

Symbolic Execution

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**Acknowledgement: Baishakhi Ray (Uva), Omar Chowdhury (Purdue),
Saswat Anand (GA Tech), Rupak Majumdar (UCLA), Koushik Sen
(UCB)**

What is the goal?

```
static OSStatus
SSLVerifySignedServerKeyExchange(SSLContext *ctx, bool isRsa, SSLBuffer signedParams,
                                 uint8_t *signature, UInt16 signatureLen)
{
    OSStatus err;
    ...
    if ((err = SSLHashSHA1.update(&hashCtx, &serverRandom)) != 0)
        goto fail;
    if ((err = SSLHashSHA1.update(&hashCtx, &signedParams)) != 0)
        goto fail;
    if ((err = SSLHashSHA1.final(&hashCtx, &hashOut)) != 0)
        goto fail;
    // code omitted for brevity...
    err = sslRawVerify(ctx,
                        ctx->peerPubKey,
                        dataToSign,
                        dataToSignLen,
                        signature,
                        signatureLen);
    if(err) {
        sslErrorLog("SSLDecodeSignedServerKeyExchange: sslRawVerify "
                    "returned %d\n", (int)err);
        goto fail;
    }
fail:
    SSLFreeBuffer(&signedHashes);
    SSLFreeBuffer(&hashCtx);
    return err;
}
```

Oops...

Never gets called
(but needed to be)...

Despite the name, always
returns "it's OK!!!"

Testing

- Testing approaches are in general manual
- Time consuming process
- Error-prone
- Incomplete
- Depends on the quality of the test cases or inputs
- Provides little in terms of coverage

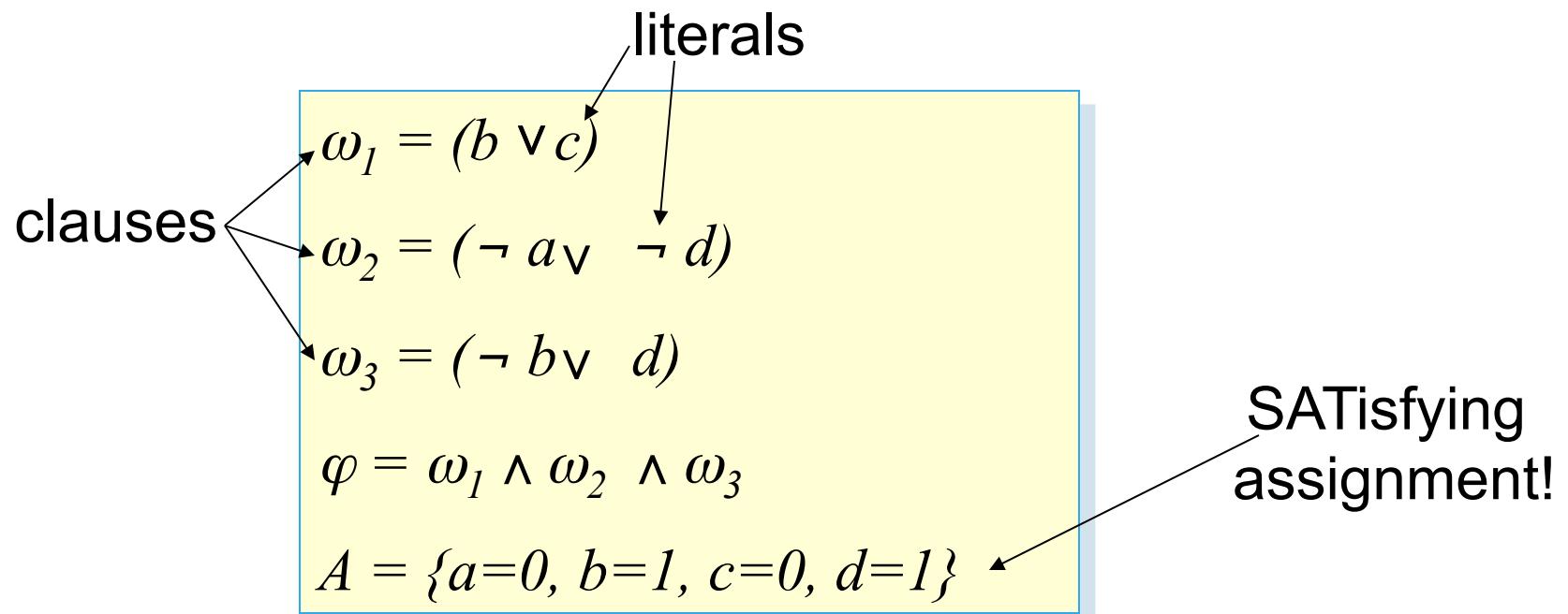
Can

Yes, we can.

Can we some
it automatic?

Background: SAT

Given a propositional formula in CNF, find if there exists an assignment to Boolean variables that makes the formula true:



Background: SMT (Satisfiability Modulo Theory)

- An SMT instance is a generalization of a Boolean SAT instance
- Various sets of variables are replaced by predicates from a variety of underlying theories.

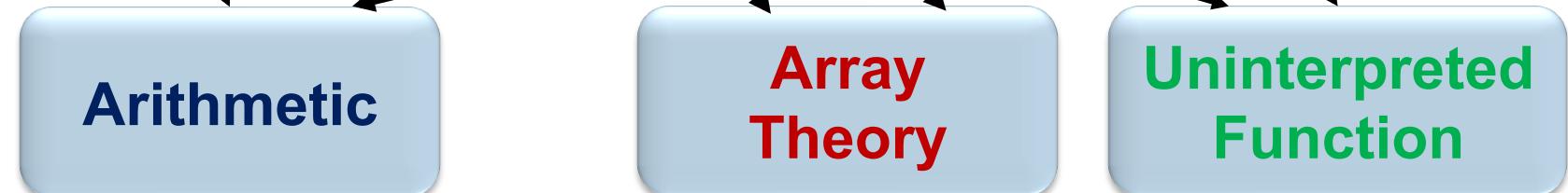
Input: a **first-order** formula φ over background theory (Arithmetic, Arrays, Bit-vectors, Algebraic Datatypes)

Output: is φ satisfiable?

- does φ have a model?
- Is there a refutation of φ = proof of $\neg\varphi$?

Background: SMT

$b + 2 = c$ and $f(\text{read}(\text{write}(a,b,3), c-2)) \neq f(c-b+1)$



Example SMT Solving

$b + 2 = c$ and $f(\text{read}(\text{write}(a,b,3), c-2)) \neq f(c-b+1)$

[Substituting c by $b+2$]

$b + 2 = c$ and $f(\text{read}(\text{write}(a,b,3), b+2-2)) \neq f(b+2-b+1)$

[Arithmetic simplification]

$b + 2 = c$ and $f(\text{read}(\text{write}(a,b,3), b)) \neq f(3)$

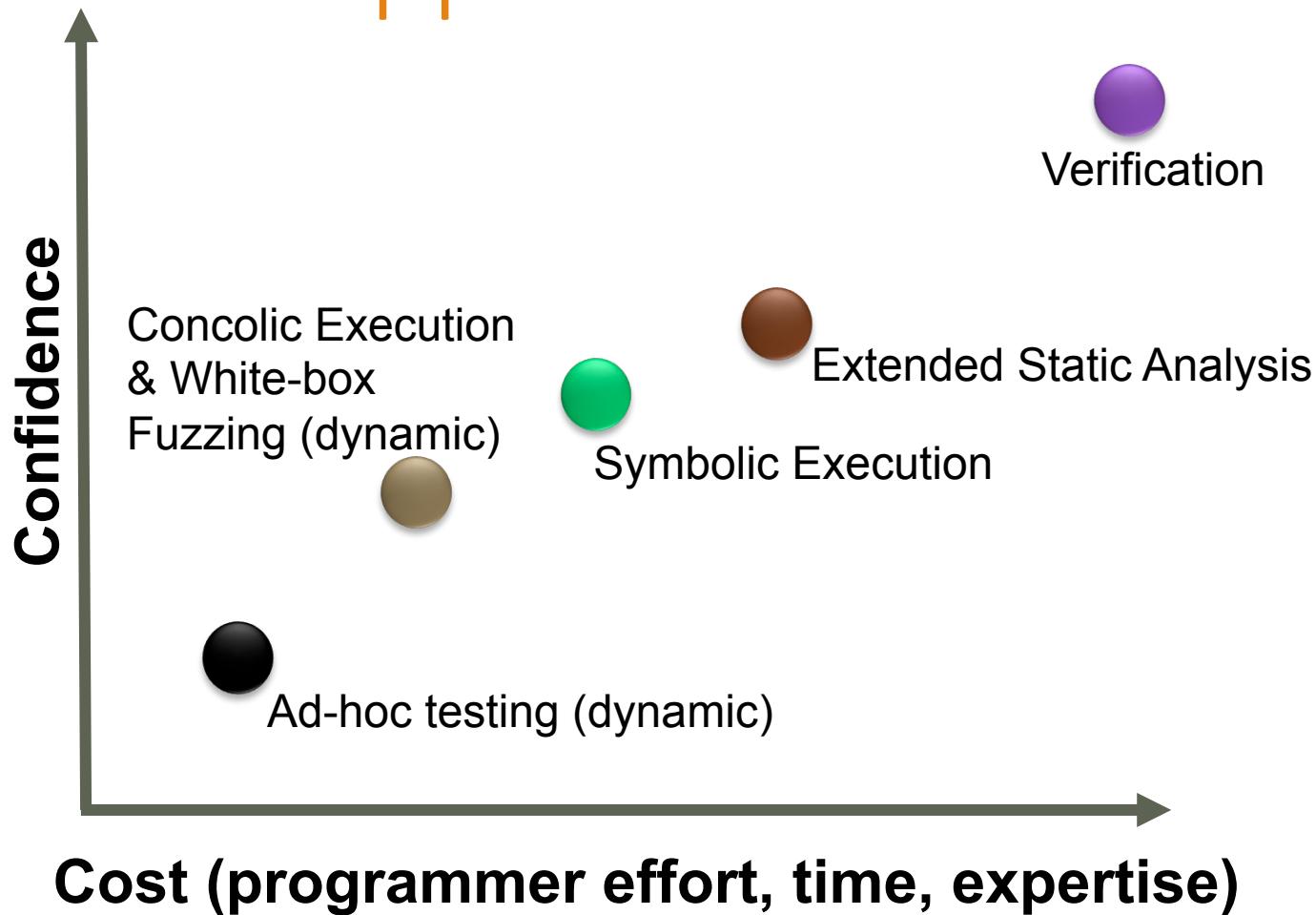
[Applying array theory axiom]

forall $a,i,v:\text{read}(\text{write}(a,i,v), i) = v$]

$b+2 = c$ and $f(3) \neq f(3)$ [NOT SATISFIABLE]

read : array \times index \rightarrow element
write : array \times index \times element \rightarrow array

Program Validation Approaches



Automatic Test Generation

Symbolic & Concolic Execution

How do we automatically generate test inputs that induce the program to go in different paths?

