  against surface of constant spatial coordinates. Momentum flux is the force, and force flux is the pressure. We could have different  $p_i$  along the diagonal, the fluid wouldn't be isotropic, but there will still be 0 shear forces.

In the most generic frame:

$$T^{\mu\nu} = \rho u^\mu u^\nu + p \eta^{\mu\nu} + p u^\mu u^\nu = (\rho + p) u^\mu u^\nu + p \eta^{\mu\nu} \quad (2.32)$$

### Conservation of energy and momentum

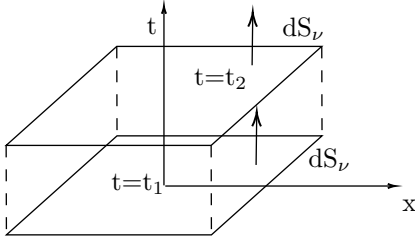
$p^\mu_{\text{total}}$  has to be constant, so energy and momentum are constant. I want this condition to be local:

$$p^\mu_{\text{total}} = \int_V dx^3 T^{\mu 0} = \int_{4d} dS_\nu T^{\mu\nu}$$

If i set  $\nu = 0$  I simplify it. I integrate over the entire  $V$  that is the entire space. It can be written as the flux of the  $\nu$  components. The four dimensional integral has a surface  $S$  where  $T^{\mu\nu}$  is constant. It's a flux integral.  $dS_\nu = (1, 0, 0, 0)$ .

$$\Delta p^\mu_{\text{total}} = p^\mu_{\text{total}}(t_2) - p^\mu_{\text{total}}(t_1)$$

So let's make a scheme to understand the situation.



This is an integral of the flux along the time direction.  
So the expression of  $\Delta p^\mu_{\text{total}}$  can be written as

$$= \int_{\text{total surface}} dS_\nu T^{\mu\nu} = \int_{\text{Volume}} dx^3 \partial_\nu T^{\mu\nu} = \int_{\text{volume}} dV \partial_\nu T^{\mu\nu}$$

So we have two surfaces and we closed it inside a solid, and it is like we are doing Gauss theorem, We can use the divergence theorem in 4d. We state that the divergence of  $T^{\mu\nu}$ :

$$\begin{aligned} \partial_\mu T^{\mu\nu} &= 0 \\ \partial_\nu T^{\mu\nu} &= 0 \end{aligned}$$

And that's a way to express conservation.

### Results for perfect fluids

$$T^{\mu\nu} = (\rho + p) u^\mu u^\nu + p \eta^{\mu\nu}$$

and taking the derivative

$$\begin{aligned} \partial_\mu T^{\mu\nu} &= \partial_\mu (\rho + p) u^\mu u^\nu + \partial_\mu p \eta^{\mu\nu} + (\rho + p) (\partial_\mu u^\mu) u^\nu + (\rho + p) u^\mu \partial_\mu u^\nu = \\ &= \partial_\mu (\rho + p) u^\mu u^\nu + \partial_\mu p \eta^{\mu\nu} + (\rho + p) [(\partial_\mu u^\mu) u^\nu + u^\mu \partial_\mu u^\nu] \end{aligned}$$

we neglect derivative of the metric because in flat spacetime it is a constant matrix, and so it's 0.

Let's take the projection of this identity along the direction of the four-velocity.

$$u_\nu \partial_\mu T^{\mu\nu} = -\partial_\mu (\rho + p) u^\mu + (\partial_\mu p) u^\mu + (\rho + p) [-\partial_\mu u^\mu + u_\nu u^\mu \partial_\mu u^\nu] =$$

and since,

$$\partial_\mu (u_\nu u^\nu) = 0 = \partial_\mu (-1)$$

we get

$$= -\partial_\mu (\rho + p) u^\mu + \partial_\mu p u^\mu - (\rho + p) \partial_\mu u^\mu = 0 \quad (2.33)$$

And in the NR limit,  $p \ll \rho$ :

$$-\partial_\mu \rho u^\mu + \rho \partial_\mu u^\mu + \partial_\mu p u^\mu = 0$$

we can neglect the last term since the hypothesis

$$\partial_\mu (\rho u^\mu) = 0 \implies \partial_t \rho + \partial_i (\rho u^i) = 0$$

we recover the continuity equation.<sup>4</sup>

### 2.5.3 Check and practice with tensor operations

**exercise** : instead of projecting on  $u^\nu$ , project orthogonal to  $u^\mu$ , compute:  $p_\nu^\alpha \partial_\mu T^{\mu\nu} = 0$ . idk what it means:  $p_\beta^\alpha \equiv \delta_\beta^\alpha + u^\alpha u_\beta$ . Evaluate alpha = 1,2,3.

**Lorentz Transformation on Energy-Momentum tensor for dust** I should do  $T^{\mu'\nu'} = \Lambda_\mu^{\mu'} \Lambda_\nu^{\nu'} T^{\mu\nu}$ .

Two ways, with matrices or with tensor components. Using matrices:

$$\begin{pmatrix} \gamma & -\gamma v & 0 & 0 \\ -\gamma v & \gamma & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \rho & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} \gamma & -\gamma v & 0 & 0 \\ -\gamma v & \gamma & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = \\ \begin{pmatrix} \gamma & -\gamma v & 0 & 0 \\ -\gamma v & \gamma & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \rho\gamma & -\gamma\rho v & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} = \begin{pmatrix} \gamma^2\rho & -\gamma^2\rho v & 0 & 0 \\ -\gamma^2\rho v & \gamma^2 v^2 \rho & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

With tensor components:

$$\begin{aligned} T^{\mu'\nu'} &= \Lambda_\mu^{\mu'} \Lambda_\nu^{\nu'} T^{\mu\nu} = \\ &= \Lambda_0^{\mu'} \Lambda_0^{\nu'} T^{00} = \Lambda_0^0 \Lambda_0^0 T^{00} + \Lambda_0^0 \Lambda_0^1 T^{00} + \Lambda_0^1 \Lambda_0^0 T^{00} + \Lambda_0^1 \Lambda_0^1 T^{00} \end{aligned}$$

and I get the same as above. I don't know which one could be faster but I think this one is more elegant.

---

<sup>4</sup>It states that the rate of mass flow into a volume is equal to the rate of mass flow out of the volume, plus the rate of change of mass within the volume

## 2.6 Lec 7

### 2.6.1 Equivalence Principle

The idea of the *universality* of the gravitational interaction, in the form of the *Equivalence principle* led Einstein to think that gravity is one of a kind, not just another field, but a metric tensor that describes the curvature of spacetime.

#### Weak Equivalence Principle, WEP

It states that *inertial* mass and *gravitational* mass of any object are equal.

From the Second Law of Mechanics:

$$\vec{F} = m_i \vec{a}$$

with  $m_i$  = inertial mass. While, to quantify gravitational forces in Newtonian mechanics:

$$\vec{F} = -m_g \nabla \Phi_g$$

With  $\nabla \Phi_g$  gradient of scalar field  $\Phi_g$ , known as gravitational potential. From these formulas, we see no actual reason why  $m_i = m_g$ :

- The inertial mass has a universal character, it takes the same value no matter what kind of force is being exerted.
- The gravitational mass is a quantity specific to the gravitational force. One could think  $\frac{m_g}{m_i}$  as the *gravitational charge*.

Galileo showed by rolling balls down the inclined plane that the response of matter to gravitation is universal, and in Newtonian mechanics it translates in WEP:

$$m_i = m_g$$

This, for freely falling objects, becomes

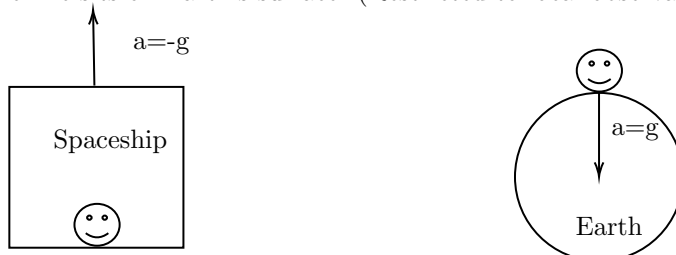
$$a = -\nabla \Phi$$

This led to think an equivalent formulation of WEP that is: *there exists a preferred class of trajectories through space time, called Inertial or Freely-Falling*. Freely falling is intended as "moving under the sole influence of gravity", these objects are unaccelerated.

The universality of gravitation can be stated in another form: If we consider a physicist in a spaceship that is accelerating at a constant rate, like

$$\vec{a} = -\vec{g}$$

he would be not able to distinguish by scientific experiments the situation in which he sits on Earth's surface. (Restricted to local observation).



If the spaceship would be sufficiently big, we would see that the effect of acceleration would always be in the same direction, while on the surface of the Earth we would see that it points towards the center of the earth, so radial vs straight parallel lines.

So WEP could be stated as *the motion of freely-falling particles are the same in a gravitational field and a uniformly accelerated frame, in small enough regions of spacetime*. In larger regions there would be inhomogeneities, which will lead to tidal forces.

### Einstein's Equivalence Principle, EEP

The Einstein Equivalence Principle is just a little generalization of the WEP:

In small enough regions of spacetime, the laws of physics reduce to those of special relativity: it is impossible to detect the existence of gravitational field by means of local experiments.

Consider a hydrogen atom, a bound state of a proton and an electron. Its mass is actually less than the sum of the masses of the proton and electron considered individually, because there is a negative binding energy—you have to put energy into the atom to separate the proton and electron. According to the WEP, the gravitational mass of the hydrogen atom is therefore less than the sum of the masses of its constituents; the gravitational field couples to electromagnetism (which holds the atom together) in exactly the right way to make the gravitational mass come out right. This means that not only must gravity couple to rest mass universally, but also to all forms of energy and momentum—which is practically the claim of the EEP.

It is the EEP that implies that we should attribute the action of gravity the curvature of spacetime.

We know that there is a class of preferred frames: Inertial Frames (where laws of dynamics are true). We introduce a new class of frames: Freely Falling Frames, where *unaccelerated particles move only due to gravity*.

Obviously these frames must be local frames, otherwise, due to inhomogeneities on the gravitational field, particles initially at rest will begin to move with respect to such frame.

After this we need a mathematical framework where what just said is consistent. The solution is to think that spacetime has a curved geometry and gravitation the manifestation of this curvature. Before jumping in what is a manifold, let's see if the consequences are of our world.

### Gravitational Redshift

Be two spaceships, separated by distance  $z$ , each moving with constant  $\vec{a}$  acceleration in a region without gravitational fields.

At  $t_0$  ship in the back emits a photon of  $\lambda_0$ .

The distance  $z$  stays constant, so the photon is received after  $\Delta t = z/c$  in our reference frame. At  $t = t_0 + \Delta t$  spaceships have picked up an additional velocity  $\Delta v = \vec{a}\Delta t = \vec{a}z/c$ . The photon reaching the front spaceship will be redshifted by the Doppler Effect, by

$$\frac{\Delta\lambda}{\lambda_0} = \frac{\Delta v}{c} = \frac{az}{c^2}$$

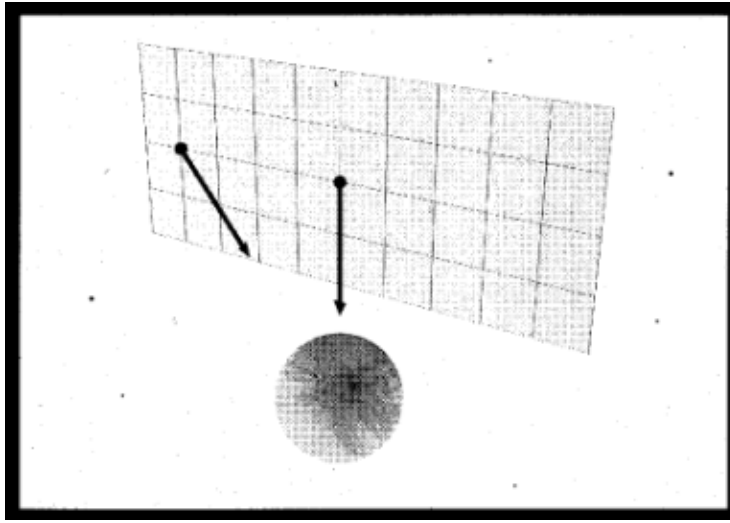
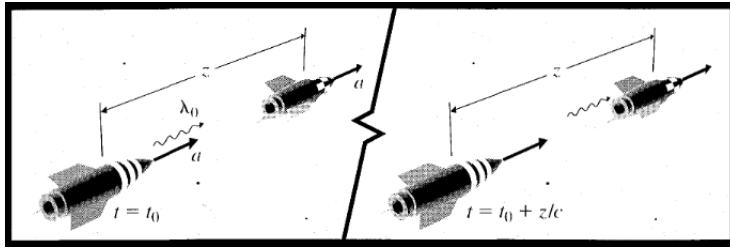


Figure 2.3: The failure of global frames.

Figure 2.4: Doppler shift measured by two rockets, each feeling acceleration  $\mathbf{a}$ .

And according to EEP this should happen also in a uniform gravitational field.

So if a photon is emitted from the ground with  $\lambda_0$ , it will be redshifted by

$$\frac{\Delta\lambda}{\lambda_0} = \frac{a_g z}{c^2}$$

To note that is a direct consequence of EEP, no details of GR were required. The thing is, if i try to represent this with Minkowski metric, I don't notice the redshift.

So now we really need to talk about Manifolds

### 2.6.2 Manifolds

A manifold is a space that may be curved and have a complicated topology, but in local regions looks just like  $\mathbb{R}^n$ . A crucial part is that the dimensionality  $n$  of the Euclidean Spaces being used must be the same in every patch of the manifold. For example are not manifolds, a line ending on a plane and two cones intersecting at their vertices.

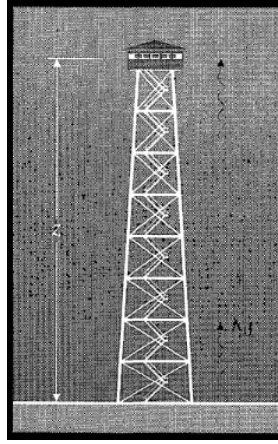


Figure 2.5: Gravitational redshift on Earth's surface

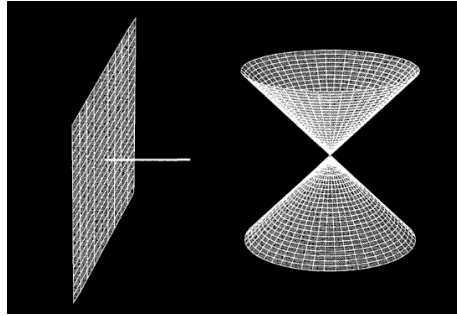


Figure 2.6: Not manifolds

### Coordinate System

Be

$$\begin{cases} U \subset M \\ \phi : U \rightarrow \mathbb{R}^n \\ \phi(U) \text{ is open in } \mathbb{R}^n \end{cases} \quad (2.34)$$

These are a system of conditions to define a coordinate system or *chart*.

An *atlas* is a indexed collection of charts  $\{(U_\alpha, \phi_\alpha)\}$ .

### Vectors again

One point we stressed was the notion of a tangent space, the set of all vectors at a single point in spacetime. A vector is not a thing that stretches from one point to another but is an object associated with a single point.

Be  $f : M \rightarrow \mathbb{R}$ . Each curve passing through a point  $P$ , defines an operator, the *directional derivative*, which maps  $f \rightarrow df/d\lambda$  ( at  $p$ ).

We claim the tangent space  $T_P$  can be identified with the space of directional derivative operators along curves through  $P$ . And for any  $f$  we can write:

$$\frac{df}{d\lambda} = \frac{df}{dx^\mu} \frac{dx^\mu}{d\lambda} \implies \frac{d}{d\lambda} = \frac{dx^\mu}{d\lambda} \partial_\mu$$



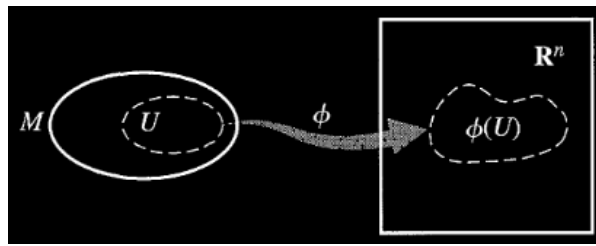


Figure 2.7: A coordinate chart covering an open subset  $U$  of  $M$ .

If i change the coordinates i can apply the chain rule.

### Strong Equivalence Principle, SEP

I don't think during lectures we talked about this one. I'll post it here because I was curious.

Is defined to include all of the laws of physics, gravitational and otherwise. We will define *unaccelerated* as *freely falling*, from here we decide that gravity is not a force, because a force leads to acceleration, and our definition of zero acceleration is *moving freely in the presence of whatever gravitational field happens to be around*.

## 2.7 Lec 8

### 2.7.1 Brief Recap

We saw the WEP, that states  $m_i = m_g$ , and as consequence we get that it is impossible to distinguish a gravitational field from motion, at least locally.

With the EEP we were able to derive the expression that quantifies the gravitational redshift. The SEP included gravity.

Focusing on EEP, we introduced *Locally Inertial Frames*, *LIF* (equivalent to Freely Falling Frames). Having accepted that gravity cannot be treated as a force, because it is impossible to disentangle acceleration due to gravity, we identified a preferred class of frames: LIFs.

In LIFs laws of physics are equal to the laws of SR and spacetime is Minkowskian.

We introduced Coordinates: with a generic set  $M$ , a chart, given a subset  $U \subset M$ , is an injective linear map  $\phi$ , that

$$\phi : U \rightarrow \mathbb{R}^n$$

An *atlas* is an indexed  $\{U_\alpha, \phi_\alpha\}$ , in such a way that  $U_\alpha$  cover  $M$ . A *manifold* is a set  $M$  along with an atlas. A manifold can be  $C^\infty$  if  $\phi$  are differentiable an infinite amount of times, otherwise is  $C^p$ , differentiable  $p$ -times.

### Vectors again and again

We resurrected  $T_P$ ,  $P$  generic  $M$  point.  $T_P$  is the vector space of all the vectors defined at that point.

$T_P$  is identified with the space of directional derivatives operators acting along the curves through  $P$

Why this identification makes sense?

A generic curve through spacetime, that we call  $WL$ , is a parametric curve that is indicated by  $x^\mu(\lambda)$ : for a specific value of  $\lambda$  I have the  $x^\mu$  point.

$$x^\mu(\bar{\lambda}) = P$$

How do I define the directional derivatives? Be

$$\frac{d}{d\lambda}$$

that acts on functions,  $f$ ,

$$f : M \rightarrow \mathbb{R}$$

then  $\forall f$ :

$$\frac{d}{d\lambda} = \frac{dx^\mu}{d\lambda} \partial_\mu$$

We see that it is like a basis.

**Basis vectors** for  $T_P \rightarrow \partial_\mu$ . (we previously called them  $\hat{e}_{(\mu)}$ ).

In conclusion a generic vector is

$$V = V^\mu \partial_\mu$$

where  $V^\mu$  are the components, and  $\partial_\mu$  are the basis elements.

It's very easy to show how vectors transform because we know how derivatives transform:

$$\frac{\partial}{\partial x^{\mu'}} = \frac{\partial x^\mu}{\partial x^{\mu'}} \frac{\partial}{\partial x^\mu} \quad (2.35)$$

or in a tensor-like notation:

$$\partial_{\mu'} = \frac{\partial x^\mu}{\partial x^{\mu'}} \partial_\mu \quad (2.36)$$

If  $V$  tensor is invariant, by definition, it's components transform anyway like

$$V^{\mu'} = \frac{\partial x^{\mu'}}{\partial x^\mu} V^\mu$$

**Example** LTs

$$x^{\alpha'} = \Lambda_{\alpha}^{\alpha'} x^\alpha$$

I consider a specific change of coordinates: LTs, so

$$\frac{\partial x^{\mu'}}{\partial x^\mu} = \frac{\partial}{\partial x^\mu} \left( \Lambda_{\alpha}^{\mu'} x^\alpha \right) = \Lambda_{\alpha}^{\mu'} \frac{\partial x^\alpha}{\partial x^\mu} = \Lambda_{\alpha}^{\mu'} \delta_{\mu}^{\alpha} = \Lambda_{\mu}^{\mu'}$$

we get under more general transformations vectors components transform like this, and more, we recovered the LT transformation.

### 2.7.2 Dual Vectors

Be the *cotangent space*  $T_P^*$ . If  $\omega \in T_P^*$ ,  $\omega$  is a linear map that  $\omega : T_P \rightarrow \mathbb{R}$ . We want to define formally  $T_P^*$  (like we did for  $T_P$ ). We know that the tangent space  $T_P$  holds directional derivatives, while cotangent space  $T_P^*$  holds gradients.

For a generic  $f : M \rightarrow \mathbb{R}$ :

$$\begin{aligned} \frac{d}{d\lambda} &\in T_P \\ d &\in T_P^* \\ \text{and so} \\ df \left( \frac{d}{d\lambda} \right) &\equiv \frac{df}{d\lambda} \\ \downarrow \quad \downarrow \quad \downarrow \\ &\in T_P^*, \in T_P, \in \mathbb{R} \end{aligned}$$

**Basis** for  $T_P^*$ :  $dx^\mu$ .

$$dx^\mu \left( \frac{\partial}{\partial x^\nu} \right) = \frac{\partial x^\mu}{\partial x^\nu} = \delta_{\nu}^{\mu}$$

that is the same as the old  $\hat{O}^{(\mu)}(\hat{e}_\nu) = \delta_{\nu}^{\mu}$ .

A dual vector is

$$\omega = \omega_{\mu} dx^\mu$$

the basis component transform like

$$dx^{\mu'} = \frac{\partial x^{\mu'}}{\partial x^\mu} dx^\mu$$

and the vector components

$$\omega_{\mu'} = \frac{\partial x^\mu}{\partial x^{\mu'}} \omega_\mu$$

### 2.7.3 Tensors (k,l)

$$T : T_P^* \times \dots \times T_P^* \times T_P \times \dots \times T_P \rightarrow \mathbb{R}$$

Tensors can be expanded into components:

$$T = T_{\nu_1 \dots \nu_l}^{\mu_1 \dots \mu_k} (\partial_{\mu_1} \otimes \dots \otimes \partial_{\mu_k} \otimes dx^{\nu_1} \otimes \dots \otimes dx^{\nu_l})$$

So the components of a generic tensor transform like

$$T_{\nu'_1 \dots \nu'_l}^{\mu'_1 \dots \mu'_k} = \left( \frac{\partial x^{\mu'_1}}{\partial x^{\mu_1}} \dots \frac{\partial x^{\mu'_k}}{\partial x^{\mu_k}} \dots \right) T_{\nu_1 \dots \nu_l}^{\mu_1 \dots \mu_k}$$

Now let's see a unusual tensor, it's a (2,1) tensor, how does transform?

$$T_{\gamma'}^{\alpha' \beta'} = \frac{\partial x^{\alpha'}}{\partial x^\alpha} \frac{\partial x^{\beta'}}{\partial x^\beta} \frac{\partial x^\gamma}{\partial x^{\gamma'}} T_\gamma^{\alpha \beta}$$

**Example/exercise** (from 2.4 of Carroll) Be a tensor  $S_{ij}$ , with  $i, j = 1, 2$ , so it's a (0,2) tensor. We know that

- $S_{11} = 1$
- $S_{12} = S_{21} = 0$
- $S_{22} = x^2$

We get new coordinates:

$$\begin{aligned} x' &= \frac{2x}{y} \\ y' &= \frac{y}{2} \end{aligned}$$

What are the expressions for  $S_{i'j'}$ ?

One could think to compute each entry doing

$$S_{i'j'} = \frac{\partial x^i}{\partial x^{i'}} \frac{\partial x^j}{\partial x^{j'}} S_{ij}$$

So, like the (1,1) one looks like

$$S_{1'1'} = \frac{\partial x^i}{\partial x^{1'}} \frac{\partial x^j}{\partial x^{1'}} S_{ij}$$

It is good exercise to do this. (see sec 2.7.5) But it seems that there is a much faster way than this.

We can write the tensor  $S$  as

$$S = S_{\mu\nu} (dx^\mu \otimes dx^\nu)$$

and for our case

$$S = S_{ij} (dx^i \otimes dx^j)$$

The action of this tensor could be written as

$$S(dx^i, dx^j) = S_{11}dx^2 + S_{12}dxdy + S_{21}dydx + S_{22}dy^2 = dx^2 + x^2dy^2 \quad (2.37)$$

the two middle terms are not grouped because tensor product does not commute.

Now we can take the inverse coordinate transformation and write it down.

$$\begin{cases} x = x'y' \\ y = 2y' \end{cases} \rightarrow \begin{cases} dx = x'dy' + y'dx' \\ dy = 2dy' \end{cases} \quad (2.38)$$

and then we substitute inside eq. [2.37], getting

$$\begin{aligned} & (x'dy' + y'dx')^2 + 4dy'^2 = \\ & x'^2dy'^2 + y'^2dx'^2 + x'y'(dx'dy' + dy'dx') + 4dy'^2 \end{aligned}$$

so we get:

$$\begin{cases} S_{ii} = y'^2 \\ S_{ij} = S_{ji} = x'y' \\ S_{jj} = x'^2 + 4(x'y')^2 \end{cases} \rightarrow \begin{pmatrix} y'^2 & x'y' \\ x'y' & x'^2 + 4(x'y')^2 \end{pmatrix} = S_{i'j'} \quad (2.39)$$

### 2.7.4 Special Tensors

Special tensor that we will see are

- Derivative
- Metric tensor
- Levi-Civita Symbol

#### Partial derivative

We will show that the partial derivative of a tensor is not a tensor anymore.

Derivative of a scalar is a (0,1) tensor.

$$\partial_\mu \phi \rightarrow \partial_{\mu'} \phi = \frac{\partial x^\mu}{\partial x^{\mu'}} \partial_\mu \phi$$

$\phi$  does not change under transformation, but the derivative does.

Derivative of a tensor  $\neq$  tensor:

**example :**

$$A_{\mu\nu} = \partial_\mu V_\nu$$

But why?

Let's transform it:

$$A_{\mu'\nu'} = \partial_{\mu'} V_{\nu'} = \frac{\partial x^\mu}{\partial x^{\mu'}} \frac{\partial}{\partial x^\mu} \left( \frac{\partial x^\nu}{\partial x^{\nu'}} V_\nu \right) =$$

now if we apply the partial derivative we obtain

$$= \frac{\partial x^\mu}{\partial x^{\mu'}} \frac{\partial x^\nu}{\partial x^{\nu'}} \partial_\mu V_\nu + \left( \frac{\partial x^\mu}{\partial x^{\mu'}} \right) \left( \frac{\partial^2 x^\nu}{\partial x^{\nu'} \partial x^\mu} \right) V_\nu$$

What happened? We see there is a piece that we don't like. This second piece is 0 for LTs, because they are linear so second derivatives are null. This because in Euclidean space the derivative is independent on the coordinates, and on Minkowski space too, since it's flat.

The tensor itself is independent of the coordinate system, but the operation of taking a partial derivative is highly dependent on what coordinate system you're using.

We give importance to this anyway because GR is not a theory for just LTs. We will develop a covariant derivative that applied to a tensor will give back a tensor.

### Metric tensor

The roles of the metric tensor are many:

- allows the computation of path length and proper time
- replaces the Newtonian gravitational field  $\phi$
- provides a notion of locally inertial frames
- replaces the Euclidean 3D dot product of Newtonian mechanics.

We know the Minkowski's one:

$$\eta_{\mu\nu} = \text{diag} (-1, 1, 1, 1) = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad (2.40)$$

In general  $g_{\mu\nu}$  will be the metric tensor.

We assume the determinant of  $g_{\mu\nu} : \det(g_{\mu\nu}) \equiv g \neq 0 \rightarrow$  the metric tensor is *invertible*.

$$g_{\mu\nu} g^{\nu\alpha} = \delta_\mu^\alpha ; g^{\mu\nu} g_{\nu\alpha} = \delta_\alpha^\mu$$

A constant metric tensor implies no curvature. But the opposite is not true.

A metric tensor that depends explicitly on the coordinates must describe a non-flat space? **FALSE** e.g. the polar coordinates: writing  $\eta_{\mu\nu}$  in them

$$\eta_{\mu\nu}^{(polar)} = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & r^2 & 0 \\ 0 & 0 & 0 & r^2 \sin^2 \theta \end{pmatrix} \quad (2.41)$$

. Given a generic metric  $g_{\mu\nu}$  and a given event P, it is always possible to find new coordinates:

$$g_{\hat{\mu}\hat{\nu}} = \frac{\partial x^\mu}{\partial x^{\hat{\mu}}} \frac{\partial x^\nu}{\partial x^{\hat{\nu}}} g_{\mu\nu}$$

And

$$g_{\hat{\mu}\hat{\nu}}(P) = \eta_{\hat{\mu}\hat{\nu}} ; \partial_{\hat{\sigma}} g_{\hat{\mu}\hat{\nu}}(P) = 0$$

This recalls the definition of LIFs.

### 2.7.5 Applying tensor transformation formula

This is the suggested-to-try alternative way to do the exercise about tensor change of basis. I did it, and since I'm not that good with tensors I thought it is not trivial so will report here.

$$S_{i'j'} = \frac{\partial x^i}{\partial x^{i'}} \frac{\partial x^j}{\partial x^{j'}} S_{ij}$$

Partial derivatives are a jacobian. So one need to express  $x, y$  in terms of  $x', y'$ , and then derive them to fill the jacobian

$$\frac{\partial x^i}{\partial x^{i'}} = \begin{pmatrix} \partial x / \partial x' & \partial x / \partial y' \\ \partial y / \partial x' & \partial y / \partial y' \end{pmatrix} \quad (2.42)$$

Express  $S_{ij}$  in terms of  $x', y'$ :

$$S_{ij} = \begin{pmatrix} 1 & 0 \\ 0 & (x'y')^2 \end{pmatrix}$$

And then compute each entry

$$S_{1'1'} = \frac{\partial x^i}{\partial x^{1'}} \frac{\partial x^j}{\partial x^{1'}} S_{ij}$$

et cetera.

## 2.8 Lec 9

### Active and passive transformations

Active transformations change the physical position of a set of points relative to a fixed coordinate system.

Passive transformations leave the points fixed but change the coordinate system relative to which they are described. We prefer the first approach, we leave vectors untouched and transform RFs.

### 2.8.1 Still on Metric Tensor

We described a little this tensor in the previous lecture. I remember that:

$$\det(g) = g \neq 0$$

in 3D Euclidean Space

$$g = \mathbb{I} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

and in spherical it would be like

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & r^2 \sin^2 \theta & 0 \\ 0 & 0 & r^2 \end{pmatrix}$$

In general the metric tensor has this form

$$g_{\mu\nu} = \text{diag}(-1, \dots, 1, \dots, 0, \dots)$$

If there are just '+1', the metric is Euclidean.

If I have one '-1' and only '+1' the metric is Lorentzian.

We will not discuss other combinations.

Today we will try to formalize EEP.

Let  $P$  be a spacetime point and

$$g_{\mu\nu}(P) = \text{some generic matrix} \neq \eta_{\mu\nu}$$

I want to find new coordinates  $x^{\hat{\mu}}$  such that  $g_{\hat{\mu}\hat{\nu}}(P) = \eta_{\mu\nu}$  and  $\partial_{\hat{\rho}} g_{\hat{\mu}\hat{\nu}}(P) = 0$ .

If you watch the last expression you see that it includes 40 different expressions  $(64/2) + 8$

I choose  $x_P^\mu = x_P^{\hat{\mu}} = 0$ , so  $P$  is the origin of both frames. So I get

$$g_{\hat{\mu}\hat{\nu}}(x^{\hat{\alpha}}) = \frac{\partial x^\mu}{\partial x^{\hat{\mu}}} \frac{\partial x^\nu}{\partial x^{\hat{\nu}}} g_{\mu\nu}(x^\alpha)$$

I'm interested in transformations around  $P$ , so I see that spacetime is locally Minkoskian.

