

CS2020

# Data Structures and Algorithms

**Welcome!**

# Administrativa

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## Discussion Groups:

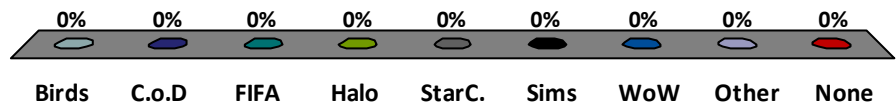
- Close to finalized.
- Wed. 4-6pm **MOVING 2-4pm**
- Talk to me if there are problems.

## Problem Sets:

- #1: Due Thursday.
- #2: Released today.

# What is your favorite video game?

1. Angry Birds
2. Call of Duty
3. FIFA
4. Halo
5. Starcraft
6. The Sims
7. World of Warcraft
8. Other
9. I don't play video games.



# Today: Divide and Conquer!

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## Peak Finding

- 1-dimension
- 2-dimensions

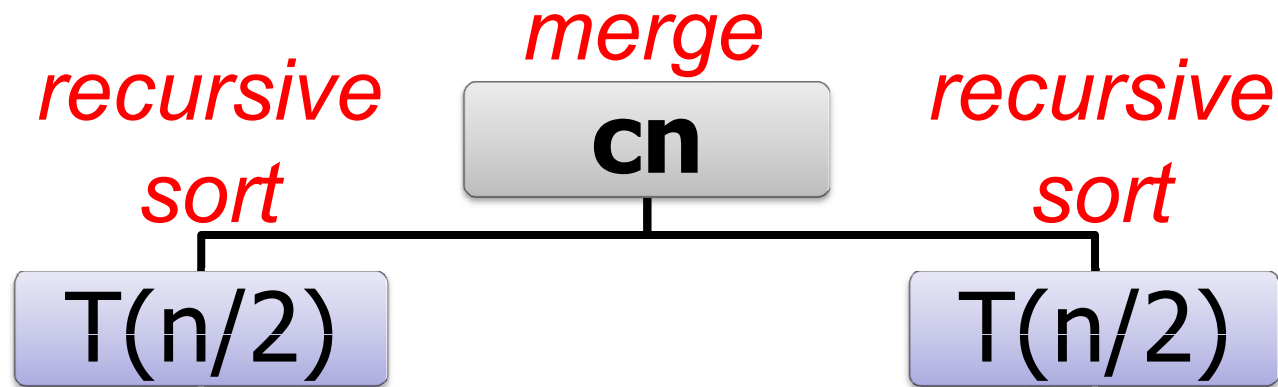
A few other examples?

# Merge-Sort Analysis (Review)

---

$$T(n) = 2T(n/2) + cn$$

---

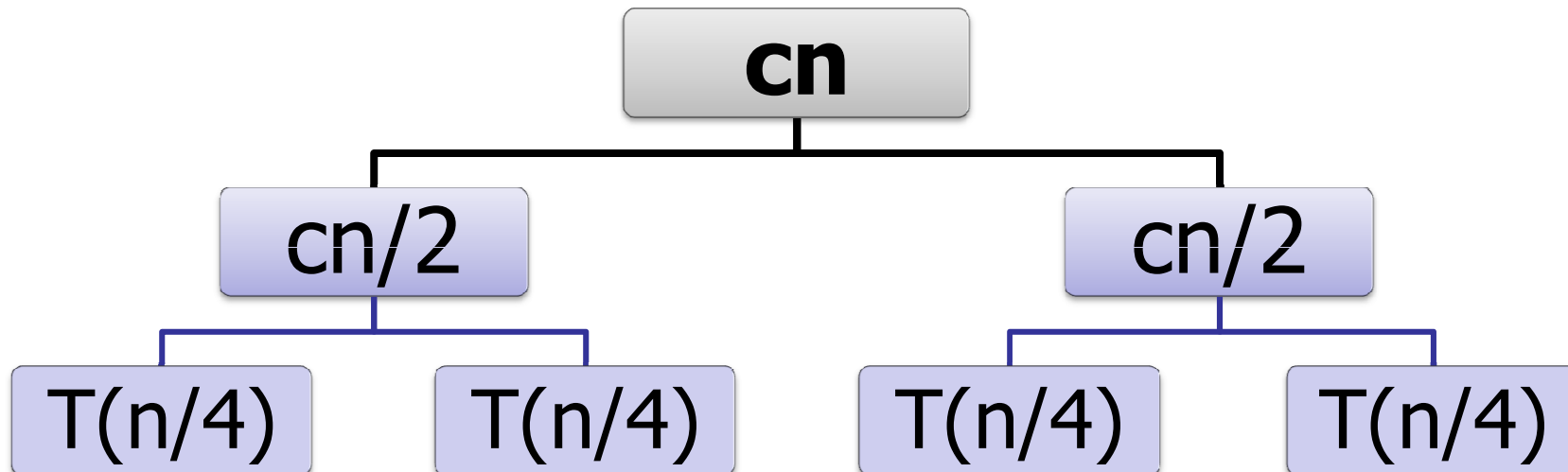


# Merge-Sort Analysis (Review)

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$$T(n) = 2T(n/2) + cn$$

---

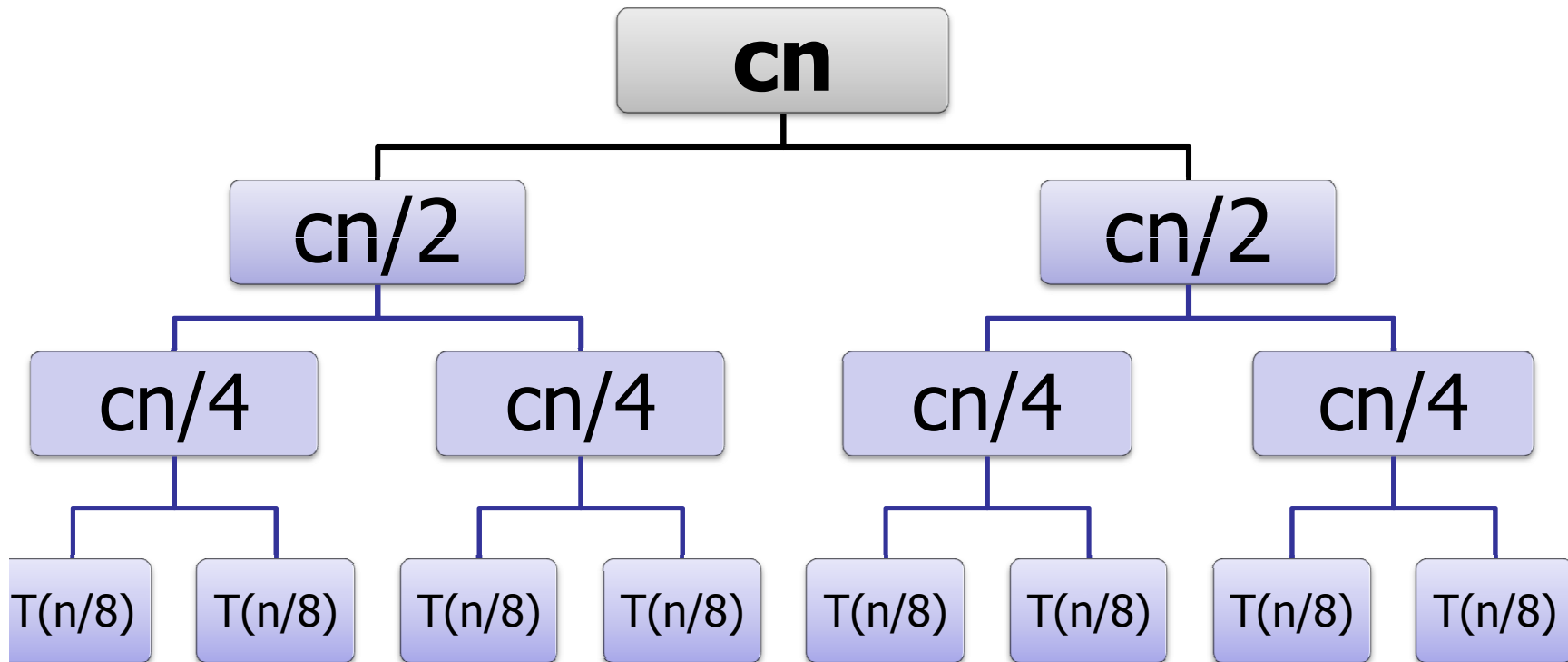


# Merge-Sort Analysis (Review)

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$$T(n) = 2T(n/2) + cn$$

---

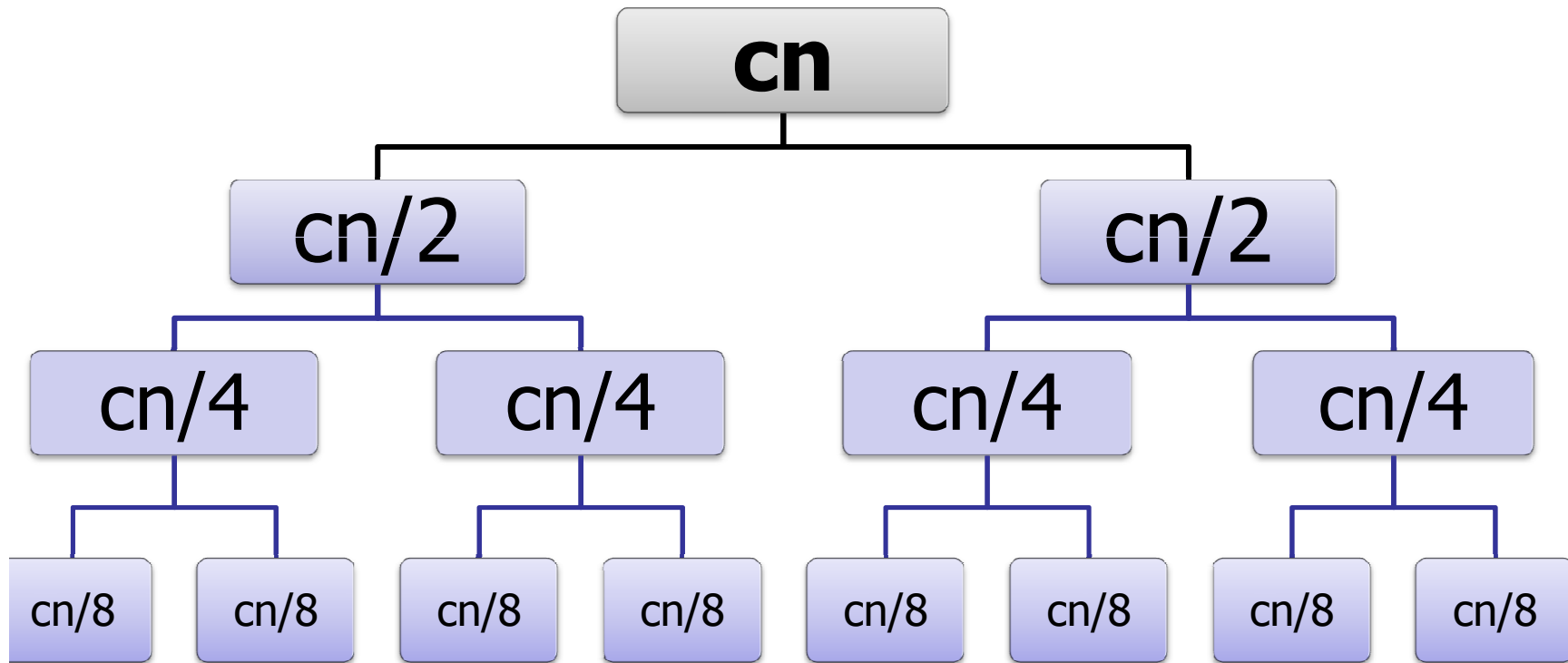


# Merge-Sort Analysis(Review)

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$$T(n) = 2T(n/2) + cn$$

---



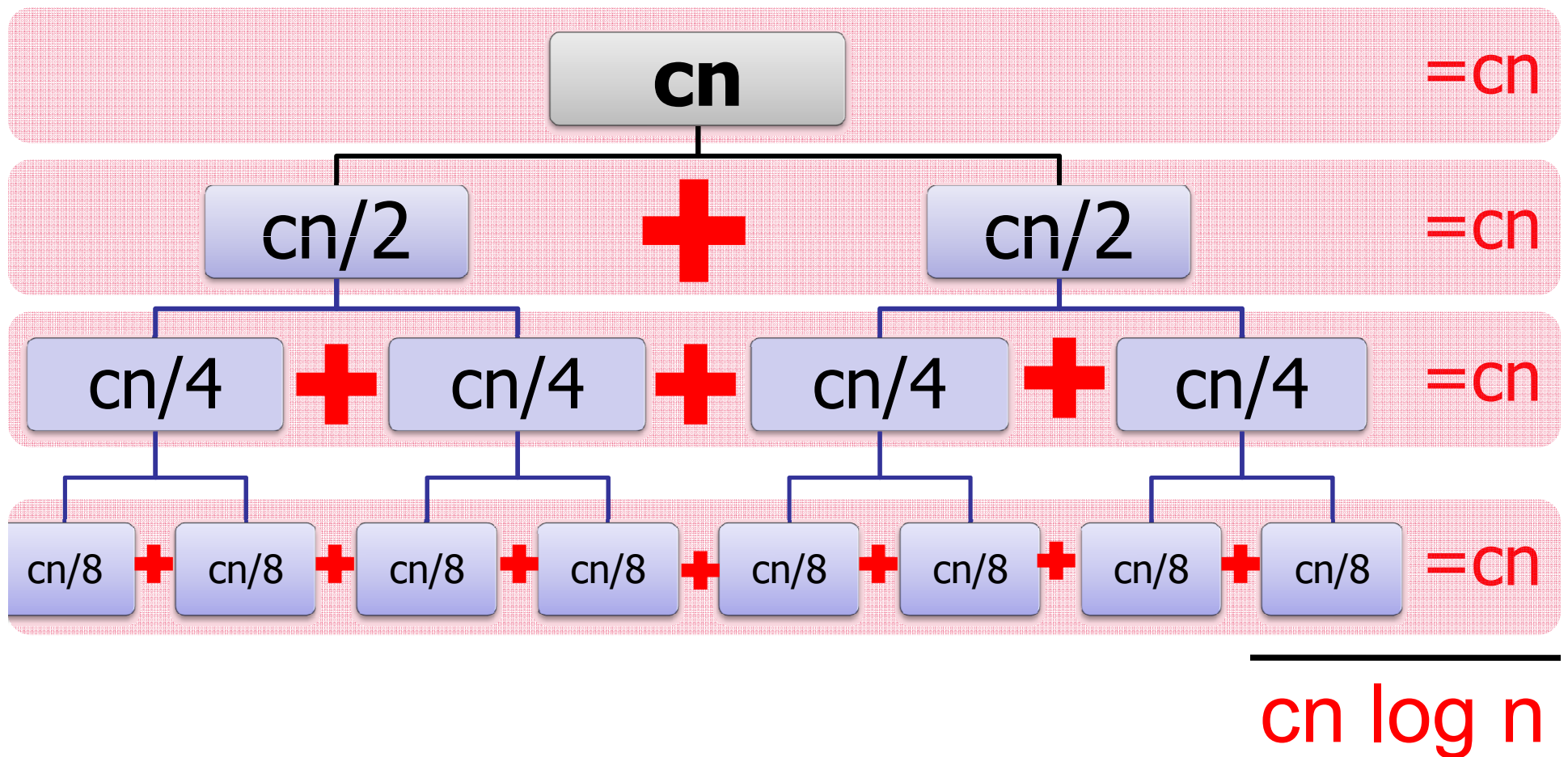


# Merge-Sort Analysis (Review)

---

$$T(n) = 2T(n/2) + cn$$

---



# Merge-Sort Analysis (Review)

---

$$T(n) = 2T(n/2) + cn$$

---

Level	Number
0	1
1	2
2	4
3	8
4	16
...	...
<i>h</i>	??