Intuition:

- Divide the whole possible input space of the program into equivalent classes of input.
- For each equivalence class, all inputs in that equivalence class will induce the same program path.
- Test one input from each equivalence class.

Logistics Update

- PA1 is due *before class* on Wednesday (8th Feb).
- Answer the last two questions (part 2 and 3) in PA1 in as much detail as possible
- Your piazza answers will be counted as class participation, so be a good citizen and try to help others!
- Project timeframe:
 - List of group members due - Feb 13th before class
 - Project proposal (1 page) due - Feb 22nd before class
 - Midterm project status report (1 page) due - Mar 27th before class
 - Final report (>=6 pages) due - May 3rd
- There will be 4 PAs (no quizzes). Two before midterm and two after midterm.
- The midterm will be open book/note.

Symbolic Execution

```
Void func(int x, int y){
```

```
    int z = 2 * y;
```

```
    if(z == x){
```

```
        if (x > y + 10)
```

ERROR

```
}
```

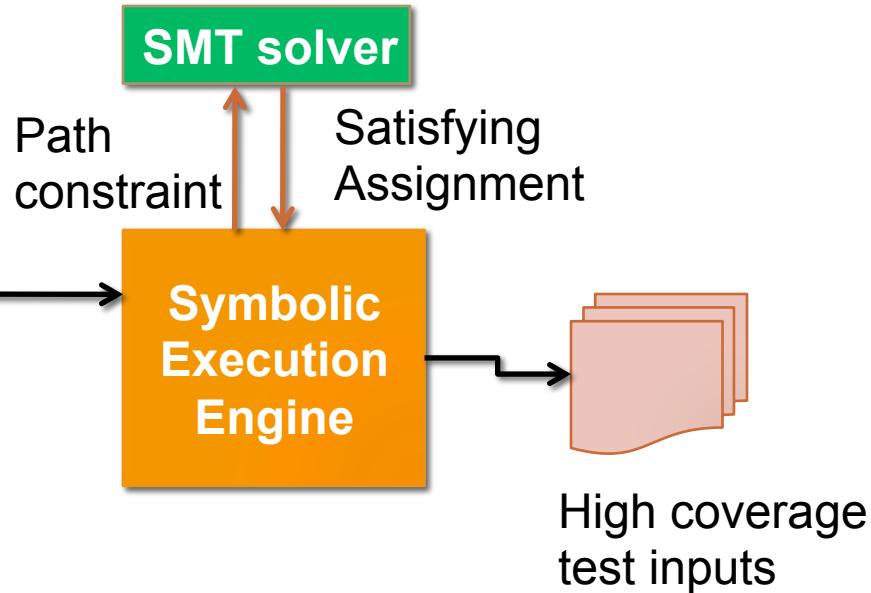
```
int main(){
```

```
    int x = sym_input();
```

```
    int y = sym_input();
```

```
    func(x, y);
```

```
    return 0;}
```



Symbolic Execution

Symbolic Execution

Execute the program with symbolic valued inputs (**Goal: good path coverage**)

Represents *equivalence class of inputs* with first order logic formulas (**path constraints**)

One path constraint abstractly represents all inputs that induces the program execution to go down a specific path

Solve the path constraint to obtain one representative input that exercises the program to go down that specific path

Symbolic execution implementations: KLEE, Java PathFinder, etc.

More details on Symbolic Execution

Instead of concrete state, the program maintains **symbolic states**, each of which maps variables to symbolic values

Path condition is a quantifier-free formula over the symbolic inputs that encodes all branch decisions taken so far

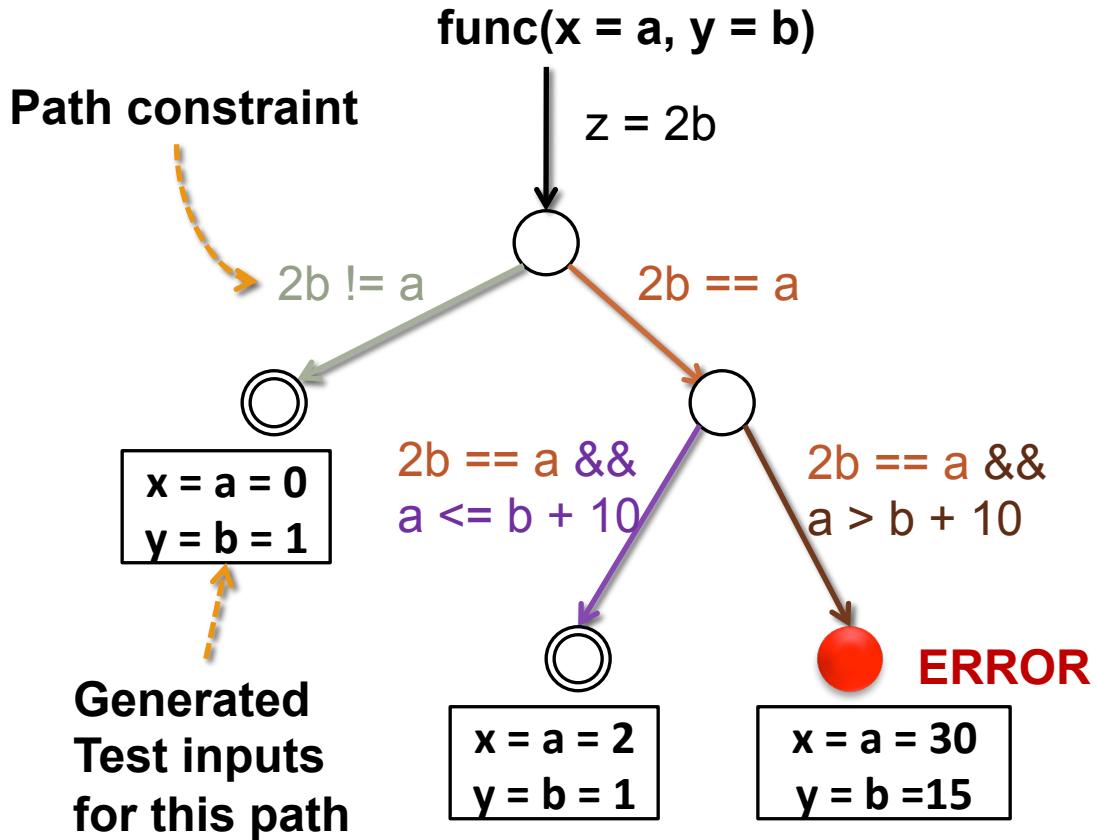
All paths in the program form its **execution tree**, in which some paths are feasible and some are infeasible



Symbolic Execution

```
Void func(int x, int y){  
    int z = 2 * y;  
    if(z == x){  
        if (x > y + 10)  
            ERROR  
    }  
  
    int main(){  
        int x = sym_input();  
        int y = sym_input();  
        func(x, y);  
        return 0;  
    }  
}
```

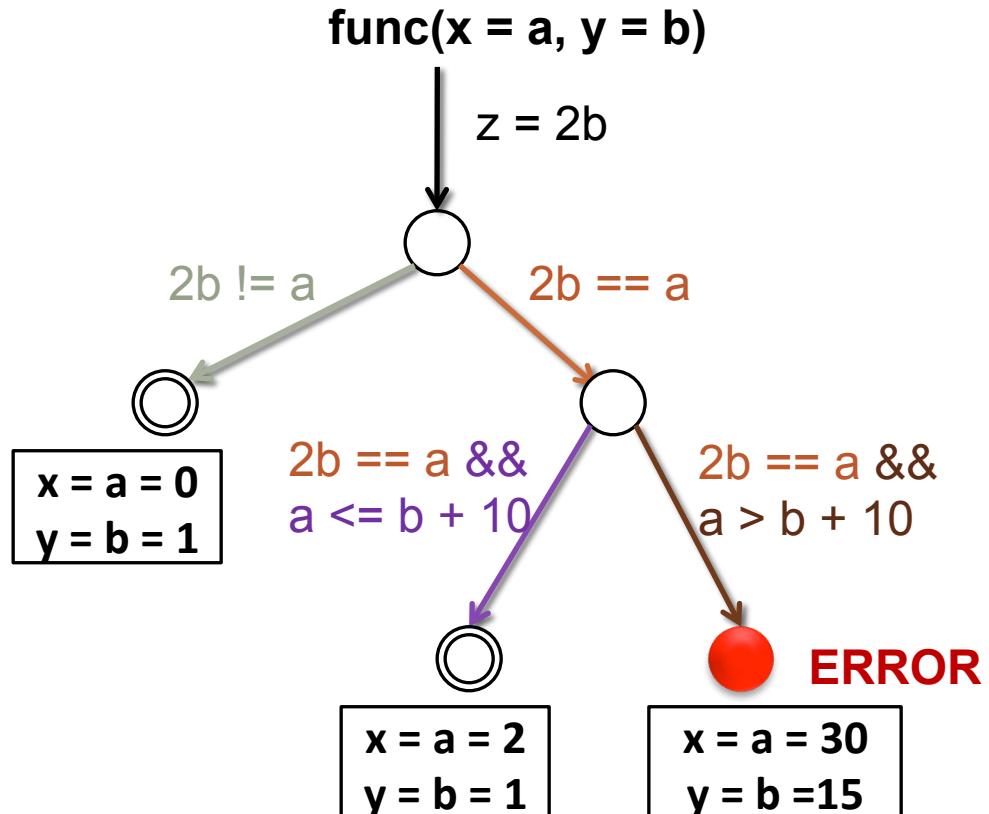
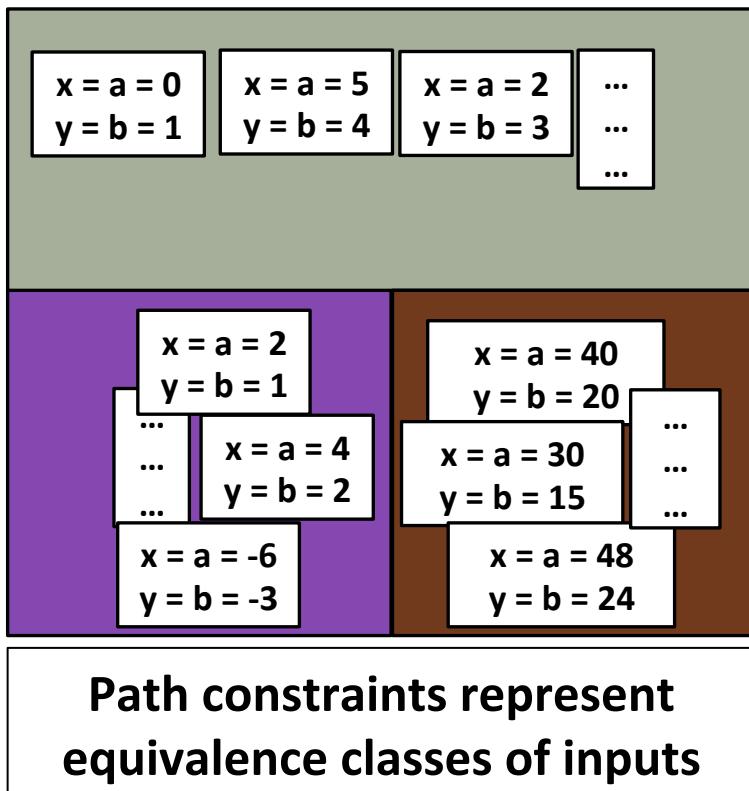
How does symbolic execution work?



