

# Images Classification with Estimated Depth Map \*

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## Abstract

*We consider the problem of doing image classification using estimated depth information. This problem clearly falls into the domain of transfer learning, since we are using a model trained on a set of depth images in order to generate depth maps (additional features) for use in another classification problem using another disjoint set of images. It is a challenging task as no direct depth information is provided. Previous related research efforts have been focused on image classification tasks using RGB images and depth image estimation but none have attempted to use depth image estimations in order to aid image classification over RGB images. Therefore, in this paper we present a way of transferring domain knowledge on depth estimation to a separate image classification task over a disjoint set of training, validation and test data. To our knowledge, we are the first to bridge gap between image classification and depth estimation.*

*Specifically, we attempt to implement the recent work by Fayao Liu et al. [1], build a RGBD dataset and do image classification on the RGBD dataset we built and then compare the performance of both a simple feedforward neural network and a multi-layer convolutional neural network of the RGBD dataset compared to the RGB dataset. Our project code, models, and example results are available on github: [github.com/yihui-he/Depth-estimation-with-neural-network](https://github.com/yihui-he/Depth-estimation-with-neural-network) [github.com/netzo92/cs291k-FP](https://github.com/netzo92/cs291k-FP)*

## 1. Introduction

Estimating depths from a single monocular image depicting general scenes is a fundamental problem in computer vision, which has widespread applications in scene understanding, 3D modeling, robotics, and other challenging problems. It is a notorious example of an ill-posed prob-

lem, as one captured image may correspond to numerous real world scenes[2]. It remains a challenging task for computer vision algorithms as no reliable cues can be exploited, such as temporal information, stereo correspondences, *etc.* Previous research involving depth-maps usually involve geometric[3]–[5] approach or CNN[1] approach, and Semantic labeling[6] with depth information. Nevertheless, all these works didn't try to do recognition with depth map.

Different from previous efforts, we propose to put depth map into practice on classification task. While extensively studied in semantic labeling and accuracy improvement, depth map regression has been less explored for classification problems.

Recently, the efficacy and power of the deep convolutional neural network (CNN) has been harnessed. With a CNN, we are able to perform depth estimation on a single image[1]. However, most classification tasks still perform on RGB images. With only RGB images, CNN features have been setting new records for a wide variety of vision applications[7]. Despite all the successes in depth estimation and image classification, the deep CNN has been not yet been used for learning on RGBD images, since RGBD datasets are not as widely-used as RGB datasets. To our knowledge, we are the first to bridge gap between depth estimation and image classification.

To sum up, we highlight the main contributions of this work as follows:

- We implemented a deep convolutional neural field on depth estimation problem and obtained similar results
- We created the first RGBD image dataset for CIFAR10.
- We define a new metric for ill-posed depth prediction problem.
- We prove that depth channel has a better feature representation than R,G,B channels, and show that training on RGBD images can somehow improve accuracy.

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## 2. Related Work

Convolutional networks have been applied with great success for object classification and detection. ConvNets have recently been applied to a variety of other tasks, like depth estimation. Depth estimation from single image is well addressed by Liu *et al.* [1] and Eigen *et al.* [8]. They both agree that depth estimation is an ill posed problem, since there's no real ground truth depth map. By contrast, we define transfer learning accuracy metric for depth estimation model. It becomes easier to compare performance of different depth estimation model.

Depth map has been successfully applied to some problems. based on depth information, performance improvement on semantic labeling[8] has been seen. however, depth map hasn't been combined with classification task. to our knowledge, we are the first to bridge gap between depth estimation and image classification.

our work builds upon state-of-the-art depth estimation model[1] which is a two loss neural network. we build RGBD dataset, and investigate the quality the depth maps more deeply. moreover, we improve accuracy of image classification task with depth map.

## 3. Deep Convolutional Neural Field

We present the details of deep convolutional neural field model we used for depth estimation in this section.

