

# CascadeS

## A Sterile Neutrino Search in IceCube



Ben Smithers

UT Arlington



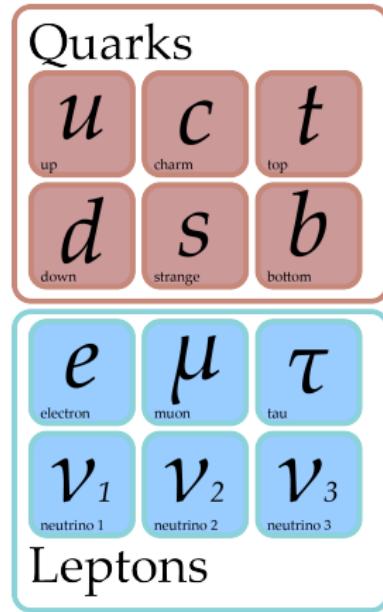
April 15<sup>th</sup> 2021



# Neutrinos

## Neutrino Fast Facts

- Have mass, don't know why
- At least three
- Probably Dirac?
- Are only left-handed??



Answers may lead to new, exciting, physics



# Neutrino Oscillations

Neutrinos' flavor eigenstates are not mass eigenstates!

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \quad (1)$$

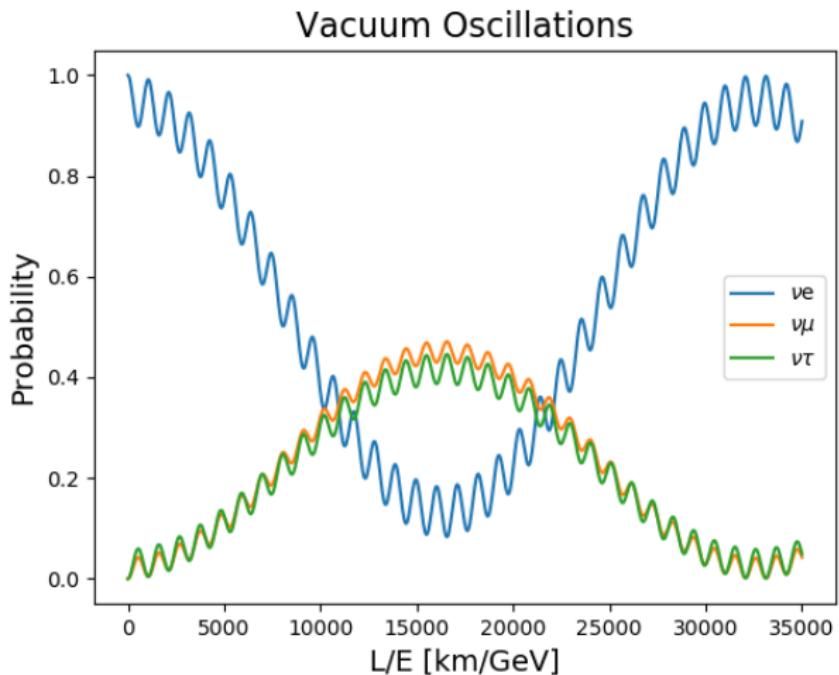
- Complex Unitary “PMNS” Mixing matrix  $U$
- Expressed as three mixing angles  $\theta_{ij}$ , phase angle  $\delta$
- $\Delta m_{ij}^2$  - mass squared splittings

$$P \propto \sin^2 2\theta \sin^2 \frac{\Delta m^2 L}{4E_\nu} \quad (2)$$



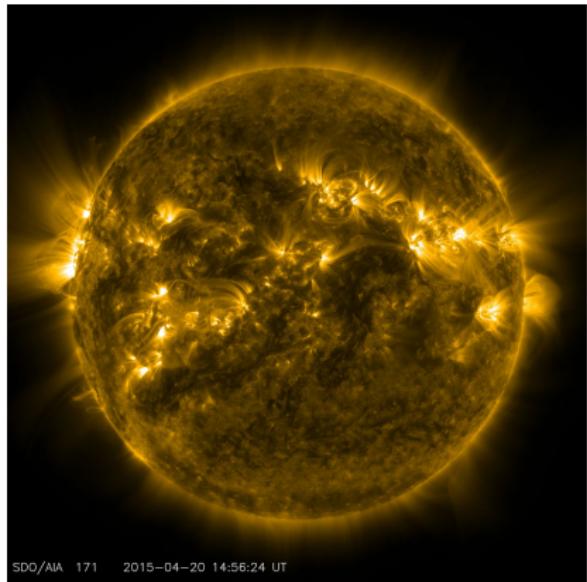
# Three-Neutrino Oscillations

$$P(\nu_e \rightarrow \nu_i) \quad (3)$$



# The Matter Effect (MSW)

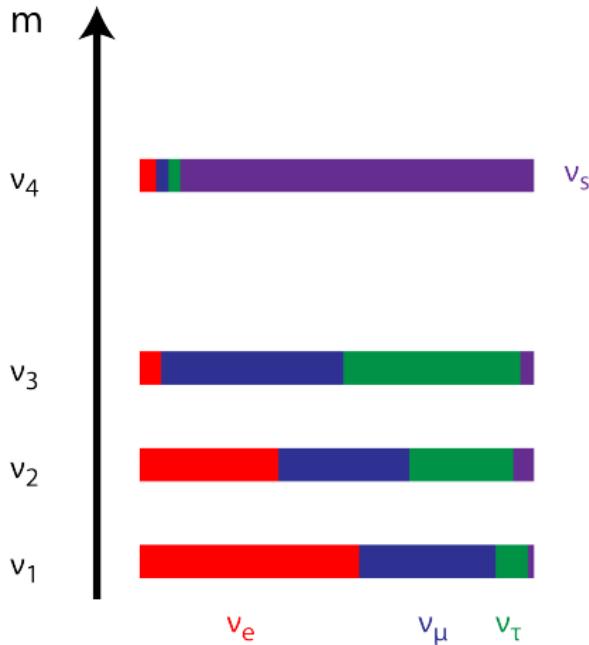
- $\nu_e$  forward scattering with  $W^\pm$
- $\bar{\nu}_e$  back-scattering
- Neutrino matter-potential
- Matter effects neutrino oscillation
- $\rightarrow$  SNO



Source: [NASA/SDO](#)



# Free Parameters

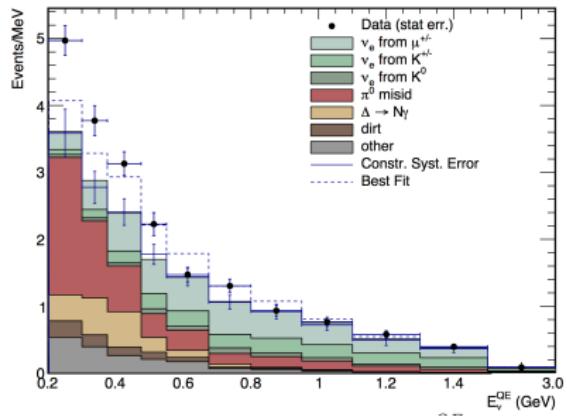


- Masses too small to probe\*
- $\theta_{12}, \Delta m_{12}^2$  - solar neutrinos
- $\theta_{13}$  - reactor neutrinos
- $\theta_{23}$  - atmospheric neutrinos
- $|\Delta m_{31}^2|$
- $\delta_{CP}$  - T2K, NO $\nu$ A



# Steriles!

- LSND, MiniBooNE oscillation anomaly
- A combined  $6\sigma$  excess
  
- Issues with 3 neutrino model
- 3+1 Sterile neutrino? (bounds to be discussed later)



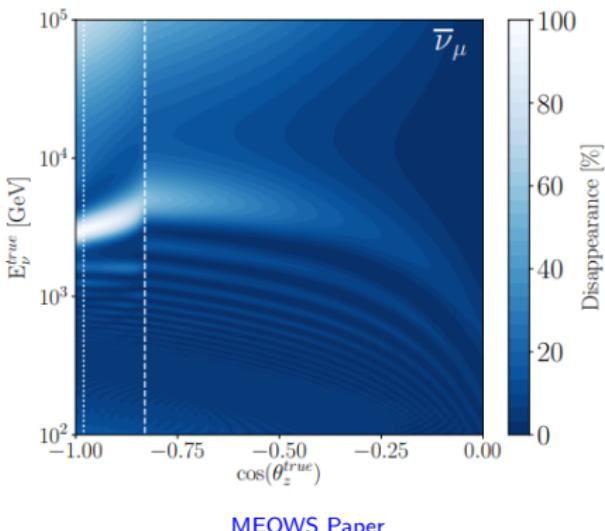
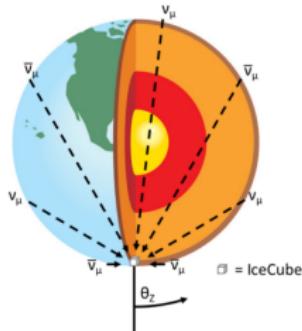
Source: [MiniBooNE Collaboration](#)



# Matter Enhanced Oscillations With Steriles (MEOWS)

Peculiar Resonance!

