

# Outline

- 1 When We Add
- 2 Permutations
- 3 Binomial Coefficients
- 4 Permutations with Repetition
- 5 Compositions**
- 6 Set Partitions
- 7 Integer Partitions
- 8 The Twelvefold Way
- 9 The Pigeonhole Principle
- 10 The Inclusion-Exclusion Principle
- 11 Generating Functions
- 12 Arithmetic Progressions

# Weak Compositions

## Definition 5.1

Let  $a_1, a_2, \dots, a_k$  be nonnegative integers satisfying

$$a_1 + a_2 + \cdots + a_k = n,$$

then the  $k$ -tuple  $(a_1, a_2, \dots, a_k)$  is called a weak composition of  $n$  into  $k$  parts.

## First Bijection

# weak compositions of $n$ into $k$ parts	=	# ways to put $n$ identical balls into $k$ distinct boxes (some boxes may be empty)
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# Weak Compositions

Example: 7 balls into 3 boxes

○ ○ ○	○ ○ ○ ○		$\leftrightarrow$	000100001
○		○ ○ ○ ○ ○ ○	$\leftrightarrow$	011000000
○ ○ ○	○ ○	○ ○	$\leftrightarrow$	000100100

## Second Bijection

# ways to put  $n$  identical  
balls into  $k$  boxes  
(some boxes may be empty)

= # binary sequences of  
length  $n + k - 1$  with exactly  
 $n$  zeroes and  $k - 1$  ones.

## Weak Compositions

### Theorem 5.2

*The # of weak compositions of  $n$  into  $k$  parts is*

$$\binom{n+k-1}{n} = \binom{n+k-1}{k-1}.$$

Proof: Consider the problem of putting  $n$  identical balls into  $k$  boxes. Each distribution is equivalent to a weak composition. We map each distribution to a binary sequence using 0s for balls and 1s for the walls of each box. Ignoring the extreme left and right 1s, this is a bijection to binary sequences of length  $n+k-1$  with exactly  $n$  zeroes and  $k-1$  ones.  $\square$

## Weak Compositions

### Example 5.3

*Recall from example 4.6, the # of weak compositions of 3 into 3 parts is*

$$\binom{3 + 3 - 1}{3} = \binom{5}{2} = 10.$$

## Weak Compositions

### Example 5.4

*There are three types of sandwiches: chicken, ham and egg. If you wish to order 6 sandwiches, how many orders are possible.*

- Assume the shop has enough sandwiches to fulfill any order.
- Weak composition of 6 into 3 parts.
- Answer  $\binom{6+3-1}{3-1} = 28$ .

## Weak Compositions

### Example 5.5

*There are three types of sandwiches: chicken, ham and egg. If you wish to order 6 sandwiches, how many orders are possible given that the shop has only 3 egg sandwiches available.*

Assume the shop has enough chicken and ham sandwiches. We fix # of egg sandwiches from 0 to 3 and count the choices for each case. If  $k$  egg sandwiches are chosen, we have  $\binom{6-k+1}{1}$  ways to choose the remaining two types.

$$\# = \sum_{k=0}^3 \binom{6-k+1}{1} = 4 + 5 + 6 + 7 = 22.$$

## Weak Compositions

### Example 5.6

*Find the # of ways to create a set of 10 letters from the letters A, B, C and D, without restriction on the number of appearances of each letter.*

- This is generally called a multiset
- There is no order within the set
- This is bijective to weak compositions of 10 into 4 parts.
- Answer  $\binom{10+4-1}{4-1} = \binom{13}{3}$ .



# Compositions

## Definition 5.7

*Let  $a_1, a_2, \dots, a_k$  be positive integers satisfying*

$$a_1 + a_2 + \cdots + a_k = n,$$

*then the  $k$ -tuple  $(a_1, a_2, \dots, a_k)$  is called a composition of  $n$  into  $k$  parts.*

# Compositions

## Theorem 5.8

*The # of compositions of  $n$  into  $k$  parts is  $\binom{n-1}{k-1}$ .*

Proof: There is a bijection from the set of weak compositions of  $n - k$  into  $k$  parts to the set of compositions of  $n$  into  $k$  parts, by adding 1 to each part. Hence by the bijection principle, # of compositions is

$$\binom{n - k + k - 1}{k - 1} = \binom{n - 1}{k - 1}.$$



### Example 5.9

*Find the # of integer solutions to*

$$x_1 + x_2 + x_3 + x_4 = 5,$$

*subject to  $x_1 \geq 0$ ,  $x_2 \geq 1$ ,  $x_3 \geq 1$  and  $x_4 \geq 0$ .*

Replacing  $x_2 \mapsto y_2 + 1$  and  $x_3 \mapsto y_3 + 1$ , the equation becomes

$$x_1 + y_2 + y_3 + x_4 = 3,$$

subject to  $x_1 \geq 0$ ,  $y_2 \geq 0$ ,  $y_3 \geq 0$  and  $x_4 \geq 0$ .

