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Categorical notions of fibration

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Abstract

Fibrations over a category B, introduced to category theory by Grothendieck, encode pseudofunctors $B^{\mathrm{op}} \leadsto \mathbf{Cat}$, while the special case of discrete fibrations encodes presheaves $B^{\mathrm{op}} \to \mathbf{Set}$. A two-sided discrete variation encodes functors $B^{\mathrm{op}} \times A \to \mathbf{Set}$, which are also known as profunctors from A to B. By work of Street, all of these fibration notions can be defined internally to an arbitrary 2-category or bicategory. While the two-sided discrete fibrations model profunctors internally to \mathbf{Cat} , unexpectedly, the dual two-sided codiscrete cofibrations are necessary to model \mathcal{V} -profunctors internally to \mathcal{V} - \mathbf{Cat} .

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1. Introduction

Fibrations were introduced to category theory in [6,7] and developed in [5]. Ross Street gave definitions of fibrations internal to an arbitrary 2-category [15] and later bicategory [16]. Interpreted in the 2-category of categories, the 2-categorical definitions agree with the classical ones, while the bicategorical definitions are more general.

In this expository article, we tour the various categorical notions of fibration in order of increasing complexity. We begin in Section 2 with the classical definitions of fibrations

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These notes were initially written by the second-named author to accompany a talk given in the Algebraic Topology and Category Theory Proseminar in the fall of 2010 at the University of Chicago. A few years later, the first-named author joined to expand and improve the internal exposition and external references.

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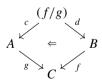
and discrete fibrations in ordinary 1-category theory. The internalization in a 2-category and generalization in a bicategory are given in Sections 3 and 4. The real goal, which we pursue in parallel, is to define two-sided discrete fibrations. In **Cat**, two-sided discrete fibrations encode functors $B^{op} \times A \to \mathbf{Set}$ also known as *profunctors* from A to B, while in V-**Cat** the dual two-sided codiscrete cofibrations encode V-profunctors $B^{op} \otimes A \to V$. We conclude with a construction of a bicategory, defined internally to V-**Cat**, whose 1-cells are two-sided codiscrete cofibrations. This bicategory can be used to "equip" the 2-category of V-categories with a bicategory of V-profunctors, providing a fertile setting for the formal category theory of enriched categories.

This theory has been extended to $(\infty, 1)$ -categories modeled as quasi-categories by André Joyal and Jacob Lurie. In that context, the equivalence between fibrations and pseudofunctors is implemented by the *straightening* and *unstraightening* constructions of Lurie, plays a particularly important role. Because the foundational $(\infty, 1)$ -category theory required to present these homotopical variants of categorical fibrations is quite extensive, we regretfully do not include this material here and refer the reader instead to [12] and [14].

In our attempt to cover a lot of material as expediently as possible, we give only a few sketched proofs but take care to provide thorough citations. There are many categorical prerequisites, particularly in the later sections, but we believe they are strictly easier than the topics below that take advantage of them.

Comma categories

One categorical prerequisite is so important to merit a brief review. Given a pair of functors $B \xrightarrow{f} C \xleftarrow{g} A$, an *opspan* in **Cat**, the *comma category* (f/g) has triples $(b \in B, fb \to ga, a \in A)$ as objects and morphisms $(b, fb \to ga, a) \to (b', fb' \to ga', a')$ given by a pair of arrows $a \to a' \in A$, $b \to b' \in B$ such that the evident square commutes in C. This category is equipped with canonical projections to A and B as well as a 2-cell

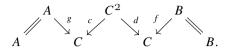


and is universal among such data.

Equivalently, let us denote Λ_2^2 the *generic opspan*, i.e. the category $\{0 \to 2 \leftarrow 1\}$. Then (f/g) is the limit of $\Lambda_2^2 \stackrel{F}{\mapsto} \{B \stackrel{f}{\to} C \stackrel{g}{\leftarrow} A\}$ weighted by $\Lambda_2^2 \stackrel{W}{\mapsto} \{1 \stackrel{d}{\to} 2 \stackrel{c}{\leftarrow} 1\}$, the inclusions of the terminal category as the domain and codomain of the walking arrow. Equivalently – as **Cat**-weighted limits can be written as ends, in this case the end $\int_{i:\Lambda_2^2} \{Wi, Fi\} - (f/g)$ is the equalizer

$$(f/g) \longrightarrow \prod_{i: \Lambda_2^2} \{Wi, Fi\} \longrightarrow \prod_{(i \to j): \Lambda_2^2} \{Wi, Fj\}$$

(see [10] for the notation). Unwinding the definitions, this is precisely the limit of the diagram of categories



Often, we are interested in comma categories in which either f or g is an identity (in which case it is denoted by the name of the category) or in which either A or B is terminal (in which case the functor is denoted by the object it identifies). Such categories are sometimes called *slice categories*.

A few notes on terminology

What we call *fibrations* in **Cat** are sometimes called *categorical*, *Grothendieck*, *cartesian*, or *right* (and unfortunately also *left*) *fibrations*. The left-handed version, now *opfibrations*, was originally called *cofibrations*, though this name was rejected to avoid confusing topologists. Somewhat unfortunately, as we shall see below, once fibrations have been defined internally to a 2-category \mathcal{K} , the *opfibrations* are precisely the fibrations in \mathcal{K}^{co} (formed by reversing the 2-cells only), while the *cofibrations* are precisely the fibrations in \mathcal{K}^{op} (formed by reversing the 1-cells only).

2. Fibrations in 1-category theory

Loosely, a *fibration* is a functor $p: E \to B$ such that the fibers E_b depend contravariantly and pseudo-functorially on the objects $b \in B$. Many categories are naturally *fibered* in this way.

2.1. Discrete fibrations

We start with an easier variant where the fibers are discrete categories.