- eV<sup>2</sup>-scale mass-squared splitting
- TeV-scale energies
- Earth-crossing neutrinos
- Anti-muon neutrinos

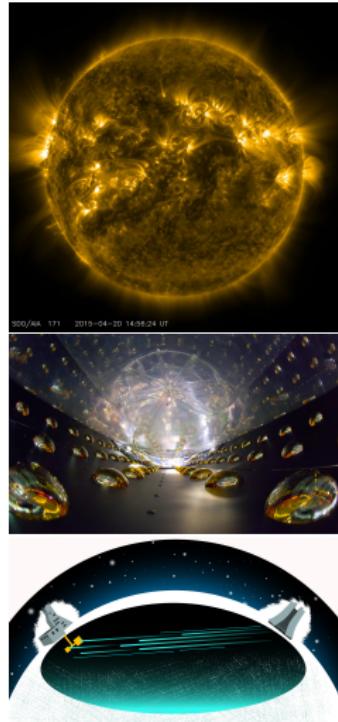
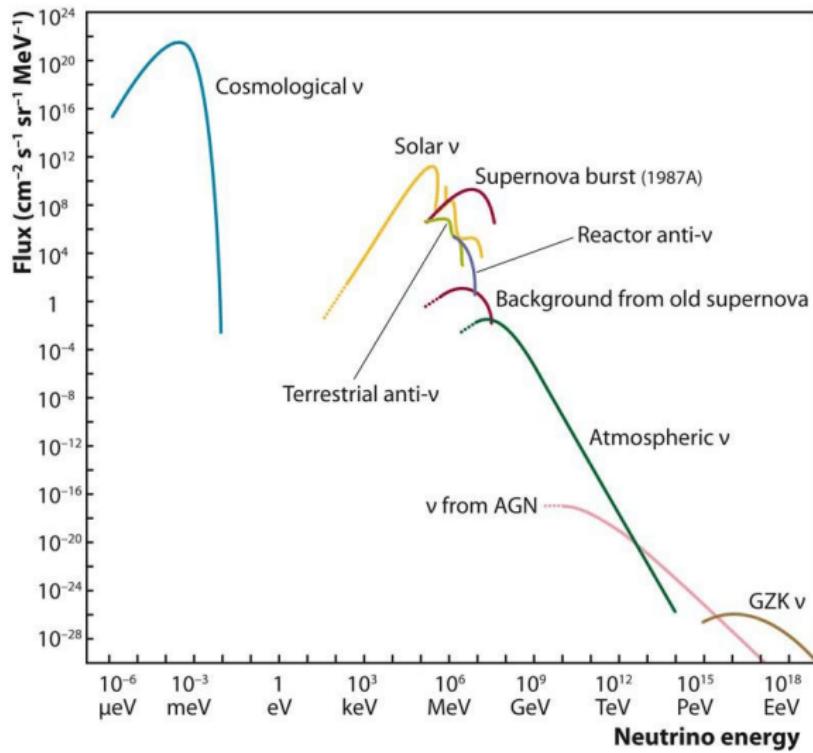


MEOWS Paper

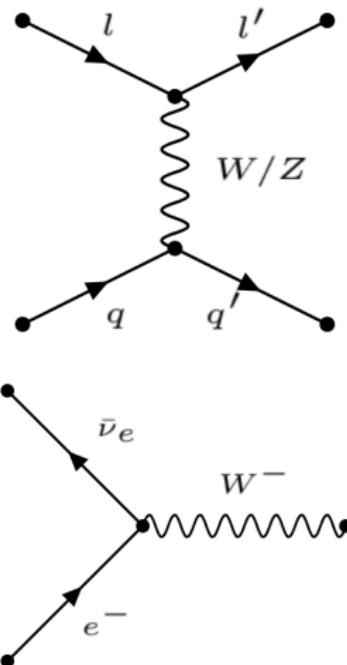
$$\Delta m_{41}^2 = 1.3 \text{eV}^2 \text{ and } \sin^2(2\theta_{24}) = 0.07$$



# Where do they come from?



# Dominant Atmospheric Neutrino Interactions

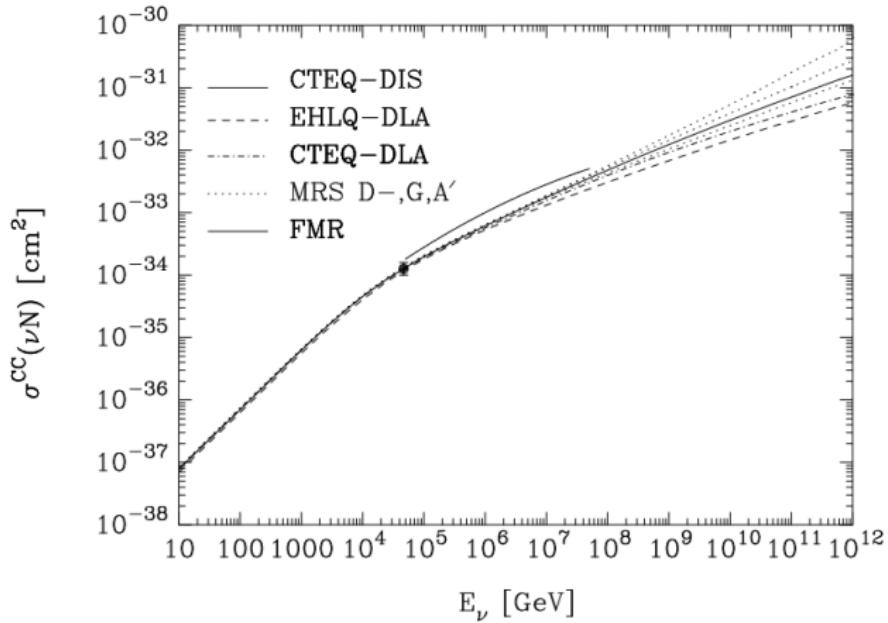


Detection Channels for highest-energy neutrinos (atmo / astro)

- Deep Inelastic Scattering
- Resonant  $W$ -boson production (Glashow - 6.3 PeV)

# Neutrino Cross Section

Cross section  $\sim$  interaction probability



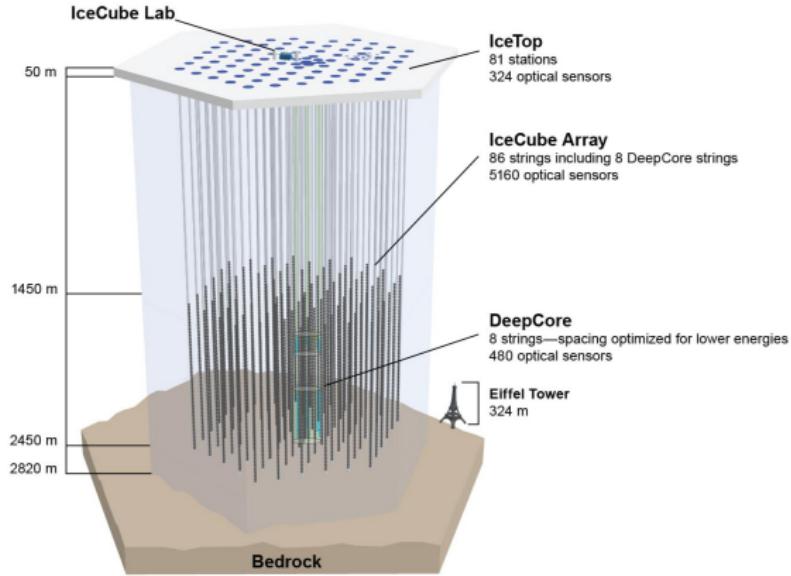
Charge-current  $\nu_\mu$ -nucleon cross sections

Source: Gandhi et al.