# of solutions = # weak compositions =  $\binom{3+4-1}{3} = 20$ .

### Example 5.10

*Find the # of ways to arrange the letters of the word VISITING, if the Is cannot be adjacent.*

Method 1: Arrange the consonants in a line. ( $5!$  ways). There are 6 spaces between the consonants, from which we need to choose 3 to insert  $I$ s. By the product principle,  $\# = 5! \binom{6}{3}$ .

Method 2: Arrange the  $I$ s in a line. (1 way).

$\square / \square / \square / \square$ .

We need to distribute the 5 consonants into the 4 boxes such that the middle two boxes are non-empty. This was computed in the previous example to be  $\binom{6}{3}$  ways. Finally, permute the consonants to arrive at  $\# = 5! \binom{6}{3}$ .

### Example 5.11

*Find the # of compositions of 50 into 4 odd parts.*

By bijection principle, it is equivalent to solving

$$\begin{aligned}(2x_1 + 1) + (2x_2 + 1) + (2x_3 + 1) + (2x_4 + 1) &= 50 \\ \implies x_1 + x_2 + x_3 + x_4 &= 23,\end{aligned}$$

subject to  $x_i \geq 0$ .

Note how we transformed a composition to a weak composition.

# of solutions = # weak compositions =  $\binom{23+4-1}{3}$ .

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# Set Partitions

## Definition 6.1

Let  $n$  and  $k \leq n$  be positive integers. Let  $B = \{B_1, \dots, B_k\}$  be a set where  $B_i \subseteq [n]$ ,  $B_i$  are nonempty and pairwise disjoint, and  $\bigcup_{i=1}^k B_i = [n]$ . Then we say that  $B$  is a partition of  $[n]$  into  $k$  blocks.

## Example 6.2

There are six partitions of  $[4]$  into three blocks,

$$\begin{array}{lll} \{\{1, 2\}, \{3\}, \{4\}\}, & \{\{1, 3\}, \{2\}, \{4\}\}, & \{\{1, 4\}, \{2\}, \{3\}\} \\ \{\{2, 3\}, \{1\}, \{4\}\}, & \{\{2, 4\}, \{1\}, \{3\}\}, & \{\{3, 4\}, \{1\}, \{2\}\} \end{array}$$

# Set Partitions

## Example 6.3

*There are 25 partitions of  $[5]$  into three blocks.*

- Order within the blocks and within  $B$  does not matter
- Block sizes of 3,1,1 or 2,2,1
- $\binom{5}{3} = 10$  ways to form a block of 3 elements
- $\binom{5}{2} \times \binom{3}{2} = 30$  ways to form two blocks of 2 elements but this introduces order to the two blocks.
- By division principle there should be  $30/2 = 15$  ways to form blocks of sizes 2,2,1.



# Set Partitions

## Definition 6.4

*Let  $n$  and  $k \leq n$  be positive integers. Then the # of partitions of  $[n]$  into  $k$  blocks is denoted by  $\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}$  and is called a Stirling number of the second kind.*

- $\left\{ \begin{smallmatrix} 0 \\ 0 \end{smallmatrix} \right\} = 1$
- $\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\} = 0$  if  $k > n$
- $\left\{ \begin{smallmatrix} n \\ 0 \end{smallmatrix} \right\} = 0$  if  $n > 0$
- $\left\{ \begin{smallmatrix} n \\ 1 \end{smallmatrix} \right\} = 1, \left\{ \begin{smallmatrix} n \\ n \end{smallmatrix} \right\} = 1$

Remark: There is also a Stirling number of the first kind.