$$\text{Number} = 2^{\text{Level}}$$

# Merge-Sort Analysis (Review)

---

$$T(n) = 2T(n/2) + cn$$

---

Level	Number
0	1
1	2
2	4
3	8
4	16
...	...
$h$	$n$

$$\text{Number} = 2^{\text{Level}}$$

$$n = 2^h$$

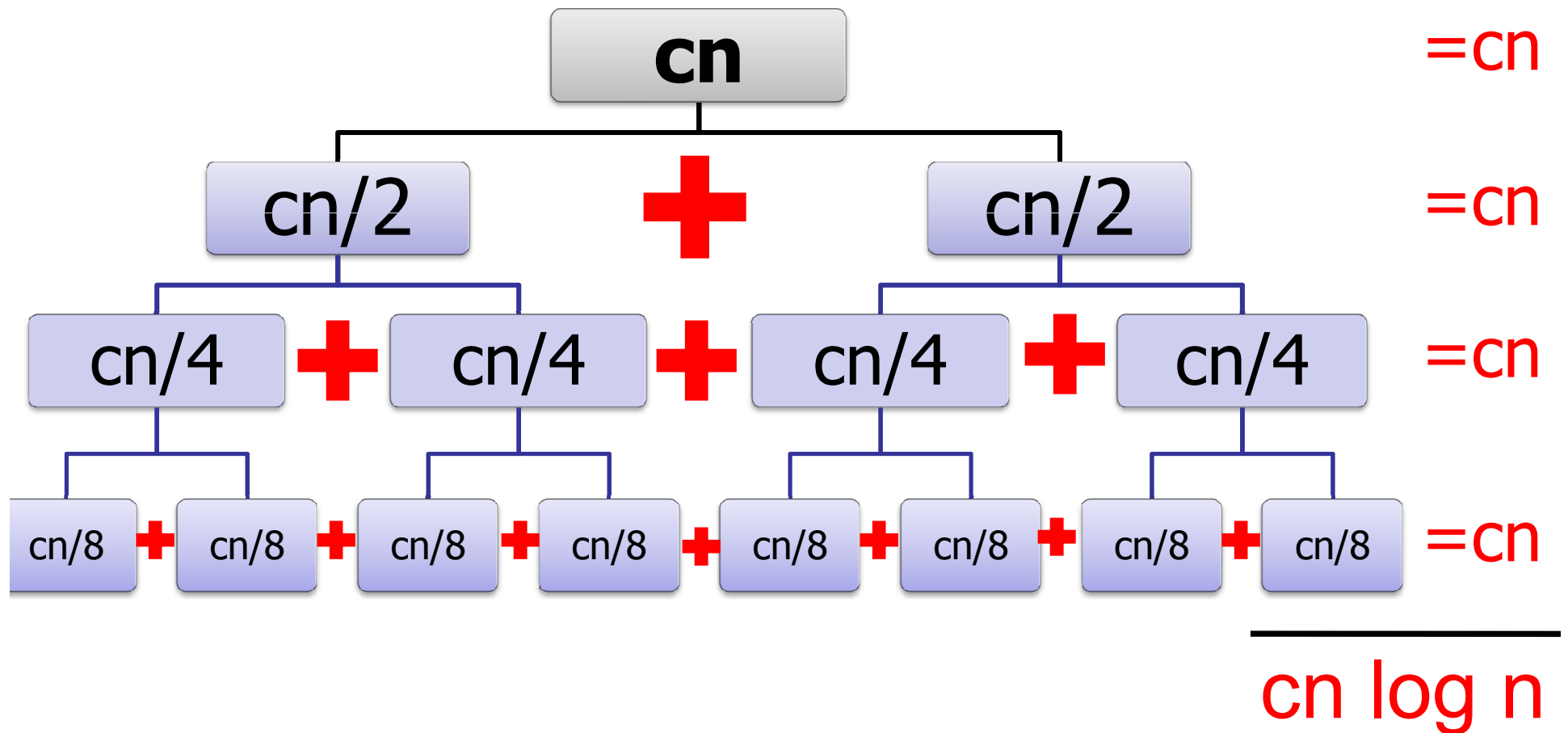
$$\log n = h$$

# Merge-Sort Analysis (Review)

---

$$T(n) = 2T(n/2) + cn$$

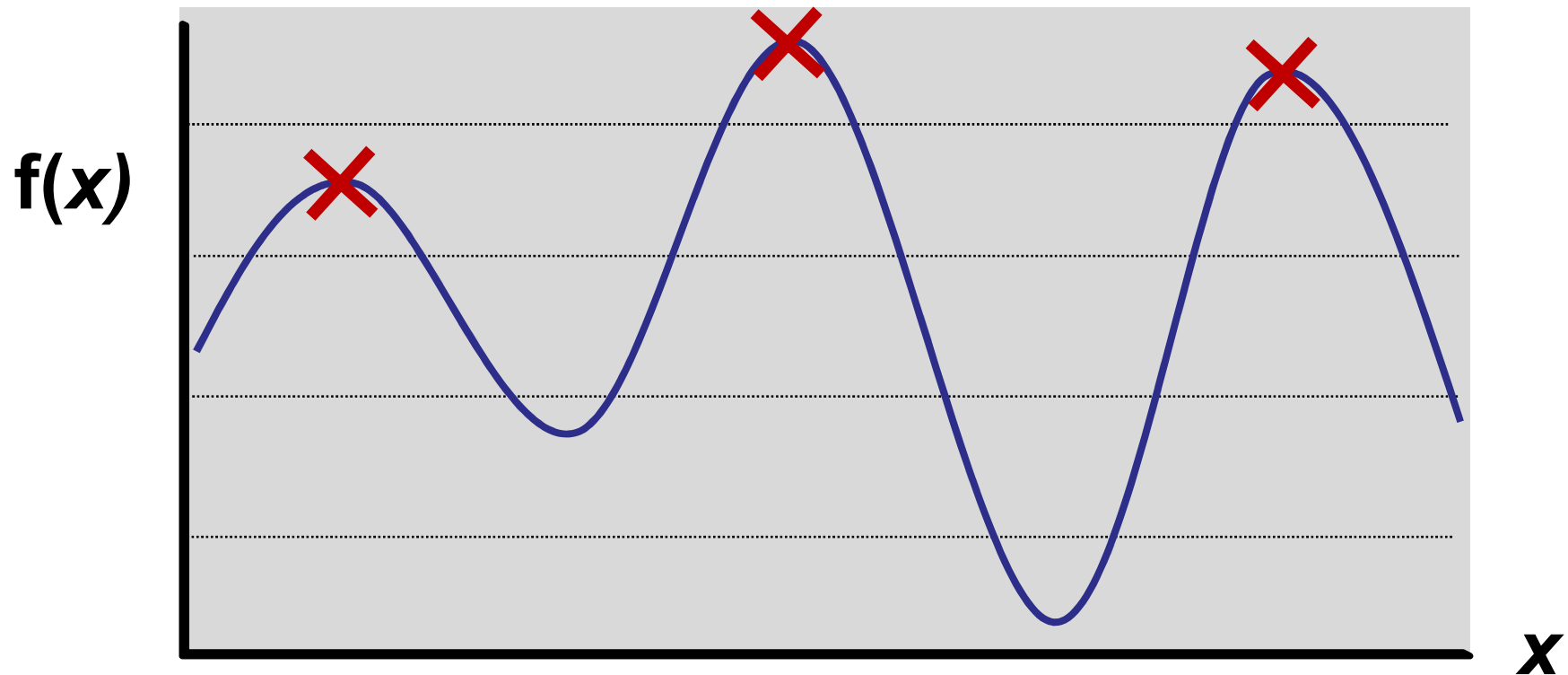
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# Peak Finding

---

Input: Some function  $f(x)$



Output: A local maximum (or minimum)

# Peak Finding

---

## Optimization problems:

- Find a good solution to a problem.
- Find a design that uses less energy.
- Find a way to make more money.
- Find a good scenic viewpoint.
- Etc.

## Why local maximum?

- Finds a *good enough* solution.
- Local maxima are close to the global maximum?
- Much, much faster.

# Global Maximum

---

Input: Array  $A[1..n]$

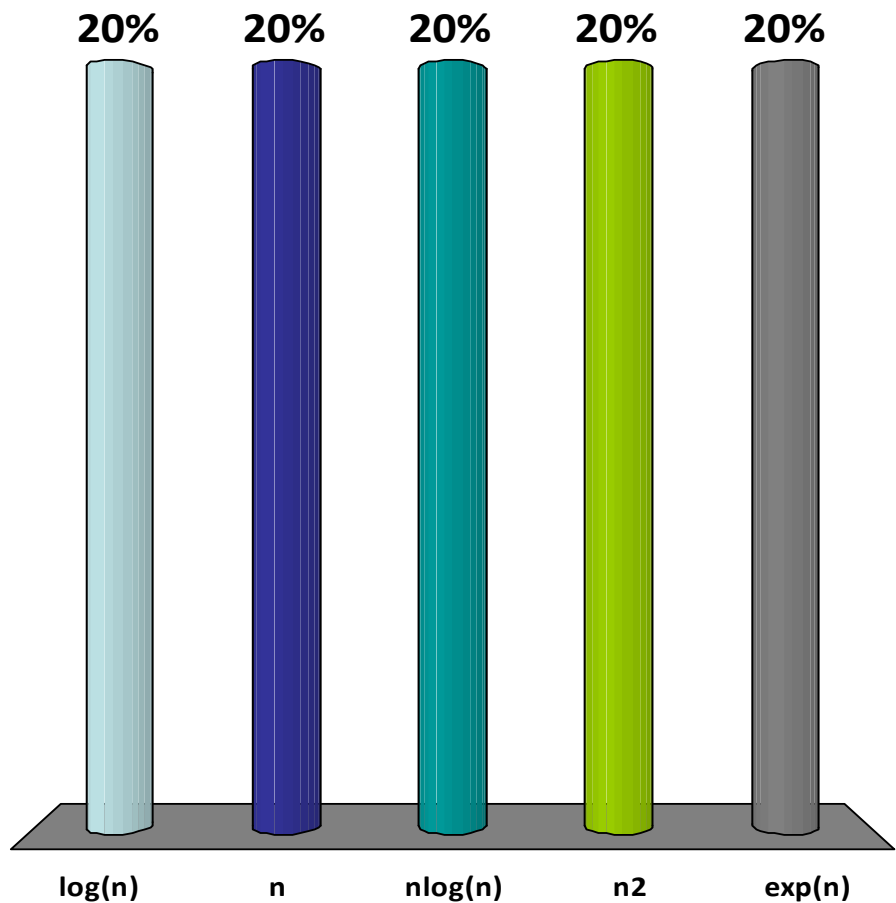
Output: maximum element in  $A$

How long to find a global maximum?

Input: Array  $A[1..n]$

Output: maximum element in  $A$

1.  $O(\log n)$
2.  $O(n)$
3.  $O(n \log n)$
4.  $O(n^2)$
5.  $O(2^n)$





# Global Maximum

---

Unsorted array:  $A[1..n]$

7	4	9	2	11	6	23	4	28	8	17	5
---	---	---	---	----	---	----	---	----	---	----	---

FindMax( $A, n$ )

$\text{max} = A[1]$

**for**  $i = 1$  **to**  $n$  **do**:

**if** ( $A[i] > \text{max}$ ) **then**  $\text{max} = A[i]$

Time Complexity: ??

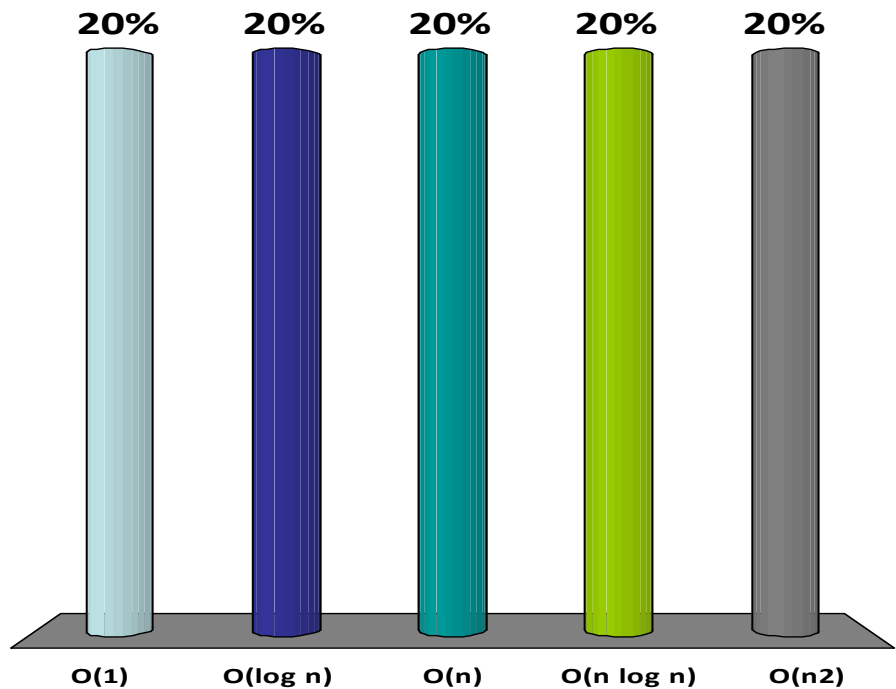
# Global Maximum

---

Sorted array:  $A[1..n]$

How long to find the maximum?

