

**Exhibit 9.1**  
**Clause Checklist**  
(duplicate for additional procurements)

Clause	Threshold/Applicability	1	2	3
<p><b>Bonding</b></p> <p>(a) A bid guarantee from each bidder equivalent to five percent of the bid price. The "bid guarantee" must consist of a firm commitment such as a bid bond, certified check, or other negotiable instrument accompanying a bid as assurance that the bidder will, upon acceptance of the bid, execute such contractual documents as may be required within the time specified.</p> <p>(b) A performance bond on the part of the contractor for 100 percent of the contract price. A "performance bond" is one executed in connection with a contract to secure fulfillment of all the contractor's obligations under such contract.</p> <p>(c) A payment bond on the part of the contractor for 100 percent of the contract price. A "payment bond" is one executed in connection with a contract to assure payment as required by law of all persons supplying labor and material in the execution of the work provided for in the contract.</p>	For construction or facility improvement contracts or subcontracts exceeding the Federal Simplified Acquisition Threshold, the Federal awarding agency or pass-through entity may accept the bonding policy and requirements of the non-Federal entity provided that the Federal awarding agency or pass-through entity has made a determination that the Federal interest is adequately protected.	-	-	-
Administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate	For procurements over the Federal Simplified Acquisition Threshold	-	-	-
Termination for cause and for convenience including the manner by which it will be effected and the basis for settlement	For procurements over \$10,000	-	-	-
Davis-Bacon Act	All prime construction contracts	-	-	-
Contract Work Hours and Safety Standards Act	All contracts in excess of \$100,000 that involve the employment of mechanics or laborers	-	-	-
Rights to Inventions Made Under a Contract or Agreement	Contracts that meet the definition of "funding agreement" under 37 CFR §401.2 (a) and the recipient or	-	-	-

Clause	Threshold/Applicability	1	2	3
	subrecipient wishes to enter into a contract with a small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of experimental, developmental, or research work under that "funding agreement," the recipient or subrecipient must comply with the requirements of 37 CFR Part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements,"			
Clean Air Act (42 U.S.C. 7401-7671q.) and the Federal Water Pollution Control Act (33 U.S.C. 1251-1387)	Contracts in excess of \$150,000	-	-	-
Debarment and Suspension	All contracts expected to equal or exceed \$25,000			
Anti-Lobbying	All contracts exceeding \$100,000			
6002 of the Solid Waste Disposal Act  When procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR Part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition  Procuring solid waste management services in a manner that maximizes energy and resource recovery; and establishing an affirmative procurement program for procurement of recovered materials identified in the EPA guidelines	For non-Federal entity that is a state agency or agency of a political subdivision of a state, contracts with purchase price that exceeds \$10,000 or the value of the quantity acquired during the preceding fiscal year exceeded \$10,000	-	-	-
Notice to FTA and U.S. DOT Inspector General of information related to fraud, waste, abuse, or other legal matters	Applies to all contracts at all tiers expected to equal or exceed \$25,000. The recipient must require a prime contractor to "flow-down" the requirement to subcontractors.	-	-	-
Prohibition on certain telecommunications and video surveillance services or equipment	All contracts made by the non-Federal entity under the Federal award	-	-	-

**Exhibit 9.2**  
**CERTIFICATIONS and REPORTS CHECKLIST**  
(duplicate for additional procurements)

REQUIREMENT	Threshold/Applicability	1	2	3
Lobbying Certification	Procurements > \$100,000	-	-	-
Transit Vehicle Manufacturer Certification	Procurements of railcars or buses and modified mass	-	-	-
Buy America Certification	Projects >\$150,000 that contain steel, iron or manufactured	-	-	-
Bus Testing Report	Procurements of buses and modified mass produced vans	-	-	-
Pre-Award Buy America Audit	Rolling stock procurements>\$150,000	-	-	-
Pre-Award Buy America Certification	Rolling stock procurements>\$150,000	-	-	-
Pre-Award Purchaser's Requirement Certification	Rolling stock procurements	-	-	-
Post-Delivery Audit	Rolling stock procurements>\$150,000	-	-	-
Post-Delivery Buy America Certification	Rolling stock procurements >\$150,000	-	-	-
Post-Delivery Purchaser's Requirement Certification	Rolling stock procurements	-	-	-
On-Site Inspector's Report	Rolling stock procurements for any number of rail vehicles; more than 10 vehicles for areas >200,000 in population and 20 for areas <200,000 in population	-	-	-
Federal Motor Vehicles Safety Standards Pre-Award and Post-Delivery Certification	Non-rail rolling stock procurements	-	-	-