Note: Require inputs to be marked as symbol

Symbolic Execution

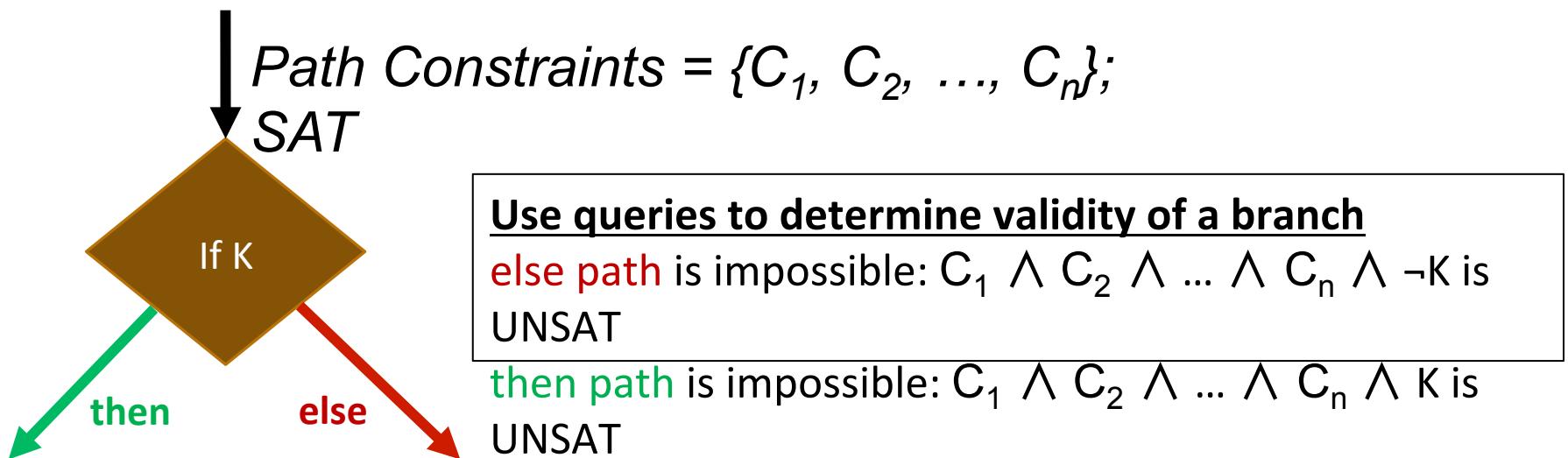
How does symbolic execution work?



SMT Queries

Counterexample queries (generate a test case)

Branch queries (whether a branch is valid)



Optimizing SMT Queries

Expression rewriting

- Simple arithmetic simplifications ($x * 0 = 0$)
- Strength reduction ($x * 2^n = x \ll n$)
- Linear simplification ($2 * x - x = x$)

Constraint set simplification

- $x < 10 \ \&\& \ x = 5 \rightarrow x = 5$

Implied Value Concretization

- $x + 1 = 10 \rightarrow x = 9$

Constraint Independence

- $i < j \ \&\& \ j < 20 \ \&\& \ k > 0 \ \&\& \ i = 20 \rightarrow i < j \ \&\& \ i < 20 \ \&\& \ i = 20$

Optimizing SMT Queries (contd.)

Counter-example Cache

- $i < 10 \ \&\& \ i = 10$ (no solution)
- $i < 10 \ \&\& \ j = 8$ (satisfiable, with variable assignments $i \rightarrow 5, j \rightarrow 8$)

Superset of unsatisfiable constraints

- $\{i < 10, i = 10, j = 12\}$ (unsatisfiable)

Subset of satisfiable constraints

- $i \rightarrow 5, j \rightarrow 8$, satisfies $i < 10$

Superset of satisfiable constraints

- Same variable assignments might work

How does Symbolic Execution Find bugs?

It is possible to extend symbolic execution to help

How: Dedicated checkers

- Divide by zero example --- $y = x / z$ where $x = 1$ and $y = 2$
assume current PC is f
- Even though we only fork in one branch, we can still check for errors in the other branch
- One branch in wL will be $x = 1$ and $y = 2$
- We will get a constraint $z = 0$

Write a dedicated checker for each kind of bug (e.g., buffer overflow, integer overflow, integer underflow)

For example, if we have a constraint $z = 0$ and we are in a branch where $z \neq 0$, this will give us concrete input values that will trigger an error.

Classic Symbolic Execution ---

Practical Issues

Loops and recursions --- infinite execution tree

Path explosion --- exponentially many paths

Heap modeling --- symbolic data structures and pointers

SMT solver limitations --- dealing with complex path constraints

Environment modeling --- dealing with native/system/library calls/file operations/network events

Coverage Problem --- may not reach deep into the execution tree, specially when encountering loops.

Solution: Concolic Execution

Concolic = **Concrete** + **Symbolic**

Combining Classical Testing with Automatic
Program Analysis

Also called **dynamic symbolic execution**

The intention is to visit deep into the program execution tree

Program is simultaneously executed with concrete and symbolic inputs

Start off the execution with a random input

Specially useful in cases of remote procedure call

Concolic execution implementations: SAGE (Microsoft), CREST

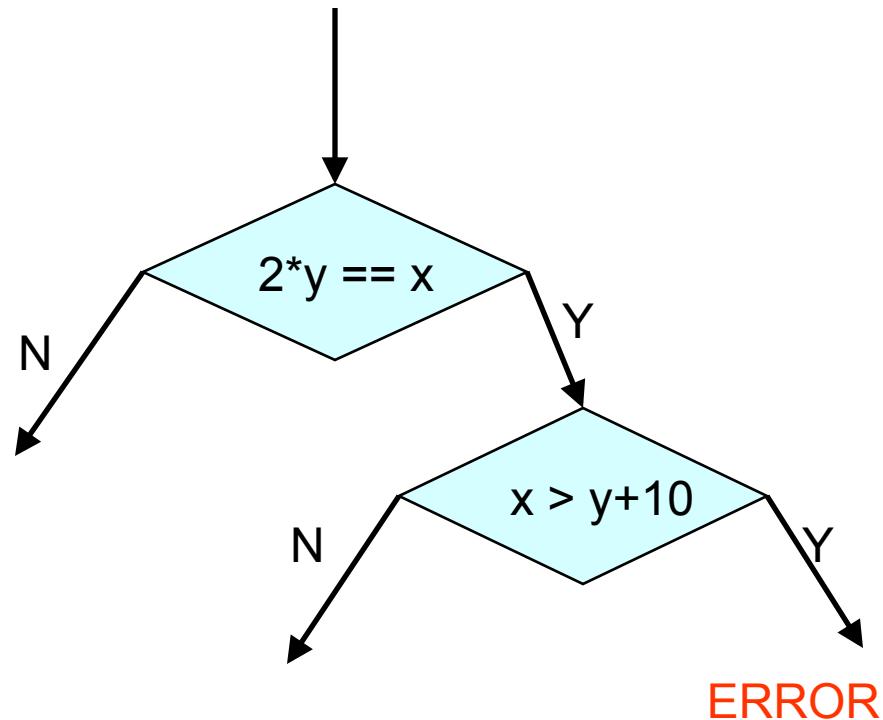
Concolic Execution Steps

- Generate a random seed input to start execution
- Concretely execute the program with the random seed input and collect the path constraint
- Example: **a && b && c**
- In the next iteration, negate the last conjunct to obtain the constraint **a && b && !c**
- Solve it to get input to the path which matches all the branch decisions except the last one

Why not from
the first?

