

# Senior Project Presentation

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April 17, 2013

## What I Learned...

### What's a Neural Network?

A neural network is a general machine learning tool that can be used to learn a large variety of data sets. A neural network is a set of connected weights, and the nodes of the network are activations of the input based on the corresponding weights.

The simplest neural network is one consisting of two layers. A weight connects each node in the first layer to each node in the second layer. For example, the following diagram could represent a two-layer network used to learn the OR logic gate. Imagine three input nodes and two output, with the bottom left representing false and the bottom right true. When inputting bits representing logical OR, the network should yield output representing true. See Figure 1 on page 2 for an illustration.

An important consideration for this sample network is its limited learning ability. A two-layer network can only learn linearly separable functions; equations whose positive and negative results, when graphed, could be partitioned by a single line. More complex functions require deeper networks to learn, although the complexity increases quickly. With only a single extra layer (and 200 nodes per layer) the neural net was able to achieve 93 percent accuracy on the MNIST Database of Handwritten Digits<sup>1</sup>.

### Why Is There An Extra Input Node?

The third input node is called a bias. The purpose of a bias is to allow any necessary shifting of the activation function. For example, the activation function for the network used in this project is the hyperbolic tangent. It was used because the domain is all real numbers, it is smooth, continuous, and symmetrical, and the range is -1 to 1. It is also easily derived, which becomes important later in training. As the weights change, the steepness of the graph

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<sup>1</sup><http://yann.lecun.com/exdb/mnist/>

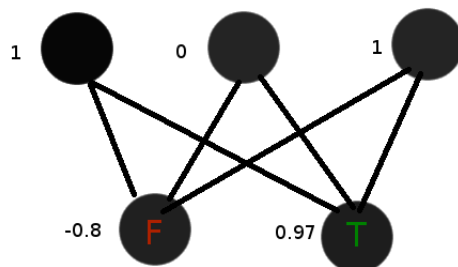


Figure 1: The top layer are inputs, connected by weights to the bottom layer. The weights are changed during training so that they give the desired output for the right input. A common implementation is to use functions with a range such that  $-1 \leq y \leq 1$ , so assuming the network is trained to represent true with 1 and false with -1, this would be a great output.

is changed, but the y-intersect is always 0. The bias node allows the shifting of the entire graph, which is the only way to train something other than a 0 output for a 0 input.

## How Are the Correct Weights Calculated?

Finding the right weights is all the work. The correct weights are found via a process called back propagation. Back propagation is a repeated process of error correction, starting with the output nodes and moving back up the network. The error of the output nodes is simply the difference between the desired output and the actual output, but the error calculation for each node higher up the tree must be a function of the collective error of the exiting connections, since each node is connected to each node in the level down. This algorithm proved to be the most difficult part of the project and I consulted numerous and tutorials and open source projects online.<sup>2</sup>

The network is always training in the background so the user can see potentially constant improvement, and to learn more and different handwriting there only needs to be more data added to the instance on the server. Also, the network takes very long to train. At the time of this presentation, this network will have been training on euclid for about a month, and that's not unusual.

<sup>2</sup>Here are some of the most helpful resources I found:  
<http://www.cs.montana.edu/~grayd/backprop.htm>  
<http://arctrix.com/nas/python/bpnn.py>

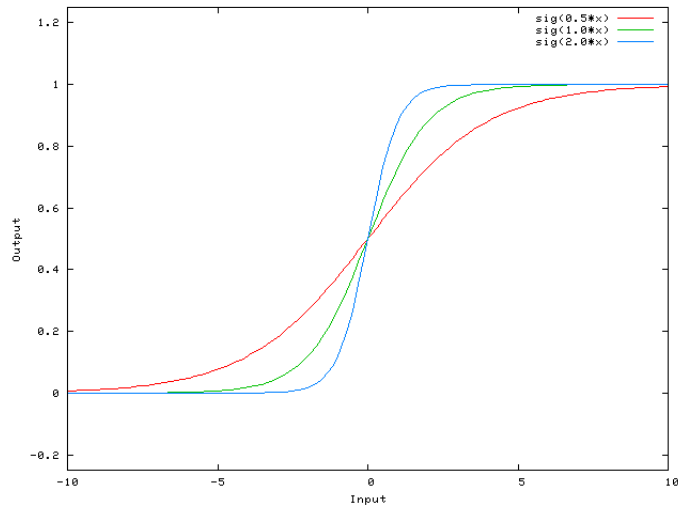


Figure 2: The graph of a general sigmoidal function as the coefficients (weights) change. Pic taken from StackOverflow: <http://stackoverflow.com/questions/2480650/role-of-bias-in-neural-networks>

Because of the dependency on adjacent layers in back propagated training, it can not be efficiently parallelized<sup>3</sup>.