### 3.1. Theory and Architecture

The goal here is to infer the depth of each pixel in a single image depicting general scenes. we make the common assumption that an image is composed of small homogeneous regions (superpixels). Let  $x$  be an image and  $y = [y_1, \dots, y_n]^T \in R^n$  be a vector of continuous depth values corresponding to all  $n$  superpixels in  $x$ . We model the conditional probability as softmax:

$$Pr(y|x) = \frac{\exp(-E(y, x))}{\sum_i \exp(E(y_i, x))} \quad (1)$$

where  $E$  is energy function. To predict the depths of a new image, we solve the maximum a posteriori (MAP) inference problem:

$$y^* = \arg \max_y Pr(y|x). \quad (2)$$

We formulate the energy function as a typical combination of unary potentials  $U$  and pairwise potentials  $V$  over the nodes (superpixels)  $N$  and edges  $S$  of the image  $x$ :

$$E(y, x) = \sum_{p \in N} U(y_p, x) + \sum_{(p, q) \in S} V(y_p, y_q, x). \quad (3)$$

The unary term  $U$  aims to regress the depth value from a single superpixel. The pairwise term  $V$  encourages neighboring superpixels with similar appearances to take similar

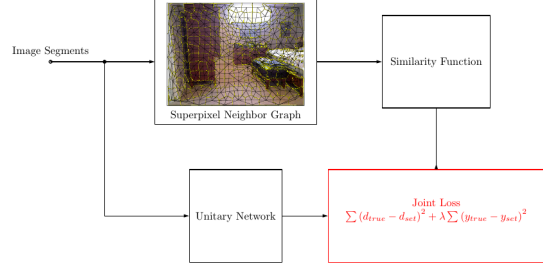


Figure 1. Deep Convolutional Neural Field model

depths. We aim to jointly learn  $U$  and  $V$  in a unified CNN framework. In Figure.1, we show a sketch of our deep convolutional neural field model for depth estimation. As we can see, the whole network is composed of a unary part, a pairwise part and a CRF loss layer.

For an input image, which has been segmented into  $n$  superpixels, we consider image patches centered around each superpixel centroid. The unary part then takes all the image patches as input and feed each of them to a CNN and output an  $n$ -dimensional vector containing regressed depth values of the  $n$  superpixels. The network for the unary part is composed of 5 convolutional and 4 fully-connected layers with details in Figure.2.

Kindly note that the CNN parameters are shared across all the superpixels. The pairwise part takes similarity vectors (each with  $K$  components) of all neighboring superpixel pairs as input and feed each of them to a fully-connected layer (parameters are shared among different pairs), then output a vector containing all the 1-dimensional similarities for each of the neighboring superpixel pair. The CRF loss layer takes as input the outputs from the unary and the pairwise parts to minimize the negative log-likelihood.

#### 3.1.1 Unary part

The unary potential is constructed from the output of a CNN by considering the least square loss:

$$U(y_p, x; \theta) = (y_p - \hat{y}_p(\theta))^2, \quad \forall p = 1, \dots, n. \quad (4)$$

Here  $\hat{y}_p$  is the regressed depth of the superpixel  $p$  parametrized by the CNN parameters  $\theta$ . The network architecture for the unary part is depicted in Figure.2. It is composed of 5 convolutional layers and 4 fully connected layers. The input image is first segmented into superpixels, then for each superpixel, we consider the image patch centered around its centroid. Each of the image patches is resized to 224x224 pixels and then fed to the convolutional neural network. Note that the convolutional and the fully-connected layers are shared across all the image patches of different superpixels.

