



Demystifying 3GPP and the essential role of Qualcomm in leading the expansion of the mobile ecosystem

August 2017

@qualcomm_tech



The revolutionary impact of mobile broadband

High-speed wireless Internet access—in the palm of your hand and on-the-go



~4.4B

Mobile broadband connections¹ surpassed fixed in 2010

591

4G LTE networks have been launched in 189 countries²

~47

Smartphones ship every second
Over 1.5 billion units in 2016³

2h 51m

The average time a consumer spent on their smartphone per day⁴

~\$3.3T

Global revenue generated by mobile value chain in 2014 – directly responsible for 11 million jobs⁵

At the foundation is evolving 3G and 4G LTE wireless/cellular technology standards

3GPP drives global standards for cellular technology

Develops global technical specifications for 2G, 3G, 4G and 5G wireless devices



Member-driven organization
Relies on R&D and tech inventions from members, e.g. 'contributions'

Collaborative engineering effort
Consensus-based, tech-driven effort across 100s of entities

Distributed work-flow
Scale/complexity requires division of work into smaller, specialized pieces

3GPP technologies have fueled mobile innovation

Delivering new levels of performance and efficiency over multiple generations

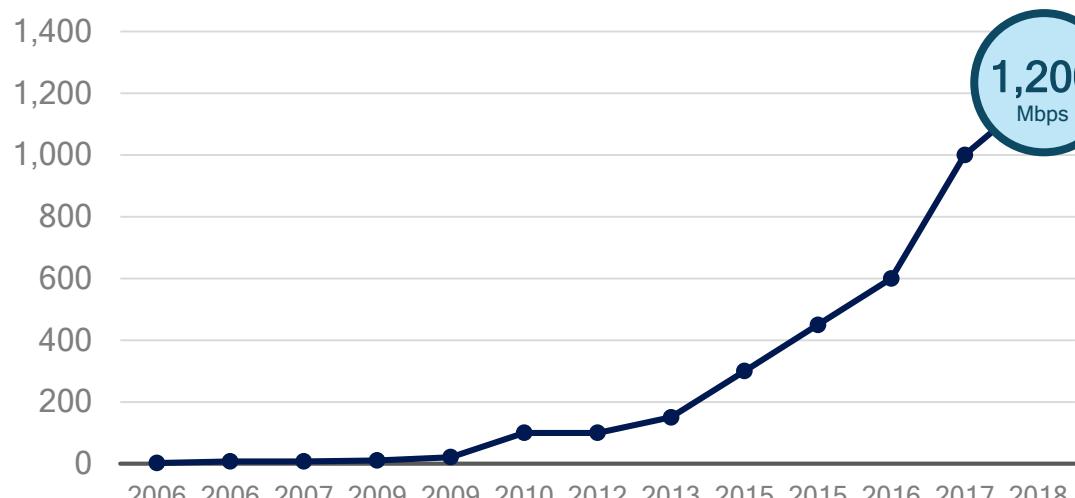


Last 15+ years focused on faster, better mobile broadband

Delivering innovations to address the ever-increasing data demand

As wireless technology advances,
providing more throughput...

Peak download speed supported in modem (Mbps)

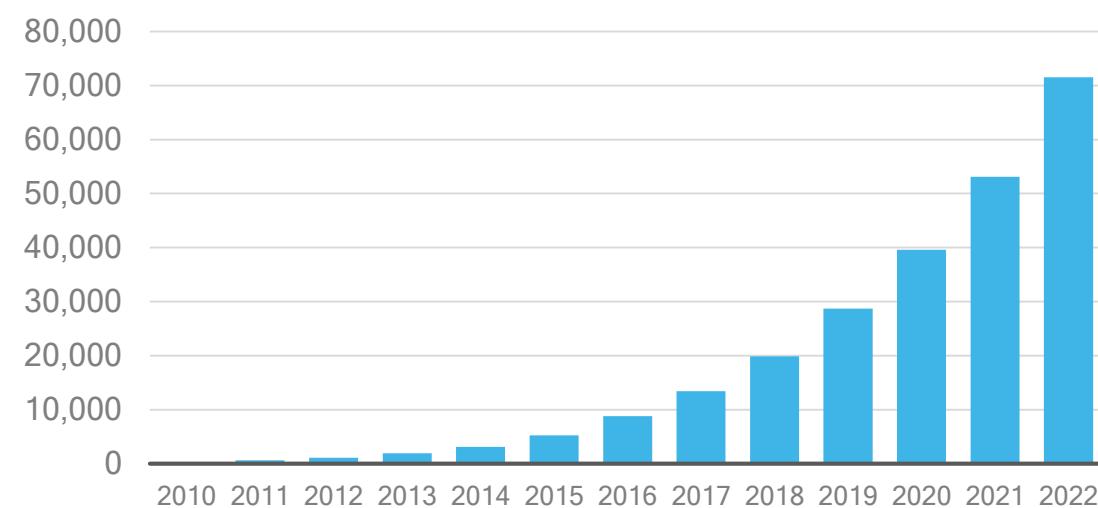


>650x growth
in peak download speeds from early 3G devices

Source: Qualcomm Technologies, Inc.; subject to network availability

...market demand evolves toward
a world of data

Global mobile data traffic (Petabytes per month)

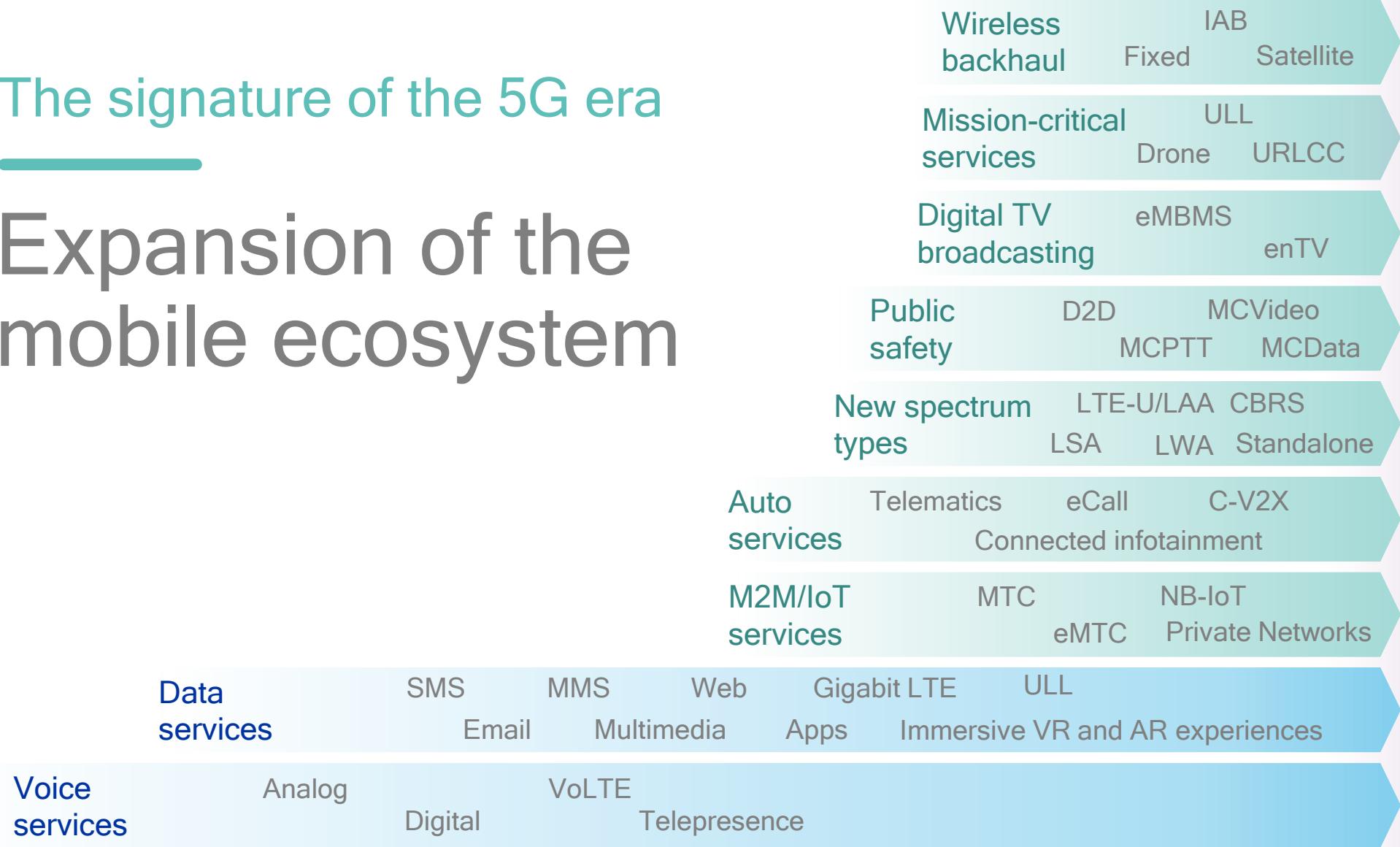


>250x growth
in data traffic between 2010 and 2022

Source: Ericsson's Mobility Report 2017

The signature of the 5G era

Expansion of the mobile ecosystem



5G

Expanding to new verticals, spectrum, deployments, services and user experiences

5G expansion will redefine a wide range of industries

A platform for new connected services—existing, emerging and unforeseen



Ultra-high fidelity media anywhere



Safer, more autonomous transportation



Reliable access to remote healthcare



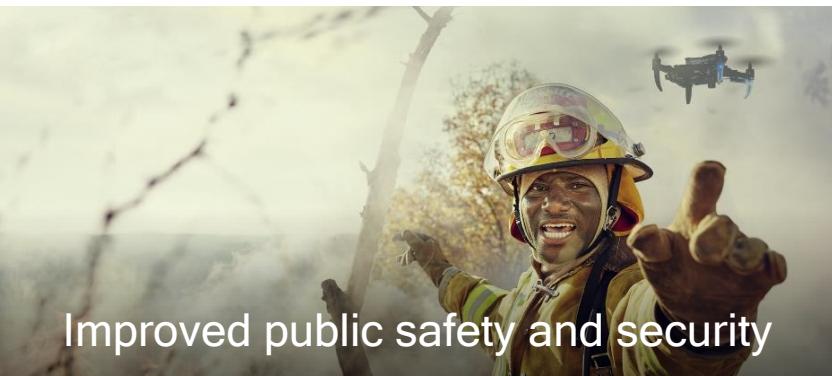
Immersive entertainment

>\$12 Trillion
Worth of goods and
services by 2035

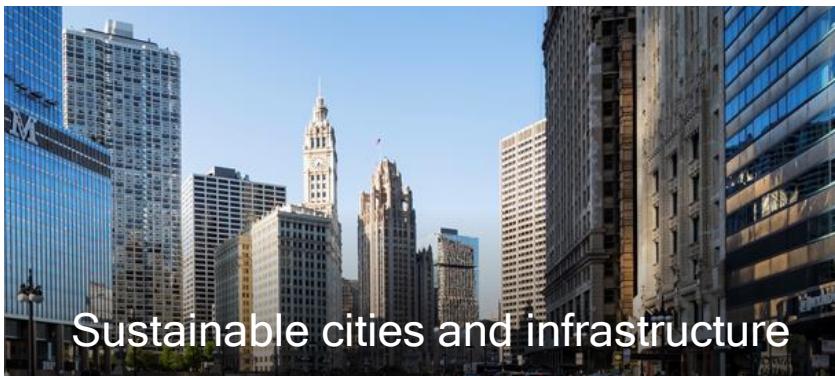
Learn more at: [5G Economy Study](#)



