

# The minimum spanning tree Problem

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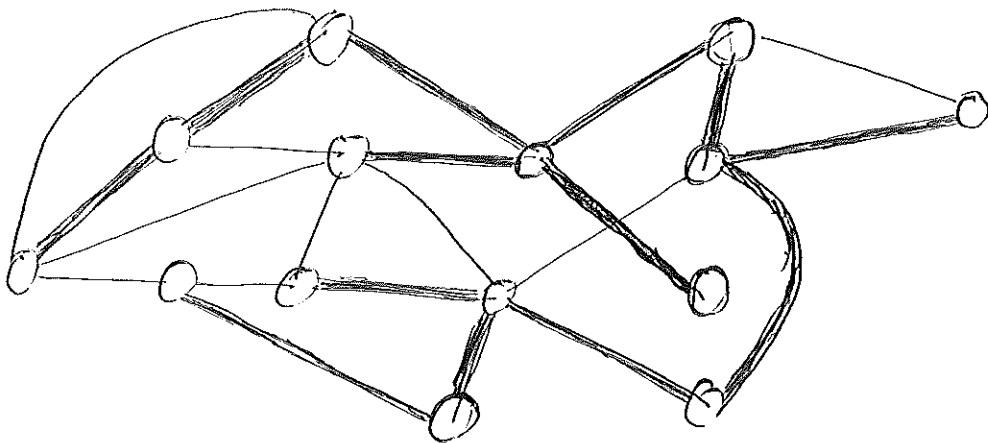
The minimum spanning tree problem.

Let  $G=(V, E)$  be a graph.

A subset  $T \subseteq E$  is a spanning tree of  $G$  if  $(V, T)$  is a tree.

Every connected graph has a spanning tree.

Example:



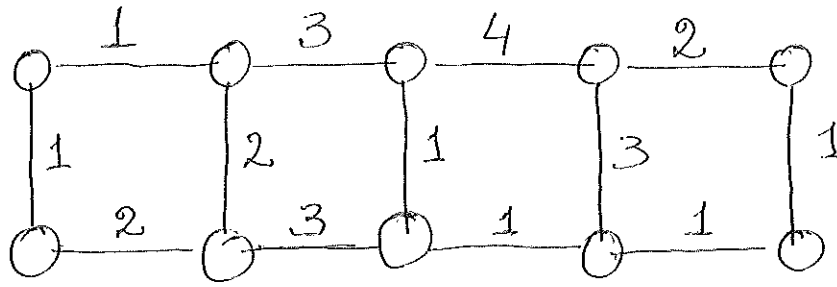
Suppose each edge  $e$  in  $G$  has a cost  $c(e) > 0$ .

For a spanning tree  $T$  of  $G$  its cost is

$$c(T) = \sum_{e \in T} c(e)$$

Goal: Find a spanning tree with the least cost.

Example:



$T_1$ : Keep the top edges and vertical edges

$$c(T_1) = 10 + 8 = 18$$

$T_2$ : keep the bottom edges and vertical edges

$$c(T_2) = 7 + 8 = 15$$

Prim's algorithm  $G, v$ :

Initially  $S = \{v\}$ ,  $T = \emptyset$ .

While  $S \neq V$

Among all edges  $e = \{x, y\}$   
such that  $x \in S$  and  $y \notin S$   
find an edge  $e' = \{a, b\}$   
with the minimum cost.

Add  $b$  to  $S$ ,

Add  $e'$  to  $T$ .

Kruskal's algorithm( $G$ ):

Initially  $T = \emptyset$ .

While  $(V, T)$  is disconnected

Find an edge  $e \notin T$   
with the smallest cost  
such that adding  $e$   
to  $T$  does not produce  
a cycle

Add  $e$  to  $T$ .

To analyze these two algorithms  
we assume the following:

All edge costs are  
distinct from one another.

Cut property.

Let  $S \subseteq V$  such that  
 $S \neq \emptyset$ ,  $S \neq V$ . Let  $e = \{v, w\}$   
be the minimum cost edge with  
 $v \in S$  and  $w \notin S$ . Then every  
minimum spanning tree contains  $e$ .