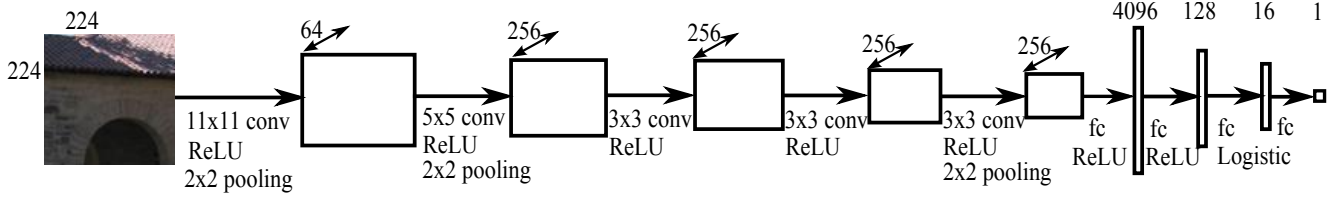


Figure 2. unary part of Deep Convolutional Neural Field model

### 3.1.2 Pairwise part

We construct the pairwise potential from 3 types of similarity observations: color difference, color histogram difference and texture disparity[9]. Each of them enforces smoothness by exploiting consistency information of neighbouring superpixels:

$$V(y_p, y_q, x; \beta) = \sum_{k=1}^K \beta_k S_{pq}^{(k)} (y_p - y_q)^2, \quad \forall p, q = 1, \dots, n. \quad (5)$$

Here,  $K = 3$  in our case.  $S_{pq}$  is similarity of two neighbor superpixels  $p$  and  $q$ .  $\beta$  is trainable parameters, so we can let CNN decide which similarity is more important.

### 3.2. Implementation Details

We implement the network training on Make3D[10] dataset with Tensorflow[11]. Make3D dataset contains more outdoor scenes, which makes it easier for us to transfer learning onto the CIFAR10 dataset. During each SGD iteration, around 700 superpixel image patches are processed. Our implementation differs from the original implementation[1], Since we have enough memory, we feed 700 superpixel image patches into memory at once. Other parts of implementation are similar.

During implementation, we initialize the first 6 layers of the unary part in Figure.2 using a CNN model trained on the ImageNet from[12]. First, we do not back propagate through the previous 6 layers by keeping them fixed and train the rest of the network with momentum 0.9, learning rate 0.0001, and weight decay 0.0005. Then we train the whole network with the same momentum and weight decay.

### 3.3. Experiment

We measure our performance on Make3D dataset and compare our result with Liu *et al.* [1] as a sanity check.

The Make3D dataset contains 534 images depicting outdoor scenes. As pointed out in [13], this dataset is with limitations: the maximum value of depths is 81m with far objects are all mapped to the one distance of 81 meters. As a remedy, two criteria are used to report the prediction error (C1) Errors are calculated only in the regions with the ground-truth depth less than 70 meters; (C2) Errors are calculated over the entire image. We follow this protocol. Performance is shown in Table1. You can see that our model

| Method             | Error(C1)<br>(lower is better) |              |             | Error(C2)<br>(lower is better) |              |              |
|--------------------|--------------------------------|--------------|-------------|--------------------------------|--------------|--------------|
|                    | rel                            | log10        | rms         | rel                            | log10        | rms          |
| Our implementation | 0.335                          | 0.137        | 9.49        | 0.338                          | 0.134        | 14.60        |
| Original paper     | <b>0.314</b>                   | <b>0.119</b> | <b>8.60</b> | <b>0.307</b>                   | <b>0.125</b> | <b>12.89</b> |

Table 1. Sanity check (**Bold** is better)

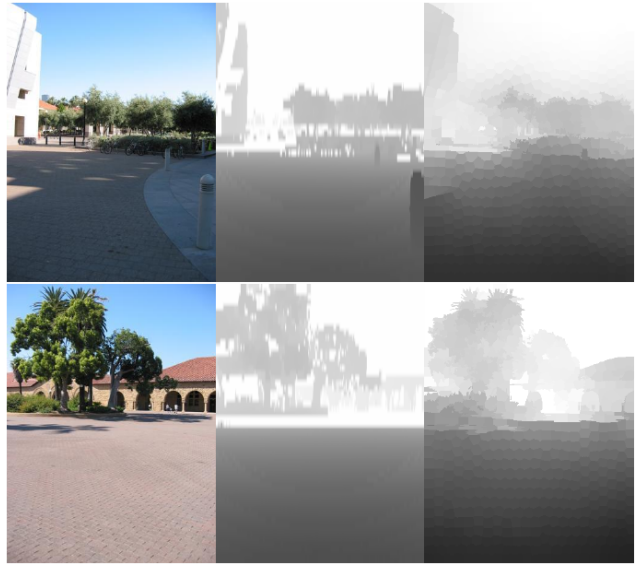


Figure 3. original image, ground truth, depth estimation(from left to right)

achieve pretty close result, which allows us do further research on depth map.