More efficient use of energy/utilities



Improved public safety and security



Sustainable cities and infrastructure



More autonomous/digitized factories



Demystifying the organization and working procedures of 3GPP

The basics

3GPP is a partnership of seven global SSOs

Formed to create and maintain global wireless communications standards

Regional Standard Setting Organizations (SSOs)¹



Prepares, approves, enhances and maintains
globally applicable tech specifications

Transpose 3GPP specs into standards³;
also responsible for IPR² policy for 3GPP members⁴



Ensures compliance
with industry requirements

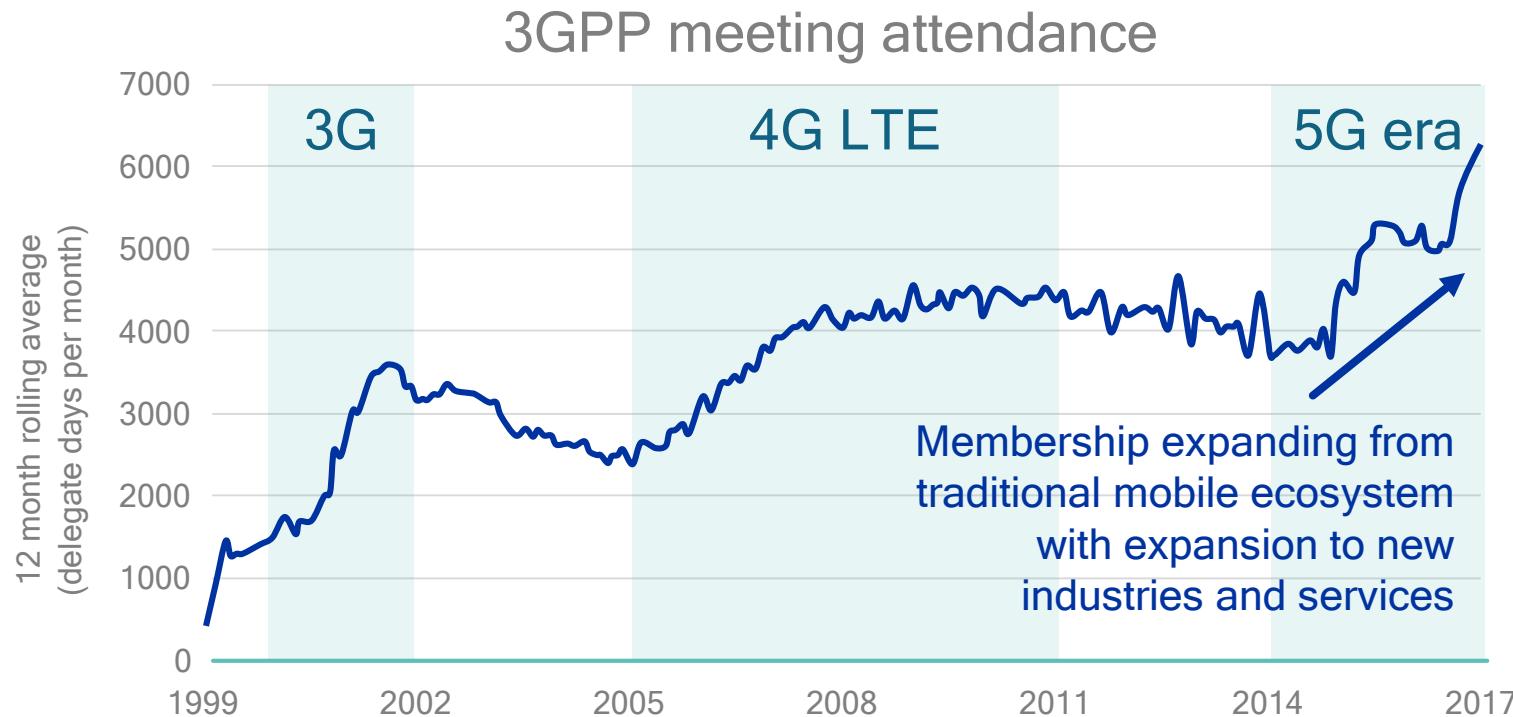
Seamless interoperability
between vendors

Delivers global scale
necessary for mobile

1. Also Market Representation Partners that provide guidance on market dynamics and requirements, e.g. GSMA, NGMN; 2. Intellectual Property Rights; 3. Regional SDOs transpose 3GPP specs into national standards - ITU responsible for transposing 3GPP specs into international standards; 4. In order to participate in 3GPP, individual members must formally join one of SSOs

3GPP is an expanding, member-driven organization

Substantial effort and collaboration across 100s of organizations



500+ members from across 40+ countries

- Network operators
- Device manufacturers
- Chipset manufacturers
- Infrastructure manufacturers
- Academia
- Research institutions
- Government agencies

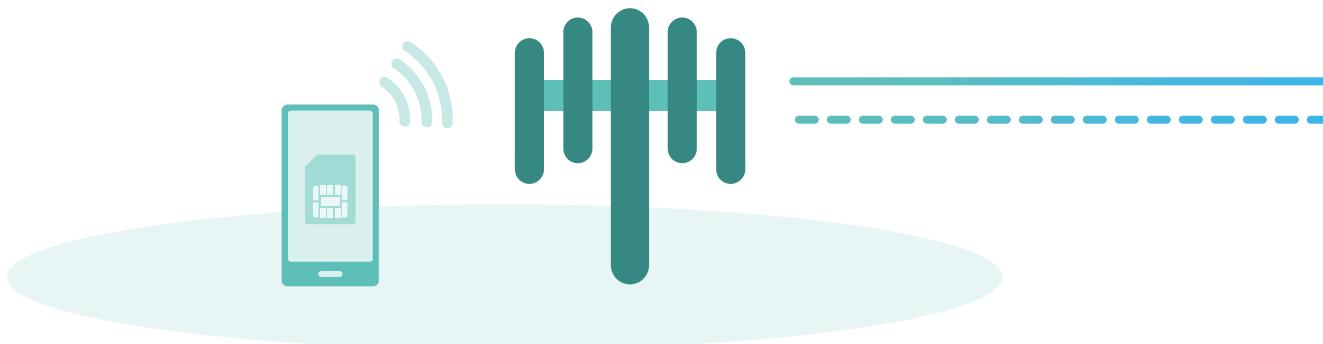
Over 2,000+ delegate man years in cumulative meeting time since 1998
Participants in 3GPP meetings are engineers and discussions are purely technical in nature

3GPP defines complete end-to-end system specifications

Encapsulates all essential elements to define the overall network

Radio Access Network (RAN)

Implements radio access technology, e.g. LTE, managing radio link to connect UEs to core network



User Equipment (UEs)

Devices, e.g. smartphones, that connect to services via radio access technology

Services

Framework for service architecture, capabilities, multimedia and charging



Core Network (CN)

Manages the RAN, e.g. mobility mgmt., and routes data to outside world, e.g. Internet

The scale and complexity of technology requires division of technical problems and work into smaller, specialized pieces in 3GPP

3GPP is a distributed, systems-engineering effort

Technical work occurs across 3 TSGs and 16 specialized WGs*



Radio Access Network (RAN)

Defines the radio communications between UEs and core network

RAN WG1

Layer 1 (Physical) spec

RAN WG2

Layer 2 and 3 (RR) protocols

RAN WG3

Access network interfaces + O&M

RAN WG4

Performance requirements

RAN WG5

UE conformance testing

RAN WG6

Legacy RAN, e.g. GSM, HSPA



Service/System Aspects (SA)

Responsible for overall architecture & service capabilities

SA WG1

Service requirements

SA WG2

Architecture

SA WG3

Security

SA WG4

Codecs, multimedia system

SA WG5

Telecom management

SA WG6

Mission-critical services



Core network & Terminals (CT)

Responsible for core network; defines terminal interfaces & capabilities

CT WG1

Mobility Mgmt, Call Ctrl, Session Mgmt

CT WG3

Policy, QoS and Interworking

CT WG4

Network protocols

CT WG6

Smart card application

Each TSG/WG has elected Chair- & Vice Chairpersons

Elected from member companies - must be impartial and act on behalf of 3GPP



- Responsible for overall management/progress of technical work within their Group
- Manage meeting agenda based on individual member contributions
- Ensure compliance with 3GPP working procedures and policies
- TSG elections are held every two years; serve a maximum of two terms

Example



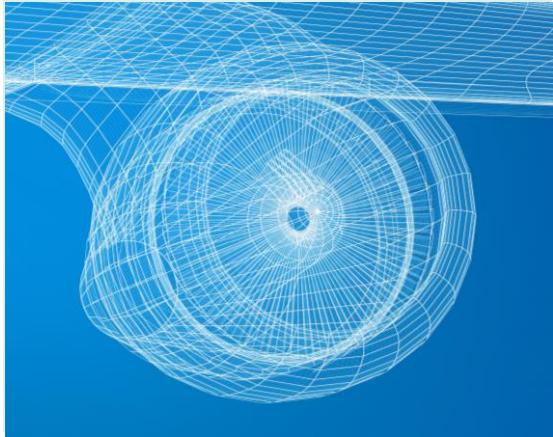
Dino Flore (right) passes 3GPP RAN Chairman bell to Balázs Bertényi of Nokia Corporation

Dino Flore
Qualcomm Technologies, Inc.

Successfully served as RAN Chairman from 2013-2017; led the expansion the mobile ecosystem on path to 5G

3GPP is a collaborative, system-engineering effort

Managed like any other complex system-engineering effort, e.g. designing a jet plane



