U.S. Department of Transportation
Federal Transit Administration

REGION 1
Connecticut, Maine,
Massachusetts,
New Hampshire,
Rhode Island, Vermont

Volpe Center
55 Broadway, Suite 920
Cambridge, MA 02142-1093
617-494-2055
617-494-2865 (fax)

November 16, 2023

Mayor Neil M. O'Leary
Chairman
Naugatuck Valley Council of Governments
49 Leavenworth Street
3rd Floor
Waterbury, CT 06702

Re: Fiscal Year 2023 Federal Transit Administration (FTA) Triennial Review – Final Report

Dear Mayor O'Leary:

I am pleased to provide you with a copy of this Federal Transit Administration (FTA) report as required by 49 U.S.C. Chapter 53 and other Federal requirements. The enclosed final report documents the FTA's Fiscal Year (FY) 2023 Triennial Review of the Naugatuck Valley Council of Governments (NVCOG) in Waterbury, Connecticut. Although not an audit, the Triennial Review is the FTA's assessment of NVCOG's compliance with Federal requirements, determined by examining a sample of award management and program implementation practices. As such, the Triennial Review is not intended as, nor does it constitute, a comprehensive and final review of compliance with award requirements.

Due to the Coronavirus 2019 (COVID-19) Public Health Emergency, a virtual site visit was conducted for this Triennial Review. In addition, the review was expanded to address NVCOG's compliance with the administrative relief and flexibilities FTA granted and the requirements of the COVID-19 Relief funds received through the Coronavirus Aid, Relief, and Economic Security (CARES) Act, Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA) of 2021, and the American Rescue Plan (ARP) Act of 2021.

The Triennial Review focused on NVCOG's compliance in 23 areas. A deficiency was found in one area: Financial Management and Capacity in relation to CARES Act draws. FTA will conduct a COVID-19 Relief ECHO Drawdown Review of NVCOG to identify any potential deficiencies related to use of COVID-19 supplemental funds and determine appropriate corrective action. In addition, the review will provide technical assistance to NVCOG. More information will be forthcoming from the FTA Regional Office. NVCOG had no repeat deficiencies from the Fiscal Year 2019 Triennial Review.

Thank you for your cooperation and assistance during this Triennial Review. If you need any technical assistance or have any questions, please do not hesitate to contact Alex Hammond, General Engineer, at (617) 494-2304 or by email at alex.hammond@dot.gov.

Sincerely,

PETER SHANNON BUTLER

Digitally signed by PETER SHANNON BUTLER
Date: 2023.11.16 17:23:04 -05'00'

Peter S. Butler
Regional Administrator
FTA Region 1

cc:

Matthew Keamy, Director, Program Management and Oversight, FTA
Alex Hammond, General Engineer, FTA
Bert Pechhold, General Engineer, FTA
Garrett Eucalitto, Commissioner, Connecticut Department of Transportation
Rick Dunne, Executive Director, NVCOG
Keith Carlson, Lead Reviewer, AdSTM

Enclosure