In Figure3 we also show depth maps our model learned.

### 4. RGBD Image for Classification

Recent depth image research works mainly focus on depth-estimation[1] and segmentation with depth image[8]. And we've witnessed significant improvement on depth estimation accuracy in these years. However, most image classification tasks nowadays are still performed on RGB images. So we want to transfer depth knowledge learned by depth estimation model into our image-classification model. In this section, we first built a RGBD imageset for CIFAR10[14], based on a trained deep convolutional neural field model in the previous section. To investigate the effect of the depth channel on image classi-

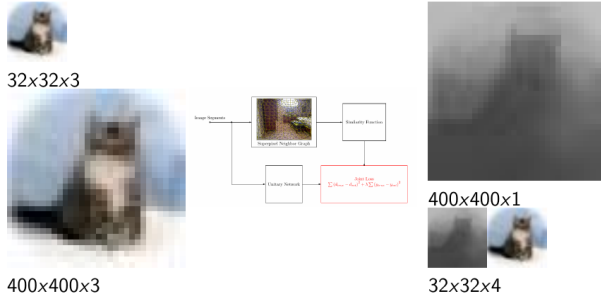


Figure 4. Transer Learning: Build RGBD CIFAR10 dataset

fication task, we design two experiments (one with a simple feedforward NN and one with a CNN) Finally, we propose a new metric for depth estimation performance measurement.

#### 4.1. Build RGBD CIFAR10 Dataset

Since the Deep Convolutional Neural Field model accepts images that are much larger than CIFAR10 tiny images (32x32), we build RGBD dataset as follows:

1. resize CIFAR10 tiny image (32x32x3) to normal size (400x400x3) in order to feed in CNF.
2. perform depth estimation on normal size image.
3. downscale the output image (depth image, 400x400x1) back to tiny image (32x32x1).
4. combine RGB and D channels together as our RGBD image (32x32x4).

Figure 4 shows the transfer learning procedure. Since there is no ground truth depth image for CIFAR10 dataset, we can't directly measure the accuracy of our depth estimation attempts for these tiny images. However, we can infer this indirectly in two ways. First we can look at these depth images and make sure that most of them is reasonable. Figure 5 shows some depth maps. Second, we can use the accuracy results of our two experiments as a new metric to quantify depth map quality.

#### 4.2. Classification Task on RGBD CIFAR10

In order to make it easier to show the effect of the depth channel, we employ a simple two-layer neural network for classification task. The architecture for learning on the RGBD dataset is shown in Figure 6. The number of neurons in the input layer depends on the input. If the input is a single channel (R, G, B, D), we have 32x32 neurons. The amount of hidden neurons is not determined. We perform fine-tuning for each situation. The number of output neurons is always the number of classes (10 classes for CIFAR10). Technical details of our architecture are shown in Table 2. Hyperparameters not discussed here will be fine-tuned.