1 Early R&D and project proposal to management

2 Break project into specialized areas, e.g. jet engine

3 Feasibility study and explore different technical solutions

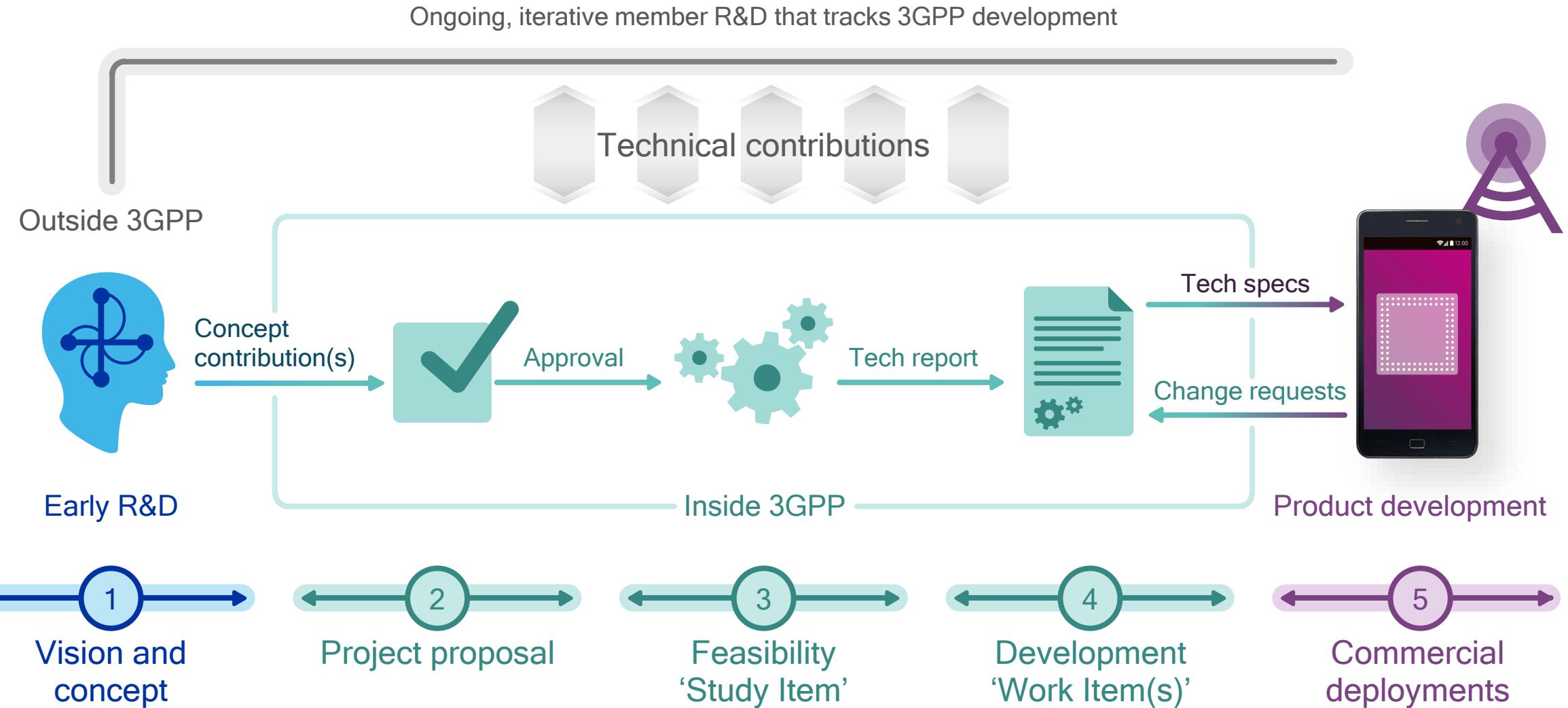
4 Develop solution(s) based on agreed work plan

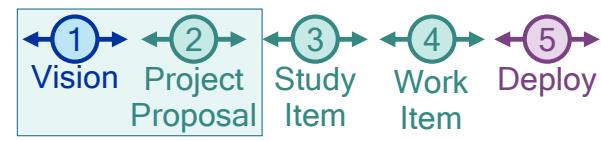


3GPP develops technical specifications (vs. jet planes), is constrained by meeting time (vs. OPEX) and is a collaborative effort across 100s of different entities with potentially diverse interests/incentives

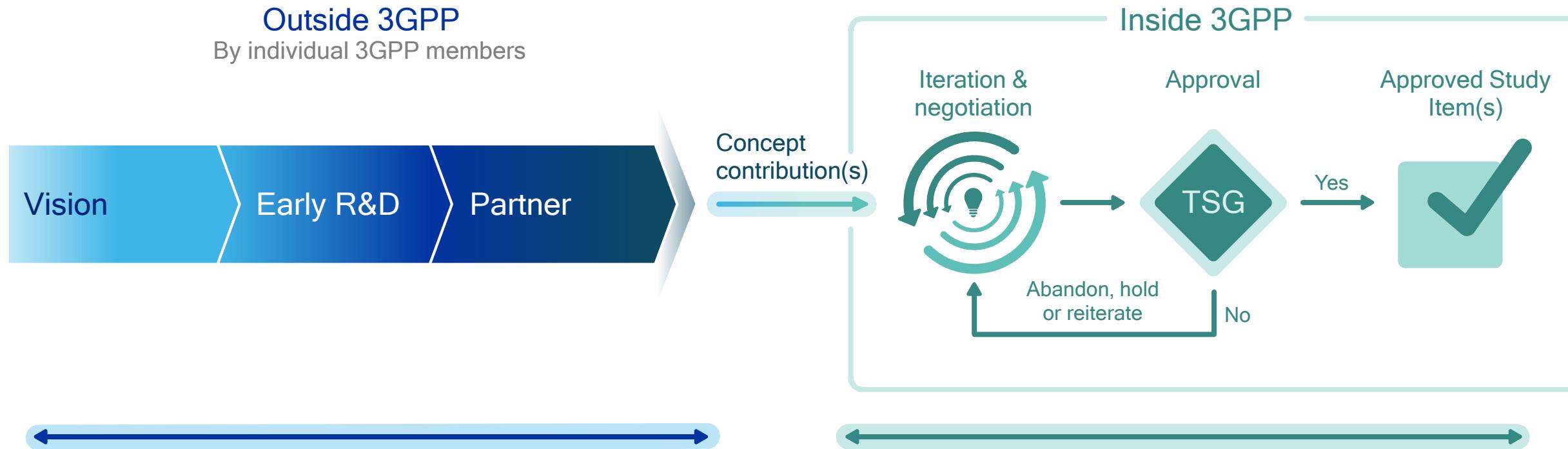
3GPP is a collaborative, system-level engineering effort

And thus, the 3GPP work-flow and working procedures reflect this





Early member R&D fuels new innovations

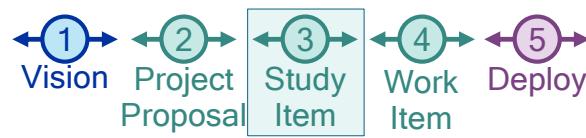


1 Vision and concept

- Define compelling problem or need
- Specify requirements and constraints
- Develop early design and technologies
- Garner support and test assumptions
- Submit 3GPP ‘concept’ tech contribution

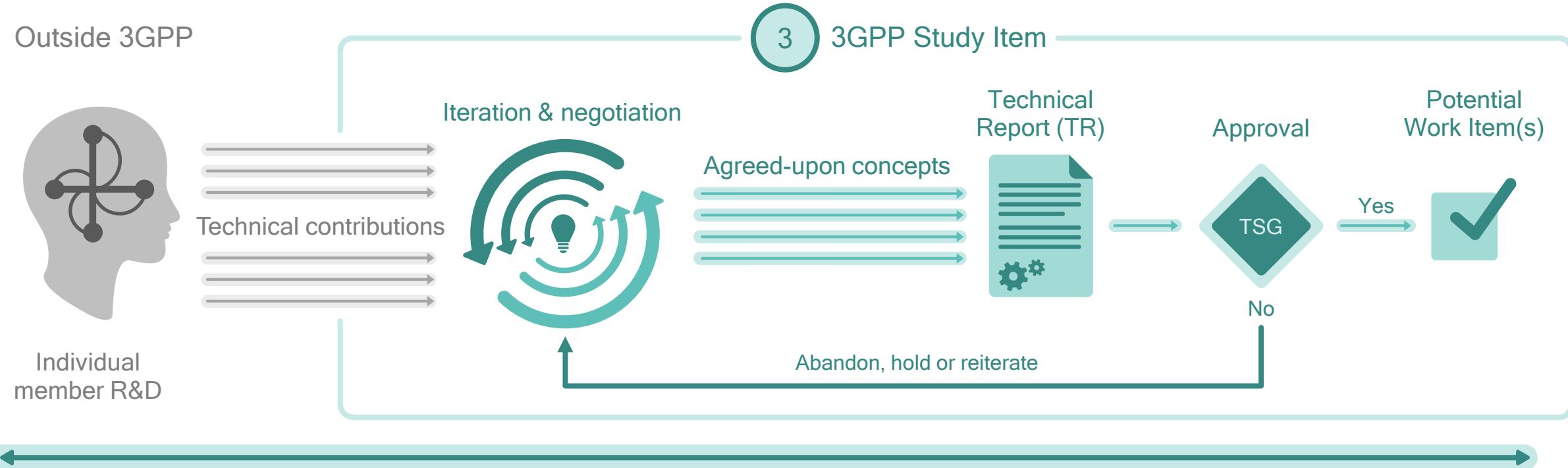
2 Project proposal

- New feature may be initiated by any member
- Must have support of at least 4 individual members
- Usually iterate on concept over multiple meetings
- New work activity must be approved by TSG plenary
- Approval results in approved Study Item(s)



3GPP feasibility Study Item

Evaluates multiple tech options/solutions



Members submit tech docs (contributions) to propose solutions and technologies

Contributions are made publicly available, discussed in 3GPP meetings (time permitting)

Decisions are tech-driven and result from consensus-based process open to all members

Process is iterative and non-linear—many discussions continue beyond 3GPP agenda

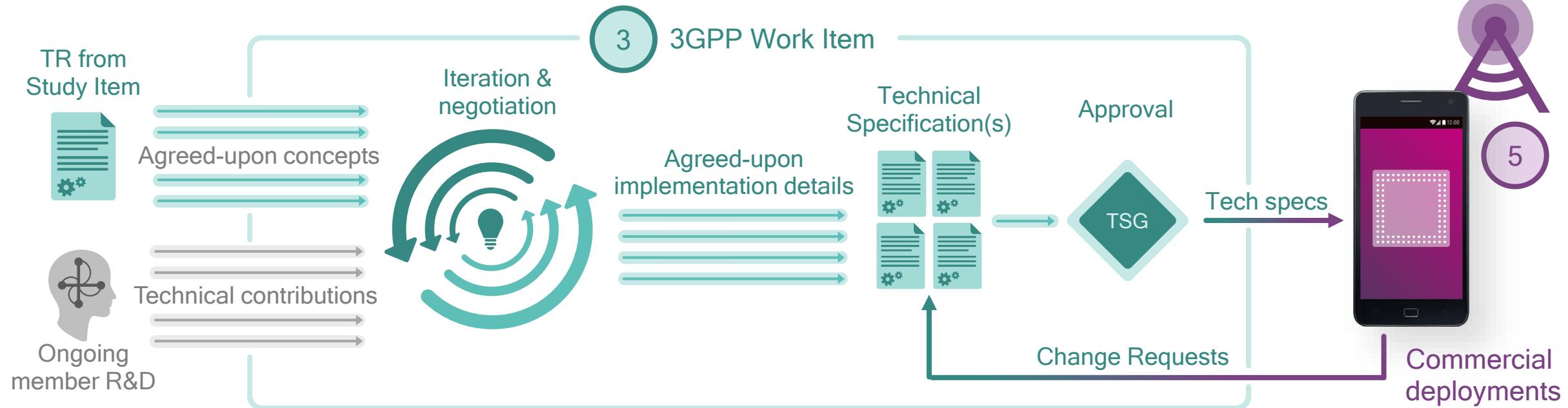
Agreed-upon concepts included in Tech Report—rarely untouched from initial contributions

Approved TR (by TSG) may result in corresponding Work Item(s)—may be less scope than Study



3GPP Work Item develops specification(s)

Based on agreed-upon concepts and solutions from Study Item¹



Similar contribution-driven, iterative, consensus-based process to specify selected solutions

Complete list of active work-items make up 3GPP work-plan; available on 3GPP website

Each Work Item has supporting companies and rapporteur(s) - the WI manager(s)

Agreed-upon implementation details executed in Tech Specification(s) - either new or existing¹

Once spec approved, changes can only be accomplished through formal 'change request'²

Released specs kick-off race to standards-compliant devices and infrastructure for deployments

¹ Not all Work Items are the result of a Study Item - may start directly and have some study phase at the start of the Work Item; ² Updates to existing specifications accomplished via Change Requests (type of contribution);