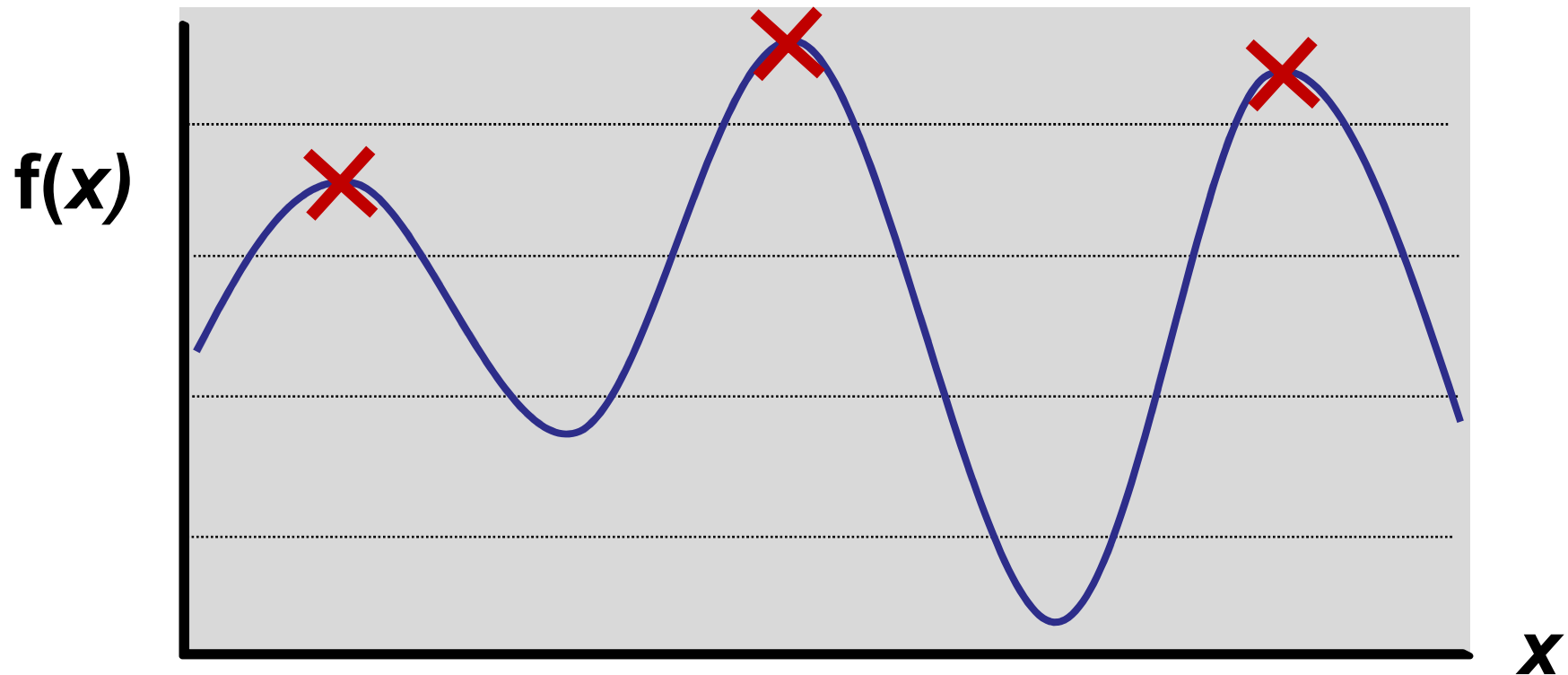
1.  $O(1)$
2.  $O(\log n)$
3.  $O(n)$
4.  $O(n \log n)$
5.  $O(n^2)$



# Peak Finding

---

Input: Some function  $f(x)$

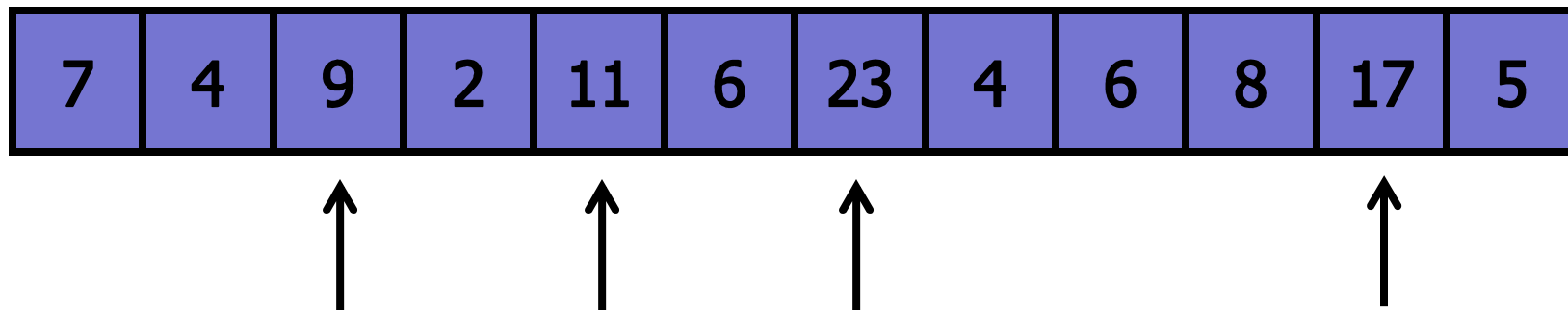


Output: A local maximum

# Peak Finding

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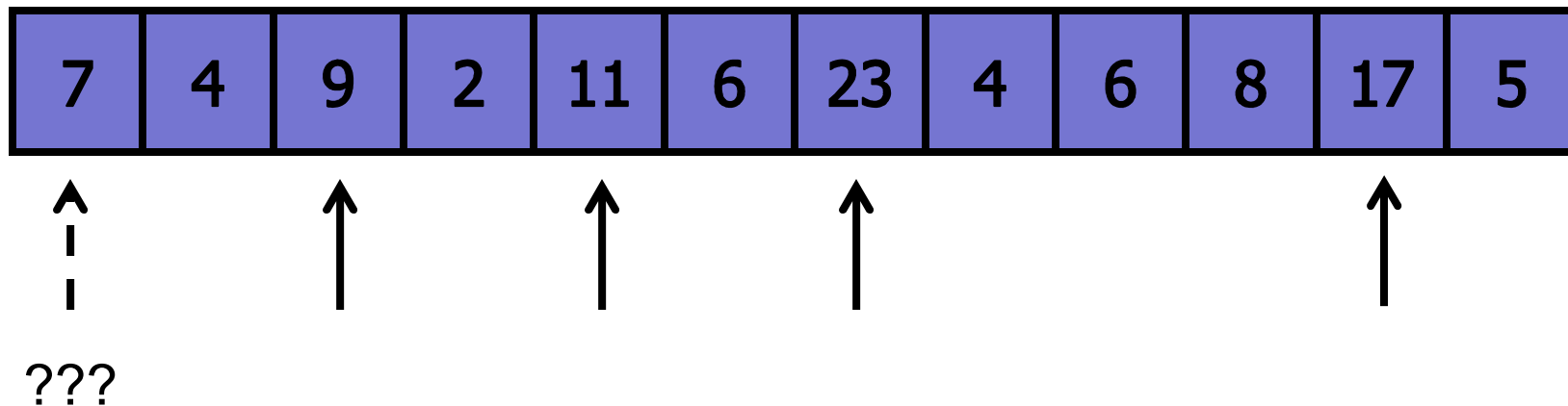
Input: Some function array  $A[1..n]$



Output: a local maximum in A

$$A[i-1] \leq A[i] \quad \textbf{and} \quad A[i+1] \leq A[i]$$

Input: Some function array  $A[1..n]$



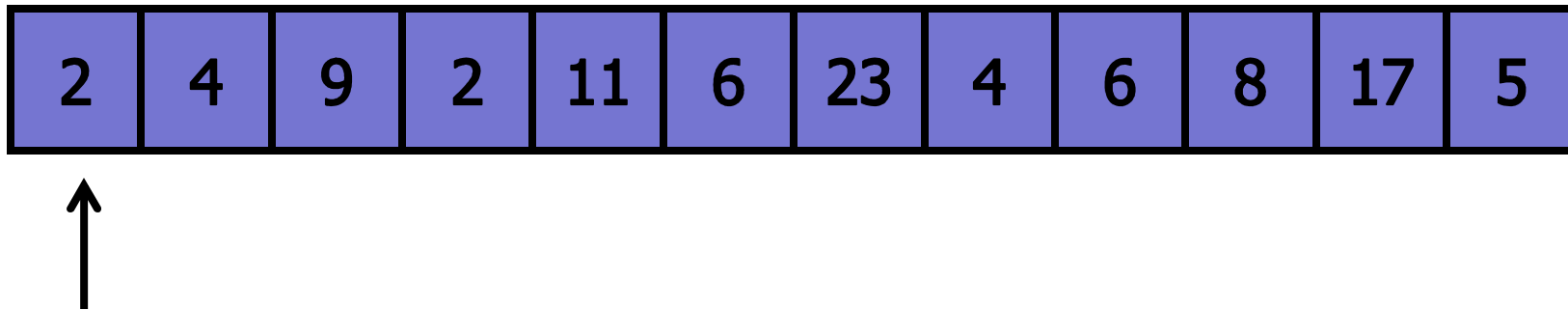
Output: a local maximum in  $A$

$$A[i-1] \leq A[i] \quad \text{and} \quad A[i+1] \leq A[i]$$

# Peak Finding: Algorithm 1

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Input: Some array  $A[1..n]$



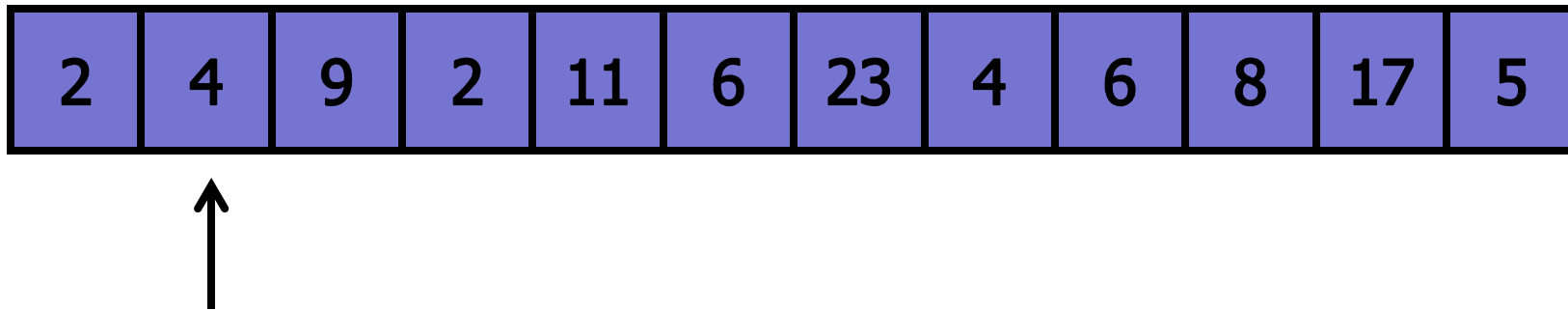
FindPeak

- Start from  $A[1]$
- Examine every element
- Stop when you find a peak.

# Peak Finding: Algorithm 1

---

Input: Some array  $A[1..n]$



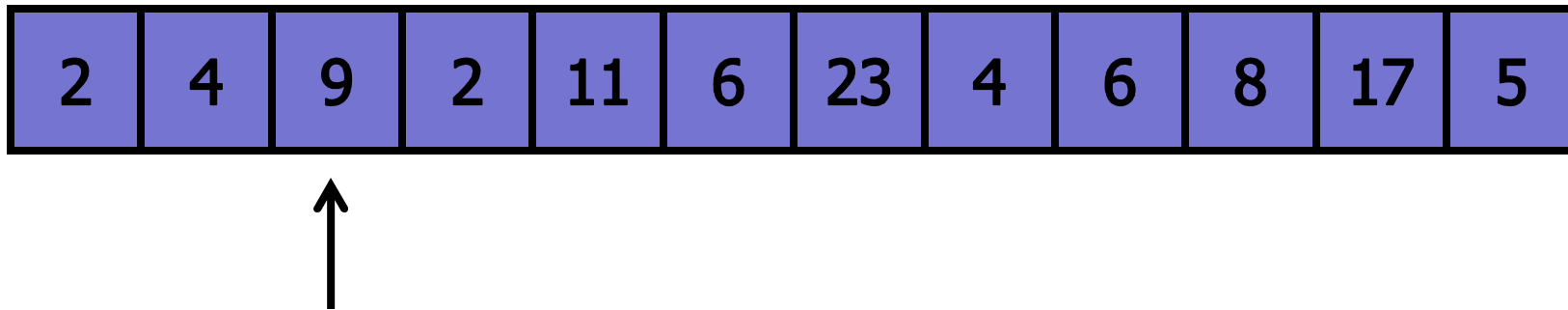
FindPeak

- Start from  $A[1]$
- Examine every element
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# Peak Finding: Algorithm 1

---

Input: Some array  $A[1..n]$



FindPeak

- Start from  $A[1]$
- Examine every element
- Stop when you find a peak.



# Peak Finding: Algorithm 1

---

Input: Some array  $A[1..n]$

2	4	9	2	11	6	23	4	6	8	17	5
---	---	---	---	----	---	----	---	---	---	----	---



Running time:  $n$

Simple improvement?