Definition 2.1.1. A functor $p: E \to B$ is a *discrete fibration* if for each object $e \in E$ and arrow $f: b' \to pe \in B$, there exists a unique lift $g: e' \to e$.

In particular, for each $b \in B$ and object $e \in E_b$ in the fiber, there can be at most one lift of 1_b with codomain e. This proves that the fibers E_b of a discrete fibration are discrete categories.

Let $\mathbf{DFib}(B)$ denote the category of discrete fibrations over B, defined to be a full subcategory of the comma category $\mathbf{Cat}_{/B}$. Two facts about discrete fibrations are particularly important, the first of which we explore now.

Theorem 2.1.2. There is an equivalence of categories

 $\mathbf{DFib}(B) \simeq [B^{\mathrm{op}}, \mathbf{Set}].$

Proof. Given a discrete fibration $E \to B$, define $B^{\text{op}} \to \mathbf{Set}$ by $b \mapsto E_b$, the category whose objects sit over $b \in B$ and whose arrows map to the identity at b. For each morphism $f: b' \to b$, define $f^*: E_b \to E_{b'}$ by mapping $e \in E_b$ to the domain of the unique lift of f with codomain e. Functoriality follows from uniqueness of lifts.

Conversely, given a functor $F: B^{\mathrm{op}} \to \mathbf{Set}$, the canonical functor from its *category* of elements (*/F) to B is a discrete fibration. The category of elements construction is defined by applying the comma construction to opspans whose domain leg is fixed at $*: \mathbb{1} \to \mathbf{Set}$. In this way, the comma construction defines a functor $(*/-): [B^{\mathrm{op}}, \mathbf{Set}] \to \mathbf{Cat}_{/B}$ whose value at $F \in [B^{\mathrm{op}}, \mathbf{Set}]$ is a discrete fibration $\Sigma: (*/F) \to B$.

The comma category (*/F), whose objects are elements of Fb for some $b \in B$, is sometimes called the *Grothendieck construction* on the presheaf F. We leave the verification that these functors define inverse equivalences to the reader. \Box

There is an "internal" rephrasing of the definition of a discrete fibration. Write B_0 for the set of objects and B_1 for the set of arrows of a small category B.

Definition 2.1.3. A functor $p: E \to B$ between small categories is a *discrete fibration* iff

$$E_{1} \xrightarrow{\operatorname{cod}} E_{0}$$

$$\downarrow^{p_{1}} \downarrow^{p_{0}}$$

$$B_{1} \xrightarrow{\operatorname{cod}} B_{0}$$

is a pullback in Set.

The second key property of discrete fibrations requires the following definition.

Definition 2.1.4. A functor $f: C \to D$ is *final* if any diagram of shape D can be restricted along f to a diagram of shape C without changing its colimit. This is the case just when for all $d \in D$, the comma category (d/f) is non-empty and connected.

Proposition 2.1.5 ([18]). There is an orthogonal factorization system $(\mathcal{E}, \mathcal{M})$ on Cat with \mathcal{E} the final functors and \mathcal{M} the discrete fibrations.¹

The dual notion, functors $p: E \to B$ which have unique lifts of morphisms with specified domain, are called *discrete opfibrations*, which coincide with discrete fibrations $p: E^{op} \to B^{op}$. These correspond to functors $B \to \mathbf{Set}$ and form an orthogonal factorization system with the class of *initial* functors which are those such that restriction preserves limits.

¹ This is usually called the *comprehensive factorization system*. In [11] Lawvere defines the notion of a *comprehension scheme* as a pseudofunctor $P: \mathcal{E} \to \mathrm{Adj}$, sending a 1-cell $f: A \to B$ to an adjoint pair $Pf_!: PA \leftrightarrows PB: Pf^*$. Under suitable assumptions, such a P defines a factorization system in \mathcal{E} formed by classes of arrows called the P-connected and P-covering maps. When $\mathcal{E} = \mathbf{Cat}$ and P is the presheaf construction $A \mapsto [A^{\mathrm{op}}, \mathbf{Set}]$, a functor is P-connected if and only if it is final, and it is a P-covering if and only if it is a discrete fibration. See [1] for a generalization to any 'consistent' comprehension scheme.

2.2. Fibrations

Now we are ready to introduce the main subject of our exposition.

Definition 2.2.1. Given a functor $p: E \to B$, an arrow $g: e' \to e$ in E is p-cartesian if for any $g': e'' \to e$ such that $pg' = pg \cdot h$ in B, there is a unique lift k of h such that $g' = g \cdot k$. A functor $p: E \to B$ is a *fibration* if each $f: b \to pe$ in B has a p-cartesian lift with codomain e

Some sources differentiate between fibrations and those *cloven* fibrations which come with chosen cartesian lifts which may satisfy additional properties. See [5] or the *n*Lab.

Theorem 2.2.2. A functor $p: E \to B$ is a fibration if and only if either

- (i) For each $e \in E$, the functor $p: (E/e) \to (B/p(e))$ has a right adjoint right inverse.
- (ii) The canonical functor $E^2 \to (B/p)$ obtained applying p has a right adjoint right inverse.

Proof. In each case, the right adjoint picks out *p*-cartesian lifts for each morphism. See [5, Prop 3.11]. \Box

Let $\mathbf{Fib}(B)$ denote the sub 2-category of $\mathbf{Cat}_{/B}$ of fibrations; *cartesian functors*, those functors that preserve cartesian arrows; and all 2-cells.

Theorem 2.2.3. There is a 2-equivalence of 2-categories

$$\mathbf{Fib}(B) \simeq \mathbf{Psd}[B^{\mathrm{op}}, \mathbf{Cat}],$$

where the latter is the 2-category of pseudo-functors $B^{op} \rightsquigarrow \mathbf{Cat}$, pseudo-natural transformations, and modifications.