³ Change Requests are tracked rigorously since it can impact product development for manufacturers of chipset, infrastructure and User Equipment

Tech specs ultimate output of work completed in 3GPP

Over 1,200 active 3GPP technical specifications¹

- 100s of technical contributions are submitted towards formation of single specification
- Each specification has a Rapporteur (editor and manager) following guidance of WGs
- Owned by a specific TSG – responsible for freezing specs when functionality is stable @ quarterly plenary
- Tech specifications are used by downstream manufacturers for product development
- Identified by a 5 digit number that categorizes specs into meaningful tech categories²

| | | |
|--------|----------------------|--|
| 25.bbb | Radio access aspects | 25.1bb: UTRAN radio performance 25.2bb: UTRA layer 1 25.3bb: UTRA layers 2 & 3 25.4bb: UTRAN Iub, Iur & Iu interfaces |
|--------|----------------------|--|

Technical spec example
RRC Protocol specification
(TS 25.331)

3GPP TS 25.331 v13.6.0 (2017-03)

3rd Generation Partnership Project;
Technical Specification Group Radio Access Network;
Radio Resource Control (RRC);
Protocol specification
(Release 13)

>2,000 pages



New features are introduced via 3GPP Releases

Measure of real progress – new features are functionally frozen, ready for implementation

Self-contained – can build system based on the set of frozen specs in a Release

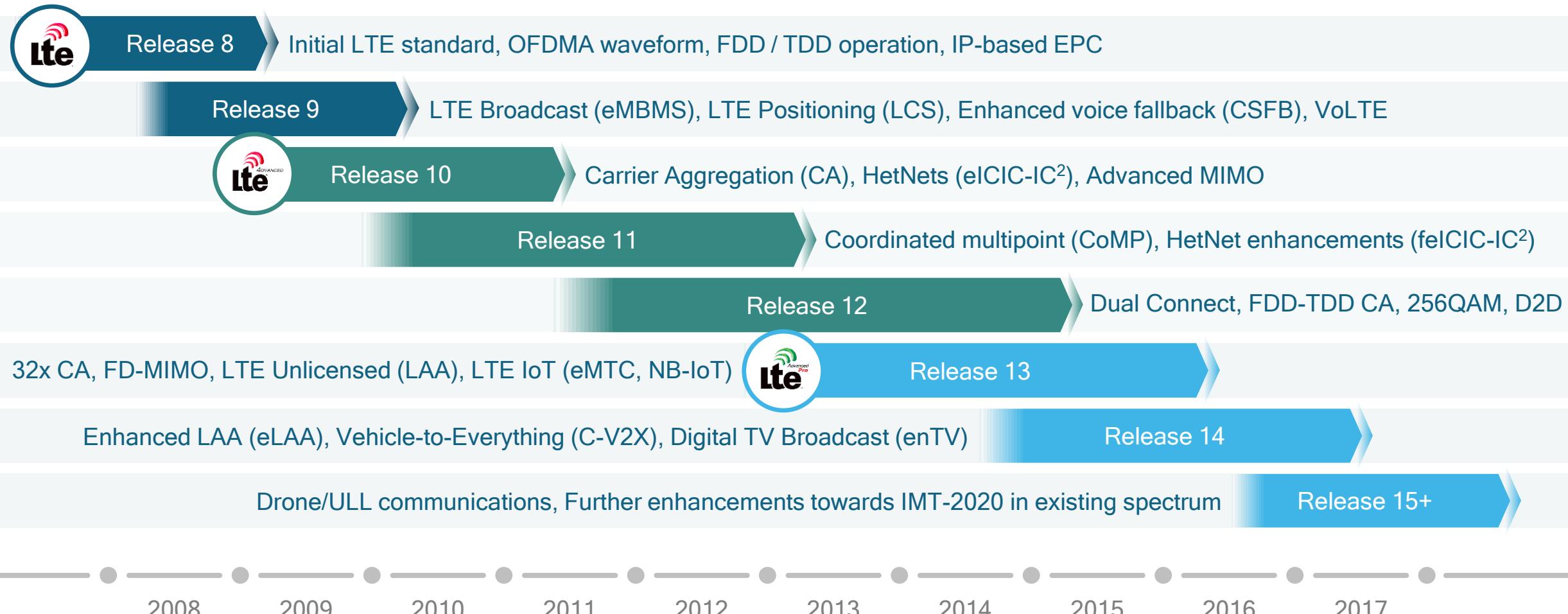
Staggered – 3GPP works on a number of Releases in parallel at different stages

Very similar to major Releases of Operating Systems



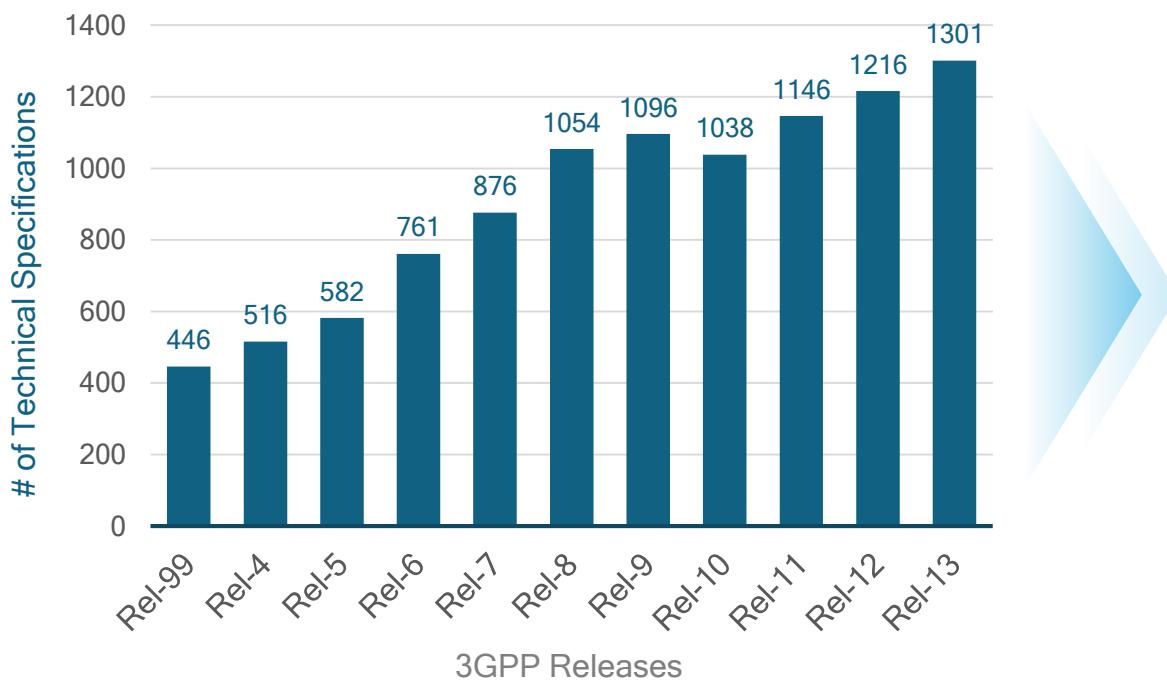
The feature-rich evolution of 4G LTE over 8+ Releases