## Stirling Numbers: Recurrence

### Theorem 6.5

*For all positive integers  $n$  and  $k \leq n$ ,*

$$\left\{ \begin{matrix} n \\ k \end{matrix} \right\} = \left\{ \begin{matrix} n-1 \\ k-1 \end{matrix} \right\} + k \left\{ \begin{matrix} n-1 \\ k \end{matrix} \right\}.$$

Proof: Both sides counts the number of partitions of  $[n]$  into  $k$  blocks. If  $n$  is in a block by itself, then there are  $\left\{ \begin{matrix} n-1 \\ k-1 \end{matrix} \right\}$  ways to partition the remaining  $[n-1]$  into  $k-1$  blocks. If  $n$  does not occur in a single block, we can partition  $[n-1]$  into  $k$  blocks, then there are  $k$  choices to insert  $n$  into one of the blocks.  $\square$

# Stirling Numbers in a triangle

$\{n\}$	$k$						
$n = 0$	1						
$n = 1$	0		1				
$n = 2$	0		1	1			
$n = 3$	0	1	3		1		
$n = 4$	0	1	7	6		1	
$n = 5$	0	1	15	25	10	1	

## Stirling Numbers: More properties

### Example 6.6

*For all positive integers  $n$ ,  $\left\{ \begin{matrix} n \\ 2 \end{matrix} \right\} = 2^{n-1} - 1$ .*

- Let  $X$  be the set of partitions of  $[n]$  into two non-empty blocks.
- Consider the map from binary sequences of length  $n$  to  $X$ :
  - if 0 appears in the  $k$ -th position, put  $k$  into first block,
  - if 1 appears put  $k$  into second block
- We must exclude the sequence of all 0s and that of all 1s.
- This is a 2-to-1 mapping since the blocks are ordered, i.e. associated with 0 or 1.
- $|X| = \frac{2^n - 2}{2} = 2^{n-1} - 1$ .
- If  $n = 1$ ,  $X$  is empty and binary sequences = 0, 1.

## Example 6.6: Alternative

For all positive integers  $n$ ,  $\left\{ \begin{matrix} n \\ 2 \end{matrix} \right\} = 2^{n-1} - 1$ .

- We have  $\left\{ \begin{matrix} n \\ 2 \end{matrix} \right\} = \left\{ \begin{matrix} n-1 \\ 1 \end{matrix} \right\} + 2 \left\{ \begin{matrix} n-1 \\ 2 \end{matrix} \right\}$
- Or  $f(n) = 1 + 2f(n-1)$  for  $n \geq 2$ ,  $f(1) = 0$ ,  $f(2) = 1$ .

$$\begin{aligned} f(n) &= 1 + 2(1 + 2f(n-2)) \\ &= 1 + 2 + 2^2 f(n-2) \\ &= 1 + 2 + 4 + 2^3 f(n-3) \\ &= \dots \\ &= 1 + 2 + 4 + 8 + \dots + 2^{n-2} + 2^{n-1} f(1) \\ &= \frac{2^{n-1} - 1}{2 - 1} \end{aligned}$$

## Stirling Numbers: More properties

### Example 6.7

*For all positive integers  $n$ ,  $\left\{ \begin{matrix} n \\ n-1 \end{matrix} \right\} = \binom{n}{2}$ .*

- If  $n = 1$ , both sides give 0.
- To partition  $[n]$  into  $n - 1$  subsets, one block must have two elements, the remaining are singletons.
- There are  $\binom{n}{2}$  ways to choose the block with two elements, and exactly one way to choose the other elements as singletons.

## Example 6.7: Alternative

For all positive integers  $n$ ,  $\left\{ \begin{matrix} n \\ n-1 \end{matrix} \right\} = \binom{n}{2}$ .

- We have  $\left\{ \begin{matrix} n \\ n-1 \end{matrix} \right\} = \left\{ \begin{matrix} n-1 \\ n-2 \end{matrix} \right\} + (n-1)\left\{ \begin{matrix} n-1 \\ n-1 \end{matrix} \right\}$
- Or  $g(n) = g(n-1) + (n-1)$  for  $n \geq 2$ ,  $g(1) = 0$ ,  $g(2) = 1$ .

Hence

$$\begin{aligned} g(n) &= g(n-1) + (n-1) \\ &= g(n-2) + (n-2) + (n-1) \\ &= \dots \\ &= g(2) + 2 + 3 + \dots + (n-2) + (n-1) \\ &= \frac{n(n-1)}{2} = \binom{n}{2} \end{aligned}$$

# Stirling Numbers: More properties

## Example 6.8

For all positive integers  $n$ ,  $\left\{ \begin{matrix} n \\ n-2 \end{matrix} \right\} = \binom{n}{3} + 3\binom{n}{4}$ .