Proof. A fibration $E \to B$ gives rise to a pseudo-functor $b \mapsto E_b$, $f : b' \to b \mapsto f^* \colon E_b \to E_b'$. By the universal property of the cartesian lifts, this assignment is functorial up to natural isomorphism. In the other direction, the lax colimit of a pseudo-functor $B^{\mathrm{op}} \leadsto \mathbf{Cat}$ is canonically a fibration over B. The fibration so-produced is frequently called the *Grothendieck construction* of the associated pseudo-functor; see [8, A1.1.7, B1.3.1] for the discrete case, when the pseudo-functor takes values in **Set**, and [4, pp. 1–104] for applications to algebraic geometry, which provided the historical source of this definition. \square

Remark 2.2.4 (*Fibrations and Indexed Categories*). Let S be a category with finite limits, and in particular pullbacks. An *indexed category* consists of a pseudofunctor $\underline{A}: S^{op} \to \mathbf{Cat}$ such that each $\underline{A}^I := \underline{A}(I)$ has a distinguished class of isomorphisms called *canonical*. The coherence conditions for \underline{A} to be a pseudofunctor are specified in terms of these canonical isomorphisms, and an entire theory of indexed categories can be developed with respect to the 'indexing base' S (there is a 2-category S-Ind of such structures, there is a notion of indexed adjunction, etc.).

The theories of indexed categories and of fibrations over **Cat** are 'essentially equivalent' in the sense that the Grothendieck construction allows to pass back and forth between the two notions.

See [9] for a more detailed account of the theory of indexed categories, and in particular [13] for an introduction on the subject; the notion of indexed category is almost always referred to the case where the indexing base is an elementary topos.

Fibrations enjoy similar stability properties to their topological analogs.

Theorem 2.2.5. Fibrations are closed under composition and pullback along arbitrary functors.

Proof. See [5, 3.1].

Remark 2.2.6. There is no analog of Proposition 2.1.5 whose right class is the class of fibrations for the rather pedestrian reason that the fibrations introduced in Definition 2.2.1 are not closed under retracts. There is however an *algebraic* weak factorization system whose *algebraic* right maps are cloven fibrations. See [2, Example 29(ii)].

Before giving examples, we mention the dual notion.

Definition 2.2.7. A functor $p: E \to B$ is an *optibration* if $p: E^{op} \to B^{op}$ is a fibration. A functor $p: E \to B$ that is both a fibration and an optibration is called a *bifibration*.

The proof of the following lemma is left as an exercise.

Lemma 2.2.8. A fibration $p: E \to B$ is also an optibration if and only if each functor $f^*: E_b \to E_{b'}$ has a left adjoint $f_!$.

Finally, some examples:

Example 2.2.9.

- (i) The codomain functor $C^2 \to C$ is an opfibration that is a fibration iff C has pullbacks. (Hence the name "cartesian".)
- (ii) The domain functor $C^2 \to C$ is a fibration that is an optibration iff C has pushouts.
- (iii) There is a functor $\mathbf{Ring}^{\mathrm{op}} \to \mathbf{Cat}$ which carries a ring R to the category ${}_R\mathbf{Mod}$ of left R-modules and a ring homomorphism $f \colon R \to S$, to the restriction of scalars functor $f^* \colon {}_S\mathbf{Mod} \to {}_R\mathbf{Mod}$. As these functors admit left adjoints $f_!$, given by extension of scalars, by Lemma 2.2.8 the Grothendieck construction produces a bifibration $\mathbf{Mod} \to \mathbf{Ring}$, where the objects of \mathbf{Mod} are left modules over varying rings and a morphism over a ring homomorphism $f \colon R \to S$ can be understood as a homomorphism of R-modules, where the codomain S-module is restricted along f.
- (iv) For any category C, the category of set-indexed families of objects of C is a fibration over **Set** with the forgetful functor taking a family to its indexing set. The functors f^* are given by reindexing and have left adjoints iff C has small coproducts, and right adjoints iff C has small products.

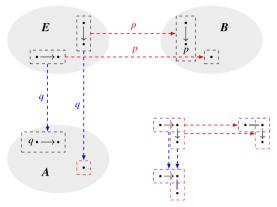


Fig. 1. The cartesian-vertical factorization of a morphism in a span: a fibration determines a factorization system on E in the sense that every $f: e \to e'$ in E admits a factorization as a p-vertical arrow followed by a p-cartesian arrow; dually, an opfibration determines a q-vertical, q-cocartesian factorization.

We mention one final result which will motivate the definitions in Section 3.

Theorem 2.2.10. A functor $p: E \to B$ is a fibration if and only if the functor $[X, p]: [X, E] \to [X, B]$ is a fibration for every category X.

Proof. See [5, 3.6].

2.3. Two-sided discrete fibrations

Finally, we reach the variant of interest.

Definition 2.3.1. A two-sided discrete fibration is a span $A \stackrel{q}{\leftarrow} E \stackrel{p}{\rightarrow} B$ such that

- (i) each $qe \rightarrow a'$ in A has a unique lift in E that has domain e and lies in the fiber over pe
- (ii) each $b' \to pe$ in B has a unique lift in E that has codomain e and lies in the fiber over qe
- (iii) for each $f: e \to e'$ in E the codomain of the lift of qf equals the domain of the lift of pf and their composite is f.

The situation is depicted in Fig. 1, where in the lower right corner the "vertical-cartesian" factorization of an arrow f is depicted.

Let $\mathbf{DFib}(A, B)$ denote the full subcategory of $\mathbf{Span}(A, B)$ on the two-sided discrete fibrations. The definition of two-sided discrete fibration in fact does not add something new to the picture, as

Theorem 2.3.2. There exist equivalences of categories

DFib
$$(A, B) \simeq [B^{op} \times A, \mathbf{Set}] \simeq \mathbf{DFib}(B \times A^{op}),$$

pseudo-natural in A and B.