# Peak Finding: Algorithm 1

---

Input: Some array  $A[1..n]$

2	4	9	2	11	6	23	4	6	8	17	5
---	---	---	---	----	---	----	---	---	---	----	---



**Start in the middle!**

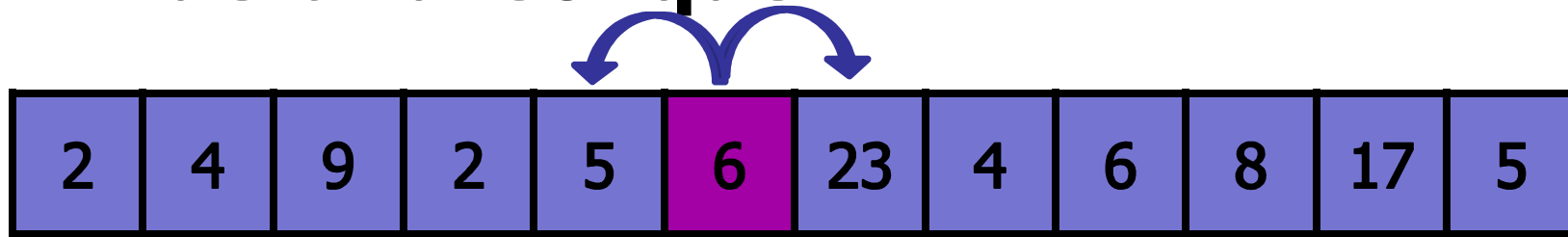


Worst-case:  $n/2$

# Peak Finding: Algorithm 2

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## Divide-and-Conquer



↑  
Start in the middle

**5 < 6?** ← **OK**

**23 < 6?** ← **NO**

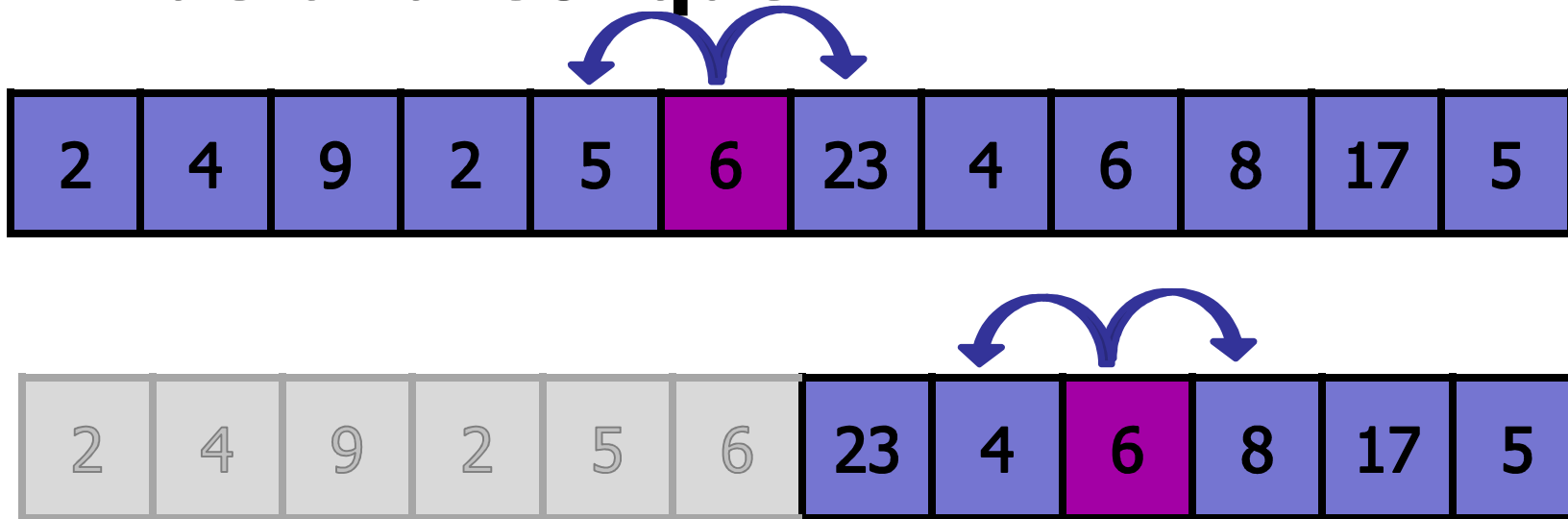
Recurse!



# Peak Finding: Algorithm 2

---

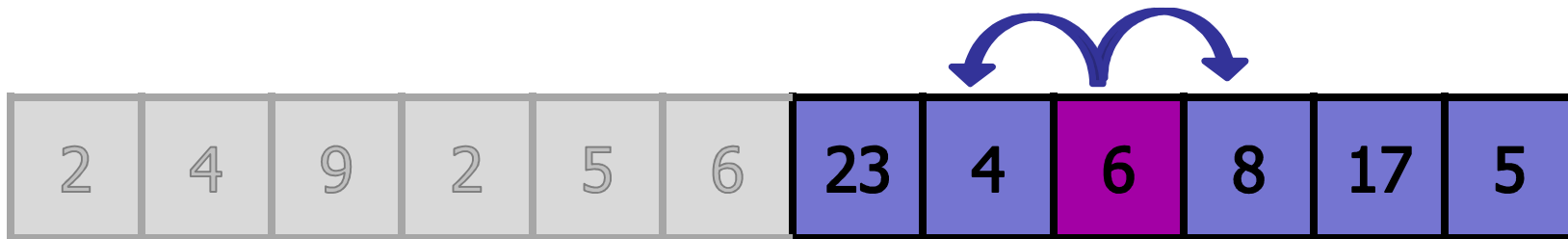
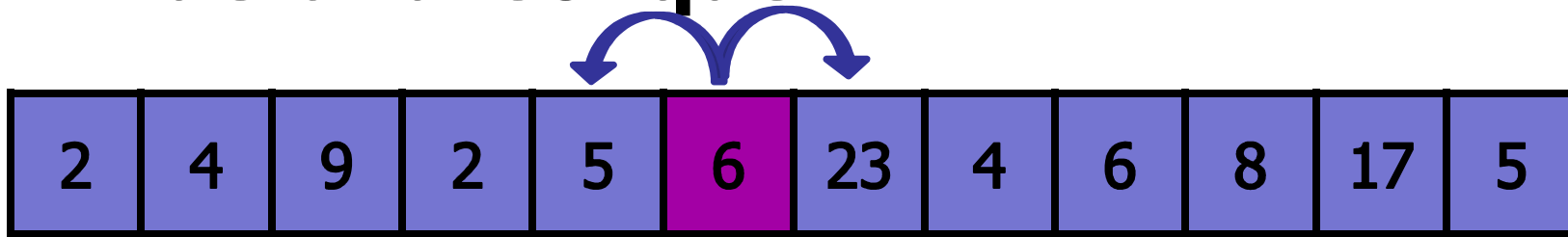
## Divide-and-Conquer



# Peak Finding: Algorithm 2

---

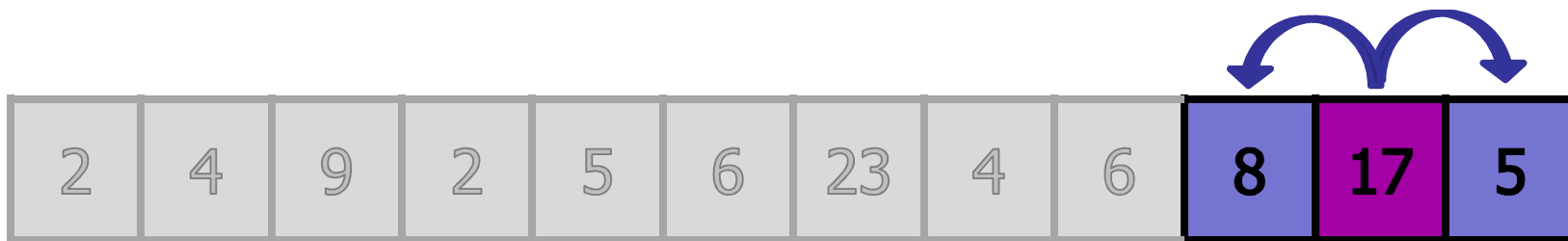
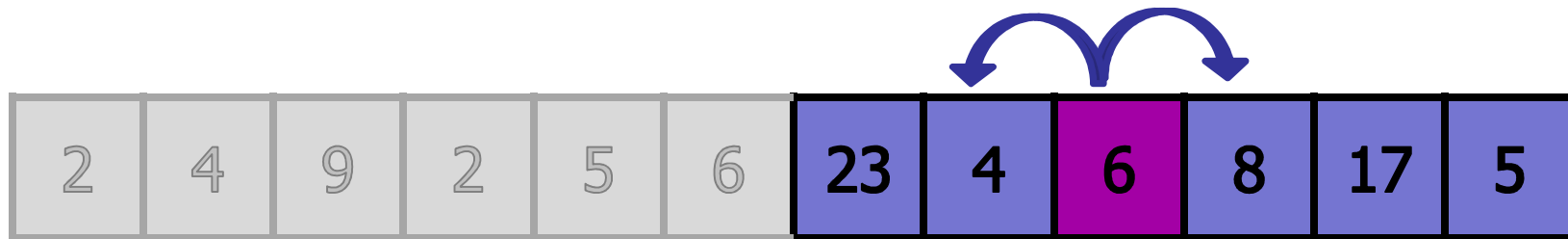
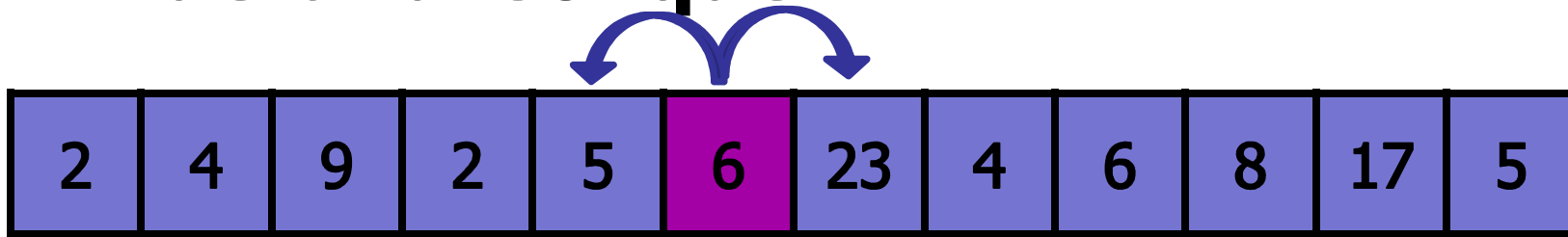
## Divide-and-Conquer



# Peak Finding: Algorithm 2

---

## Divide-and-Conquer



We found a peak!

# Peak Finding: Algorithm 2

---

Input: Some array  $A[1..n]$

2	4	9	2	11	6	23	4	6	8	17	5
---	---	---	---	----	---	----	---	---	---	----	---

**FindPeak**( $A, n$ )

**if**  $A[n/2]$  is a peak **then return**  $n/2$

**else if**  $A[n/2+1] > A[n/2]$  **then**

Search for peak in right half.

**else if**  $A[n/2-1] > A[n/2]$  **then**

Search for peak in left half.

# Peak Finding: Algorithm 2

---

## Proof ?

2	4	9	2	11	6	23	4	6	8	17	5
---	---	---	---	----	---	----	---	---	---	----	---

**FindPeak**(A, n)

**if**  $A[n/2]$  is a peak **then return**  $n/2$

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Search for peak in right half.

**else if**  $A[n/2-1] > A[n/2]$  **then**

Search for peak in left half.



# Peak Finding: Algorithm 2

---

Key property:


- If we recurse in the right half, then there exists a peak in the right half.

2	4	9	2	5	6	23	4	6	8	17	5
---	---	---	---	---	---	----	---	---	---	----	---

# Peak Finding: Algorithm 2

---

Key property:

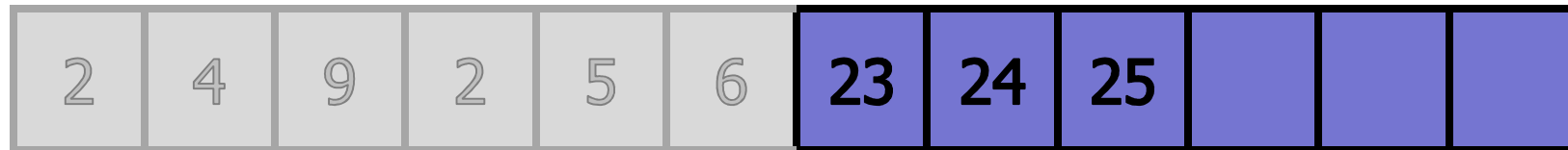
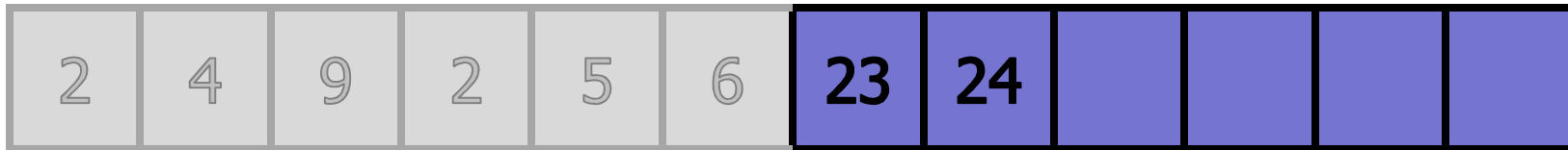
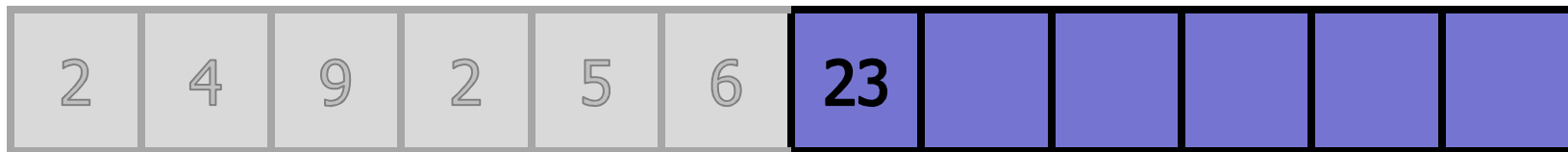
- If we recurse in the right half, then there exists a peak in the right half.
- Proof:
  - Assume no peaks in the right half.
  - Given:  $A[\text{middle}] < A[\text{middle} + 1]$
  - Since no peaks,  $A[\text{middle}+1] < A[\text{middle}+2]$
  - Since no peaks,  $A[\text{middle}+2] < A[\text{middle}+3]$
  - ...
  - Since no peaks,  $A[n-1] < A[n]$   PEAK

# Peak Finding: Algorithm 2

---

Recurse on right half, since  $23 > 6$ .