Example

```
void testme (int x, int y)
{
    z = 2*y;
    if (z == x) {
        if (x > y+10) {
            ERROR;
        }
    }
}
```



Concolic execution example

```
void testme (int x, int y) {  
    z = 2*y;  
    if (z == x) {  
        if (x > y+10) {  
            ERROR;  
        }  
    }  
}
```

Concrete Execution	Symbolic Execution	path condition
concrete state x = 22, y = 7	symbolic state x = a, y = b	

Concolic execution example

```
void testme (int x, int y) {  
    z = 2*y;  
    ←  
    if (z == x) {  
        if (x > y+10) {  
            ERROR;  
        }  
    }  
}
```

Concrete Execution	Symbolic Execution	path condition
concrete state $x = 22, y = 7, z = 14$	symbolic state $x = a, y = b, z = 2^*b$	

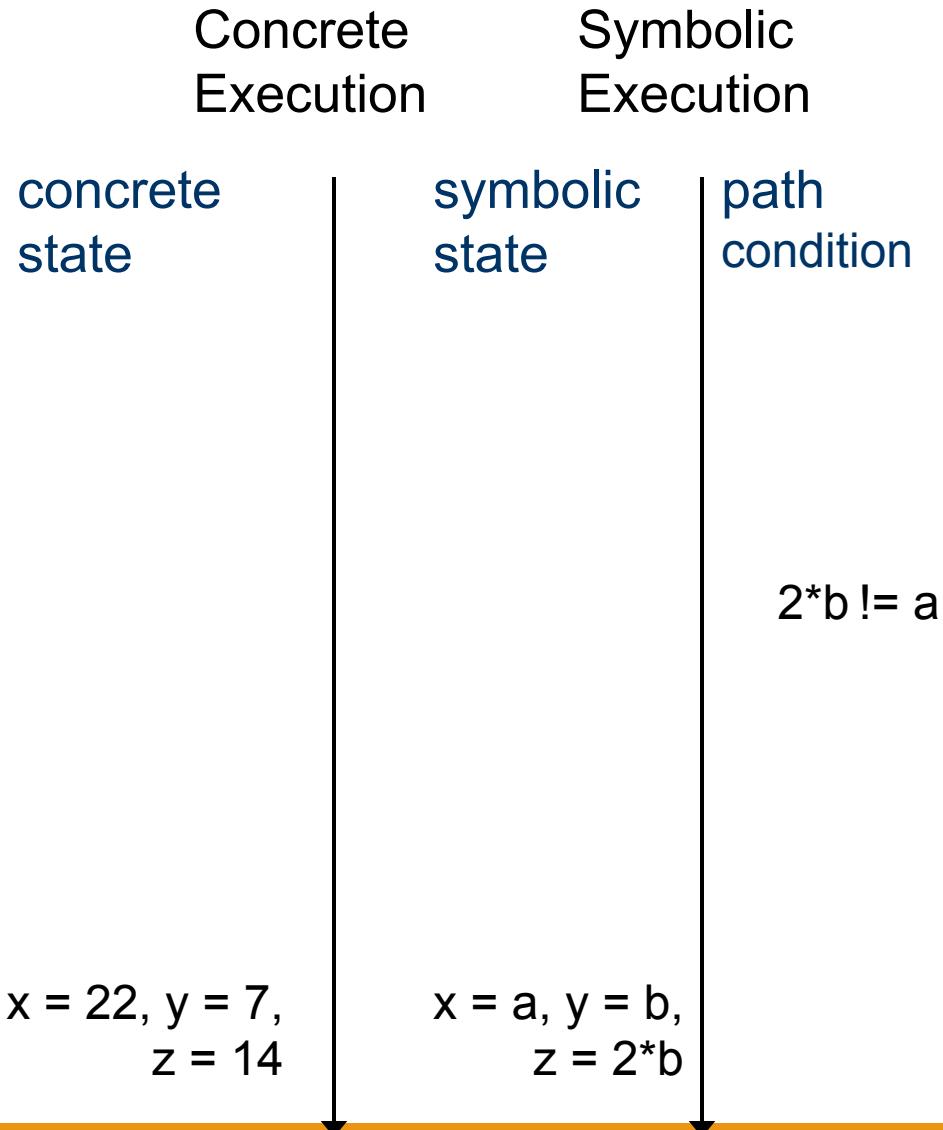
Concolic execution example

```
void testme (int x, int y) {  
    z = 2*y;  
    ←  
    if (z == x) {  
        if (x > y+10) {  
            ERROR;  
        }  
    }  
}
```

Concrete Execution	Symbolic Execution	path condition
concrete state $x = 22, y = 7, z = 14$	symbolic state $x = a, y = b, z = 2^*b$	

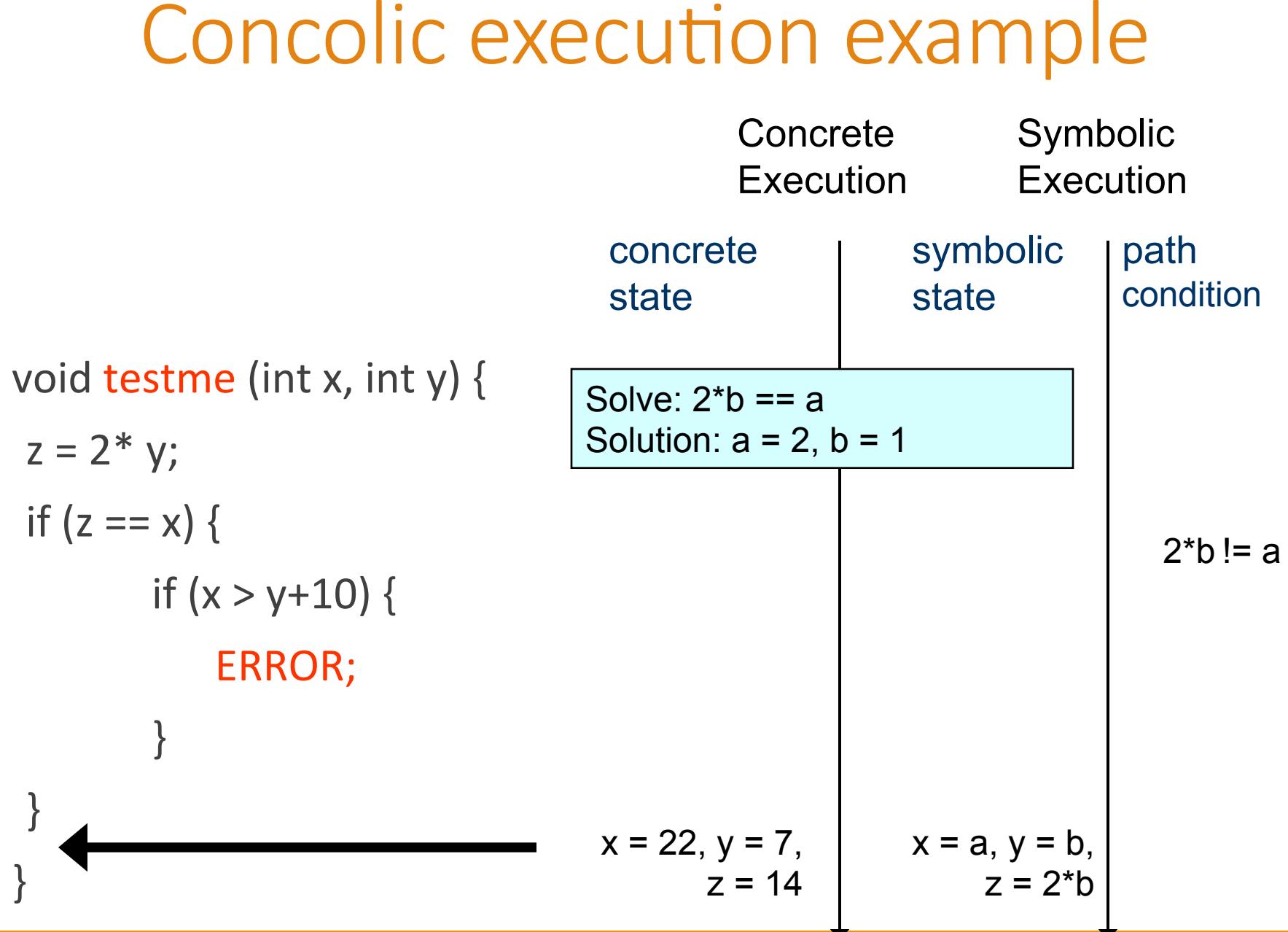
Concolic execution example

```
void testme (int x, int y) {  
    z = 2*y;  
    if (z == x) {  
        if (x > y+10) {  
            ERROR;  
        }  
    }  
}
```



Concolic execution example

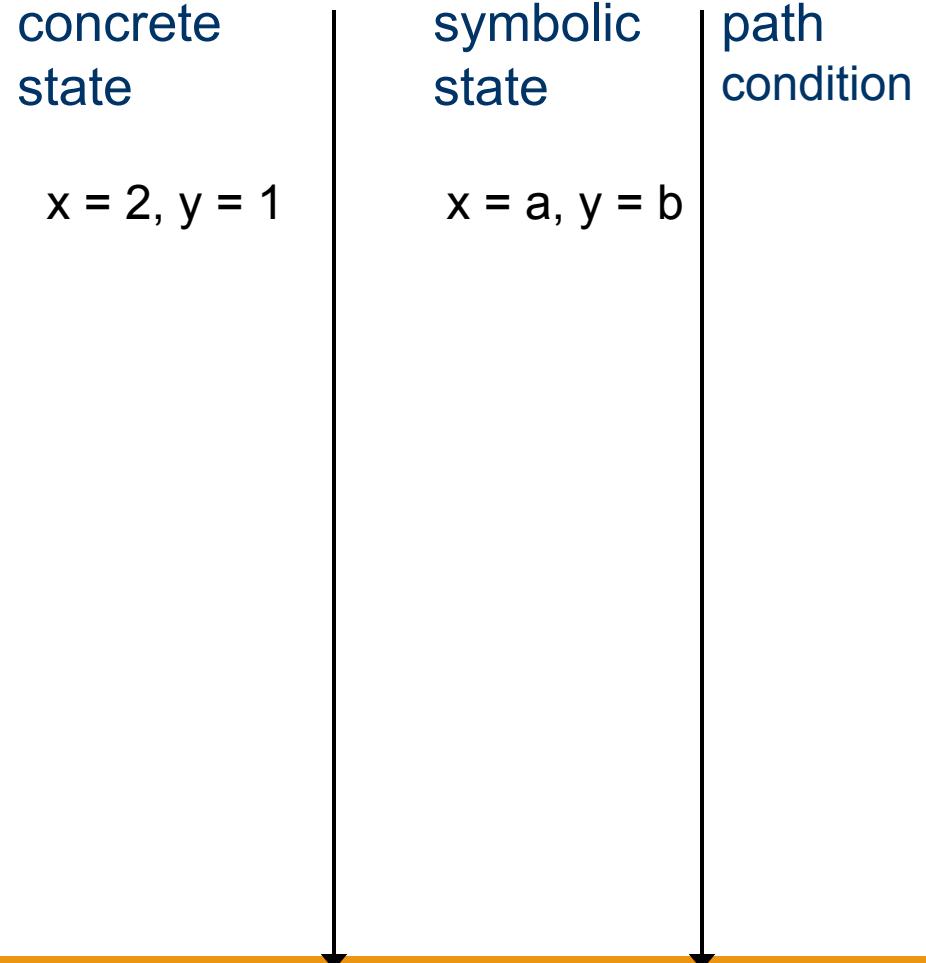
```
void testme (int x, int y) {  
    z = 2*y;  
    if (z == x) {  
        if (x > y+10) {  
            ERROR;  
        }  
    }  
}
```