Earlier it was mentioned that hyperbolic tangent is easily derived and that's nice for training. That is because we can use the derivative of the activation function in calculating the weight deltas. Consider how the derivative changes as the function is traveled. Since the derivative is greatest at the middle – where the activation is most uncertain – results in the middle will cause a greater change in the associated weights. Conversely, as the network's weights become more established through training, the derivative approaches zero and the network stabilizes.

## iOS, JSON, and CGI.

I wanted a good way to demonstrate the neural network. Just knowing it could recognize handwritten characters from a database is not very exciting, but having it recognize a user's in real time would be. So an iOS front end was added to take input, query the server on which the network is running, and return an ordering of likeliness, from 0 to 9, of which digit it was sent. The only particular I'd like to mention is how surprisingly easy it was get Apache to interpret Python files. This file in a pub directory on euclid is all it takes.

<sup>3</sup><https://research.microsoft.com/apps/pubs/default.aspx?id=173312>

```
file .htaccess
```

```
euclid is down I cannot remember what I wrote...
```

## Software Organization...

I chose to have an independent neural network, and I still like that decision. What could use improvement is the way it is incorporated with the rest of the project. There are multiple applications that rely on the neural network module

## Complex Data Structures and Algorithms...

Machine learning is hard

## As Hard As I Expected...

I was expecting really hard, and it's about what I got. I have an anecdote to demonstrate. When I first starting trying to train handwritten digit recognition I didn't get any performance better than 15%. I would let the network train for days on even small samples of data, and it would just top out. Worse still, it was unpredictable. This is why I added the "experiment" mode to the network training application. I could see that "skinny" three-layer networks – networks with fewer than about 60 nodes per layer – mastered data sets quickly and flawlessly. As the networks grew larger they became wildly inconsistent. So the experiment trained a constant function with a constant number of iterations to increasingly large networks and graphed the error rate and time to complete versus size. Figure 3 shows the initial results. This was completely bewildering to me for about a week, especially since I tried tweaking the learning coefficients. There are just so many moving pieces that I couldn't imagine what the issue might was. It turns out the answer was local minima, and that turning the learning and momentum rates way down would help to avoid getting stuck in them. Figure 4 shows the nearly flawless performance of the improved network.

The next great challenge was improving the disappointingly poor performance of real life digit recognition. The network was training on euclid as I was finishing the basic functionality of the iOS application, and by the time I finished the logs read it was correctly recognizing more than 93% of the test data. In actual use, however, I found the network to correctly interpret only the nicest of input. The problem was the strict preprocessing done to the data in the MNIST Database did not represent data thrown in from the real world. To improve real life performance, I "messed" up the data by changing the size and rotation. The variations I added turned the training set of 40k samples into a set of 1.5M samples.