- If  $n = 1, 2$ , both sides give 0.
  - Check  $n = 3, 4$  case.
  - To partition  $[n]$  into  $n - 2$  subsets, either one block has three elements or two blocks have two each with the remaining singletons.
  - $\binom{n}{3}$  ways to choose the block with three elements
  - $\binom{n}{2} \binom{n-2}{2}$  to choose two blocks with order
- By addition principle,  $\left\{ \begin{matrix} n \\ n-2 \end{matrix} \right\} = \binom{n}{3} + \frac{1}{2} \frac{n!}{2^2(n-4)!} = \binom{n}{3} + 3\binom{n}{4}$ .



## Stirling Numbers: Second Recurrence

### Theorem 6.9

*For all positive integers  $n$  and  $k \leq n$ ,*

$$\left\{ \begin{matrix} n+1 \\ k \end{matrix} \right\} = \sum_{i=0}^n \binom{n}{i} \left\{ \begin{matrix} n-i \\ k-1 \end{matrix} \right\}.$$

Proof: Both sides counts the number of partitions of  $[n+1]$  into  $k$  blocks. RHS counts partitions when  $n+1$  is in a block of size  $i+1$ . There are  $\binom{n}{i}$  ways to pick the other  $i$  elements in this block. The remaining  $n+1 - (i+1) = n-i$  elements can be partitioned into  $k-1$  blocks in  $\left\{ \begin{matrix} n-i \\ k-1 \end{matrix} \right\}$  ways.  $\square$

## Application to finding power sums

### Theorem 6.10

$$x^n = \sum_{k=0}^n \left\{ \begin{matrix} n \\ k \end{matrix} \right\} (x)_{\underline{k}}.$$

### Two Lemmas

$$\begin{aligned} x(x)_{\underline{k}} &= (x)_{\underline{k+1}} + k(x)_{\underline{k}}, \\ n(x)_{\underline{n-1}} &= (x+1)_{\underline{n}} - (x)_{\underline{n}}. \end{aligned}$$

## Application to finding power sums

Proof of Theorem 6.10 by induction:

Base case:  $n = 0$  is true. For general  $n$ , consider  $x^{n+1} = x(x^n)$ ,

$$\begin{aligned}
 x^{n+1} &= x \sum_{k=0}^n \left\{ \begin{matrix} n \\ k \end{matrix} \right\} (x)_{\underline{k}} \\
 &= \sum_{k=0}^n \left\{ \begin{matrix} n \\ k \end{matrix} \right\} (x)_{\underline{k+1}} + \sum_{k=0}^n \left\{ \begin{matrix} n \\ k \end{matrix} \right\} k (x)_{\underline{k}} \quad (\text{Lemma}) \\
 &= \sum_{k=1}^{n+1} \left\{ \begin{matrix} n \\ k-1 \end{matrix} \right\} (x)_{\underline{k}} + \sum_{k=0}^n \left\{ \begin{matrix} n \\ k \end{matrix} \right\} k (x)_{\underline{k}} \\
 &= \sum_{k=1}^n \left\{ \begin{matrix} n+1 \\ k \end{matrix} \right\} (x)_{\underline{k}} + (x)_{\underline{n+1}} + \left\{ \begin{matrix} n \\ 0 \end{matrix} \right\} (x)_{\underline{0}} \quad (\text{Thm 6.5}) \\
 &= \sum_{k=0}^{n+1} \left\{ \begin{matrix} n+1 \\ k \end{matrix} \right\} (x)_{\underline{k}}. \quad \square
 \end{aligned}$$

## Application to finding power sums

### Example 6.11

$$\sum_{x=0}^n x = \frac{1}{2}n(n+1).$$

$$\begin{aligned} x^1 &= (x)_1 = \frac{1}{2} ((x+1)_2 - (x)_2) \quad (\text{Lemma}) \\ \Rightarrow \sum_{x=0}^n x &= \frac{1}{2} \sum_{x=0}^n ((x+1)_2 - (x)_2) \\ &= \frac{1}{2} (n+1)_2. \end{aligned}$$

# Application to finding power sums

## Example 6.12

$$\sum_{x=0}^n x^2 = \frac{1}{3}(n+1)_{\underline{3}} + \frac{1}{2}(n+1)_{\underline{2}}$$

$$\begin{aligned} x^2 &= \left\{ \begin{matrix} 2 \\ 2 \end{matrix} \right\} (x)_{\underline{2}} + \left\{ \begin{matrix} 2 \\ 1 \end{matrix} \right\} (x)_{\underline{1}} \\ \implies \sum_{x=0}^n x^2 &= \frac{1}{3} \sum_{x=0}^n ((x+1)_{\underline{3}} - (x)_{\underline{3}}) + \frac{1}{2} \sum_{x=0}^n ((x+1)_{\underline{2}} - (x)_{\underline{2}}) . \end{aligned}$$