Concolic execution example

```
void testme (int x, int y) {  
    z = 2*y;  
    if (z == x) {  
        if (x > y+10) {  
            ERROR;  
        }  
    }  
}
```

Concrete Execution	Symbolic Execution	path condition
concrete state $x = 2, y = 1$	symbolic state $x = a, y = b$	



Concolic execution example

```
void testme (int x, int y) {  
    z = 2*y;  
    ←  
    if (z == x) {  
        if (x > y+10) {  
            ERROR;  
        }  
    }  
}
```

Concrete Execution	Symbolic Execution	path condition
concrete state $x = 2, y = 1, z = 2$	symbolic state $x = a, y = b, z = 2^*b$	

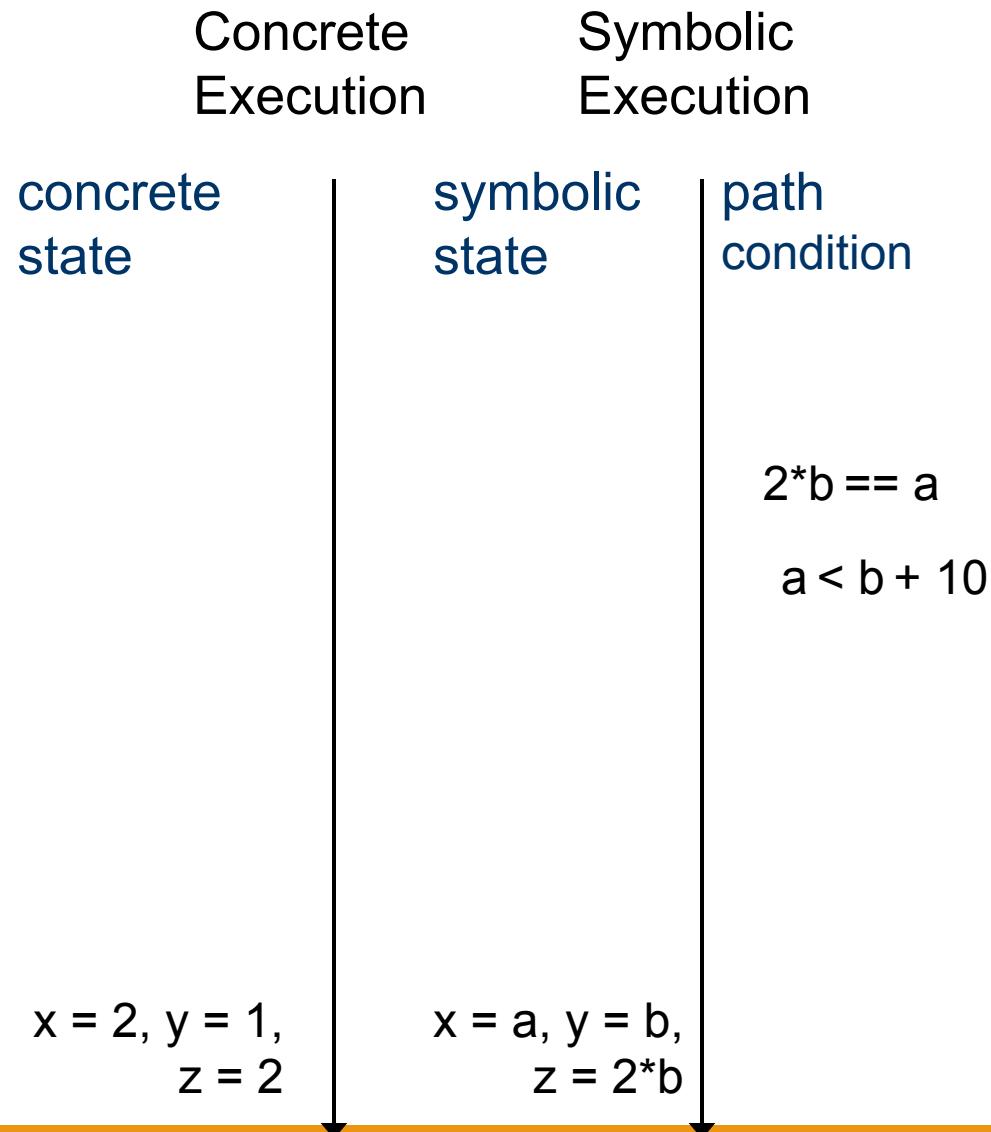
Concolic execution example

```
void testme (int x, int y) {  
    z = 2*y;  
    if (z == x) {  
        ←  
        if (x > y+10) {  
            ERROR;  
        }  
    }  
}
```

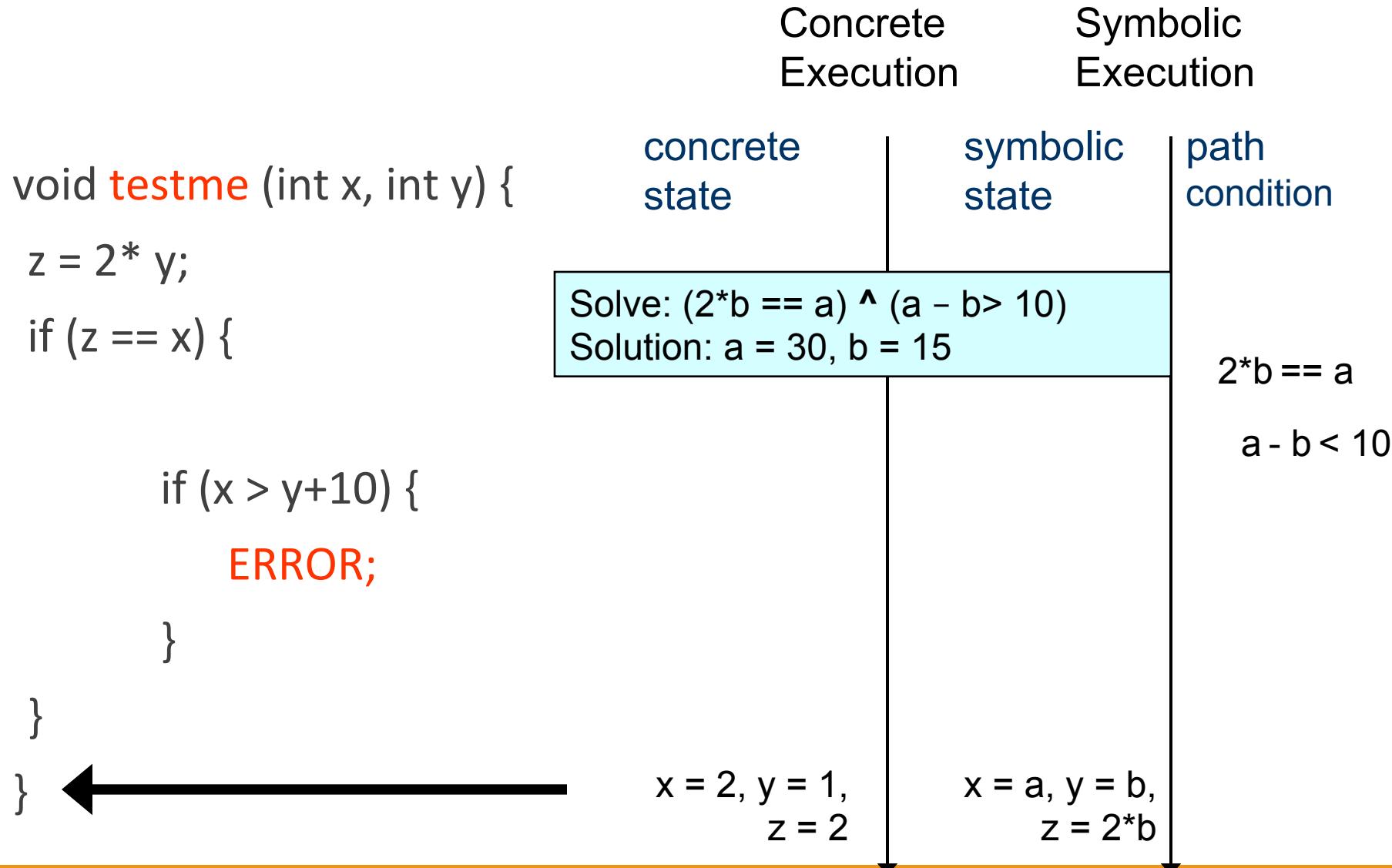
Concrete Execution	Symbolic Execution	path condition
concrete state $x = 2, y = 1, z = 2$	symbolic state $x = a, y = b, z = 2^b$	$2^b == a$

Concolic execution example

```
void testme (int x, int y) {  
    z = 2*y;  
    if (z == x) {  
        if (x > y+10) {  
            ERROR;  
        }  
    }  
}
```



