

BMJ Open Global research trends in spinal ultrasound: a systematic bibliometric analysis

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ABSTRACT

Background In recent years, there has been increased interest in the use of ultrasound technology in the evaluation of spinal and paraspinal regions.

Objective This study aimed to investigate trends in spinal ultrasound research from 1994 to 2015 and compare the contributions of such research from different countries and authors.

Study design Bibliometric analysis.

Setting Publications related to spinal ultrasound from 1994 to 2015 were retrieved from the Web of Science database.

Methods Excel 2013, GraphPad Prism 5, and VOSviewer were used to summarise bibliometric features, including the number of publications, citation frequency, H-index, and country contributions and hotspots (keywords of popular scientific fields).

Results A total of 3859 papers were included. The global inflection point (the point in time when the publication growth rate moved from positive to negative) came in 2010. The United States contributed the largest percentage of articles (1041; 26.9%), with the most citations (19 848) and the highest H-index (61). The journals *Osteoporosis International* and *Spine* had the highest publication number. The University of Toronto and the University of California, San Francisco were the most contributive institutions. Studies could be divided into three clusters: surgery, osteoporosis, and others. The keywords 'adolescent idiopathic scoliosis' and 'anaesthesia' were the latest hotspots, appearing around 2012.

Conclusion Spinal ultrasound literature has grown continuously over the last 22 years, with the rate slowing down after 2010. The United States was the largest contributor in this field. Recent studies on topics related to 'adolescent idiopathic scoliosis' and 'anaesthesia' were relatively new and should be closely followed in spinal ultrasound research.

INTRODUCTION

Spinal disease is one of the most common causes of pain. It is estimated that about 25% of postmenopausal women suffer from osteoporotic compression fractures of the spine,¹ and about 10 000 new cases of spinal cord injury are reported every year.² Ultrasound (US) technology has emerged as a medical

Strengths and limitations of this study

- This bibliometric study included data on publication number, citation frequency, relative research interest, mean number of citations per paper, and H-index.
- The fitting mathematical model was used to analyse the time trend of the publications by using GraphPad Prism 5.
- VOSviewer, a Java programme, was used to visualise and analyse hotspots, masterpiece clusters, and co-citation networks.
- The fitting mathematical model might be improved in the future, since the increasing trend in the number of publications might extend beyond what is expected according to the proposed model.

device for evaluating spinal and paraspinal regions.³ Quantitative US has been widely used for osteoporosis assessment and fracture risk estimation in peripheral anatomical sites (eg, the heel)⁴ because of its ability to visualise muscles, tendons and internal organs, capturing their size, structure and pathological lesions.⁵ The method recently reported by Conversano *et al* in 2015 indicated that the osteoporosis score obtained via echographic approaches could be an accurate diagnostic parameter for spinal osteoporosis diagnosis.⁶ Since the 1980s, US has also been applied as an intraoperative navigation tool.⁷ In recent years, there has been an increase in the use of US for spinal anaesthesia.⁸ However, this rapid progress in spinal US is underestimated; therefore, it is necessary to summarise the current status of spinal US research and explore promising keywords.

Bibliometrics is a viable means to quantitatively and qualitatively evaluate trends in research activity over time using literature databases and literature metrology characteristics. It provides a way to grasp development in a certain field and evaluate academic groups and individual researchers.⁹ Bibliometric studies can also provide supporting

evidence for policy and decision making.¹⁰ Such bibliometric studies have progressed in many scientific fields, including diabetes,¹¹ cardiovascular disease,¹² respiratory medicine,¹³ gastrointestinal diseases¹⁴ and exosome studies.¹⁵

This study investigated trends in spinal US research in recent years. Since the Web of Science began collecting related papers in 1994, we analysed publication outcomes from the last 22 years (1994–2015). This first attempt to use bibliometrics to analyse spinal US related publications aims to provide a better understanding of global trends in the application of spinal US.