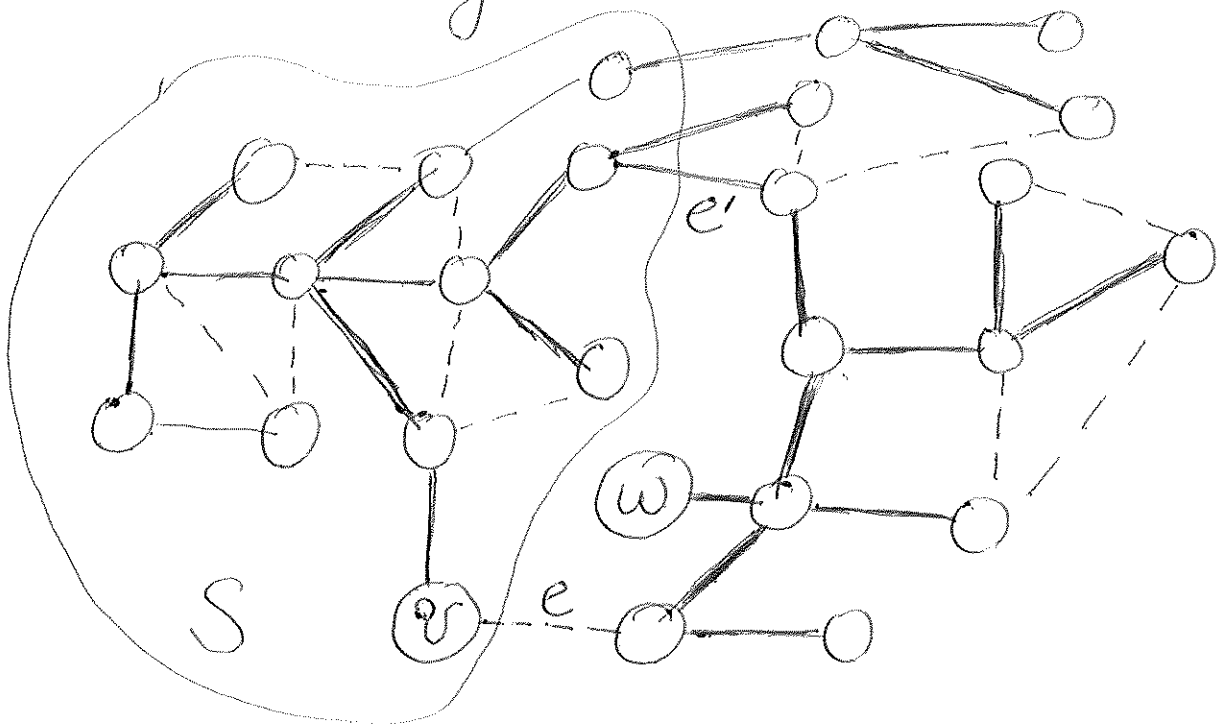
Let  $T$  be a minimum spanning tree that does not contain the edge  $e$ .

Want to find an edge  $e'$  in  $T$  such that  $c(e') > c(e)$  and we can replace  $e'$  with  $e$ .

Since  $T$  is a tree,  $T$  has a path  $P$  from  $v$  to  $w$ . Let  $e' = \{v', w'\}$  be the first edge in  $P$  such that  $v' \in S$ ,  $w' \notin S$ .



Pictorially:



We replace  $e'$  with  $e$  and obtain  $T'$ . It is easy to see that  $T'$  is a spanning tree. Moreover,

$$c(T') < c(T).$$

Contradiction.

Fact. Prim's algorithm produces a minimum spanning tree for  $G$ .

At each step the algorithm has a partial spanning tree  $S$ . A new edge  $e = \{v, w\}$  is added with minimum cost such that  $v \in S, w \notin S$ . So  $e$  is in every minimum spanning tree.

Hence the output of the algorithm is a minimum spanning tree.

Fact. Kruskal's algorithm  
produce a minimum spanning tree.

Let  $e = \{v, w\}$  be an edge  
added at step  $i$  of the algorithm.

Set

$$S = \{x \mid v \text{ has a path to } x \text{ before } e \text{ is added}\}$$

So  $v \in S$  and  $w \notin S$ .

The edge  $e$  is the cheapest  
among edges between  $S$  and  
 $V \setminus S$ .

Hence, by the cut property,  $e$  belongs to every minimum spanning tree.

Each iteration of the algorithm guarantees that  $(V, T)$  has no cycles.

It is easy to see that the output of the algorithm is a spanning tree.

It must be a minimum spanning tree.