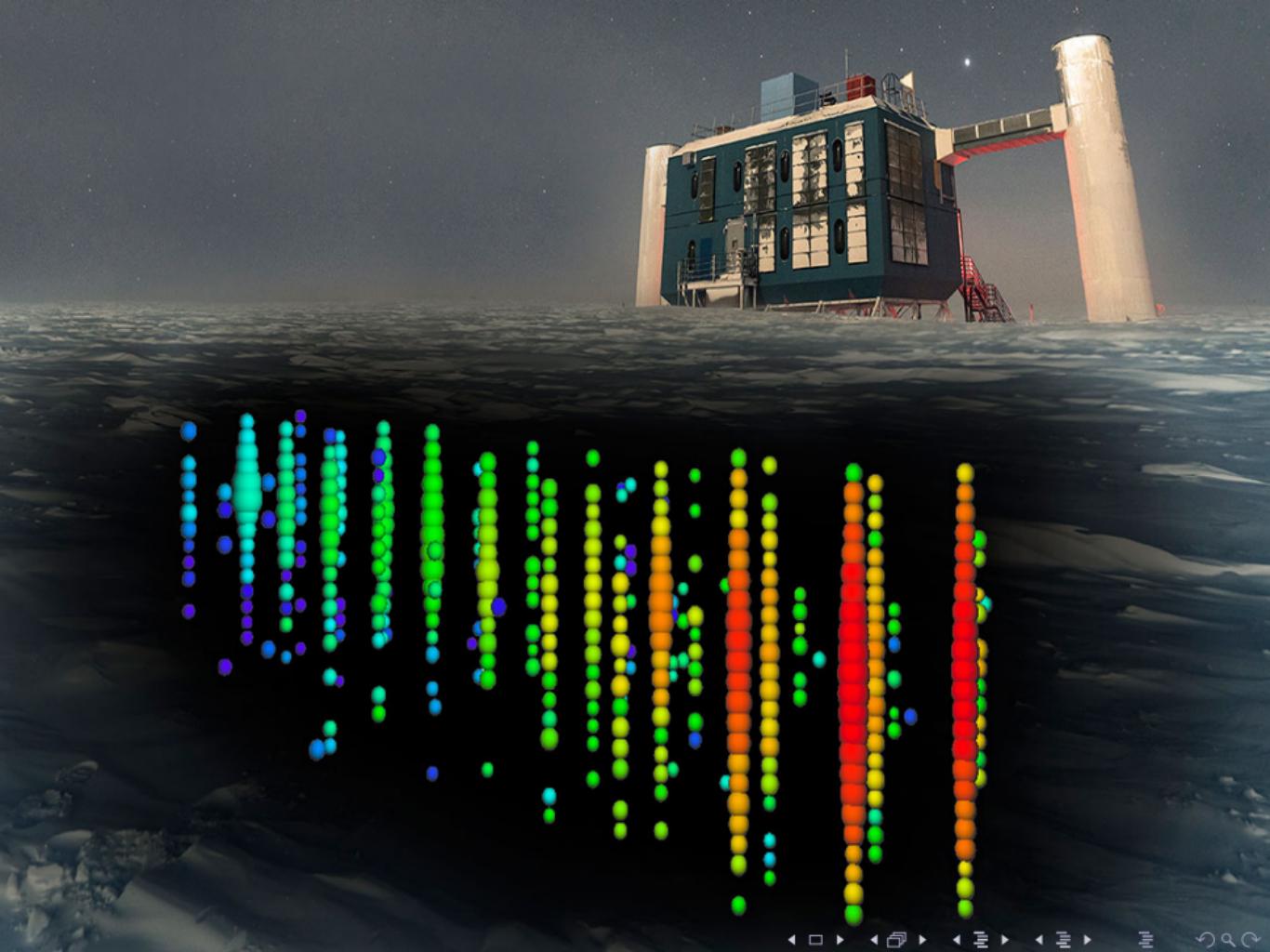
# Large-Volume Cherenkov Detectors

- Tiny Cross Section
- *Rapidly falling flux*
- Need **big** detector



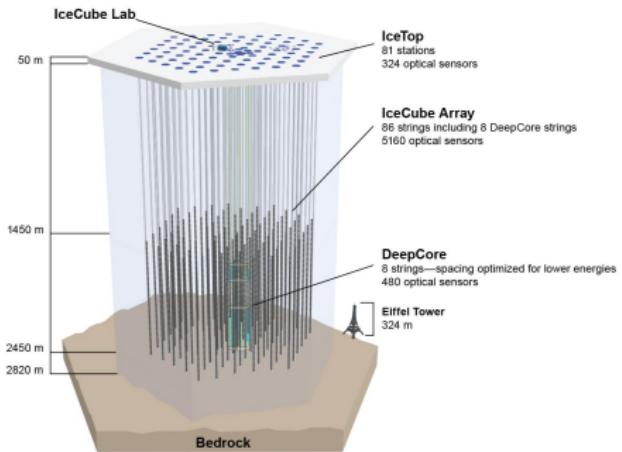
$$\text{Rate} = \sum_{\alpha}^{\nu \text{ types}} \int d\Omega \int dE_{\nu} \underbrace{V_{\nu\alpha}^{\text{eff}}(E_{\nu}, \Omega)}_{\text{volume}} \underbrace{T_n}_{\text{targets}} \underbrace{\sigma(E_{\nu})}_{\text{cross section}} \underbrace{\phi_{\nu\alpha}(E_{\nu}, \Omega)}_{\text{flux}} \quad (4)$$





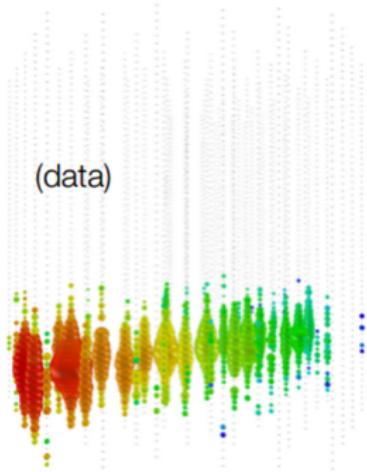
# IceCube Neutrino Observatory

- $\sim 1\text{ km}^3$  of Antarctic ice
- $\sim 40$  ATLAS' tall
- Neutrino interactions create charged particles
- Cherenkov Light
- 5,160 DOMs: PMTs encased in ice



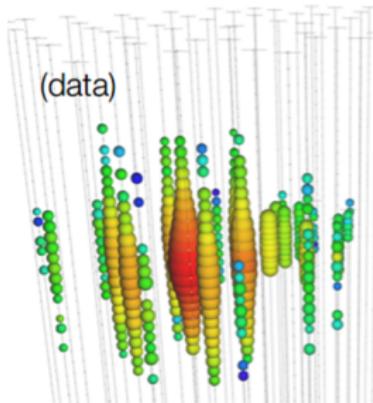
# IceCube Event Topologies

**Charged-current  $v_\mu$**



**Up-going track**

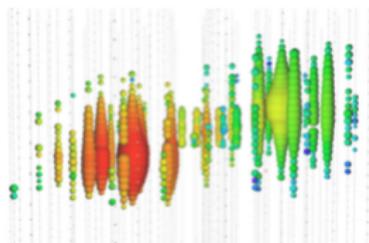
**Neutral-current /  $v_e$**



**Isolated energy deposition (cascade)  
with no track**

**Charged-current  $v_\tau$**

(simulation)



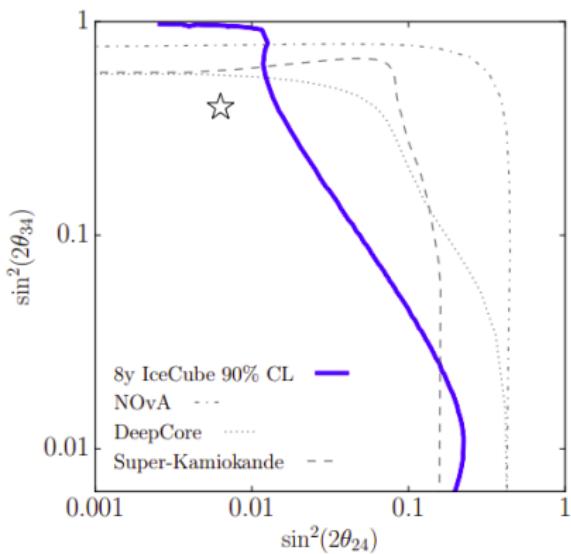
**“Double-bang”**

\*Note! “Bang” separation in Tau events only  $\sim 5\text{cm}/\text{TeV}$

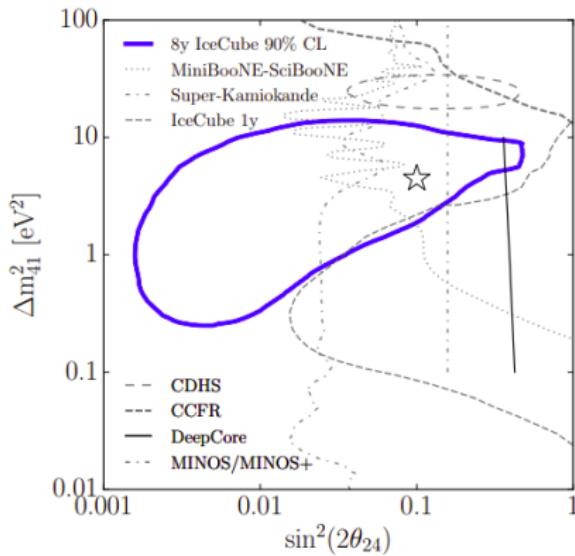


# MEOWS

- Matter Enhanced Oscillations With Steriles (MEOWS) analysis
- MSW Resonance
- IceCube's up-going muon tracks

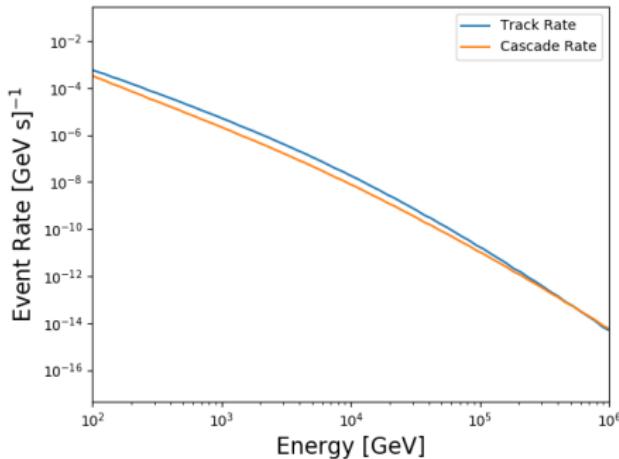


MEOWS



# What about cascades?

- Cascades are a significant proportion of flux
- Question: Could cascades be used to improve existing bounds?
- Look for possible cascade appearance, various parameters
- Stay within existing bounds