Introducing new features that add significant value to ecosystem

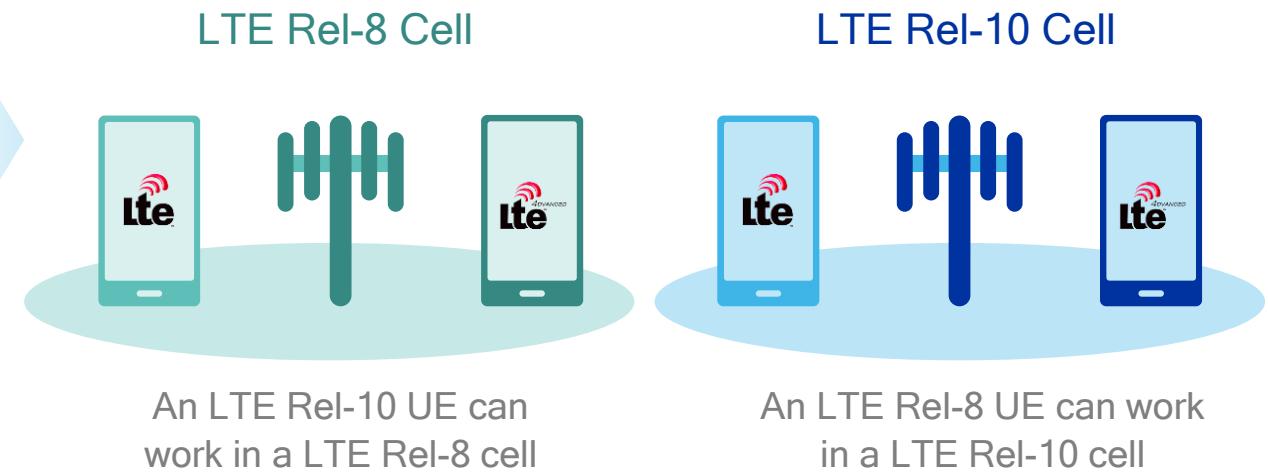


3GPP specifications evolve in highly iterative manner

Building on top of each other to enable backward compatibility



Example of backward compatibility



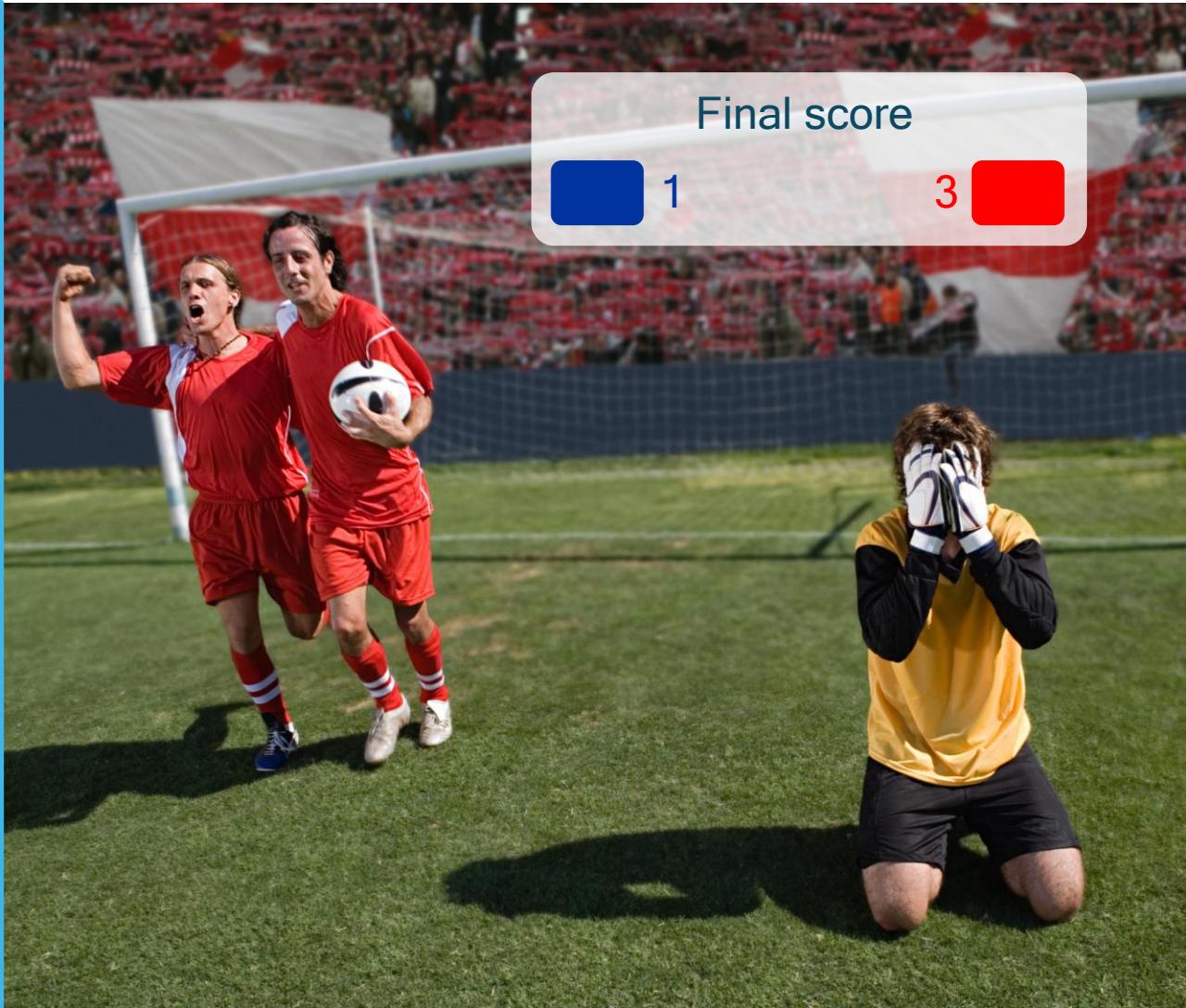


3GPP standards leadership

Driving end-to-end expansion of the mobile ecosystem

Some assert 3GPP leadership based on # of contributions

Analogous to asserting leadership in sports on the basis of time-of-possession



Contributions fuel process, but not all created equal

Quality (vs. quantity) of contributions far more essential to 3GPP leadership



3GPP technology decisions and specs are not made via a direct mechanism of acceptance or rejection of contributions



Many different types of contributions, including Change Requests – many do not contain new technology inventions



Difficult to assess impact of any single contribution - most focused on one part of one feature or studies that do not get standardized

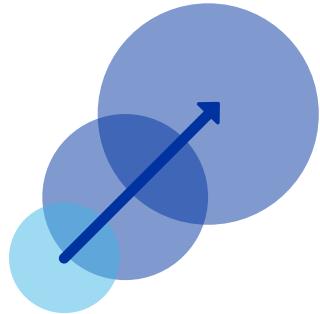
QUALCOMM®

Recent example contributions

| |
|---|
| Introducing LTE in unlicensed spectrum RP-131635 - Concept Contribution ¹ |
| Way Forward on the 5G NR workplan RP-170741 - Way Forward Contribution ² |
| Physical layer options for LAA RP-150477 - Seminal technical contribution |
| Introduction of new DL category RP-171037 - Intro new UE category for 1.6 Mbps |
| FeMBMS/unicast-mixed carrier flag in measurement object RP-171169 - Change Request |

Contribution counting is not a science

Easily manipulated, susceptible to interpretation, and encourages bad behavior

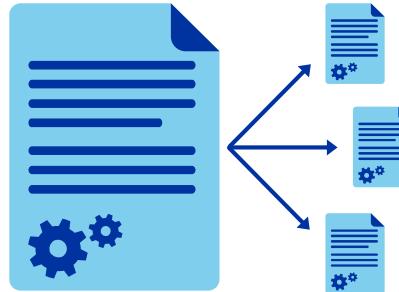


Siloed approach

Cellular technologies build upon previous work done both in- and outside of 3GPP



e.g., LTE adopts many technology concepts that first originated with 2G and 3G technologies incl. 3GPP2

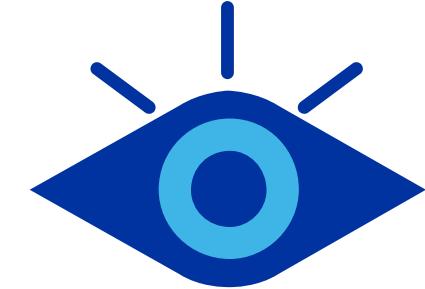


“Stacking the deck”

Companies can provide incentives to 3GPP representatives to maximize contributions



Resulted in multiple WGs instituting a policy of “one contribution per company per agenda item”



Interpreting the data

Databases built for engineers - not high-level analysis - open to interpretation and manipulation



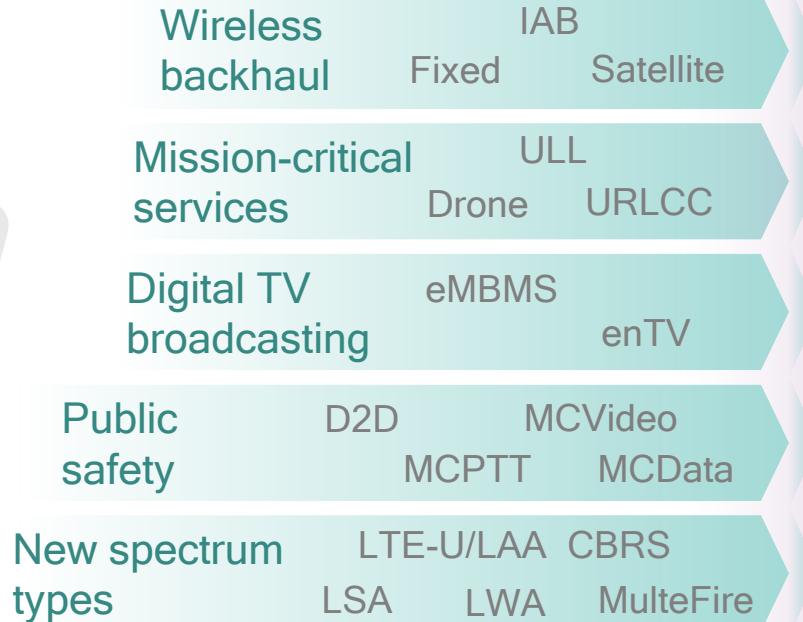
Exemplified by inconsistencies between published reports on 3GPP contribution counting

3GPP leadership is the ability to drive the evolution

And expansion of the
mobile ecosystem



| | | | | | | |
|-----------------------|--------|---------|-------|-------------|-----|---------------------------------|
| Data services | SMS | MMS | Web | Gigabit LTE | ULL | Immersive VR and AR experiences |
| Voice services | Analog | Digital | VoLTE | Apps | MTC | NB-IoT |



5G

Leading the evolution and expansion of the ecosystem

The true measure of 3GPP standards leadership



The proven desire and ability to build broad consensus across the ecosystem towards new directions

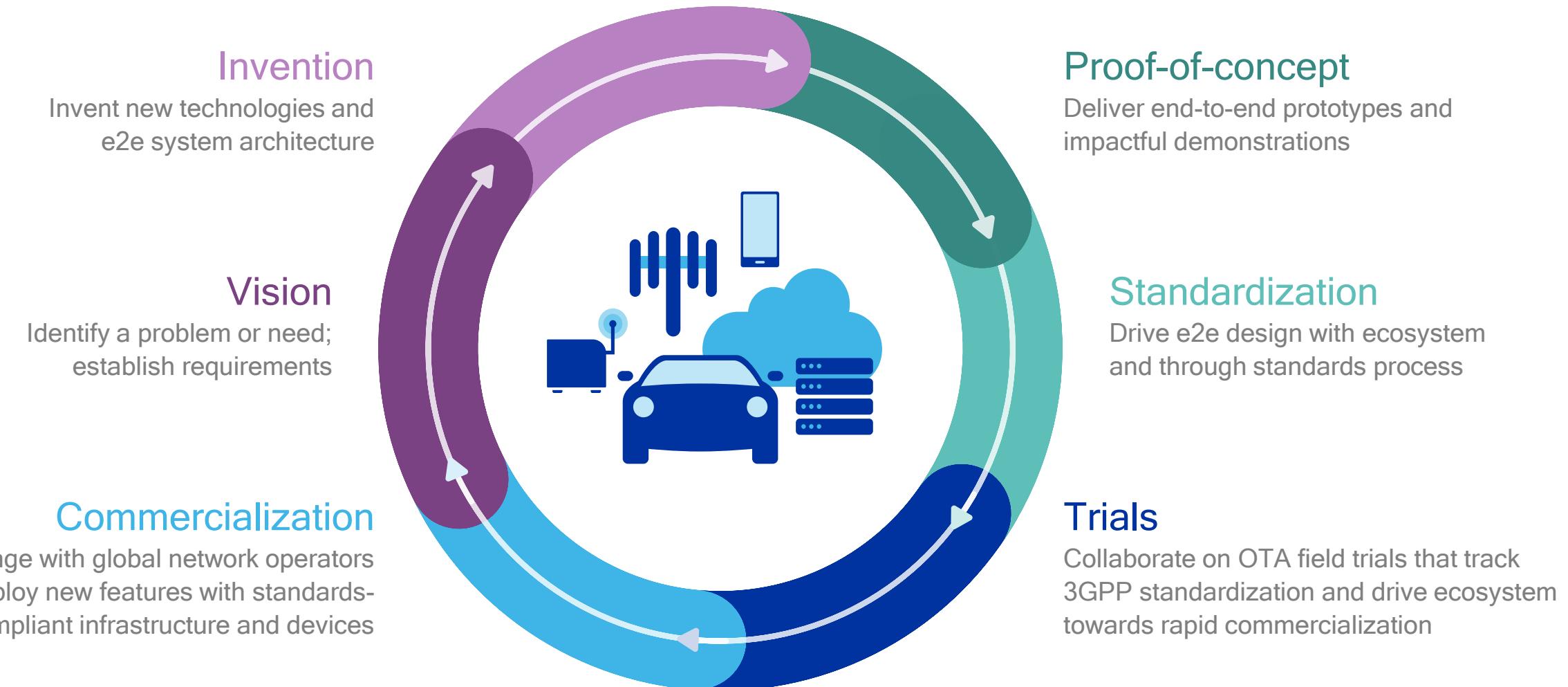


The proven expertise and ability to drive an end-to-end design through 3GPP

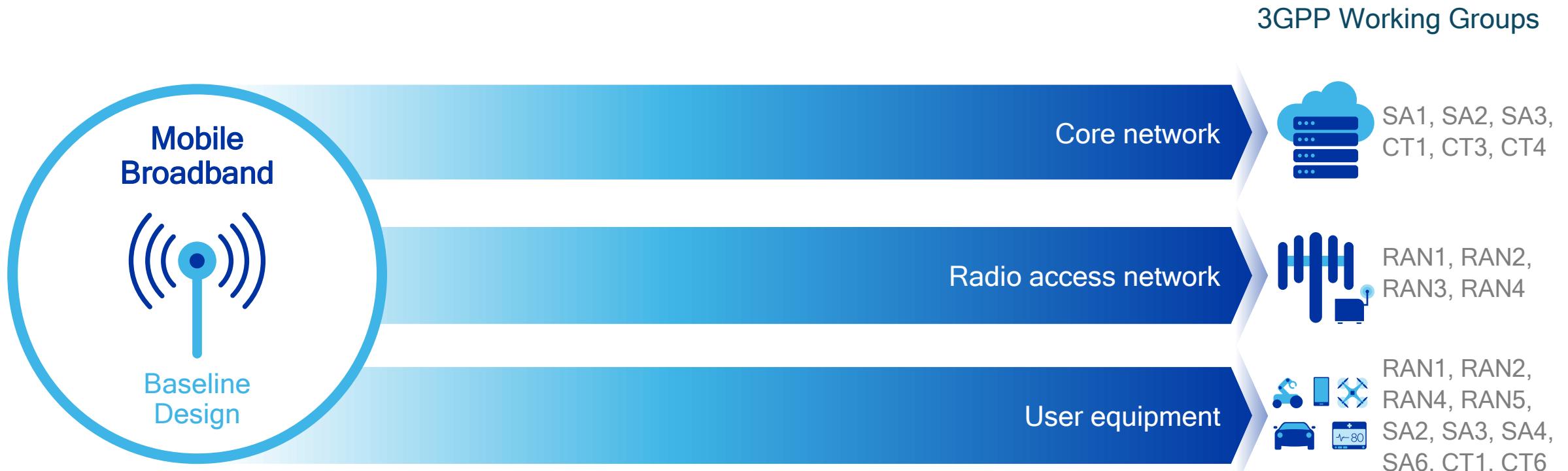
The foundation is end-to-end technology and R&D leadership