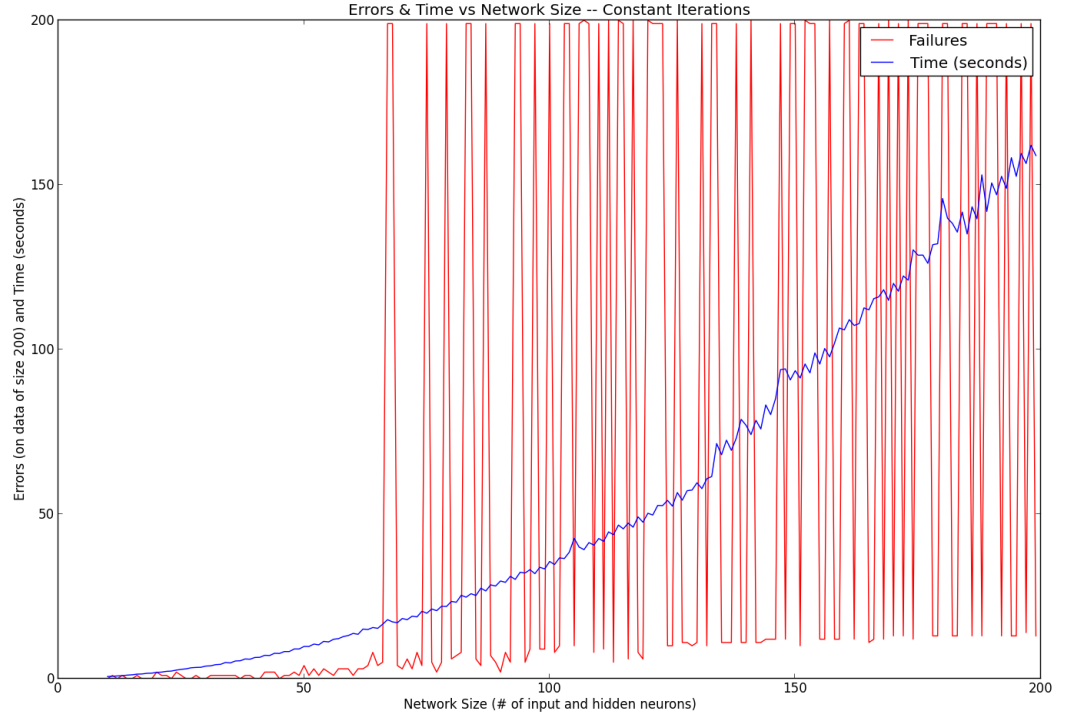


Figure 3: The experiment run with the initial learning rate

After swapping the training data, the learning curve became stagnant at about 70% success. The messier data set was too complex for a three-layer network to learn, so I added a fourth layer. Similar to the bump from two to three, the change from three to four meant lower learning rates to avoid the increased chance of becoming stuck in local optima and longer training times to facilitate the exponentially increasing number of connections. Alas, it seems to be working with acceptable performance. To further increase the performance, I believe finding an appropriate bounding box per submitted sample on the iOS application would yield noticeable improvements.

Here are the approximate line counts<sup>4</sup>.

<sup>4</sup>iOS App is Modified GLPaint from Apple: <https://developer.apple.com/library/ios/#samplecode/GLPaint/>

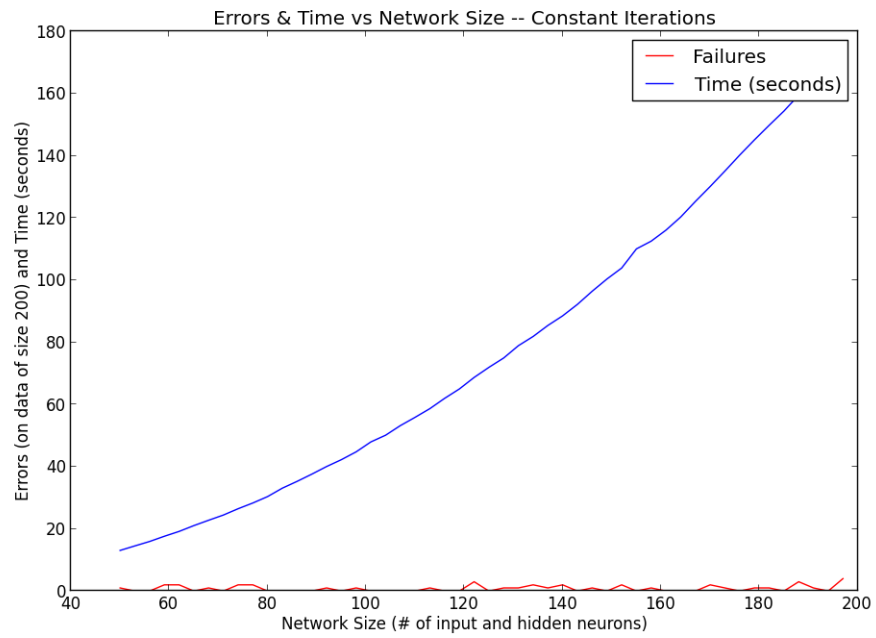


Figure 4: The experiment run with a learning rate and momentum rate  $1/1000$ th of the initial size

Part	Line Count	Language
Neural Network	542	Python
Network Training	1142	Python
Web Site	67	Python
iOS App	1564	Objective C
Total	3315	All