# Application to finding power sums

## Theorem 6.13

$$\sum_{x=0}^m x^n = \sum_{k=0}^n \frac{1}{k+1} \left\{ \begin{matrix} n \\ k \end{matrix} \right\} (m+1)_{\underline{k+1}}.$$

Proof.

$$\begin{aligned} \sum_{x=0}^m x^n &= \sum_{x=0}^m \sum_{k=0}^n \left\{ \begin{matrix} n \\ k \end{matrix} \right\} (x)_{\underline{k}} \\ &= \sum_{k=0}^n \sum_{x=0}^m \left\{ \begin{matrix} n \\ k \end{matrix} \right\} \frac{1}{k+1} ((x+1)_{\underline{k+1}} - (x)_{\underline{k+1}}). \quad \square \end{aligned}$$

# Bell Numbers

## Definition 6.14

*The number of partitions of  $[n]$  is denoted by  $B(n)$  and is called a Bell number.*

$$B(n) = \sum_{k=0}^n \left\{ \begin{matrix} n \\ k \end{matrix} \right\}.$$

$n$	0	1	2	3	4	5	6	7	8
$B(n)$	1	1	2	5	15	52	203	877	4140

# Bell Numbers

## Theorem 6.15

$$B(n+1) = \sum_{k=0}^n B(k) \binom{n}{k}.$$

Proof:

- LHS counts # of partitions of  $[n+1]$
- Element  $n+1$  may be in the same block with  $n-k$  other elements and there are  $\binom{n}{n-k} = \binom{n}{k}$  ways
- The remaining  $k$  elements can be partitioned in  $B(k)$  ways.  $\square$



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# Integer Partitions

## Definition 7.1

*If a finite sequence  $(a_1, a_2, \dots, a_k)$  of positive integers satisfies  $a_1 \geq a_2 \geq \dots \geq a_k \geq 1$  and  $a_1 + a_2 + \dots + a_k = n$ , then we say that the sequence is a partition of the integer  $n$  into  $k$  parts.*

The partitions of 3 are:

$$3 \begin{array}{|c|c|c|} \hline & & \\ \hline \end{array}$$

$$2+1 \begin{array}{|c|c|} \hline & \\ \hline & \\ \hline \end{array}$$

$$1+1+1 \begin{array}{|c|} \hline \\ \hline \\ \hline \\ \hline \end{array}$$

Note the difference between integer partitions, set partitions and compositions.

# Integer Partitions

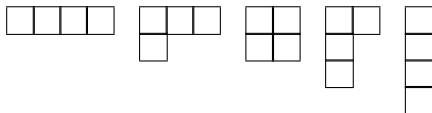
## Definition 7.2

We use  $p(n, k)$  to denote # of partitions of  $n$  into  $k$  parts and  $p(n)$  to denote # of partitions of  $n$  in general

For example  $p(4) = 5$ ,  $p(4, 2) = 2$  and  $p(4, i) = 1$  for  $i = 1, 3, 4$ .

$$4 = 3 + 1 = 2 + 2 = 2 + 1 + 1 = 1 + 1 + 1 + 1.$$

With Ferrers diagrams



Values of  $p(n)$  for  $n = 1 \dots 20$

$n$	$p(n)$	$n$	$p(n)$	$n$	$p(n)$	$n$	$p(n)$	$n$	$p(n)$
1	1	2	2	3	3	4	5	5	7
6	11	7	15	8	22	9	30	10	42
11	56	12	77	13	101	14	135	15	176
16	231	17	297	18	385	19	490	20	627



MacMahon



Ramanujan

Formula for  $p(n)$ ?

## Hardy-Ramanujan-Rademacher

$$p(n) = \frac{1}{\pi\sqrt{2}} \sum_{k=1}^{\infty} A_k(n) k^{\frac{1}{2}} \left[ \frac{d}{dx} \frac{\sinh\left(\frac{\pi}{k} \left(\frac{2}{3}\left(x - \frac{1}{24}\right)\right)^{\frac{1}{2}}\right)}{\left(x - \frac{1}{24}\right)^{\frac{1}{2}}} \right]_{x=n},$$

$$A_k(n) = \sum_{\substack{h \bmod k \\ (h,k)=1}} \omega_{h,k} \exp\left(-2\pi i \frac{nh}{k}\right), \quad \omega_{h,k}^{24} = 1$$