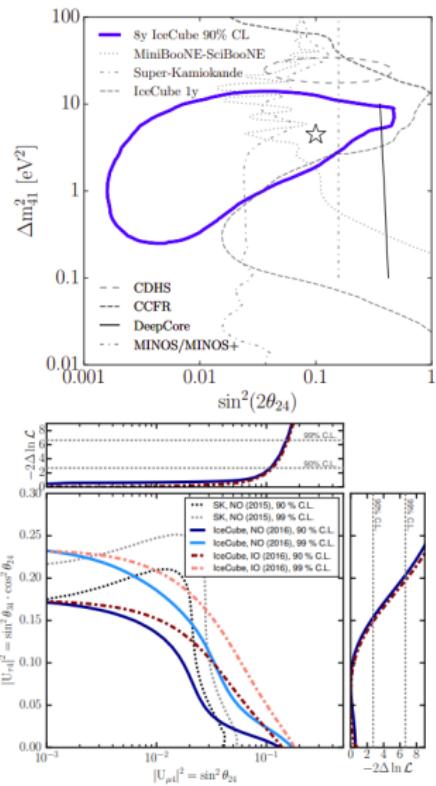
Starting event rates for track-like and cascade-like events. Made using HillasGaisser2012, SIBYLL23C, and 3 neutrino model.



# Existing Bounds

- $\theta_{14}$ ,  $\Delta m_{14}^2$ ,  $\delta_{cp}$
- Existing aggressive bounds (90% CL)
  - MINOS  $\theta_{24} < 7^\circ$ ,  $\theta_{34} < 26^\circ$
  - DeepCore  $\theta_{24} < 19^\circ$ ,  $\theta_{34} < 24^\circ$
- $\theta_{14}$  poorly constrained, usually assumed zero

Links: [Minos](#), [Deepcore](#), [MEOWS](#)



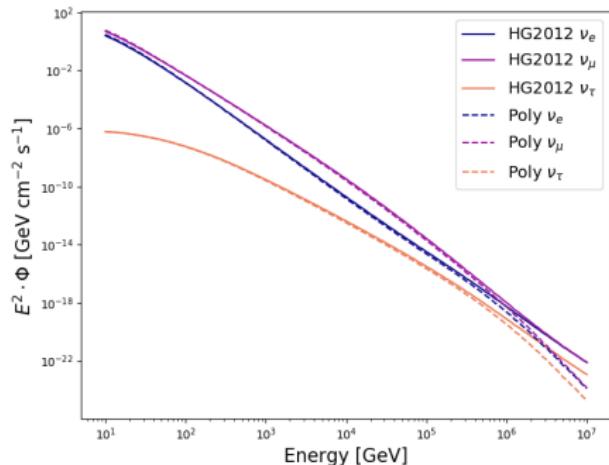
# Generating Fluxes

- ① Generate Atmospheric Neutrinos
- ② Propagate Flux
- ③ Energy Deposition
- ④ Emulate Reconstruct
- ⑤ Compare to null



# 1. Generate Neutrino Flux

- Matrix Cascade Equations (MCEq) generates atmospheric  $\nu$  flux
  - CR Flux (HG2012, Polygonato, etc)
  - Interaction Model (SIBYLL23c, QGSJet-II)
- Sterile doesn't affect this

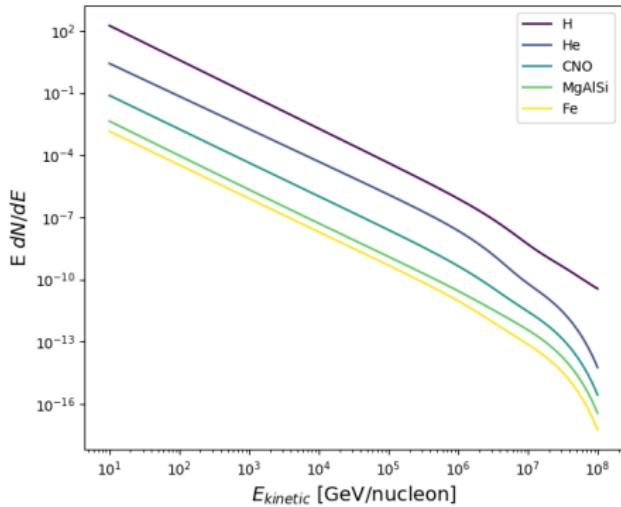


Comparing HillasGaisser2012 with Polygonato,

Nominalized over zeniths

# 1a. Cosmic Ray Models

- Defines flux of progenitor nuclei
- Knee/Ankle - different sources
- GZK cutoff  $\sim 10^{10}$  GeV
- Measurements from CR air showers

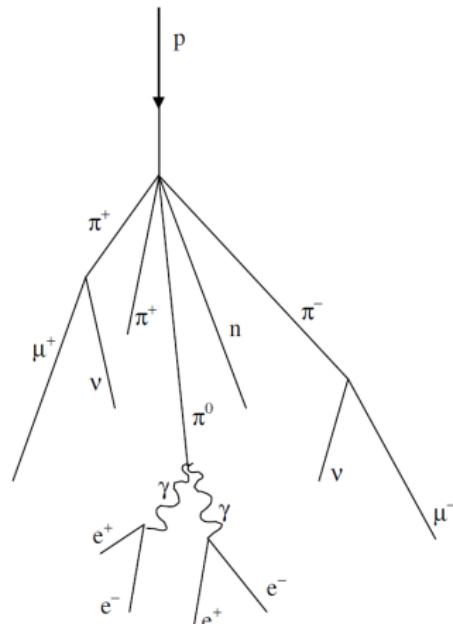
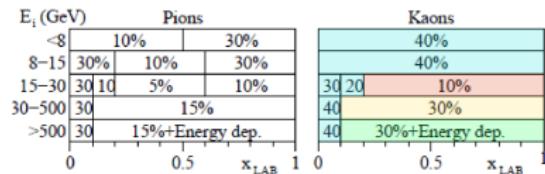


HillasGaisser-2012 H4a CR Model



# 1b. Interaction Models

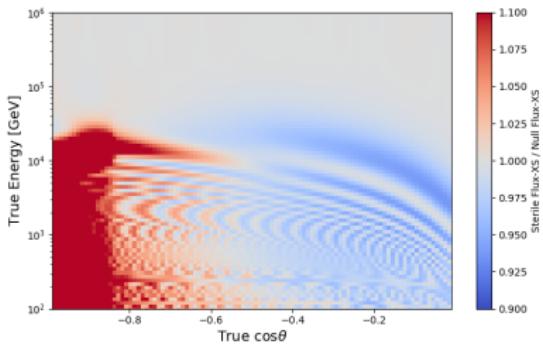
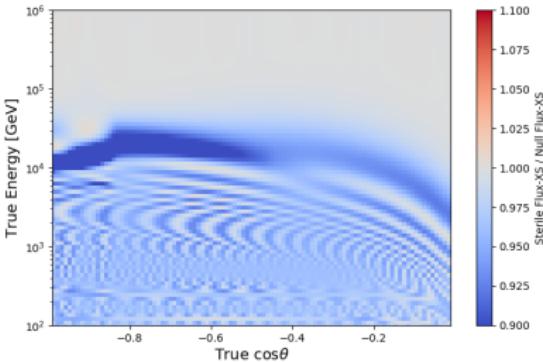
- $\nu$  production rates from accelerator experiments
- Projections for high energies
- Different models for different projections



Example air shower

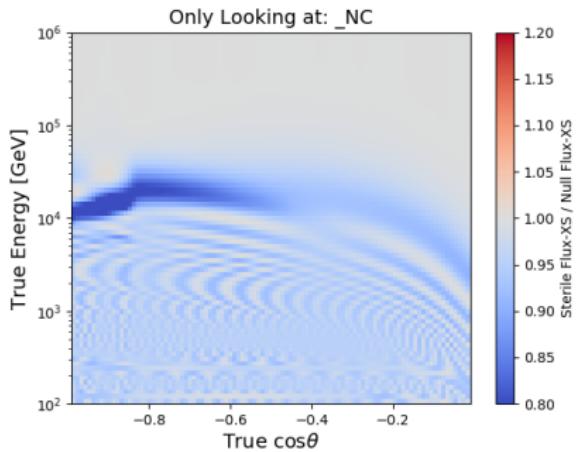
## 2. Propagate Neutrino Flux

- Propagate flux through Earth with nuSQuIDS
  - Oscillations, Earth interactions
  - Tau Regeneration
- On Right, neutrino fluxes
  - $\times(\sigma_{NC} + \sigma_{CC}^{e,\tau})$
  - (top) MEOWS Best Fit,  $\theta_{14} = \theta_{34} = 0, \theta_{24} = 9^\circ$
  - (bottom) And with  $\theta_{34} = 13^\circ$
  - Both  $\Delta m_{41}^2 = 4.47\text{eV}^2$