- Assume no peaks in right half.



# Peak Finding: Algorithm 2

---

## Running time?

2	4	9	2	11	6	23	4	6	8	17	5
---	---	---	---	----	---	----	---	---	---	----	---

**FindPeak**( $A, n$ )

**if**  $A[n/2]$  is a peak **then return**  $n/2$

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Search for peak in right half.

**else if**  $A[n/2-1] > A[n/2]$  **then**

Search for peak in left half.

# Peak Finding: Algorithm 2

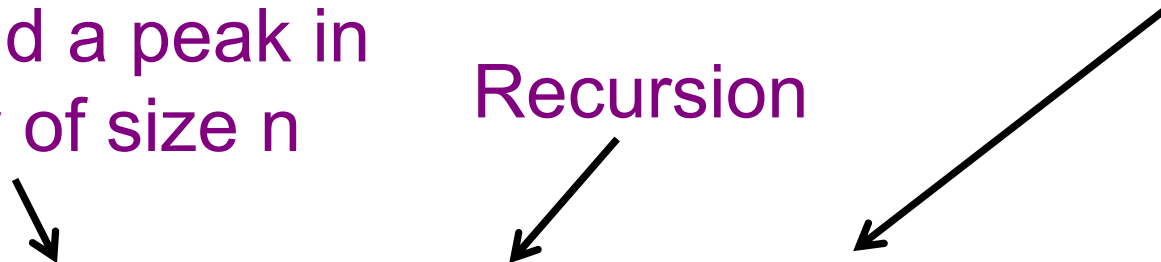
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## Running time:

Time for comparing  
 $A[n/2]$  with neighbors

Time to find a peak in  
an array of size  $n$

Recursion


$$T(n) = T(n/2) + \theta(1)$$

Unrolling the recurrence:

$$T(n) = \theta(1) + \theta(1) + \dots + \theta(1) = O(\log n)$$

# Peak Finding: Algorithm 2

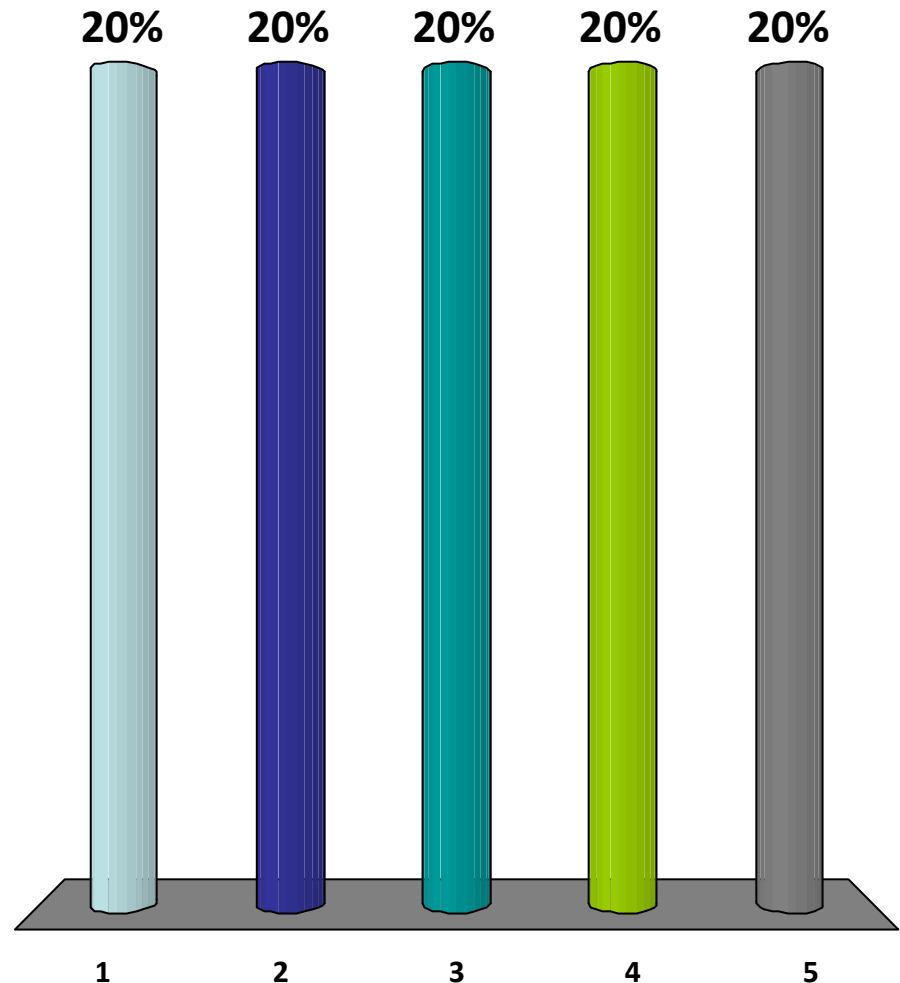
---

Unrolling the recurrence:

$$\begin{aligned}T(n) &= T(n/2) + \theta(1) \\&= T(n/4) + \theta(1) + \theta(1) \\&= T(n/8) + \theta(1) + \theta(1) + \theta(1) \\&\quad \dots \\&\quad \dots \\&= T(1) + \theta(1) + \dots + \theta(1) = \\&= \theta(1) + \theta(1) + \dots + \theta(1) =\end{aligned}$$

How many times can you divide a number ***n*** in half before you reach 1?

1.  $n/4$
2.  $\sqrt{n}$
3.  $\log_2(n)$
4.  $\arctan(1+\sqrt{5/2n})$
5. I don't know.



# Peak Finding: Algorithm 2

---

Unrolling the recurrence:

$$\begin{aligned}T(n) &= T(n/2) + \theta(1) \\&= T(n/4) + \theta(1) + \theta(1) \\&= T(n/8) + \theta(1) + \theta(1) + \theta(1) \\&\quad \dots \\&\quad \dots \\&= T(1) + \theta(1) + \dots + \theta(1) = \\&= \theta(1) + \theta(1) + \dots + \theta(1) =\end{aligned}$$



# Peak Finding: Algorithm 2

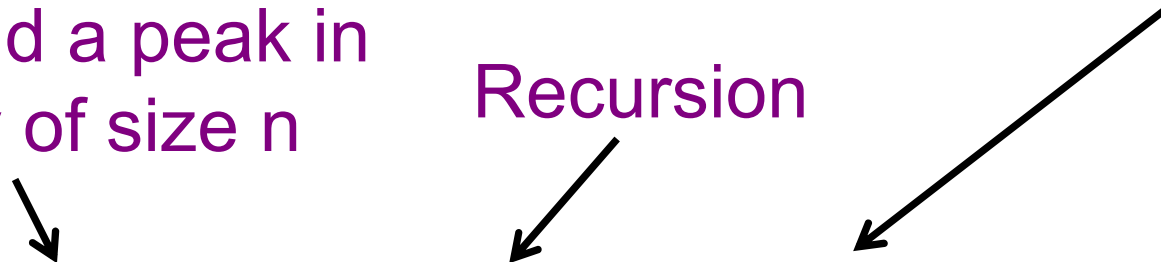
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## Running time:

Time for comparing  
 $A[n/2]$  with neighbors

Time to find a peak in  
an array of size  $n$

Recursion


$$T(n) = T(n/2) + \theta(1)$$

Unrolling the recurrence:

$$T(n) = \underbrace{\theta(1) + \theta(1) + \dots + \theta(1)}_{\log(n)} = O(\log n)$$

# Preview

---

After the break:

**The 2<sup>nd</sup> dimension!**



# Peak Finding 2D (the sequel)

---

Given: 2D array  $A[1..n, 1..m]$

10	8	5	2	1
3	2	1	5	7
17	5	1	4	1
7	9	4	6	4
8	1	1	2	6

Output: a peak that is not smaller than the  
(at most) 4 neighbors.

# 2D: Algorithm 1

---

Step 1: Find global max for each column

3	4	5	2
2	1	2	5
1	9	1	2
7	5	3	3

**7    9    5    3** ← Find 1D peak.

Step 2: Find peak in the array of max elements.

# Algorithm 1-2D

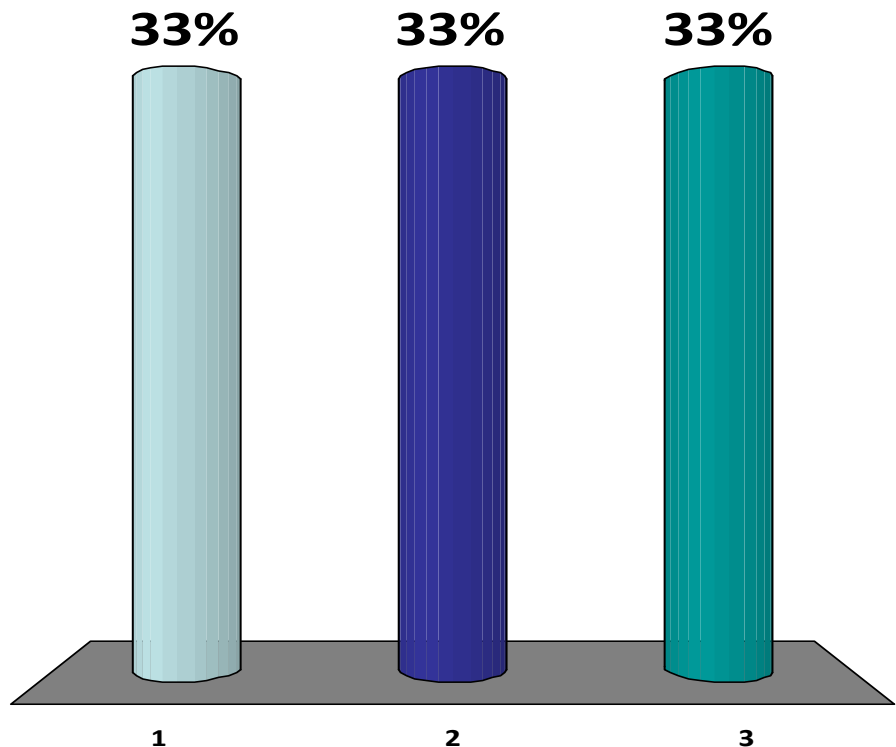
Step 1: Find global max for each column.

Step 2: Find peak in the max array.

---

Is this algorithm correct?

1. Yes
2. No
3. I'm confused...



# 2D: Algorithm 1

---

Step 1: Find global max for each column

3	4	5	2
2	1	2	5
1	9	1	2
7	5	3	3

7   9   5   3   ← Find 1D peak.

Step 2: Find peak in the array of max elements.

**Running time:  $O(mn + m \log(m))$**

## 2D: Algorithm 2

---

Step 1: Find a (local) peak for each column

3	4	5	2
2	1	2	5
1	9	1	2
7	5	3	3

**7    9    5    3** ← Find 1D peak.

Step 2: Find peak in the array of peaks.

## Algorithm 2-2D

Step 1: Find 1D-peak for each column.

Step 2: Find peak in the max array.

---

Is this algorithm correct?

1. Yes
2. No
3. I'm confused...



# 2D: Algorithm 2

---

Step 1: Find a global max for each column

3	4	5	2
2	1	2	5
1	9	1	2
7	5	3	3

? ? ? ? ← Find 1D peak.

Step 2: Find peak in the array of peaks.

7	10	12	20	7	9	4	3	1	18	5	17	4
19	11	7	4	6	8	8	3	5	6	8	14	8
6	9	14	4	7	9	3	5	9	8	3	10	6

? ? ? ? ? ? ? ? ? ? ? ?

Find 1D Peak:

Step 1: Check middle element.

Step 2: Recurse left/right half.

7	10	12	20	7	9	4	3	1	18	5	17	4
19	11	7	4	6	8	8	3	5	6	8	14	8
6	9	14	4	7	9	3	5	9	8	3	10	6

? ? ? ? ? 8 10 12 ? ? ? ? ?

Find 1D Peak:

Step 1: Check middle element.

Step 2: Recurse left/right half.

7	10	12	20	7	9	4	3	1	18	5	17	4
19	11	7	4	6	8	8	3	5	6	8	14	8
6	9	14	4	7	9	3	5	9	8	3	10	6

? ? ? ? ? 8 10 12 ? 6 8 9 ?

Find 1D Peak:

Step 1: Check middle element.

Step 2: Recurse left/right half.

7	10	12	20	7	9	4	3	1	18	5	17	4
19	11	7	4	6	8	8	3	5	6	8	14	8
6	9	14	4	7	9	3	5	9	8	3	10	6

? ? ? ? ? 8 10 12 ? 6 8 9 4

Find 1D Peak:

Step 1: Check middle element.

