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Atomistic Insights into the Effects of Doping and Vacancy Clustering on Li-Ion Conduction in the Li₃OCI Antiperovskite Solid Electrolyte

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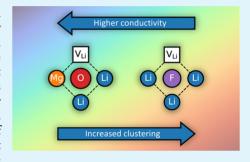
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4 **ABSTRACT:** Solid-state batteries are currently attracting increased attention 5 because of their potential for significant improvements in energy density and safety 6 as compared to liquid electrolyte-based batteries. Lithium-rich antiperovskites, such 7 as Li₃OCl, are of particular interest, but the effects of doping on lithium mobility are 8 not fully understood at the atomic level. Here, we investigate the impact of divalent 9 cation (Mg^{2+} , Ca^{2+} , Sr^{2+} , and Ba^{2+}) and F^- doping on the ion conduction properties 10 of Li₃OCl, using both defect simulation and molecular dynamics techniques. Our 11 results show that the F-doped system has a low conductivity and high activation 12 barriers. This is attributable to high binding energies, which leads to the formation of 13 stable dopant—vacancy pairs, preventing long-range lithium-ion mobility. In contrast 14 to the F-doped system, Mg dopants (shown to be the most favorable dopant on the



15 Li⁺ site) have lower binding energies to lithium vacancies, yielding higher lithium-ion conductivities and lower migration energies.

16 Our results indicate a viable doping strategy to improve the electrochemical performance of antiperovskite solid electrolytes.

17 KEYWORDS: antiperovskite, solid electrolyte, lithium battery, defects, doping, ion conduction

18 INTRODUCTION

19 Solid electrolytes have attracted significant research in recent 20 years due to the safety, stability, and energy density benefits, 21 which solid-state batteries can provide over conventional liquid 22 electrolyte systems. 1-15 In particular, solid electrolytes may 23 enable the use of high-voltage electrodes or lithium-metal 24 anodes, which are incompatible with current liquid electro-25 lytes. 3-5,16 However, despite the potential benefits of solid 26 electrolytes, transport issues at the electrode interface and the 27 reduced ionic conductivity versus their liquid counterparts 28 have thus far prevented their widespread adoption. 3,6,7,17,18 Li-rich antiperovskites (Li₃OX with X = Cl or Br) are 30 promising solid electrolyte candidates with good cyclability, 31 high stability, wide electrochemical windows, and negligible 32 electronic conductivity. 19-24 The perovskite structure is also 33 highly amenable to chemical substitution and modification, 34 allowing for the optimization of ionic conductivity or 35 stability. ^{19,25,26} Early work by Zhao and Daemen ¹⁹ reported 36 migration barriers as low as 0.2-0.3 eV and conductivities of $_{37}~1.94~\times~10^{-3}~\mathrm{S~cm^{-1}}$ at room temperature. This compares 38 favorably with mature systems such as the lithium lanthanum ₃₉ zirconium oxide garnet²⁷ at 2.06×10^{-3} S cm⁻¹. However, 40 subsequent studies on Li₃OCl have indicated higher migration 41 barriers (~0.6 eV) and lower conductivities. ^{28–33} A number of 42 reasons for this discrepancy have been proposed, including the 43 formation of hydroxide derivatives, 29,34-36 grain boundary 44 effects, ^{37–39} and inadvertent cation doping during synthesis. ³³ 45 Issues surrounding the hygroscopic nature of antiperovskites

and stability at high voltages are potential barriers to practical $_{\rm 46}$ applications. $_{\rm 1,40}^{\rm 1,40}$

In attempts to enhance their performance, a range of 48 chemical modifications have been studied, including the mixing 49 of mobile ions, doping, and the incorporation of polyanionic 50 species. ^{19,31,41–48} Braga et al. ^{42,43} demonstrated that divalent 51 doping (M = Mg^{2+} , Ca^{2+} , or Ba^{2+}) increased the Li-ion 52 conductivity of Li₃OCl by an order of magnitude. Mg^{2+} doping 53 is of particular interest as its small ionic radius limits lattice 54 distortion, preventing glass formation at low temperatures. ⁴⁴ 55 Fluorine doping in the hydrated antiperovskite Li₂(OH)X (X = 56 Cl or Br) has been demonstrated to enhance ionic conductivity 57 by Li et al. ³¹ but has not been considered in the context of 58 Li₃OCl.