METHODS

Data source and search strategy

Bibliometric analysis was performed using the Web of Science (WoS) and Essential Science Indicators (ESI) databases, including the Science Citation Index Expanded (SCIE) database.

Search terms were based on MESH terms on PubMed. In this study, the search terms were as follows: theme = ((ultrasound* OR ultrasonography OR ultrasonic) AND (spine OR spinal OR vertebra OR vertebrae)) AND publishing year = (1994–2015).

We refined the search for certain countries or regions by selecting country/region in the databases. The top 20 productive countries/regions are described in this research.

Information extraction

The data were downloaded from the WoS database and imported into Microsoft Excel 2013. Two researchers (XZ and JC) independently verified the data entry and collection. The entered data were listed as follows: publication number, citation frequency, relative research interest, mean number of citations per paper, H-index,^{16 17} impact factors of journals, and funding sources. Differences between the two researchers' verifications were discussed to reach consensus. Finally, the two researchers manually cleaned and analysed the data in Excel. The most recent Central Intelligence Agency (CIA) statistics on population sizes were used in this study.¹⁸

Relative research interest was designed as the quantity of weighted publications per year divided by the number of publications across all disciplines per year.¹⁹ The H-index is an author-level metric that attempts to measure both the productivity and citation impact of publications by a scientist or scholar.¹⁹ Specifically, H-index means he or she has H papers, each of which has been cited more than H times. For example, if a researcher has five publications, A, B, C, D and E, with 10, 8, 5, 4 and 3 citations, respectively, the H-index is equal to 4 since the fourth paper has 4 citations and the fifth has only 3. In contrast, if the same studies have 25, 8, 5, 3, and 3 citations, then the index is 3 since the fourth paper has only 3 citations.²⁰

Statistical analysis

Microsoft Office Excel 2013 (Microsoft Corporation, Santa Rosa, California, USA) was used to analyse the characteristics of the publications, including publication number, relative ratio, country, institution, funding institution, citation frequency, and H-index.

The time trend of the publications was analysed by fitting mathematical models using GraphPad Prism 5 (GraphPad Software Inc., California, USA). Given its good fitness and ability to predict future trends in the literature,^{21 22} the logistic growth model $f(x) = c/[1 + a \times e^{-b \times (x-1994)}]$ was used to model the cumulative volume of documentation. The symbol x represented the year, and $f(x)$ was the cumulative volume of papers by year. The point in time when the publication growth rate moved from positive to negative is referred to as the inflection point of the logistic growth curve, which was generated using the formula $T = \ln a/b$.²²

The Java programme VOSviewer (Leiden University, Leiden, The Netherlands) is used for the bibliometric visualisation and analysis of the literature.²³ In this research, it was used for co-citation network analysis and visualisation. Hotspots, defined as the keywords of popular scientific fields, were calculated and visualised using VOSviewer according to keyword frequency in titles and abstracts. Clusters of masterpieces in research fields were also visualised based on citation frequency in the WoS data.

RESULTS

Evaluation of global publications

A total of 3859 papers were identified. In general, the number of publications per year indicated a steadily increasing trend over the past 22 years (figure 1A). Figure 1B shows the model fitting curves of the growth trend. The global inflection point (the point in time when the publication growth rate moved from positive to negative) occurred in 2010.

The top 20 countries with the most publications are shown in table 1. The United States published the most papers (1041; 26.98%), followed by Germany (368 papers; 9.53%). After adjusting for population, Switzerland had the most publications per one million people (60.63), followed by Austria (11.36).

Citation frequency and H-index analysis

The citation frequencies and H-indexes of the top 20 countries are shown in table 1. Papers from the United States had the highest citation frequencies (19,848) and H-indexes (61). The UK ranked second in citation frequency.