Proof. Given a two-sided discrete fibration $A \stackrel{q}{\leftarrow} E \stackrel{p}{\rightarrow} B$, define $B^{op} \times A \rightarrow \mathbf{Set}$ by $(b,a) \mapsto E_{a,b}$, the objects in the fiber over a and b. Given $g: b' \rightarrow b$, the corresponding function $g^* \colon E_{a,b} \rightarrow E_{a,b'}$ sends $e \in E_{a,b}$ to the domain of the unique lift of g in the fiber over a with codomain e; likewise, given $f: a \rightarrow a'$ the corresponding $f_* \colon E_{a,b} \rightarrow E_{a',b}$ sends e to the codomain of the unique lift of f in the fiber over f with domain f.

Conversely, given $P: B^{\mathrm{op}} \times A \to \mathbf{Set}$, let the objects of E be triples $(b \in B, e \in P(b, a), a \in A)$ and morphisms $(b, e, a) \to (b', e', a')$ be pairs of arrows $f: a \to a'$ in A and $g: b \to b'$ in B such that $f_*(e) = g^*(e')$. \square

Comma categories provide an important class of examples of two-sided discrete fibrations. In fact, in Cat, they tell the whole story.

Theorem 2.3.3. For any opspan $B \stackrel{f}{\to} C \stackrel{g}{\leftarrow} A$, its comma category

$$(f/g) \xrightarrow{d} B$$

$$\downarrow c \qquad \qquad \downarrow f$$

$$A \xrightarrow{g} C$$

is a two-sided discrete fibration $A \stackrel{c}{\leftarrow} (f/g) \stackrel{d}{\rightarrow} B$. Furthermore, all two-sided discrete fibrations in **Cat** arise this way.

Finally, for completeness, we give the definition of two-sided fibrations, which are not required to be discrete.

Definition 2.3.4. A span $A \stackrel{q}{\leftarrow} E \stackrel{p}{\rightarrow} B$ is a two-sided fibration if

- (i) Any $g: qe \rightarrow a \in A$ has an operatesian lift with domain e that lies in the fiber over the identity at pe.
- (ii) Any $f: b \to pe$ has a cartesian lift with codomain e that lies in the fiber over the identity at qe.
- (iii) Given a cartesian lift $f^*e \to e$ of f and an operatesian lift $e \to g_!e$ of g, as above, the composite

$$f^*e \rightarrow e \rightarrow g_!e$$

lies over both f and g. If $f^*e \to g_!f^*e$ and $f^*g_!e \to g_!e$ denote its opcartesian and cartesian lifts, then the canonical comparison $g_!f^*e \to f^*g_!e$ induced by the universal property of either of these must be an isomorphism.

 $^{^2}$ Note this is not the *collage* of P, a category living over the walking arrow 2, defined below. Rather it is the category of sections of this functor, with morphisms the natural transformations. See the nLab discussion of two-sided fibrations.

Two-sided fibrations determine pseudo-functors $\mathcal{B}^{op} \times \mathcal{A} \rightsquigarrow \mathbf{Cat}$.

3. Fibrations in 2-categories

The notions of fibration and two-sided discrete fibration internal to a 2-category are due to [15]; a good summary of the main results can be found in [19, §2]. In order to perform desired constructions, we work in *finitely complete* 2-categories \mathcal{K} , i.e., a 2-category that admits finite conical limits and cotensors with the "walking arrow" category 2 — though the weaker hypothesis that \mathcal{K} admits only PIE-limits would also suffice. In particular:

Lemma 3.0.1. A finitely complete 2-category K has all comma objects.

Proof. First note that



is a comma object, where d and c are induced by the domain and codomain inclusions $\mathbb{1} \Rightarrow \mathbb{2}$. To see this, recall that comma objects, like all weighted limits, are defined *representably*, meaning in this case that the comma object (A/A) of the depicted opspan must induce isomorphisms of categories

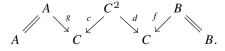
$$\mathcal{K}(X, (A/A)) \cong (\mathcal{K}(X, A)/\mathcal{K}(X, A))$$

for all $X \in \mathcal{K}$, where the right hand side denotes the comma category for the pair of identity functors on $\mathcal{K}(X, A)$. But we know that in **Cat**, this comma category is $\mathcal{K}(X, A)^2$. Hence, (A/A) must induce isomorphisms of categories

$$\mathcal{K}(X, (A/A)) \cong \mathcal{K}(X, A)^2$$

which is the defining universal property of the cotensor of $A \in \mathcal{K}$ by 2.

Given an opspan $A \stackrel{g}{\to} C \stackrel{f}{\leftarrow} B$ in \mathcal{K} , its comma object is the wide 2-pullback



with 2-cell defined by whiskering the 2-cell of the comma object C^2 . This can be proven directly in **Cat**, implying the result for a generic 2-category \mathcal{K} by the representability of weighted limits. \square

Another proof of the previous lemma, left as an exercise to the reader, uses the pasting lemma for comma squares.

Lemma 3.0.2. Given a diagram in a 2-category K such that the right-hand square is a comma square

the whole diagram is a comma square if and only if the left-hand square is a 2-pullback.

Proof. Analogous to the pasting lemma for ordinary pullbacks. \Box

3.1. Fibrations

Fibrations in a 2-category are defined representably.

Definition 3.1.1. A 1-cell $p: E \to B$ in a 2-category \mathcal{K} is a *fibration* iff $\mathcal{K}(X, p)$ is a fibration for all $X \in \mathcal{K}$ and if

$$\mathcal{K}(X, E) \xrightarrow{\mathcal{K}(x, E)} \mathcal{K}(Y, E)
\mathcal{K}(X, p) \downarrow \qquad \qquad \downarrow \mathcal{K}(Y, p)
\mathcal{K}(X, B) \xrightarrow{\mathcal{K}(x, B)} \mathcal{K}(Y, B)$$

is a cartesian functor for all $x: Y \to X$ in \mathcal{K} .