Doing a Taylor expansion I will see that first order is Minkoskian:

$$x^\mu(x^{\hat{\mu}}) = \left( \frac{\partial x^\mu}{\partial x^{\hat{\mu}}} \right)_P x^{\hat{\mu}} + \frac{1}{2} \left( \frac{\partial^2 x^\mu}{\partial x^{\hat{\mu}} \partial x^{\hat{\nu}}} \right)_P x^{\hat{\mu}} x^{\hat{\nu}} + \frac{1}{6} \left( \frac{\partial^3 x^\mu}{\partial x^{\hat{\mu}} \partial x^{\hat{\nu}} \partial x^{\hat{\rho}}} \right)_P x^{\hat{\mu}} x^{\hat{\nu}} x^{\hat{\rho}} + \dots \quad (2.43)$$



Then we take the derivative of this expression, finding the jacobian:

$$\frac{\partial x^\mu}{\partial x^{\hat{\mu}}} = \left( \frac{\partial x^\mu}{\partial x^{\hat{\mu}}} \right)_P + \left( \frac{\partial^2 x^\mu}{\partial x^{\hat{\mu}} \partial x^{\hat{\alpha}}} \right)_P x^{\hat{\alpha}} + \frac{1}{2} \left( \frac{\partial^3 x^\mu}{\partial x^{\hat{\mu}} \partial x^{\hat{\alpha}} \partial x^{\hat{\beta}}} \right)_P x^{\hat{\alpha}} x^{\hat{\beta}} \quad (2.44)$$

Now, I can expand the metric tensor around  $P$  like this

$$g_{\mu\nu}(x^\alpha) = (g_{\mu\nu})_P + (\partial_\rho g_{\mu\nu})_P x^\rho + \frac{1}{2} (\partial_\rho \partial_\sigma g_{\mu\nu})_P x^\rho x^\sigma + \dots \quad (2.45)$$

This is valid also for the metric tensor in new coordinates

$$\begin{aligned} \hat{g} + \left( \hat{\partial} \hat{g} \right)_P \hat{x} + \left( \hat{\partial} \hat{\partial} \hat{g} \right)_P \hat{x} \hat{x} &= \left( \frac{\partial x}{\partial \hat{x}} \frac{\partial x}{\partial \hat{x}} g \right)_P + \left( \frac{\partial x}{\partial \hat{x}} \frac{\partial^2 x}{\partial \hat{x} \partial \hat{x}} + \frac{\partial x}{\partial \hat{x}} \frac{\partial x}{\partial \hat{x}} \partial g \right)_P \hat{x} + \\ &+ \left( \frac{\partial x}{\partial \hat{x}} \frac{\partial^3 x}{\partial \hat{x} \partial \hat{x} \partial \hat{x}} g + \frac{\partial^2 x}{\partial \hat{x} \partial \hat{x}} \frac{\partial^2 x}{\partial \hat{x} \partial \hat{x}} g + \frac{\partial x}{\partial \hat{x}} \frac{\partial^2 x}{\partial \hat{x} \partial \hat{x}} \hat{\partial} g + \frac{\partial x}{\partial \hat{x}} \frac{\partial x}{\partial \hat{x}} \hat{\partial} \hat{\partial} g \right)_P \hat{x} \hat{x} \end{aligned}$$

This is the structure (with all indices suppressed) of the Taylor expansion up to the quadratic term in the new frame of the metric tensor around point  $P$ .

It's true to write:

$$\hat{g} = A + B\hat{x} + C\hat{x}\hat{x} + \dots$$

with  $A, B, C$  the three terms up there. So we can set terms of equal order in  $\hat{x}$  on each side equal to each other. Therefore it's like having

$$(g_{\hat{\mu}\hat{\nu}})_P = A = \left( \frac{\partial x}{\partial \hat{x}} \frac{\partial x}{\partial \hat{x}} g \right)_P$$

On the left we have 10 numbers in all to describe a symmetric two-index tensor, and they are determined by the matrix on the right, This is a  $4 \times 4$  matrix without constraints, so we have enough freedom to put the 10 numbers of the left tensor into *canonical form*.

At first order we have, on the left, four derivatives of 10 components for a total of 40 numbers, while on the right side we have 10 choices of  $\hat{\mu}s$  and four choices of  $\mu s$ , for a total of 40 degrees of freedom. This is precisely the number of choices we need to determine all of the first derivatives of the metric, which we can therefore set to 0.

At second order with left side the item is symmetric on it's indices in pairs for a total of  $10 \times 10 = 100$  numbers, On the right-hand side we have symmetry in the three lower indices gaining 20 possibilities multiplied by four for the upper index we have 80 degrees of freedom, 20 fewer than we require to set the second derivative of the metric to 0.

### 2.8.2 Levi Civita symbol

We like tensors but sometimes we also like nontensorial objects. Let's remember the Levi Civita symbol

$$\tilde{\epsilon}_{\mu_1 \dots \mu_n} = \begin{cases} +1 & \text{if even permutations} \\ -1 & \text{if odd permutations} \\ 0 & \text{otherwise} \end{cases}$$

By definition this symbol has the components specified above in *any* coordinate system, and it is a symbol and not a tensor because it is defined to not change under coordinate transformations. We are able to treat him like tensor only in inertial coordinates in flat spacetime.

If  $\epsilon_{\mu\nu\rho\gamma}$  was a tensor then it should transform like

$$\tilde{\epsilon}_{\mu'_1 \dots \mu'_n} = \frac{\partial x^{\mu_1}}{\partial x^{\mu'_1}} \dots \frac{\partial x^{\mu_n}}{\partial x^{\mu'_n}} \tilde{\epsilon}_{\mu_1 \dots \mu_n} \quad (2.46)$$

but this is **NOT TRUE**.

We are able to treat it as a tensor only in inertial coordinates of spacetime since LTs would have left the components invariant anyway.

It's behaviour can be related to the determinant of a generic matrix  $M$ :

$$\tilde{\epsilon}_{\mu'_1 \dots \mu'_n} \|M\| = \tilde{\epsilon}_{\mu_1 \dots \mu_n} M^{\mu_1}_{\mu'_1} \dots M^{\mu_n}_{\mu'_n}.$$

For example, a  $2 \times 2$  matrix:

$M^\mu_{\nu}$  with  $\mu, \nu = 1, 2$ .

$$\tilde{\epsilon}_{12} \cdot \det(M) = \det(M) = \tilde{\epsilon}_{\mu_1 \mu_2} M^{\mu_1}_1 M^{\mu_2}_2 = \quad (2.47)$$

$$= \tilde{\epsilon}_{12} M^1_1 M^2_2 + \tilde{\epsilon}_{21} M^2_1 M^1_2 = M^1_1 M^2_2 - M^2_1 M^1_2 = ad - bc \quad (2.48)$$

Setting  $M^\mu_{\mu'} = \partial x^\mu / \partial x^{\mu'}$ , we get

$$\tilde{\epsilon}_{\mu'_1 \dots \mu'_n} = \left| \frac{\partial x^{\mu'}}{\partial x^\mu} \right| \tilde{\epsilon}_{\mu_1 \dots \mu_n} \frac{\partial x^{\mu_1}}{\partial x^{\mu'_1}} \dots \frac{\partial x^{\mu_n}}{\partial x^{\mu'_n}}$$

If you notice, we moved the determinant from the left-hand side to the right-hand one, by reversing it.

$\tilde{\epsilon}$  is not a tensor because otherwise that determinant wouldn't be there. So it does not transform the way I want. Let's construct a Levi-Civita *tensor*.

Remember the transformation of the metric tensor?

$$g_{\mu\nu} = \frac{\partial x^{\mu'}}{\partial x^\mu} \frac{\partial x^{\nu'}}{\partial x^\nu} g_{\mu'\nu'}$$

I can take the determinant and apply the Binet Rule <sup>5</sup>

$$\det g(x^\mu) = \det \left( \frac{\partial x^{\mu'}}{\partial x^\mu} \right)^2 \det g(x^{\mu'})$$

and rewrite it in

$$\det g(x^{\mu'}) = \frac{1}{\det \left( \frac{\partial x^{\mu'}}{\partial x^\mu} \right)^2} \det g(x^\mu)$$

I see that  $g$  is not invariant if i change coordinates.

---

<sup>5</sup>  $\det(AB) = \det(A) \det(B)$

**Weights** Tensor densities, they almost transform like a tensor up to a given factor of a given power.

For example  $\tilde{\epsilon}$  has weight  $w = +1$

$g$  has weight  $w = -2$

Using this I get that

$$\sqrt{|g|}\tilde{\epsilon}_{\mu_1\ldots\mu_n}(\rightarrow w = 0) \equiv \epsilon_{\mu_1\ldots\mu_n}$$

So it is a tensor, because a tensor has  $w = 0$ . We introduce this distinction:

- $\tilde{\epsilon}$  is the **symbol**
- $\epsilon$  is the L-C **tensor**

For the L-C symbol with upper indices  $\tilde{\epsilon}^{\mu_1\ldots\mu_n}$  the values are

$$\epsilon^{\mu_1\ldots\mu_n} = \text{sgn}(g) \tilde{\epsilon}_{\mu_1\ldots\mu_n}$$

so we have a weight of  $-1$  and so the relative tensor with upper indices is

$$\epsilon^{\mu_1\ldots\mu_n} = \frac{1}{\sqrt{|g|}} \tilde{\epsilon}^{\mu_1\ldots\mu_n}$$

## 2.9 Lec 10

### 2.9.1 Differential form

A  $p$ -form or *differential form* is a  $(0,p)$  tensor that is completely antisymmetric. Examples of  $p$ -forms

- scalars are 0-forms
- dual vectors are 1-forms
- $\tilde{\epsilon}$  is a 4-form

$P$ -forms do have a operation called *wedge product*:

Be  $A$  a  $p$ -form and  $B$  a  $q$ -form, then  $(A \wedge B)$  is a  $(p+q)$ -form, in detail

$$(A \wedge B)_{\mu_1 \dots \mu_{p+q}} = \frac{(p+q)!}{p!q!} A_{[\mu_1 \dots \mu_p} B_{\mu_{p+1} \dots \mu_{p+q}]}$$

So, for example, if  $A$  and  $B$  are both a 1-form,

$$(A \wedge B) = \frac{2!}{1!1!} A_{[\mu} B_{\nu]} = \frac{2!}{1!} \frac{1}{2!} (A_{\mu} B_{\nu} - A_{\nu} B_{\mu}) = A_{\mu} B_{\nu} - A_{\nu} B_{\mu}$$

Also note that

$$A \wedge B = (-1)^{pq} B \wedge A$$

There is also something about Integral over volume & principle of least action.

### Exterior Derivative

There is also this operation, not really used, that is

$$d : p - \text{form} \rightarrow (p+1) - \text{form}$$

$$(dA)_{\mu_1 \dots \mu_{p+1}} = (p+1) \partial_{[\mu_1} A_{\mu_2 \dots \mu_{p+1}]}$$

It has a special property:  $dA$  is a tensor.

$$\partial_{\alpha} A_{\beta\gamma\delta\dots} \quad (2.49)$$

$$\text{is not a tensor, we already saw that, because of the extra piece} \quad (2.50)$$

$$\text{that is symmetric and become 0 by anti-symmetrization} \quad (2.51)$$

$$\partial_{[\alpha} A_{\beta\gamma\delta\dots]} \text{ is a tensor!} \quad (2.52)$$

Now let's see how this is related to integrals.

Be in 3D, can be cartesian coordinates  $(x,y,z)$  or spherical  $(r, \theta, \phi)$ , and be the gravitational field  $\Phi(x,y,z)$  or  $\Phi(r,\theta,\phi)$ . What's the integral over space of  $\Phi$ ?

$$\int_{\text{space}} \Phi dV$$

with

$$dV = dx dy dz = (r^2 \sin^2 \theta) dr d\theta d\phi$$

Thinking about our guidelines: we want to describe independently on the chosen coordinates.  $\Phi$  is a scalar, so let's see it's integration

$$I = \int \Phi(x) d^n x \neq \int \phi(x') d^n x'$$

because

$$d^n x^{\mu'} = \left| \frac{\partial x^{\mu'}}{\partial x^\mu} \right| d^n x^\mu$$

there is a Jacobian in there.

The integrand of an integral is a p-form, and the integral a real number.

$$d^n x = dx^0 \wedge \dots \wedge dx^{n-1} = \frac{1}{n!} \tilde{\epsilon}_{\mu_1 \dots \mu_n} dx^{\mu_1} \wedge \dots \wedge dx^{\mu_n}$$

This is the integration measure. When I change coordinate system I get

$$d^n x = \frac{1}{n!} \tilde{\epsilon}_{\mu_1 \dots \mu_n} (dx^{\mu_1} \wedge \dots \wedge dx^{\mu_n}) = \quad (2.53)$$

$$\frac{1}{n!} \tilde{\epsilon}_{\mu_1 \dots \mu_n} \frac{\partial x^{\mu_1}}{\partial x^{\mu'_1}} \dots \frac{\partial x^{\mu_n}}{\partial x^{\mu'_n}} \times (dx^{\mu'_1} \wedge \dots \wedge dx^{\mu'_n}) = \quad (2.54)$$

$$= \frac{1}{n!} \tilde{\epsilon}_{\mu'_1 \dots \mu'_n} \det \left( \frac{\partial x^\mu}{\partial x^{\mu'}} \right) (dx^{\mu_1} \dots dx^{\mu_n}) \quad (2.55)$$

What did I get? That

$$d^n x = \left[ \det \left( \frac{\partial x^{\mu'}}{\partial x^\mu} \right) \right]^{-1} d^n x'$$

$d^n x$  is not a tensor but it is a tensor density. We want an invariant integration measure  $\sqrt{|g|} d^n x$ , such that if  $\Phi$  is a scalar also

$$\int d^n x \sqrt{|g|} \Phi$$

is a scalar.

Now why  $\partial_\alpha$  does not give a tensor?  $\partial_\mu A_\nu$  is not a tensor.

$$\partial_{\mu'} A_{\nu'} = \frac{\partial x^\mu}{\partial x^{\mu'}} \frac{\partial x^\nu}{\partial x^{\nu'}} \partial_\mu A_\nu + \frac{\partial^2 x^\nu}{\partial x^{\mu'} \partial x^{\nu'}} A_\nu$$

This last piece is symmetric, so in the exterior derivative it cancels out.

### 2.9.2 Covariant Derivative

This is the only derivative that matters for real.

$$\nabla_\mu V^\nu \equiv \partial_\mu V^\nu + \Gamma_{\mu\alpha}^\nu V^\alpha$$

the factor  $\Gamma$  is unknown and we need to construct it. Keep in mind that the combination of the two addends makes a tensor, but singularly they aren't.

The transformation need to be both *linear on V*

$$\nabla(T + S) = \nabla T + \nabla S$$

and needs to follow the *Leibniz product rule*<sup>6</sup>

$$\nabla(T \otimes S) = (\nabla T) \otimes S + T \otimes (\nabla S)$$

$\Gamma_{\mu\alpha}^\nu$  is called *connection* and it is *not a tensor* but we can think of it like a collection of numbers.

It is a Christoffel symbol.

Before diving in the deep of this symbol  $\Gamma_{\mu\alpha}^\nu$  we want to know how it transform. One could think the trivial way, because if we have two coordinates systems

$$\Gamma_{\mu'\nu'}^{\alpha'} \neq \frac{\partial x^{\alpha'}}{\partial x^\alpha} \frac{\partial x^\mu}{\partial x^{\mu'}} \frac{\partial x^\nu}{\partial x^{\nu'}} \Gamma_{\mu\nu}^\alpha$$

but this is not true since  $\Gamma$  is not a tensor.

Since we know that the covariant derivative is a tensor by construction, let's start from here

$$\nabla_{\mu'} V^{\nu'} = \frac{\partial x^\mu}{\partial x^{\mu'}} \frac{\partial x^{\nu'}}{\partial x^\nu} \nabla_\mu V^\nu \quad (2.56)$$

How are the sides of the equality related? The left-hand side of eq.2.56 is

$$\nabla_{\mu'} V^{\nu'} = \partial_{\mu'} V^{\nu'} + \Gamma_{\mu'\alpha'}^{\nu'} V^{\alpha'} = \frac{\partial x^\mu}{\partial x^{\mu'}} \frac{\partial}{\partial x^{\mu'}} \left( \frac{\partial x^{\nu'}}{\partial x^\nu} V^\nu \right) + \Gamma_{\mu'\alpha'}^{\nu'} \frac{\partial x^{\alpha'}}{\partial x^\alpha} V^\alpha \quad (2.57)$$

while the right hand side of eq.2.56 is

$$\frac{\partial x^\mu}{\partial x^{\mu'}} \frac{\partial x^{\nu'}}{\partial x^\nu} (\partial_\mu V^\nu + \Gamma_{\mu\alpha}^\nu V^\alpha) = \frac{\partial x^\mu}{\partial x^{\mu'}} \frac{\partial x^{\nu'}}{\partial x^\nu} \partial_\mu V^\nu + \frac{\partial x^\mu}{\partial x^{\mu'}} \frac{\partial x^{\nu'}}{\partial x^\nu} \Gamma_{\mu\alpha}^\nu V^\alpha \quad (2.58)$$

we see that we have free indices  $\mu', \nu'$  on both sides. Now let's develop the left-hand side some more

$$\frac{\partial x^\mu}{\partial x^{\mu'}} \frac{\partial^2 x^{\mu'}}{\partial x^\mu \partial x^\nu} V^\nu + \frac{\partial x^\mu}{\partial x^{\mu'}} \frac{\partial x^{\nu'}}{\partial x^\nu} \frac{\partial V^\nu}{\partial x^{\mu'}} + \Gamma_{\mu'\alpha'}^{\nu'} \frac{\partial x^{\alpha'}}{\partial x^\alpha} V^\alpha \quad (2.59)$$

Remember that for us partial derivatives commute.

Let's compare the sides:

- the first on the right-side cancels out with the second on the left (orange).
- Renaming the first term on the left to get  $V^\alpha$ , lead to simplifying every vector on both sides.

We can *rename* the vector because everything is valid for a generic vector, and its indices are summed over.

We are left with

$$\frac{\partial x^\mu}{\partial x^{\mu'}} \frac{\partial x^{\nu'}}{\partial x^\nu} \Gamma_{\mu\alpha}^\nu = \frac{\partial x^{\alpha'}}{\partial x^\alpha} \Gamma_{\mu'\alpha'}^{\nu'} + \frac{\partial x^\mu}{\partial x^{\mu'}} \frac{\partial^2 x^{\nu'}}{\partial x^\mu \partial x^\alpha}$$

And this is how  $\Gamma$  transforms.

If this derivation is still obscure to you and want to see it on paper, old-style, I did again the derivation of this result, see image 2.8. We see that  $\Gamma$  is not a tensor because of this extra piece after the transformation.

---

<sup>6</sup> $(fg)' = f'g + fg'$  to have an example on things we already studied.

$$\nabla_{\mu'} V^{\nu'} = \frac{\partial x^{\mu}}{\partial x^{\mu'}} \frac{\partial x^{\nu'}}{\partial x^{\nu}} \nabla_{\mu} V^{\nu}$$

LEFT:

$$\nabla_{\mu'} V^{\nu'} = \partial_{\mu'} V^{\nu'} + \Gamma^{\nu'}_{\mu' \alpha'} V^{\alpha'} = \frac{\partial x^{\mu}}{\partial x^{\mu'}} \frac{\partial x^{\nu'}}{\partial x^{\nu}} \left( \frac{\partial x^{\mu}}{\partial x^{\mu'}} \frac{\partial x^{\nu'}}{\partial x^{\nu}} \nabla_{\mu} V^{\nu} \right) + \Gamma^{\nu'}_{\mu' \alpha'} \frac{\partial x^{\alpha'}}{\partial x^{\alpha}} V^{\alpha}$$

$$= \frac{\partial x^{\mu}}{\partial x^{\mu'}} \frac{\partial^2 x^{\nu'}}{\partial x^{\mu} \partial x^{\nu}} V^{\nu} + \frac{\partial x^{\mu}}{\partial x^{\mu'}} \frac{\partial x^{\nu'}}{\partial x^{\nu}} \nabla_{\mu} V^{\nu} + \Gamma^{\nu'}_{\mu' \alpha'} \frac{\partial x^{\alpha'}}{\partial x^{\alpha}} V^{\alpha}$$

RIGHT:

$$\frac{\partial x^{\mu}}{\partial x^{\mu'}} \frac{\partial x^{\nu'}}{\partial x^{\nu}} \left( \nabla_{\mu} V^{\nu} + \Gamma^{\nu}_{\mu \alpha} V^{\alpha} \right) = \frac{\partial x^{\mu}}{\partial x^{\mu'}} \frac{\partial x^{\nu'}}{\partial x^{\nu}} \nabla_{\mu} V^{\nu} + \frac{\partial x^{\mu}}{\partial x^{\mu'}} \frac{\partial x^{\nu'}}{\partial x^{\nu}} \Gamma^{\nu}_{\mu \alpha} V^{\alpha}$$

FIRST RIGHT cancels out w/ second left.

$$\frac{\partial x^{\mu}}{\partial x^{\mu'}} \frac{\partial^2 x^{\nu'}}{\partial x^{\mu} \partial x^{\nu}} V^{\nu} + \Gamma^{\nu'}_{\mu' \alpha'} \frac{\partial x^{\alpha'}}{\partial x^{\alpha}} V^{\alpha} = \frac{\partial x^{\mu}}{\partial x^{\mu'}} \frac{\partial x^{\nu'}}{\partial x^{\nu}} \Gamma^{\nu}_{\mu \alpha} V^{\alpha}$$

Rename  $V^{\nu}$  in  $V^{\alpha}$

$$\frac{\partial x^{\mu}}{\partial x^{\mu'}} \frac{\partial^2 x^{\nu'}}{\partial x^{\mu} \partial x^{\alpha}} V^{\alpha} + \Gamma^{\nu'}_{\mu' \alpha'} \frac{\partial x^{\alpha'}}{\partial x^{\alpha}} V^{\alpha} = \frac{\partial x^{\mu}}{\partial x^{\mu'}} \frac{\partial x^{\nu'}}{\partial x^{\nu}} \Gamma^{\nu}_{\mu \alpha} V^{\alpha}$$

Cancel out  $V^{\alpha}$ .

$$\frac{\partial x^{\mu}}{\partial x^{\mu'}} \frac{\partial^2 x^{\nu'}}{\partial x^{\mu} \partial x^{\alpha}} + \Gamma^{\nu'}_{\mu' \alpha'} \frac{\partial x^{\alpha'}}{\partial x^{\alpha}} = \frac{\partial x^{\mu}}{\partial x^{\mu'}} \frac{\partial x^{\nu'}}{\partial x^{\nu}} \Gamma^{\nu}_{\mu \alpha}$$

Figure 2.8: Same derivation but on paper. If you have any doubts compare with this one.

**Covariant derivative - dual vector** We saw that for a *vector* the covariant derivative acts like

$$\nabla_{\mu} V^{\nu} = \partial_{\mu} V^{\nu} + \Gamma^{\nu}_{\mu \alpha} V^{\alpha}$$

Instead for a *dual vector* the derivative is defined as

$$\nabla_{\mu} \omega_{\nu} \equiv \partial_{\mu} \omega_{\nu} + \tilde{\Gamma}^{\alpha}_{\mu \nu} \omega_{\alpha}$$

The question that arises from this is obvious: *how  $\tilde{\Gamma}$  is related to  $\Gamma$ ?*

Let's compute

$$\nabla_{\mu} (\omega_{\lambda} V^{\lambda}) = \partial_{\mu} (\omega_{\lambda} V^{\lambda})$$

This because the covariant derivative of a scalar *is* the derivative of a scalar.

So, applying the Leibniz Rule:

$$\begin{aligned}
& \nabla_\mu V^\lambda \cdot \omega_\lambda + V^\lambda \nabla_\mu \omega_\lambda = \partial_\mu V^\lambda \omega_\lambda + V^\lambda \partial_\mu \omega_\lambda \\
& (\partial_\mu V^\lambda + \Gamma_{\mu\alpha}^\lambda V^\alpha) \omega_\lambda + V^\lambda (\partial_\mu \omega_\lambda + \tilde{\Gamma}_{\mu\lambda}^\alpha \omega_\alpha) = (\partial_\mu V^\lambda) \omega_\lambda + (\partial_\mu \omega_\lambda) V^\lambda \\
& \rightarrow \Gamma_{\mu\alpha}^\lambda V^\alpha \omega_\lambda + \tilde{\Gamma}_{\mu\lambda}^\alpha V^\lambda \omega_\alpha = 0 \\
& \text{renaming some indices to get} \\
& \Gamma_{\mu\alpha}^\lambda V^\alpha \omega_\lambda + \tilde{\Gamma}_{\mu\alpha}^\lambda V^\alpha \omega_\lambda \\
& \implies \Gamma_{\mu\alpha}^\lambda + \tilde{\Gamma}_{\mu\alpha}^\lambda = 0
\end{aligned}$$

To conclude, the covariant derivative of a dual vector is actually

$$\nabla_\mu \omega_\nu = \partial_\mu \omega_\nu - \Gamma_{\mu\nu}^\alpha \omega_\alpha \quad (2.60)$$



## 2.10 Lec 11

### 2.10.1 Covariant derivative - Connection

In the last lecture we talked about the covariant derivative and we saw the version for the vector, for the dual vector, and how it transform between two coordinates systems. We constructed it so the output is a tensor, and we saw that even after changes of coordinates we still get a tensor.

The question now is, how to do

$$\nabla_\rho T^{\mu_1 \dots \mu_k}_{\nu_1 \dots \nu_l} = ?$$

The development is pretty boring but straight-forward:

$$= \partial_\rho T^{\mu_1 \dots \mu_k}_{\nu_1 \dots \nu_l} + \Gamma^{\mu_1}_{\rho \alpha} T^{\alpha \mu_2 \dots \mu_k}_{\nu_1 \dots \nu_l} + \Gamma^{\mu_2}_{\rho \alpha} T^{\mu_1 \alpha \mu_3 \dots \mu_k}_{\nu_1 \dots \nu_l} + \dots - \Gamma^{\alpha}_{\mu \nu_1} T^{\mu_1 \dots \mu_k}_{\alpha \nu_2 \dots \nu_l} - \dots \quad (2.61)$$

These  $\Gamma$  connections are just tables of 64 entries of numbers, not tensors, and putting indices up and down to it it's abuse of notation.

Now we will make a couple of assumptions on the structure of  $\Gamma$ .

#### Torsion

**Statement I** Given two different connections  $\Gamma^{\mu}_{\alpha\beta}$  and  $\tilde{\Gamma}^{\mu}_{\alpha\beta}$ , we define

$$S^{\mu}_{\alpha\beta} = \Gamma^{\mu}_{\alpha\beta} - \tilde{\Gamma}^{\mu}_{\alpha\beta}$$

$\rightarrow S^{\mu}_{\alpha\beta}$  is a (1,2) tensor. Why? Since I have

$$\nabla_\mu V^\nu = \partial_\mu V^\nu + \Gamma^{\nu}_{\mu\alpha} V^\alpha$$

I can define a complement

$$\tilde{\nabla}_\mu V^\nu = \partial_\mu V^\nu + \tilde{\Gamma}^{\nu}_{\mu\alpha} V^\alpha$$

so i get

$$\rightarrow \nabla_\mu V^\nu - \tilde{\nabla}_\mu V^\nu = \left( \Gamma^{\nu}_{\mu\alpha} - \tilde{\Gamma}^{\nu}_{\mu\alpha} \right) V^\alpha = S^{\nu}_{\mu\alpha} V^\alpha$$

and this is valid *only* if  $S^{\mu}_{\nu\alpha}$  is a tensor.

**Statement II** if  $\Gamma^{\mu}_{\alpha\beta}$  is a connection  $\implies \Gamma^{\mu}_{\beta\alpha}$  is a connection.

That's why we define the *Torsion tensor*

$$T^{\mu}_{\alpha\beta} \equiv \Gamma^{\mu}_{\alpha\beta} - \Gamma^{\mu}_{\beta\alpha} = 2\Gamma^{\mu}_{[\alpha\beta]}$$

The metric adopted in this course is a *Torsion-Free* metric, so the torsion tensor is vanishing.

How many entries do I have for a connection?

$$\Gamma^{\mu \rightarrow 4}_{\alpha\beta \rightarrow 10}$$

so in total I have 40 entries, 4 for the upper index and 10 for the lowers because symmetry,

As we will see later, the name *connection* comes from the fact that it is used to transport vectors from one tangent space to another.

### Metric Compatibility

So, the torsion tensor is antisymmetric on its lower indices, and a connection that is symmetric on its lower indices is *torsion-free*. We can define a unique connection on a manifold with metric  $g_{\mu\nu}$  by introducing two additional properties, torsion-freeness and the metric compatibility. The metric compatibility is a property of the covariant derivative and it's expressed as follows

$$\nabla_\rho g_{\mu\nu} = 0$$

A connection is *metric compatible* if the covariant derivative of the metric with respect to that connection is everywhere 0.

We want to see how this property works with the *inverse metric tensor*, so let's start from

$$\nabla_\rho (g^{\alpha\beta} g_{\beta\gamma}) = \nabla_\rho (\delta_\gamma^\alpha) = \Gamma_{\rho\lambda}^\alpha \delta_\gamma^\lambda - \Gamma_{\rho\gamma}^\sigma \delta_\sigma^\alpha + \partial_\rho (\delta_\gamma^\alpha) = (\Gamma_{\rho\gamma}^\alpha - \Gamma_{\rho\gamma}^\alpha) = 0 \quad (2.62)$$

the term with the partial derivative cancels out because  $\delta$  is constant, and we equal everything to zero because the covariant derivative of the Kronecker delta is 0. On the right side we can apply the Leibniz rule so

$$g^{\alpha\beta} \nabla_\rho (g_{\beta\gamma}) + \nabla_\rho (g^{\alpha\beta}) g_{\beta\gamma} = 0 \quad (2.63)$$

the first term is 0, because we said so, the connection is metric compatible. We are left with

$$g_{\beta\gamma} \nabla_\rho (g^{\alpha\beta}) = 0$$

by multiplying on both sides  $g^{\gamma\sigma}$

$$g^{\gamma\sigma} g_{\beta\gamma} \nabla_\rho (g^{\alpha\beta}) = 0$$

I get

$$\delta_\beta^\sigma \nabla_\rho (g^{\alpha\beta}) = \nabla_\rho (\delta_\beta^\sigma g^{\alpha\beta}) = \nabla_\rho (g^{\alpha\sigma}) = 0$$

So, in conclusion the covariant derivative of the inverse of metric tensor is null. It was not trivial.

After this we can see that a metric-compatible covariant derivative commutes with raising and lowering of indices, so for a generic vector  $V^\nu$

$$\nabla_\mu V^\nu = g_{\alpha\nu} \nabla_\mu (V^\alpha) = \nabla_\mu (g_{\alpha\nu} V^\alpha) = \nabla_\mu V_\nu$$

With non-metric compatible connections we would have to be very careful about index placement when taking a covariant derivative.

There is exactly one torsion-free connection on a manifold that is compatible with some generic metric on that manifold.

We can demonstrate *existence* and *uniqueness* by deriving a manifestly unique expression for the connection coefficients in terms of the metric, so we will expand the equation of metric compatibility for three different permutations of the indices.

$$\nabla_\rho g_{\mu\nu} = \partial_\rho g_{\mu\nu} - \Gamma_{\rho\mu}^\lambda g_{\lambda\nu} - \Gamma_{\rho\nu}^\lambda g_{\mu\lambda} = 0 \quad (a)$$

$$\nabla_\mu g_{\nu\rho} = \partial_\mu g_{\nu\rho} - \Gamma_{\mu\nu}^\lambda g_{\lambda\rho} - \Gamma_{\mu\rho}^\lambda g_{\nu\lambda} = 0 \quad (b)$$

$$\nabla_\nu g_{\rho\mu} = \partial_\nu g_{\rho\mu} - \Gamma_{\nu\rho}^\lambda g_{\lambda\mu} - \Gamma_{\nu\mu}^\lambda g_{\rho\lambda} = 0 \quad (c)$$

we see that  $(a)-(b)-(c) = 0$ , and it is obvious because individually they're equal to 0.