Highly contributive institutions, funding bodies and journals

The top 10 contributive institutions are listed in figure 2A. The University of Toronto published the most papers (89 papers, 1482 citations, 21 H-index), and the University of California, San Francisco (UCSF), ranked second (54

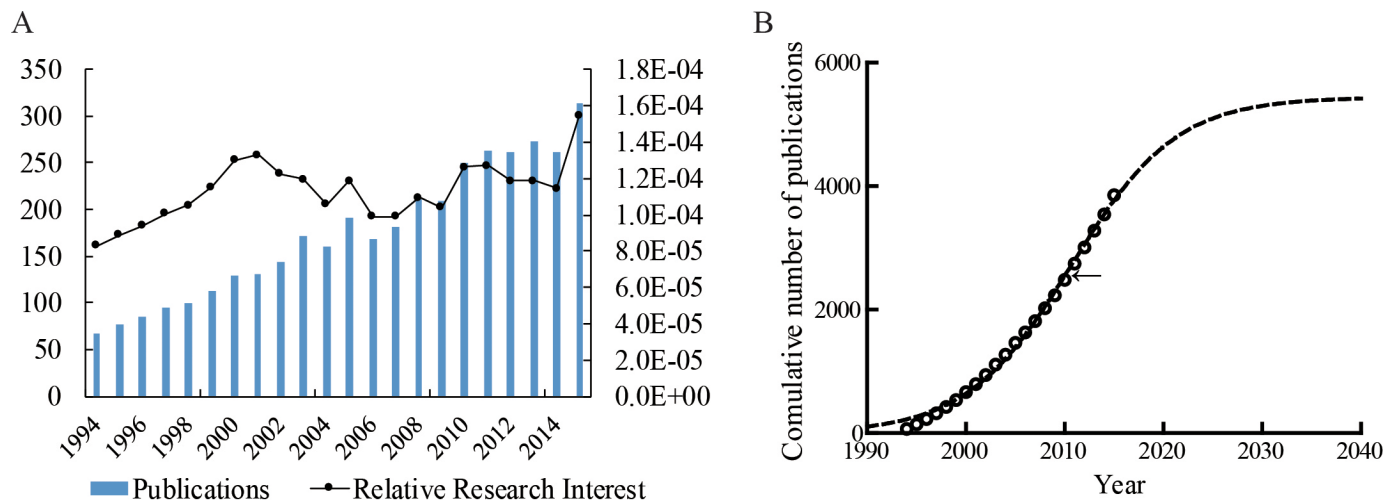


Figure 1 Global trends in research on spinal ultrasound (US) applications. (A) The number of worldwide publications on spinal US applications and the line of the sum of research-related article fractions (percentage of research from all regions). (B) Model fitting curves of growth trends in worldwide publications on spinal US applications.

papers, 2047 citations, 24 H-index). In contrast, papers from UCSF had the highest citations and H-indexes (54 papers, 2047 citations, 24 H-index).

The top 10 funding bodies are shown in figure 2B. Altogether, 31 studies were funded by the National Institutes of Health (NIH) (ranked first), and 16 were funded by the National Natural Science Foundation of China (NSFC) (ranked second). The Swiss firm Novartis was the top private funding institution, supporting 13 studies.

The top 10 journals for spinal US application are listed in figure 2C. *Osteoporosis International* published the most (165 papers), and *Spine* ranked second (79 papers).

Hotspot analysis

Figure 2D shows the research field distribution of publications related to spinal US application. The most popular research fields were neuroscience and neurology,

Table 1 Publications in the most productive countries

Country	N	%	N per million people	Total citations	H-index
USA	1041	27.00	3.21	19848	61
Germany	368	9.55	4.56	6937	44
England	333	8.64	5.17	7899	46
Canada	308	7.99	8.71	4206	32
Italy	272	7.06	4.39	5199	37
France	185	4.80	2.77	3115	28
Japan	167	4.33	1.32	1862	23
Australia	151	3.92	6.57	3983	35
China (PRC)	139	3.61	0.10	836	15
The Netherlands	126	3.27	7.40	2060	21
Spain	126	3.27	2.59	2066	21
Turkey	111	2.88	1.38	684	14
Austria	99	2.57	11.36	2021	27
Switzerland	88	2.28	60.63	1921	25
South Korea	86	2.23	1.69	564	11
Belgium	74	1.92	6.49	1685	25
Brazil	66	1.71	0.32	858	13
Israel	61	1.58	7.46	2018	19
Poland	58	1.51	1.51	880	16
Sweden	57	1.48	5.77	1201	21