Unpacking this definition, $p: E \rightarrow B$ is a *fibration* if every 2-cell

$$X \xrightarrow{e} E$$

$$\downarrow b \qquad \downarrow p$$

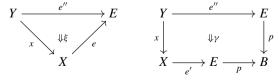
$$\downarrow p$$

$$\downarrow R$$

has a *p*-cartesian lift α : $e' \Rightarrow e$ so that $p\alpha = \beta$. A 2-cell

$$X \xrightarrow{e'} E$$

is *p-cartesian* when for all $x: Y \to X$, αx is a $\mathcal{K}(Y, p)$ -cartesian arrow in $\mathcal{K}(Y, E)$. This means that for all 2-cells



such that $p\xi = p\alpha x \cdot \gamma$, then there is a unique 2-cell $\zeta : e'' \Rightarrow e'x$ such that $\xi = \alpha x \cdot \zeta$ and $p\zeta = \gamma$.

Note this definition did not require any hypotheses on the 2-category \mathcal{K} , but to prove the results that follow whose statements refer to certain finite 2-limits in \mathcal{K} , we do require something like the hypothesis of finite completeness to guarantee that these exist.

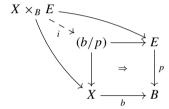
Theorem 3.1.2. In any finitely complete 2-category K

- (i) the composite of fibrations is a fibration
- (ii) the pullback of a fibration is a fibration

Proof. Follows from Theorem 2.2.5. \square

Theorem 3.1.3. Let K be a finitely complete 2-category, $p: E \to B$ a 1-cell. The following are equivalent:

- (i) p is a fibration.
- (ii) For all $b: X \to B$, the map $i: X \times_B E \to (b/p)$ has a right adjoint in K/X



- (iii) The map $E \to (B/p)$ has a right adjoint in K/B.
- (iv) the canonical arrow $E^2 \to (B/p)$ has a right adjoint right inverse.

Proof. (iii) is (ii) with $b = 1_B$. (iii) implies (ii) by the pasting Lemma 3.0.2. Equivalence with (i) requires some cleverness; see [19, 2.7]. (i) \Leftrightarrow (iv) is analogous to Theorem 2.2.2; see [15, 9]. \square

An *opfibration* in \mathcal{K} is a fibration in \mathcal{K}^{co} . It follows from characterization (iii) above that any 2-functor between finitely complete 2-categories that preserves comma objects preserves fibrations and opfibrations. We briefly mention the very simplest examples.

Example 3.1.4.

- (i) The fibrations internal to the 2-category **Cat** are exactly the fibrations introduced in Definition 2.2.1.
- (ii) A fibration internal to the 2-category $\mathbf{Cat}_{/A}$ is a functor $p \colon E \to B$ such that arrows $b \to pe$ in the fiber over an identity in A have p-cartesian lifts. If the functors $E \to A$ and $B \to A$ are fibrations in \mathbf{Cat} and p preserves cartesian arrows, then p is a fibration in \mathbf{Cat} if and only if it is a fibration in $\mathbf{Cat}_{/A}$. In general, the notion of fibration in $\mathbf{Cat}_{/A}$ is weaker than the notion of fibration in \mathbf{Cat} .

Discrete fibrations in a 2-category K with cotensors by 2 can either be defined representably or in analogy with Definition 2.1.3 and these definitions are equivalent.

3.2. Two-sided discrete fibrations

In a 2-category \mathcal{K} , we write $\mathbf{Span}(\mathcal{K})$ for the bicategory of spans in \mathcal{K} , whose objects and 1-cells coincide with those in the 1-category underlying \mathcal{K} , and whose 2-cells are defined fiberwise. If \mathcal{K} has binary products, the hom-categories $\mathbf{Span}(\mathcal{K})(A, B)$ are isomorphic to the comma categories $\mathcal{K}_{/A \times B}$; hence, they are actually 2-categories.

Definition 3.2.1. A span $A \stackrel{q}{\leftarrow} E \stackrel{p}{\rightarrow} B$ is a *two-sided discrete fibration* if and only if it is representably so, i.e., if for all $X \in \mathcal{K}$,

$$\mathcal{K}(X,A) \stackrel{\mathcal{K}(X,q)}{\longleftrightarrow} \mathcal{K}(X,E) \stackrel{\mathcal{K}(X,p)}{\longleftrightarrow} \mathcal{K}(X,B)$$

is a two-sided discrete fibration.

As in Cat, comma objects provide examples of two-sided discrete fibrations.

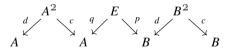
Theorem 3.2.2. Given $f: A \to C$ and $g: B \to C$, the span $A \leftarrow (f/g) \to B$ is a two-sided discrete fibration.

Proof. Because weighted limits are also defined representably, it suffices to prove when $\mathcal{K} = \mathbf{Cat}$. See Theorem 2.3.3. \square

Theorem 3.2.3. If $A \stackrel{q}{\leftarrow} E \stackrel{p}{\rightarrow} B$ is a two-sided discrete fibration, then p is a fibration and q is an optibration.

Proof. The proof is technical, but at least the two parts are dual, by interpreting the two-sided discrete fibration in \mathcal{K}^{co} . \square

Remark 3.2.4. In his original paper, Street defines fibrations, opfibrations, and two-sided discrete fibrations to be pseudo-algebras for certain 2-monads on the appropriate hom-2-category of $\mathbf{Span}(K)$. For instance, the 2-monad on $\mathbf{Span}(K)(A, B)$ for two-sided discrete fibrations sends a span $A \stackrel{q}{\leftarrow} E \stackrel{p}{\rightarrow} B$ to the 2-pullback of



See [15] for details.

3.3. Yoneda lemma

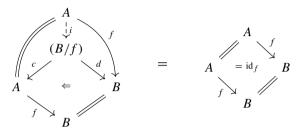
Part of the motivation for defining two-sided discrete fibrations internally to a 2-category was to state and prove a Yoneda lemma in this context. While this is peripheral to our discussion, we nonetheless take a brief detour to give the statement.