But let's see in detail what's happening

$$\begin{aligned} & \partial_\rho g_{\mu\nu} - \partial_\mu g_{\nu\rho} - \partial_\nu g_{\rho\mu} - \Gamma_{\rho\mu}^\alpha g_{\alpha\nu} - \Gamma_{\rho\nu}^\alpha g_{\mu\alpha} + \\ & + \Gamma_{\mu\nu}^\alpha g_{\alpha\rho} + \Gamma_{\mu\rho}^\alpha g_{\nu\alpha} + \Gamma_{\nu\rho}^\alpha g_{\alpha\mu} + \Gamma_{\nu\mu}^\alpha g_{\rho\alpha} = 0 \end{aligned}$$

We subtract the highlighted ones and use the symmetry of the connection to get

$$2g_{\rho\alpha}\Gamma_{\mu\nu}^\alpha - \partial_\mu g_{\nu\rho} + \partial_\nu g_{\mu\rho} - \partial_\rho g_{\mu\nu}$$

We multiply both sides by  $g^{\rho\sigma}$

$$\Gamma_{\mu\nu}^\sigma = \frac{1}{2}g^{\rho\sigma}[\partial_\mu g_{\nu\rho} + \partial_\nu g_{\mu\rho} - \partial_\rho g_{\mu\nu}] \quad (2.64)$$

This is one of the most important expressions of the course.

We have seen that a flat spacetime has a Minkowski metric everywhere.

But how to define curvature?

The fact that  $g_{\mu\nu}$  depends on the coordinates is not enough (e.g. spherical coordinates). But now we have a new object

Let's think a little bit: in flat spacetime if  $\partial \leftrightarrow \nabla$  and this implies  $\Gamma = 0$ . Is that true?

In 3D coordinates, using other coordinates, do connections have to be 0? Be

$$\overline{\nabla} = \left( \frac{\partial}{\partial x}, \frac{\partial}{\partial y} \right)$$

that's the gradient in cartesian coordinates, while in polar coordinates

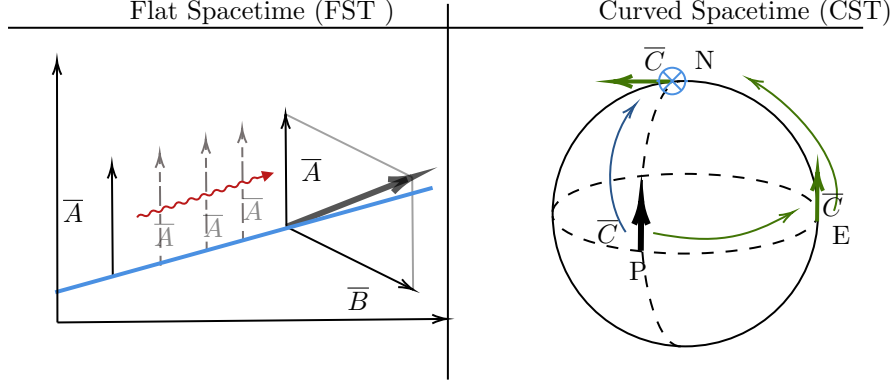
$$= \left( \frac{\partial}{\partial r}, \frac{1}{r} \frac{\partial}{\partial \theta} \right)$$

I can compute the additional factor with Christoffel symbols. Take  $ds^2 = dr^2 + r^2 d\theta^2$ , polar coordinates on plane, and compute the Christoffel symbol. How many entries?

$$\Gamma_{\beta\gamma\rightarrow 3}^{\alpha\rightarrow 2}$$

If you try to derive connection you will see that connection's component stays is curvilinear coordinates systems.

### 2.10.2 Parallel Transport



In flat spacetime, if we want to add  $\vec{A} + \vec{B}$ , we move the  $\vec{A}$  vector along the blue line. We *can* move  $\vec{A}$  also along a non-straight line but  $\vec{A}$  remains  $\vec{A}$ , and for the sum I get the same result.

In flat spacetime parallel transport does not depend on the path.

In curved spacetime, if I move  $\vec{C}$  from P to N along the geodesic, we get that  $\vec{C}$  points inside the sheet. But if I choose another path like P-E-N, then the outcome is a vector  $\vec{C}$  that points toward left. It's not the same vector.

In curved spacetime parallel transport depends on the trajectory, we have to specify the path.

Be the path  $x^\mu(\lambda) : \mathbb{R} \rightarrow \text{spacetime coordinates}$ . In FST parallel transportation of a generic tensor is

$$\frac{d}{d\lambda} (T_{\nu_1 \dots \nu_l}^{\mu_1 \dots \mu_l}) = \frac{dx^\sigma}{d\lambda} \partial_\sigma (T_{\nu_1 \dots \nu_l}^{\mu_1 \dots \mu_l}) = 0$$

both notations are equivalent.

While in CST:

$$\frac{dx^\sigma}{d\lambda} \nabla_\sigma (T_{\nu_1 \dots \nu_l}^{\mu_1 \dots \mu_l}) = 0$$

Let's see a simple example: imagine we have  $x^\mu(\lambda) = (\lambda, 0, 0, 0)$  so  $x^\mu(0) = (0, 0, 0, 0)$  and the four velocity is  $V^\mu(0) = (1, 0, 0, 0)$ . In FST

$$\frac{dx^\sigma}{d\lambda} (\partial_\sigma V^\mu) = \frac{dx^0}{d\lambda} \partial_0 V^\mu = \partial_0 V^\mu = 0$$

The vector does not change.

Why is it useful? Because it shows the parallel transport in action, provides a baseline for understanding cases where all these simplifications do not occur, and it is similar to the definition of geodesics.

#### Geodesics

They are a straight line in curved space, the trajectory of a particle only subject to gravity. With *straight line* we mean the path that is parallel transported along its tangent vector.

**Example** In FST we have a vector that have the same direction of a straight line, and we want to move it along that line. So if I transport the vector tangent to the line, I get the same line.



In CST, with path  $x^\mu(\lambda)$ , we have tangent

$$\frac{dx^\mu}{d\lambda}$$

and that gives

$$\frac{dx^\sigma}{d\lambda} \nabla_\sigma \left( \frac{dx^\mu}{d\lambda} \right) = 0$$

It's a geodesics if this last condition is satisfied. Let's focus on this

$$\begin{aligned} \frac{dx^\sigma}{d\lambda} \left[ \frac{\partial}{\partial x^\sigma} \frac{dx^\mu}{d\lambda} + \Gamma_{\sigma\alpha}^\mu \frac{dx^\alpha}{d\lambda} \right] &= 0 \\ \rightarrow \frac{d^2 x^\mu}{d\lambda^2} + \Gamma_{\alpha\beta}^\mu \frac{dx^\alpha}{d\lambda} \frac{dx^\beta}{d\lambda} &= 0 \end{aligned}$$

the last one is the *geodesics equation*, and it's very important.

Suggested exercise: do that in polar coordinates in FST.

## 2.11 Lec 12

### 2.11.1 Geodesic Equation

Given a generic path (WL)  $x^\mu(\lambda)$ , parallel transport of a generic tensor is

$$\frac{D}{d\lambda} (T^{\mu_1 \dots \mu_k}_{\nu_1 \dots \nu_l}) = \frac{dx^\sigma}{d\lambda} \nabla_\sigma T^{\mu_1 \dots \mu_k}_{\nu_1 \dots \nu_l} = 0$$

while for a vector is

$$\frac{D}{d\lambda} (V^\rho) = \frac{dx^\mu}{d\lambda} \nabla_\mu V^\rho = \frac{dx^\mu}{d\lambda} [\partial_\mu V^\rho + \Gamma^\rho_{\mu\sigma} V^\sigma] = 0$$

We can look at the parallel transport equations as a first-order differential equation defining an initial-value problem: given a tensor at some point along the path, there will be a unique continuation of the tensor to other points along the path.

Probably it was not said before but to make the parallel transport properly tensorial we need to replace the partial derivative by a covariant one, and define the *directional covariant derivative* as

$$\frac{D}{d\lambda} = \frac{dx^\mu}{d\lambda} \nabla_\mu$$

We will review *geodesic equation* and derive it again with a different method.

#### Geodesic I

Geodesic is a path that transport its own tangent vector. That's the definition we will use for the first method. We schematized this in Lec 11, fig. 2.10.2.

$$\begin{aligned} \frac{D}{d\lambda} \left( \frac{dx^\mu}{d\lambda} \right) &= 0 \\ \rightarrow \frac{dx^\sigma}{d\lambda} \nabla_\sigma \left( \frac{dx^\mu}{d\lambda} \right) &= \frac{dx^\sigma}{d\lambda} \left[ \partial_\sigma \left( \frac{dx^\mu}{d\lambda} \right) + \Gamma^\mu_{\sigma\rho} \frac{dx^\rho}{d\lambda} \right] = 0 \\ \rightarrow \frac{d^2 x^\mu}{d\lambda^2} + \Gamma^\mu_{\rho\sigma} \frac{dx^\rho}{d\lambda} \frac{dx^\sigma}{d\lambda} &= 0 \end{aligned}$$

so we get the *geodesic equation*.

#### Geodesic II

This time let's start from the concept of distance so

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu$$

There is no point in trying to minimize it, because if it's the path of a photon it is 0, it's already minimized for each null-path.

Since the metric is compatible with the connection we are using, we take the parallel transportation of this quantity, that is a scalar,  $g_{\mu\nu} V^\mu W^\nu$ :

$$\frac{D}{d\lambda} (g_{\mu\nu} V^\mu W^\nu) = \left( \frac{D}{d\lambda} g_{\mu\nu} \right) V^\mu W^\nu + g_{\mu\nu} \frac{D}{d\lambda} (V^\mu) W^\nu + g_{\mu\nu} V^\mu \frac{D}{d\lambda} (W^\nu) \quad (2.65)$$

we see that the first term on the right is 0 because of *metric compatibility* and the other two are 0 as well because we are parallel transporting a vector<sup>7</sup>.

---

<sup>7</sup>and the vector does not change

What does it mean? It means that scalar product is preserved by parallel transportation.

We will keep this lemma in mind while deriving the Geodesics Equation.

Now since in Lorentzian spacetime the definition of distance is kinda tricky let's stick to use proper time  $\tau$ , instead. So for a time-like trajectory, like the one of a massive particle, we have

$$d\tau^2 = -g_{\mu\nu}dx^\mu dx^\nu \text{ and } d\tau^2 > 0$$

For every trajectory we fix the extremes so we get the so-called *proper time functional*

$$\tau \equiv \int_{path} \sqrt{-g_{\mu\nu} \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda}} d\lambda$$

To search for the shortest distance one could do a thing called *calculus of variations* or alternatively, recalling the twin paradox, recognize that the twin that experience more time is the one who stands still on the Earth. But we will try to check this out anyway. We can simplify the algebra writing the integral above as

$$\tau \equiv \int_{path} \sqrt{-f} d\lambda$$

with  $f = g_{\mu\nu} \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda}$ .

Then we add perturbations to the path, and we pick the one with the maximum proper time.

To extremize the proper time functional, we need that its variation is null. This implies that the tangent vector to the path  $\frac{dx^\mu}{d\lambda}$ , behaves consistently along the curve. This vector is normalized such that the scalar product  $f$  is constant, and the fact that parallel transport preserves the scalar product guarantees that this holds along the path.

iWe consider a *perturbation* of this trajectory such that

$$x^\mu \rightarrow x^\mu + \delta x^\mu$$

$$g_{\mu\nu} \rightarrow g_{\mu\nu} + \partial_\rho g_{\mu\nu} \delta x^\rho$$

Where the second line comes from Taylor expansion in CST, which uses partial derivative not covariant one, because we are thinking of the components of  $g_{\mu\nu}$  as functions of spacetime in some specific coordinates system. Since we modify the trajectory,  $\tau$  also changes

$$\delta\tau = \int \delta \sqrt{-f} d\lambda = \int -\frac{1}{2\sqrt{-f}} \delta f d\lambda$$

Now we switch parameter to  $\tau$  itself, this makes the four-velocity to become the tangent vector, and consequently the value of  $f$  is now fixed at

$$f = g_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} = g_{\mu\nu} U^\mu U^\nu = -1$$

and so

$$\delta\tau = -\frac{1}{2} \int \delta f d\tau$$

Stationary points of the *functional of the proper time*, ( path for which  $\delta\tau = 0$ ), are equivalent to stationary points of this simpler integral

$$I = \frac{1}{2} \int f d\tau = \frac{1}{2} \int g_{\mu\nu} \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda}$$

adding the perturbations on  $I$  gets us

$$\delta I = \frac{1}{2} \int \left( \partial_\sigma g_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} \delta x^\sigma + g_{\mu\nu} \frac{d(\delta x^\mu)}{d\tau} \frac{dx^\nu}{d\tau} + g_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{d(\delta x^\nu)}{d\tau} \right) d\tau \quad (2.66)$$

$$= \frac{1}{2} \int \left( \partial_\rho g_{\mu\nu} \delta x^\rho \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} + g_{\mu\nu} \frac{d}{d\tau} \delta(x^\mu) \frac{dx^\nu}{d\tau} + g_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{d}{d\tau} \delta(x^\nu) \right) d\tau \quad (2.67)$$

The last two terms can be integrated by parts,

$$\begin{aligned} \int g_{\mu\nu} \frac{d}{d\tau} (\delta x^\mu) \frac{dx^\nu}{d\tau} d\tau &= \text{boundary term} - \int \frac{d}{d\tau} \left( g_{\mu\nu} \frac{dx^\nu}{d\tau} \right) \delta x^\mu d\tau \\ &= - \int \left( \partial_\rho g_{\mu\nu} \frac{dx^\rho}{d\tau} \frac{dx^\nu}{d\tau} + g_{\mu\nu} \frac{d^2 x^\nu}{d\tau^2} \right) \delta x^\mu d\tau \end{aligned}$$

the boundary term vanishes because we take our variation  $\delta x^\mu$  to vanish at the endpoints of the path.

So now we can insert back what we found in eq. 2.66

$$\begin{aligned} \delta I &= \frac{1}{2} \int \partial_\rho g_{\mu\nu} \frac{dx^\nu}{d\tau} \frac{dx^\mu}{d\tau} \delta x^\rho d\tau - \frac{1}{2} \int \left( \partial_\rho g_{\mu\nu} \frac{dx^\rho}{d\tau} \frac{dx^\nu}{d\tau} + g_{\mu\nu} \frac{d^2 x^\nu}{d\tau^2} \right) \delta x^\mu d\tau + \\ &\quad - \frac{1}{2} \int \left( \partial_\rho g_{\mu\nu} \frac{dx^\rho}{d\tau} \frac{dx^\mu}{d\tau} + g_{\mu\nu} \frac{d^2 x^\mu}{d\tau^2} \right) \delta x^\nu d\tau \end{aligned}$$

We can make things easier if we use:

- $\alpha$  instead of  $\rho$  in the first term
- $\alpha$  instead of  $\mu$  in the second term
- $\alpha$  instead of  $\nu$  in the third term
- $\rho$  in second term is now  $\mu$
- $\rho$  in third term is now  $\nu$
- $\nu$  in one derivative of four-velocity is now  $\mu$

to sum up, you need index of  $\delta x$  be  $\alpha$ , and  $\mu, \nu$  on four velocities, and we get

$$\delta I = \int \left[ \frac{(\partial_\alpha g_{\mu\nu} - \partial_\mu g_{\alpha\nu} - \partial_\nu g_{\mu\alpha})}{2} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} - \frac{2}{2} g_{\mu\alpha} \frac{d^2 x^\mu}{d\tau^2} \right] \delta x^\alpha d\tau \quad (2.68)$$

Since we are searching for stationary points, we want  $\delta I$  to vanish for any variation  $\delta x^\alpha$ , this implies

$$g_{\beta\alpha} \frac{d^2 x^\beta}{d\tau^2} + \frac{1}{2} (\partial_\mu g_{\alpha\nu} + \partial_\nu g_{\alpha\mu} - \partial_\alpha g_{\mu\nu}) \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} = 0 \quad (2.69)$$

now if I multiply for the inverse metric  $g^{\rho\alpha}$  the full expression

$$\frac{d^2 x^\rho}{d\tau^2} + \frac{g^{\rho\alpha}}{2} [\partial_\mu g_{\alpha\nu} + \partial_\nu g_{\alpha\mu} - \partial_\alpha g_{\mu\nu}] \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} = 0 \quad (2.70)$$

We see that we got the *Geodesic Equation* but with a specific choice for the Christoffel connection.



**Some comments on geodesic** I can change variables, like  $\tau \rightarrow \alpha\lambda + \beta$  but the geodesic equation does not change.

The first derivation was hiding that  $\lambda$  need to be related to  $\tau$  in a linear way. Since

$$u^\mu \nabla_\mu u^\nu = 0 \text{ or } p^\mu \nabla_\mu p^\nu = 0 \quad (2.71)$$

geodesics are trajectories defined by this equation, freely falling particles move like this.<sup>8</sup>

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<sup>8</sup>Idk wtf i'm talking about here. Check urself or skip

## 2.12 Lec 13

We already tried to determine if the spacetime is curved or not, but we failed. We suggested in vain that the metric depends on the curvature and that the null connection is indicator of this. Today we will develop an actual way to determine curvature of spacetime independently on coordinates chosen.

### Quick recall on LIC

Local Inertial coordinates. Given a generic spacetime with generic coordinates system  $x^\mu$  and metric tensor  $g_{\mu\nu}$ , for a given spacetime point  $P$  we can find LIC  $x^{\hat{\mu}}$ .

So LIC at  $P$

$$g_{\hat{\mu}\hat{\nu}}(P) = \eta_{\hat{\mu}\hat{\nu}} \quad (2.72)$$

obviously this is valid only in  $P$ . Also  $\partial_{\hat{\rho}} g_{\hat{\mu}\hat{\nu}}(P) = 0$

### Exponential Map

Geodesics provide a convenient way of mapping the tangent space  $T_P$  of a point  $P$  to a region of the manifold that contains  $P$ , called the **exponential map**.

$$\exp_P : T_P \rightarrow M, \quad (2.73)$$

This map defines a set of coordinates for this region that are automatically the LIC, local inertial coordinates.

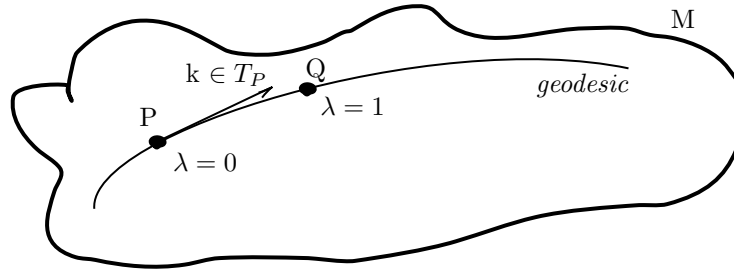
Any vector  $k \in T_P$  defines a unique geodesic passing through it, and  $k$  is the tangent vector in  $P$ , and  $\lambda(P) = 0$

$$\frac{dx^\mu}{d\lambda}(\lambda = 0) = k^\mu$$

The uniqueness is given from the fact that the geodesic equation is a second order differential equation, and specifying the initial data in the form as above determines a solution. On this specific geodesic there will be a unique point  $\in M$  for which  $\lambda = 1$ . So the exponential map at  $P$ , is a map from the tangent space to a point on the manifold, defined as

$$\exp_P(k) = x^\mu(\lambda = 1) \rightarrow Q \quad (2.74)$$

The exponential map is invertible.



### 2.12.1 Riemann Normal Coordinates

Given a generic  $g_{\mu\nu}$  and a point  $P$ , first I can find vectors  $\hat{e}_{(\hat{\mu})}$ ,<sup>9</sup> such that

$$g_{\hat{\mu}\hat{\nu}} = g(\hat{e}_{(\hat{\mu})}, \hat{e}_{(\hat{\nu})}) = \eta_{\hat{\mu}\hat{\nu}}$$

Where the  $g(, )$  denotes the metric thought as a multilinear map from  $T_P \times T_P \rightarrow \mathbb{R}$ . This is easy because starting with any set of components for  $g_{\mu\nu}$  we can always diagonalize this matrix and rescale the basis vectors to satisfy the above relation.

Now we want to find a coordinates system and to do that we will use the exponential map. Let's define coordinates

$$\begin{aligned} x_P &= 0 \\ x_Q &= x_Q^{\hat{\mu}} \hat{e}_{(\hat{\mu})} = k^{\hat{\mu}} \hat{e}_{(\hat{\mu})} \end{aligned}$$

$x_Q$  is the position vector and it is represented as a linear combination of basis vectors.

So, RNC are  $x_Q = k^{\hat{\mu}} \hat{e}_{(\hat{\mu})}$ , and a particular property is that in this coordinates system

$$x^{\hat{\mu}}(\lambda) = \lambda k^{\hat{\mu}}$$

is a geodesic, only in these coordinates.

#### More in deep explanation

Now, I understand that if this is the first time approaching this it's kinda difficult to get the point. The part that makes the exponential map useful is that it's hard to find a coordinates system  $x^{\hat{\mu}}$  for which the basis vectors  $\{\hat{e}_{(\hat{\mu})}\}$  are made of  $\hat{e}_{(\hat{\mu})} = \partial_{\hat{\mu}}$  and such that the first partial derivatives of  $g_{\hat{\mu}\hat{\nu}}$  vanish. But the exponential map achieves that automatically. For any point  $Q$  sufficiently close to  $P$ , there is a unique geodesic path connecting  $P$  to  $Q$ , and a unique parametrization  $\lambda$ . At  $P$  the tangent vector can be written as a linear combination of our basis vectors  $k = k^{\hat{\mu}} \hat{e}_{(\hat{\mu})}$ . Then we define  $x^{\hat{\mu}}$  to be these components  $x^{\hat{\mu}}(Q) = k^{\hat{\mu}}$

#### Check on RNC

We want to verify that RNC satisfy  $\partial_{\hat{\rho}} g_{\hat{\mu}\hat{\nu}}(P) = 0$ . Noting that a ray in the tangent space gets mapped to a geodesic by the exponential map, leads to see that in RNC a curve  $x^{\hat{\mu}}(\lambda)$  of the form

$$x^{\hat{\mu}}(\lambda) = \lambda k^{\hat{\mu}}$$

will solve the geodesic equation. Plugging this inside gives

$$\frac{d^2 x^{\hat{\mu}}}{d\lambda^2} + \Gamma_{\hat{\alpha}\hat{\beta}}^{\hat{\mu}} \frac{dx^{\hat{\alpha}}}{d\lambda} \frac{dx^{\hat{\beta}}}{d\lambda} = 0 \quad (2.75)$$

---

<sup>9</sup> ^ on the  $e$  means that it is a basis vector and ^ on the index is means that is of inertial coordinates.

where the second derivative is null, and the other two partial derivatives correspond to  $k^{\hat{\alpha}}, k^{\hat{\beta}}$ . So we are left with

$$\Gamma_{\hat{\alpha}\hat{\beta}}^{\hat{\mu}} k^{\hat{\alpha}} k^{\hat{\beta}} = 0, \forall k \quad (2.76)$$

and since it true for every  $k$

$$\Gamma_{\hat{\alpha}\hat{\beta}}^{\hat{\mu}} (P) = 0$$

Now we apply the metric compatibility

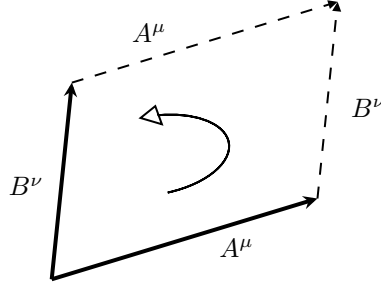
$$\nabla_{\hat{\rho}} (g_{\hat{\mu}\hat{\nu}}) = \partial_{\hat{\rho}} g_{\hat{\mu}\hat{\nu}} - \Gamma_{\hat{\rho}}^{\hat{\mu}} - \Gamma_{\hat{\rho}}^{\hat{\nu}} \quad (2.77)$$

And each term is equal to zero. RNCs makes the LICs.

### 2.12.2 Riemann Curvature Tensor

As we already discussed, parallel transport of a vector around a closed loop in a curved space will result in a different vector than the one we started with. The transformation depends on the total curvature enclosed by the loop.

Since spacetime looks flat locally, if we define a loop made by two infinitesimal vectors  $A^{\mu}$  and  $B^{\nu}$  we can perform parallel transport on a vector  $V^{\mu}$  by moving it anti-clockwise.



As we know the action of parallel transport is independent on the coordinates, so there should be a tensor that quantifies the change of the vector, so with an upper and a lower index. Depending also on vectors  $\mathbf{A}$  and  $\mathbf{B}$ , there are two additional lower indices. And this tensor must be anti-symmetric since we can walk the loop in the opposite direction, and the outcome would be the inverse. So we should get something like this

$$\delta V^{\mu} = R_{\alpha\beta\gamma}^{\mu} A^{\alpha} B^{\beta} V^{\gamma} \quad (2.78)$$

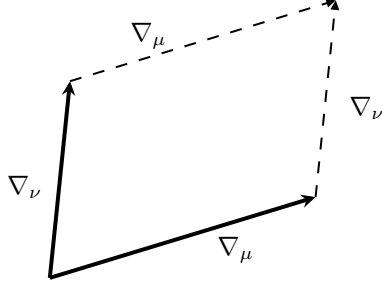
As decided above this tensor should be anti-symmetric on the *last two indices*.

$$R_{\alpha\beta\gamma}^{\mu} = -R_{\alpha\gamma\beta}^{\mu}$$

To compute what's inside the  $\mathbf{R}$  tensor is kinda difficult so we take a related operation, the commutator of two covariant derivatives.

The relation between the two is because the covariant derivative of a tensor in a certain direction measures how much the tensor changes relative to what

it would have been if it had been parallel transported, because the covariant derivative of a tensor in a direction along which is parallel transported is null. The commutator of two covariant derivatives measures the difference between parallel transporting the tensor first one way and then the other, and vice versa.



We could do the computation with a scalar but

$$[\nabla_\mu, \nabla_\nu]\phi = \nabla_\mu(\nabla_\nu\phi) - \nabla_\nu(\nabla_\mu\phi) = \partial_\mu(\partial_\nu\phi) - \partial_\nu(\partial_\mu\phi) = 0 \quad (2.79)$$

Not only is equal to zero, but it's trivially zero.

So we will use a vector

$$\begin{aligned} [\nabla_\mu, \nabla_\nu]V^\rho &= \nabla_\mu(\nabla_\nu V^\rho) - \nabla_\nu(\nabla_\mu V^\rho) \\ \text{now we take just one of the terms} \\ \nabla_\mu(\nabla_\nu V^\rho) &= \partial_\mu(\nabla_\nu V^\rho) + \Gamma_{\mu\beta}^\rho \nabla_\nu V^\beta - \Gamma_{\mu\nu}^\beta \nabla_\beta V^\rho = \\ &= \partial_\mu(\partial_\nu V^\rho + \Gamma_{\nu\alpha}^\rho V^\alpha) + \Gamma_{\mu\beta}^\rho (\partial_\nu V^\beta + \Gamma_{\nu\alpha}^\beta V^\alpha) - \Gamma_{\mu\nu}^\beta \nabla_\beta V^\rho \\ &= \partial_\mu \partial_\nu V^\rho + (\partial_\mu \Gamma_{\nu\alpha}^\rho) V^\alpha + \Gamma_{\nu\alpha}^\rho \partial_\mu V^\alpha + \Gamma_{\mu\beta}^\rho \partial_\nu V^\beta + \Gamma_{\mu\beta}^\rho \Gamma_{\nu\alpha}^\beta V^\alpha - \Gamma_{\mu\nu}^\beta \nabla_\beta V^\rho \end{aligned}$$

Now, let's look at this last row for some simplifications.

- The first term is symmetric in  $\mu, \nu$ .
- The sum of the third and the fourth is symmetric in  $\mu, \nu$  if we swap the dummy index  $\beta \rightarrow \alpha$ .
- The last term is symmetric but only with *zero torsion*,

The terms that are symmetric vanish since we are using the operator of anti-symmetry. So we are left, with

$$\begin{aligned} [\nabla_\mu, \nabla_\nu]V^\rho &= \\ &= (\partial_\mu \Gamma_{\nu\alpha}^\rho - \partial_\nu \Gamma_{\mu\alpha}^\rho) V^\alpha + \left( \Gamma_{\mu\beta}^\rho \Gamma_{\nu\alpha}^\beta - \Gamma_{\nu\beta}^\rho \Gamma_{\mu\alpha}^\beta \right) V^\alpha - (\Gamma_{\mu\nu}^\beta - \Gamma_{\nu\mu}^\beta) \nabla_\beta V^\rho \end{aligned} \quad (2.80)$$

$$(2.81)$$

This last piece has equivalent to the *torsion* that is

$$T_{\mu\nu}^\beta \nabla_\beta V^\rho$$

but since we work with torsion free connections, it's zero. We are left with

$$[\nabla_\mu, \nabla_\nu]V^\rho = [\partial_\mu \Gamma_{\nu\alpha}^\rho - \partial_\nu \Gamma_{\mu\alpha}^\rho + \Gamma_{\mu\beta}^\rho \Gamma_{\nu\alpha}^\beta - \Gamma_{\nu\beta}^\rho \Gamma_{\mu\alpha}^\beta]V^\alpha \quad (2.82)$$

And this can be written as

$$[\nabla_\mu, \nabla_\nu]V^\rho = R_{\sigma\mu\nu}^\rho V^\sigma \quad (2.83)$$

that is the Riemann Tensor.

## 2.13 Lec 14

Today we will talk about some properties of the Riemann tensor.

$$[\nabla_\mu, \nabla_\nu]V^\rho = R_{\sigma\mu\nu}^\rho V^\sigma + \text{torsion}, (\nabla_\rho V^\sigma)$$

and

$$R_{\sigma\mu\nu}^\rho = \partial_\mu \Gamma_{\nu\sigma}^\rho - \partial_\nu \Gamma_{\mu\sigma}^\rho + \Gamma_{\mu\lambda}^\rho \Gamma_{\nu\sigma}^\lambda - \Gamma_{\nu\lambda}^\rho \Gamma_{\mu\sigma}^\lambda$$

The simplest way to derive the symmetries is to examine the Riemann tensor with all lower indices.

$$R_{\rho\sigma\mu\nu} = g_{\rho\lambda} R_{\sigma\mu\nu}^\lambda$$

There are four properties that can help us reduce the number of independent entries of this tensor from a number of  $n^4$  to just 20 (in 4 dimensions spaces).

1.  $R_{\rho\sigma\mu\nu} = -R_{\rho\sigma\nu\mu}$
2. ...
3. ...
4. ...

So the Riemann tensor is anti-symmetric on the last two indices.