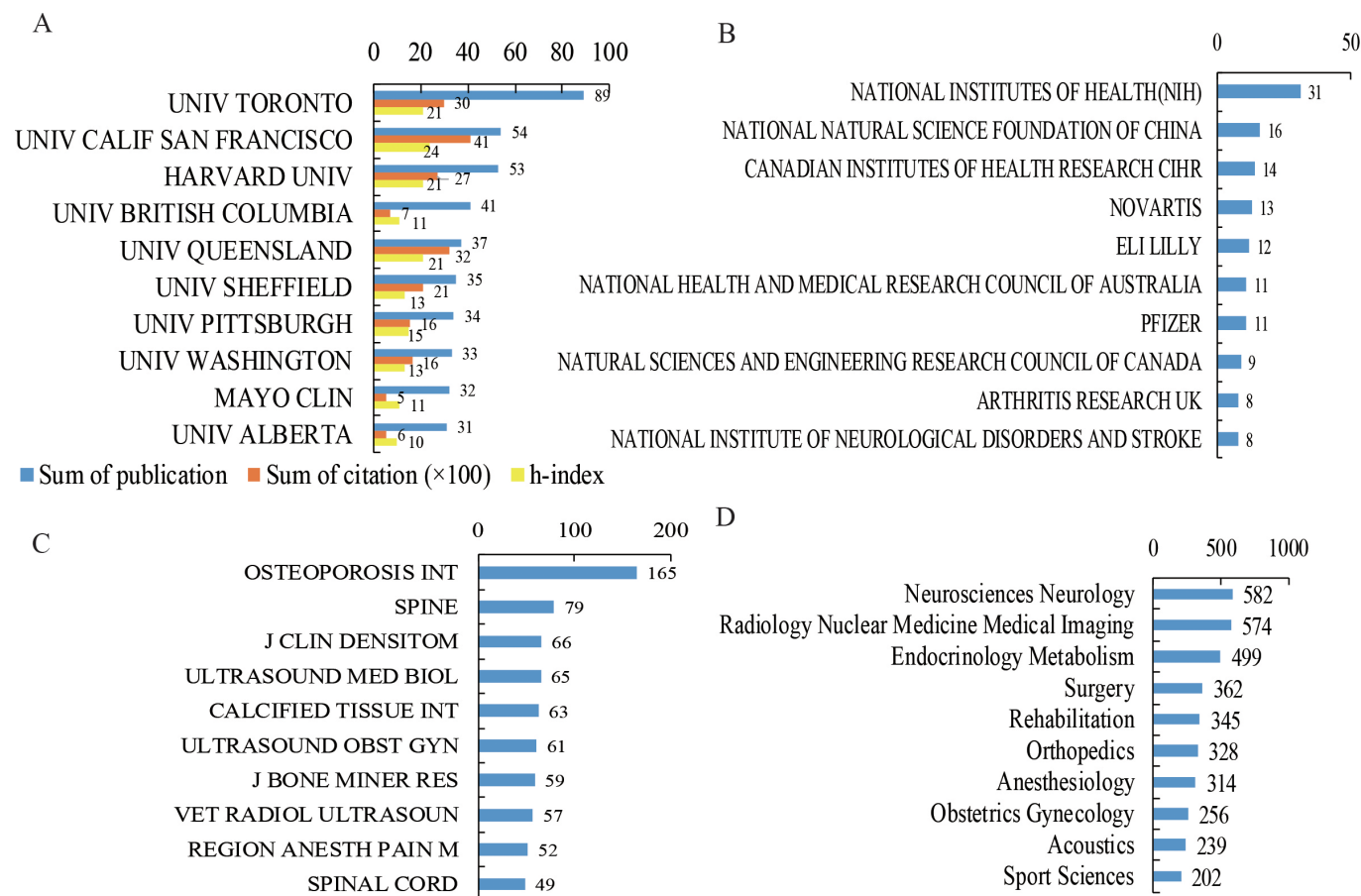


Figure 2 (A) The sum number, citation frequency and H-index data of publications on spinal ultrasound (US) applications from the top 10 contributing institutions. (B) The number of studies funded by the top 10 funding institutions. (C) The number of publications in the top 10 journals on spinal US applications. (D) The number of publications in the top 10 popular fields of research on spinal US applications.

radiology, nuclear medicine and medical imaging, and endocrinology and metabolism.

Bibliometric maps generated by VOSviewer show the keyword analyses. According to the maps (figure 3A), studies were divided into three clusters: surgery (left corner in red), osteoporosis (right corner in green), and others (middle corner in blue).

Figure 3B shows the time distribution of keywords. ‘Adolescent idiopathic scoliosis’ (AIS) in the third cluster (others) appeared as a keyword 45 times in 2012, leading the latest research points. In addition, keywords related to ‘osteoporosis’ appeared earlier than ‘surgery’ and others, and keywords related to ‘anaesthesia’, such as ‘spinal block anaesthesia’, appeared in recent years. Supplementary table 1 lists the detailed data used to generate the bibliometric maps.