Theorem 3.3.1. Let K be finitely complete 2-category, $A \stackrel{q}{\leftarrow} E \stackrel{p}{\rightarrow} B$ a two-sided discrete fibration, and $f: A \rightarrow B$ a 1-cell. The identity 2-cell id_f induces a canonical

arrow $i: A \to (B/f)$ from the 2-pullback of f along the identity at B to the comma object. Precomposition with i induces a bijection between arrows of spans $(B/f) \to E$ and arrows of spans $B \to E$.

Proof of sketch. Rather than reproduce the full proof from [15, 16] or [19, 2.12] here, we sketch the main ideas to outline a nice exercise in categorical yoga.

Unwinding the definition, the 1-cell i is induced by the 2-universal property of the comma object:



The Yoneda lemma asserts that restriction along i induces a bijection between the maps of spans:

The classical Yoneda lemma is what we get from this theorem when $K = \mathbf{Cat}$ and A = 1 is the terminal category; the other leg $p: E \to B$ is then a discrete fibration. In this case, the above correspondence reduces to

$$\left\{\begin{array}{ccc} 1 \xrightarrow{\longrightarrow} E \\ \downarrow \searrow & \swarrow p \end{array}\right\} \qquad \leftrightarrows \qquad \left\{\begin{array}{ccc} (B/b) \xrightarrow{\longrightarrow} E \\ \downarrow \swarrow & \swarrow p \end{array}\right\}$$

Note that the left-hand side is isomorphic to the fiber E_b . Without loss of generality $p: E \to B$ can be thought of $\Sigma: (*/F) \to B$ for some $F: B^{op} \to \mathbf{Set}$. Now via Theorem 2.1.2, the Yoneda lemma asserts that there is a bijection between functors $(B/b) \to E$ over B and elements of the set Fb. \square

4. Fibrations in bicategories

The notions of fibration and two-sided discrete fibration internal to a bicategory are due to [16]; a good summary of the main results can be found in [3]. The first two sections are somewhat abbreviated; we excuse this laxity by mentioning that it enables us to quickly get to the main point in the final two sections. The reader who wishes to see statements analogous to those of Section 3 is encouraged to prove them, replacing any 2-limits that appear with the appropriate bilimits.

Section 4.3 relies heavily on the "codiscrete cofibration" entry at the nLab.

4.1. Fibrations

In a bicategory, it is generally considered unreasonable to ask for an equality of 1-cells, but there is no moral objection to asking 2-cells to be equal. Thus, when defining fibrations internally to a generic bicategory K, we can use the definition of p-cartesian 2-cells that was "unpacked" above, enabling the definition:

Definition 4.1.1. A 1-cell $p: E \to B$ in a bicategory \mathcal{K} is a *fibration* if for all 1-cells $e: X \to E$ and 2-cells $\alpha: b \Rightarrow pe: X \to B$, there exists a p-cartesian $\chi: e' \Rightarrow e$ for which there is an isomorphism $b \cong pe'$ whose composite with $p\chi$ is α .

Example 4.1.2. The fibrations internal to **Cat** as a bicategory are sometimes called *Street fibrations*. Explicitly, a functor $p: E \to B$ is a Street fibration if for every $f: b \to pe$ in B, there is a p-cartesian arrow $g: e' \to e$ and an isomorphism $h: b \cong pe'$ such that $f = pg \cdot h$.

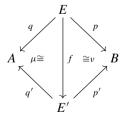
This notion of fibration is invariant under equivalence of categories. In particular, equivalences of categories are Street fibrations, though they are not necessarily fibrations in the classical sense.

Lemma 4.1.3. A 1-cell $p: E \to B$ in a bicategory K is a fibration if and only if both

- (i) for all $X \in \mathcal{K}$, $\mathcal{K}(X, p)$: $\mathcal{K}(X, E) \to \mathcal{K}(X, B)$ is a Street fibration and
- (ii) for all 1-cells $x: Y \to X$ in K, precomposition with x induces a map of fibrations $K(X, p) \to K(Y, p)$.

4.2. Two-sided fibrations and two-sided discrete fibrations

First, we should say a few words about the tricategory $\operatorname{Span}(\mathcal{K})$. When \mathcal{K} is a bicategory, not a 2-category, we define the 1-cells and 2-cells of the bicategory $\operatorname{Span}(\mathcal{K})(A,B)$ slightly differently. A morphism of spans from A to B is given by a 1-cell f in \mathcal{K} and isomorphic 2-cells as depicted



A 2-cell in $\mathbf{Span}(\mathcal{K})(A,B)$ is a 2-cell $\theta:f\Rightarrow f'$ that pastes together with one of each pair of 2-cell isomorphisms to give the other.

Definition 4.2.1. A span $A \stackrel{q}{\leftarrow} E \stackrel{p}{\rightarrow} B$ in \mathcal{K} is a two-sided discrete fibration if

(i) for every $e: X \to E$ and 2-cell $\alpha: qe \Rightarrow a: X \to A$, there exist an operatesian 2-cell $\chi: e \Rightarrow e'$ and isomorphism $qe' \cong a$ whose composite with $q\chi$ is α and such that $p\chi$ is an isomorphism.

- (ii) for every $e: X \to E$ and 2-cell $\beta: b \Rightarrow pe: X \to B$, there exist a cartesian 2-cell $\zeta: e' \Rightarrow e$ and isomorphism $b \cong pe'$ whose composite with $p\zeta$ is β and such that $q\zeta$ is an isomorphism.
- (iii) for all $\eta, \eta' : e \Rightarrow e' : X \rightarrow E$, if $p\eta = p\eta'$, $q\eta = q\eta'$, and $p\eta$ and $q\eta$ are invertible, then $\eta = \eta'$ and is invertible.