We now consider the components of this tensor in locally inertial coordinates  $x^{\hat{\mu}}$  at some point  $P$ . Christoffel symbols will vanish, but not their derivatives.

$$\begin{cases} g_{\hat{\mu}\hat{\nu}}(P) = \eta_{\hat{\mu}\hat{\nu}} \\ \partial_{\hat{\rho}} g_{\hat{\mu}\hat{\nu}}(P) = 0 \rightarrow \Gamma_{\hat{\mu}\hat{\nu}}^{\hat{\alpha}}(P) = 0 \end{cases} \quad (2.84)$$

We are left with

$$\begin{aligned} R_{\hat{\rho}\hat{\sigma}\hat{\mu}\hat{\nu}}(P) &= g_{\hat{\rho}\hat{\lambda}} \left( \partial_{\hat{\mu}} \Gamma_{\hat{\nu}\hat{\sigma}}^{\hat{\lambda}} - \partial_{\hat{\nu}} \Gamma_{\hat{\mu}\hat{\sigma}}^{\hat{\lambda}} \right) = \\ &= g_{\hat{\rho}\hat{\lambda}} \frac{1}{2} \partial_{\hat{\mu}} [g^{\hat{\lambda}\hat{\alpha}} (\partial_{\hat{\nu}} g_{\hat{\alpha}\hat{\sigma}} + \partial_{\hat{\sigma}} g_{\hat{\alpha}\hat{\nu}} - \partial_{\hat{\alpha}} g_{\hat{\sigma}\hat{\nu}})] - (\hat{\mu} \leftrightarrow \hat{\nu}) \\ &= \frac{g_{\hat{\rho}\hat{\lambda}}}{2} g^{\hat{\lambda}\hat{\alpha}} [\partial_{\hat{\mu}} \partial_{\hat{\nu}} g_{\hat{\alpha}\hat{\sigma}} + \partial_{\hat{\mu}} \partial_{\hat{\sigma}} g_{\hat{\alpha}\hat{\nu}} - \partial_{\hat{\mu}} \partial_{\hat{\alpha}} g_{\hat{\sigma}\hat{\nu}}] - (\hat{\mu} \leftrightarrow \hat{\nu}) \end{aligned}$$

Now as usual let's look for some simplifications:

The first term inside the square brackets is symmetric so it goes away. The product of  $g_{\hat{\rho}\hat{\lambda}} g^{\hat{\lambda}\hat{\alpha}} = \delta_{\hat{\rho}}^{\hat{\alpha}}$  so every  $\alpha$  becomes a  $\rho$ . We are left with

$$R_{\hat{\rho}\hat{\sigma}\hat{\mu}\hat{\nu}} = \frac{1}{2} [\partial_{\hat{\mu}} \partial_{\hat{\sigma}} g_{\hat{\rho}\hat{\nu}} - \partial_{\hat{\mu}} \partial_{\hat{\rho}} g_{\hat{\sigma}\hat{\nu}} - \partial_{\hat{\nu}} \partial_{\hat{\sigma}} g_{\hat{\rho}\hat{\mu}} + \partial_{\hat{\nu}} \partial_{\hat{\rho}} g_{\hat{\sigma}\hat{\mu}}] \quad (2.85)$$

By looking at it, we see that the tensor is antisymmetric on it's first two indices

$$R_{\rho\sigma\mu\nu} = -R_{\sigma\rho\mu\nu}$$

also for exercise one can see that exchanging block, the tensor is invariant under interchange of the first pair of indices with the second:

$$R_{\rho\sigma\mu\nu} = R_{\mu\nu\rho\sigma}$$

another thing that could be checked is that the complete anti-symmetrization of this tensor is null

$$R_{[\rho\sigma\mu\nu]} = 0$$

so the properties are

1.  $R_{\rho\sigma\mu\nu} = -R_{\rho\sigma\nu\mu}$
2.  $R_{\rho\sigma\mu\nu} = -R_{\sigma\rho\mu\nu}$
3.  $R_{\rho\sigma\mu\nu} = R_{\mu\nu\rho\sigma}$
4.  $R_{[\rho\sigma\mu\nu]} = 0$

Now we have to count how many independent entries we are left with.

Starting from anti-symmetry on the first two and last two indices and symmetry on the exchange of this pairs, we can think of a symmetric matrix  $R_{[\rho\sigma][\mu\nu]}$ . The pairs are thought as individual indices. An  $m \times m$  symmetric matrix has

$$\frac{m(m+1)}{2}$$

individual components, while the two anti-symmetric matrices have

$$\frac{n(n-1)}{2}$$

free components, so

$$\frac{1}{2} \left[ \frac{1}{2}n(n-1) \right] \left[ \frac{1}{2}n(n-1) + 1 \right] = \frac{1}{8} (n^4 - 2n^3 + 3n^2 - 2n)$$

Independent components, and putting  $n = 4$  we get 21 independent entries.

But.

We know that a totally antisymmetric tensor with 4 indices has

$$\frac{n(n-1)(n-2)(n-3)}{4!}$$

terms and it helps reducing the number of independent components by 1.

So, in conclusion the Riemann tensor has 20 free components.

### Bianchi Identity

It's a relation that tells us about the covariant derivative of the Riemann tensor. There is an algebraic version of the Bianchi Identity that is expressed as

$$R_{\sigma\mu\nu}^{\rho} + R_{\nu\sigma\mu}^{\rho} + R_{\mu\nu\sigma}^{\rho} = 0 \quad (2.86)$$

that states that the cyclic permutation of the lower three indices sum to zero.

There is a differential way to define the Bianchi Identity that is

$$\nabla_{[\lambda} R_{\mu\nu]\rho\sigma} = 0 \quad (2.87)$$

### Ricci tensor

It is defined as

$$R_{\mu\nu} = R_{\mu\lambda\nu}^{\lambda} \quad (2.88)$$

Is it symmetric? Yes.

$$R_{\nu\mu} = R_{\nu\lambda\mu}^{\lambda} = g^{\rho\lambda} R_{\rho\nu\lambda\mu} = g^{\rho\lambda} R_{\lambda\mu\rho\nu} = R_{\mu\lambda\nu}^{\lambda} = R_{\mu\nu}$$

The trace of the Ricci tensor is the Ricci scalar

$$R = g^{\mu\nu} R_{\mu\nu} \quad (2.89)$$



### 2.13.1 A kind of Einstein Equation

Professor gave us this equation, that is an arrival point

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = 8\pi GT_{\mu\nu} \quad (2.90)$$

as we know  $T_{\mu\nu}$  describe energy and momentum. We don't want to give up conservation of energy and momentum. We know in flat spacetime that

$$\partial_\mu T_{\mu\nu} = 0$$

and so in curved spacetime

$$\nabla_\mu T^{\mu\nu} = 0$$

Now we need a tensor in order to keep it null when we change frame. This means that also in the EE we need

$$\nabla_\mu (G_{\mu\nu}) = 0$$

where the tensor  $G_{\mu\nu}$  called Einstein tensor is defined as

$$G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R \quad (2.91)$$

let's prove this, using a particular form of the Bianchi Identity:

$$\begin{aligned} g^{\mu\lambda}g^{\nu\sigma} [\nabla_\lambda R_{\rho\sigma\mu\nu} + \nabla_\rho R_{\sigma\lambda\mu\nu} + \nabla_\sigma R_{\lambda\rho\mu\nu}] &= 0 \\ \nabla^\mu R_{\rho\mu} - \nabla_\rho R + \nabla^\mu R_{\rho\nu} &= 0 \\ \text{or } \nabla^\mu R_{\rho\mu} &= \frac{1}{2}\nabla_\rho R \end{aligned}$$

remember that on Riemann tensor you can move up only first index, and Ricci's is made from contracting the first(up) and the second lower. If you do not have the right setup to do this, you need to do some permutations, and from this come the minus sign.

## 2.14 Lec 15

### 2.14.1 Einstein Equation

Today we will derive the Einstein Equation. We will use a technique called *minimal coupling principle*. It has three steps

1. Take a physics law valid in flat spacetime (e.g. poisson equation for gravitational potential).
2. write it in a coordinates independent form ( tensorial).
3. Assume it is valid for curved spacetime.

Let's start with the first step.

We consider the motion of freely-falling particles. From cartesian coordinates,  $x^\mu$ , we have a straight line

$$\frac{d^2 x^\mu}{d\lambda^2} = 0$$

n.b. this is not a tensorial relation:  $\frac{dx^\mu}{d\lambda}$  is a well-defined vector, but the second derivative is not. Now I change coordinates, but keeping spacetime flat. This is because a law that changes with coordinates is not coordinates independent.

$$\frac{d}{d\lambda} \left( \frac{dx^\mu}{d\lambda} \right) = 0$$

this one is composed by a vector inside the brackets, while the operator outside is not a tensor.

We could use the chain rule to write

$$\frac{d^2 x^\mu}{d\lambda^2} = \frac{dx^\sigma}{d\lambda} \partial_\sigma \frac{dx^\mu}{d\lambda}$$

but the partial derivative is still a problem. Maybe we should use the covariant one. So we get

$$\frac{dx^\sigma}{d\lambda} \nabla_\sigma \left( \frac{dx^\mu}{d\lambda} \right) = \frac{dx^\sigma}{d\lambda} \left[ \partial_\sigma \left( \frac{dx^\mu}{d\lambda} \right) + \Gamma_{\sigma\alpha}^\mu \frac{dx^\alpha}{d\lambda} \right] = 0$$

in the end

$$\frac{d^2 x^\mu}{d\lambda^2} + \Gamma_{\sigma\alpha}^\mu \frac{dx^\sigma}{d\lambda} \frac{dx^\alpha}{d\lambda} = 0$$

This is the geodesic equation. In general relativity we said freely-falling particles move along geodesics. Now we have generalized a small thing to curved spacetime but it is different from saying that this describes gravity.

So, let's show how results from the Newtonian limit fit in this picture. This limit has three requirements

- slowly moving particles  $v \ll c$
- weak gravitational field, so the metric could be Minkowskian with a little perturbation

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}, |h_{\mu\nu}| \ll 1$$

- the gravitational field is static, it does not change in time (  $\partial_0 g_{\mu\nu} = 0$  )

We consider time-like geodesics, so trajectories of massive particles, so it is useful to parametrize them using the proper time  $\tau$ .

$$\frac{d^2 x^\mu}{d\tau^2} + \Gamma_{\alpha\beta}^\mu \frac{dx^\alpha}{d\tau} \frac{dx^\beta}{d\tau} = 0$$

I want to use this to describe the motion under gravitational field, so we want to recover the  $\vec{a} = -\vec{\nabla}\phi$ .  $\phi$  is hidden inside the connection, while  $\vec{a}$  is the second derivative.

Since we required slow motion

$$\frac{dt}{d\tau} \gg \frac{dx^i}{d\tau}$$

So the geodesics equation becomes

$$\frac{d^2 x^\mu}{d\tau^2} + \Gamma_{00}^\mu \frac{dx^0}{d\tau} \frac{dx^0}{d\tau} = 0 \rightarrow \frac{d^2 x^\mu}{d\tau^2} + \Gamma_{00}^\mu \left( \frac{dt}{d\tau} \right)^2 = 0 \quad (2.92)$$

In this situation I just need 4 entries for the connection, that is defined as

$$\Gamma_{\mu\nu}^\rho = \frac{1}{2} g^{\rho\sigma} [\partial_\mu g_{\sigma\nu} + \partial_\nu g_{\sigma\mu} - \partial_\sigma g_{\mu\nu}]$$

so

$$\Gamma_{00}^\mu = \frac{1}{2} g^{\mu\alpha} [\partial_0 g_{\alpha 0} + \partial_0 g_{\alpha 0} - \partial_\alpha g_{00}] \quad (2.93)$$

since the condition of a static gravitational field, the time derivatives are equal to zero. We are left with

$$\partial_\alpha g_{00} = \partial_\alpha (\eta_{00} + h_{00}) = \partial_\alpha h_{00}$$

because the Minkowskian metric tensor is constant. Now, since the metric tensor is the Minkowskian one plus a little perturbation it could be assumed directly as minkowskian, so it is a first-order approximation.

$$\Gamma_{00}^\mu = -\frac{1}{2} g^{\mu\alpha} \partial_\alpha h_{00} = -\frac{1}{2} \eta^{\mu\alpha} \partial_\alpha h_{00} = -\frac{1}{2} \partial^\mu h_{00} \quad (2.94)$$

At this point we can start to put together again the geodesic equation. We have

$$\frac{d^2 x^\mu}{d\tau^2} = \frac{1}{2} \partial^\mu h_{00} \left( \frac{dt}{d\tau} \right)^2 \quad (2.95)$$

Now let's look what happens based on which coordinates we choose.

For  $\mu = 0$  we are left with

$$\frac{d^2 t}{d\tau^2} = 0 \implies \frac{dt}{d\tau} = \text{const}$$

while for  $\mu = i$

$$\frac{d^2 x^i}{d\tau^2} \left( \frac{d\tau}{dt} \right)^2 = \frac{1}{2} \left( \frac{d\tau}{dt} \right)^2 \partial^i h_{00} \left( \frac{dt}{d\tau} \right)^2 \rightarrow \frac{d^2 x^i}{dt^2} = \frac{1}{2} \partial^i h_{00} \quad (2.96)$$

this because we divided both sides by  $\left(\frac{dt}{d\tau}\right)^2$ . As we said before the left term is exactly the acceleration

$$a^i = \frac{1}{2}\partial^i h_{00}$$

and if  $h_{00} = -2\Phi$  we get

$$\vec{a} = -\vec{\nabla}\Phi \quad (2.97)$$

Also

$$g_{00} = -(1 + 2\Phi)$$

Now, we would like to substitute the poissonian equation for Newtonian potential in the Einstein equation.

$$\nabla^2 \Phi = 4\pi G\rho$$

where  $\nabla^2 = \delta^{ij}\partial_i\partial_j = \partial_x^2 + \partial_y^2 + \partial_z^2$ .

So we have a second-order differential operator acting on the gravitational potential and on the right side a measure of the mass distribution, but we want an equation between tensors. The tensor generalization of the mass density is the energy-momentum tensor  $T_{\mu\nu}$ . The gravitational potential should get replaced by the metric tensor, because as above we need perturbed metric to reproduce gravity. So the first attempt could be like

$$\nabla^\sigma \nabla_\sigma (g_{\mu\nu}) = kT_{\mu\nu}$$

but it won't work because of metric compatibility  $\nabla_\sigma (g_{\mu\nu}) = 0$ .

There is a quantity that is constructed from second derivative of the metric that is the Riemann tensor, but since it has too many indices we will resort to the Ricci tensor  $R_{\mu\nu}$ . So it could be something like

$$R_{\mu\nu} = kT_{\mu\nu}$$

with some constant  $k$ . But there is a problem, with the conservation of energy. We like that

$$\nabla^\mu T_{\mu\nu} = 0$$

is true, this implies

$$\nabla^\mu R_{\mu\nu} = 0 \text{ BUT actually } \nabla^\mu R_{\mu\nu} = \frac{1}{2}\nabla_\nu R$$

That's an impasse. We will try to work it out writing the Bianchi Identity

$$g^{\nu\sigma}g^{\lambda\mu}[\nabla_\lambda R_{\rho\sigma\nu\mu} + \nabla_\rho R_{\sigma\lambda\mu\nu} + \nabla_\sigma R_{\lambda\rho\mu\nu}] = 0$$

$$\nabla^\mu R_{\mu\rho} - \nabla_\rho R + \nabla^\nu R_{\rho\nu} = 0$$

$$2\nabla^\mu R_{\mu\rho} = \nabla_\rho R \rightarrow \nabla^\mu R_{\mu\rho} = \frac{1}{2}\nabla_\rho R$$

$$\nabla^\mu R_{\mu\rho} - \frac{1}{2}\nabla_\rho R = 0$$

$$\nabla^\mu R_{\mu\rho} - \frac{1}{2}g_{\mu\rho}\nabla^\mu R = 0$$

$$\nabla^\mu \left( R_{\mu\rho} - \frac{1}{2}g_{\mu\rho}R \right) = 0$$

This is what we were looking for: a combination of Ricci tensor and scalar that has vanishing divergence!

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = kT_{\mu\nu} \quad (2.98)$$

It seems we are good about the left side, we still are considering something that is slow moving in a weak gravitational field. We want to see if it works in this setup, so we need to choose  $T_{\mu\nu}$ .

$$T_{\mu\nu} = \rho u_\mu u_\nu$$

with  $\rho$  is the rest frame energy,  $u^\mu$  is the fluid four-velocity, where the fluid is a massive body such as the Earth. In flat spacetime:  $u^\mu = (1, 0, 0, 0)$ .

In curved spacetime:  $u^\mu = (u^0, 0, 0, 0)$ . The time component can be fixed resorting to  $g_{\mu\nu}u^\mu u^\nu = -1$ . So with  $g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$  and  $g^{\mu\nu} = \eta^{\mu\nu} - h^{\mu\nu}$  we can get

$$\begin{aligned} g_{00} &= -1 + h_{00} \\ g^{00} &= -1 - h_{00} \end{aligned}$$

So in first order in  $h_{\mu\nu}$

$$u^0 = 1 + \frac{1}{2}h_{00}^{10} \quad (2.99)$$

This means that

$$T_{00} = \rho u_0 u_0 \approx \rho(1 + h_{00} + \dots) \quad (2.100)$$

Starting from the Ricci tensor we can see what happens to the Riemann tensor with 00 components

$$\begin{aligned} R_{00} &= R_{0i0}^{\text{roman indices since they need to be different from 0}} \\ R_{0j0}^i &= \partial_j \Gamma_{00}^i - \partial_0 \Gamma_{j0}^i + \Gamma_{j\lambda}^i \Gamma_{00}^\lambda - \Gamma_{0\lambda}^i \Gamma_{j0}^\lambda \\ R_{0j0}^i &= \partial_j \Gamma_{00}^i = \partial_j \left[ \frac{1}{2} g^{ik} (\partial_0 g_{k0} + \partial_0 g_{k0} - \partial_k g_{00}) \right] = \\ &= \frac{1}{2} \partial_j k^{ik} (-\partial_k k_{00}) = -\frac{1}{2} \partial_j \partial^i h_{00} \end{aligned}$$

in the eq.2.14.1, the time derivative is null, since we assumed static gravitational field, while the third and fourth term are in the second order in the metric perturbation, so they can be neglected.

Now we would like to try to contract the proposed equation

$$\begin{aligned} R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R &= kT_{\mu\nu} \\ R - \frac{1}{2}g^{\mu\nu}g_{\mu\nu}R &= kg^{\mu\nu}T_{\mu\nu} \\ R = -kT &\rightarrow R_{\mu\nu} = kT_{\mu\nu} - \frac{1}{2}kg_{\mu\nu}T = k \left( T_{\mu\nu} - \frac{1}{2}g_{\mu\nu}T \right) \end{aligned}$$

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$$^{10}g_{00}u^0u^0 = -1 \rightarrow u^0u^0 = -1/g_{00} = -1/(-1 + h_{00}) = 1 + h_{00} \rightarrow u^0 = \sqrt{1 + h_{00}} \approx 1 + h_{00}/2$$

So the 00 component of the Ricci tensor is

$$R_{00} = -\frac{1}{2}\nabla^2 h_{00} \quad (2.101)$$

for the energy-momentum tensor

$$T_{00} = \rho \quad (2.102)$$

it's trace

$$T = g^{\mu\nu} (\rho u_\mu u_\nu) = -\rho \quad (2.103)$$

Regarding the second covariant derivative of  $h_{00}$ , since we have

$$G_{\mu\nu} = kT_{\mu\nu}$$

and eq.2.101, we get

$$\nabla^2 h_{00} = -k\rho \quad (2.104)$$

We also set before  $h_{00} = -2\Phi$ , this means that  $k = (\pi G)$ .

In conclusion we got the Einstein Equation for general relativity

$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = 8\pi GT_{\mu\nu} \quad (2.105)$$

This equation is non-linear.

# Chapter 3

## Black Holes

### 3.1 Lec 16

#### 3.1.1 Scharzschild's Metric

The most obvious application of a theory of gravity is the case of spherical symmetry, that is like the one of the Earth or the Sun. We will start with a solution of the vacuum outside them, because is both easier and more useful. A specific solution of the Einstein Equation is the Schwarzschild Solution, for a static (components of  $g_{\mu\nu}$  do not depend on time) spacetime with ( $S^2$ ) symmetry.

There is more than one way to derive this solution, we will use the most boring one:

1. *Guess* a generic form for  $g_{\mu\nu}$
2. Compute  $\Gamma_{\alpha\beta}^\mu$ ,  $R_{\beta\gamma\delta}^\alpha$ ,  $R_{\alpha\beta}$
3. Solve  $R_{\mu\nu} = 0$ <sup>1</sup>, since the space outside the sun is empty space, vacuum.

Let's start from the **guess** step. We want to *guess* the metric in spherical coordinates

$$ds^2 = -A(r) dt^2 + B(r) dr^2 + C(r) r^2 d\Omega^2 \quad (3.1)$$

where  $d\Omega^2$  is the solid angle in the metric on a  $S^2$  sphere.

$$d\Omega^2 = d\theta^2 + \sin^2\theta d\phi^2$$

Since we are almost in the vacuum, we can start in fact from the Minkowski metric in polar coordinates:  $ds^2 = -dt^2 + dr^2 + r^2 d\Omega^2$ . Now we would like simplify a little eq.3.1:

- $r^2 C(r) \rightarrow r^2$ , this is a change of coordinates, nothing to do with spherical symmetry, but now we have to rescale  $A(r), B(r)$
- $A \equiv e^{2\alpha(r)}$
- $B \equiv e^{2\beta(r)}$

---

<sup>1</sup>Ricci tensor equal to zero means that the spacetime is locally flat in terms of volume deformation or contraction effects. It does not mean that spacetime is entirely flat.

. With this, the most general guess is:

$$ds^2 = -e^{2\alpha(r)} dt^2 + e^{2\beta(r)} dr^2 + r^2 d\Omega^2 \quad (3.2)$$

We have now two unknown functions  $\alpha, \beta$  of the radial coordinate, not time because of staticness, not angle because of isotropy.

Now it's time to **compute the metric tensor, Christoffel symbols and Riemann tensor**.

### Metric

$$\begin{aligned} g_{tt} &= -e^{2\alpha(r)} & g_{rr} &= e^{2\beta(r)} & g_{\theta\theta} &= r^2 & g_{\phi\phi} &= r^2 \sin^2 \theta \\ g^{tt} &= -e^{-2\alpha(r)} & g^{rr} &= e^{-2\beta(r)} & g^{\theta\theta} &= \frac{1}{r^2} & g^{\phi\phi} &= \frac{1}{r^2 \sin^2 \theta} \end{aligned} \quad (3.3)$$

other entries are null.

**Christoffel Symbols** In most generic spacetimes there are 40 independent Christoffel coefficients. As you may remember the Christoffel connection is defined as

$$\Gamma_{\mu\nu}^\rho = \frac{1}{2} g^{\rho\sigma} [\partial_\mu g_{\sigma\nu} + \partial_\nu g_{\sigma\mu} - \partial_\sigma g_{\mu\nu}] \quad (3.4)$$

if  $\rho = t$ :

$$\Gamma_{\mu\nu}^t = \frac{1}{2} g^{t\sigma} [\partial_\mu g_{\sigma\nu} + \partial_\nu g_{\sigma\mu} - \partial_\sigma g_{\mu\nu}] \quad (3.5)$$

from this I get contribution only for  $\sigma = t$ , because of how is defined the metric.

$$(\sigma = t) \rightarrow \Gamma_{\mu\nu}^t = \frac{1}{2} g^{tt} [\partial_\mu g_{t\nu} + \partial_\nu g_{t\mu} - \partial_t g_{\mu\nu}] \quad (3.6)$$

At this point, one immediately see that the last derivative is null since staticness.

If  $\mu, \nu \neq t \rightarrow 0$  since components of the metric tensor with mixed indices are null.

$$\Gamma_{tr}^t = \frac{1}{2} g^{tt} \partial_r g_{tt} = \frac{1}{2} (-e^{-2\alpha(r)}) (-2\alpha' e^{+2\alpha(r)}) = \alpha' \rightarrow \Gamma_{tr}^t = \alpha' \quad (3.7)$$

Now for  $\rho = r$ , so  $\sigma$  must be  $r$ , too:

$$\Gamma_{\mu\nu}^r = \frac{1}{2} g^{rr} [\partial_\mu g_{r\nu} + \partial_\nu g_{r\mu} - \partial_r g_{\mu\nu}] \quad (3.8)$$

if also  $\mu, \nu = r$

$$\Gamma_{rr}^r = \frac{1}{2} g^{rr} [\partial_r g_{rr} + \partial_r g_{rr} - \partial_r g_{rr}] = \frac{1}{2} g^{rr} \partial_r g_{rr} = \beta' \rightarrow \Gamma_{rr}^r = \beta' \quad (3.9)$$

instead, if  $\mu, \nu = t$ :

$$\Gamma_{tt}^r = \frac{1}{2} g^{rr} (\partial_t g_{rt} + \partial_t g_{rt} - \partial_r g_{tt}) = -\frac{1}{2} g^{rr} \partial_r g_{tt} = \frac{1}{2} e^{-2\beta} e^{2\alpha} 2\alpha' = \alpha' e^{2(\alpha-\beta)} \quad (3.10)$$

The remaining components are given but it would be interesting retrieving them by yourself, since professor said that he could ask to compute one component of the  $\Gamma$  at the exam.

$$\begin{aligned} \Gamma_{\theta\theta}^r &= -r e^{-2\beta} & \Gamma_{\phi\phi}^r &= -r \sin^2 \theta e^{-2\beta} & \Gamma_{r\theta}^\theta &= \frac{1}{r} \\ \Gamma_{\phi\phi}^\theta &= -\sin \theta \cos \theta & \Gamma_{r\phi}^\phi &= \frac{1}{r} & \Gamma_{\theta\phi}^\phi &= \frac{\cos \theta}{\sin \theta}, \end{aligned} \quad (3.11)$$



**Riemann tensor** As we know it is defined as

$$R_{\sigma\mu\nu}^{\rho} = \partial_{\mu}\Gamma_{\nu\sigma}^{\rho} - \partial_{\nu}\Gamma_{\mu\sigma}^{\rho} + \Gamma_{\mu\lambda}^{\rho}\Gamma_{\nu\sigma}^{\lambda} - \Gamma_{\nu\lambda}^{\rho}\Gamma_{\mu\sigma}^{\lambda}$$

And since we know all the  $\Gamma$ s we should know every Riemann tensor component. Eventually we care about  $R_{\mu\nu} = 0$ , so we will impose

$$R_{\alpha\alpha} = 0, \alpha \text{ fixed} \rightarrow R_{\alpha\beta\alpha}^{\beta}$$

- $R_{rtr}^t = -\alpha'' - \alpha'^2 + \alpha'\beta'$
- $R_{\theta t\theta}^t = -r\alpha'e^{-2\beta}$
- $R_{\phi t\phi}^t = -r\alpha'\sin^2\theta e^{-2\beta}$
- $R_{\theta r\theta}^r = r\beta'e^{-2\beta}$
- $R_{\phi r\phi}^r = r\beta'\sin^2\theta e^{-2\beta}$
- $R_{\phi\theta\phi}^{\theta} = (1 - e^{-2\beta})\sin^2\theta$

How to compute the others?

$$R_{trt}^r = g^{rr}R_{trtr} = g^{rr}R_{trtr} = g^{rr}g_{tt}T_{trt}^t \quad (3.12)$$

So we can reconstruct them from elements we already have.

**Ricci tensor** We want  $R_{\alpha\alpha} = 0$ . Let's see:

$$R_{tt} = R_{trt}^r + R_{t\theta t}^{\theta} + R_{t\phi t}^{\phi} = e^{2(\alpha-\beta)} \left[ \alpha'' + \alpha'^2 - \alpha'\beta' + \frac{2\alpha'}{r} \right] \quad (3.13)$$

$$R_{\theta\theta} = [(\beta' - \alpha')r - 1]e^{-2\beta} + 1 \quad (3.14)$$

$$R_{rr} = -\alpha'' - \alpha'^2 + \alpha'\beta' + 2\frac{\beta'}{r} \quad (3.15)$$

$$R_{\phi\phi} = R_{\theta\theta}\sin^2\theta \quad (3.16)$$

They are individually equal to zero.

I will use some of these Ricci tensor components to retrieve some informations about  $\alpha, \beta$ .

$$\begin{aligned} e^{2(\beta-\alpha)}R_{tt} + R_{rr} &= 0 \\ \frac{2}{r}(\alpha' + \beta') &= 0, r \neq 0 \\ \alpha' + \beta' &= 0 \rightarrow \alpha(r) + \beta(r) = \text{const} \end{aligned}$$

it is possible to choose the time coordinates  $t$  such that the constant is null.

Now we can do some restrictions on the metric

$$\begin{cases} ds^2 = -e^{2\alpha(r)}dt^2 + e^{2\beta(r)}dr^2 + r^2d\Omega^2 \\ \alpha(r) + \beta(r) = \gamma \rightarrow \text{constant} \end{cases} \quad (3.17)$$

this allows us to write

$$ds^2 = -e^{2(\gamma-\beta(r))} dt^2 + e^{2\beta(r)} dr^2 + r^2 d\Omega^2 = \quad (3.18)$$

$$= -e^{-2\beta(r)} (e^\gamma dt)^2 + e^{2\beta(r)} dr^2 + r^2 d\Omega^2 \quad (3.19)$$

we will operate this change of variables  $dt' = e^\gamma dt$ .

Now I can set  $\gamma = 0$  and trying to find the solution for  $\alpha(r)$ .

$$\alpha(r) = -\beta(r)$$

I use  $R_{\theta\theta} = 0$  to find the missing function, solving for  $\alpha$ :

$$[(-2\alpha')r - 1] e^{2\alpha} + 1 = 0$$

$$\frac{\partial}{\partial r} (r e^{2\alpha}) = +1$$

this is a differential equation

$$r e^{2\alpha}(r) = r + D, \text{ D is a constant}$$

$$e^{2\alpha(r)} = 1 + \frac{D}{r}$$

I could call  $D$  radius, and introduce a new variable  $R_S = -D$

$$ds^2 = -\left(1 - \frac{R_S}{r}\right) dt^2 + \left(1 - \frac{R_S}{r}\right)^{-1} dr^2 + r^2 d\Omega^2 \quad (3.20)$$

What is  $R_S$ ? And what is his physical meaning? Newtonian gravitation helps us. This one is the most general solution of spherical symmetry and it has to reproduce something we already know.

In the weak field regime we discussed how

$$\begin{cases} g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu} \\ h_{00} = -2\Phi \end{cases} \quad (3.21)$$

with  $\Phi$  gravitational potential.

$$\Phi = -\frac{GM}{r}$$

so by comparison

$$R_S = 2GM$$

We need to adjust some dimensions still

$$[G] [M] = [F] [L^2] [M^{-2}] [M] = [L] [T^{-2}] [L^2] = [L^3] [T^{-2}]$$

so if we divide for velocity squared we get a distance. This means

$$R_S = \frac{2GM}{c^2}$$

Now if one plugs in the values of the masses of Sun and Earth, he can find the relative  $R_S$ , that is something like 3km and 9mm. This is where the metric blows up.

**Singularities** The metric blows up for  $r = (R_S, 0)$ , but this shouldn't be a problem since the metric previously derived is valid well outside the Sun. It could be a problem if the object is bigger or if the radius of the object is smaller than the Schwarzschild radius,

What is a singularity? Be in polar coordinates in the plane

$$g^{\theta\theta} = \frac{1}{r^2}$$

the only issue here is with the coordinates chosen, not with the space itself. But if I focus on *scalars*, and I find one that blows up, then I have a singularity. Like

$$R^{\mu\nu\rho\sigma}R_{\mu\nu\rho\sigma} = 48\frac{G^2M^2}{r^6}$$

we see that it blows up at  $r = 0$  but not at  $r = R_S$

## 3.2 Lec 17

### 3.2.1 Geodesic of Schwarzschild

We derived the metric

$$ds^2 = - \left(1 - \frac{2GM}{r}\right) dt^2 + \left(1 - \frac{2GM}{r}\right)^{-1} dr^2 + r^2 d\Omega^2$$

now, the geodesic for test particles is

$$\frac{d^2 x^\mu}{d\lambda^2} + \Gamma_{\alpha\beta}^\mu \frac{dx^\alpha}{d\lambda} \frac{dx^\beta}{d\lambda} = 0$$

In the Newtonian limit there are two quantities that are the *effective potential*, that combines multiple effect into a single potential. It is defined as

$$V_{eff}(r) = \frac{L^2}{2mr^2} - \frac{GMm}{r}$$

where  $L$  is the angular momentum,  $r$  is the distance between the two masses,  $m$  is the mass of the orbiting body and the second term is the potential. There is the *energy*,  $E$

$$E = \frac{1}{2} m \dot{r}^2 + V_{eff}(r)$$

we said about geodesic that they're a trajectory that parallel transport the momentum vector

$$p^\nu \nabla_\nu p^\mu = 0$$

lower one index, so

$$p^\nu \nabla_\nu g^{\mu\alpha} p_\alpha = 0$$

this is null also because of metric compatibility. I think this means that I can bring in the metric without spoiling the result. We remember that  $p^\nu \equiv \frac{dx^\nu}{d\lambda}$ . The equation will go in this way

$$\begin{aligned} p^\nu \nabla_\nu p_\mu &= 0 \\ p^\nu [\partial_\nu p_\mu - \Gamma_{\nu\mu}^\sigma p_\sigma] &= 0 \\ \frac{dx^\nu}{d\lambda} \partial_\nu p_\mu &= \Gamma_{\nu\mu}^\sigma p^\nu p_\sigma \\ \frac{dx^\nu}{d\lambda} \frac{d}{dx^\nu} p_\mu &= \Gamma_{\nu\mu}^\sigma p^\nu p_\sigma \\ \frac{dp_\mu}{d\lambda} &= \Gamma_{\nu\mu}^\sigma p_\sigma p^\nu \\ \frac{dp_\mu}{d\lambda} &= \frac{1}{2} g^{\sigma\rho} [\partial_\nu g_{\rho\mu} + \partial_\mu g_{\rho\nu} - \partial_\rho g_{\mu\nu}] p_\sigma p^\nu \\ &= \frac{1}{2} p^\nu p^\rho [\partial_\mu g_{\rho\nu}] \\ \frac{dp_\mu}{d\lambda} &= \frac{1}{2} \partial_\mu (g_{\rho\nu}) p^\rho p^\nu \end{aligned} \tag{3.22}$$

$$\tag{3.23}$$

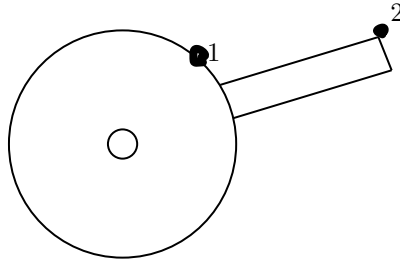
What does it mean? Imagine there is a coordinate that does not appear in  $g_{\mu\nu}$ . In fact looking at the expression of the metric at the start of the lecture, the metric does not explicitly depend on  $t$ . This corresponds to the stationarity of the Schwarzschild spacetime. The conserved quantity associated with this symmetry is the particle's energy  $E$  related to  $p_t$ .