Concolic execution example

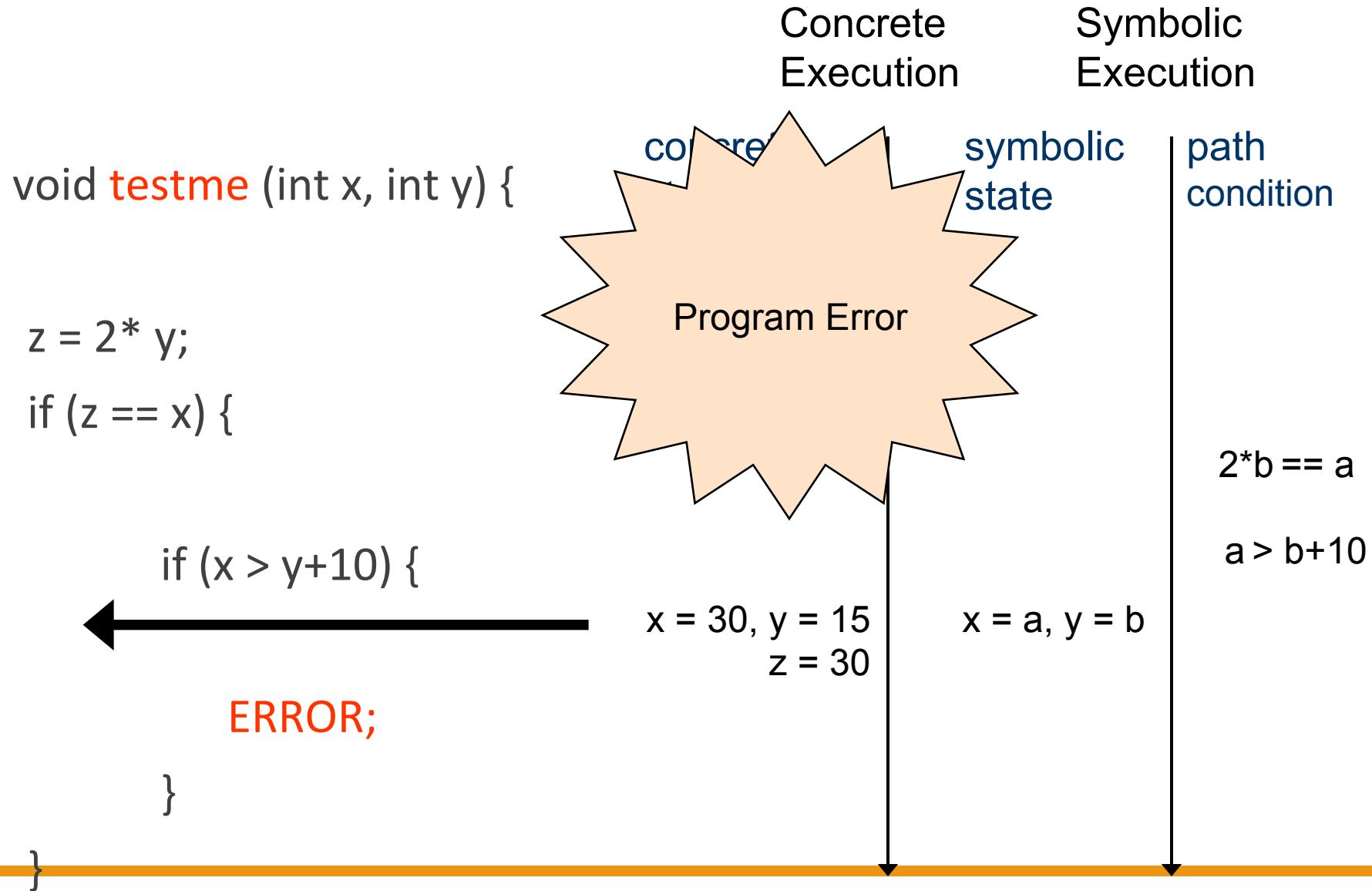


Concolic execution example

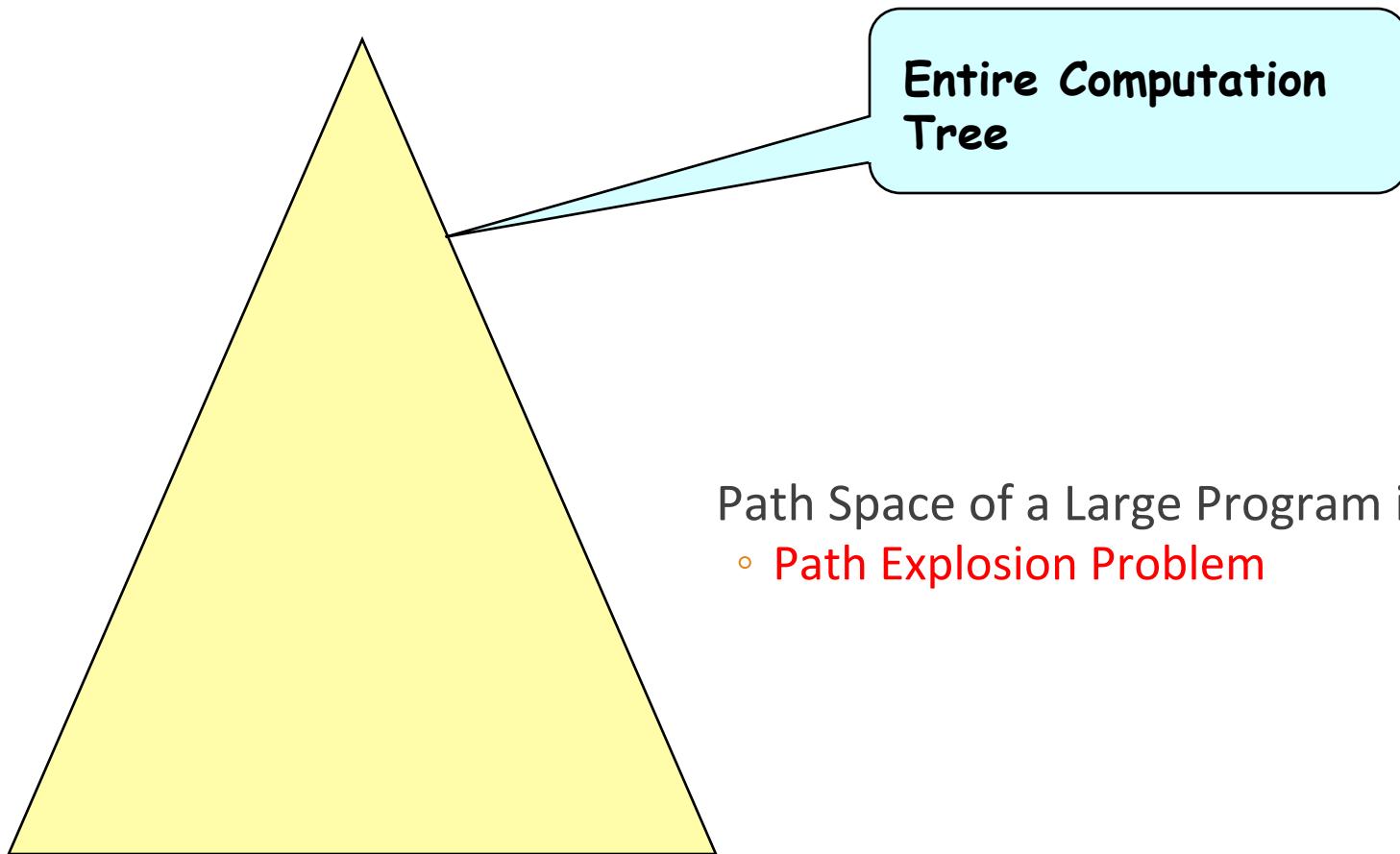
```
void testme (int x, int y) {  
    z = 2*y;  
    ←  
    if (z == x) {  
        if (x > y+10) {  
            ERROR;  
        }  
    }  
}
```

Concrete Execution	Symbolic Execution	path condition
concrete state x = 30, y = 15	symbolic state x = a, y = b	