Foundation to 3GPP leadership is technology leadership

Early R&D and technology inventions essential to moving ecosystem to new areas



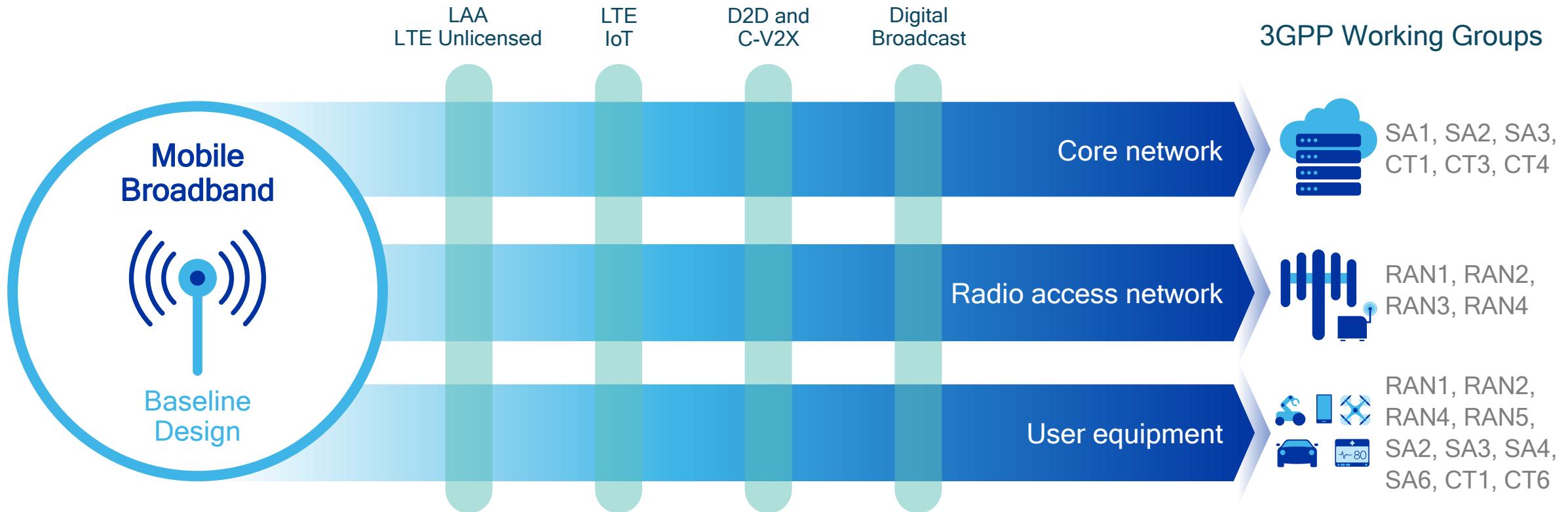
In 3GPP, system design is done in piecemeal fashion
Block-by-block decision process across 3GPP WGs with limited e2e supervision



3GPP starts with defining cellular systems for mobile broadband*

Expanding into new areas requires an e2e approach

The ability to drive an end-to-end design across multiple 3GPP Working Groups



Each new area requires creating a new sub-system built on top of ‘baseline’
Adjusting, optimizing, and redesigning procedures across all layers to address the new requirements

The essential role of Qualcomm in leading the expansion of the mobile ecosystem

30+ years of driving the evolution and expansion of the mobile ecosystem



Solving system-level problems is in our DNA

Qualcomm's mission statement

“Qualcomm’s objective is to apply our experience to **systems problems** that arise in the design, analysis, implementation and testing of digital communication processing systems and networks to bring reliable, functionally effective, user-friendly products to the marketplace.”

Dr. Irwin Mark Jacobs
Dr. Andrew J. Viterbi
July 1, 1985



Qualcomm founders



CORPORATE OBJECTIVE

From the onset of our industrial careers, we have been dedicated to the "elegant solution"—the solution that provided the most cost effective, reliable answer to today's communication problems. As more sophisticated communication systems became available due to the advent of the microprocessor, it became especially important to use sound principles of information theory and communications engineering to design reliable and efficient system operation wherever feasible, to ensure that the resulting system as implemented performs its assigned tasks in the most cost effective manner. Never before, or ever since, the existing tools of our trade—microprocessors and VLSI, along with the emerging technology of artificial intelligence and expert systems—have made it possible to elegance and innovation in synthesizing practical solutions to real-world problems.

QUALCOMM's objective is to apply our experience to system design, implementation and testing of digital communication processing systems and networks to bring reliable, functionally effective, user-friendly products to the marketplace.

We have a tradition of accomplishment in the digital communication, software engineering and signal processing fields. We have put together an experienced team that has produced not only theoretical innovation, but real world, quality products for the systems market worldwide. This group of people has, for the most part, worked together for the past ten years and is dedicated to building QUALCOMM into what its name implies—The Quality Communication Company of our time.

Dr. Irwin Mark Jacobs
Dr. Andrew J. Viterbi

Our system-level inventions fuel the mobile industry

Taking significant risks to start early with an end-to-end design

> \$46 Billion*

In research and development

QUALCOMM®



Qualcomm has led the evolution and expansion of LTE

Delivering fundamental systems-level inventions and driving e2e design in 3GPP



Our LTE advancements are expanding the mobile ecosystem

Essential to leading in 5G



Leading the expansion of LTE to unlicensed spectrum

Licensed Assisted Access (LAA)

Technology and R&D leadership



3GPP standards leadership



Impactful trials with network operators



Industry-first chipsets



MWC 2014: First demo
(Wi-Fi coexistence)

MWC 2015: First live LAA demo
MWC 2016: First live eLAA demo

Introduced concept Dec 2013 and pioneered work in 3GPP across multiple working groups

First over-the-air trials, LAA with DT Nov 2015 and eLAA with SK Telecom Sep. 2016

Announced industry's first modem to support LAA in Feb '16; Commercial devices have since launched

Our technology inventions drove the LAA standard

Floating frame structure and signaling

Dynamic UL-DL sub-frames per TxOP

LBT self-deferral for synchronization

Multi-carrier LBT

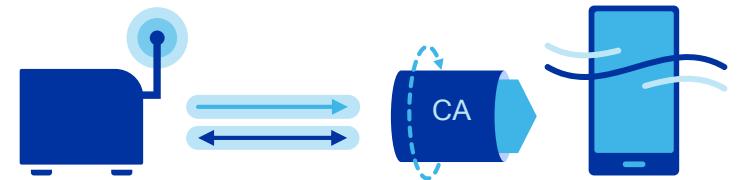
UL-interlaced waveform

Dynamic TX power per TxOP

Multi-TTI UL grants

Cross-TxOP triggered UL grants

Self-schedule DL & cross-carrier UL scheduling



LAA part of Release 13

Boosts downlink data rates and capacity—key aspect for Gigabit LTE



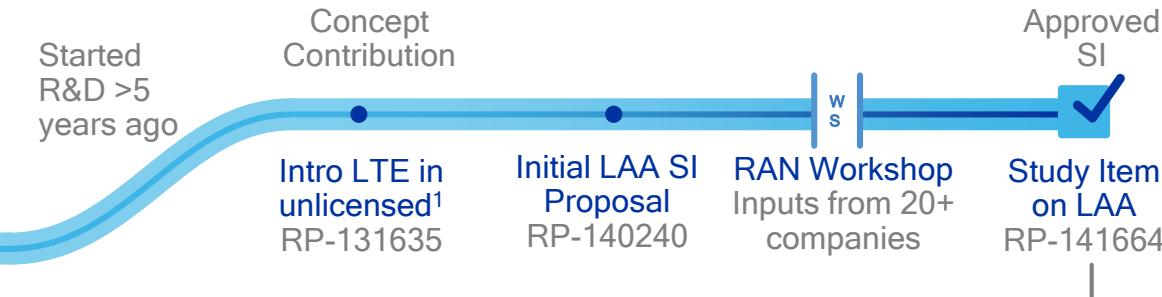
Broadening to new areas

- Enhancing LAA, e.g. UL (eLAA, feLAA)
- Standalone operation with MulteFire™
- New deployment types, e.g. Private IoT
- New capabilities/efficiencies with 5G NR

Pioneered and led work on LAA in 3GPP - part of Rel-13

Building broad consensus across ecosystem and driving e2e design across WGs

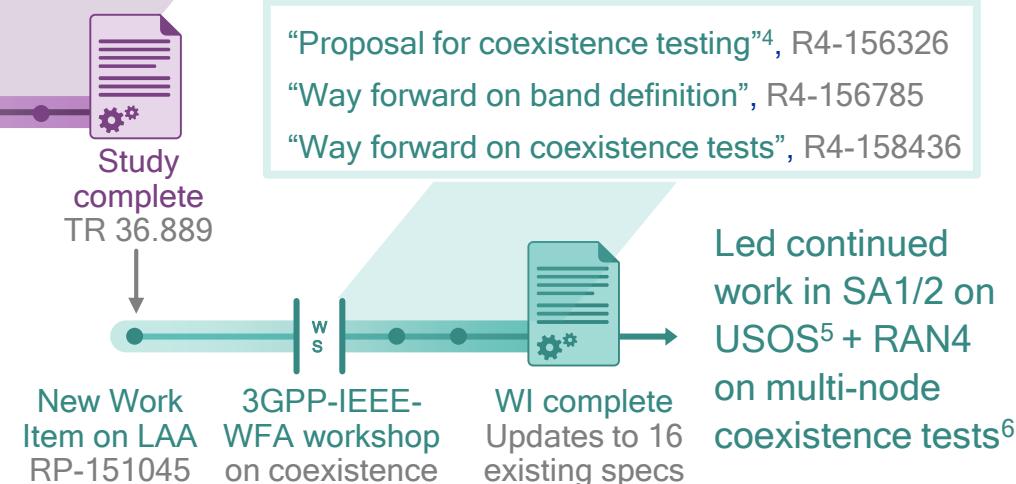
Led project proposal phase in RAN TSG



Delivered numerous seminal contributions during Study Item in RAN1

"Physical layer options for LAA"², R1-150477
"Adaptive frame structure and DL-UL configuration", R1-150977
"Discovery procedure, RRM, CQI measurement, and reporting", R1-150998
"Reservation signal design for LAA", R1-151406
"Multi-carrier LBT operation for LAA", R1-152784