Same for the azimuthal angle  $\phi$ , the metric does not explicitly depend on it. It corresponds to the spherical symmetry of this spacetime, invariance under rotation around the central mass. The conserved quantity associated with this symmetry is  $p_\phi$ .

Still because of spherical symmetry we can focus on trajectories such that  $\theta = \frac{\pi}{2}$ , without loss of generality.

### Gravitational Redshift

We put ourselves on Earth, far away from  $R_S$ .



We emit a photon from the bottom to the top of the tower, of frequency  $\nu$ , we will find the relation between  $\omega_1, \omega_2$ .

This is a radial trajectory, this means that  $d\phi = 0$ . Now we will unravel the conserved quantity  $p_t$

$$p_t = g_{t\alpha} p^\alpha = g_{tt} p^t$$

$$p_t = - \left( 1 - \frac{2GM}{r} \right) \frac{dt}{d\lambda} = \text{const} = \alpha$$

Now the goal is to find what  $\omega_1$  is. I am sitting on 1. I want to achieve the first frequency  $\omega_1$ . In LIC we have

$$u^{\hat{\mu}} = (1, 0, 0, 0)$$

$$p^{\hat{\mu}} = (\omega, \omega \hat{n})$$

The angular frequency is given by

$$\omega = -u^{\hat{\mu}} p_{\hat{\mu}}$$

but this has to be true in every coordinate system so

$$\omega = -u^\mu p_\mu$$

Now, what we want is to know what is the angular frequency observed by observer. He has four velocity

$$u^\mu = (1, 0, 0, 0)$$

and we know that

$$u^\mu u_\mu = -1$$

since almost all the components are null we get the same writing

$$g_{00}u^0u^0 = -1$$

and this leads us to find

$$\omega = -g_{00}u^0p^0 = \left(1 - \frac{2GM}{r}\right) \left(1 - \frac{2GM}{r}\right)^{-\frac{1}{2}} \frac{dt}{d\lambda} = \alpha \left(1 - \frac{2GM}{r}\right)^{-\frac{1}{2}}$$

so, as the photon moves radially, its energy will change.

$$\frac{\omega_2}{\omega_1} = \frac{\left(1 - \frac{2GM}{r_1}\right)^{\frac{1}{2}}}{\left(1 - \frac{2GM}{r_2}\right)^{\frac{1}{2}}} \approx 1 - \frac{GM}{r_1} + \frac{GM}{r_2} = 1 - \Delta\phi \quad (3.24)$$

or

$$\frac{\Delta\omega}{\omega} = -\Delta\phi$$

where this  $\phi$  is the gravitational potential, not a coordinate.

### Planets

Most of the experimental tests of GR involve the motion of test particles in the solar system, Einstein suggested three tests two of which are the gravitational redshift, we talked about it above and the precession of perihelia. Now we will study the latter. To start we need to understand how the motion in the plane of the ecliptic works and in particular how to describe orbits in this particular metric, the Schwarzschild one.

Since the orbits are elliptical we need to express the radius  $r$  in terms of the azimuthal angle  $\phi$ .

We shall start from two conserved quantities along the geodesics we mentioned before,  $p_t = -E, p_\phi = L$ .

$$p_t = g_{tt}p^t = -\left(1 - \frac{2GM}{r}\right) \frac{dt}{d\lambda} = -E$$

and

$$p_\phi = g_{\phi\phi}p^\phi = r^2 \sin^2\theta \frac{d\phi}{d\lambda} = r^2 \frac{d\phi}{d\lambda} = L$$

both quantities are expressed *per unit mass*.

We know that the four velocity satisfies the normalization condition

$$g_{\mu\nu}u^\mu u^\nu = -\epsilon_r$$

where  $\epsilon_r$  is a place-holder that

$$\epsilon_r = \begin{cases} 0 & \text{if massless particle} \\ +1 & \text{if massive particle} \end{cases}$$

Now we apply the normalization in the Schwarzschild metric so

$$g_{tt} \left( \frac{dt}{d\lambda} \right)^2 + g_{rr} \left( \frac{dr}{d\lambda} \right)^2 + g_{\phi\phi} \left( \frac{d\phi}{d\lambda} \right)^2 = -\epsilon_r \quad (3.25)$$

by substituting the conserved quantities and their friends we got

$$- \left( 1 - \frac{2GM}{r} \right) \frac{E^2}{\left( 1 - \frac{2GM}{r} \right)^2} + \frac{1}{\left( 1 - \frac{2GM}{r} \right)} \left( \frac{dr}{d\lambda} \right)^2 + \frac{r^2 L^2}{r^4} = -\epsilon_r \quad (3.26)$$

with some manipulation,

$$\frac{1}{2} E^2 = \frac{1}{2} \left( \frac{dr}{d\lambda} \right)^2 + \left( 1 - \frac{2GM}{r} \right) \left( \frac{\epsilon}{2} + \frac{L^2}{2r^2} \right) \quad (3.27)$$

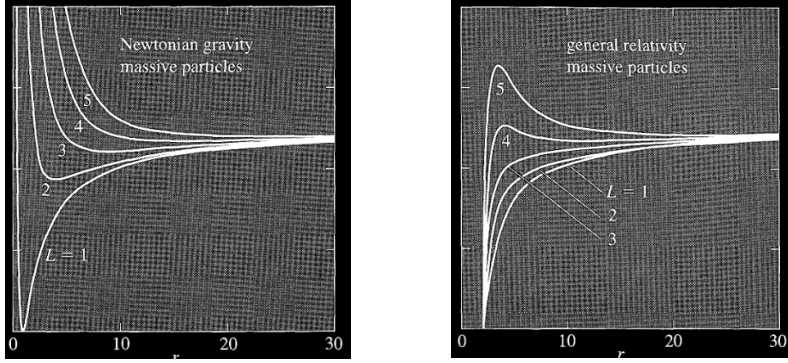
the second term of the right-hand side is  $V_{eff}(r)$ , the effective potential that holds effects of gravity and angular momentum, while the first term on the right is the radial kinetic energy.

$$\frac{1}{2} \left( \frac{dr}{d\lambda} \right)^2 + V_{eff}(r) = \frac{1}{2} E^2 = \mathcal{E} \quad (3.28)$$

Let's focus on this potential

$$V_{eff}(r) = \frac{\epsilon}{2} + \frac{L^2}{2r^2} - \frac{\epsilon GM}{r} - \frac{GML^2}{r^3} \quad (3.29)$$

the first term is constant, the second is centrifugal, the third is newtonian and the fourth is GR's son.



Effective potential in Newtonian (left) and in general relativity (right). GM is set equal to 1. On the vertical axis  $V(r)$ , on the horizontal one  $r$ . For Newtonian, for large enough energy every orbit reaches a turning point and returns to infinity. In GR there is an innermost circular orbit  $\geq 3GM$ , and any orbit that falls inside this radius continues to  $r = 0$ .

In fig. 3.2.1 we see different curves for different values of  $L$ . For all of this curves, the behaviour of the orbit of the particle will be to move in the potential until it reaches a *turning point* where  $V(r) = \mathcal{E}$ , when it will begin to move in the other direction. Sometimes there is no turning point to hit, so the particle

will keep going. In other cases the particle may simply move in a circular orbit at radius  $r_c = \text{const.}$  This happens where the potential is flat

$$\frac{dV}{dr} = 0$$

Differentiating eq.6.7 we find that this kind of orbit happens when

$$\epsilon G M r_c^2 - L^2 r_c + 3 G M L^2 \gamma = 0$$

with

$$\gamma = \begin{cases} 0 & \text{for Newtonian gravity} \\ 1 & \text{for GR} \end{cases}$$

Circular orbits to be stable need to correspond to a minimum of the potential, viceversa unstable if they correspond to a maximum.

The critical radius  $r_c$  for a massive particle is

$$r_c = \frac{L^2 \pm \sqrt{L^4 - 12 G^2 M^2 L^2}}{2 G M} \quad (3.30)$$

For large angular momenta  $L$ , there will be two circular orbits, one stable and one unstable. In the  $L \rightarrow \infty$  limit their radii will be

$$r_c = \left( \frac{L^2}{G M}, 3 G M \right) \quad (3.31)$$

the second solution is the solution for a circular orbit for a massless particle.

With decreasing  $L$  the two solutions come closer together, and coincide when

$$L = \sqrt{12} G M \text{ for which } r_c = 6 G M$$

So this  $r_c$  is the smallest possible radius for a stable circular orbit in this metric.

### Precession of Mercury's perihelium

With this we mean the rotation of the perihelium of the orbit of Mercury. It is measured as

$$\Delta\phi = \frac{43''}{\text{century}}$$

where  $\phi$  is still the azimuthal angle.

This phenomenon reflects the fact that noncircular orbits in GR are not perfectly closed ellipses. We will try to derive it on our own. The strategy is to describe the evolution of the radial coordinate  $r$  as function of  $\phi$ .

We already talked about the fact that for a massive particle (e.g. Mercury), the symmetries of this spacetime, the Schwarzschild one, with metric

$$ds^2 = - \left( 1 - \frac{2 G M}{r} \right) dt^2 + \left( 1 - \frac{2 G M}{r} \right)^{-1} + r^2 d\Omega^2$$

lead to two conserved quantities, the momentum

$$p_\phi = L = g_{\phi\phi} \frac{d\phi}{d\lambda} = r^2 \frac{d\phi}{d\lambda} \quad (3.32)$$



and the energy per unit mass

$$p_t = -E = g_{tt} \frac{dt}{d\lambda} = - \left( 1 - \frac{2GM}{r} \right) \frac{dt}{d\lambda} \quad (3.33)$$

. The normalization condition for the four-velocity rewritten with the values from the two above leads to

$$\frac{1}{2} \left( \frac{dr}{d\lambda} \right)^2 + V_{eff}(r) = \mathcal{E} \quad (3.34)$$

As said before, we would like to express the radius in function of  $\phi$ , like  $\frac{dr}{d\phi}$ , so we can rewrite

$$\left( \frac{dr}{d\lambda} \right)^2 = \left( \frac{dr}{d\phi} \frac{d\phi}{d\lambda} \right)^2 = \left( \frac{dr}{d\phi} \right)^2 \frac{L^2}{r^4} \quad (3.35)$$

this allow us to write 3.34 as

$$\frac{1}{2} \left( \frac{dr}{d\phi} \right)^2 \frac{L^2}{r^4} + V_{eff}(r) = \mathcal{E} \quad (3.36)$$

$$\left( \frac{dr}{d\phi} \right)^2 + \frac{2r^4}{L^2} V_{eff}(r) = \frac{2r^4}{L^2} \mathcal{E} \quad (3.37)$$

We found a differential equation for  $r(\phi)$ , we will get to  $\Delta\phi$ .

### 3.3 Lec 18

#### 3.3.1 Still about precession of perihelia

Last lecture we introduced the topic, dealing with conserved quantities along geodesics and expressing the radius of the orbit as  $r(\phi)$ .

We will introduce a new variable:

$$x \equiv \frac{L^2}{GM r} \propto \frac{1}{r}$$

that makes

$$\frac{dr}{d\phi} = \frac{d}{d\phi} \left( \frac{L^2}{GM x} \right) = \frac{L^2}{GM} \left( -\frac{1}{x^2} \right) \frac{dx}{d\phi}$$

and

$$\begin{aligned} \left( \frac{dr}{d\phi} \right)^2 &= \frac{L^4}{G^2 M^2} \frac{1}{x^4} \left( \frac{dx}{d\phi} \right)^2 = \\ &= \frac{L^4}{G^2 M^2} \frac{G^4 M^4}{L^8} r^4 \left( \frac{dx}{d\phi} \right)^2 \\ \frac{1}{r^4} \left( \frac{dr}{d\phi} \right)^2 &= \frac{G^2 M^2}{L^4} \left( \frac{dx}{d\phi} \right)^2 \end{aligned} \quad (3.38)$$

putting eq.3.38 in eq.3.36 yields:

$$\frac{1}{2} \frac{G^2 M^2}{L^2} \left( \frac{dx}{d\phi} \right)^2 + V_{eff} \left( \frac{L^2}{GM x} \right) = \mathcal{E} \quad (3.39)$$

I want to express  $V_{eff}(x)$ , so

$$\begin{aligned} V_{eff} \left( \frac{L^2}{GM x} \right) &= \frac{1}{2} - \frac{G^2 M^2 x}{L^2} + \frac{1}{2} \frac{G^2 M^2 x^2}{L^2} - G M L^2 \left( \frac{GM x}{L^2} \right)^3 \\ V_{eff}(x) &= \frac{1}{2} - \left( \frac{GM}{L} \right)^2 x + \frac{1}{2} \left( \frac{GM}{L} \right)^2 x^2 - \left( \frac{GM}{L} \right)^4 x^3 \\ \left( \frac{L}{GM} \right)^2 V_{eff}(x) &= \frac{1}{2} \left( \frac{L}{GM} \right)^2 - x + \frac{x^2}{2} - \left( \frac{GM}{L} \right)^2 x^3 \end{aligned} \quad (3.40)$$

This is useful since we can plug this in the equation for conservation of energy, 3.39:

$$\begin{aligned} \frac{1}{2} \left( \frac{dx}{d\phi} \right)^2 + \left( \frac{L}{GM} \right)^2 V_{eff}(x) &= \left( \frac{L}{GM} \right)^2 \mathcal{E} \\ \frac{1}{2} \left( \frac{dx}{d\phi} \right)^2 + \frac{1}{2} \left( \frac{L}{GM} \right)^2 - x + \frac{1}{2} x^2 - \left( \frac{GM}{L} \right)^2 x^3 &= const \\ \rightarrow \frac{dx}{d\phi} \frac{d^2 x}{d\phi^2} - \frac{dx}{d\phi} + \frac{2}{2} x \frac{dx}{d\phi} - 3 \left( \frac{GM}{L} \right)^2 x^2 \frac{dx}{d\phi} &= 0 \\ \frac{d^2 x}{d\phi^2} &= 1 - x + 3 \left( \frac{GM}{L} \right)^2 x^2 \end{aligned} \quad (3.41)$$

This last one, eq.3.41 is a differential equation that describes the orbit of planets. The one from Newtonian approach is

$$\frac{d^2 x_0}{d\phi^2} = 1 - x_0$$

with  $x_0 = 1 + e\cos\phi$ , and so  $r_0 = \frac{L^2/GM}{1+e\cos\phi}$ .

We will treat the term that does not appear in the newtonian one as perturbation.

We can expand our variable  $x$  into the Newtonian solution plus a small correction

$$x = x_0 + x_1 \quad (3.42)$$

with  $x_0 \gg x_1$  and we plug it into eq.3.41, that becomes

$$\begin{aligned} \frac{d^2 x_0}{d\phi^2} + \frac{d^2 x_1}{d\phi^2} &= 1 - x_0 - x_1 + 3 \left( \frac{GM}{L} \right)^2 (x_0 + x_1)^2 \\ \frac{d^2 x_1}{d\phi^2} &\approx -x_1 + 3 \left( \frac{GM}{L} \right)^2 x_0^2 \\ \frac{d^2 x_1}{d\phi^2} + x_1 &= 3 \left( \frac{GM}{L} \right)^2 [1 + 2e\cos\phi + e^2\cos^2\phi] \\ \frac{d^2 x_1}{d\phi^2} + x_1 &= 3 \left( \frac{GM}{L} \right)^2 \left[ \left( 1 + \frac{1}{2}e^2 \right) + 2e\cos\phi + \frac{1}{2}e^2\cos 2\phi \right]^2 \end{aligned} \quad (3.43)$$

While our dear Mr. Carrol tells us how one could solve this differential equation, our beloved professor D'Eramo, tells us directly the solution that is

$$x_1 = 3 \left( \frac{GM}{L} \right)^2 \left[ \left( 1 + \frac{1}{2}e^2 \right) + e\phi\sin\phi - \frac{1}{6}e^2\cos 2\phi \right] \quad (3.44)$$

Inside the square brackets there are terms with different meaning:

- the first is just a constant
- the second has the most interesting effect
- the third has a periodic effect, it oscillates around zero.

We decide that we will deal with  $x_1$  made just by this second term, so the expansion of  $x$  (3.42) becomes

$$x = 1 + e\cos\phi + \frac{3G^2M^2e}{L^2}\phi\sin\phi + \dots \quad (3.45)$$

as we don't care of those other terms since they have little use for us. To lighten up the notation we introduce

$$\alpha \equiv 3 \left( \frac{GM}{L} \right)^2$$

---

<sup>2</sup>because  $\cos^2\phi = \frac{1+\cos 2\phi}{2}$ .

Equation 3.45 can be rewritten as equation for an ellipse

$$x = 1 + e \cos [(1 - \alpha) \phi] \quad (3.46)$$

indeed

$$\cos [(1 - \alpha) \phi] = \cos \phi + \alpha \frac{d}{d\alpha} \cos [(1 - \alpha) \phi]_{\alpha=0} = \cos \phi + \alpha \phi \sin \phi$$

Since the inverse proportionality, the minimum radius is when the  $x$  is max, so

$$x_P = 1 + e$$

and is achieved for  $\phi = 0$ . The angular shift per revolution is calculated by finding the difference between the actual angle and  $2\pi$ :

$$\Delta\phi = 2\pi\alpha = 6\pi \frac{G^2 M^2}{L^2}$$

Now we want to convert the angular momentum  $L$  to more usable quantities. To do so we will use some expressions from the Newtonian orbits, since the quantity we are looking for is already a small perturbation. An ordinary ellipses

$$r = \frac{(1 - e^2) a}{1 + e \cos \phi}$$

with  $a$  is the semi-major axis. To find  $a$

$$r_P + r_A = 2a = \frac{L^2}{GM} \left[ \frac{1}{1 - e} + \frac{1}{1 + e} \right]$$

so

$$a = \frac{L^2}{GM(1 - e^2)} \rightarrow L^2 = GM\alpha(1 - e^2)$$

that leads us to

$$\Delta\phi = 6\pi \frac{GM}{a(1 - e^2)c^2} \quad (3.47)$$

we added  $c$  so it is dimensionally good.

### 3.3.2 Finally Black Holes

I think it's beautiful starting every time from just the metric. Ours is obviously

$$ds^2 = - \left( 1 - \frac{2GM}{r} \right) dt^2 + \left( 1 - \frac{2GM}{r} \right)^{-1} dr^2 + r^2 d\Omega$$

We see that there are two potential problems:

- $r = 0$
- $r = 2GM$

in particular the second solution is called *event horizon*. It seems that this solution is a coordinate singularity, but this singularity is not physical, it arises from the definition of the Schwarzschild coordinates. If we contract the Riemann tensor with itself

$$R^{\alpha\beta\mu\nu}R_{\alpha\beta\mu\nu} = \frac{48G^2M^2}{r^6}$$

we see that indeed  $r = 2GM$  is not a singularity.

The event horizon is the boundary beyond which nothing can escape, classically the escape velocity at this point equals the speed of light, beyond it increases.

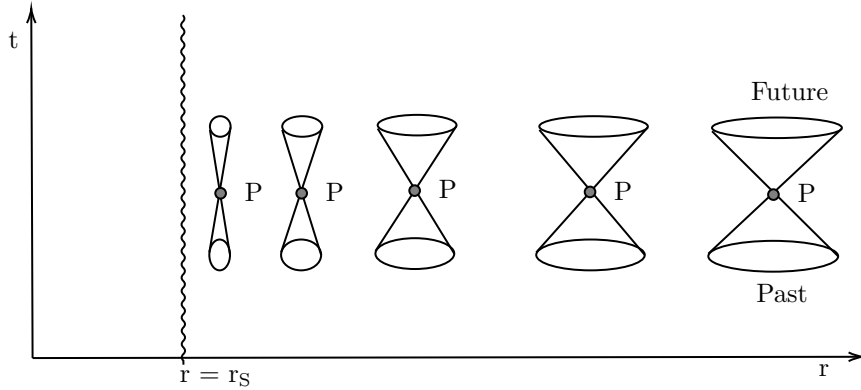
To analyze this we are interested in using light-like geodesics.

$$ds^2 = 0 = -\left(1 - \frac{2GM}{r}\right) dt^2 + \left(1 - \frac{2GM}{r}\right)^{-1} dr^2 + r^2 d\Omega \quad (3.48)$$

Since spherical symmetry, variations on the angles do not care. This let us write

$$\frac{dt}{dr} = \pm \left(1 - \frac{2GM}{r}\right)^{-1} \quad (3.49)$$

We can use this to measure the slope of a light-cone on a spacetime diagram.



We see that the closer to the event horizon the steeper becomes the slope of the light-cone, So a light ray that approaches  $r = 2GM$  never seems to get there in this coordinates system, but asymptote to it.

This is just an illusion, a particle has no trouble in reaching the Schwarzschild radius, but an observer far away would never be able to tell. Indeed if *friend*<sup>3</sup> dives in the black hole while sending signals at regular pace, we would see signal become less frequent. Proper time of *friend* is

$$d\tau = \left(1 - \frac{2GM}{r}\right)^{1/2} dt$$

The fact that his trajectory in the  $t$ - $r$  plane never reaches  $r_S$  is not a statement we like, instead we never *see* him reach  $r_S$ . This is dependent on our coordinates system, so we want to change to a system that behaves better at  $r_S$ .

<sup>3</sup>if he was really your friend, you wouldn't have let him

**Tortoise coordinates**

The time of *friend* grows infinite approaching  $r_s$ , The tortoise coordinate is intended to grow infinite at the appropriate rate such as to cancel out the singular behaviour. We start from eq.3.49,

$$\int dt = \pm \int \frac{dr}{1 - \frac{2GM}{r}} \quad (3.50)$$

$$t = \pm r^* + \text{constant} \quad (3.51)$$

$$r^* = t - t_0 = \pm \left( r + 2GM \ln \left[ \frac{r}{2GM} - 1 \right] \right) \quad (3.52)$$

So we want to transform from  $(t, r) \rightarrow (t, r^*)$ . So to rewrite the metric,

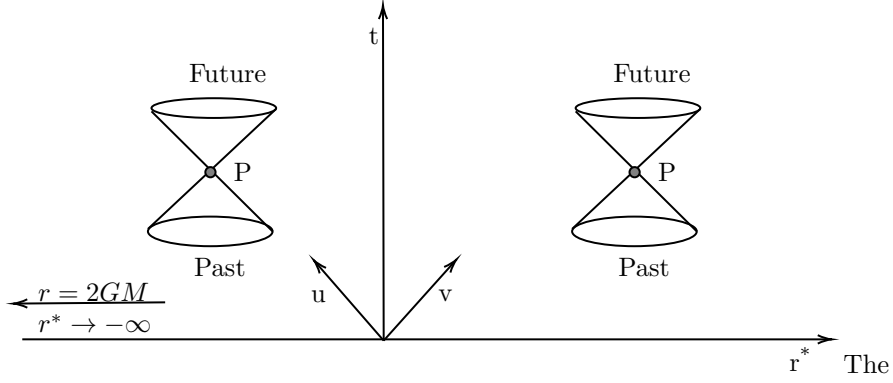
$$dr^* = \frac{dr}{\left(1 - \frac{2GM}{r}\right)}$$

$$ds^2 = - \left(1 - \frac{2GM}{r}\right) (dt^2 - dr^{*2}) + r^2 d\Omega \quad (3.53)$$

with  $r$  as function of  $r^*$ .

### 3.4 Lec 19

We see that with this metric, the light cones do not close up.



big con is that the surface of the  $r_S$  is positioned to infinity.

Next move is to define coordinates adapted to light-like geodesics

$$v \equiv t + r^* \quad (3.54)$$

$$u \equiv t - r^* \quad (3.55)$$

then *infalling* radial light-like geodesics are characterized by  $v = \text{constant}$ , the *outgoing* ones  $u = \text{constant}$

#### 3.4.1 Eddington-Finkelstein

Now we want to have a coordinates change from  $(t, r) \rightarrow (v, r)$ , these are EF coordinates.  $v$  is good to discuss infalling geodesics.

$$dv = dt + dr^* = dt + \frac{dr}{1 - \frac{2GM}{r}}$$

$$ds^2 = -\left(1 - \frac{2GM}{r}\right) dt^2 + \left(1 - \frac{2GM}{r}\right)^{-1} dr^2 = \quad (3.56)$$

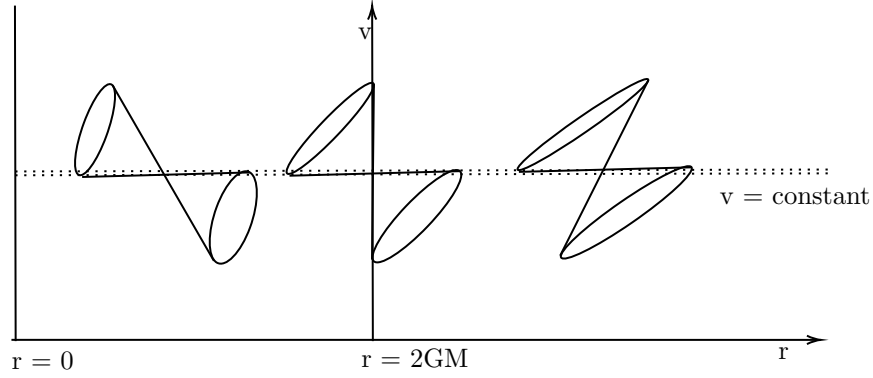
$$= -\left(1 - \frac{2GM}{r}\right) \left(dv - \frac{dr}{1 - \frac{2GM}{r}}\right)^2 + \left(1 - \frac{2GM}{r}\right)^{-1} dr^2 = \quad (3.57)$$

$$= -\left(1 - \frac{2GM}{r}\right) dv^2 + (dvdr - drdv) + r^2 d\Omega. \quad (3.58)$$

In EF coordinates the condition for radial null curves is solved by

$$\frac{dv}{dr} = \begin{cases} 0, & \text{(infalling)} \\ 2\left(1 - \frac{2GM}{r}\right)^{-1}, & \text{outgoing} \end{cases} \quad (3.59)$$

In this coordinates system the light cones remain well behaved at  $r = r_S$ . But we see that light-cones tilts, such that for  $r < 2GM$  all-future directed paths are in the directions of decreasing  $r$ .



The surface at  $r = r_S$ , acts as a point of no return, once a test particle passes it, it can never come back, An event horizon is a surface past which particles can never escape to infinity. Since nothing can escape it, we cannot see inside, so it's a *black hole*, which is a region of spacetime separated from infinity by event horizon.

In  $(v, r)$  coordinates system we can cross the event horizon on future-directed paths, but not on past-directed ones. Well, wtf,

If we have chosen  $u$  instead of  $v$  the metric would have been

$$(t, r) \rightarrow (u, r)$$

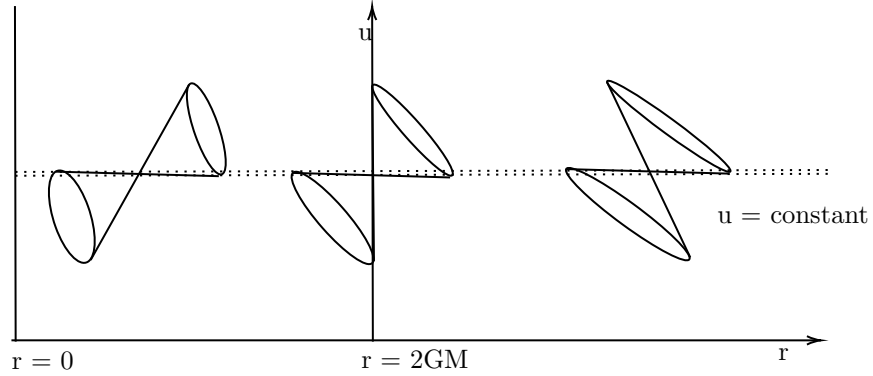
$$du = dt - dr^* = dt - \frac{dr}{1 - \frac{2GM}{r}}$$

$$\begin{cases} \frac{dv}{dr} = -2 \left(1 - \frac{2GM}{r}\right)^{-1} & \text{infalling} \\ du = 0 & \text{outgoing} \end{cases}$$

and the metric becomes

$$ds^2 = - \left(1 - \frac{2GM}{r}\right) du^2 - (dudr + drdu) + r^2 d\Omega \quad (3.60)$$

Now we can pass through the event horizon but this time along past-directed curves.



So, we can follow either future or past directed geodesics through  $r_S$ , but we arrive at different places. If we keep  $v$  constant and decrease  $r$  we must have  $t \rightarrow +\infty$ , while for  $u$  constant we get  $t \rightarrow -\infty$ .



**Something to digest BHs** First, external geometry of a black hole is the Schwarzschild solution valid for any star or planet, this means BHs are not cosmic vacuum cleaners.

Second, if I did understand, it is technically possible escape a Newtonian BH, indeed  $c$  in here is just a number, and while light can't escape the BH travelling inertially, it could be accelerated by something and manage to escape. While in GR, inside the event horizon, the geometry of the spacetime is curved in such a way that all paths lead inward.

### 3.4.2 Kruskal-Szekeres coordinates

Enough with light-like geodesics, now we try with space-like geodesics. A first guess one could do is to use both  $u, v$ .

$$\begin{aligned} v &= t + r^* \\ u &= t - r^* \\ dv &= dt + dr^* = dt + \frac{dr}{1 - \frac{2GM}{r}} \\ du &= dt - dr^* = \dots \end{aligned}$$

we see

$$\begin{aligned} dt &= \frac{du + dv}{2} \\ dr &= \left( \frac{du - dv}{2} \right) \left( 1 - \frac{2GM}{r} \right) \end{aligned}$$

so the metric becomes

$$ds^2 = - \left( 1 - \frac{2GM}{r} \right) dt^2 + \left( 1 - \frac{2GM}{r} \right)^{-1} dr^2 = \quad (3.61)$$

$$= - \left( 1 - \frac{2GM}{r} \right) \left( \frac{du + dv}{2} \right)^2 + \left( 1 - \frac{2GM}{r} \right)^{+1} \left( \frac{du - dv}{2} \right)^2 = \quad (3.62)$$

$$= - \left( 1 - \frac{2GM}{r} \right) dudv \quad (3.63)$$

so for  $r \rightarrow 2GM$ ,  $r^* \rightarrow -\infty$ ,  $v \rightarrow -\infty$  and  $u \rightarrow +\infty$ .

We can make an improvement, since in this coordinates  $r_S$  is at infinity like at the start. We choose new coordinates that are

$$\begin{aligned} u' &= -e^{-\frac{u}{4GM}} \\ v' &= +e^{\frac{v}{4GM}} \\ du' &= \frac{1}{4GM} e^{-\frac{u}{4GM}} du \\ dv' &= \frac{1}{4GM} e^{+\frac{v}{4GM}} dv \end{aligned}$$

this makes

$$dudv = 16G^2M^2e^{\frac{u-v}{4GM}} du' dv' \quad (3.64)$$

$$\left(\frac{u-v}{4GM} = -\frac{r^*}{2GM}\right)^4 \quad (3.65)$$

$$= 16G^2M^2e^{-\frac{1}{2GM}(r+2GM\ln(\frac{r}{2GM}-1))} du' dv' \quad (3.66)$$

the metric becomes

$$ds^2 = \frac{-32G^3M^3}{r} e^{-\frac{r}{2GM}} du' dv' \quad (3.67)$$

this does not explode at  $r = r_S$ .