Condition (iii) is equivalent to saying that the span is representably essentially discrete, i.e., for all spans E' from A to B, the hom-category

$$\mathbf{Span}(\mathcal{K})(A,B)(E',E)$$

is equivalent to a discrete category.

Proof of the following alternate characterization, which is due to [3] and should be compared with Definition 2.3.1, is left as an exercise.

Lemma 4.2.2. A span $A \stackrel{q}{\leftarrow} E \stackrel{p}{\rightarrow} B$ is a two-sided discrete fibration if and only if the following conditions hold.

- (i) for all arrows $e: X \to E$ and 2-cells $\alpha: qe \Rightarrow a$, the category whose objects are pairs $(\chi: e \Rightarrow e', v: qe' \cong a)$ with $\alpha = v \cdot q\chi$ and $p\chi$ invertible is essentially discrete and non-empty;
- (ii) for all arrows $e: X \to E$ and 2-cells $\beta: b \Rightarrow pe$, the category whose objects are pairs $(\zeta: e' \Rightarrow e, \mu: b \cong pe')$ with $\beta = p\zeta \cdot \mu$ and $q\zeta$ invertible is essentially discrete and non-empty;
- (iii) each 2-cell $\eta: e \Rightarrow e': X \rightarrow E$ is a composite $\zeta \chi$ where $p\chi$ and $q\zeta$ are invertible.

By a comma object in a bicategory, we mean the bilimit with the shape described above, relaxing the defining isomorphism

$$\mathcal{K}(X, (f/g)) \simeq \mathcal{K}(X, f) / \mathcal{K}(X, g)$$

of categories to an equivalence.

Theorem 4.2.3. Any comma object in a bicategory gives a two-sided discrete fibration.

Proof. See [16, 3.44]. □

4.3. Two-sided codiscrete cofibrations

For this section, the motivating example is the 2-category $\mathcal{K} = \mathcal{V}$ -Cat of categories enriched in some complete and cocomplete closed symmetric monoidal category $(\mathcal{V}, \otimes, I)$. We will see in the next section what is special about the case $\mathcal{V} = \mathbf{Set}$, $\mathcal{K} = \mathbf{Cat}$.

In enriched category theory, \mathcal{V} -profunctors play an important role; if $\mathcal{A}, \mathcal{B} \in \mathcal{V}$ -Cat, a \mathcal{V} -profunctor from \mathcal{A} to \mathcal{B} is a \mathcal{V} -functor $\mathcal{B}^{op} \otimes \mathcal{A} \to \mathcal{V}$. A warning: unless \mathcal{V} is cartesian monoidal, the tensor product of \mathcal{V} -categories is distinct from their cartesian product. The tensor product of \mathcal{V} -categories gives the morally correct notion of \mathcal{V} -profunctors and is necessary for the construction of collages below.

We would like to be able to model V-profunctors internally to the 2-category of V-categories because this will make it easier to understand which pseudo-functors

 $\mathcal{V}\text{-Cat} \leadsto \mathcal{K}$ "preserve" profunctors. One way to describe the data of a \mathcal{V} -profunctor in $\mathcal{V}\text{-Cat}$ is through its collage.

Definition 4.3.1. The *collage* of $F: \mathcal{B}^{op} \otimes \mathcal{A} \to \mathcal{V}$ is an opspan $\mathcal{A} \to \mathcal{E} \leftarrow \mathcal{B}$, where \mathcal{E} is the \mathcal{V} -category with objects Ob $\mathcal{A} \sqcup \mathsf{Ob} \mathcal{B}$ and hom-objects

$$\mathcal{E}(b',b) = \mathcal{B}(b',b), \quad \mathcal{E}(b,a) = F(b,a), \quad \mathcal{E}(a,a') = \mathcal{A}(a,a'), \quad \mathcal{E}(a,b) = \emptyset,$$

for all $a, a' \in \mathcal{A}$ and $b, b' \in \mathcal{B}$. The V-functors $\mathcal{A} \to \mathcal{E}$, $\mathcal{B} \to \mathcal{E}$ are the inclusions.

The main result is the following theorem of [16]:

Theorem 4.3.2. The collages for V-profunctors are exactly the two-sided codiscrete cofibrations in V-Cat, regarded as a bicategory.

The reader may have already guessed the following definitions.

Definition 4.3.3. An opspan $A \to E \leftarrow B$ in a bicategory \mathcal{K} is a *two-sided cofibration* if and only if it is a two-sided fibration in \mathcal{K}^{op} , the bicategory with 1-cells reversed. The span is *codiscrete* if it is representably discrete in $\mathbf{Opspan}(\mathcal{K})(A, B) \cong {}^{A \sqcup B}/\mathcal{K}$, that is, if for all opspan $A \to E' \leftarrow B$ the hom-category $\mathbf{Opspan}(\mathcal{K})(A, B)(E, E')$ is equivalent to a discrete category.

To give a complete encoding of profunctors from A to B internally to the bicategory K, we need to be able to compose a two-sided codiscrete cofibration from A to B and from B to C and obtain a two-sided codiscrete cofibration from A to C. If we removed the word "codiscrete", this would be a piece of cake. So long as K has finite bicolimits, cofibrations are stable under pushout and composition. Hence, the pushout-composite of an opspan from A to B and an opspan from B to C is an opspan from A to B to C that is a two-sided cofibration if the original opspans were. This composition law is associative up to isomorphism, which is good enough.

However, the resulting two-sided cofibration is unlikely to be codiscrete, whether or not the original two-sided cofibrations were. For instance, given \mathcal{V} -profunctors $\mathcal{B}^{op} \otimes \mathcal{A} \to \mathcal{V}$ and $\mathcal{C}^{op} \otimes \mathcal{B} \to \mathcal{V}$ and considering their collages, the pushout $\mathcal{A} \to \mathcal{E} \leftarrow \mathcal{C}$ is a \mathcal{V} -category with objects Ob $\mathcal{A} \sqcup \text{Ob} \mathcal{B} \sqcup \text{Ob} \mathcal{C}$ called a *gamut*; because of the presence of objects of \mathcal{B} , this is too fat to be a collage for a \mathcal{V} -profunctor $\mathcal{C}^{op} \otimes \mathcal{A} \to \mathcal{V}$.