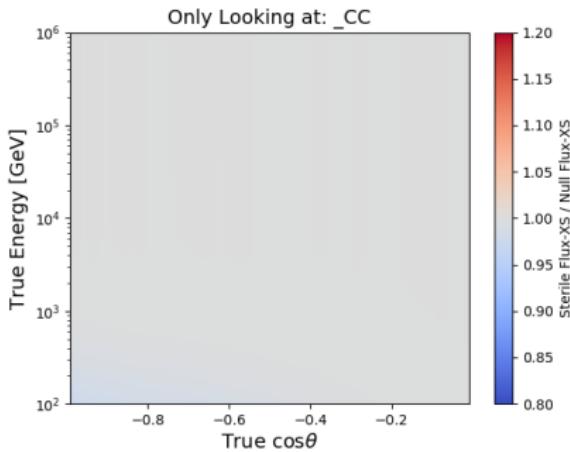


# Neutral vs Charged Current

NC Flux Ratio



CC Flux Ratio

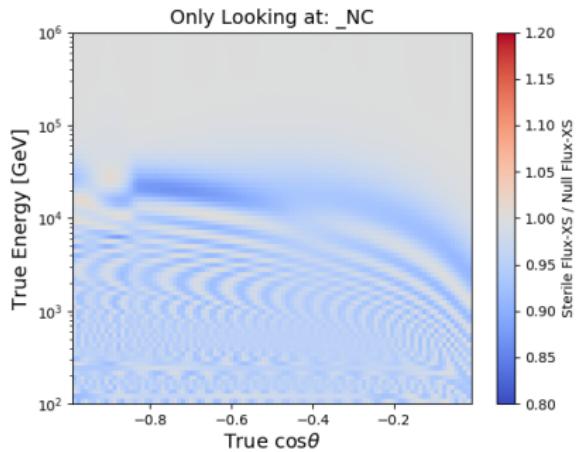


Looking at MEOWS best fit with  $\theta_{34} = 0$ .

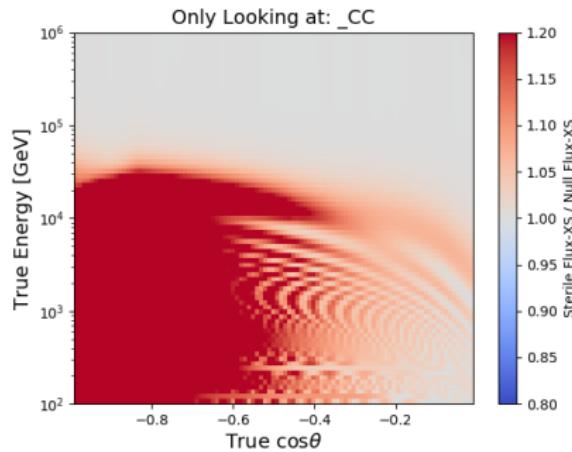
HillasGaisser2012, SIBYLL 2.3c

# Neutral vs Charged Current

NC Flux Ratio



CC Flux Ratio

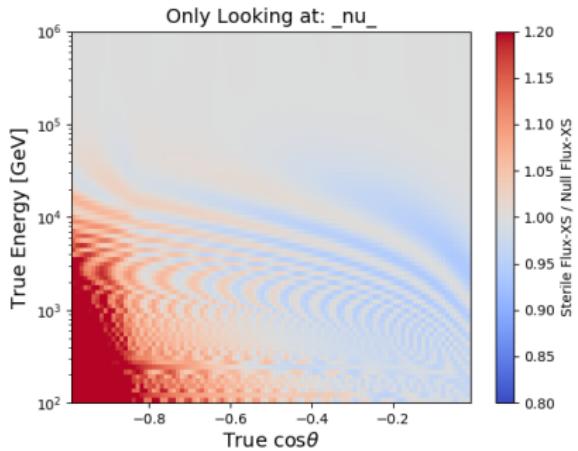


Looking at MEOWS best fit with  $\theta_{34} = 13$ .

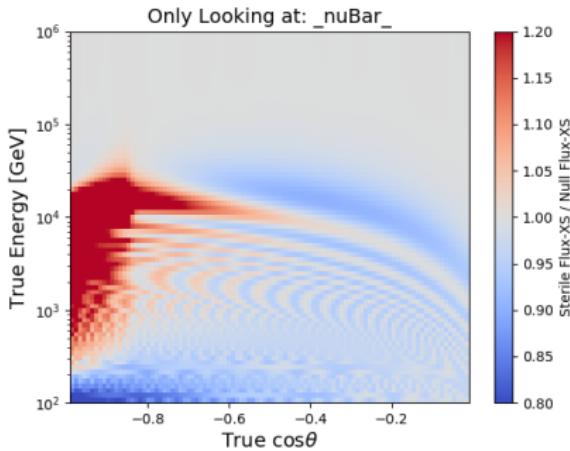
HillasGaisser2012, SIBYLL 2.3c

# Nu and Nubar

Neutrino



Anti-Neutrino



Looking at MEOWS best fit with  $\theta_{34} = 13^\circ$ .

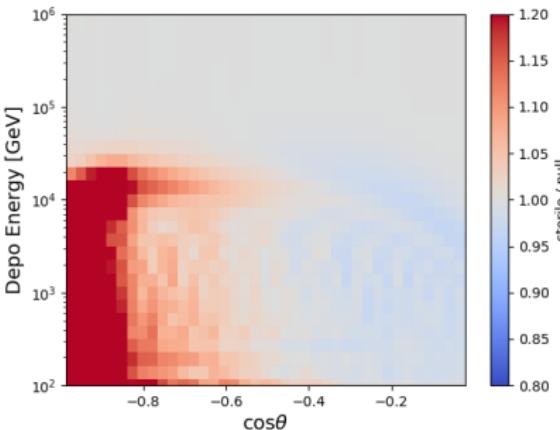
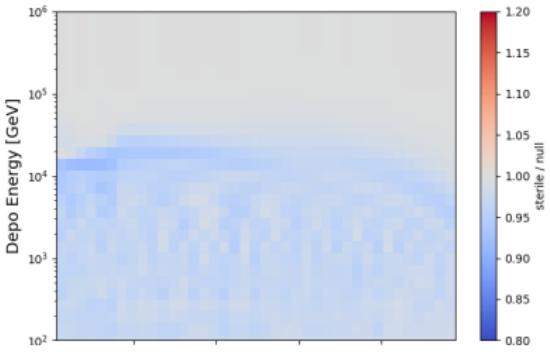
HillasGaisser2012, SIBYLL 2.3c



### 3. Make Neutrinos Interact

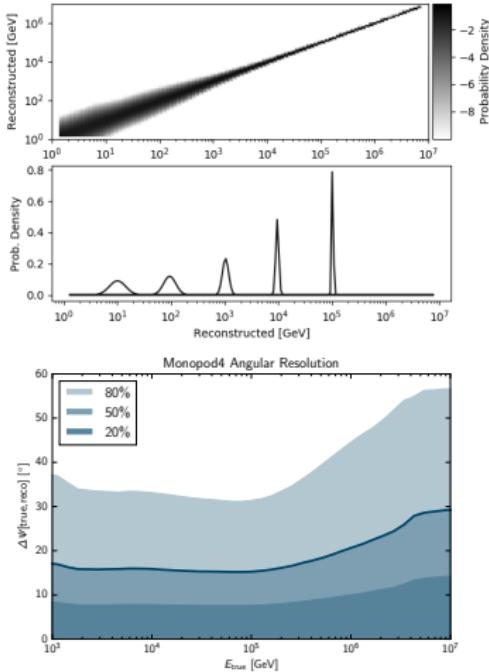
- CSMS differential cross sections
- Integrate over event, lepton energy
- NC Interaction
  - Only hadronic energy deposited
- CC Interaction
  - electron - All
  - Muon - **track**
  - Tau - Weird

$$\tau^\pm \rightarrow \nu_\tau + \text{stuff}^\pm$$



## 4. Reconstruction

- Energy Reco Paper reconstruction ([link](#))
  - Energy deposited to reconstructed
- Monopod is True to Reconstructed
  - angular error; Kent distribution to get zenith

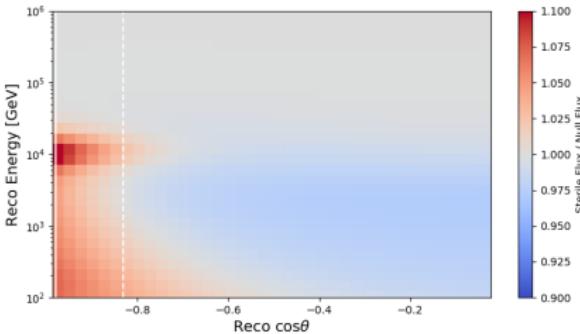
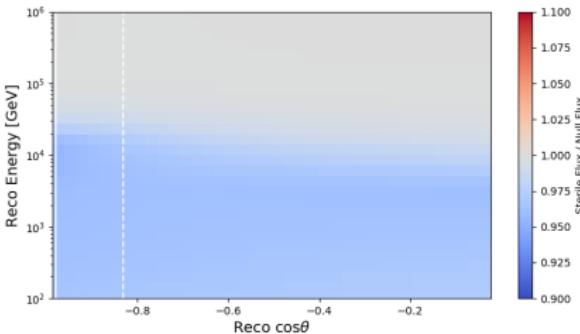