Despite the recent interest in lithium-rich antiperovskites, 60 there is limited information on the fundamental atomistic 61 factors that control their macroscopic dopant properties, which 62 is valuable in facilitating targeted experimental work. An 63 understanding of the interactions between mobile ions and 64 dopant species over large timescales and length scales is key to 65 overcoming known limiting factors, such as grain boundary 66 resistance. Here, we address this shortcoming by studying the 67

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RESULTS AND DISCUSSION

Dopant Reactions and Li-Ion Conductivity. The 77 Li $_3$ OCl antiperovskite crystal structure consists of oxide ions 78 at the typical B-site of an ABX $_3$ perovskite, coordinated to six 79 Li $^+$ ions at the X-site and the large Cl $^-$ ion occupying the 12-80 coordinate A-site, as shown in Figure 1a. The simulated lattice

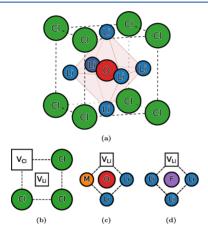


Figure 1. (a) Antiperovskite crystal structure of Li₃OCl and nearest-neighbor defect pair clusters for (b) LiCl Schottky defects, (c) M^{2+} doping, and (d) F^- doping in antiperovskite Li₃OCl. Li⁺ ions are shown in blue, O^{2-} in red, Cl⁻ in green, F^- in purple, and M^{2+} in orange. Vacancies are indicated by black squares.

81 parameter for bulk Li_3OCl is 3.921 Å, with only a -0.36% 82 difference to the observed diffraction value of 3.907 Å, 49 83 showing good reproduction of the experimental structure.

In terms of modes of dopant incorporation, cation dopants can substitute at the Li site, whereas anion dopants can sit at either O or Cl sites with the creation of charge-compensating defects where necessary. This raises key questions in relation to the favored substitution site, the type of compensating defect, and the influence (if any) of host ion size. Our simulation methods can probe these issues by generating quantitative estimates of the relative energies of different modes of dopant incorporation (sometimes termed modes of solution). Although the prediction of the precise amount of the dopant that can be incorporated is less straightforward, our results can provide a useful systematic guide to the site selectivity for different dopant species and to trends in dopant solubility. Such an approach has been applied successfully to a variety of perovskite oxide ionic conductors. S0-52

We have therefore examined a range of dopants in $\text{Li}_3\text{OCl}_{100}$ including divalent cations (Mg, Ca, Sr, and Ba) on the Li site 101 and F⁻ on the O site. In order to form lithium vacancies, the 102 following solution modes are viable:

M²⁺ on the Li⁺ site is charge-compensated by V'_{Li}

$$_{104}$$
 $MCl_2 + 2Li_{Li}^{\times} \rightarrow M_{Li}^{\bullet} + V_{Li}' + 2LiCl$ (1)

 F^- on the O^{2-} site is charge-compensated by V'_{L}

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$$LiF + Li_{Li}^{\times} + O_{O}^{\times} \rightarrow F_{O}^{\bullet} + V_{Li}' + Li_{2}O$$
 (2)

where in the Kroger–Vink notation, M_{Li}^{\bullet} and F_{O}^{\bullet} signify M^{2+} 107 and F^{-} dopant substitutional defects, respectively, with V'_{Li} 108 signifying a Li⁺ vacancy. However, the possibility of other 109 favorable compensation mechanisms could prevent dopants 110 from creating the desired lithium vacancies required for long- 111 range diffusion:

M²⁺ on the Li⁺ site is charge-compensated by Cl_i'

$$MCl_2 + Li_{Li}^{\times} \rightarrow M_{Li}^{\bullet} + Cl_i' + LiCl$$
 (3) ₁₁₄

$$LiF + Cl_{Cl}^{\times} \rightarrow F_{Cl}^{\times} + LiCl$$
 (4) ₁₁₆

where Cl_i' indicates an Cl^- interstitial defect. The energies of 117 these "solution" reactions can be evaluated by combining 118 appropriate defect energies from simulation methods and 119 lattice energy values.