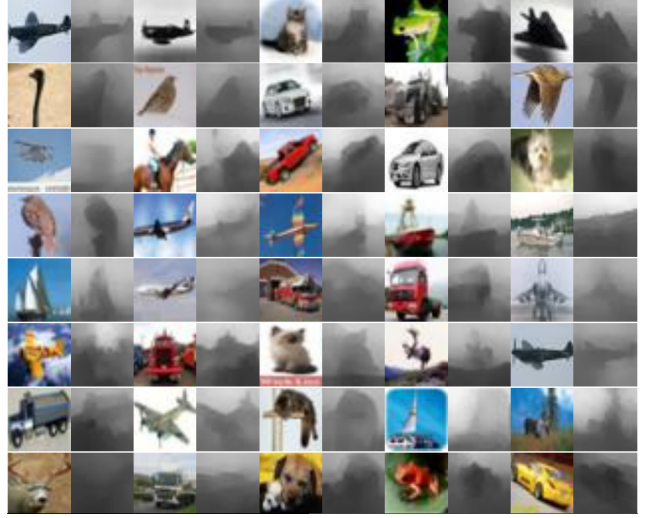


Figure 5. Depth map estimated by deep convolutional neural field

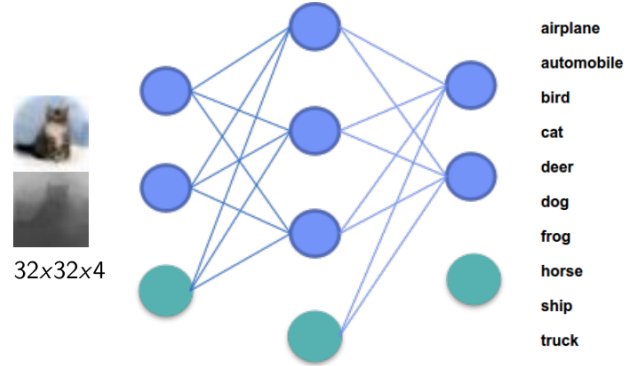


Figure 6. Learning on RGBD dataset

| regularization | Activation | Update   | batch |
|----------------|------------|----------|-------|
| Dropout        | ReLU       | Momentum | 128   |

Table 2. Architecture details for classification task on our new RGBD dataset

#### 4.3. Experiment

We measure depth map quality in two ways. First, we train a neural network on R, G, B, D channels as input respectively. And compare their loss and accuracy. Second, we train a neural network on RGB, RGBD respectively. And compare their loss and accuracy.

##### 4.3.1 R vs G vs B vs D

We perform fine-tuning on each channel. So that their performances are approximately optimal. Figure 7 shows training accuracy comparison through time. Figure 8 shows validation accuracy comparison through time.

You can see that, at testing time, depth channel outper-

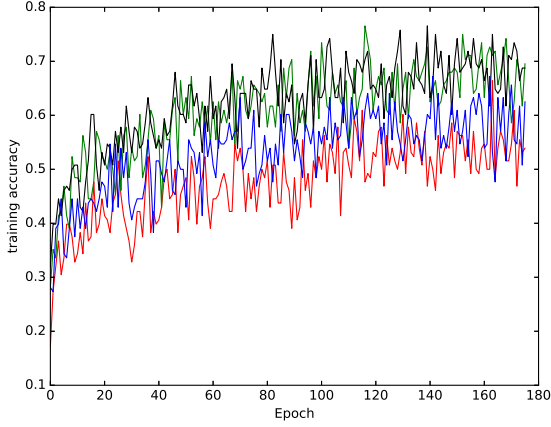


Figure 7. R vs G vs B vs D, training time

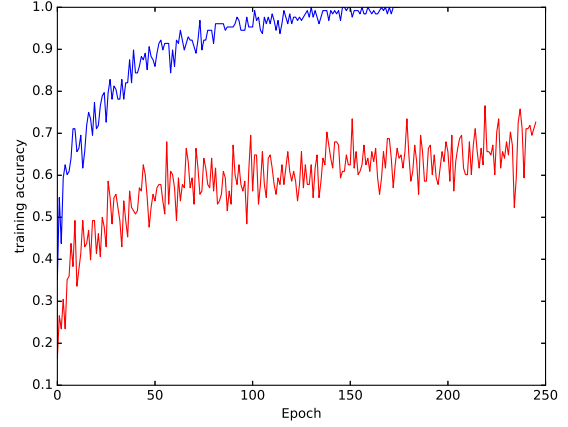


Figure 9. RGB vs RGBD, training time(RGBD:blue, RGB:red)