Reminder: we used the notation  $2dudv$  that should be instead  $dudv + dvdu$ , because tensor product does not commute.

Coordinates  $u'$  and  $v'$  are null coordinates, since their partial derivatives are null vectors. We have four partial derivatives vectors (two null and two space-like) as basis for the tangent space. We prefer work with a system where coordinates are one time-like and the others three space-like. We define

$$T = \frac{1}{2}(v' + u')$$

$$R = \frac{1}{2}(v' - u')$$

these give us

$$ds^2 = \frac{32G^3M^3}{r} e^{-\frac{r}{2GM}} (-dT^2 + dR^2) \quad (3.68)$$

where  $r$  is defined from

$$T^2 - R^2 = \left(1 - \frac{r}{2GM}\right) e^{\frac{r}{2GM}} \quad (3.69)$$

These coordinates are the Kruskal-Szekeres coordinates,  $(T, R, \theta, \phi)$ .

Radial null curves, here, or light-like geodesics are

$$T = \pm R + \text{const} \quad (3.70)$$

while event horizon is at

$$T = \pm R \quad (3.71)$$

consistent with being a null surface.

Surfaces are  $r = \text{constant}$  so

$$T^2 - R^2 = \text{constant} \quad (3.72)$$

these looks like hyperbolae in  $R$ - $T$  plane.

Surfaces of constant  $t$  are given by

$$\frac{T}{R} = \tanh\left(\frac{t}{4GM}\right) \quad (3.73)$$

this defines straight lines through the origin with slope given by hyper-tangent. For  $t \rightarrow \infty$  it becomes eq.3.71.

In this diagram each point on the diagram is a two-sphere, it represent the maximal extension of the Schwarzschild geometry. We see that  $-\infty < R < +\infty$  and  $T^2 < R^2 + 1$ . It is convenient to divide the diagram in 4 regions:

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<sup>4</sup>using this particular substitution makes things easier.

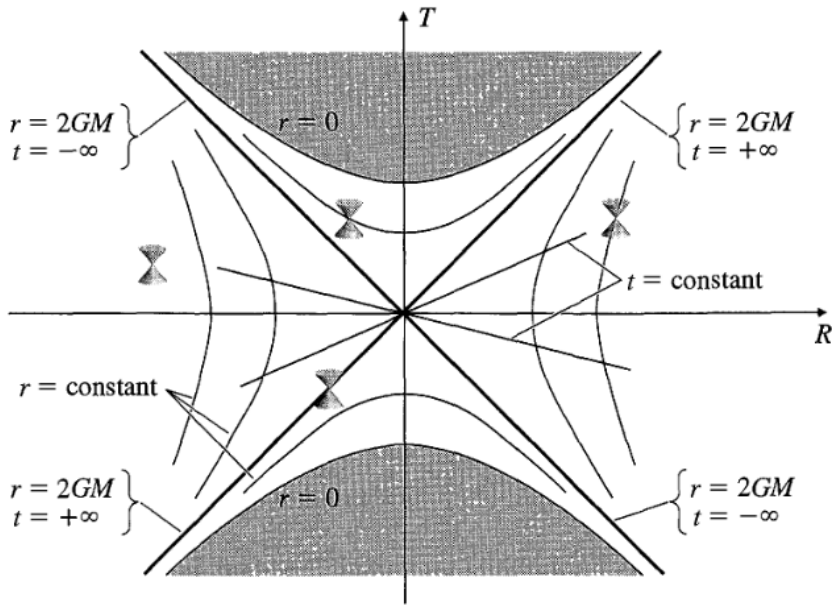


Figure 3.1: Kruskal diagram

- Region I corresponds to  $r > 2GM$
- With future directed light-like geodesics we reach region II
- With past ones we reach region III
- With space-like geodesics one gets to region IV

Definitions that relate  $(T, R)$  to  $(t, r)$  are good only in region I.

Region II is a *black hole*, every future-directed path there hits  $r = 0$ .

Region III is the time reversed of region II, could be the *white hole*. A singularity in the past from which everything appears to spring.

Region IV, cannot be reached from our region and viceversa. It is a mirror image of region I and could be reached by a wormhole.

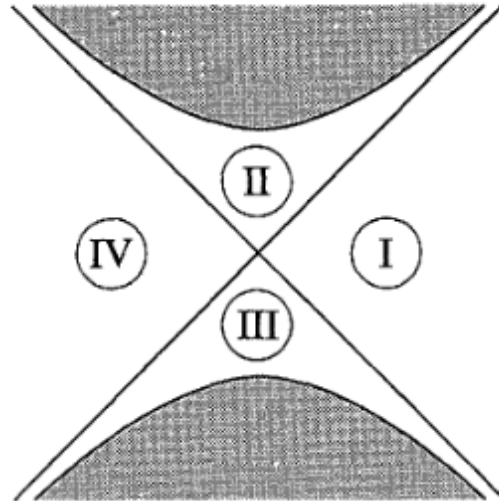


Figure 3.2: Regions of the kruskal diagram

## Chapter 4

# Gravitational Waves

### 4.1 Linearized gravity

Roughly speaking this is gravitational waves, while the macro area is *linearized gravity* which is the study of GR where  $g_{\mu\nu}$  can be decomposed in

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}, (|h_{\mu\nu}| \ll 1)$$

and  $h_{\mu\nu}$  is a symmetric matrix. That's nothing new, right? What we assumed back then was

- weak field
- motion of slow test particle<sup>1</sup>
- static field.

We will give up on the last two assumptions for our derivation.

As just said, we will start from

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu} \tag{4.1}$$

we want to find the *inverse metric*  $g^{\mu\nu}$ , we know

$$g^{\mu\nu} g_{\nu\rho} = \delta^\mu_\rho$$

let's express  $g^{\mu\nu}$  like

$$g^{\mu\nu} = \eta^{\mu\nu} + \Delta^{\mu\nu} \tag{4.2}$$

we will keep from now on only terms that are linear in  $h_{\mu\nu}$ , so  $O(h)$  or that have the same order of  $h_{\mu\nu}$ .

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<sup>1</sup>A *test particle* is an idealized concept to study the properties of spacetime and gravitational fields without significantly disturbing them. Specifically

- Infinitesimally small, point particle
- Negligible mass and energy, no contribute to curvature of spacetime.
- Moves along geodesics
- Used to explore effects of spacetime curvature.

$$\begin{aligned}
g^{\mu\nu} g_{\nu\rho} &= \delta_\rho^\mu \\
(\eta^{\mu\nu} + \Delta^{\mu\nu})(\eta_{\nu\rho} + h_{\nu\rho}) &= \delta_\rho^\mu \\
\delta_\rho^\mu + \eta^{\mu\nu} h_{\nu\rho} + \Delta^{\mu\nu} \eta_{\nu\rho} + O(h^2) &= \delta_\rho^\mu \\
\eta^{\mu\nu} h_{\nu\rho} + \Delta^{\mu\nu} \eta_{\nu\rho} &= 0 \\
\eta^{\alpha\rho} \Delta^{\mu\nu} \eta_{\nu\rho} &= -\eta^{\mu\nu} h_{\nu\rho} \eta^{\alpha\rho} \\
\delta_\nu^\alpha \Delta^{\mu\nu} &= -\eta^{\mu\nu} \eta^{\alpha\rho} h_{\nu\rho} \\
\Delta^{\mu\alpha} &= -\eta^{\mu\nu} \eta^{\alpha\rho} h_{\nu\rho} \\
\Delta^{\mu\nu} &= -\eta^{\mu\alpha} \eta^{\nu\beta} h_{\alpha\beta}
\end{aligned} \tag{4.3}$$

in the last step we changed indices to insert it on eq.4.2:

$$g^{\mu\nu} = \eta^{\mu\nu} + \Delta^{\mu\nu} = \eta^{\mu\nu} - \eta^{\mu\alpha} \eta^{\nu\beta} h_{\alpha\beta} \tag{4.4}$$

The theory we are studying is of a dynamical symmetric tensor that propagates in flat spacetime, where the word *propagates* gives us an hint about our goal. This is to take  $h$  and rewrite the Einstein Equation that is a equation of motion.

How does  $h$  transform under LTs?

$$\begin{aligned}
g_{\mu'\nu'} &= \Lambda_{\mu'}^\mu \Lambda_{\nu'}^\nu g_{\mu\nu} = \\
&= \Lambda_{\mu'}^\mu \Lambda_{\nu'}^\nu (\eta_{\mu\nu} + h_{\mu\nu}) = \\
&= \eta_{\mu'\nu'} + \Lambda_{\mu'}^\mu \Lambda_{\nu'}^\nu h_{\mu\nu} = \\
&= \eta_{\mu'\nu'} + h_{\mu'\nu'}
\end{aligned} \tag{4.5}$$

**Christoffel symbol** Now we can compute the Christoffel symbol

$$\begin{aligned}
\Gamma_{\mu\nu}^\rho &= \frac{1}{2} g^{\rho\sigma} [\partial_\mu g_{\sigma\nu} + \partial_\nu g_{\sigma\mu} - \partial_\sigma g_{\mu\nu}] = \\
&= \frac{1}{2} \eta^{\rho\sigma} [\partial_\mu h_{\sigma\nu} + \partial_\nu h_{\sigma\mu} - \partial_\sigma h_{\mu\nu}]
\end{aligned} \tag{4.6}$$

we kept just  $\eta$  outside the square brackets because the partial derivatives inside them are already  $O(h)$ , since derivative of  $\eta$  is null.

**Riemann tensor**

$$\begin{aligned}
R_{\sigma\mu\nu}^\rho &= \partial_\mu \Gamma_{\nu\sigma}^\rho - \partial_\nu \Gamma_{\sigma\mu}^\rho + \Gamma_{\sigma\mu}^\alpha \Gamma_{\nu\alpha}^\rho - \Gamma_{\nu\sigma}^\alpha \Gamma_{\mu\alpha}^\rho = \\
&= \frac{1}{2} \partial_\mu [\eta^{\rho\alpha} (\partial_\sigma h_{\alpha\nu} + \partial_\nu h_{\alpha\sigma} - \partial_\alpha h_{\sigma\nu})] - \\
&\quad \frac{1}{2} \partial_\nu [\eta^{\rho\alpha} (\partial_\sigma h_{\alpha\mu} + \partial_\mu h_{\alpha\sigma} - \partial_\alpha h_{\sigma\mu})]
\end{aligned}$$

terms that are  $\Gamma\Gamma$  as you can see are not linear in  $h$ .

Now we will lower the upper index of the Riemann tensor since it is easier to manipulate.

$$\begin{aligned}
R_{\lambda\sigma\mu\nu} &\simeq \eta_{\lambda\rho} R_{\sigma\mu\nu}^{\rho} = \\
&= \eta_{\lambda\rho} \eta^{\rho\alpha} (\partial_{\mu} \partial_{\sigma} h_{\alpha\nu} + \partial_{\mu} \partial_{\nu} h_{\alpha\sigma} - \partial_{\mu} \partial_{\alpha} h_{\sigma\nu}) - \\
&\quad \frac{1}{2} \eta_{\lambda\rho} \eta^{\rho\alpha} (\partial_{\nu} \partial_{\sigma} h_{\alpha\mu} + \partial_{\nu} \partial_{\mu} h_{\sigma\alpha} - \partial_{\nu} \partial_{\alpha} h_{\sigma\mu}) = \\
&= \frac{1}{2} \delta_{\lambda}^{\alpha} [(\dots) - (\dots)] = \\
&= \frac{1}{2} [(\partial_{\mu} \partial_{\sigma} h_{\lambda\nu} + \partial_{\mu} \partial_{\nu} h_{\lambda\sigma} - \partial_{\nu} \partial_{\lambda} h_{\sigma\nu}) - (\partial_{\nu} \partial_{\sigma} h_{\lambda\mu} + \partial_{\nu} \partial_{\mu} h_{\sigma\lambda} - \partial_{\nu} \partial_{\lambda} h_{\sigma\mu})] \\
&\quad \text{Partial derivatives commute, } h \text{ is symmetric} \\
&= \frac{1}{2} [\partial_{\mu} \partial_{\sigma} h_{\lambda\nu} - \partial_{\mu} \partial_{\lambda} h_{\sigma\nu} - \partial_{\nu} \partial_{\sigma} h_{\lambda\mu} + \partial_{\nu} \partial_{\lambda} h_{\sigma\mu}] \tag{4.7}
\end{aligned}$$

**Ricci tensor**

$$\begin{aligned}
R_{\sigma\mu\nu}^{\mu} &= \frac{1}{2} [\partial^{\mu} \partial_{\sigma} h_{\mu\nu} - \partial^{\mu} \partial_{\mu} h_{\sigma\nu} - \partial_{\nu} \partial_{\sigma} h_{\mu}^{\mu} + \partial_{\nu} \partial^{\mu} h_{\sigma\mu}] \\
R_{\sigma\nu} &= \frac{1}{2} (\partial^{\mu} \partial_{\sigma} h_{\mu\nu} + \partial^{\mu} \partial_{\nu} h_{\mu\sigma} + \partial_{\sigma} \partial_{\nu} h - \square h_{\sigma\nu}) \tag{4.8}
\end{aligned}$$

with

$$\begin{aligned}
h &\equiv \eta^{\mu\nu} h_{\mu\nu}, \text{ the trace of } h \\
\square &= \partial_{\mu} \partial^{\mu} = -\partial_t^2 + \partial_x^2 + \partial_y^2 + \partial_z^2
\end{aligned}$$

**Ricci scalar**

$$R = \eta^{\sigma\nu} R_{\sigma\nu} = \frac{1}{2} (\partial^{\mu} \partial^{\sigma} h_{\mu\sigma} + \partial^{\mu} \partial^{\sigma} h_{\sigma\mu} - \square h - \square h) = \partial^{\mu} \partial^{\sigma} h_{\mu\sigma} - \square h \tag{4.9}$$

#### 4.1.1 Gauge Invariant

In the context of linearized gravity, gauge invariance refers to the freedom to make certain transformations to the metric perturbation  $h_{\mu\nu}$  without changing the physical content of the theory. In the linearized regime, this invariance implies that  $h_{\mu\nu}$  can be transformed under an infinitesimal coordinate change

$$x^{\mu} \rightarrow x^{\mu} + \xi^{\mu}$$

$\xi$  is dependent on coordinates, is not a constant, and is small.

Let's see how we write the metric tensor in new coordinate system.

$$\begin{aligned}
g_{\mu'\nu'} &= \frac{\partial x^{\mu}}{\partial x^{\mu'}} \frac{\partial x^{\nu}}{\partial x^{\nu'}} (\eta_{\mu\nu} + h_{\mu\nu}) = \\
&= \left( \delta_{\mu'}^{\mu} + \partial_{\mu'} \xi^{\mu} \right) (\delta_{\nu'}^{\nu} + \partial_{\nu'} \xi^{\nu}) (\eta_{\mu\nu} + h_{\mu\nu}) = \\
&= \eta_{\mu'\nu'} + h_{\mu'\nu'} + \partial_{\mu'} \xi_{\nu'} + \partial_{\nu'} \xi_{\mu'} \tag{4.10}
\end{aligned}$$

where the last three terms are

$$\delta_{\mu'}^{\mu} \delta_{\nu'}^{\nu} h_{\mu\nu} \equiv h_{\mu'\nu'} + \partial_{\mu'} \xi_{\nu'} + \partial_{\nu'} \xi_{\mu'} \tag{4.11}$$

we did this infinitesimal change of coordinates and we found that the metric is minkowskian plus a correction.

We have

$$\left. \begin{array}{l} h_{\mu\nu} \\ h_{\mu\nu} + 2\partial_{(\mu}\xi_{\nu)} \end{array} \right\} \text{ that are equivalent}$$

they both describe the same physical perturbation. Indeed *gauge invariance* allows  $h_{\mu\nu}$  to be shifted as

$$h_{\mu\nu} \rightarrow h_{\mu\nu} + \partial_\mu \xi_\nu + \partial_\nu \xi_\mu$$

Now we can compute the variation of the linearized Riemann tensor under this transformation

$$\begin{aligned} \delta R_{\lambda\sigma\mu\nu} &= \frac{1}{2} [\partial_\mu \partial_\sigma \delta h_{\nu\lambda} - \partial_\mu \partial_\lambda \delta h_{\sigma\nu} - \partial_\nu \partial_\sigma \delta h_{\lambda\mu} + \partial_\nu \partial_\lambda \delta h_{\sigma\mu}] \\ &= \frac{1}{2} [\underbrace{\partial_\mu \partial_\sigma (\partial_\nu \xi_\lambda)}_{\text{yellow}} + \underbrace{\partial_\lambda \xi_\nu}_{\text{yellow}} - \underbrace{\partial_\mu \partial_\lambda (\partial_\sigma \xi_\nu)}_{\text{pink}} + \underbrace{\partial_\nu \xi_\sigma}_{\text{pink}}] + \\ &\quad \underbrace{-\partial_\nu \partial_\sigma (\partial_\lambda \xi_\mu)}_{\text{yellow}} + \underbrace{\partial_\mu \xi_\lambda}_{\text{yellow}} + \underbrace{\partial_\nu \partial_\lambda (\partial_\sigma \xi_\mu)}_{\text{pink}} + \underbrace{\partial_\mu \xi_\sigma}_{\text{pink}}] \end{aligned}$$

As you see by colors and underlining each term cancel with an opposite other. Since the variation of the Riemann tensor is null, this transformation leaves Riemann tensor, Ricci tensor and scalar unchanged.

If you have a gauge transformation, which is defined as the actual  $h$ , nothing changes at the level of the Riemann tensor.

#### 4.1.2 Degrees of Freedom for $h_{\mu\nu}$

I can choose  $\xi$  in such a way that  $h$  takes the form that is particularly convenient for our purposes. Let's see more deeply the meaning of  $h_{\mu\nu}$  tensor and its components.

The issue of gauge invariant will be postponed to the next lecture.

We assumed we work on a given coordinates system, we pick a specific gauge, and we will discuss what happens to  $h_{\mu\nu}$ .

As one may remember

$$h_{\mu'\nu'} = \Lambda_{\mu'}^\mu \Lambda_{\nu'}^\nu h_{\mu\nu}$$

the components of  $h$ , transform exactly as a Lorents tensor. I change coordinates and this is a LT. Or I could say that the tensor transform as a Lorentz tensor, this sentence is stronger than the previous, and we already checked it. In particular when we discuss LTs we have rotations (3) and boosts (3). Let's focus on the rotations. It's convenient to decompose the metric  $h$ :

$$h_{\mu\nu} = \begin{pmatrix} h_{00} & h_{01} & h_{02} & h_{03} \\ h_{10} = h_{01} & \dots & \dots & \dots \\ h_{02} & \dots & \dots & \dots \\ h_{03} & \dots & \dots & \dots \end{pmatrix}$$

because the  $h_{\mu\nu}$  tensor is symmetric.

Under **spatial rotations**:



- $h_{00}$  is a scalar, and it's invariant for spatial rotations, and  $h_{00} = -2\phi$ , (1 degree of freedom)
- $h_{0i}$  is a vector, (3 degrees of freedom),  $h_{0i} = w_i$
- $h_{ij}$  is a symmetric rank-2 tensor, (6 degrees of freedom),  $h_{ij} = 2s_{ij} - 2\Psi\delta_{ij}$

where  $\Psi$  encodes the trace of  $h_{ij}$  and  $s_{ij}$  is traceless.

Let's what can we say about  $\Psi$ . The trace of  $h$  is

$$h \equiv \eta^{\mu\nu} h_{\mu\nu}$$

so

$$\delta_{ij} h_{ij} = 2(\delta_{ij} s_{ij}) - 2\Psi(\delta_{ij} \delta_{ij}) = -6\Psi \rightarrow \Psi = -\frac{1}{6} \delta_{ij} h_{ij} \quad (4.12)$$

in this case we don't care about the position of the indices because in Minkowski spatial the metric is the identity matrix; you can see that in the second step the first term just disappear because the  $s$  is traceless.

In the context of **weak field**, **staticness** and **slow motion** the metric is

$$ds^2 = -(1 + 2\phi) dt^2 + dx^2 + dy^2 + dz^2 = -(1 + 2\phi) dt^2 + \delta_{ij} dx^i dx^j$$

while in the case of just **weak field**, like ours

$$ds^2 = -(1 + 2\phi) dt^2 + w_i (dt dx^i + dx^i dt) + [2s_{ij} + (1 - 2\phi) \delta_{ij}] dx^i dx^j$$

This definitions of the parts of the perturbation tensor are not gauge or solving equations, we just defined some convenient notation. The traceless tensor  $s_{ij}$  is known as *strain*, and as we'll see later, it contains gravitational radiation.

$\Psi$  is invariant under rotations because trace of a tensor is invariant under rotations.

How do the components of the metric tensor evolve? According to the Einstein Equations<sup>2</sup>, in the linear regime, obviously. We can say that  $\phi, \Psi, w_i$  are not propagating degrees of freedom, while  $s_{ij}$  is propagating

What does it mean? If you write the Einstein Equations, with the expressions for  $R_{\mu\nu}$  and  $R$ , and instead of  $h$  you write its decomposition, you can find the left-hand side of the EEs in function of  $\phi, \Psi, w_i, s_{ij}$ . The solution you find for  $\phi, \Psi, w_i$  only involve derivatives of space, so they do not evolve with time and it means that if I know  $T_{\mu\nu}$  and  $s$  I can infer the functional dependence of the others. The actual field that propagates is the field of the strain.

---

<sup>2</sup>The Einstein equations are not just one, we write one in tensorial form, but they are many

## 4.2 Lec 21

The Einstein tensor expressed with the components of  $h_{\mu\nu}$  becomes

$$G_{00} = 2\nabla^2\Psi + \partial_k\partial_l s^{kl} \quad (4.13)$$

$$G_{0j} = -\frac{1}{2}\nabla^2 w_j + \frac{1}{2}\partial_j\partial_k w^k + 2\partial_0\partial_j\Psi + \partial_0\partial_k s_j^k \quad (4.14)$$

$$G_{ij} = (\delta_{ij}\nabla^2 - \partial_i\partial_j)(\phi - \Psi) + \delta_{ij}\partial_0\partial_k w^k - \partial_0\partial_{(i}w_{j)} + 2\delta_{ij}\partial_0^2\Psi - \square s_{ij} + 2\partial_k\partial_{(i}s_{j)}^k - \delta_{ij}\partial_k\partial_l s^{kl} \quad (4.15)$$

Let's remember, the Einstein Equations are

$$G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = 8\pi GT_{\mu\nu}$$

When you try to solve this equation here, instead of the full Ricci tensor it's ok to use the linearized expression found yesterday, to put the minkowsky metric instead of  $g_{\mu\nu}$  since otherwise we would have second order in  $h$  in that factor because the Ricci scalar is already first order in  $h$ . On the right-hand side  $8\pi G$  is zero-th order in  $h$  and  $T_{\mu\nu}$  is linear in  $h$

$$G_{\mu\nu} = R_{\mu\nu} - \eta_{\mu\nu}R = 8\pi GT_{\mu\nu}$$

If we know  $T_{00}$ <sup>3</sup> and  $s_{ij}$ , We can find  $\Psi$  from eq.4.13 and

$$G_{00} = 8\pi GT_{00}$$

also, since there is no time derivative,  $\Psi$  does not propagate.

The  $G_{0i}$  term specifies  $w_j$  in terms of  $\Psi, s_{ij}, T_{0i}$ . The  $G_{ij}$  term specifies  $\phi$ . Always without a time derivative.

So as probably just said the propagating terms are all in the  $s_{ij}$  component of the metric.

### Gauge transformations of components of $h_{\mu\nu}$

We already discussed the family of gauge transformations made from

$$h_{\mu\nu} = h_{\mu\nu} + \partial_\mu\xi_\nu + \partial_\nu\xi_\mu$$

What does it look like plugged in

- $h_{00} = -2\phi$
- $h_{0i} = w_i$
- $h_{ij} = 2s_{ij} - 2\Psi\delta_{ij}$

Let's start from  $h_{00} = -2\phi$

$$\begin{aligned} h_{00} &\rightarrow h_{00} + \partial_0\xi_0 + \partial_0\xi_0 = h_{00} + 2\partial_0\xi_0 = h_{00} - 2\partial_0\xi^0 \\ &\rightarrow -2\phi = -2\phi - 2\partial_0\xi^0 \rightarrow \phi = \phi + \partial_0\xi^0 \end{aligned}$$

---

<sup>3</sup>energy density, via the sources

About  $h_{0i} = w_i = w^i$ :

$$h_{0i} \rightarrow h_{0i} + \partial_0 \xi_i + \partial_i \xi_0 \rightarrow w_i = w_i + \partial_0 \xi^i - \partial_i \xi^0$$

Lastly, about  $h_{ij} = 2s_{ij} - 2\Psi\delta_{ij}$ , we will split in the two components

$$\begin{aligned}\Psi &= -\frac{1}{6}\delta_{ij}h_{ij} \\ \Psi &\rightarrow -\frac{1}{6}\delta_{ij}(h_{ij} + \partial_i \xi^j + \partial_j \xi^i) = \Psi - \frac{1}{3}\partial_i \xi^i\end{aligned}$$

last step we used the delta to make  $j$  to  $i$ . While for  $s_{ij}$

$$\begin{aligned}2s_{ij} &= h_{ij} + 2\Psi\delta_{ij} \rightarrow s_{ij} = \frac{h_{ij}}{2} + \Psi\delta_{ij} \\ s_{ij} &\rightarrow \frac{h_{ij} + \partial_i \xi^j + \partial_j \xi^i}{2} + \delta_{ij}\left(\Psi - \frac{1}{3}\partial_k \xi^k\right) \\ s_{ij} &\rightarrow s_{ij} + \frac{\partial_i \xi^j + \partial_j \xi^i}{2} - \frac{1}{3}\partial_k \xi^k \delta_{ij}\end{aligned}$$

Now, about degrees of freedom we see that

- $\phi \rightarrow 1$ , it's a scalar
- $\Psi \rightarrow 1$ , same reason
- $w_i \rightarrow 3$  it's a vector
- $s_{ij} \rightarrow 5$ , because it's symmetric but also traceless.

We are ok. We foresaw 10 degrees of freedom and we got them. These will be used to simplify equations.

### Transverse Gauge

The **transverse gauge** is a specific choice of gauge condition in linearized gravity that simplifies the equations by imposing constraints on the metric perturbations  $h_{\mu\nu}$ . We saw that the left hand side of the Einstein Equations can be written as function of  $\phi, \Psi, w_i, s_{ij}$ . But they are a lot, what we can do with *gauge freedom* is to choose a specific gauge that makes EEs easier. The *transverse gauge* is defined by imposing this condition

$$\partial_i s^{ij} = 0$$

while it's gauge transformations is

$$\partial_i s^{ij} \rightarrow \partial_i s^{ij} + \frac{\partial_i \partial_j \xi^i + \partial_j \partial_i \xi^i}{2} - \frac{\partial_j \partial_k \xi^k}{3} = 0$$

Since setting  $\partial_i s^{ij}$  only involves  $\xi^i$  and not  $\xi^0$ , we can use the latter to set

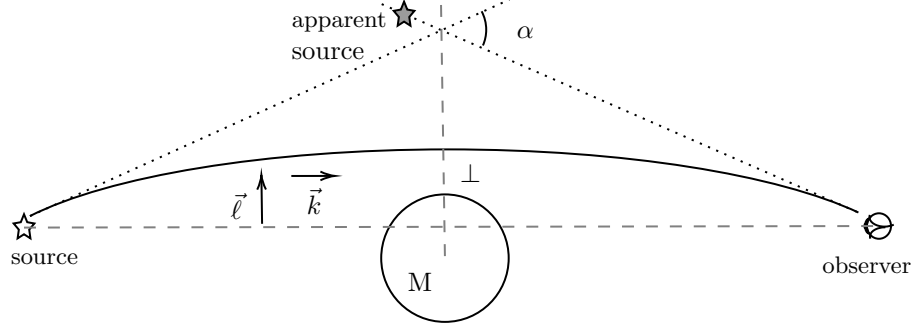
$$\partial_i w^i = 0$$

and the gauge transformations is

$$\partial_i w^i \rightarrow \partial_i w^i + \partial_0 \partial_i \xi^i - \partial_i \partial_i \xi^0 = 0$$

The transverse gauge is defined by imposing these conditions

### 4.2.1 Deflection of light



Deflection of light, or *gravitational lensing*, can be studied under two assumptions: static field, and transverse gauge (linearized gravity and weak field).

What we want is to write the Einstein Equations, because of transverse gauge and staticness (time derivative = 0), many terms are null.

$$G_{00} = 2\nabla^2\phi + \partial_k\partial_l s^{kl} = 2\nabla^2\phi \quad (4.16)$$

$$G_{0j} = -\frac{1}{2}\nabla^2 w_j + \dots + \dots = -\frac{1}{2}\nabla^2 w_j \quad (4.17)$$

$$G_{ij} = (\delta_{ij}\nabla^2 - \partial_i\partial_j)(\phi - \Psi) - \square s_{ij} \quad (4.18)$$

Regarding  $T_{\mu\nu}$ , this is the source, and in our case, since the Sun (see fig. 4.2.1) is at rest, we can take the NR form of it so

$$T_{\mu\nu} = \begin{pmatrix} \rho & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

So we stay in the frame where the object that bends is at rest. With this *energy-momentum tensor*

$$G_{00} = 2\nabla^2\phi = 8\pi G\rho \quad (4.19)$$

$$G_{0j} = -\frac{1}{2}\nabla^2 w_j = 0 \quad (4.20)$$

$$G_{ij} = (\delta_{ij}\nabla^2 - \partial_i\partial_j)(\phi - \Psi) - \nabla^2 s_{ij} = 0 \quad (4.21)$$

note that the d'alembertian operator  $\square$  has become  $\nabla^2$  in the third equation, this because of staticness. That laplacian is in spatial coordinates.<sup>4</sup> Now we claim that, since  $\nabla^2 w_j = 0$ , if this condition has to be well-behaved at  $\infty$ , then the only solution is  $w_j = 0$ , in general the solution of  $\frac{\partial^2}{\partial x^2}$  is  $w = Ax + B$ , in one dimension.

About the third equation, taking its trace, we get

$$(3\nabla^2 - \nabla^2)(\phi - \Psi) = 2\nabla^2(\phi - \Psi) = 0$$

---

<sup>4</sup> $\square = \nabla^2 - \frac{1}{v^2}\partial_t^2$ .

for the same reason, the solution is  $\phi = \Psi$ . Plugging this solution in the full equation gets us  $\nabla^2 s_{ij} = 0$ , that, again, implies  $s_{ij} = 0$  for a well-behaved solution.

The perturbed metric for static Newtonian sources, with staticness and transverse gauge is

$$ds^2 = -(1 + 2\phi) dt^2 + (1 - 2\phi) (dx^2 + dy^2 + dz^2) \quad (4.22)$$

and the perturbed metric is

$$h_{\mu\nu} = \begin{pmatrix} -2\phi & 0 & 0 & 0 \\ 0 & -2\phi & 0 & 0 \\ 0 & 0 & -2\phi & 0 \\ 0 & 0 & 0 & -2\phi \end{pmatrix} \quad (4.23)$$

This is an improvement over the previous study on the motion of a slow particle, that allowed us to fill only the temporal part of the metric, while here, with a fast particle, we filled also the spatial section.

If I want to study the deflection of light, I have to study the trajectory of a photon. This can be written as

$$x^\mu(\lambda) = x_{(0)}^\mu(\lambda) + x_{(1)}^\mu(\lambda)$$

where we decomposed in two contributions:

- $x_{(0)}^\mu$  that solves the geodesic equation for a straight null path
- $x_{(1)}^\mu$  that solves for the perturbation.

practically, these contribution are respectively of zero-th and first order in  $h$ . Consequentially, the four momentum can also be split into two contributions

$$k^\mu = \frac{dx_{(0)}^\mu}{d\lambda}$$

$$\ell^\mu = \frac{dx_{(1)}^\mu}{d\lambda}$$

where we chose  $\lambda$  in such a way that these are the four-momentum.