Calculated solution energies are presented in Figure 2 and 121 f2 three main features emerge. First, Mg²⁺ doping on the Li site is 122

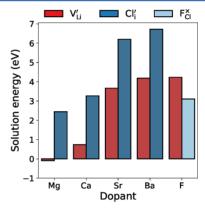


Figure 2. Dopant solution energies for M^{2+} doping and F^- doping in antiperovskite Li₃OCl. Both V'_{Li} (eq 1) and Cl'_i (eq 3) are considered as charge-compensation mechanisms for M^{2+} doping, while V'_{Li} (eq 2) compensated and isovalent F^{\times}_{Cl} doping (eq 4) are considered for F^- doping.

the most energetically favorable dopant, whereas larger ions are 123 far less soluble; this indicates that Mg doping would show the 124 highest solubility and be the most effective in creating mobile 125 Li vacancies. These trends may be related to the similarity in 126 the ionic size between dopants and the substituted host ion, 127 consistent with dopant substitution in ion-conducting perov- 128 skite oxides. $^{52-54}$

Second, M^{2+} doping on Li^+ sites with charge compensation 130 via Cl^- interstitial defects is relatively unfavorable, suggesting 131 that such interstitial defects are highly unlikely in the close- 132 packed antiperovskite structure; indeed, anion interstitials have 133 not been observed experimentally in the much studied $BaMO_3$ 134 and $LaMO_3$ perovskite ionic conductors. We note that 135 solution energies derived using dopant oxides as reference 136 states are also presented in Figure S1, which yielded similar 137 trends to the dopant chlorides (eq 1) but slightly higher 138 solution energies for the favorable Mg dopant.

Third, for F-doping, the isovalent mechanism (eq 4) is more 140 energetically favorable than the reaction involving lithium 141 vacancy compensation. As vacant lithium sites are key to 142 improving lithium ion conductivity in Li₃OCl, there may be 143

144 motivation to overcome this by nonstoichiometric synthesis 145 routes should it significantly improve Li-ion conductivity.

In addition to divalent cations, we note that Al³⁺ was 147 considered as a potential dopant at the Li site with bothLi-148 vacancy and O-interstitial compensation. However, large 149 calculated energies for both solution modes (8.89 eV for eq 150 1 and 11.38 eV for eq 3) indicate unfavorable incorporation. 151 This result suggests that Al³⁺ doping may not be the main 152 reason for the enhanced Li-ion conductivity observed in early 153 studies on this material. ^{19,33}

Turning now to ion transport, molecular dynamics (MD) sis simulation techniques allow us to investigate the Li-ion to conduction properties as a function of charge-carrier concentration and temperature. We use large-scale MD to calculations of both undoped and doped Li₃OCl at a range fithium vacancy concentrations; we stress that our simulations and diffusion statistics using large supercells (>17,000 ions) and long timescales (10 ns) are orders of magnitude greater than that currently attainable by ab initio MD. Mg and F dopants are considered as the most MD. Mg and F dopants. A low level of cation vacancies (via LiCl Schottky defects) are introduced in the undoped system to facilitate lithium mobility.