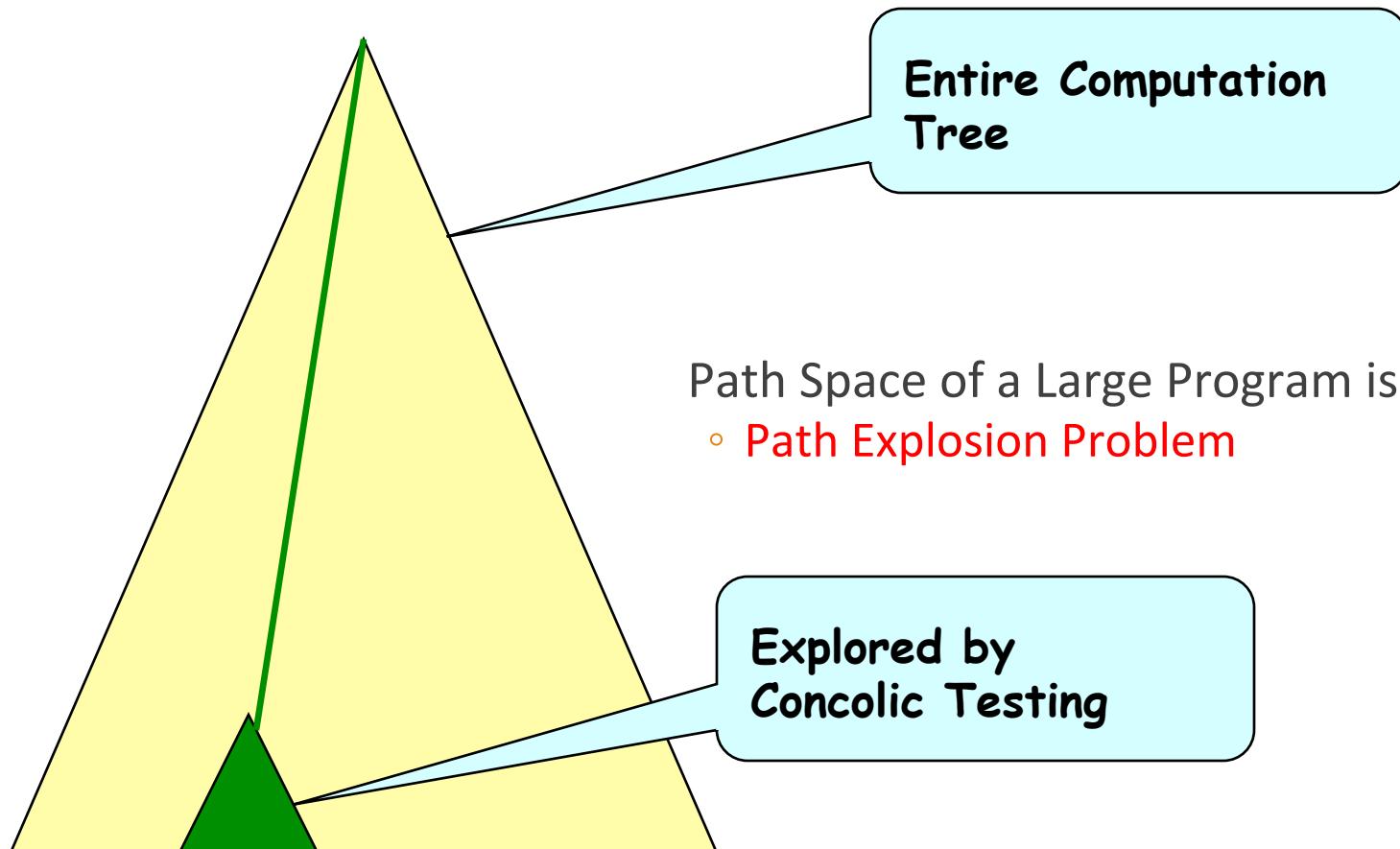
Concolic execution example



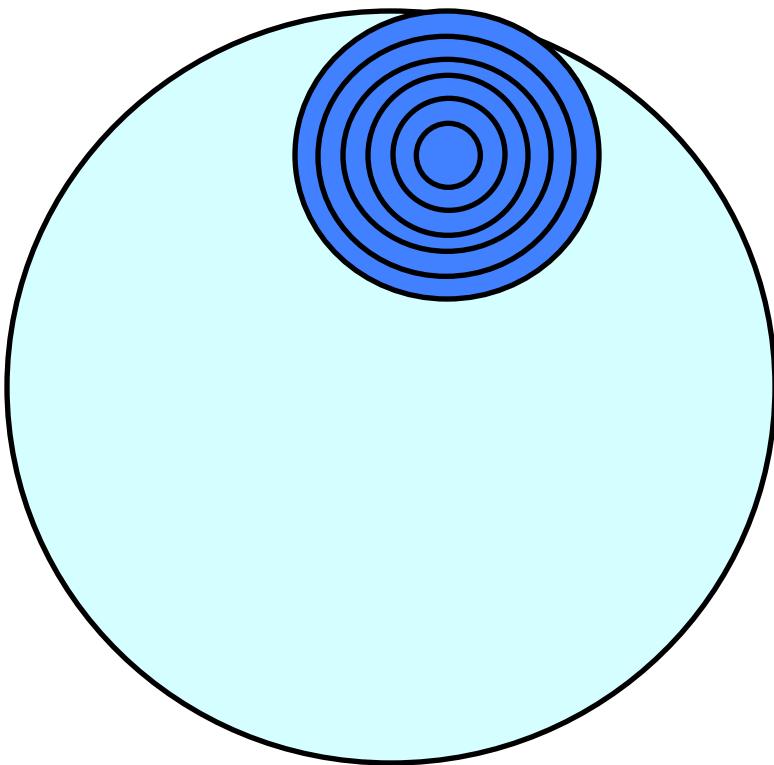
Limitations



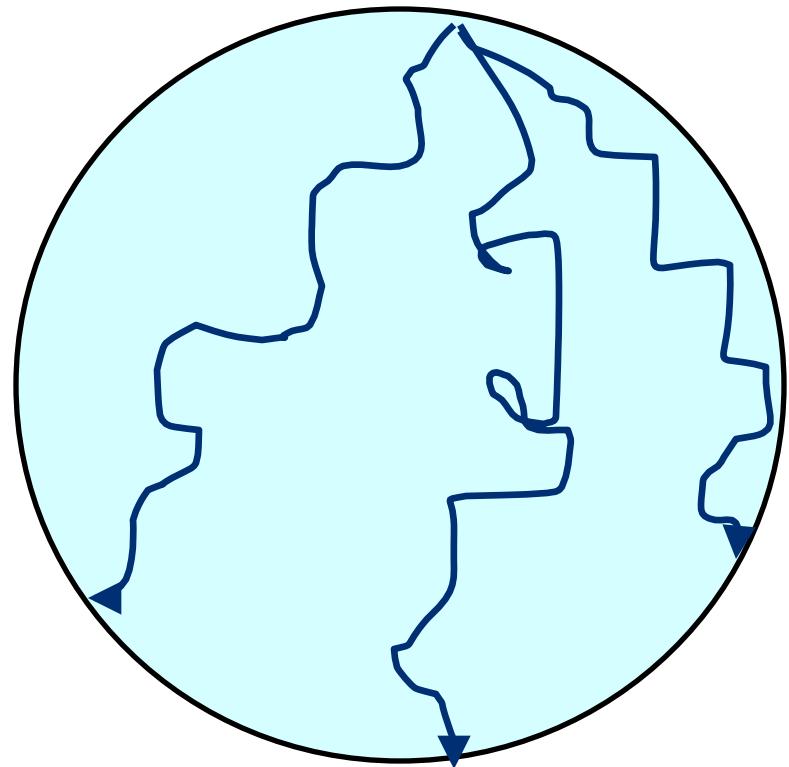
Limitations



Limitations: a comparative view



Concolic: Broad, shallow



Random: Narrow, deep

Limitations: Example

```
Example ( ) {  
1: state = 0;  
2: while(1) {  
3:   s = input();  
4:   c = input();  
5:   if(c==':' && state==0)  
       state=1;  
6:   else if(c=='\n' && state==1)  
       state=2;  
7:   else if (s[0]=='I' &&  
           s[1]=='C' &&  
           s[2]=='S' &&  
           s[3]=='E' &&  
           state==2) {  
           COVER_ME:;  
       }  
   }  
}
```

- Want to hit **COVER_ME**
- **input()** denotes external input
- Can be hit on an input sequence
s = “ICSE”
c : ‘:’ ‘\n’

Similar code in

- Text editors (vi)
- Parsers (lexer)
- Event-driven programs (GUI)

Limitations: Example

```
Example ( ) {  
1: state = 0;  
2: while(1) {  
3:   s = input();  
4:   c = input();  
5:   if(c==':' && state==0)  
       state=1;  
6:   else if(c=='\n' && state==1)  
       state=2;  
7:   else if (s[0]=='I' &&  
             s[1]=='C' &&  
             s[2]=='S' &&  
             s[3]=='E' &&  
             state==2) {  
           COVER_ME:;  
       }  
   }  
}
```

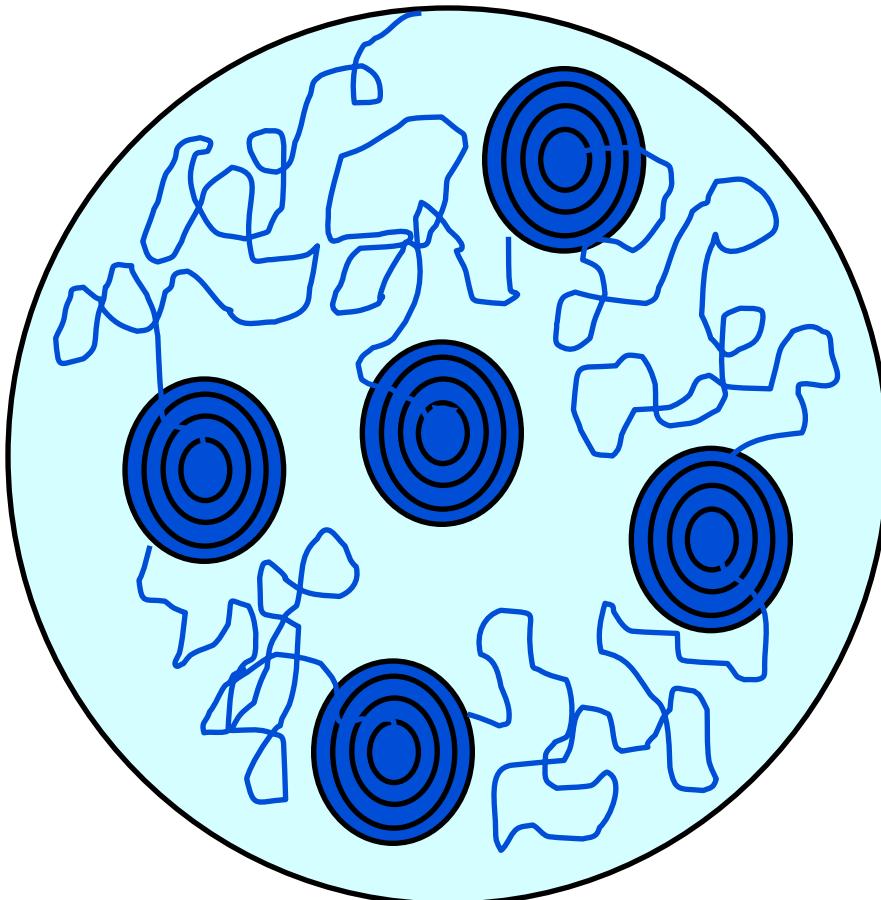
- **Pure random testing** can get to state = 2

But difficult to get 'ICSE' as a Sequence

Probability $1/(2^8)^6 \gg 3 \times 10^{-15}$

- **Conversely, concolic testing** can generate 'ICSE' but explores many paths to get to state = 2

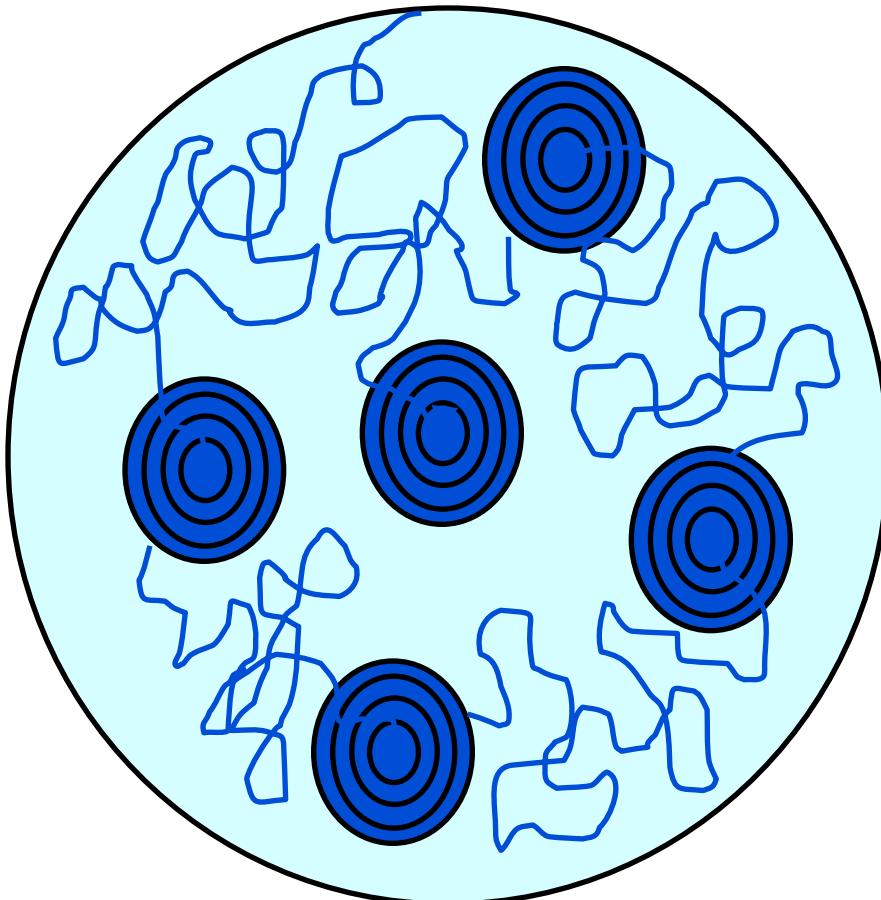
Hybrid concolic testing



```
while (not required coverage) {  
    while (not saturation)  
        perform random testing;  
    Checkpoint;  
    while (not increase in coverage)  
        perform concolic testing;  
    Restore;  
}
```