Cluster analysis of masterpieces in each research field

Figure 4 shows the bibliometric map of co-citations for papers on spinal US application. Papers cited more than 10 times were divided into four clusters. The article titled ‘Statistical methods for assessing agreement between two methods of clinical measurement’, published in *Lancet* in 1986, was the most cited (229 citations). Supplementary

table 2 shows the detailed data used to generate the bibliometric map.

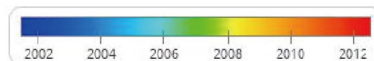
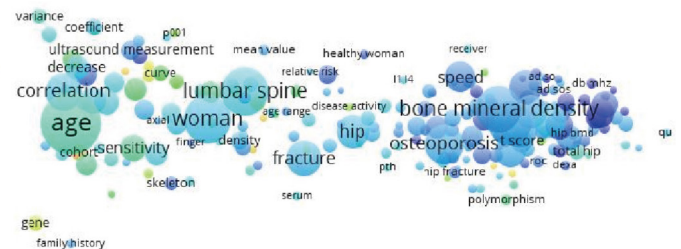
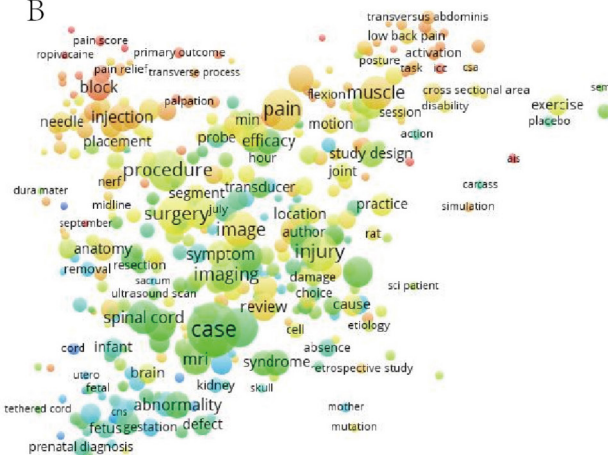
DISCUSSION

Global trends in research on spinal US application

As demonstrated in our previous study,^{15 19} bibliometric and visualised analysis can show the current status and make future predictions. Therefore, the present study used the same method to evaluate spinal US applications with regard to contributing countries, institutions, funding institutions and research focuses.²⁴