Drove Wi-Fi coexistence testing³ & band definition during Work Item in RAN4



2013

2014

2015

2016

¹ Made in collaboration with Ericsson; ² Such as Load Based Equipment channel access protocol, DRS-based RRM procedure, Reservation signal; ³ Led engagements with IEEE and WFA; ⁴ Also R4-156327;

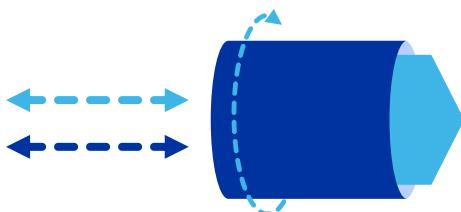
⁵ Unlicensed Spectrum Offloading System-enhancements - design work related to identification of traffic carried over unlicensed spectrum (reporting from RAN to CN) to be used for charging, etc. and for regulatory reasons;

⁶ R4-1706224 - "Way Forward on Multi-node tests" - Introduces ability to have coexistence tests among LTE base stations and Wi-Fi Access Points for LAA

Initial work on LAA broadening to new technology areas

Qualcomm continuing to lead the way

Evolving LAA with
new functionality
and enhancements



E.g., UL and DL
aggregation

Drove key technologies in
3GPP¹; first OTA demo at MWC
2016; first OTA field trial with
SKT Sep 2016

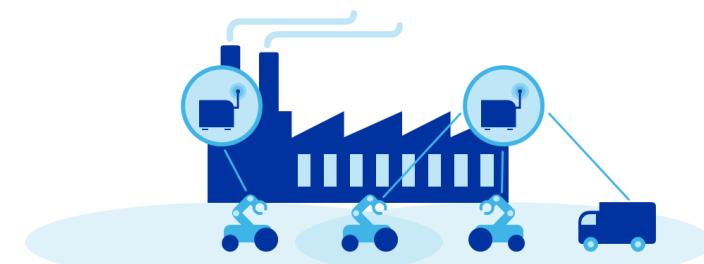
Opening up new
opportunities with
MulteFire™



LTE operation solely in
unlicensed spectrum

Introduced concept² in June
2015; founding member of
MulteFire alliance; first OTA
demo at MWC 2017

Extending to new
deployment types, e.g.
Private IoT



Factories, ports, mines,
warehouses smart buildings, ...

First demo³ in CBRS shared
spectrum Feb 2017 (venues,
enterprise); Industrial IoT demo⁴
at MWC 2017

3GPP is studying
NR in unlicensed
spectrum



Licensed-assisted and
standalone operation

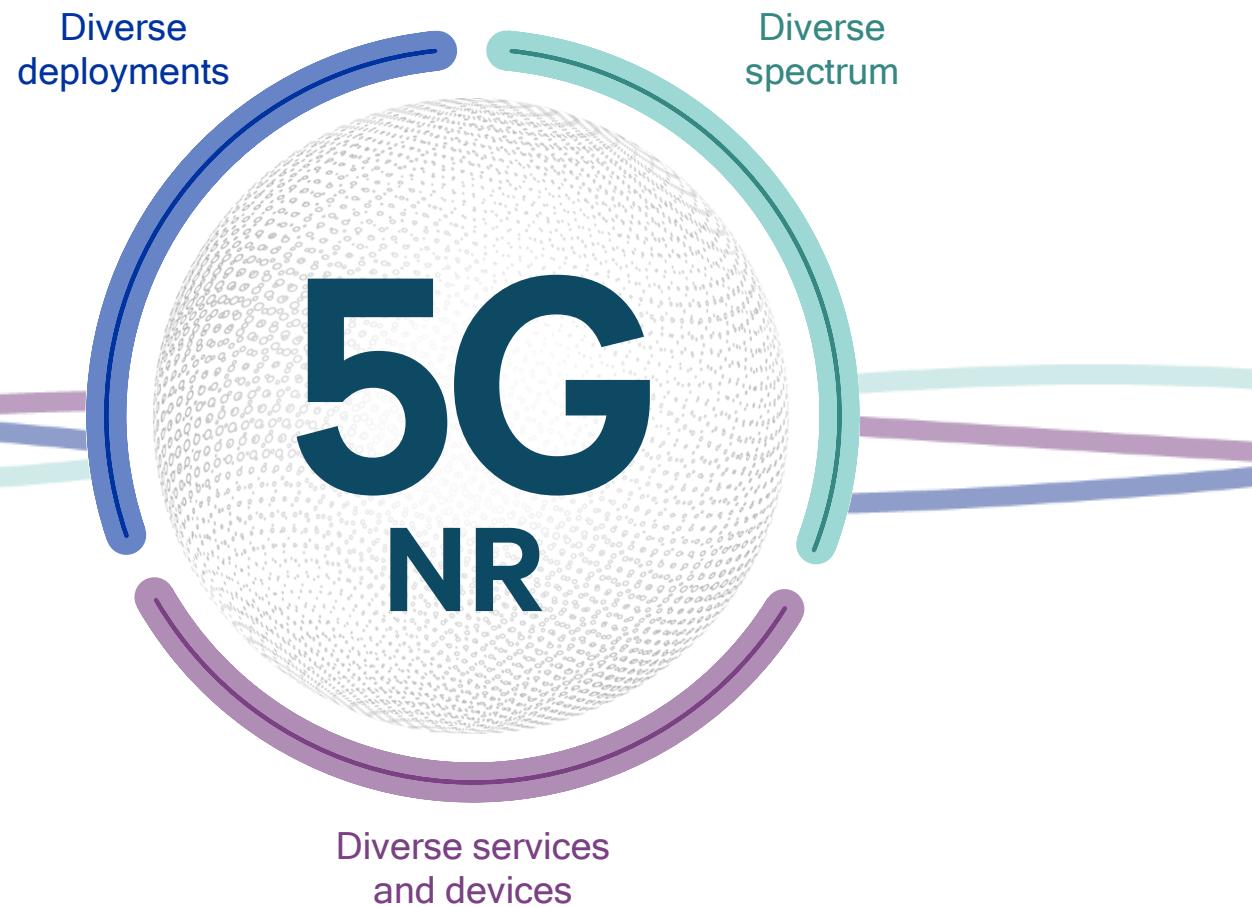
Drove new Release 15 Study
Item that was approved in March
2017 RAN plenary with
Qualcomm as rapporteur

¹ E.g., "New interleaved UL waveform: to satisfy bandwidth and PSD constraints" R1-150477; ² OnQ Blog: "[Introducing MulteFire: LTE-like performance with Wi-Fi-like simplicity](#)"; ³ with Nokia and Alphabet's Access Group; ⁴ with Nokia and GE

Making 5G NR a reality

Leading the technology
inventions to meet an extreme
variation of requirements

QUALCOMM®



Making 5G NR a reality

Best-in-class 5G prototype systems and testbeds



5G standards, technology and research leadership



Impactful trials and early deployments with network operators



Modem and RFFE leadership to solve 5G complexity



Qualcomm
Snapdragon X50
5G Modem Family

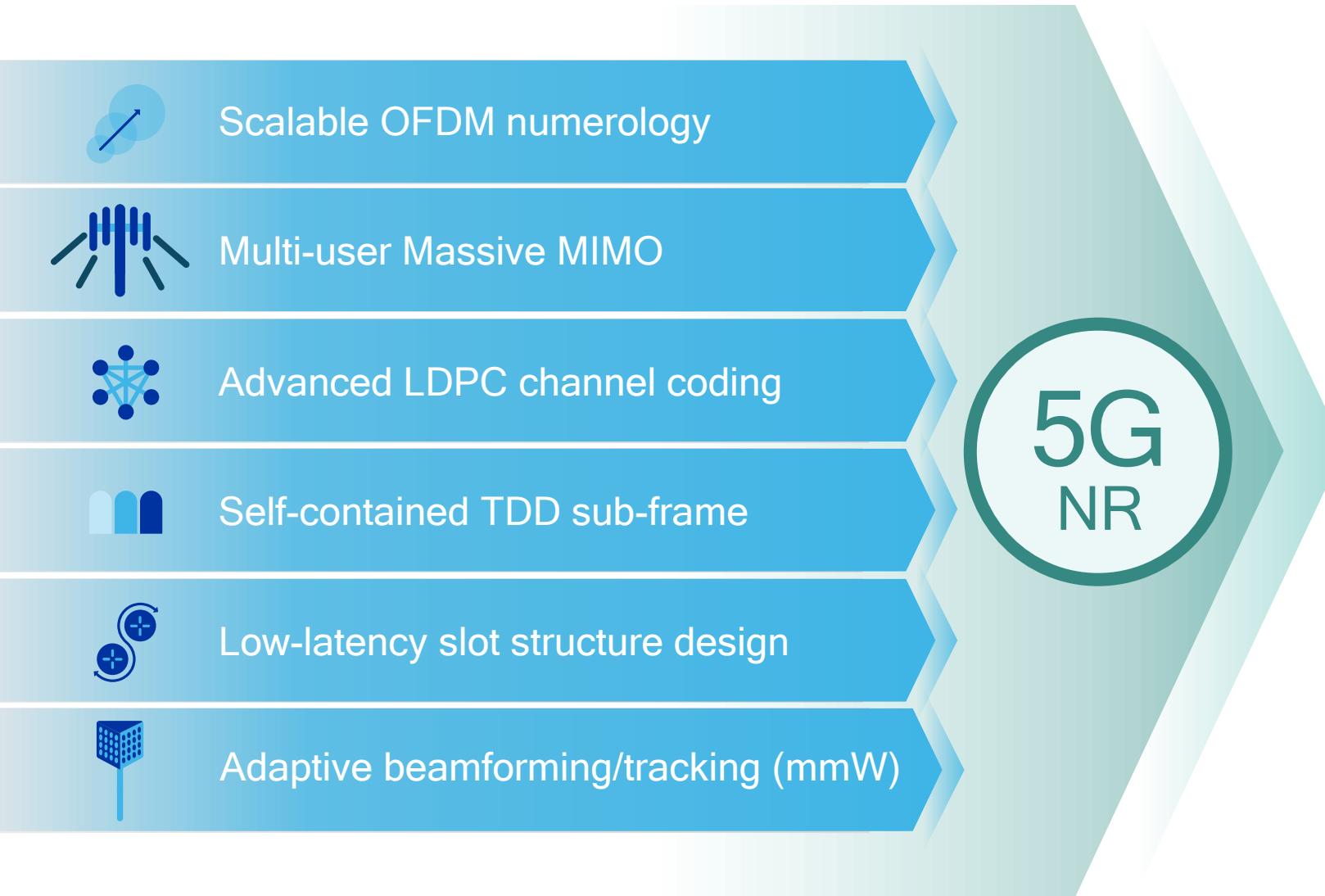
Test, demonstrate and verify our innovative 5G designs to contribute to and drive standardization

Such as advanced channel coding, self-contained subframe, mobilizing mmWave, ...