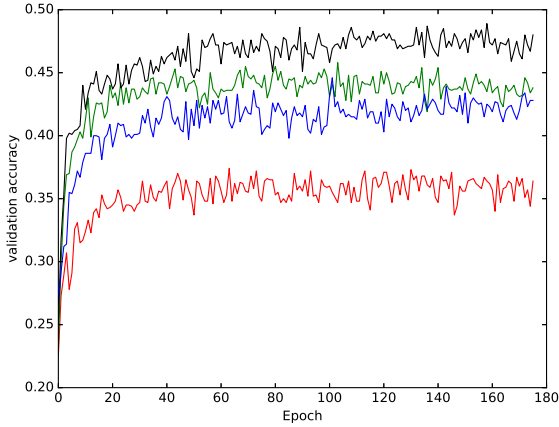


Figure 8. R vs G vs B vs D, testing time

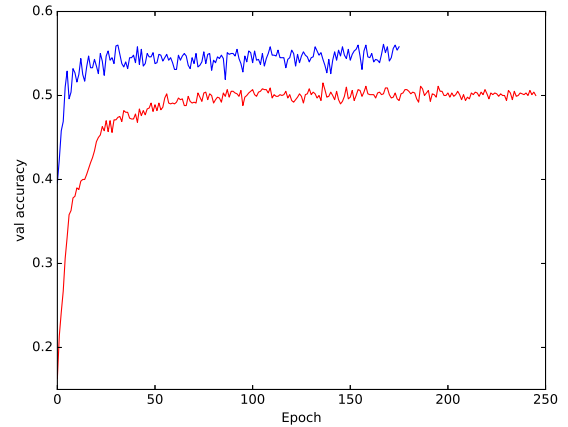


Figure 10. RGB vs RGBD, testing time(RGBD:blue, RGB:red)

form R,G,B channels under the same architecture. It implies that, depth channel has a better feature representation than R, G, B channels.

### 4.3.2 RGB vs RGBD

We perform fine tuning for both RGB and RGBD situations. So that their performances are approximately optimal. Figure9 shows training accuracy comparison through time. Figure13 shows validation accuracy comparison through time.

We get **56%** and **52%** validation accuracy with RGBD and RGB dataset respectively. This can be seen as a sign that depth map brings extra knowledge learned by deep convolutional neural field to our classification task.

You can also notice that, although RGBD dataset have more inputs and neurons, it has a much higher converge rate than RGB dataset. It can be interpreted as a better feature representation brought by depth map.

### 4.3.3 CNN Experimentation

Our previous experiments using a 2-layer feedforward neural network yielded a performance increase of 4% when comparing the accuracy of a NN trained on the RGB dataset to the NN trained on the RGBD dataset. These results did not satisfy us as a simple feedforward neural network is not the optimal tool used for image classification and so we decided to test the performance of our CNN [15] over the CIFAR-10 RGB dataset and compare it to the performance achieved over our CIFAR-10 RGBD dataset. We achieved an accuracy of **57.5%** and **53%** using the RGBD and RGB datasets respectively. This performance gain of **4.5%** in accuracy between RGBD and RGB using the CNN is slightly better than the original accuracy gain **4.0%** using the 2-layer ANN.

Our convolutional neural network was composed of two convolutional layers (with subsequent max-pooling layers) and