<https://arxiv.org/abs/1705.02383>



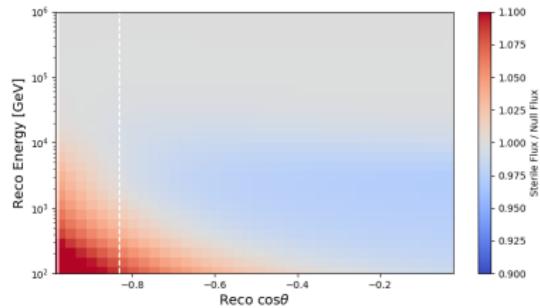
# Reconstruction Incorporated

- Probability-based reconstruction, binning-considerate
  - Integrate over true/reco angles, true/depo/reco energy
- Appearance signal is still maintained
  - (top) MEOWS best-fit,
  - (bottom) with  $\theta_{34} = 13^\circ$

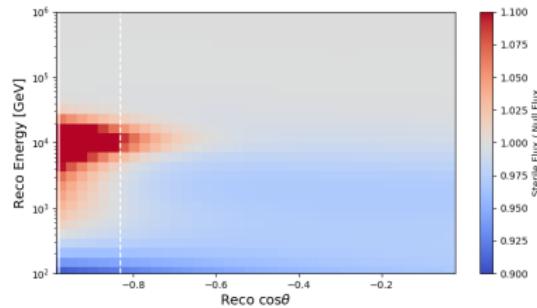


# Nu and Nubar

Neutrino



Anti-Neutrino



Looking at MEOWS best fit with  $\theta_{34} = 13^\circ$ .

HillasGaisser2012, SIBYLL 2.3c



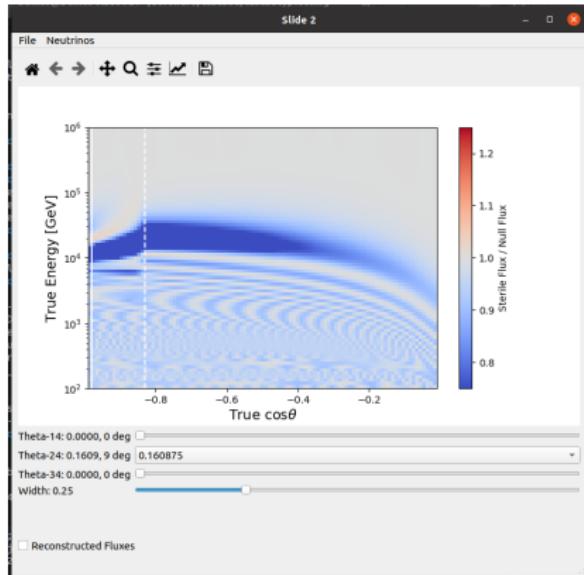
## Generating Fluxes and Cascade MC

- 2000 Fluxes generated
  - Various CR flux, interaction models
  - $\theta_{14} \in [0, 180]$
  - $\theta_{24} \in [0, 12]$
  - $\theta_{34} \in [0, 40]$
  - $\Delta m_{14}^2 = 4.47\text{eV}^2$



# Phase Space Exploration App

- Allows exploring sterile neutrino parameter space
- Toggle neutrino fluxes
- Raw Flux, Reconstructed flux
- Let's see if the video works



[GitHub Link](#)



# Okay, so what was that?

## Mid-Point Emphasis

- Non-zero  $\theta_{34}$  could give rise to cascade appearance
- IceCube may be sensitive to this appearance
- IceCube may be poised to make an unprecedented direct measurement of  $\theta_{34}$

## We Need MC

[GitHub Link](#)

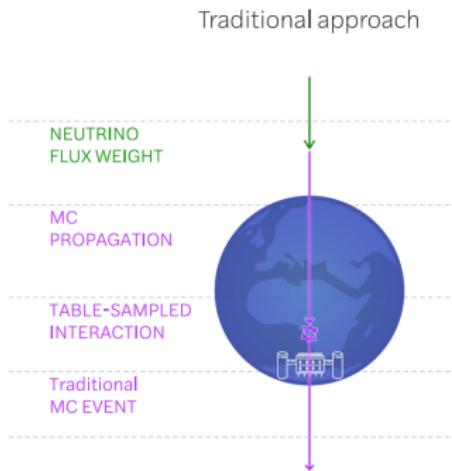


# MC Generation Schema



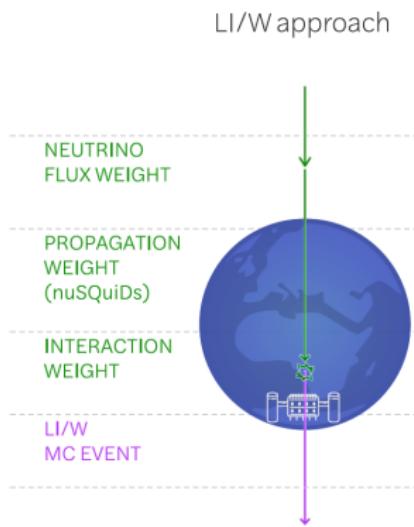
# Traditional Generation

- ① Make neutrino
  - ② Force interaction near detector
  - ③ Calculate 'weight' of event
- 
- All steps are closely linked
  - Can't change fluxes or physics post-sim



# Developments

- Efficient algorithms solving neutrino transport now available
- Separate, discrete problems
  - Flux Calculation
  - **Event Generation near detector**
  - **Event Weighting**
- Events can be simulated.  
Reweighted later on

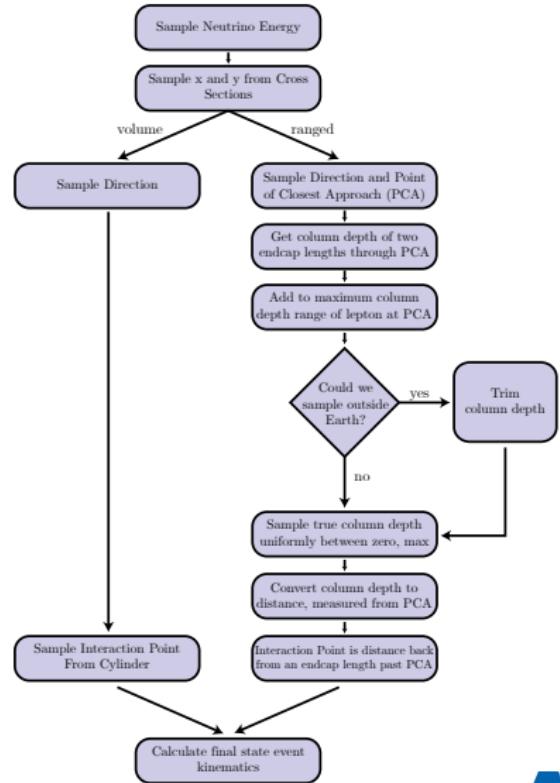


Lepton Injector and Lepton Weighter

## Event Generation

Tracks? Hard. Cascades? Easy

- Sample Direction
  - Power-law energy
  - Kinematics?  
Metropolis-Hastings,  $\sigma_{E_{xy}}$
  - Sample location in cylinder



# Event Re-weighting

For weighting, calculate the total generation probability per sub-sample

$$p_{MC} \equiv \overbrace{N_{MC}}^{\text{generated}} \frac{1}{\underbrace{\Omega_{\text{gen}}}_{\text{solid angle}}} \frac{1}{\underbrace{A_{\text{tot}}}_{\text{area}}} \underbrace{\frac{1}{\sigma_{\text{tot}}}}_{\text{total xs}} \underbrace{\frac{\partial^2 \sigma}{\partial x \partial y}}_{\text{diff. xs}} \cdot \underbrace{\frac{\Phi(E)}{\int_{E_{\min}}^{E_{\max}} \Phi(E) dE}}_{\text{normalized event flux}} \quad (5)$$

Then calculate the weight

$$w_{\text{gen}} \equiv \left[ \sum p_{MC} \right]^{-1} \quad (6)$$

# Event Re-weighting

New event weight

$$w_{\text{event}} = \underbrace{X_{\text{col}} \times N_A}_{\text{interaction sites}} \times \overbrace{\partial_{xy}\sigma}^{\text{target xs}} \times \underbrace{\Phi_{\text{target}}}_{\text{target flux}} \times w_{\text{gen}} \quad (7)$$

For one set of simulated events

- Any desired cross-section
- Any desired neutrino flux

Big for testing new physics hypotheses!



# LI/W Accolades

- Paper accepted for publication in CPC
- LI/W Talk given “2020 Outstanding Graduate Student Presentation Award” by APS

We present a high-energy neutrino event generator, called Lepton Injector, alongside an event weighter, called Lepton Weighter, both designed for large-volume Cherenkov neutrino telescopes such as IceCube. Lepton Injector generates high-energy neutrino events, including atmospheric events within and around the detector volume, and implements the leading standard model neutrino interaction processes relevant for neutrino observation: neutrino-nucleon deep-inelastic scattering and neutrino-electron annihilation. In this paper, we discuss the event generation algorithm, the weighting algorithm, and the main functions of the publicly available code while providing examples.