Let's look now at the geodesic equation

$$\frac{d^2 x^\mu}{d\lambda^2} + \Gamma_{\alpha\beta}^\mu \frac{dx^\alpha}{d\lambda} \frac{dx^\beta}{d\lambda}$$

In the linear regime, Christoffel connection is

$$\Gamma_{\alpha\beta}^\mu = \frac{1}{2} \eta^{\mu\rho} [\partial_\alpha h_{\rho\beta} + \partial_\beta h_{\rho\alpha} - \partial_\rho h_{\alpha\beta}]$$

and its only non-vanishing components are

$$\Gamma_{0i}^0 = \Gamma_{00}^i = \partial_i \phi$$

$$\Gamma_{jk}^i = \delta_{jk} \partial_i \phi - \delta_{ik} \partial_j \phi - \delta_{ij} \partial_k \phi$$

The geodesic becomes

$$\frac{d}{d\lambda} (k^\mu + \ell^\mu) + \Gamma_{\alpha\beta}^\mu (k^\alpha + \ell^\alpha) (k^\beta + \ell^\beta) = 0 \quad (4.24)$$

we can split this equation based on the orders

$$\begin{aligned} \mathcal{O}(h^0) &\rightarrow \frac{dk^\mu}{d\lambda} \\ \mathcal{O}(h^2) &\rightarrow \frac{d\ell^\mu}{d\lambda} + \Gamma_{\alpha\beta}^\mu k^\alpha k^\beta = 0 \end{aligned}$$

**Geodesic Motion** Be

$$k^\mu = (\omega, \vec{k}), k^\mu k_\mu = 0, \omega = |\vec{k}| = k$$

Let's see what happens with  $\ell^0$ :

$$\begin{aligned} \frac{d\ell^0}{d\lambda} + \Gamma_{0i}^0 \omega k^i + \Gamma_{i0}^0 \omega k^i &= 0 \\ (\Gamma_{0i}^0 = \Gamma_{i0}^0 = \partial_i \phi) \\ \frac{d\ell^0}{d\lambda} + 2\partial_i \phi k^i k &= 0 \\ \frac{d\ell^0}{d\lambda} &= -2k (\vec{k} \cdot \vec{\nabla} \phi) \end{aligned}$$

while for the spatial part

$$\frac{d\vec{\ell}}{d\lambda} = -2 \left( k^2 \nabla \phi - \vec{k} (\vec{k} \cdot \vec{\nabla} \phi) \right) = -2k^2 \vec{\nabla}_\perp \phi \quad (4.25)$$

This is the *gradient transverse*, obtained by subtracting the part that is parallel to  $k$ :

$$\vec{\nabla}_\perp \phi = \vec{\nabla} \phi - \frac{1}{k^2} (\vec{k} \cdot \vec{\nabla} \phi) \vec{k} \quad (4.26)$$

We found out that the motion of the photon is the composition of two four-vectors.

The product of them gives

$$\vec{k} \cdot \vec{\ell} = (k_\mu + \ell_\mu) (k^\mu + \ell^\mu) = 0$$

or better

$$\begin{aligned} (\eta_{\mu\nu} + h_{\mu\nu}) (k + \ell)^\mu (k + \ell)^\nu &= 0 \\ \text{at first order} \\ \vec{k} \cdot \vec{\ell} = k\ell^0 + 2k^2\phi = 0 &\rightarrow \ell^0 = -2k\phi \end{aligned}$$

This means that there are two directions,  $\ell, k$ ,  $\ell$  changes along the trajectory, it is max at the beginning and minimum at the end.

$$\alpha = \frac{|\Delta \vec{\ell}|}{k}$$

with

$$\begin{aligned}
 \Delta \vec{\ell} &= \int d\lambda \left( -2k^2 \vec{\nabla}_\perp \phi \right) = -2 \int d(\lambda k) k \vec{\nabla}_\perp \left( -\frac{GM}{(x^2 + b^2)^{1/2}} \right) \\
 &= -2k \int dx \frac{GMb}{(x^2 + b^2)^{3/2}} \\
 &\rightarrow \Delta \alpha = GMb \int_{-\infty}^{+\infty} dx \frac{1}{(x^2 + b^2)^{3/2}} = \frac{4GM}{b}
 \end{aligned}$$

### 4.3 Lec 22

#### 4.3.1 Gravitational waves - Traceless gauge

We want to study propagating degrees of freedom of the gravitational field, which require no local source to exist. So we will use weak field equations in transverse gauge, but keeping time derivatives and shutting off  $T_{\mu\nu}$ , setting it at zero.

Then  $G_{00} = 0$  and  $\nabla^2 \Psi = 0$ , and since this has to be well behaved,  $\Psi = 0$ .

Same story for  $G_{0i} = 0$  equation, gives  $-\nabla^2 w_i = 0$  and for same reasons  $w_i = 0$ .

Trace of  $G_{ij}$  gives  $\nabla^2 \phi = 0$  and so  $\phi = 0$ .

Traceless  $G_{ij}$  leaves  $\square s_{ij} = 0$ , that is a wave equation for  $s_{ij}$ . We have all degrees of freedom of  $h_{\mu\nu}$  are set to zero except for  $s_{ij}$  (that is transverse), and we express this setup with the *transverse traceless gauge*

$$h_{\mu\nu}^{TT} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 2s_{11} & 2s_{12} & 2s_{13} \\ 0 & 2s_{21} & 2s_{22} & 2s_{23} \\ 0 & 2s_{31} & 2s_{32} & 2s_{33} \end{pmatrix}$$

And the equation of motion is

$$\square h_{\mu\nu}^{TT} = 0$$

There are some properties of this tensor

$$h_{0\nu}^{TT} = 0 = h_{\nu 0}^{TT} \quad (4.27)$$

$$\eta^{\mu\nu} h_{\mu\nu}^{TT} = 0 \quad (4.28)$$

$$\partial_\mu h_{\mu\nu}^{TT} = 0 \quad (4.29)$$

We want to look for some solution of this equation of motion, and they could be the plane waves

$$h_{\mu\nu}^{TT} = C_{\mu\nu} e^{ik_\sigma x^\sigma}$$

where  $C_{\mu\nu}$  is made of constants  $\in \mathbb{R}$ , while the exponential  $\in \mathbb{I}$ , but we will keep the *real part*, so that the tensor on the left  $\in \mathbb{R}$ .

We can try to plug this inside the equation of motion

$$\begin{aligned} 0 &= \square h_{\mu\nu}^{TT} \\ &= \eta^{\alpha\beta} \partial_\alpha \partial_\beta \left( C_{\mu\nu} e^{ik_\sigma x^\sigma} \right) \\ &= - \left( \eta^{\alpha\beta} k_\alpha k_\beta h_{\mu\nu}^{TT} \right) \\ &= k_\alpha k^\alpha \end{aligned}$$

so that last product is equal to zero. We know that  $k^\mu = (\omega, k^1, k^2, k^3)$ , it's a wave vector, and if this vector is null, it solves the equation of motion, that could be loosely translated into "the GW propagate at speed of light".

To have wave vector null, we need that

$$k^\alpha k_\alpha = 0 \rightarrow -\omega^2 + \vec{k} \cdot \vec{k} = 0$$



Now we need to ensure that the perturbation is transverse, we can have a kinda explicit solution by choosing spatial coordinates such that the wave is travelling along the  $z$  axis.

So

$$k^\sigma = (\omega, 0, 0, \omega)$$

$$h_{\mu\nu}^{TT} = C_{\mu\nu} e^{i(-\omega t + \omega z)}$$

and by taking the real part we get

$$h_{\mu\nu}^{TT} = C_{\mu\nu} \cos(\omega(t - z))$$

that is the solution for a wave-front propagating at  $c$ .

We would like to add some information about  $C_{\mu\nu}$ . It is a constant, symmetric tensor, which is traceless and purely spatial. So it fills the  $i, j$  region of the  $h_{\mu\nu}^{TT}$ , with  $i \neq j$

$$C_{0\nu} = 0 = C_{\nu 0}$$

$$\eta^{\mu\nu} C_{\mu\nu} = 0$$

With this particular solution of the traceless transverse gauge, since

$$\partial_\mu h_{\mu\nu}^{TT} = 0 \rightarrow k_\mu C^{\mu\nu} = 0$$

this means that the wave vector is orthogonal to  $C^{\mu\nu}$ . This can be expanded such that

$$k^0 G_{0\nu} + k^3 C_{3\nu} = 0 \rightarrow C_{3\nu} = 0 = C_{\nu 3}$$

and this implies that  $C_{\mu\nu}$  fills only the  $i, j = 1, 2$  region of  $h_{\mu\nu}^{TT}$  such that

$$C_{\mu\nu} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & C & C & 0 \\ 0 & C & C & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & h_+ & h_\times & 0 \\ 0 & h_+ & h_\times & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

Since it is traceless  $C_{11} = -C_{22}$ , and symmetric  $C_{12} = C_{21}$ .

For a plane wave in this gauge travelling in  $z$  direction, two components  $C_{11}, C_{12}$ , with  $\omega$ , characterize the wave.

We will redefine  $C_{\mu\nu}$  components such that

$$h_{\mu\nu}^{TT} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & h_+ & h_\times & 0 \\ 0 & h_\times & -h_+ & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} e^{i\omega(t-z)}$$

Then one could ask, why  $+, \times$  ?

To answer this question it's useful to get our hands on a tangible phenomenon. Let's take the motion of a test particle in presence of a wave.

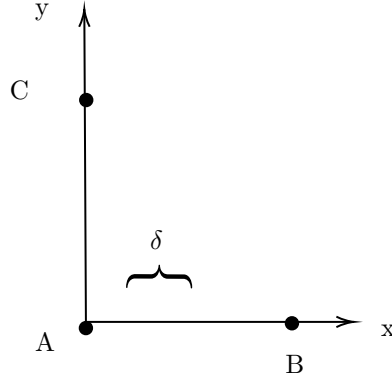
$$\frac{du^\alpha}{d\tau} + \Gamma_{\mu\nu}^\alpha u^\mu u^\nu$$

at  $t = 0 \rightarrow u^\alpha = (1, 0, 0, 0)$ , and so

$$\left. \frac{du^\alpha}{d\tau} \right|_{t=0} = -\Gamma_{00}^\alpha = -\frac{1}{2}\eta^{\alpha\beta} (2\partial_0 h_{\beta 0} - \partial_\beta h_{00}) = 0$$

but we see that GW have not effect on test particle.

To obtain a coordinate independent measure of the effects of GW, we consider the relative motion of two test particles, set like this



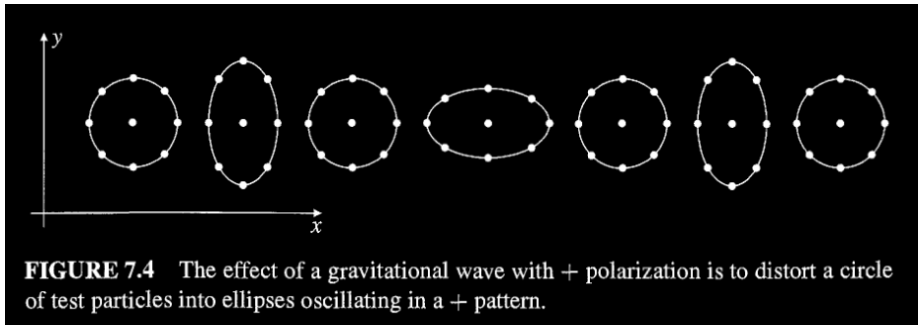
We see that at  $t = 0$ ,  $D_{AB} = \delta$ , and after GW

$$D_{AB} = \int_A^B \sqrt{g_{11}} dx = \int_A^B \sqrt{1 + h_{11}} dx \approx \left(1 + \frac{h_+}{2}\right) \delta$$

while the *vertical* distance

$$D_{AC} \approx \left(1 + \frac{h_{22}}{2}\right) \delta = \left(1 - \frac{h_+}{2}\right) \delta$$

we see that the effects are the opposites. In fact the outcome would be something like



**FIGURE 7.4** The effect of a gravitational wave with  $+$  polarization is to distort a circle of test particles into ellipses oscillating in a  $+$  pattern.

Figure 4.1: effect of GW

# Chapter 5

## Cosmology

### 5.1 Lec 23

Starting point for this discussion is the *Cosmological Principle*, that in words is we are not special, the Sun is a star like any other. In mathematical terms is: the Universe is

- Isotropic  $\rightarrow$  any point is equivalent to any other
- Homogenous  $\rightarrow$  direction of observation is not relevant.

The metric that describes the Universe has to have these properties. These once upon a time were just assumptions, now they're supported by observations:

- Homogeneous is supported by observations at large scale, by the LSS, *large scale structure*, ( $d \gg 100 Mpc$ ).
- Isotropy is supported by observations on the CMB, *cosmic microwave background*, that has very little temperature fluctuations.

You need to be aware that one does not imply the other, in fact you could be at the center of the Sun, and the space would be isotropic to you but will not be homogeneous.

#### 5.1.1 Metric of the Universe

The metric has to satisfy the two magic properties but not necessarily for the entire of the spacetime, indeed they refer to spatial coordinates and not to the temporal one.

Universe is expanding and there is not isotropy in time, so we will separate the discussion for time and spatial coordinates.

Our first guess on the metric will be

$$ds^2 = -dt^2 + R(t)^2 d\sigma^2$$

where

- $R(t)$  is the scale factor, depends on time and has the dimension of a *length*, describes how big U. becomes with time.

- $d\sigma^2 = \gamma_{ij}(u) du^i du^j$  , dimensionless.
- $u^i$  are comoving coordinates (dimensionless)

We will spend some more words on comoving coordinates, an observer at rest in the coordinates  $u^i$ , (so with  $u^i = \text{const}$ ), will see a homogeneous and isotropic Universe, and this is ensured by cosmological principle.<sup>1</sup>

Time and length have the same dimension as long as  $c = 1$ .

### Maximally symmetric space

Is a space that has the largest number of symmetries for a given dimension, same curvature in every point, in every direction. We want to write down  $\gamma_{ij}$  in the most general form, once done that we will have the metric, so we have to describe the most general 3d space compatible with the Cosmological Principle. We can try guess by imagining some space that are isotropic and homogeneous:

1. Euclidean,  $\gamma_{ij} = \delta_{ij}$  so  $d\sigma^2 = dx^2 + dy^2 + dz^2 = dr^2 + r^2 d\Omega^2$
2. sphere, 3d-sphere
3. Hyperbolic space

Regarding point (2), we can write a 1d sphere and see that coordinates are just  $\phi$ , then adding a dimension we see that

$$d\sigma_{(2)}^2 = d\theta^2 + \sin^2\theta d\phi^2$$

Adding a third dimension, called  $\chi$  will be

$$d\sigma_{(3)}^2 = d\chi^2 + \sin^2\chi d\sigma_{(2)}^2$$

From this the most general 3d metric is

$$d\sigma^2 = \frac{d\bar{r}^2}{1 - k\bar{r}^2} + \bar{r}^2 d\Omega^2$$

with  $k = -1, 0, +1$ , respectively: open U., flat U., closed U..

If  $k = 0$  you get the Euclidean metric, if  $k = +1$ , you get a closed Universe. Why? If I define a new variable  $\chi$  such that

$$d\chi = \frac{d\bar{r}}{\sqrt{1 - \bar{r}^2}} \rightarrow \chi = \arcsin(\bar{r}), \bar{r} = \sin\chi$$

then

$$d\sigma^2 = d\chi^2 + \sin^2\chi d\Omega^2$$

that is a sphere. While for  $k = -1$  you get the hyperboloid

$$d\sigma^2 = d\chi^2 + \sinh^2\chi d\Omega^2$$

that is the open Universe.

---

<sup>1</sup>we assume that galaxies are points and their motion is given from the gravitational contribute of all other points, we forget peculiar velocities.

### 5.1.2 Robertson-Walker metric

$$ds^2 = -dt^2 + R(t)^2 \left[ \frac{d\bar{r}^2}{1 - k\bar{r}^2} + \bar{r}^2 d\Omega^2 \right]$$

since time and  $R(t)$  have both dimension of length, all inside [...] is dimensionless.

In this metric there is an invariance: a transformation that leaves the metric invariant:

$$\begin{cases} \bar{r} \rightarrow \lambda \bar{r} \\ R(t) \rightarrow \frac{R(t)}{\lambda} \\ k \rightarrow \frac{k}{\lambda^2} \end{cases}$$

and we will do this rescaling using  $\lambda = R_0 = R(t_0)$ , where  $t_0$  means *today*.

$$\begin{cases} r = R_0 \bar{r} \text{ dimension: length} \\ a(t) = \frac{R(t)}{R_0} \text{ dimensionless} \\ \kappa = \frac{k}{R_0^2} \text{ dimension: length}^{-2} \end{cases}$$

so we see now  $\kappa$  can take every value, instead of just three.

The Robertson Walker metric becomes

$$ds^2 = -dt^2 + a(t)^2 \left[ \frac{dr^2}{1 - \kappa r^2} + r^2 d\Omega^2 \right]$$

and the metric becomes

$$g_{\mu\nu} = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & a^2 / (1 - \kappa r^2) & 0 & 0 \\ 0 & 0 & a^2 r^2 & 0 \\ 0 & 0 & 0 & a^2 r^2 \sin^2 \theta \end{pmatrix}$$

The inverse is a normal inverse. After this there is computation of all non-vanishing Christoffel symbols, I will skip that for now.

$$\rightarrow g_{\mu\nu} = \begin{pmatrix} -1 & \frac{a^2}{1-kx^2} & 0 & 0 \\ 0 & a^2 & 0 & 0 \\ 0 & 0 & a^2 r^2 & 0 \\ 0 & 0 & 0 & a^2 r^2 \sin^2 \theta \end{pmatrix}$$

important to realize that  

$$g^{\mu\nu} = \begin{pmatrix} -1 & \left(\frac{a^2}{1-kx^2}\right)^{-1} & 0 & 0 \\ 0 & \frac{1}{a^2} & 0 & 0 \\ 0 & 0 & \frac{1}{a^2 r^2} & 0 \\ 0 & 0 & 0 & \frac{1}{a^2 r^2 \sin^2 \theta} \end{pmatrix}$$

Christoffel symbols.  

$$\Gamma_{\alpha\beta}^{\gamma} = \frac{1}{2} g^{\gamma\delta} [\partial_{\alpha} g_{\beta\delta} + \partial_{\beta} g_{\alpha\delta} - \partial_{\delta} g_{\alpha\beta}]$$

- note depends on time just w/  $a(t)$ .  
 - don't depend on  $\theta$ , depends on  $r$ .

Figure 5.1:

$N=0 \rightarrow r=0 \quad g_{00} = -1$

$$\Gamma_{\alpha\beta}^0 = \frac{1}{2} g^{0\gamma} [\partial_\alpha g_{\beta\gamma} + \partial_\beta g_{\gamma\alpha} - \partial_\gamma g_{\alpha\beta}] = -\frac{1}{2} [\partial_\alpha g_{0\beta} + \partial_\beta g_{0\alpha} - \partial_0 g_{\alpha\beta}] =$$

$$= +\frac{1}{2} \partial_0 g_{\alpha\beta}$$

don't mix  $g_{00} = -1$  const  
 others are 0

$$\Gamma_{00}^0 = 0 \quad \Gamma_{ij}^0 = \frac{1}{2} \partial_0 g_{ij} = \frac{1}{2} \partial_0 (\alpha(t)^2 \delta_{ij}) = \alpha' \alpha \delta_{ij}$$

$$F_{0i}^0 = \Gamma_{i0}^0 = 0$$

$N=1$

$$\Gamma_{\alpha\beta}^i = \frac{1}{2} g^{i\gamma} [\partial_\alpha g_{\beta\gamma} + \partial_\beta g_{\gamma\alpha} - \partial_\gamma g_{\alpha\beta}] \rightarrow g=i$$

$$= \frac{1}{2} g^{i\gamma} g_{\gamma\delta} [\partial_\alpha g_{\beta\delta} + \partial_\beta g_{\delta\alpha} - \partial_\delta g_{\alpha\beta}]$$

$$= \frac{1}{2} \delta_{ij} [\partial_\alpha g_{\beta i} + \partial_\beta g_{i\alpha} - \partial_i g_{\alpha\beta}]$$

$g_{ij} = \alpha^2 \delta_{ij}$   
 $g^{ij} = \alpha^{-2} \delta^{ij} = \delta^{-2} \delta^{ij}$  **1**

$$\Gamma_{00}^i = 0 \quad \Gamma_{ij}^i = \frac{1}{2} g^{i\gamma} [\partial_0 g_{j\gamma} + \partial_j g_{\gamma i} - \partial_\gamma g_{i0}] \quad r=1$$

$$= \frac{1}{2} g^{ii} [\partial_0 g_{ji} + \partial_j g_{ii} - \partial_i g_{j0}] = \frac{1}{2} g^{ii} \partial_0 g_{ji}$$

$$= \frac{1}{2} \delta^{ij} \partial_0 [\alpha^2 \delta_{ij} \delta_{ij}] = \frac{1}{2} \delta^{ij}$$

don't mix  $\delta_{ij}$

$$\Gamma_{0n}^i = \frac{1}{2} g^{i\gamma} [\partial_0 g_{n\gamma} + \partial_n g_{\gamma 0} - \partial_\gamma g_{i0}] \quad r=i$$

$$= \frac{1}{2} g^{ii} [\partial_0 g_{ni} + \partial_n g_{ii} - \partial_i g_{n0}]$$

$$= \frac{1}{2} \delta^{ii} [\partial_0 \delta_{in} + \partial_n \delta_{ii} - \partial_i \delta_{in}]$$

$$= \frac{1}{2} \delta^{ii} \partial_0 \delta_{in}$$

$$\Gamma_{rr}^r = \frac{1}{2} (g_{rr})^{-1} \partial_r g_{rr} = \frac{1}{2} \left( \frac{1-h}{r^2} \right) + \frac{2h}{(1-hr^2)^2} = \frac{hr}{1-hr^2}$$

Figure 5.2:

If  $r = r$   $\alpha = r \rightarrow$   
 $\Gamma_{\partial t}^r = -\frac{1}{2}(\partial_{rr})^{-1} \partial_r \delta_{\partial t}$   
 $\partial_{\partial t} r \neq r$   
 $\Gamma_{\varphi\varphi}^r = \Gamma_{\theta\theta}^r \sin^2\theta$   $\Gamma_{\theta\theta}^r = -r(1-kr^2)$   
 $\begin{matrix} \nu=\theta \\ \mu=\varphi \end{matrix}$  ?  $\Gamma_{\partial t}^\theta = \frac{1}{2} \gamma_{\theta\theta}^{-1} [\partial_t \gamma_{\theta\theta} + \partial_\theta \gamma_{\partial t} - \partial_\theta \gamma_{\partial t}]$   
 $\Gamma_{\varphi\varphi}^\theta = \frac{1}{2} \gamma_{\theta\theta}^{-1} (-\partial_\theta \gamma_{\varphi\varphi}) = \frac{1}{2} \frac{1}{r^2} (-2 \sin\theta \cos\theta r^2) = -\cos\theta \sin\theta$   
 $\Gamma_{r\theta}^\theta = \frac{1}{2} \gamma_{\theta\theta}^{-1} [\partial_r \gamma_{\theta\theta}] = \frac{1}{r}$   
 $\Gamma_{\partial t}^\varphi = \frac{1}{2} \gamma_{\varphi\varphi}^{-1}$   $\gamma = \varphi$   $h = r, \theta$   
 $\Gamma_{\varphi r}^\varphi = \frac{1}{2} \frac{1}{r^2 \sin^2\theta} \partial_r (r^2 \sin^2\theta) = \frac{1}{r}$   
 $\Gamma_{\varphi\theta}^\varphi = \frac{1}{2} \frac{1}{r^2 \sin^2\theta} \partial_\theta (r^2 \sin^2\theta) = \frac{\cos\theta}{\sin\theta}$   
 $R_{\mu\nu} = R^\alpha_{\mu\alpha\nu}$   
 $R_{tt} = -3\frac{\ddot{a}}{a}$  ;  $R_{rr} = \frac{a\ddot{a} + 2\dot{a}^2 + 2k}{1-kr^2}$   $R_{\theta\theta} = r^2(\frac{1}{a}\ddot{a} + 2\frac{\dot{a}^2}{a^2} + 2k)$   
 $R_{\varphi\varphi} = R_{\theta\theta} \sin^2\theta$   
 $R = 6[\frac{\ddot{a}}{a} + (\frac{\dot{a}}{a})^2 + \frac{k}{a^2}]$   
 he like to see  $\Gamma_s$

Figure 5.3:



## 5.2 Lec 24

Yesterday we found the Robertson-Walker metric, we derived Christoffel symbols and we got Ricci tensor. Today we will focus on the Einstein Equation.

### 5.2.1 Einstein Equation for Cosmology

$$R_{\mu\nu} = 8\pi G \left( T_{\mu\nu} - \frac{1}{2} g_{\mu\nu} T \right)$$

This is an equivalent mode to write down the EE, and right now it is more convenient because we already know the left-hand side.

We will try to compute the Energy-momentum tensor, this is kinda new, because until now we treated EE in the vacuum.

It makes sense to employ as E-M tensor the one of the *perfect fluid*, that is

$$T_{\mu\nu} = (\rho + p) u^\mu u^\nu + p g^{\mu\nu}$$

were we substituted  $\eta_{\mu\nu}$  with  $g_{\mu\nu}$ , for us is more convenient the following form

$$T_\nu^\mu = (\rho + p) u^\mu u_\nu + p \delta_\nu^\mu$$

so the metric tensor does not appear. I will remember you that in a comoving frame  $u^\mu = (1, 0, 0, 0)$ , so a particle of the fluid described by this field of  $u^\mu$  is at rest.

$$T_\nu^\mu = \begin{pmatrix} -\rho(t) & 0 & 0 & 0 \\ 0 & p(t) & 0 & 0 \\ 0 & 0 & p(t) & 0 \\ 0 & 0 & 0 & p(t) \end{pmatrix}$$

$\rho$  and  $p$  are constant in space, but can be dependent on time. We see that the pressure part is the same along the three spatial axis, and so it is *isotropic*.

The trace

$$T = g^{\mu\nu} T_{\mu\nu} = T_\mu^\mu = -\rho + 3p$$

if the e-m tensor is homogeneous and isotropic I expect also the metric to be like that.

### 5.2.2 Conservation of four-momentum

When we was building the EE, we said that  $\nabla_\mu T_{\mu\nu} = 0$ , this means  $\nabla_\mu T_\nu^\mu = 0$

$$\nabla_\mu T_\nu^\mu = \partial_\mu T_\nu^\mu + \Gamma_{\mu\lambda}^\mu T_\nu^\lambda - \Gamma_{\mu\nu}^\lambda T_\lambda^\mu$$

here the only free index is  $\nu$ , so I can set it to zero,  $\nu = 0$

$$\begin{aligned} \partial_\mu T_0^\mu + \Gamma_{\mu\lambda}^\mu T_0^\lambda + \Gamma_{\mu 0}^\lambda T_\lambda^\mu &= \\ = -\partial_t \rho(t) + \Gamma_{\mu 0}^\mu T_0^0 - \Gamma_{\mu 0}^\lambda T_\lambda^\mu &= \\ = -\partial_t \rho(t) - \frac{3\dot{a}}{a} \rho(t) - \frac{3\dot{a}}{a} p(t) &= 0 \\ \frac{\partial \rho}{\partial t} + \frac{3\dot{a}}{a} (\rho + p) &= 0 \end{aligned} \tag{5.1}$$

on the second step we got that  $\mu$  needs to be 0 to get a non zero contribution, this is valid for the first and second term ( $\lambda$ ). Regard the third term  $\lambda$  can only be spatial, otherwise we would have a null connection.

So from the last we see that the energy density changes if  $\dot{a} \neq 0$ .

We will introduce the *Hubble parameter*,  $H$  that is

$$H(t) = \frac{\dot{a}(t)}{a(t)}$$

and we will write the equation of state

$$p = w\rho$$

this form is used in cosmology and the *equation of state parameter*,  $w$  can take different values, based on what kind of fluid we are studying.

Plugging the equation of state inside 5.1, we get

$$\begin{aligned} \frac{\partial \rho}{\partial t} + 3H(1+w)\rho &= 0 \\ \frac{d\rho}{dt} + \frac{3}{a} \frac{da}{dt} (1+w)\rho &= 0 \\ \ln \rho &= -3(1+w) \ln a + \text{const} \\ \rho(a) &= \rho(a_0) \left( \frac{a_0}{a} \right)^{3(1+w)} \end{aligned} \tag{5.2}$$

last two steps are valid if  $w$  is a constant. This gives us how  $\rho$  behaves as the Universe expands (or shrinks).

As said before  $w$  can take different values:

### Dust

Dust has been defined as *something non-relativistic*. So the pressure is negligible compared to energy density. Indeed we have

$$w = 0 \rightarrow w = \frac{p}{\rho} \ll 1$$

To understand this better we will take the Equation of Gasses, for an ideal gas

$$pV = NK_b T$$

in natural units  $K_b = 1$ , so that temperature and pressure have the same dimension. Dividing by the volume we get

$$p = nT$$

with  $n$  number density  $n = \frac{N}{V}$ . Using the expression for energy density for a NR gas,  $\rho = m \cdot n$  we get

$$w = \frac{p}{\rho} = \frac{T}{m}$$

By the way, if we plug this specific  $w$ , in 5.2 we get that  $\rho(a) \propto a^{-3}$ .

### Radiation

Radiation has been defined as *anything that's massless, or that could be approximated as massless*. In this case  $w = \frac{1}{3}$  and  $p = \frac{1}{3}\rho$ , so

$$\rho(a) \propto a^{-4} = a^{-3}a^{-1}$$

we split the  $a$  into two contribution

- $a^{-3}$  is the dilution, so the Universe is getting bigger
- $a^{-1}$  is due to the fact that expansion also stretches photon, and their wavelength

Let's study the motion of a photon in expanding Universe:

$$\frac{dp^\mu}{d\lambda} + \Gamma_{\alpha\beta}^\mu p^\alpha p^\beta = 0$$

I choose  $\lambda$  such that  $p^\mu = (E, \vec{p}) = \frac{dx^\mu}{d\lambda}$ . We will take the equation for  $\mu = 0$ , since we are interested in the energy of the photon.

$$\begin{aligned} \frac{dp^0}{d\lambda} + \Gamma_{\alpha\beta}^0 p^\alpha p^\beta &= 0 \\ \frac{dp^0}{dt} \frac{dt}{d\lambda} + \Gamma_{ij}^0 p^i p^j &= 0 \\ \frac{dE}{dt} E + a\dot{a}\gamma_{ij}p^i p^j &= 0 \end{aligned}$$

Remember the four-velocity normalization for a massless particle

$$p^\mu p_\mu = g_{\mu\nu} p^\mu p^\nu = 0$$

We can unravel it avoiding to use the metric tensor:

$$-E^2 + a^2 (\gamma_{ij} p^i p^j) = 0 \rightarrow \gamma_{ij} p^i p^j = \frac{E^2}{a^2}$$

With this we get a nicer equation of the variation of the energy with respect to the  $a$  scale factor:

$$E \frac{dE}{dt} + a\dot{a} \frac{E^2}{a^2} = 0 \rightarrow \frac{dE}{E^2} = \frac{da}{a} \rightarrow E \propto a^{-1}$$

### Dark Energy / Cosmological constant

The equation of state parameter can take negative values to take in account entities with negative pressure, introduced to answer to the accelerated expansion of the Universe. To describe the Cosmological Constant, that takes in account the effects of dark energy, we have  $w = -1$ .

We mentioned *acceleration* and  $w$  in the same sentence and we would like to see them in the same equation, too. Be the EE

$$R_{\mu\nu} = 8\pi G \left( T_{\mu\nu} - \frac{1}{2} g_{\mu\nu} T \right)$$

I have 10 equation, but with isotropy we are left with two independent equations. First can be found with  $\mu = \nu = 0$ :

$$\begin{aligned}
 R_{00} &= -\frac{3\ddot{a}}{a} = 8\pi G \left( T_{00} - \frac{1}{2}g_{00}T \right) \\
 &= 8\pi G \left( g_{00}T_0^0 - \frac{1}{2}g_{00}T \right) \\
 &= 8\pi G \left( +\rho + \frac{1}{2}(-\rho + 3p) \right) \\
 \frac{\ddot{a}}{a} &= -\frac{8\pi G}{3} \left( \frac{\rho}{2} + \frac{3}{2}p \right) \\
 \frac{\ddot{a}}{a} &= -\frac{4\pi G}{3} (\rho + 3p)
 \end{aligned} \tag{5.3}$$

Equation 5.3 is the *acceleration equation*, if  $w < -\frac{1}{3}$  I get an accelerating universe.