First eight terms give

$$p(200) = 3,972,999,029,388.004.$$

# Conjugate Partitions

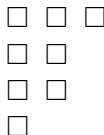
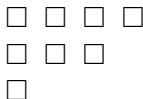
## Definition 7.3

*The conjugate of a partition can be obtained by reflecting the Ferrers diagram through the main diagonal.*

## Example 7.4

*$(4, 3, 1)$  and  $(3, 2, 2, 1)$  are conjugates*

Ferrers diagrams:



## Conjugate Partitions

### Theorem 7.5

*The # of partitions of  $n$  with at least  $k$  parts is equal to # of partitions of  $n$  in which the largest part is at least  $k$ .*

### Example 7.6

*Partitions of 5:*

$$5 = 4+1 = 3+2 = 3+1+1 = 2+2+1 = 2+1+1+1 = 1+1+1+1+1.$$

- partitions of 5 with at least 3 parts = 4
- partitions of 5 with largest part  $\geq 3$  = 4

# Conjugate Partitions

## Theorem 7.7

*The # of partitions of  $n$  where the first two parts are equal is equal to # of partitions of  $n$  in which each part is at least 2.*

Proof: The first two parts of a partition are equal if the first two rows of its Ferrers diagram has the same # of boxes. On the other hand, each part is at least 2 if the first two columns of its Ferrers diagram has the same # of boxes. Taking conjugate establishes a bijection between the two classes of partitions.  $\square$



## Franklin's bijection

### Theorem 7.8

Let  $m > k \geq 1$ . Define

- 1)  $S$ : partitions of  $n$  into  $m$  parts, with smallest part  $= k$
- 2)  $T$ : partitions of  $n$  into  $m - 1$  parts, with  $k$ -th part larger than  $(k + 1)$ -th part and the smallest part is at least  $k$ .

Then  $|S| = |T|$ .

Note: if  $k = m - 1$ , then  $(k + 1)$ -th part in  $T$  is 0. In this case, we need the additional condition that the  $k$ -th part is larger than  $k$ .

### Example 7.9

Let  $n = 10, m = 2$  and  $k = 1$ . Then  $S = \{(9, 1)\}$  and  $T = \{(10)\}$ .

## Franklin's bijection

### Example 7.10

*Let  $n = 16$ ,  $m = 5$  and  $k = 3$ . Then  $S = \{(4, 3, 3, 3, 3)\}$  and  $T = \{(5, 4, 4, 3)\}$ .*

### Example 7.11

*Let  $n = 10$ ,  $m = 4$  and  $k = 2$ . Then  $S = \{(4, 2, 2, 2), (3, 3, 2, 2)\}$  and  $T = \{(5, 3, 2), (4, 4, 2)\}$ .*

### Example 7.12

*Let  $n = 10$ ,  $m = 3$  and  $k = 2$ . Then  $S = \{(6, 2, 2), (5, 3, 2), (4, 4, 2)\}$  and  $T = \{(7, 3), (6, 4), (5, 5)\}$ .*

## Franklin's bijection

Proof of Theorem 7.8: Define a bijection  $f : S \mapsto T$  by removing the last row of the Ferrers diagram and distributing the  $k$  boxes into each of the first  $k$  rows.

Check well defined: If  $s \in S$ ,

- i)  $f(s)$  is a partition of  $n$
- ii)  $f(s)$  has  $m - 1$  parts since  $s$  has  $m$  parts
- iii)  $f(s)$  has  $k$ -th part larger than  $(k + 1)$ -th part
- iv)  $f(s)$  has smallest part  $\geq k$   
 $\implies f(s) \in T$ .

Check bijection: Do this by showing that an inverse of  $f$  exists. Let  $t \in T$ , we remove the last box from the first  $k$  rows and add this as the last row to get a partition in  $S$ . Since smallest part of  $t$  is at least  $k$ , we can add a row of  $k$  to get a partition with  $m$  parts and smallest part  $= k$ . The condition  $k$ -th part is larger than  $(k + 1)$ -th part ensures the partition is non-decreasing.  $\square$

# Euler's Pentagonal Number Theorem

## Definition 7.13

Let  $p_{d,e}(n)$  and  $p_{d,o}(n)$  denote respectively, the # of partitions of  $n$  into an even (resp. odd) # of parts, where each part is distinct.