This problem can be solved provided there is a method for coreflecting from two-sided cofibrations into two-sided codiscrete cofibrations; a subcategory is *coreflective* if the inclusion has a right adjoint. In some examples, there may be a limit construction that achieves this. This is the approach that Street takes originally, but see [17].

A simpler approach is to ask that K have an orthogonal factorization system whose left class is generated by the two-sided codiscrete cofibrations $A \sqcup B \to E$. An *orthogonal factorization system* in a bicategory consists of two classes $(\mathcal{E}, \mathcal{M})$ of 1-cells such that

- (i) Every 1-cell in $\mathcal K$ is isomorphic to the composite of a 1-cell in $\mathcal E$ followed by a 1-cell in $\mathcal M$
- (ii) For all $e: X \to Y \in \mathcal{E}$, $m: Z \to W \in \mathcal{M}$, the square

$$\mathcal{K}(Y,Z) \xrightarrow{\mathcal{K}(Y,m)} \mathcal{K}(Y,W)$$

$$\mathcal{K}(e,Z) \downarrow \qquad \cong \qquad \downarrow \mathcal{K}(e,W)$$

$$\mathcal{K}(X,Z) \xrightarrow{\mathcal{K}(X,m)} \mathcal{K}(X,W)$$

is a bipullback in Cat.

An orthogonal factorization system $(\mathcal{E}, \mathcal{M})$ is *generated* by a collection of 1-cells if the right class consists of precisely those 1-cells that satisfy axiom (ii) for all e in this collection. When the generators are taken to be the codiscrete cofibrations, arrows in the right class are necessarily representably fully faithful. If the right class is stable under pushout and cotensor with 2, then the composite of a pair of two-sided codiscrete cofibrations can be defined by factoring the opspan $A \sqcup C \to E$ formed by taking their pushout. This is the approach of [3] and the nLab.

We record this fact in the following theorem.

Theorem 4.3.4. Suppose K is a bicategory with finite limits and colimits. If the two-sided codiscrete cofibrations $A \sqcup B \to E$ generate an orthogonal factorization system whose right class is closed under pushout and cotensor with 2, then there is a bicategory $\mathbf{DCof}(K)$ whose objects are the objects of K, whose 1-cells $A \to B$ are the two-sided codiscrete cofibrations from A to B, and whose 2-cells are isomorphism classes of morphisms of opspans.

Proof. See [3, 4.20].

Here is how this works in our main example.

Lemma 4.3.5. V-Cat has an orthogonal factorization system whose left class consists of the essentially surjective V-functors and whose right class consists of the V-fully faithful functors that is generated by the two-sided codiscrete cofibrations.

Proof. We leave it to the reader to prove that this orthogonal factorization exists; we show that it is generated by the two-sided codiscrete cofibrations. The collages are surjective on objects, so \mathcal{V} -fully faithful functors are necessarily right orthogonal to them. It remains to show that any \mathcal{V} -functor $F:\mathcal{C}\to\mathcal{D}$ right orthogonal to the collages $\mathcal{A}\sqcup\mathcal{B}\to\mathcal{E}$ is necessarily \mathcal{V} -fully faithful. Let \mathcal{I} denote the \mathcal{V} -category with one-object and the unit as its hom-object. A \mathcal{V} -profunctor from \mathcal{I} to itself is specified by a single object in \mathcal{V} . Given $c,c'\in\mathcal{C}$, form the collage of the \mathcal{V} -profunctor $\mathcal{I}^{op}\otimes\mathcal{I}\to\mathcal{V}$ determined by $\mathcal{D}(Fc,Fc')$. This collage has the form $\mathcal{I}\sqcup\mathcal{I}\to\mathcal{E}$, where \mathcal{E} has two objects 0,1 and one non-trivial hom $\mathcal{E}(0,1)=\mathcal{D}(Fc,Fc')$. The lifting problem whose bottom edge is the \mathcal{V} -functor that

maps surjectively onto the hom-object $\mathcal{D}(Fc, Fc')$



must have a unique solution, which shows that F is V-fully faithful. \square

It remains to check that V-fully faithful functors are stable under pushout and cotensors with 2; we leave this to the reader. Putting these results together, we obtain the following corollary.

Corollary 4.3.6. There is a bicategory whose objects are V-categories, whose 1-cells are two-sided codiscrete cofibrations in V-Cat, and whose 2-cells are isomorphism classes of maps of opspans.

This bicategory can be used to "equip" the 2-category of V-categories with a bicategory of V-profunctors [16].

4.4. A final note on modeling profunctors in Cat

We now have two models for profunctors in **Cat**, the two-sided discrete fibrations and the two-sided codiscrete fibrations. It turns out there is a formal reason that these are the same.

In any 2-category K with comma and cocomma objects, there is an adjunction

cocomma:
$$\mathbf{Span}(\mathcal{K})(A, B) \xrightarrow{\perp} \mathbf{Opspan}(\mathcal{K})(A, B)$$
: comma

We have seen above that comma objects are always two-sided discrete fibrations; dually, cocomma objects are always two-sided codiscrete cofibrations. In **Cat**, this adjunction is *idempotent* in the sense that the comma object of the cocomma object of a comma object is isomorphic to the original comma object; this is equivalent to the dual statement. Any such adjunction restricts to an adjoint equivalence between the full subcategories in the image of each functor, which are consequently reflective and coreflective subcategories of the originals. So this adjunction restricts to an equivalence between the reflective subcategory

two-sided discrete fibrations and the coreflective subcategory of two-sided codiscrete cofibrations. Hence, both of these are equivalent to the 2-category of profunctors from A to B.

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