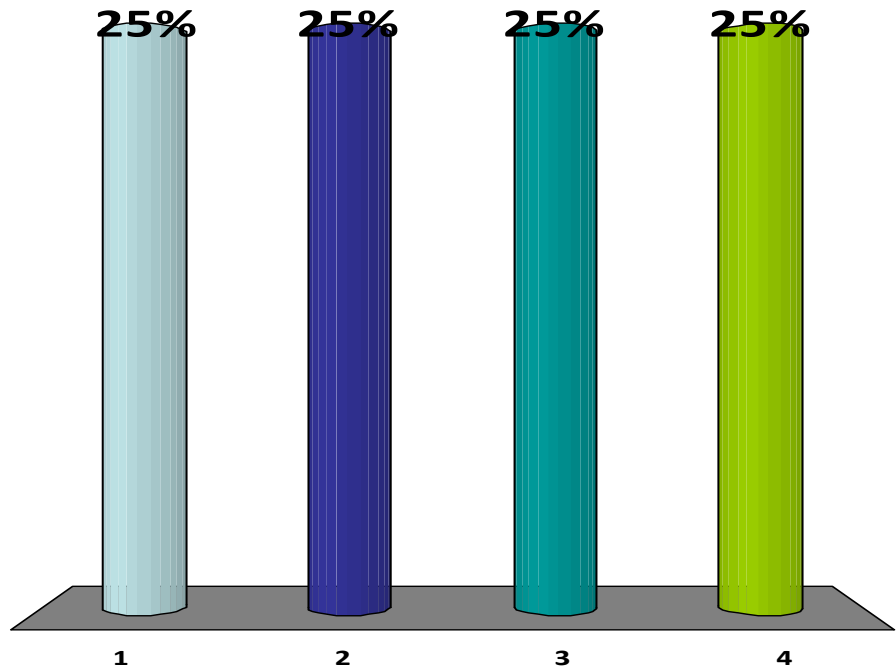
Step 2: Recurse left/right half.

7	10	12	20	7	9	4	3	1	18	3	17	4
19	11	7	4	6	8	8	3	5	6	8	14	8
6	9	14	4	7	9	3	5	9	8	3	10	6

? ? ? ? ? **8 10 12** ? **6 8 9 4**

How many columns do we need to examine?

1.  $O(m)$
2.  $O(\sqrt{m})$
3.  $O(\log m)$
4.  $O(1)$



# 2D: Algorithm 2

---

Find peak in the array of peaks:

- Use 1D Peak Finding algorithm
- For each column examined by the algorithm, find the maximum element in the column.

Running time:

- 1D Peak Finder Examines  $O(\log m)$  columns
- Each column requires  $O(n)$  time to find max
- Total:  **$O(n \log m)$**

(Much better than  $O(nm)$  of before.)

# 2D Algorithm 3

---

Any ideas??



# 2D Algorithm 3

---

## Divide-and-Conquer

1. Find MAX element of middle column.
2. If found a peak, DONE.
3. Else:
  - If left neighbor is larger, then recurse on left half.
  - If right neighbor is larger, then recurse on right half.

10	8	4	2	1
3	2	2	12	13
17	5	1	11	1
7	4	6	9	4
8	1	1	2	6



recurse  
right

# 2D Algorithm 3

---

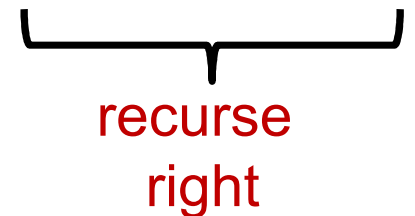
## Correctness

1. Assume no peak on right half.
2. Then, there is some increasing path:

$9 \rightarrow 11 \rightarrow 12 \rightarrow \dots$

3. Eventually, the path must end at a max.
4. If there is no max in the right half, then it must cross to the left half... Impossible!

10	8	4	2	1
3	2	2	12	13
17	5	1	11	1
7	4	6	9	4
8	1	1	2	6

  
recurse  
right

# 2D Algorithm 3

## Divide-and-Conquer

$$T(n,m) = T(n, m/2) + O(n)$$

Recurse *once* on  
array of size  $[n, m/2]$

Do  $n$  work to find max  
element in column.

10	8	4	2	1
3	2	2	12	13
17	5	1	11	1
7	4	6	9	4
8	1	1	2	6



recurse  
right

# Recurrence Analysis

---

$$\begin{aligned}T(n, m) &= T(n, m/2) + n \\&= T(n, m/4) + n + n \\&= T(n, m/8) + n + n + n \\&= T(n, m/16) + n + n + n + n \\&= \dots\end{aligned}$$

# Recurrence Analysis

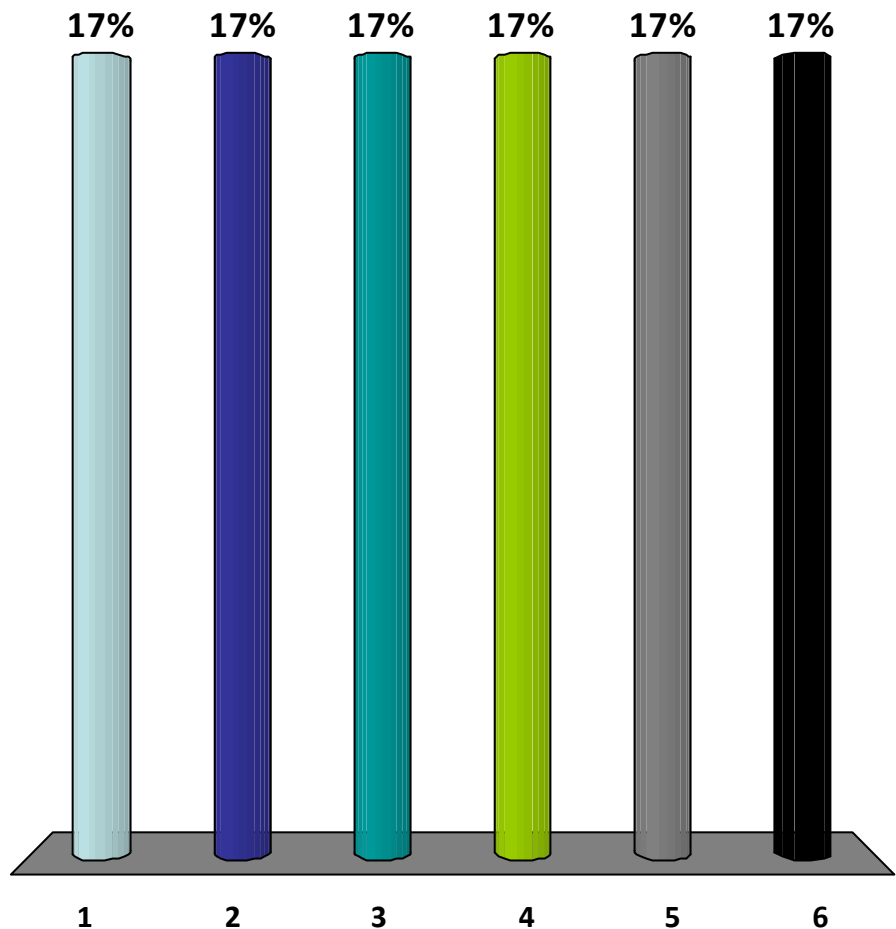
---

$$T(n, m) = T(n, m/2) + n$$

$T(n) = ??$

1.  $O(\log n)$
2.  $O(\log m)$
3.  $O(nm)$
4.  $O(n \log m)$
5.  $O(m \log n)$
6.  $O(n! \cos(\Pi/m))$

0 of 60



# 2D Algorithm 3

---

## Divide-and-Conquer

1. Find MAX element of middle column.
2. If found a peak, DONE.
3. Else:
  - If left neighbor is larger, then recurse on left half.
  - If right neighbor is larger, then recurse on right half.

$$T(n) = O(n \log m)$$

10	8	4	2	1
3	2	2	12	13
17	5	1	11	1
7	4	6	9	4
8	1	1	2	6



recurse  
right

# 2D Algorithm 4

---

We want to do better than  $O(n \log m)$ ...

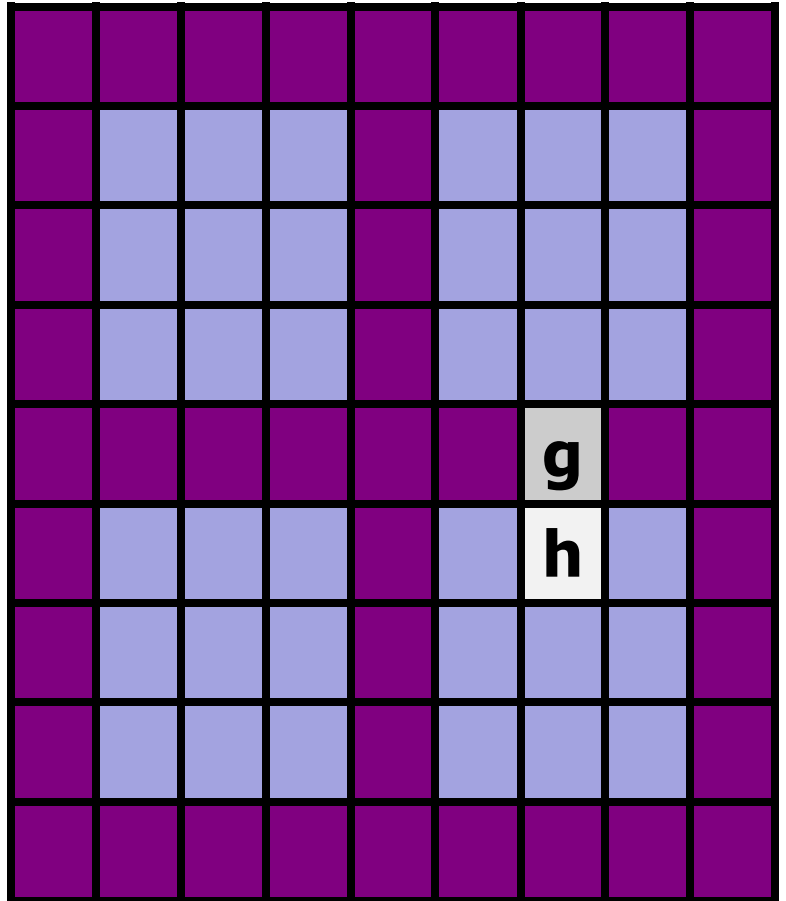
Any ideas??

1. Find MAX element on border + cross.
2. If found a peak, DONE.
3. Else:

Recurse on quadrant containing element bigger than MAX.

Example:  $\text{MAX} = g$

# h > g



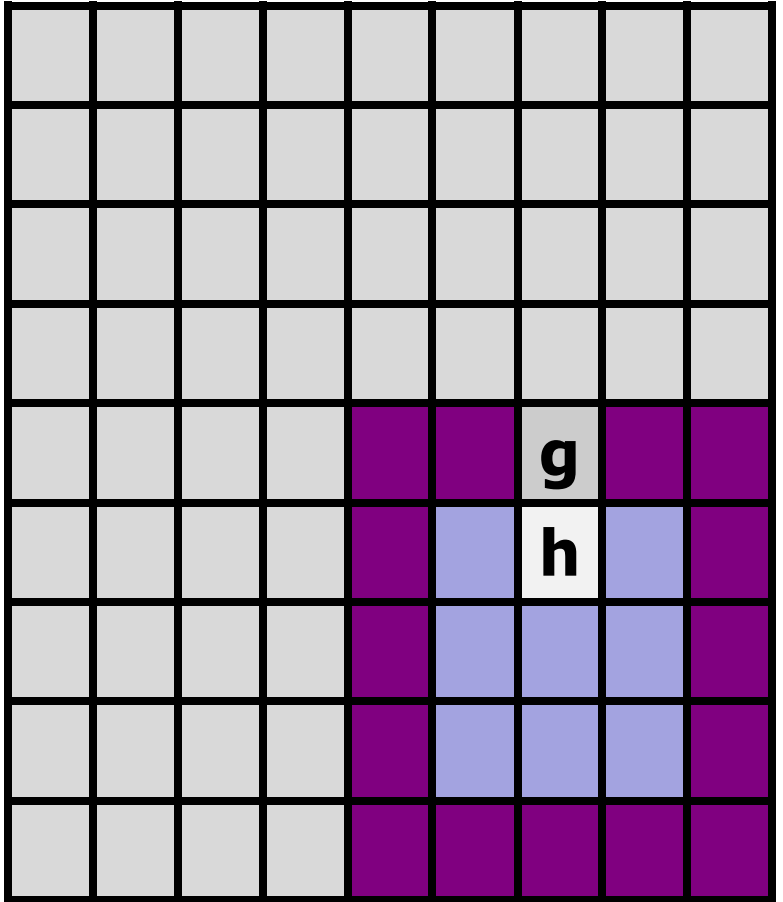


1. Find MAX element on border + cross.
2. If found a peak, DONE.
3. Else:

Recurse on quadrant containing element bigger than MAX.

Example:  $\text{MAX} = g$

# h > g



# Correctness

- Proof: as before.

- 
- A 10x10 grid representing a search space. The top-left 6x6 area is gray. The bottom-right 4x4 area is a solid purple obstacle. A gray cell containing the letter 'g' is located at row 6, column 7. A white cell containing the letter 'h' is located at row 7, column 6. All other cells are white.

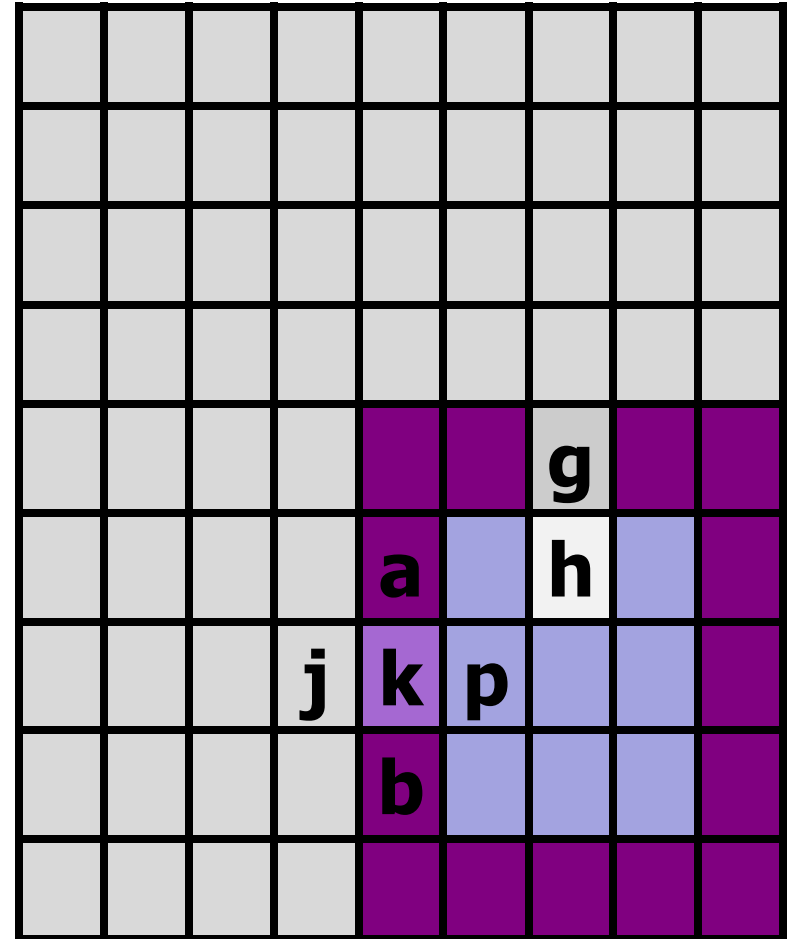
# Correctness

- Proof: as before.