## I. INTRODUCTION

Neutrinos have been measured in a wide energy range from MeV energies in solar and reactor experiments to PeV energies in neutrino telescopes [1]. Different neutrino interaction processes [2] are relevant in this wide energy range, and the cross sections for different processes vary significantly with energy. For example, the cross section for neutrino scattering with nucleons is  $\sim Q^2$ , where  $Q^2 = -q^2$  is the four-momentum transfer squared, while the cross section for neutrino scattering with heavy quark flavors [3], however, deep-inelastic scattering [10] is always the dominant process above  $\sim 10$  GeV. This broad energy range has led to the development of various neutrino event generators used by experiments to simulate neutrino interactions [11–14], most of which have been optimized for GeV neutrino energies. These generators have been used to predict the sensitivity of various neutrino detectors, often known as neutrino telescopes, such as the currently operating IceCube Neutrino Observatory at the Amundsen-Scott South Pole Station [15] and next-generation observatories such as KM3NeT [16] in the Mediterranean Sea and GVD in Lake Baikal [17].

The first neutrino telescope event generator started their simulation at the Earth's surface [18–19], which required solving two distinct problems: neutrino transport through the planet and the generation of neutrino events near the sensitive volume. The first such event generator was REED [19], developed in the 1990s for the Antarctic Muon and Neutrino Detector Array (AMANDA); see also [22] for a similar effort for ANTARES. REED simulated neutrino transport by what is later known as the Monte Carlo method, which generates neutrinos into a three-step procedure. First a neutrino energy was randomly drawn from a prior distribution, then forced to interact somewhere near the detector, and finally an event weight would be calculated and applied [18]. This process was well suited for calculating the sensitivity of neutrino telescopes through the scaling of the Earth, and tightly coupled the generation and weighting steps in the calculation of its event weight.

In 2005, REED was ported to C++ and released as the All Neutrino Interaction (ANI) [20], and then

modified and adopted into the IceCube internal framework [21] as neutrino-generator, or ReGen. The basic simulation scheme remained unchanged, although with each update of the software the scope of features grew and the fundamentals

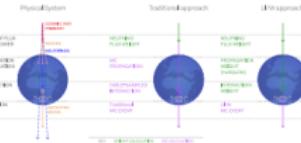


Figure 1.1: A diagram illustrating the different event generation and weighting steps for traditional methods compared with the LeptonInjector and LeptonWeighter philosophy.

\* also at Università di Padova, I-35131 Padova, Italy

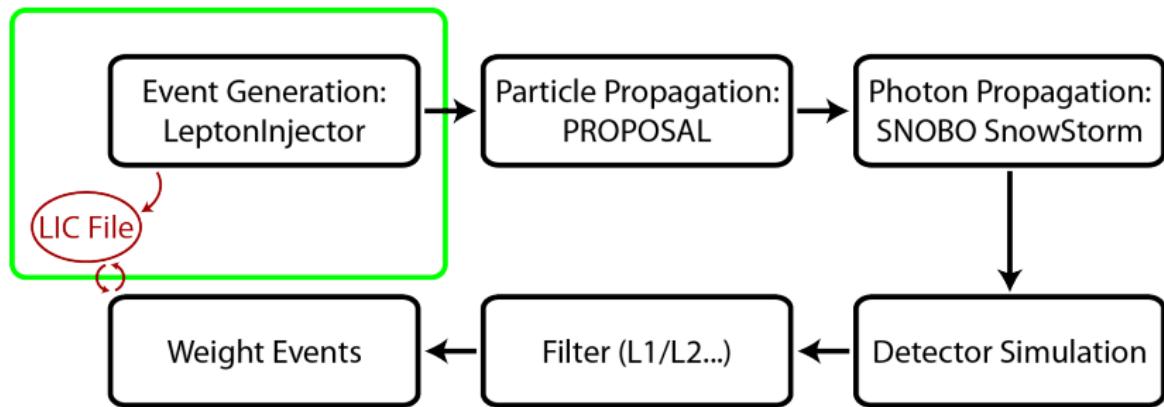
† also at National Research Nuclear University, Moscow Engineering Physics Institute (MEPhI), Moscow 115409, Russia

‡ also at Earthquake Research Institute, University of Tokyo, Bunkyo, Tokyo 113-0032, Japan



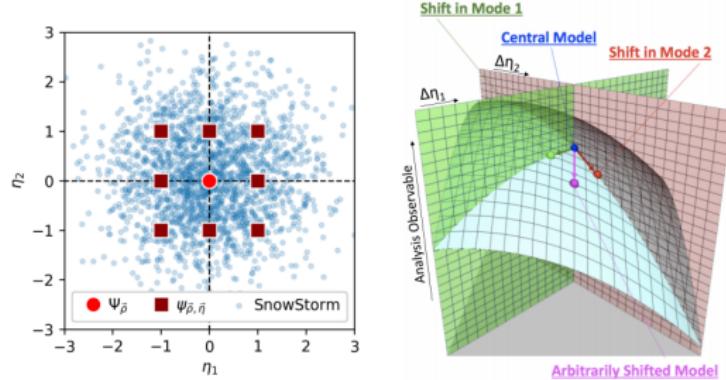
# From Here...

## The Rest of the Generation



# SnowStorm

- Developed by UTA's own Ben Jones
  - Novel treatment of ice systematic uncertainties
- 
- 'Nuisance parameters' describe systematic uncertainties
  - Shuffle ice model for each event
  - Incorporates systematic uncertainties on reconstruction uncertainty

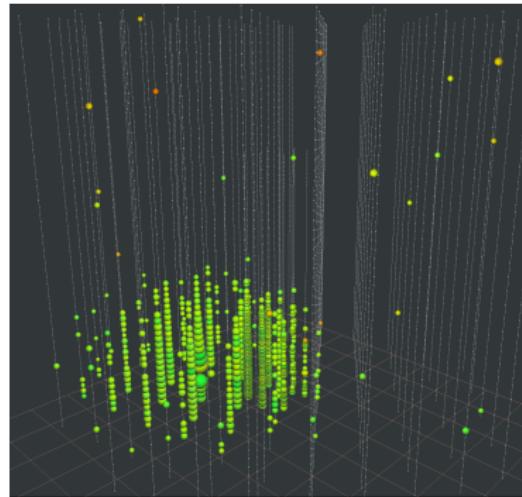


# SnowStorm, SnowSuite

- Brought SnowStorm into MC Generation Schema
- SnowSuite: python scripts to generate MC
- Co-recipient of 2020 IceCube Impact Award



- Adapted MEOWS MC Generation processors
- 2 Million NC cascades generated up to first filtering level
- LI/W Pipeline - events can be reweighted to any flux!

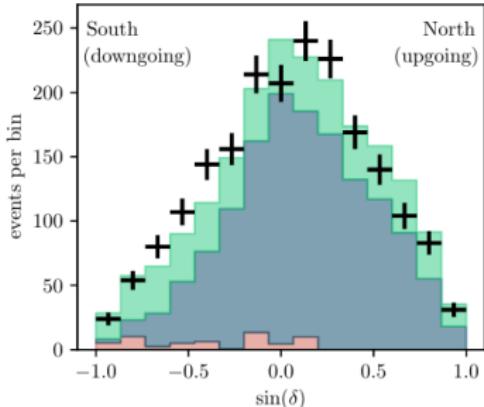
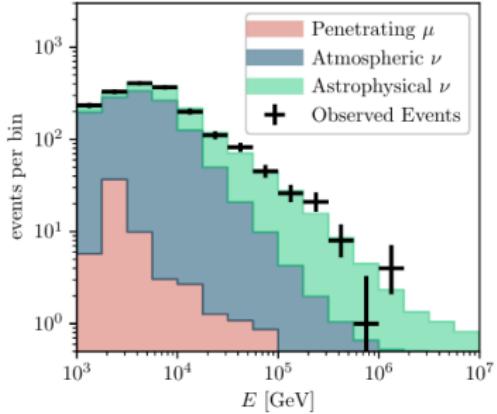


# Going Forwards



# Viability

- Medium Energy Starting Events  
Data sample
  - 95% pure sample  $E_\nu > 1$  TeV
  - Established reconstruction & veto techniques
  - See if these can be improved
- MESE Paper



# Calculate Sensitivities

- Simulate measurements with no signal, only background
- Look at average upper-limit of true values
- CL's below that limit not very exciting



# Analysis Tools

## GolemFit, MEOWS Analysis tools

- Fitter for  $\theta_{24}$ ,  $\Delta m^2_{41}$
- Elegantly handles systematic uncertainties
  - Prior/Posterior for *all* nuisance params
- Optimized for tracks
- Modify for cascade analysis
- Investigate scope of this



# Summary and Outlook

- Showed non-zero  $\theta_{34}$  could give rise to cascade appearance
- Helped develop new MC tools for this analysis
- IceCube's MESE data sample ideal for this energy/topology
- To do:
  - Data sample, reconstruction
  - Calculate Sensitivities
  - Adapt MEOWS analysis tools for a cascade analysis