Given the situation of ageing populations in most developing countries, the number of patients with osteoporosis is expected to increase in the coming years.²⁵ To reduce exposure to X-ray radiation during examinations, hospitals are increasingly using ultrasonic devices.²² As shown in figure 1A, relative research interest peaked around 2000–2002, which could be mostly related to studies of osteoporosis, as shown in figure 3. Furthermore, improvements have emerged in ultrasonic technologies,²⁶ such as the use of small US probes, and more spinal surgeons have become interested in using US in surgery and anaesthesia.²⁷ Finally, with improvements in quality of life, ordinary people’s

B



awareness of the importance of health has gradually increased. More ultrasonic equipment for physiotherapy and rehabilitation has become commercially available. As a result, the number of research publications on spinal US has increased. Investigating bibliometric trends in spinal US helps us to better understand the history and future of spinal US application.

The United States was the leading country in both the quantity (total publication number) and quality (H-index) of research on spinal US application. In addition, most of the major contributing institutions were based in the United States and Canada. The listed institutions could potentially become partners for cooperation among those who are committed to this field. With the funding guarantees of the NSFC in China, top Chinese institutions are expected to emerge in the future. For journals, future development in this field may still be showcased in *Osteoporosis International* and *Spine* since they published the most articles in the past.

The network map indicated that osteoporosis was the dominant point in the early years of US research, during