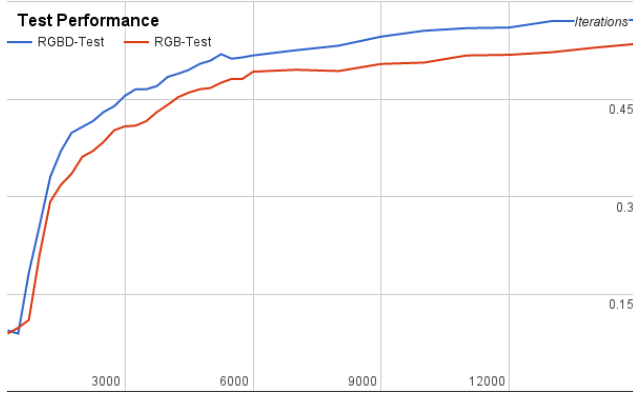


Figure 11. RGB vs RGBD Test CNN Accuracy, Iterations

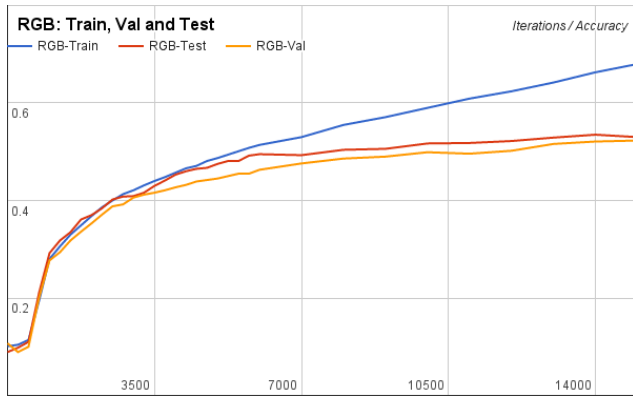


Figure 12. RGB CNN Train/Val/Test Accuracy, Iterations

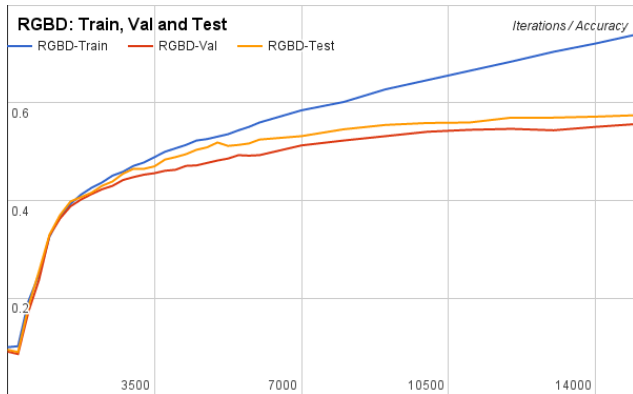


Figure 13. RGBD CNN Train/Val/Test Accuracy, Iterations

## 5. Further Work

### 5.1. Depth Estimation

We’ve mentioned that depth estimation is an ill-posed problem, since we can not find the ground truth depth map which would allow us compare the performance of different depth estimation models. However, using the accuracy metric we proposed, performance can be measured indirectly. The only drawback is that our running on our metric need

much more time than most other typical metrics. If we had more time, we would measure the performance of existing depth estimation models.

### 5.2. Learning on RGBD Dataset

In our experiment, we didn’t implement state-of-the-art image classification model[16] for simplicity. We consider to test RGBD dataset on that model. Maybe we can witness accuracy surpassing current record.

We also plan to build more RGBD datasets and publish them for research usage.

### 5.3. Learning on RGBD(ground-truth) vs RGBD(estimated)

Compare the accuracy of Learning on RGBD(ground-truth) vs RGBD(estimated).

## 6. Conclusion

We successfully reimplemented the state-of-the-art depth-estimation model using We create the first RGBD image dataset for CIFAR10, and investigate its quality using our metric. We define a transfer learning accuracy metric for depth prediction problem. On RGBD CIFAR, we prove that depth channel has a better feature representation. We also show that training on RGBD images can somehow improve image classification accuracy.

## Role Clarification

We divide our teamwork as follow. **Yihui**’s contribution: 1. Proposed idea. 2. Implemented deep convolutional neural field 3. Create RGBD CIFAR10 dataset 4. Perform experiment for 2-layer neural network on RGBD dataset. 5. prepare presentation 6. edit final report. **Metehan**’s contribution: 1. Discussed idea. 2. implement and perform experiment using AlexNet (CNN) on RGBD dataset. 3. prepare presentation 4. edit final report.

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