**Interleave Random Testing and
Concolic Testing to increase coverage**

Hybrid Concolic Testing



```
while (not required coverage) {  
    while (not saturation)  
        perform random testing;  
    Checkpoint;  
    while (not increase in coverage)  
        perform concolic testing;  
    Restore;  
}  
Interleave Random Testing and  
Concolic Testing to increase coverage
```

Deep, broad search
Hybrid Search

Hybrid Concolic Testing

```
Example ( ) {  
1: state = 0;  
2: while(1) {  
3:   s = input();  
4:   c = input();  
5:   if(c==':' && state==0)  
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7:   else if (s[0]=='I' &&  
             s[1]=='C' &&  
             s[2]=='S' &&  
             s[3]=='E' &&  
             state==2) {  
           COVER_ME:  
       }  
   }  
}
```

Random Phase

- '\$', '&', '!', '6', '!', '%', '^', '\n', 'x', '...', ...
- Saturates after many (~10000) iterations
- In less than 1 second
- **COVER_ME** is not reached

Hybrid Concolic Testing

```
Example ( ) {  
1: state = 0;  
2: while(1) {  
3:   s = input();  
4:   c = input();  
5:   if(c==':' && state==0)  
       state=1;  
6:   else if(c=='\n' && state==1)  
       state=2;  
7:   else if (s[0]=='I' &&  
             s[1]=='C' &&  
             s[2]=='S' &&  
             s[3]=='E' &&  
             state==2) {  
           COVER_ME:;  
       }  
   }  
}
```

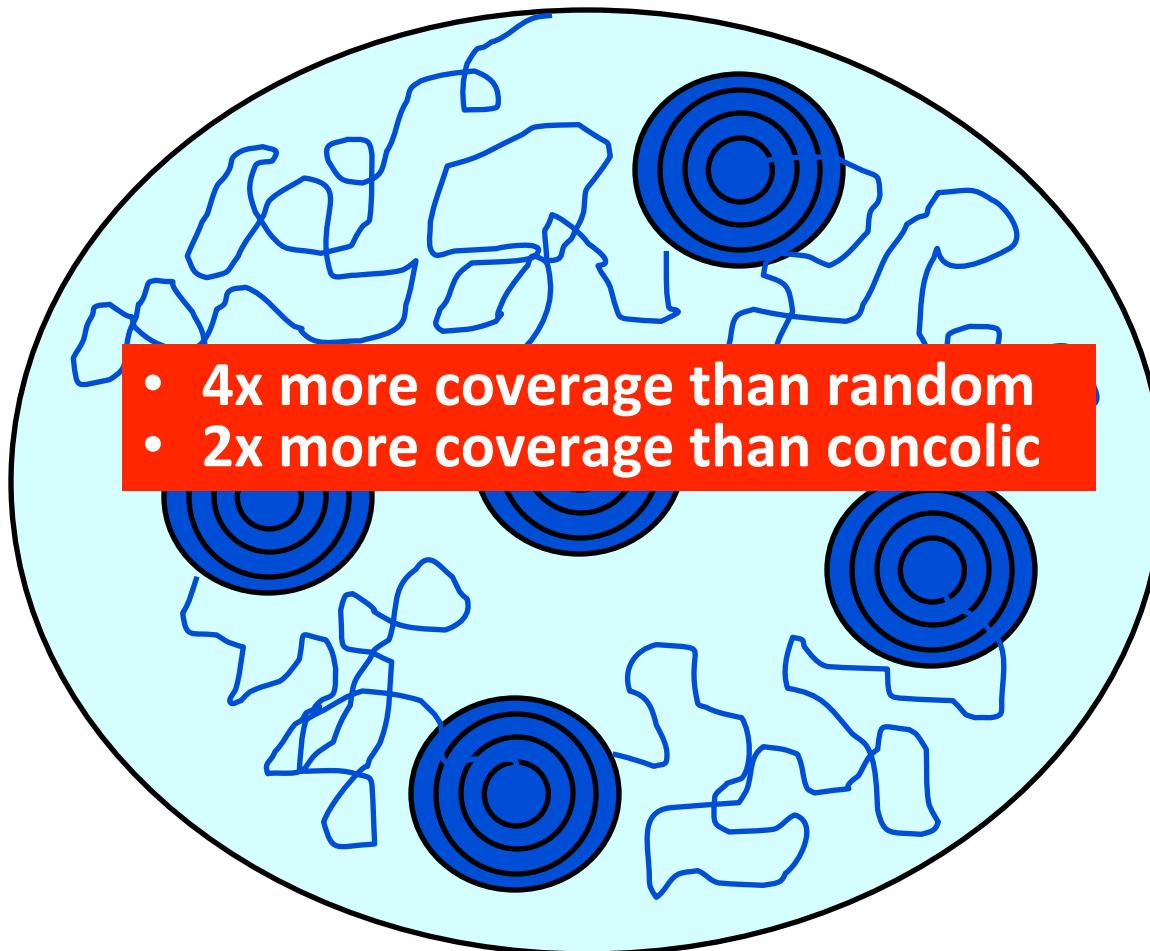
Random Phase

- '\$', '&', '!', '6', '!', '%', '^', '\n', 'x', '...', ...
- Saturates after many (~10000) iterations
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- **COVER_ME** is not reached

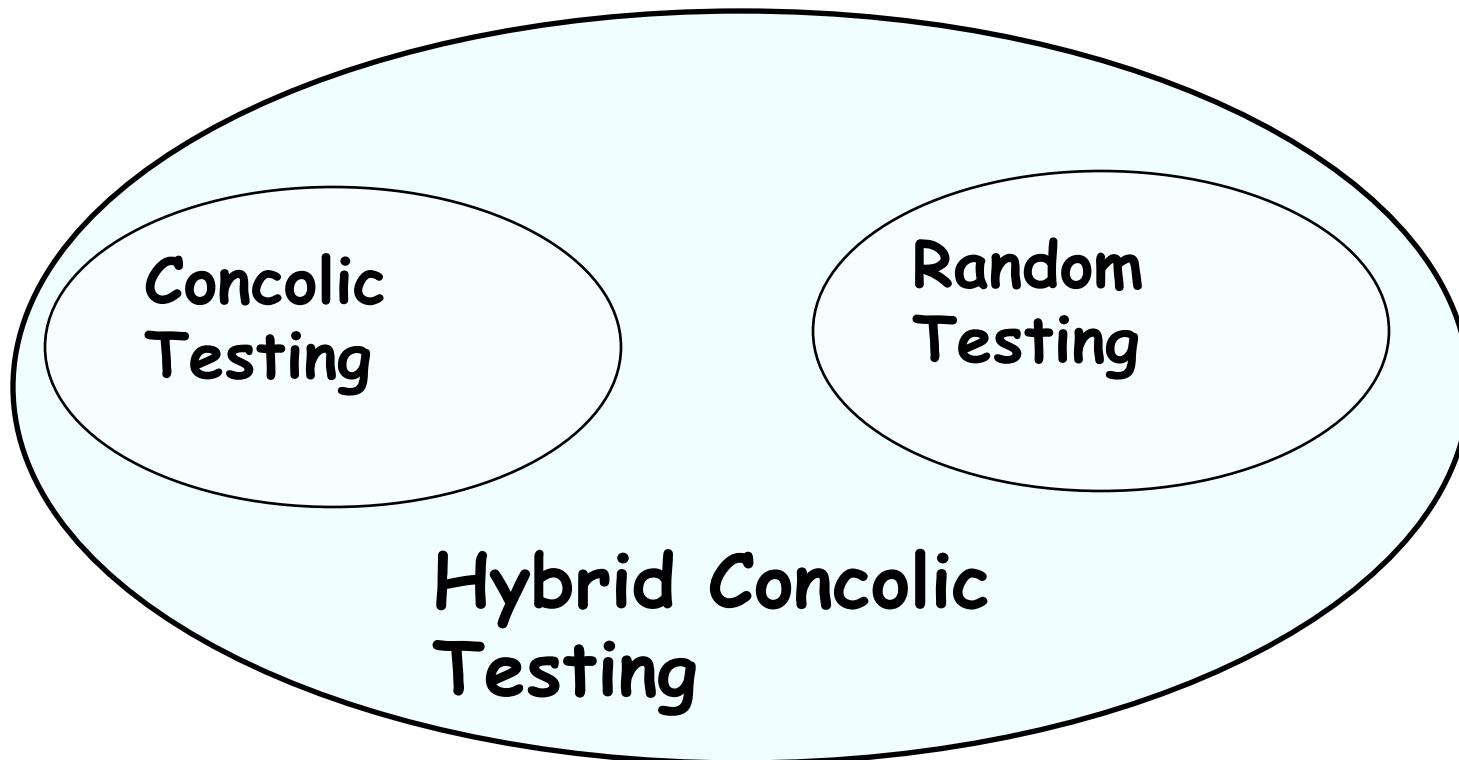
Concolic Phase

- $s[0] = 'I'$, $s[1] = 'C'$, $s[2] = 'S'$, $s[3] = 'E'$
- Reaches **COVER_ME**

Hybrid Concolic Testing



Summary



Further reading

[Symbolic execution and program testing](#) - James King

[KLEE: Unassisted and Automatic Generation of High-Coverage Tests for Complex Systems Programs](#) - Cedar et. al.

[Symbolic Execution for Software Testing: Three Decades Later](#) - Cedar and Sen

[DART: Directed Automated Random Testing](#) - Godefroid et. al.

[CUTE: A Concolic Unit Testing Engine for C](#) - Sen et. al.