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Categorifying cardinal arithmetic



Goal: prove $a \times (b + c) = (a \times b) + (a \times c)$ for any natural numbers a , b , and c .



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- Step 1: categorification
- Step 2: the Yoneda lemma
- Step 3: representability
- Step 4: the proof



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- Step 1: categorification
- Step 2: the Yoneda lemma
- Step 3: representability
- Step 4: the proof
- Epilogue: what was the point of that?



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Step 1: categorification

The idea of categorification



The first step is to understand the equation

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as expressing some deeper truth about mathematical structures.

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Q: What is the role of the natural numbers a , b , and c ?

Categorifying natural numbers



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A: Natural numbers define the **cardinalities**, or sizes, of finite sets.

Natural numbers a , b , and c encode the sizes of finite sets A , B , and C .

$$a := |A|, \quad b := |B|, \quad c := |C|.$$

Categorifying equality



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Q: What is true of A and B if $a = b$?

A: $a = b$ if and only if A and B are **isomorphic**, which means there exist functions $f: A \rightarrow B$ and $g: B \rightarrow A$ that are inverses in the sense that $g \circ f = \text{id}$ and $f \circ g = \text{id}$. In this case, we write $A \cong B$.

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For $a := |A|$ and $b := |B|$,
the equation $a = b$ asserts the existence of an isomorphism $A \cong B$.

“All equations are lies.”

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Categorification: the truth behind $a = b$ is $A \cong B$.



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$$a \times (b + c) = (a \times b) + (a \times c)?$$



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The story so far:

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Q: What is the deeper meaning of the symbols “+” and “ \times ”?

Categorifying +



Q: If $b := |B|$ and $c := |C|$ what set has $b + c$ elements?

Categorifying +



Q: If $b := |B|$ and $c := |C|$ what set has $b + c$ elements?

A: The disjoint union $B + C$ is a set with $b + c$ elements.

$$B = \left\{ \begin{array}{c} \sharp \\ b \\ \natural \end{array} \right\}, \quad C = \left\{ \begin{array}{cc} \spadesuit & \heartsuit \\ \diamondsuit & \clubsuit \end{array} \right\}, \quad B + C = \left\{ \begin{array}{cccc} \sharp & b & \spadesuit & \heartsuit \\ & \natural & \diamondsuit & \clubsuit \end{array} \right\}$$

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$$b + c := |B + C|$$



Q: If $a := |A|$ and $b := |B|$ what set has $a \times b$ elements?

Categorifying \times



Q: If $a := |A|$ and $b := |B|$ what set has $a \times b$ elements?

A: The cartesian product $A \times B$ is a set with $a \times b$ elements.

$$A = \{ * \quad \star \} , \quad B = \left\{ \begin{array}{c} \sharp \\ \flat \\ \natural \end{array} \right\} , \quad A \times B = \left\{ \begin{array}{cc} (*, \sharp) & (\star, \sharp) \\ (*, \flat) & (\star, \flat) \\ (*, \natural) & (\star, \natural) \end{array} \right\}$$

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Categorifying cardinal arithmetic



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- Natural numbers define cardinalities: there are sets A , B , and C so that $a := |A|$, $b := |B|$, and $c := |C|$.

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Q: What is the deeper meaning of the equation

$$a \times (b + c) = (a \times b) + (a \times c)?$$

A: It means that the sets $A \times (B + C)$ and $(A \times B) + (A \times C)$ are isomorphic!

$$A \times (B + C) \cong (A \times B) + (A \times C)$$

Summary of Step 1



Q: What is the deeper meaning of the equation

$$a \times (b + c) = (a \times b) + (a \times c)?$$

A: The sets $A \times (B + C)$ and $(A \times B) + (A \times C)$ are isomorphic!

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\leadsto we'll instead show that $A \times (B + C) \cong (A \times B) + (A \times C)$.



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Step 2: the Yoneda lemma

The Yoneda lemma



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- for all sets X , the sets of functions

$$\text{Fun}(A, X) := \{h: A \rightarrow X\} \quad \text{and} \quad \text{Fun}(B, X) := \{k: B \rightarrow X\}$$

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- the isomorphisms $\text{Fun}(A, X) \cong \text{Fun}(B, X)$ are “natural” in the sense of commuting with composition with any function $\ell: X \rightarrow Y$.

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The Yoneda lemma. A and B are isomorphic if and only if for any X the sets of functions $\text{Fun}(A, X)$ and $\text{Fun}(B, X)$ are “naturally” isomorphic.

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Proof (\Leftarrow): Suppose $\text{Fun}(A, X) \cong \text{Fun}(B, X)$ for all X . Taking $X = A$ and $X = B$, use the bijections:

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$$\begin{array}{ccc} \text{Fun}(A, A) & \cong & \text{Fun}(B, A) \\ \downarrow \Psi & & \downarrow \Psi \\ \text{id}_A & \longmapsto & g \end{array} \qquad \begin{array}{ccc} \text{Fun}(A, B) & \cong & \text{Fun}(B, B) \\ \downarrow \Psi & & \downarrow \Psi \\ f & \longleftarrow & \text{id}_B \end{array}$$

to define functions $g: B \rightarrow A$ and $f: A \rightarrow B$.

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to define functions $g: B \rightarrow A$ and $f: A \rightarrow B$. By naturality:

$$\begin{array}{ccc} \text{id}_A & \xrightarrow{\quad} & g \\ \downarrow & \wr & \downarrow \\ \text{Fun}(A, A) & \cong & \text{Fun}(B, A) \\ f \circ - \downarrow & & \downarrow f \circ - \\ \text{Fun}(A, B) & \cong & \text{Fun}(B, B) \\ \downarrow f & \wr & \downarrow \text{id}_B \\ f & \xrightarrow{\quad} & \text{id}_B = f \circ g \end{array}$$

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The Yoneda lemma. A and B are isomorphic if and only if for any X the sets of functions $\text{Fun}(A, X)$ and $\text{Fun}(B, X)$ are “naturally” isomorphic.