Figure 3 shows an Arrhenius plot of the temperature-168 dependant lithium-ion conductivity for each system. The

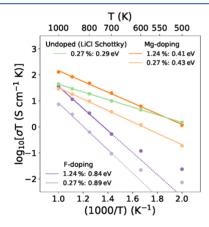


Figure 3. Temperature-dependent Li $^+$ conductivities (σT) and activation energies ($E_{\rm a}$) for F- and Mg-doped Li $_3$ OCl at two lithium vacancy concentrations compared to a low concentration in the undoped system. Solid lines indicate the data from which migration barriers were calculated. Dashed lines indicate extrapolated conductivities in the F-doped system, where Li mobility decreases significantly at lower temperatures.

169 undoped system shows a conductivity of 3.0×10^{-3} S cm⁻¹ at 170 500 K, which is in good agreement with experimental 171 impedance spectroscopy measurements $(2.7 \times 10^{-3} \text{ S cm}^{-1})$. 19 Mg-doping leads to a slight decrease in ionic conductivity, 173 with an activation barrier of 0.41 eV, which is in good 174 agreement with experimental studies (0.46 eV). However, 175 this is offset by the far higher Li-vacancy concentrations 176 achievable by doping than that occuring in undoped Li₃OCl. 177 The conductivity of the F-doped systems is approximately 2 178 orders of magnitude lower than undoped Li₃OCl at 700 K, 179 with significantly increased migration barriers in the F-doped 180 system (0.89 eV), both of which are key properties for 181 electrolyte performance. At lower temperatures, Li mobility is 182 insufficient to accurately calculate activation barriers over the

timescale studied, so these temperatures were excluded from 183 the fitting.

Dopant–Vacancy Association in Doped Li₃OCl. It is 185 well established that charged point defects can associate to 186 form localized clusters, which can have significant effects on 187 transport behavior. An analysis of the ion dynamics and 188 timescale over which these defect clusters form on the atomic 189 scale has not yet been considered for doped Li₃OCl, and often, 190 detailed experimental characterization of such defect clusters 191 can be difficult. Our simulation methods can model the 192 electrostatic, polarization, and elastic strain energies, which are 193 the predominant terms in any local defect association process. 194

Energy minimization calculations were performed to 195 determine the binding energies of dopant—vacancy pairs in 196 Li₃OCl. Figure 1b—d show the configurations of dopant— 197 vacancy clusters for F⁻ and M²⁺ doping, along with the Li/Cl 198 vacancy pair (LiCl Schottky), which facilitates lithium mobility 199 in the undoped system. See At the dilute limit, binding energies 200 can be calculated by

$$E_{\text{bind}} = E_{\text{cluster}} - \sum_{i=1}^{N} E_{\text{dilute}}^{i}$$
(5) 202

where $E_{\rm bind}$ is the binding energy, $E_{\rm cluster}$ is the energy of the 203 defect pair cluster, and $E_{\rm dilute}$ is the energy of an isolated 204 component of the N defect cluster components at the dilute 205 limit. At higher concentrations, all inequivalent configurations 206 of a Li₃OCl supercell at a given size are relaxed, with a binding 207 energy given by

$$E_{\text{bind}} = E_{\text{cluster}} - \max(E_{\text{configs}}) \tag{6}$$

where $E_{\rm configs}$ is the energies of all unique configurations. 210 Figure 4 shows the calculated binding energies of dopant— 211 f4 vacancy pairs with three main features that can be identified. 212

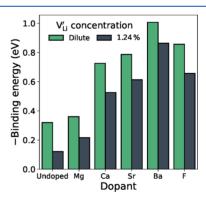


Figure 4. Defect binding energies for M^{2+} (eq 1) and F^- (eq 2) dopants in Li₃OCl for two lithium vacancy concentrations.