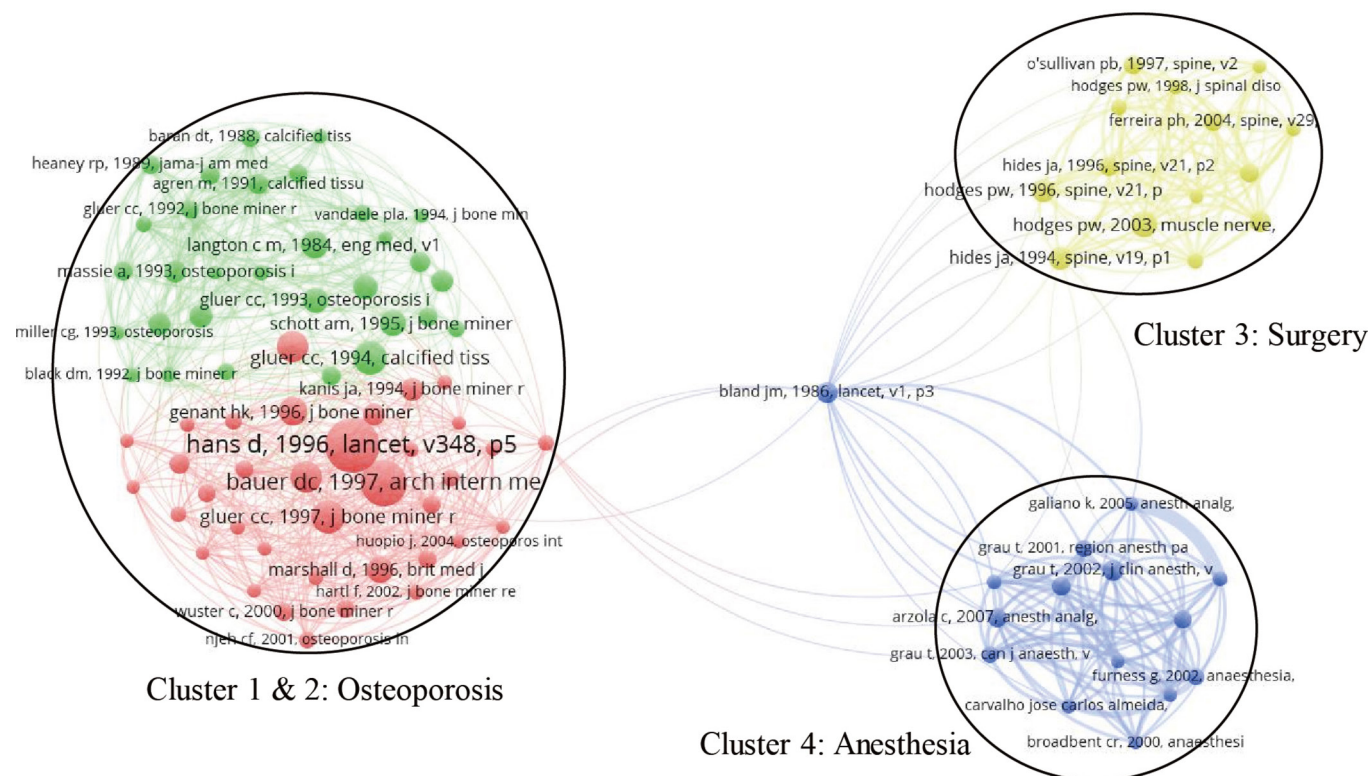


Figure 4 Mapping of co-cited references related to spinal ultrasound (US) application. (Note: given the large number of cited references, this study only selected papers cited more than 10 times for analysis. Ultimately, 680 papers were included in the analysis. The 680 points with different colours represent the 680 cited papers. A line between two points means that both were cited in one paper. A shorter line indicates a closer link between two papers. Points in the same colour belong to the same cluster among the four total clusters: clusters one and two (green and red) are papers related to osteoporosis; cluster three (yellow) contains papers related to surgery; and cluster four (blue) contains papers related to anaesthesia.)

which US was mainly used to determine bone density. Regarding the most cited papers, the study by Hans *et al.*²⁸ published in *Lancet*, was first with 229 citations. That study showed that US could help predict the prevalence of hip fracture among older women by determining the bone mineral density (BMD) of calcaneus; moreover, it found no significant differences in the results between US and DPXA (dual energy X-ray absorptiometry). Meanwhile, a study by Bauer *et al* cited 179 times showed that broadband ultrasonic attenuation can help predict fractures in older women.²⁹

Aside from osteoporosis, US application in spinal surgery has become a new research hotspot. A 2003 study by Hodges *et al*³⁰ showed that the isometric contraction of some muscles could be measured by ultrasonic imaging. Another study by Hodges *et al* in 1996³¹ showed that delayed transversus abdominis contraction indicates inefficient muscular stabilisation of the lumbar spine; the authors also predicted that US application to spinal block anaesthesia would become an important topic in the future, which proved to be true. A 2002 study by Grau *et al*³² showed that US application could significantly improve the quality of epidural anaesthesia. Finally, a 2007 study by Arzola *et al*³³ indicated that US application could improve the success rate of anaesthesia by helping find the appropriate entry point.

The word distribution in titles and abstracts indicated that AIS was the keyword with the highest frequency. Ungi *et al*³⁴ found that the kyphosis angle of patients with AIS could be measured effectively by ultrasonography, which was consistent with the results of Wang *et al*³⁵ and Young *et al.*³⁶ Finally, Manbachi *et al*³⁷ reported that US could be used to guide pedicle screw implantation.²²

Strengths and limitations

This bibliometric study provided information about research on US application to spinal regions. The reader can readily grasp the history of spinal US and find appropriate cooperative institutions or authors.

Although this study's data analysis was relatively comprehensive and objective, there are certain limitations. First, the SCIE database mainly includes literature in English and is lacking in non-English publications. Second, differences existed between the bibliometric analysis results and real research conditions. Some recently published high-quality papers might not be emphasised because of low citation frequency. Further, increasing publication trends might continue longer than suggested by the proposed model. Third, the data in this study are open, and new studies are published every day.



CONCLUSION

The United States was the largest contributor to studies on the use of ultrasound in spinal applications. The journals *Osteoporosis International* and *Spine* had the most publications related to spinal US. The University of Toronto and UCSF are expected to be good candidates for collaborative research in this field. The application of US to AIS and anaesthesia could be the research hotspots to follow in the coming years.

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Data sharing statement All additional data were attached in this system.

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