The second equation can be found with  $\mu = \nu = i$ , with any of the spatial coordinates. We will choose  $\mu = \nu = r$ .

$$\begin{aligned}
 R_{rr} &= \frac{a\ddot{a} + 2\dot{a}^2 + 2\kappa}{1 - \kappa r^2} = 8\pi G \left( T_{rr} - \frac{1}{2}g_{rr}T \right) \\
 &= 8\pi G \left( g_{rr}p - \frac{1}{2}g_{rr}(-\rho + 3p) \right) \\
 \left( \text{with } g_{rr} &= \frac{a^2}{1 - \kappa r^2} \right) \\
 \frac{\ddot{a}}{a} + 2\frac{\dot{a}^2}{a^2} + 2\frac{\kappa}{a^2} &= 8\pi G \left( p + \frac{1}{2\rho} - \frac{3}{2}p \right) \\
 \frac{\ddot{a}}{a} + 2\frac{\dot{a}^2}{a^2} + 2\frac{\kappa}{a^2} &= 4\pi G (+\rho - p)
 \end{aligned} \tag{5.4}$$

If I get a combination of eqs. 5.3 and 5.4, I could erase the  $\frac{\ddot{a}}{a}$  term. We'll do 5.4 - 5.3.

$$\begin{aligned}
 2 \left( \frac{\dot{a}}{a} \right)^2 + 2\frac{\kappa}{a^2} &= 4\pi G \left( \rho - p + \frac{\rho}{3} + p \right) = 4\pi G \left[ \frac{4}{3}\rho \right] \\
 \left( \frac{\dot{a}}{a} \right)^2 + \frac{\kappa}{a^2} &= \frac{8\pi G}{3}\rho \\
 \left( \frac{\dot{a}}{a} \right)^2 &= \frac{8\pi G}{3}\rho - \frac{\kappa}{a^2}
 \end{aligned} \tag{5.5}$$

This last eq. 5.5, is known as the *Friedmann Equation*.

One probable question could be to try to derive 5.2 from 5.4, 5.5, or other combinations of these three.

Since the origin of the origin of the fluid equation (5.2) was the conservation of energy,  $\nabla_\mu T^{\mu\nu} = 0$ , but this equation implies  $\nabla_\mu G^{\mu\nu} = 0$ , and since the two equations of Friedmann and 5.4, are solution of the EE, they must respect the conservation of the energy.

Now, why we want to study the cosmological constant? When these equation came out, it was strange to think that Universe was expanding, so people looked for static universe solutions, that are determined by

$$a = \text{const}$$

$$\begin{cases} \dot{a} = 0 \\ \ddot{a} = 0 \end{cases}$$

this is not possible because all the form of matter know back then, where known to have  $\rho$  and  $p$  to be both positive, and Universe was expected to be *decelerating*. So they looked for a contribution to compensate the deceleration and get a static universe,  $\Lambda$ .

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R + \Lambda g_{\mu\nu} = 8\pi G t_{\mu\nu}$$

and  $\Lambda$  has an intepretation of *vacuum energy* of the Universe.

There are a fast way and a complete way to derive the cosmological constant. The latter implies the use of the *principle of least action*, we will do the former.

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = 8\pi G T_{\mu\nu} - \Lambda g_{\mu\nu}$$

$$= 8\pi G (T_{\mu\nu} + T_{\mu\nu}^{\Lambda})$$

with

$$T_{\mu\nu}^{\Lambda} = -\frac{\Lambda}{8\pi G}g_{\mu\nu}$$

$$\rightarrow T_{\nu}^{\Lambda\mu} = \text{diag}(-\rho_{\Lambda}, p_{\Lambda}, p_{\Lambda}, p_{\Lambda}) = -\frac{\Lambda}{8\pi G}\delta_{\nu}^{\mu}$$

also

$$\rho^{\Lambda} = \frac{\Lambda}{8\pi G}$$

$$p_{\Lambda} = -\frac{\Lambda}{8\pi G} \rightarrow p_{\Lambda} = -\rho_{\Lambda}$$

this makes eq.5.5, the Friedmann equation,

$$\left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G}{3}\rho - \frac{\kappa}{a^2} + \frac{\Lambda}{3}$$

while the *acceleration* equation, eq, 5.3,

$$\frac{\ddot{a}}{a} = -\frac{4\pi G}{3}(\rho + 3p) + \frac{\Lambda}{3}$$

### Solutions to 5.5

There are some evidences that says  $\kappa = 0$ , so the Universe is flat. Be the Friedmann equation

$$H^2 = \frac{8\pi G}{3} \sum_1 \rho_i - \frac{\kappa}{a^2}$$

and

$$1 = \sum_i \Omega_i + \Omega_k$$

$$\Omega_k = -\frac{\kappa}{a^2 H^2} \text{ contribute of curvature}$$

$$\Omega_i = \frac{\rho_i}{\rho_{cr}} \text{ fractional density of each species}$$

$$\rho_{cr} = \frac{3H^2}{8\pi G}$$

so with  $\kappa = 0$

$$\frac{\dot{a}}{a} = \sqrt{\frac{8\pi G}{3}} \sqrt{\rho}$$

$$\rho \propto a^{-3(1+w)}$$

$$\rightarrow \frac{\dot{a}}{a} = \text{const } a^{\frac{-3(1+w)}{2}}$$

and this will be an exercise, a differential equation to solve.

Some other solutions are

- $w = 0 \rightarrow a(t) \propto t^{2/3}; H = \frac{2}{3t}$
- $w = 1/3 \rightarrow a(t) \propto t^{1/2}; H = \frac{1}{2t}$

## Chapter 6

## Exercises



**QUESTION 1.** Consider a two-dimensional Cartesian coordinate system  $(x, y)$  with the infinitesimal line element  $ds^2 = dx^2 + dy^2$ . We then introduce new coordinates  $u$  and  $v$ , defined by  $u = (x+y)/2$  and  $v = (x-y)/2$ . Find the components of the metric tensor in the new coordinates  $(u, v)$  using the transformation rule for a  $(0, 2)$  tensor, which states that  $g_{\mu'\nu'} = \frac{\partial x^\mu}{\partial x^{\mu'}} \frac{\partial x^\nu}{\partial x^{\nu'}} g_{\mu\nu}$ . You should use this method exclusively, without relying on any alternative approaches.

**QUESTION 2.** Consider the two-dimensional plane in polar coordinates, where the infinitesimal line element is given by  $ds^2 = dr^2 + r^2 d\phi^2$ . (i) How many independent Christoffel symbols are there in total in two dimensions? (ii) How many independent and non-vanishing Christoffel symbols are there for this particular case? (iii) Compute the explicit form of one non-vanishing Christoffel symbol at your choice.

**QUESTION 3.** Consider the two-dimensional spacetime where the infinitesimal line element is given by  $ds^2 = -(1+x)^2 dt^2 + dx^2$ . (i) How many independent Christoffel symbols are there in principle in two dimensions? (ii) How many independent and non-vanishing Christoffel symbols are there for this example? (iii) Compute the explicit form of  $\Gamma_{tx}^t$  for this example.

**QUESTION 4.** Consider the two-dimensional space where the infinitesimal line element is given by  $ds^2 = (1+x^2)dx^2 + (1+y^2)dy^2$ . Compute the Christoffel symbols  $\Gamma_{xx}^x$  and  $\Gamma_{yy}^x$ .

**QUESTION 5.** A good approximation for the metric outside the Earth's surface is given by  $ds^2 = -(1+2\Phi)dt^2 + (1-2\Phi)dr^2 + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2$ , where  $\Phi = -GM_E/r$  is the Newtonian potential. Consider two clocks: one located at the Earth's surface ( $R_E$  is the Earth's radius) and another on top of a building of height  $h$ . Calculate the time elapsed on each clock as a function of the coordinate  $t$ . Provide an approximate ratio of these two times in the  $h \ll R_E$  limit.

**QUESTION 6.** Consider the metric for a two-dimensional sphere with unit radius, given by the expression  $ds^2 = d\theta^2 + \sin^2 \theta d\phi^2$ . The only non-vanishing Christoffel symbols are  $\Gamma_{\phi\phi}^\theta = -\sin \theta \cos \theta$  and  $\Gamma_{\theta\theta}^\phi = \cos \theta / \sin \theta$  (and the ones related by symmetry). Write down the geodesic equations for this case and verify explicitly that: (i) lines at constant longitude are geodesics; (ii) the only geodesic at constant latitude is the equator.

**QUESTION 7.** Consider the metric for a two-dimensional sphere with unit radius, given by the expression  $ds^2 = d\theta^2 + \sin^2 \theta d\phi^2$ . The only non-vanishing Christoffel symbols are  $\Gamma_{\phi\phi}^\theta = -\sin \theta \cos \theta$  and  $\Gamma_{\theta\theta}^\phi = \cos \theta / \sin \theta$  (and the ones related by symmetry). Consider a vector  $V^\mu = (V^\theta, V^\phi)$ . Compute the covariant derivatives  $\nabla_\mu V^\nu$  and  $\nabla_\mu V_\nu$  and give the explicit expressions. Then use these expressions to check explicitly that  $\nabla_\mu (V^\nu V_\nu) = \partial_\mu (V^\nu V_\nu)$ .

**QUESTION 8.** Given an arbitrary  $(2, 0)$  tensor  $W^{\mu\nu}$ , what conditions, if any, must be satisfied in order for the identity  $[\nabla_\mu, \nabla_\nu]W^{\mu\nu} = 0$  to hold?

**QUESTION 9.** Consider a photon moving in a two-dimensional spacetime described by the metric  $ds^2 = -(1+x^2/\ell^2)dt^2 + dx^2$ , where  $\ell$  is a constant. The photon follows a geodesic  $x^\mu(\lambda)$  parameterized by an affine parameter  $\lambda$ , with its four-momentum given by  $p^\mu = dx^\mu/d\lambda$ . Using the geodesic equation, derive all conserved quantities for this system. Use this result to express for  $dx/d\lambda$  as a function of the radial coordinate and conserved quantities.

**QUESTION 10.** Starting from the expression of the Schwarzschild metric, compute the explicit expressions for the Christoffel symbols  $\Gamma_{rr}^r$  and  $\Gamma_{r\phi}^\phi$ .



**QUESTION 11.** For geodesic motion in the Schwarzschild metric, the following two quantities are conserved:  $E = (1 - 2GM/r) dt/d\lambda$  and  $L = r^2 d\phi/d\lambda$ . Determine the smallest allowed radius for a stable circular orbit for a massive object.

**QUESTION 12.** For geodesic motion in the Schwarzschild metric, the following two quantities are conserved:  $E = (1 - 2GM/r) dt/d\lambda$  and  $L = r^2 d\phi/d\lambda$ . Consider the circular orbits for a massive object and determine the radius of the stable orbit in the  $L \rightarrow \infty$  limit. Compare this result with the corresponding Newtonian result.

**QUESTION 13.** For geodesic motion in the Schwarzschild metric, the following two quantities are conserved:  $E = (1 - 2GM/r) dt/d\lambda$  and  $L = r^2 d\phi/d\lambda$ . Discuss all possible circular orbits for a massless object and determine their stability.

**QUESTION 14.** For geodesic motion in the Schwarzschild metric, the following two quantities are conserved:  $E = (1 - 2GM/r) dt/d\lambda$  and  $L = r^2 d\phi/d\lambda$ . For this problem, we set our units such that  $GM = 1$  and consider the motion of a photon with angular momentum  $L = 10$ . Find the minimum value of  $E$  such that the photon can reach the singularity at  $r \rightarrow 0$ .

**QUESTION 15.** Consider the linear regime where  $g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$  with  $|h_{\mu\nu}| \ll 1$ . First, define the vector  $V_\mu = \partial_\nu h_\mu^\nu - \frac{1}{2} \partial_\mu h_\nu^\nu$ . Consider the gauge transformation described by the function  $\xi_\alpha(x^\mu)$ . (i) What happens to the vector  $V_\mu$  after such a gauge transformation? (ii) Is it possible to find a gauge where  $V_\mu$  is vanishing?

**QUESTION 16.** Consider a plane wave solution in the TT gauge  $h_{\mu\nu}^{TT} = \text{Re}(C_{\mu\nu} \exp[ik_\sigma x^\sigma])$  propagating along the positive direction of the  $z$ -axis. (i) What are the explicit components of  $k^\mu$ ? (ii) What is the explicit form of  $C_{\mu\nu}$  for the  $+$  polarization? (iii) Take the plane wave solution for the  $+$  polarization and determine its form after a gauge transformation parameterized by the function  $\xi^\mu = (\alpha(x^\mu), \beta(x^\mu), 0, 0)$  with  $\alpha(x^\mu)$  and  $\beta(x^\mu)$  generic functions of the spacetime coordinates.

**QUESTION 17.** The general TT gauge plane wave solution results in  $h_{\mu\nu}^{TT} = \text{Re}(C_{\mu\nu} \exp[ik_\sigma x^\sigma])$ . We start by considering a Cartesian coordinate system  $(x, y, z)$  and  $k^\mu = (\omega, 0, k, k)$ . (i) What is the relation between  $\omega$  and  $k$ ? (ii) Define now a new Cartesian coordinate system  $(x', y', z')$  where  $x = x'$ ,  $z' = z$  is the propagation direction, and  $y'$  is defined such that the new system is a right-handed system. We consider the plane wave solution characterized by a superposition of a “+ polarization” with amplitude  $h'_+$  and a “ $\times$  polarization” with amplitude  $h'_\times$  defined in the new coordinate system. Write down the explicit expression for the amplitude tensor  $C_{\mu\nu}$  valid in the original Cartesian system.

**QUESTION 18.** Assume that the Friedmann equation  $H^2 = 8\pi G\rho/3 - \kappa/a^2$  and the fluid equation  $\dot{\rho} + 3H(\rho + p) = 0$  are valid. Without any further assumption, derive the result  $\ddot{a}/a = -4\pi G(\rho + 3p)/3$ .

**QUESTION 19.** Assume that the universe is spatially flat ( $\kappa = 0$ ) and that is filled with a single fluid with equation of state  $p = (2/3)\rho$ . Let  $t_0$  be the current time,  $a_0 = 1$  the current value of the scale factor,  $\rho_0$  the current value of the energy density. Derive the following quantities and provide the answers in terms of the variables defined in this exercise: (i) how the energy density  $\rho(a)$  depends on the scale factor; (ii) how the scale factor  $a(t)$  depends on time; (iii) how the Hubble parameter  $H(t)$  depends on time; (iv) how the energy density  $\rho(t)$  depends on time.

**QUESTION 20.** Consider a spatially flat universe ( $\kappa = 0$ ) with a non-vanishing cosmological constant  $\Lambda$  and containing only non-relativistic matter with energy density  $\rho_M(a)$ . Let  $t_0$  be the current time,  $a_0 = 1$  the current value of the scale factor,  $\rho_0$  the current value of the energy density, and  $H_0$  the current value of the Hubble parameter. Define  $\Omega_M(a) \equiv \rho_M(a)/\rho_{\text{cr}}(a)$ , where  $\rho_{\text{cr}}(a)$  is the critical energy density. (i) Derive an expression for  $\Omega_M(a)$  as a function of the scale factor  $a$  in terms of the data of the problem. (ii) With the additional information  $\Omega_0 = 0.3$ , derive the value of the scale factor  $a_\Lambda$  that corresponds to the moment when the universe began accelerating.

**Ex 1**

Since metric is

$$ds^2 = dx^2 + dy^2$$

then metric tensor in this coordinates system is

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

Now, requested transformation rule for (0,2) tensor is

$$g_{\mu'\nu'} = \frac{dx^\mu}{dx^{\mu'}} \frac{dx^\nu}{dx^{\nu'}} g_{\mu\nu}$$

those partial derivatives are the Jacobian, to compute it I have to express old coordinates in function of new coordinates:

$$\begin{cases} u = (x + y) / 2 \\ v = (x - y) / 2 \end{cases} \rightarrow \begin{cases} x = u + v \\ y = u - v \end{cases}$$

then the Jacobian is

$$\frac{dx^\mu}{dx^{\mu'}} = \begin{pmatrix} dx^0/dx^{0'} & dx^0/dx^{1'} \\ dx^1/dx^{0'} & dx^1/dx^{1'} \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

with  $x^0 = x, x^1 = y$ , I apply transformation rule, just for first term, other follows straight up

$$g_{0'0'} = \frac{dx^\mu}{dx^{0'}} \frac{dx^\nu}{dx^{0'}} g_{\mu\nu} = \frac{dx^0}{dx^{0'}} \frac{dx^0}{dx^{0'}} g_{00} + \frac{dx^1}{dx^{0'}} \frac{dx^1}{dx^{0'}} g_{11} = (1 \cdot 1 \cdot 1) + (1 \cdot 1 \cdot 1) = 2$$

other terms of the metric tensor  $g_{\mu\nu}$  are omitted since they're null.

$$g_{\mu'\nu'} = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$$

**Ex 2**

$$ds^2 = dr^2 + r^2 d\phi^2$$

First question: how many free Christoffel symbols?

$$\Gamma_{\nu\rho \rightarrow 2 \cdot 2=4}^{\mu \rightarrow 2},$$

8 total, since symmetry on lower two, we have  $4 \rightarrow 3$ , then  $2 \cdot 3 = 6$ .

Second quesstion: how many Christoffel symbols do not vanish?

$$g_{\mu\nu} = \begin{pmatrix} 1 & 0 \\ 0 & r^2 \end{pmatrix}; g^{\mu\nu} = \frac{1}{r^2} \begin{pmatrix} r^2 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & \frac{1}{r^2} \end{pmatrix}$$

with this you can determine how many non vanishing Christoffel symbols there are.

$$\Gamma_{\mu\nu}^\sigma = \frac{1}{2} G^{\sigma\rho} (\partial_\mu g_{\nu\rho} + \partial_\nu g_{\rho\mu} - \partial_\rho g_{\mu\nu})$$

we see that only non-zero component of derivative of metric is  $\partial_r g_{\phi\phi} = 2r$ , and only non-zero component of inverse metric are  $g_{\rho\sigma}, \rho = \sigma$ .

$$\begin{aligned}\Gamma_{xx}^x &= \oslash \\ \Gamma_{r\phi}^r &= \Gamma_{\phi r}^r = \oslash \\ \Gamma_{\phi\phi}^r &= \frac{1}{2} g^{rr} (\partial_\phi g_{\phi r} + \partial_\phi g_{r\phi} - \partial_r g_{\phi\phi}) = -\frac{1}{2} \cdot 2r = -r \\ \Gamma_{rr}^\phi &= \oslash \\ \Gamma_{r\phi}^\phi &= \Gamma_{\phi r}^\phi = \frac{1}{2} g_{\phi\phi} (\partial_\phi g_{r\phi} + \partial_r g_{\phi\phi} - \partial_\phi g_{\phi r}) = \frac{1}{2} \cdot 2r \cdot \frac{1}{r^2} \\ \Gamma_{\phi\phi}^\phi &= \oslash\end{aligned}$$

### Ex 3

Same as Ex 2

### Ex 4

Same as Ex 2,3

### Ex 5

$$ds^2 = -(1 + 2\phi) dt^2 + (1 - 2\phi) dr^2 + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2, \phi = -\frac{GM}{r}$$

To compute time, I need to compute an interval, but since both clock stand still in their position, in any generic interval, spatial coordinates vanish. I'm left with

$$ds^2 = -(1 + 2\phi) dt^2$$

Being  $d\tau^2 = -ds^2 = (1 + 2\phi) dt^2$ ,

$$d\tau = \sqrt{1 + 2\phi} dt$$

After integrating in  $dt$  in interval  $[0, t]$ :

$$\begin{aligned}\tau_1 &= \sqrt{1 + 2\phi(R)} t \\ \tau_2 &= \sqrt{1 + 2\phi(R + h)} t\end{aligned}$$

$$\frac{\tau_2}{\tau_1} = \frac{\sqrt{1 + 2\phi(R + h)}}{\sqrt{1 + 2\phi(R)}}$$

$$\phi(R + h) = \phi(R) + \phi'(R)h + \dots = -\frac{GM}{R} + \frac{GMh}{R^2} + \dots$$

$$\frac{\tau_2}{\tau_1} = \frac{\sqrt{1 + 2\phi(R + h)}}{\sqrt{1 + 2\phi(R)}} = \frac{\sqrt{1 - 2\phi(R) + 2\phi'(R)h}}{\sqrt{1 - 2\phi(R)}} = \sqrt{1 + \frac{2\phi'(R)h}{1 - 2\phi(R)}}$$

Then you do some simplifications and then do series expansion for  $\sqrt{1 + x} \approx 1 + \frac{x}{2}$ , and you should get

$$\frac{\Delta\tau_2}{\Delta\tau_1} \approx 1 + \frac{GMh}{2R(R - 2GM)}$$

**Ex 6**

If you don't remember, you can get the geodesics equation from

$$\frac{D}{d\lambda} \left( \frac{dx^\nu}{d\lambda} \right) = \frac{dx^\mu}{d\lambda} \nabla_\mu \left( \frac{dx^\nu}{d\lambda} \right) = \dots$$

So we get two geodesics equations:

$$\frac{d^2 x^\phi}{d\lambda^2} + \Gamma_{\theta\phi}^\phi \frac{dx^\theta}{d\lambda} \frac{dx^\phi}{d\lambda} = 0 = \frac{d^2 x^\phi}{d\lambda^2} + \frac{\cos\theta}{\sin\theta} \frac{dx^\theta}{d\lambda} \frac{dx^\phi}{d\lambda} \quad (6.1)$$

$$\frac{d^2 x^\theta}{d\lambda^2} + \Gamma_{\phi\phi}^\theta \frac{dx^\phi}{d\lambda} \frac{dx^\phi}{d\lambda} = 0 = \frac{d^2 \theta}{d\lambda^2} - \sin\theta \cos\theta \frac{dx^\phi}{d\lambda} \frac{dx^\phi}{d\lambda} \quad (6.2)$$

Now you have to check both conditions for both equations.

First one: constant longitude means  $\frac{dx^\phi}{d\lambda} = 0$ , I think it's trivial.

Second one: you get that in 6.1, since we are at constant latitude, vanishes. In 6.2 you get that the second term vanishes only for  $\theta = 0, \frac{\pi}{2}$ , but only 0 is a geodesics, other solution are the poles, degenerate solution.

**Ex 7**

First request, just compute everything. Be aware to use all combinations.

Second request: use product rule so

$$\begin{aligned} \nabla_\mu (V^\nu V_\nu) &= \partial_\mu (V^\nu V_\nu) \\ (\nabla_\mu V^\nu) V_\nu + V^\nu (\nabla_\mu V_\nu) &= (\partial_\mu V^\mu) V_\nu + V^\nu (\partial_\mu V_\nu) \end{aligned}$$

Then you compute the covariant derivatives, you will find a piece that is the same as the one on the right-hand side, and a piece with the Connections. For this last piece, you rename some indices to make it like the other term. Be aware to justify some commutations.

**Ex 8**

That commutator is how we defined the Riemann tensor, so

$$\begin{aligned} [\nabla_\mu, \nabla_\nu] W^{\mu\nu} &= R_{\sigma\mu\nu}^\mu W^{\sigma\nu} + R_{\sigma\mu\nu}^\nu W^{\mu\sigma} \\ R_{\sigma\mu\nu}^\mu W^{\sigma\nu} - R_{\sigma\nu\mu}^\nu W^{\mu\sigma} &\text{ used anti-simmetry on last couple of indices} \\ R_{\sigma\nu} W^{\sigma\nu} - R_{\sigma\mu} W^{\mu\sigma} &\text{ contracted index to get Ricci tensor} \\ R_{\sigma\nu} W^{\sigma\nu} - R_{\sigma\nu} W^{\nu\sigma} &\text{ renamed } \mu \rightarrow \nu \end{aligned}$$

At this point this is zero only if

- spacetime is flat  $\rightarrow R_{\mu\nu} = 0$
- $W^{\mu\nu}$  tensor is symmetric.

**Ex 9****Ex 10**

From

$$ds^2 = - \left(1 - \frac{2GM}{r}\right) dt^2 + \left(1 - \frac{2GM}{r}\right)^{-1} dr^2 + r^2 d\Omega^2$$

as before, get  $g_{\mu\nu}$ ,  $g^{\mu\nu}$ , and then compute connections as usual. Straightforward, no tricks.

**Ex 11**

Write Schwarzschild metric, and write down conserved quantities. Remember that four velocity satisfies this normalization:

$$g_{\mu\nu} u^\mu u^\nu = -1$$

for the case of a massive particle. So, first thing first, you have to say that you choose  $\lambda$  in such a way that  $\frac{dx^\mu}{d\lambda}$  is the four-velocity<sup>1</sup>.

$$g_{tt} \left(\frac{dt}{d\lambda}\right)^2 + g_{rr} \left(\frac{dr}{d\lambda}\right)^2 + g_{\phi\phi} \left(\frac{d\phi}{d\lambda}\right)^2 = -\epsilon_r \quad (6.3)$$

by substituting the conserved quantities and their friends we got

$$- \left(1 - \frac{2GM}{r}\right) \frac{E^2}{\left(1 - \frac{2GM}{r}\right)^2} + \frac{1}{\left(1 - \frac{2GM}{r}\right)} \left(\frac{dr}{d\lambda}\right)^2 + \frac{r^2 L^2}{r^4} = -\epsilon_r \quad (6.4)$$

with some manipulation,

$$\frac{1}{2} E^2 = \frac{1}{2} \left(\frac{dr}{d\lambda}\right)^2 + \left(1 - \frac{2GM}{r}\right) \left(\frac{\epsilon}{2} + \frac{L^2}{2r^2}\right) \quad (6.5)$$

the second term of the right-hand side is  $V_{eff}(r)$ , the effective potential that holds effects of gravity and angular momentum, while the first term on the right is the radial kinetic energy.

$$\frac{1}{2} \left(\frac{dr}{d\lambda}\right)^2 + V_{eff}(r) = \frac{1}{2} E^2 = \mathcal{E} \quad (6.6)$$

Let's focus on this potential

$$V_{eff}(r) = \frac{\epsilon}{2} + \frac{L^2}{2r^2} - \frac{\epsilon GM}{r} - \frac{GML^2}{r^3} \quad (6.7)$$

If the massive particle is orbiting,  $\frac{dr}{d\lambda} = 0$  and since  $\frac{1}{2} E^2$  is constant, this means also  $V_{eff}$  needs to be constant and so  $\frac{dV}{dr} = 0$

$$\frac{dV}{dr} = 0$$

---

<sup>1</sup>and this implies  $\lambda = \tau$ , but maybe it avoid you to do other things you don't want, idk.

Differentiating eq.6.7 we find that this kind of orbit happens when

$$\epsilon G M r_c^2 - L^2 r_c + 3 G M L^2 = 0$$

Circular orbits to be stable need to correspond to a minimum of the potential, viceversa unstable if they correspond to a maximum.

The critical radius  $r_c$  for a massive particle is

$$r_c = \frac{L^2 \pm \sqrt{L^4 - 12 G^2 M^2 L^2}}{2 G M} \quad (6.8)$$

With decreasing  $L$  the two solutions come closer together, and coincide when we set  $\Delta = 0$ .

$$L = \sqrt{12} G M \text{ for which } r_c = 6 G M$$

So this  $r_c$  is the smallest possible radius for a stable circular orbit in this metric.

### Ex 12

It is basically the same as ex 11. But with little tweaks. Until  $V_{eff}(r)$  it is all ok, but you need to distinguish the GR contribution like this:

$$\frac{dV}{dr} = 0$$

Differentiating eq.6.7 we find that this kind of orbit happens when

$$\epsilon G M r_c^2 - L^2 r_c + 3 G M L^2 \gamma = 0$$

with

$$\gamma = \begin{cases} 0 & \text{for Newtonian gravity} \\ 1 & \text{for GR} \end{cases}$$

So you then continue like before, but distinguish the two cases. For Newtonian case, solution is trivial.

For GR one, be aware that you need to do limit of  $L \rightarrow \infty$ , and also remember that  $\sqrt{1-x} = 1 - \frac{x}{2}$  for small  $x$ . You get the same solution for both cases,  $r_c = L^2/GM$ , because the GR solution  $r_c = 3GM$  is an unstable orbit. Don't need to justify that. It is like it is.

### Ex 13

Same as ex 11 but remember that four-velocity normalization for the case of massless particle is

$$g_{\mu\nu} u^\mu u^\nu = 0$$

After that is straightforward. Solution is  $r_c = 3GM$ , radius of photon sphere.

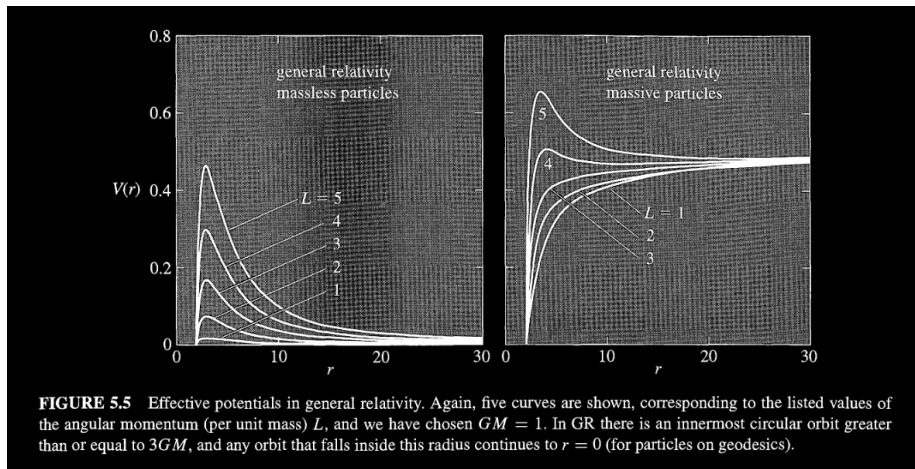


Figure 6.1: From Carroll

**Ex 14**

I think one need to find the maximum of the effective potential, indeed:

So as always, write down metric, conserved quantities, choose the adequate four-velocity normalization ( $=0$ ), unravel the tensor product, substitute quantities, get yourself to equation of kinetic radial energy +  $V_{eff}(r)$  = energy of the system. Take  $\frac{dV_{eff}(r)}{dr}$ , insert values, find stationary point. Should be  $r = 3$ , again radius of the photon sphere, not just any radius. Go back to equation of conservation of energy and substitute values, you find value of  $E$ , should be  $E = \frac{10}{3\sqrt{3}}$ .

**Ex 15****Ex 16****Ex 17****Ex 18**

Derive by time

$$\frac{\partial}{\partial t} H^2 = \frac{\partial}{\partial t} \frac{8\pi G \rho}{3} - \frac{\partial}{\partial t} \frac{k}{a^2}$$

$$2\dot{H}H = \frac{8\pi G \dot{\rho}}{3} - (-2\dot{a}) a^{-3} k$$

$$2\dot{H}H = \frac{8\pi G}{3} (-3H(\rho + p)) + \frac{2k}{a^2} H$$

$$\text{simplify } H \quad 2\dot{H} = -8\pi G(\rho + p) + 2\frac{k}{a^2}$$

$$\text{explicit } \dot{H}, H = \frac{\dot{a}}{a}, \dot{H} = \frac{\ddot{a}a - \dot{a}^2}{a^2}$$

$$2\frac{\ddot{a}}{a} - 2\left(\frac{\dot{a}}{a}\right)^2 - \frac{2k}{a^2} = -8\pi G(\rho + p)$$

$$\text{put eq. } H^2 = \dots \frac{\ddot{a}}{a} - \frac{8\pi G \rho}{3} + \frac{k}{a^2} = -4\pi G(\rho + p)$$

$$\frac{\ddot{a}}{a} = -4\pi G \left( \rho + p - \frac{2}{3}\rho \right)$$

$$= \frac{-4\pi G}{3} (\rho + 3p)$$

Done

**Ex 19**