First, Mg^{2+} has the smallest vacancy binding energies for cation 213 doping. At the dilute limit, Li vacancies are loosely bound to 214 Mg dopants, with only slightly higher binding energies than the 215 undoped system. This indicates that dopant—vacancy inter- 216 actions will not significantly reduce the lithium ion mobility of 217 Mg-doped Li₃OCl. The similarity between the ionic radii of 218 Mg and Li (0.72 and 0.76 Å, respectively)⁵⁷ limits local lattice 219 distortion. Larger degrees of local lattice distortion is known to 220 result in increased elastic or polarization effects that increase 221 the interactions between the dopant and vacancy, thereby 222 explaining the comparatively high binding energies calculated 223 for larger M^{2+} dopant ions, as found in previous studies. $^{50-53}$ 224

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Second, the highest dopant—vacancy binding energies are found at the dilute limit, with binding energies decreasing for the 1.24% dopant concentration. This is attributed to dopant—vacancy—dopant interactions, with vacancies in a pair being attracted to other nearby dopants, offsetting the binding energy of the isolated cluster. Previous DFT studies on this system predict that M²⁺ doping in Li₃OCl forms dopant—vacancy pair clusters at low temperatures dopant—vacancy pair dopant concentrations or high temperatures.

Third, all other M²⁺ dopants and F⁻ doping on the O site show high binding energies (>0.5 eV), which would significantly hinder lithium mobility in these materials, reducing their viability as solid electrolytes. The high binding energies for F-doping on the O site likely arises due to the smaller Li–O interatomic distances (1.96 Å) than the equations of both Li–Li and Li–Cl (2.77 Å each). The relatively low solution and binding energies of Mg²⁺ dopants as equations of the property of the energies of Mg²⁺ dopants as hydrated Li₂(OH)Cl system, where F-doping has been shown to enhance ionic conductivity. These results suggest clustering of F dopant ions and Li vacancies (rather than a equation of the endance ionic conductivity. These results suggest clustering of F dopant ions and Li vacancies (rather than a equation of the endance ionic conductivity. These results suggest clustering of F dopant ions and Li vacancies (rather than a equation of the endance ionic conductivity. These results suggest endance ionic conductivity.

Figure 5 shows the distribution of lithium vacancies in three 250 different structures at two temperatures: undoped, Mg-doped,

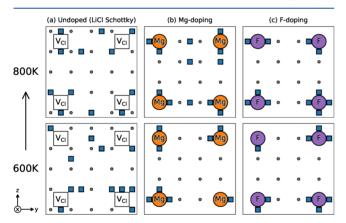


Figure 5. Snapshots of MD simulations viewed along [100] (\sim 60 Å depth), demonstrating defect clustering effects at 600 and 800 K (t = 150 ps), using Wigner–Seitz cell analysis: (a) undoped, (b) Mg-doped, and (c) F-doped. 1.24% of lithium sites are vacant, indicated by blue squares. Cl vacancies are shown with hollow squares, Mg dopants with orange circles, F dopants with purple circles, and O sites with small gray circles. Occupied Li and Cl sites have been omitted for clarity.

251 and F-doped systems. A high distribution of free vacancies 252 indicates that the binding energies are small relative to the 253 average kinetic energy of lithium ions at a given temperature, 254 while dopant—vacancy clusters indicate that the binding energy 255 is sufficient to inhibit long-range lithium-ion mobility. The 256 presence of these dopant clusters within the short simulation 257 timescale indicates that defect clustering is a favorable and 258 rapid process.

In the undoped system, lithium vacancies are widely distributed at 600 K, indicating that the binding energy is insufficient to significantly inhibit lithium mobility at this temperature. We note that LiCl Schottky defects are not expected to form at these concentrations but are considered

here to allow direct comparison. In contrast, the majority of 264 lithium vacancies are adjacent to a dopant site in both the Mg- 265 and F-doped systems at 600 K. At 800 K, lithium vacancies are 266 found to dissociate more frequently from Mg dopant ions, 267 while the remaining bound to F sites. The significant 268 differences in Li-ion conductivity found between systems can 269 be rationalized by Li vacancy trapping effects, preventing long- 270 range lithium mobility in systems with higher binding energies. 271 One of the aims of this work is to encourage further structural 272 study to probe such defect clustering at the local level.