## Unprecedented constraint on Sterile Neutrinos



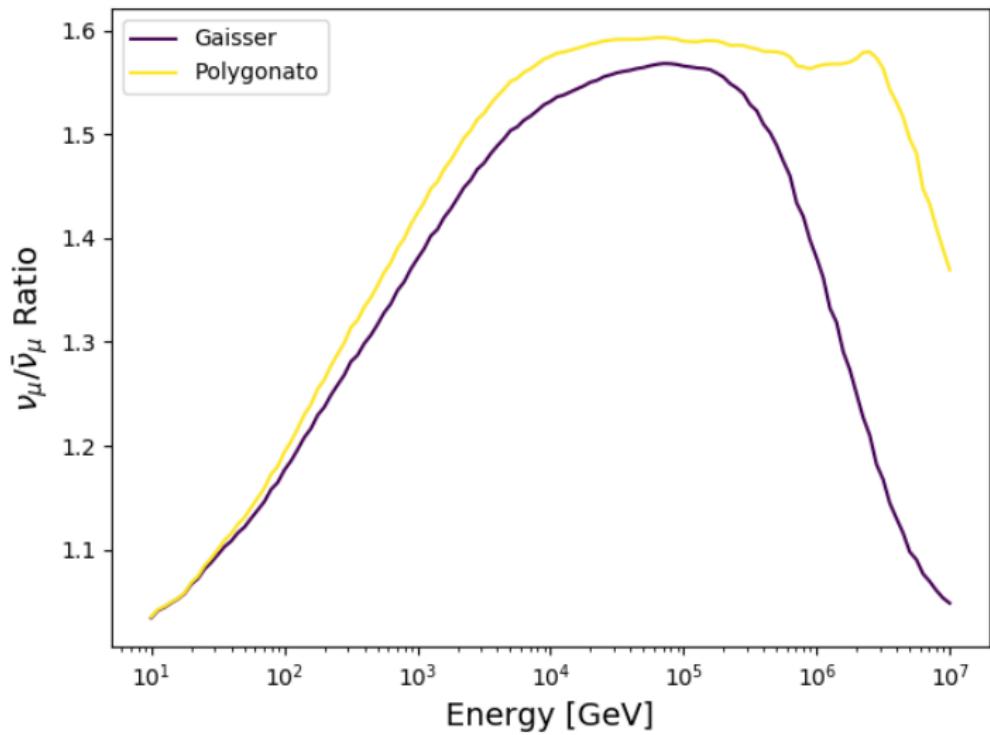
# Thank you! Questions?



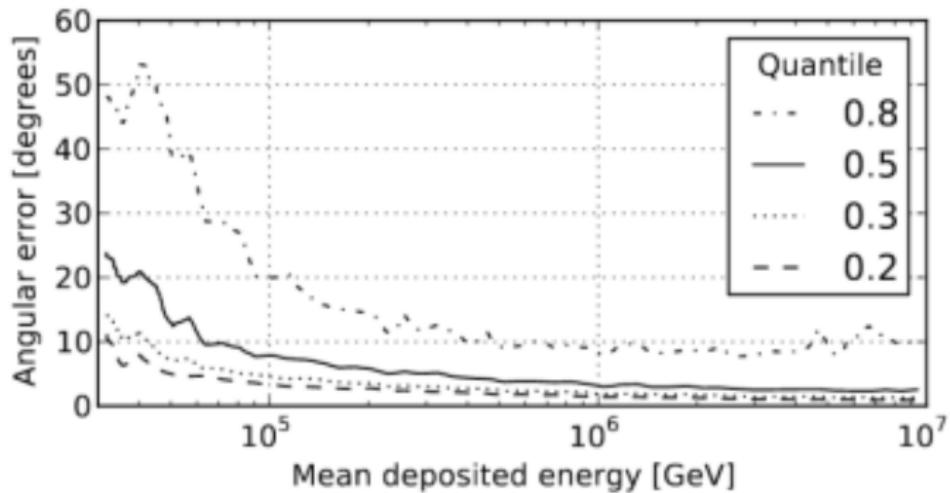
# Backup



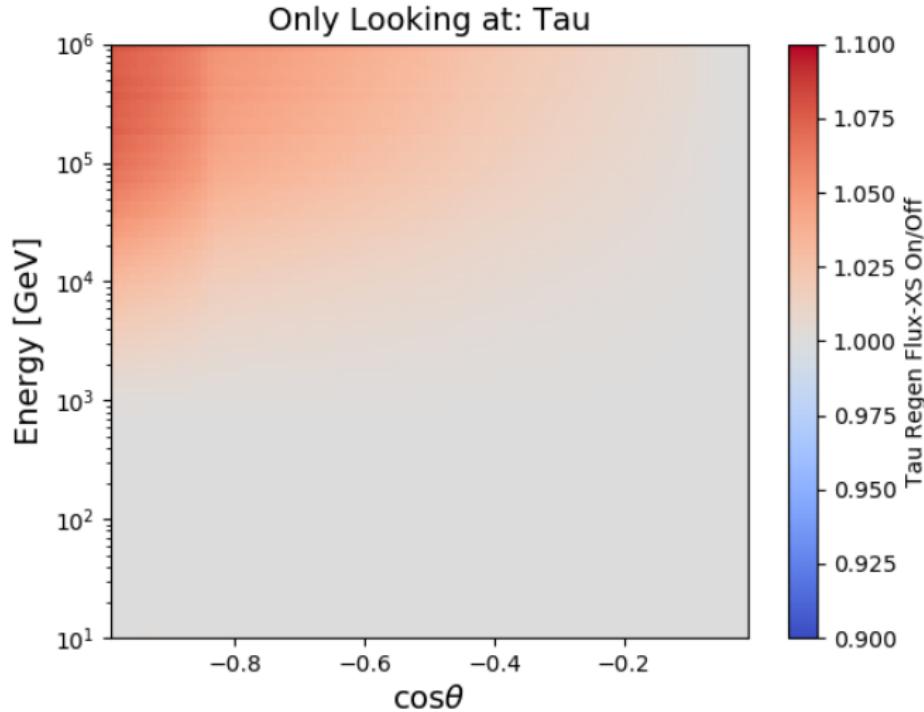
# Nu/Nubar Ratios



# E Reco Paper Angular Error

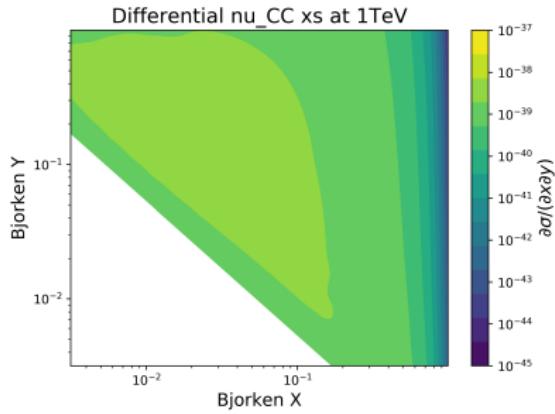


# Tau Regeneration

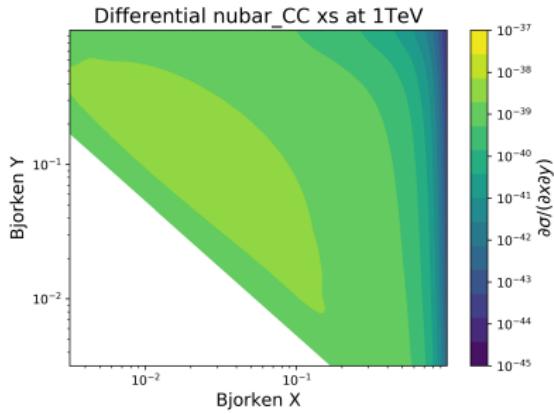


# Differential Cross Sections

Neutrino

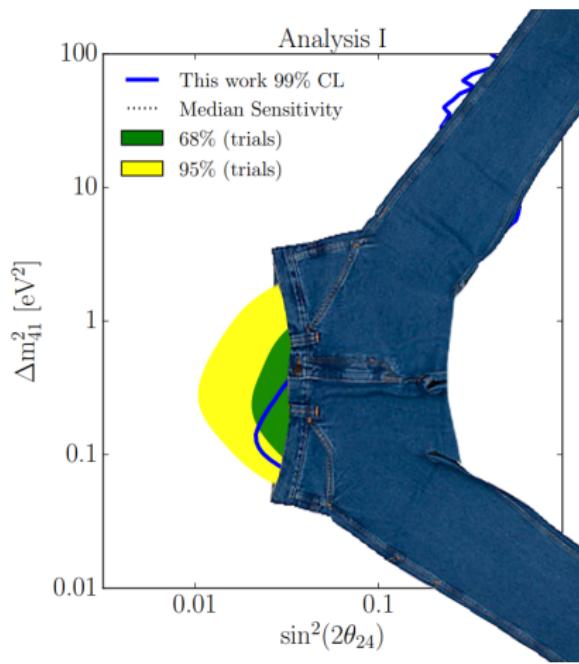


Anti-Neutrino



# If Brazil Bands Wore Pants

Would they wear them like this?



Or like this?