## Example 7.14

$n$	1	2	3	4	5	6	7
$p_{d,e}(n)$	0	0	1	1	2	2	3
$p_{d,o}(n)$	1	1	1	1	1	2	2

$p_{d,e}(5)$  counts  $\{(4, 1), (3, 2)\}$  while  $p_{d,o}(5)$  counts  $\{(5)\}$

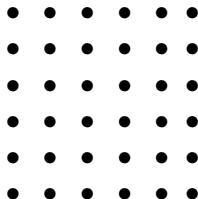
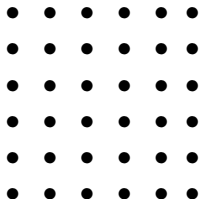
$p_{d,e}(6)$  counts  $\{(5, 1), (4, 2)\}$  while  $p_{d,o}(6)$  counts  $\{(3, 2, 1), (6)\}$

$p_{d,e}(7)$  counts  $\{(6, 1), (5, 2), (4, 3)\}$

while  $p_{d,o}(7)$  counts  $\{(4, 2, 1), (7)\}$

# Triangular, Square and Pentagonal Numbers

$n$	1	2	3	4	5	6	7	
$\frac{n^2+n}{2}$	1	3	6	10	15	21	28	
$n^2$	1	4	9	16	25	36	49	
$\frac{3n^2-n}{2}$	1	5	12	22	35	51	70	



# Euler's Pentagonal Number Theorem

## Theorem 7.15

*Let  $n$  be a positive integer, then*

$$p_{d,e}(n) - p_{d,o}(n) = \begin{cases} (-1)^j & \text{if } n = \frac{3j^2 \pm j}{2} \\ 0 & \text{otherwise.} \end{cases}$$

## Example 7.16

- $12 = \frac{1}{2}(3(3)^2 - 3)$
- $p_{d,e}(12) = 7$  counts  
 $\{(6, 3, 2, 1), (5, 4, 2, 1), (11, 1), (10, 2), (9, 3), (8, 4), (7, 5)\}$
- $p_{d,o}(12) = 8$  counts  $\{(9, 2, 1),$   
 $(8, 3, 1), (7, 4, 1), (7, 3, 2), (6, 5, 1), (6, 4, 2), (5, 4, 3), (12)\}$

# Euler's Pentagonal Number Theorem

## Definition 7.17

Let  $D$  be the partitions of  $n$  into  $m$  distinct parts. If  $\lambda \in D$ ,  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_m)$ ,  $\lambda_i > \lambda_j$  if  $i < j$ .

Define  $\text{last}(\lambda) = \lambda_m$ , the last part of  $\lambda$   
and  $\text{stair}(\lambda) = s$  to be largest integer such that  $\lambda_1, \lambda_2, \dots, \lambda_s$  is consecutive.

## Example 7.18

$\lambda = (8, 7, 6, 2)$  has  $\text{stair}(\lambda) = 3$  and  $\text{last}(\lambda) = 2$

$\mu = (5, 3, 2)$  has  $\text{stair}(\mu) = 1$  and  $\text{last}(\mu) = 2$

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# Euler's Pentagonal Number Theorem

## Theorem 7.19

*Let  $n$  be a positive integer, then*

$$p_{d,e}(n) - p_{d,o}(n) = \begin{cases} (-1)^j & \text{if } n = \frac{3j^2 \pm j}{2} \\ 0 & \text{otherwise.} \end{cases}$$

Proof: (Idea)

- Let  $D = D_e \cup D_o$  be the partitions of  $n$  into distinct parts, where  $|D_e| = p_{d,e}(n)$  and  $|D_o| = p_{d,o}(n)$ .
- Define  $F : D_e \rightarrow D_o$
- For  $n$  not pentagonal number, this is a bijection.
- For  $n$  pentagonal, there is exactly one partition that is not mapped.



Proof (cont'd) : Let  $\lambda \in D_e$ .

Case:  $t = \text{last}(\lambda) \leq \text{stair}(\lambda)$ :

- Define  $F(\lambda)$  by removing the smallest part and adding a box to the first  $t$  rows.
- This is Franklin's bijection (Theorem 7.8)
- $F$  changes the number of parts from even to odd but parts remain distinct.

Case:  $\text{last}(\lambda) > \text{stair}(\lambda) = s$ :

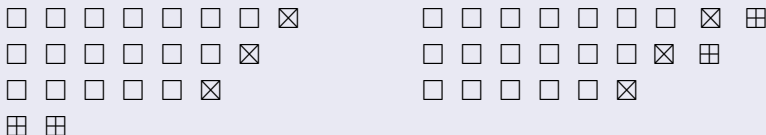
- Define  $F(\lambda)$  by removing removing a box from the first  $s$  rows and using these  $s$  boxes to create a new smallest part
- This is the inverse of Franklin's bijection
- $F$  changes the number of parts from even to odd but parts remain distinct.