CONCLUSIONS

An understanding of the mechanisms that both enhance and 275 inhibit lithium-ion conductivity is vital if next-generation solid 276 electrolytes are to be realized. In this study, we have gained 277 quantitative and atomistic details on the modes of dopant 278 incorporation, the dopant-vacancy binding energies, and the 279 Li-ion transport properties of F- and Mg-doped Li₃OCl. Three 280 key conclusions can be drawn. First, the most favorable doping 281 mechanism is Mg incorporation with Li vacancy compensation, 282 which would facilitate Li-ion conductivity. Second, the increase 283 in Li vacancy concentration and lower dopant-vacancy 284 binding energy for Mg-doping provides a means of improving 285 the electrochemical performance of Li₃OCl. Third, high 286 binding energies between F-dopants and lithium vacancies 287 lead to defect clustering and significantly higher Li-ion 288 migration barriers, inhibiting long-range Li-ion migration in 289 accordance with the observed decrease in Li-ion conductivity 290 in F-doped Li₃OCl. The lack of long-range Li-ion transport can 291 be rationalized by considering the trapping of Li vacancies.

The tuning of vital solid electrolyte properties, such as Li-ion 293 conductivity and electrochemical stability, via extrinsic doping 294 is essential to achieve state-of-the-art solid-state batteries. The 295 atomistic insights described in this work illustrate the 296 importance of considering the binding between dopants and 297 Li-ion charge-carriers since it can potentially eclipse the 298 anticipated benefit of having a high charge-carrier concen-299 tration.

METHODS

Atomistic simulation methods based on effective interatomic 302 potentials are widely used and have been described more thoroughly 303 elsewhere. 54,55 Previous studies on solid electrolyte materials 304 including undoped Li₃OCl have successfully applied these techniques 305 to yield both structural and ion transport properties. $^{37,41,58-62}$ Such 306 atomistic techniques have the advantage of examining defect and ionic 307 conduction processes at much larger length scales and timescales than 308 electronic structure methods.

Short-range ion interactions are modeled using Buckingham-type 310 interatomic potentials, while long-range interactions are modeled 311 using Coulombic terms. Energy minimization calculations account for 312 local polarization effects via the shell model, allowing the displace- 313 ment of ion shells from their cores subject to a harmonic potential. 314 Interatomic potential parameters are given in the Supporting 315 Information (Tables S1 and S2). Defect energies at dilute 316 concentrations are calculated using the Mott–Littleton approxima- 317 tion, 4 implemented in the General Utility Lattice Program 318 (GULP). 319

The LAMMPS⁶⁶ code was used for all MD calculations. Long time- 320 scale simulations of 10 ns were performed with a time step of 1 fs, 321 with supercells containing around 17,000–20,000 ions, to ensure 322 confidence in the calculated conductivities and activation barriers. 323 Lithium vacancies are initially distributed randomly, while positive 324 defects (Cl vacancy, Mg dopant, and F dopant) are distributed in a 325 symmetric arrangement to maximize their ion—ion spacing. 326

327 Simulations were carried out over a temperature range of 500 to 1000 328 K in intervals of 100 K in the *NPT* ensemble using a Nosé–Hoover 329 thermostat. ⁶⁷ Conductivities were derived from the mean-squared 330 displacement (MSD) of lithium ions and calculated using the 331 Nernst–Einstein equation, with a Haven ratio of 1, as in previous 332 studies. ^{30,37,41,58} The tracking of individual vacancies utilizes Wigner–333 Seitz cell analysis, comparing doped and thermally distorted systems 334 at a given time step to a pristine reference lattice. ^{68,69}

335 ASSOCIATED CONTENT

336 Supporting Information

337 The Supporting Information is available free of charge at 338 https://pubs.acs.org/doi/10.1021/acsaem.1c00656.

Interatomic potential parameters, Solution energies derived using dopant oxides as reference states, and Representative MSDs of each species in both undoped and doped Li₃OCl (PDF)

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360 Notes

361 The authors declare no competing financial interest.

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