Proof (\Leftarrow): Suppose $\text{Fun}(A, X) \cong \text{Fun}(B, X)$ for all X . Taking $X = A$ and $X = B$, use the bijections:

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to define functions $g: B \rightarrow A$ and $f: A \rightarrow B$. By naturality:

$$\begin{array}{ccc} \text{id}_A & \xrightarrow{\quad} & g \\ \downarrow \wr & \begin{array}{c} \text{Fun}(A, A) \cong \text{Fun}(B, A) \\ f \circ - \downarrow \quad \downarrow f \circ - \\ \text{Fun}(A, B) \cong \text{Fun}(B, B) \end{array} & \downarrow \wr \\ f & \xrightarrow{\quad} & \text{id}_B = f \circ g \end{array}$$

and similarly
 $g \circ f = \text{id}_A$.



Summary of Steps 1 and 2



By categorification:

Step 1 summary: To prove $a \times (b + c) = (a \times b) + (a \times c)$

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By categorification:

Step 1 summary: To prove $a \times (b + c) = (a \times b) + (a \times c)$
 \leadsto we'll instead show that $A \times (B + C) \cong (A \times B) + (A \times C)$.

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Step 1 summary: To prove $a \times (b + c) = (a \times b) + (a \times c)$
 \leadsto we'll instead show that $A \times (B + C) \cong (A \times B) + (A \times C)$.

By the Yoneda lemma:

Step 2 summary: To prove $A \times (B + C) \cong (A \times B) + (A \times C)$

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By the Yoneda lemma:

Step 2 summary: To prove $A \times (B + C) \cong (A \times B) + (A \times C)$
 \leadsto we'll instead define a “natural” isomorphism
 $\text{Fun}(A \times (B + C), X) \cong \text{Fun}((A \times B) + (A \times C), X)$.



3

Step 3: representability

The universal property of the disjoint union



Q: For sets B , C , and X , what is $\text{Fun}(B + C, X)$?

The universal property of the disjoint union



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Q: What is needed to define a function $f: B + C \rightarrow X$?

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Q: What is needed to define a function $f: B + C \rightarrow X$?

A: For each $b \in B$, we need to specify $f(b) \in X$, and for each $c \in C$, we need to specify $f(c) \in X$.

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A: For each $b \in B$, we need to specify $f(b) \in X$, and for each $c \in C$, we need to specify $f(c) \in X$. So the function $f: B + C \rightarrow X$ is determined by *two* functions $f_B: B \rightarrow X$ and $f_C: C \rightarrow X$.

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By “pairing”

$$\begin{array}{ccc} \text{Fun}(B + C, X) & \cong & \text{Fun}(B, X) \times \text{Fun}(C, X) \\ \Downarrow & & \Downarrow \\ f & \leftrightarrow & (f_B, f_C) \end{array}$$

A universal property of the cartesian product



Q: For sets A , B , and X , what is $\text{Fun}(A \times B, X)$?

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Q: What is needed to define a function $f: A \times B \rightarrow X$?

A: For each $b \in B$ and $a \in A$, we need to specify an element $f(a, b) \in X$.

A universal property of the cartesian product



Q: For sets A , B , and X , what is $\text{Fun}(A \times B, X)$?

Q: What is needed to define a function $f: A \times B \rightarrow X$?

A: For each $b \in B$ and $a \in A$, we need to specify an element $f(a, b) \in X$. Thus, for each $b \in B$, we need to specify a function $f(-, b): A \rightarrow X$ sending a to $f(a, b)$.

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A: For each $b \in B$ and $a \in A$, we need to specify an element $f(a, b) \in X$. Thus, for each $b \in B$, we need to specify a function $f(-, b): A \rightarrow X$ sending a to $f(a, b)$. So, altogether we need to define a function $f: B \rightarrow \text{Fun}(A, X)$.

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Q: For sets A , B , and X , what is $\text{Fun}(A \times B, X)$?

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A: For each $b \in B$ and $a \in A$, we need to specify an element $f(a, b) \in X$. Thus, for each $b \in B$, we need to specify a function $f(-, b): A \rightarrow X$ sending a to $f(a, b)$. So, altogether we need to define a function $f: B \rightarrow \text{Fun}(A, X)$.

By “currying”

$$\begin{array}{ccc} \text{Fun}(A \times B, X) & \cong & \text{Fun}(B, \text{Fun}(A, X)) \\ \Downarrow & & \Downarrow \\ f: A \times B \rightarrow X & \Leftrightarrow & f: B \rightarrow \text{Fun}(A, X) \end{array}$$

Summary of Steps 1, 2, and 3



By categorification:

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4

Step 4: the proof

The proof



Theorem. For any natural numbers a , b , and c ,

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5

Epilogue: what was the point of that?

Generalization to infinite cardinals



Note we didn't actually need the sets A , B , and C to be finite.

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Exercise: Find a similar proof for other identities of cardinal arithmetic:

$$\alpha^{\beta+\gamma} = \alpha^\beta \times \alpha^\gamma \quad \text{and} \quad (\alpha^\beta)^\gamma = \alpha^{\beta \times \gamma} = (\alpha^\gamma)^\beta.$$

Generalization to other mathematical contexts



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- For nice topological spaces X , Y , Z ,

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- For abelian groups A , B , C ,

$$A \otimes_{\mathbb{Z}} (B \oplus C) \cong (A \otimes_{\mathbb{Z}} B) \oplus (A \otimes_{\mathbb{Z}} C).$$

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Thank you!