- Example:  $j > k > p$

$k > a$

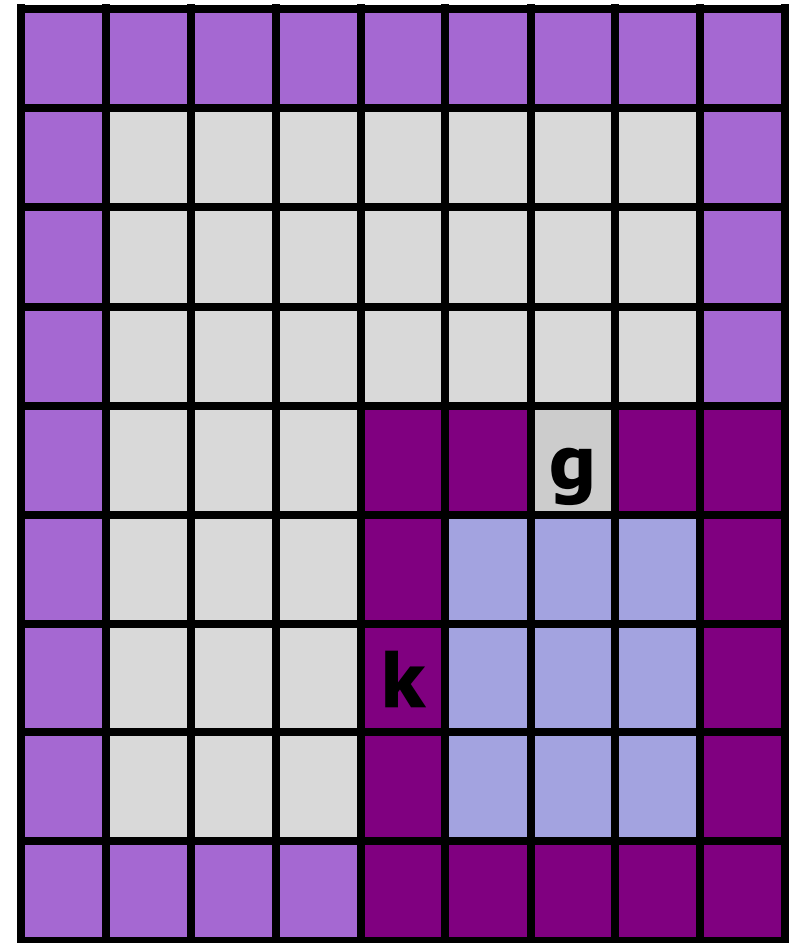
$k > b$



# Correctness

Find a peak at least as large as every element on the boundary.

If recursing finds an element at least as large as  $g$ , and  $g$  is as big as the biggest element on the boundary, then the peak is as large as every element on the boundary.




# 2D Algorithm 4

---


## Divide-and-Conquer

$$T(n,m) = T(n/2, m/2) + O(n + m)$$

Recurse *once* on array  
of size  $[n/2, m/2]$



Do  $6(n+m)$  work to find  
max element.



# Recurrence Analysis

---

$$\begin{aligned}T(n, m) &= T(n/2, m/2) + cn + cm \\&= T(n/4, m/4) + cn/2 + cm/2 + n + m \\&= T(n/8, m/8) + cn/4 + cm/4 + \dots \\&= \dots\end{aligned}$$

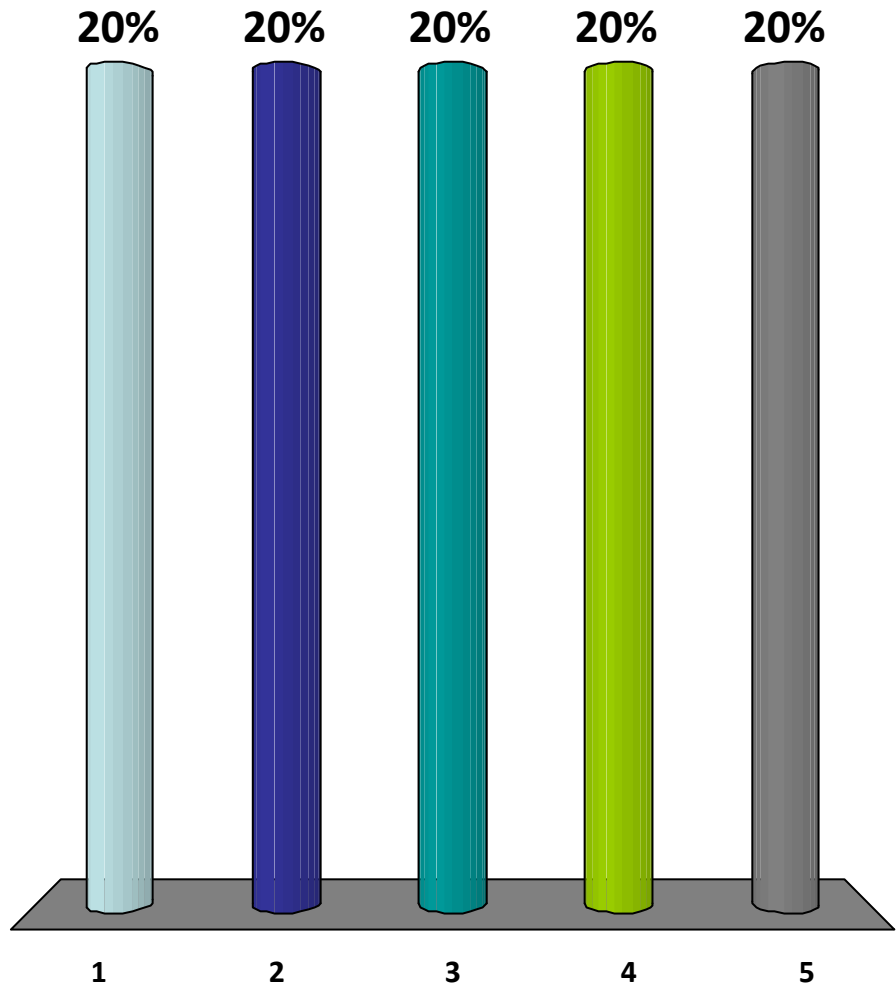
# Recurrence Analysis

---

$$T(n, m) = T(n/2, m/2) + cn + cm$$

$T(n) = ??$

1.  $O(\log n)$
2.  $O(nm)$
3.  $O(n \log m)$
4.  $O(m \log n)$
5.  $O(n+m)$



# Recurrence Analysis

---

$$\begin{aligned}T(n, m) &= T(n/2, m/2) + cn + cm \\&= T(n/4, m/4) + cn/2 + cm/2 + n + m \\&= T(n/8, m/8) + cn/4 + cm/4 + \dots \\&= \dots\end{aligned}$$

$$\begin{aligned}&= cn(1 + 1/2 + 1/4 + \dots) + \\&\quad cm(1 + 1/2 + 1/4 + \dots) \\&< 2cn + 2cm \\&= O(n + m)\end{aligned}$$



# Summary

---

## 1D Peak Finding

- Divide-and-Conquer
- $O(\log n)$  time

## 2D Peak Finding

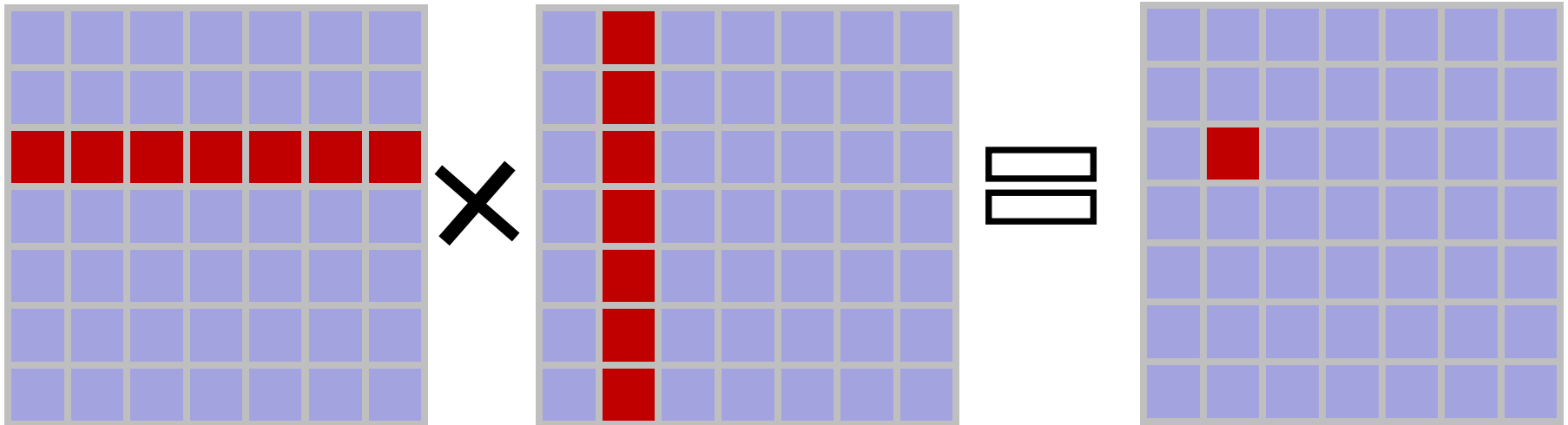
- Simple algorithms:  $O(n \log m)$
- Careful Divide-and-Conquer:  $O(n + m)$

# Matrix Multiplication

---

Given: two matrices  $A[n,n]$  and  $B[n,n]$

Calculate: matrix  $C = AB$

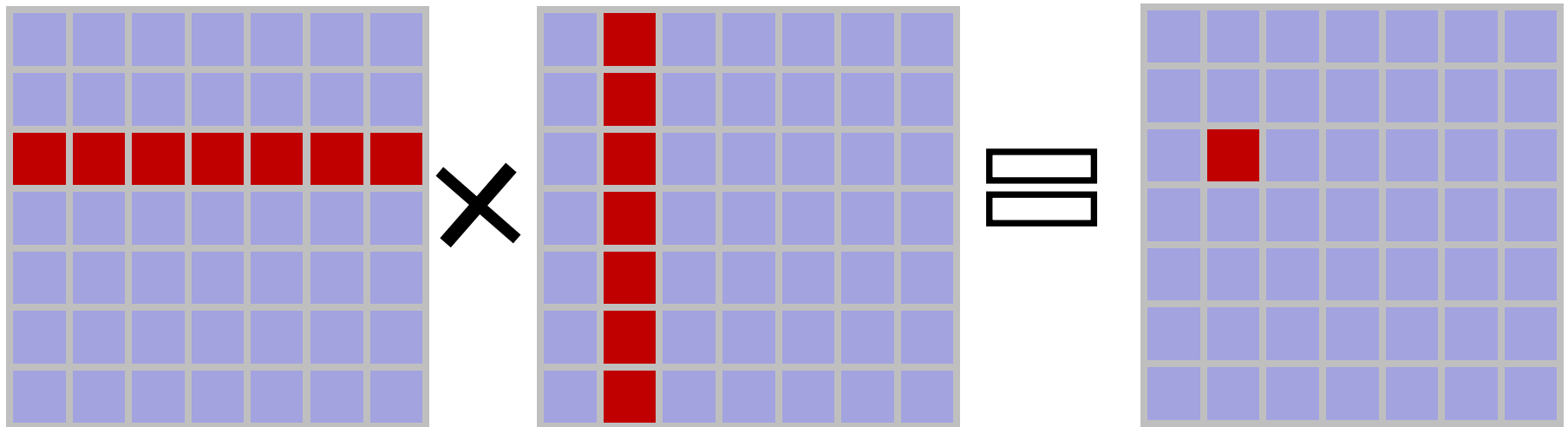


# Matrix Multiplication

---

Given: two matrices  $A[n,n]$  and  $B[n,n]$

Calculate: matrix  $C = AB$



$$C_{i,j} = \sum_{k=1}^n A_{i,k} B_{k,j}$$

# Matrix Multiplication

---

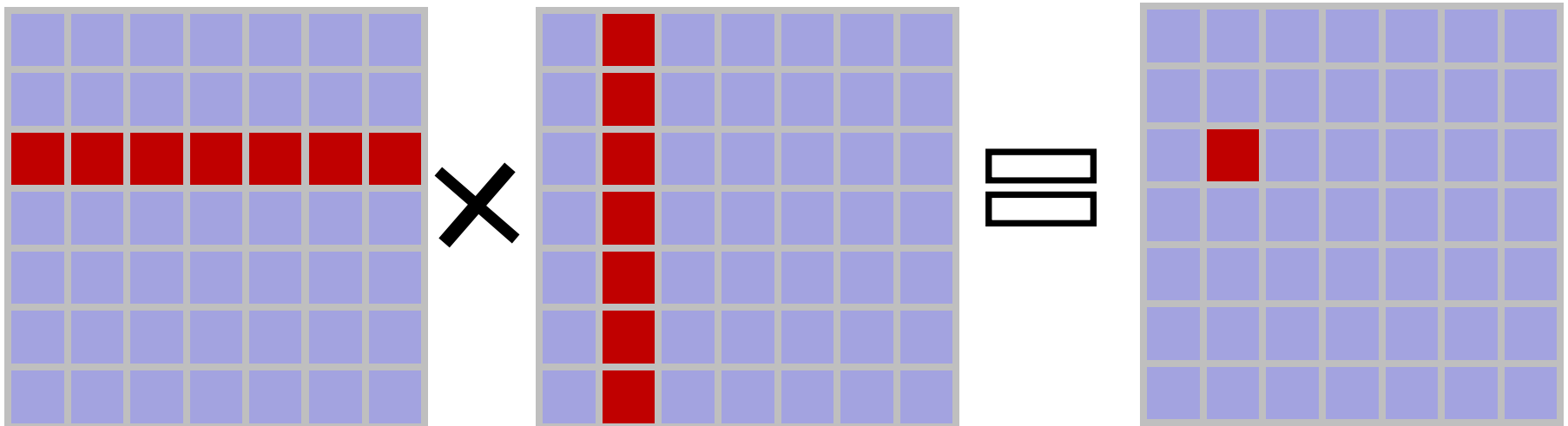
Multiply( $A, B$ )