Hence  $F : D_e \rightarrow D_o$  is well-defined with one exception.

Case:  $t = \text{last}(\lambda) \leq \text{stair}(\lambda)$ :

- Define  $F(\lambda)$  by removing the smallest part and adding a box to the first  $t$  rows.
- This is Franklin's bijection (Theorem 7.8)
- $F$  changes the number of parts from even to odd but parts remain distinct.

Example:  $\lambda = (8, 7, 6, 2)$ ,  $F(\lambda) = (9, 8, 6)$



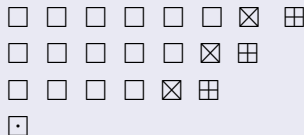
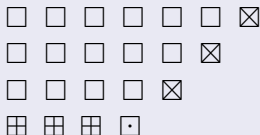
Important to check: now  $\text{stair}(F(\lambda)) = \text{last}(\lambda)$ .

$$\implies \text{stair}(F(\lambda)) < \text{last}(F(\lambda))$$

Exception:  $last(\lambda) = stair(\lambda) = m$ :

- $F(\lambda) \notin D_o$
- $\lambda = (2m - 1, 2m - 2, \dots, m)$
- $n = \frac{1}{2}(2m - 1 + m)(m)$ , pentagonal number,  $m$  even

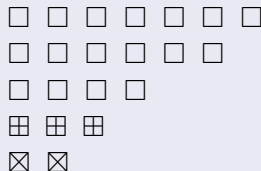
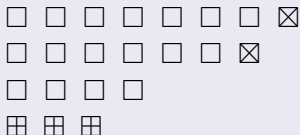
Example:  $\lambda = (7, 6, 5, 4)$ ,  $F(\lambda) = (8, 7, 6, 1)$



Case:  $\text{last}(\lambda) > \text{stair}(\lambda) = s$ :

- Define  $F(\lambda)$  by removing removing a box from the first  $s$  rows and using these  $s$  boxes to create a new smallest part
- This is the inverse of Franklin's bijection
- $F$  changes the number of parts from even to odd but parts remain distinct.

Example:  $\lambda = (8, 7, 4, 3)$ ,  $F(\lambda) = (7, 6, 4, 3, 2)$



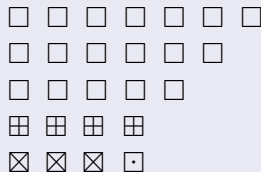
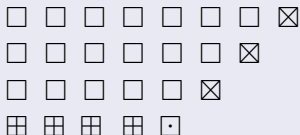
Important to check: now  $\text{last}(F(\lambda)) = \text{stair}(\lambda)$ .

$$\implies \text{last}(F(\lambda)) \leq \text{stair}(F(\lambda))$$

Exception:  $last(\lambda) = stair(\lambda) + 1$ :

- $F(\lambda) \notin D_o$
- $stair(\lambda) = m$  and  $last(\lambda) = m + 1$
- $\lambda = (2m, 2m - 1, \dots, m + 1)$
- $n = \frac{1}{2}(2m + m + 1)(m)$ , pentagonal number,  $m$  even

Example:  $\lambda = (8, 7, 6, 5)$ ,  $F(\lambda) = (7, 6, 5, 4, 4)$



Summary  $F : D_e \rightarrow D_o$

- 1 is well-defined except when  $n = \frac{m(3m+1)}{2}$ , where  $m$  is the number of parts (even). In this case, there is one  $\lambda$  that fails.
- 2 is onto.
  - If  $last(\lambda) \leq stair(\lambda)$  then  $last(F(\lambda)) > stair(F(\lambda))$
  - If  $last(\lambda) > stair(\lambda)$  then  $last(F(\lambda)) \leq stair(F(\lambda))$
  - Hence (with one exception) for every  $\mu \in D_o$ , we can find  $\lambda \in D_e$  such that  $F(\lambda) = \mu$ . Thus  $F$  is onto.
  - Exception occurs when  $n = \frac{m(3m+1)}{2}$ , where  $m$  is the number of parts (odd). In this case, there is one  $\lambda$  that fails.
- 3 is 1-to-1.

## Conclusion

$$|D_e| = |D_o| + 1 \text{ if } n = \frac{m(3m+1)}{2}, m \text{ even.}$$

$$|D_e| + 1 = |D_o| \text{ if } n = \frac{m(3m+1)}{2}, m \text{ odd.}$$

$$|D_e| = |D_o| \text{ otherwise. } \square$$