Over-the-air interoperability testing leveraging prototype systems and our leading global network experience

Announced the world's first 5G NR multimode modems for premium smartphones in 2019

Our technology inventions are driving the 5G NR standard



R&D leadership

First successful 5G NR connection based on 3GPP

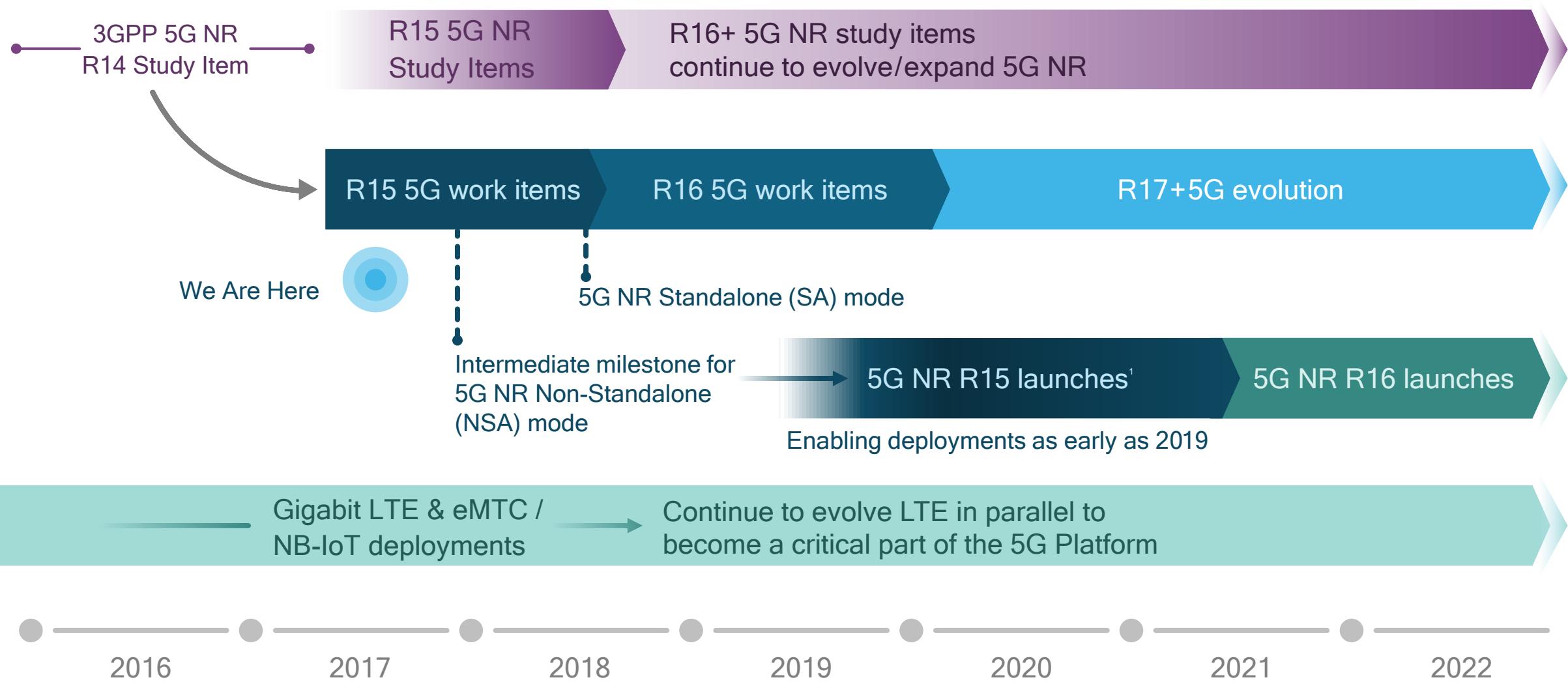


A GLOBAL INITIATIVE

Standards leadership

Technologies part of ongoing
5G NR Study Item

Accelerating 5G NR, the global standard for 5G



1. Forward compatibility with R16 and beyond

Qualcomm led way forward on 5G NR eMBB workplan

RP-170741 agreed upon at 3GPP RAN #75 in March 2017



Stage 3 completion for 5G NR NSA by December 2017 (RAN#78)¹

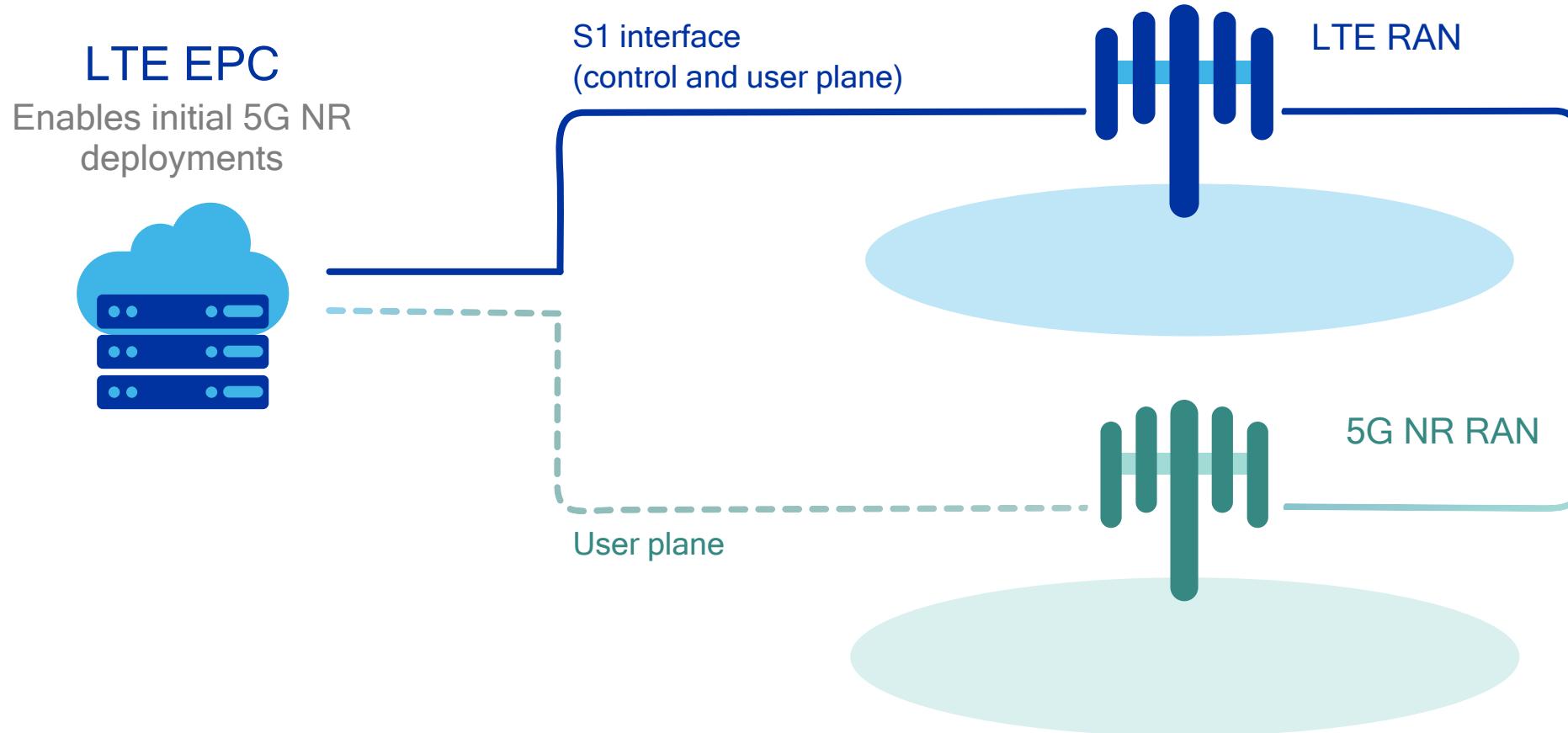
Stage 3 completion for 5G NR SA by June 2018 (RAN #80)²

Broad support to meet increasing mobile broadband needs with global 5G NR standard

| AT&T | NTT DOCOMO | SK Telecom | Vodafone | Ericsson | Nokia | Qualcomm | | | | |
|------------------|------------------|-------------|----------|-----------------|----------|-----------------|---------------|---------------|----------------|-------|
| Alcatel-Lucent | Shanghai-Bell | Alibaba | Apple | British Telecom | Broadcom | CATT | China Telecom | China Unicom | China Mobile | Cisco |
| Convida Wireless | Deutsche Telekom | Etisalat | Fujitsu | Huawei | Intel | Interdigital | KDDI | Korea Telecom | LG Electronics | |
| LGU+ | MediaTek | NEC | Ooredoo | OPPO | Samsung | Sierra Wireless | Sony | Sprint | Swisscom | TCL |
| Telecom Italia | Telefonica | TeliaSonera | Telstra | Tmobile USA | Verizon | vivo | Xiaomi | ZTE | | |

5G NR acceleration based on NSA architecture

Ensuring commonality with Standalone 5G NR, plus forward compatibility



NSA operation requires aggregation of LTE-band and NR-band via Dual Connectivity

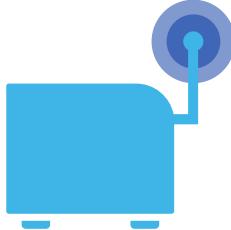
5G NR evolution and expansion beyond eMBB

URLLC part of Rel-15 Work Item; also new Rel-15 5G NR Study Items approved



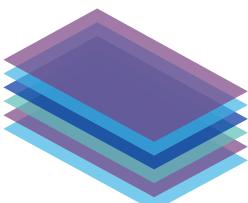
Work on 5G NR Ultra-Reliable Low Latency Communications¹

For mission-critical control services like industrial automation, incl. efficient multiplexing with mobile broadband



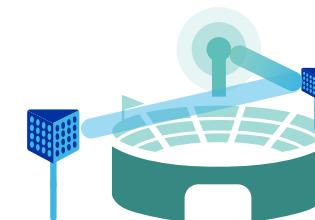
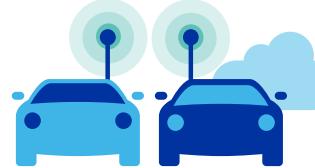
Study on 5G NR operation in unlicensed spectrum

For both licensed-assisted access (aka LAA) and standalone operation (aka MultiFire™) in sub-6 GHz and mmWave spectrum bands



Study on 5G NR non-orthogonal multiple access, e.g. RSMA²

For grant-free uplink transmissions that can be utilized e.g. for small data exchanges in IoT communications



Evaluation of 5G NR for C-V2X communications

For augmenting today's C-V2X technology with use of high-frequency ITS bands

Study on 5G NR Integrated Access & Backhaul

For enabling easy/low-cost deployment of small cells with integrated access and backhaul

Study on 5G NR for non-terrestrial networks

Explore deployment scenarios and channel models for utilizing 5G NR for satellite operation



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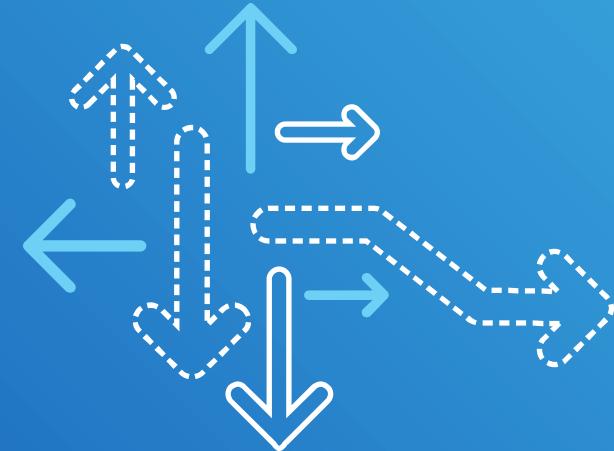
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