**for**  $i = 1$  **to**  $n$  **do**

**for**  $j = 1$  **to**  $n$  **do**

$C_{ij} = 0$

**for**  $k = 1$  **to**  $n$  **do**  $C_{ij} += A_{ik} * B_{kj}$



# Matrix Multiplication

---

Multiply( $A, B$ )

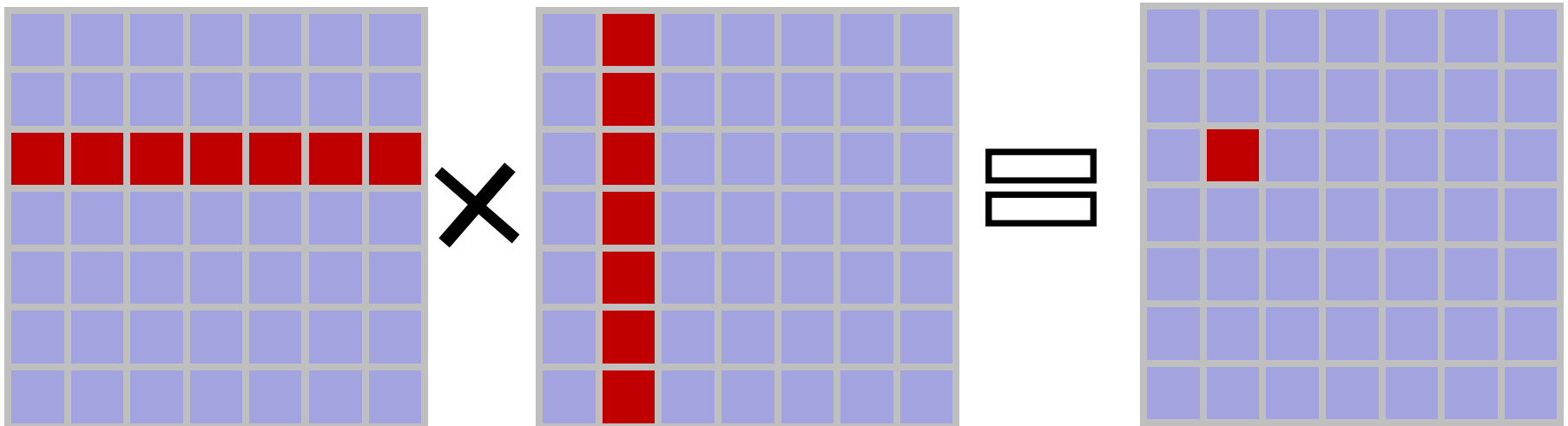
**for**  $i = 1$  **to**  $n$  **do**

**for**  $j = 1$  **to**  $n$  **do**

$C_{ij} = 0$

**for**  $k = 1$  **to**  $n$  **do**  $C_{ij} += A_{ik} * B_{kj}$

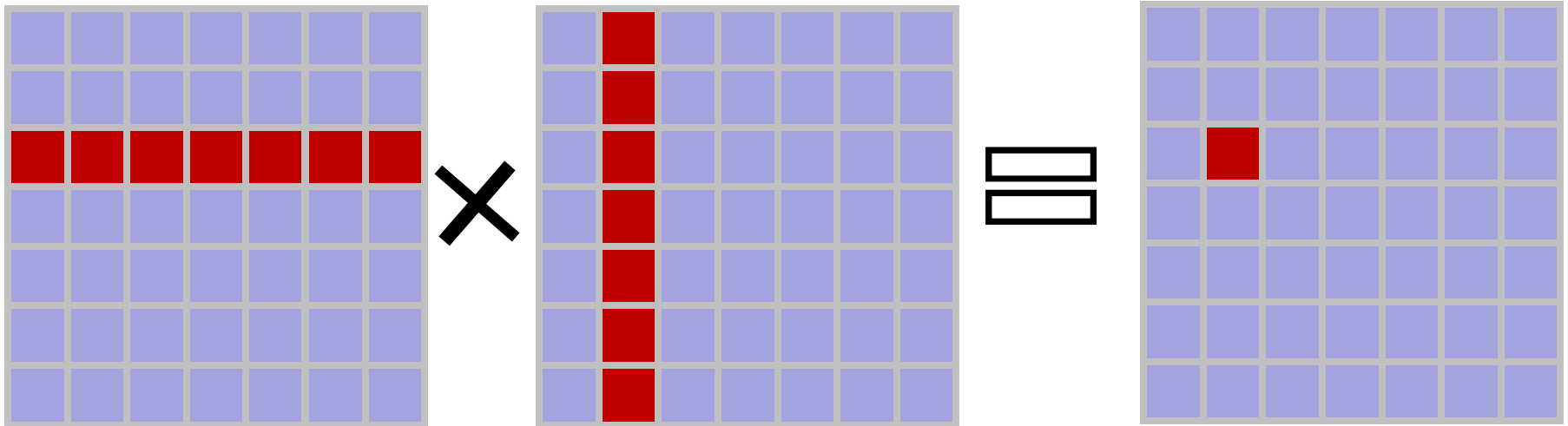
$O(n^3)$



# Matrix Multiplication

---

Ideas for improvement?



# Matrix Multiplication

---

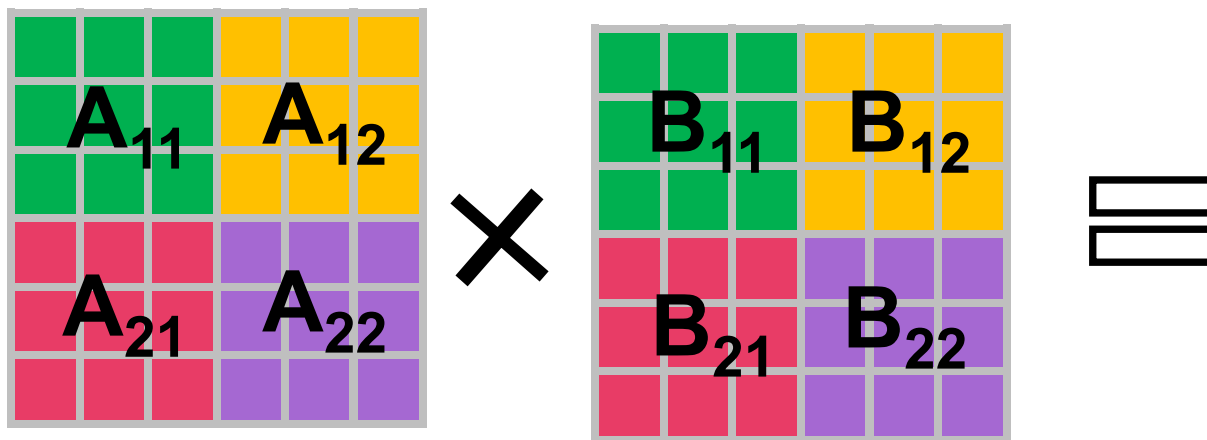
## Divide-and-Conquer

$$C_{11} = A_{11}B_{11} + A_{12}B_{21}$$

$$C_{12} = A_{11}B_{12} + A_{12}B_{22}$$

$$C_{21} = A_{21}B_{11} + A_{22}B_{21}$$

$$C_{22} = A_{21}B_{12} + A_{22}B_{22}$$



# Matrix Multiplication

---

## Divide-and-Conquer

$$C_{11} = A_{11}B_{11} + A_{12}B_{21}$$

$$C_{12} = A_{11}B_{12} + A_{12}B_{22}$$

$$C_{21} = A_{21}B_{11} + A_{22}B_{21}$$

$$C_{22} = A_{21}B_{12} + A_{22}B_{22}$$

$$T(n) = 8T(n/2) + O(n^2)$$



# Substitution Method

---

Solve:

$$T(n) = 8T(n/2) + kn^2$$

Guess:

$$T(n) = n^3 - kn^2$$

# Substitution Method

---

Solve:

$$T(n) = 8T(n/2) + kn^2$$

Guess:

$$T(n) = n^3 - kn^2$$

Test:  $8T(n/2) + kn^2$

$$\begin{aligned} T(n/2) &= (n/2)^3 - k(n/2)^2 \\ &= n^3/8 - kn^2/4 \end{aligned}$$

# Substitution Method

---

Solve:

$$T(n) = 8T(n/2) + kn^2$$

Guess:

$$T(n) = n^3 - kn^2$$

Test:  $8T(n/2) + kn^2$

$$\begin{aligned} T(n/2) &= (n/2)^3 - k(n/2)^2 \\ &= n^3/8 - kn^2/4 \end{aligned}$$

$$\begin{aligned} 8T(n/2) + kn^2 &= 8(n^3/8 - kn^2/4) + kn^2 \\ &= n^3 - 2kn^2 + kn^2 = T(n) \end{aligned}$$

# Matrix Multiplication

---

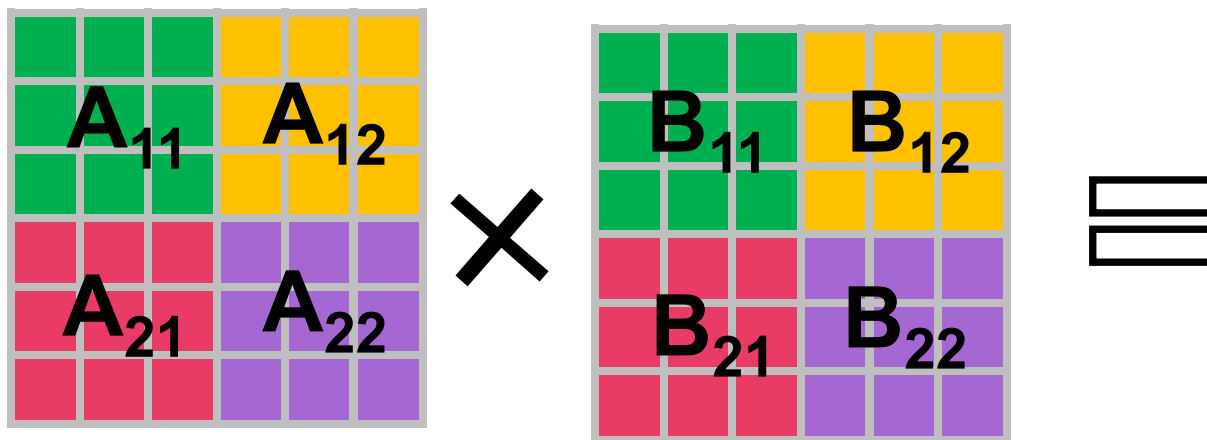
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$$C_{21} = A_{21}B_{11} + A_{22}B_{21}$$

$$C_{22} = A_{21}B_{12} + A_{22}B_{22}$$



# Matrix Magic

---

Define:

$$M_1 = (A_{11} + A_{22})(B_{11} + B_{22})$$

$$M_2 = (A_{21} + A_{22})B_{11}$$

$$M_3 = A_{11}(B_{12} - B_{22})$$

$$M_4 = A_{22}(B_{21} - B_{11})$$

$$M_5 = (A_{11} + A_{12})B_{22}$$

$$M_6 = (A_{21} - A_{11})(B_{11} + B_{12})$$

$$M_7 = (A_{12} - A_{22})(B_{21} + B_{22})$$

Notice: **7** multiplications!!

# Matrix Magic

---

Calculate:

$$C_{11} = M_1 + M_4 - M_5 + M_7$$

$$C_{12} = M_3 + M_5$$

$$C_{21} = M_2 + M_4$$

$$C_{22} = M_1 - M_2 + M_3 + M_6$$

Really!!

Magic!!

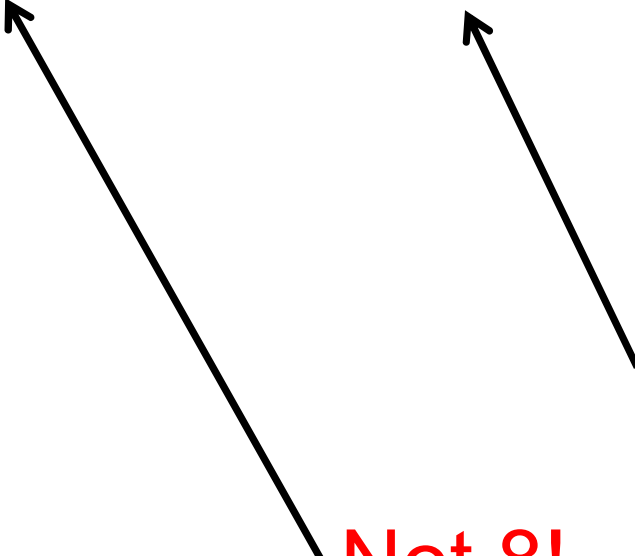
# Matrix Multiplication

---

Strassen's Method:

$$T(n) = 7T(n/2) + \theta(n^2)$$

About 18 matrix  
additions/subtractions

Two black arrows originate from the text blocks. One arrow points from 'About 18 matrix additions/subtractions' to the coefficient '7' in the recurrence relation. The other arrow points from 'Not 8!' to the same coefficient '7'.

Not 8!

# Matrix Multiplication

---

Strassen's Method:

$$T(n) = 7T(n/2) + \theta(n^2)$$

$$T(n) \cong n^{\log(7)} \cong n^{2.81}$$

(Faster when  $N > 32$ , approximately)

Best known to date:

$$T(n) \cong O(n^{2.376})$$

(Theoretical use only.)



# Summary

---

## 1D Peak Finding

- Divide-and-Conquer
- $O(\log n)$  time

## 2D Peak Finding

- Simple algorithms:  $O(n \log m)$
- Careful Divide-and-Conquer:  $O(n + m)$

Matrix multiplication: Strassens Method