Revision of the AF4 calibration experiment

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1. Introduction

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- 39 AF4 (asymmetrical flow field-flow fractionation) is a chromatographic technique that can be used to
- separate samples due to their diffusion coefficient[1]. It is a member of the FFF (field-flow fractionation)
- 41 family invented by J. Calvin Giddings [2]. Compared to more commonly applied separation methods like
- SEC and HPLC[3], FFF techniques are based on the interaction of the analyte with a physical field which
- separates the sample to a corresponding physical size[4]. In principle, the method is applicable to a huge
- variety of samples, including small biomolecules [5,6], nanoparticles and polymers [3,4] up to big
- agglomerates like protein aggregates[7], virus-like particles [8] and drug carrier systems [9]. Nowadays,
- 46 AF4 is the most commonly used flow FFF method, where the separation channel is formed of a solid wall
- and a frit covered by a membrane.
- 48 The development of dedicated measurement protocols can be complicated due to the high number of
- 49 adjustable parameters[10]. This includes instrumental specifications like the channel shape, its related
- parameters length L, the channel width b_0 and b_L and the choice of the membrane material. The three
- 51 typical variable experimental conditions are elution flow V_e , applied crossflow V_c and the focusing flow
- and period. AF4 has to be combined with at least one detection technique, typically MALLS (multi-angle
- laser light scattering), UV/Vis and/or RI (refractive index) detection. Also, on-line NMR [11], mass
- 54 spectrometry [12] and SAXS (Small-angle X-ray scattering) [13] have been applied as detection
- 55 techniques.
- In addition to the parameters listed above, the channel thickness w and the separation volume V have to be
- known precisely in order to allow a correct determination of the diffusion coefficient, and, thereby, the
- size of a measured sample [1,10]. However, due to swelling of the applied membrane in the channel,
- 59 these magnitudes are not directly accessible with current devices, which reasons the necessity of suitable
- 60 calibration methods.
- 61 Although AF4 theory has been elaborated and well documented in literature, the transfer to its application
- 62 quantitative evaluation software still lags behind compared to methods like AUC (analytical
- 63 ultracentrifugation). Here, several software solutions and a couple of evaluation methods are already
- 64 available and can be used even without in-depth knowledge of the underlying algorithmic considerations
- 65 [14,15]. This may be also a reason, why the practical handling of AF4 data evaluation is handled very
- 66 inconsistently by different authors. While some authors suggest a direct conversion from measurement
- data is directly possible from a measurement [16], others suggest an external measurement using a
- 68 calibrant with a known diffusion coefficient [10,17] or an external size measurement via coupled MALLS
- 69 [18].
- 70 Therefore, we fill this gap with an implementation of the known procedures and compare them to each
- 71 other.
- In this work, we want to compare some of the different approaches reported up to now in literature. In the
- past, the validity of the no-field method in AF4 has already been disproved successfully [19]. A reported
- approach, which aims to measure the thickness of the membrane directly by a micrometer [5,10,17] has to
- be questioned as the measurement cannot be not conducted *in situ*.

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2. Theory

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The sample is injected into a flat channel with a solid upper wall and a lower wall that allows the

streaming solvent to pass partially (Fig. 1). In current devices this wall is made of a frit covered by an

- 82 ultrafiltration membrane. The inlet flow $V_{\rm in}$ is, thereby, split to a crossflow $V_{\rm c}$ (which is distributed
- uniformly over the horizontal section of the channel) and an elution flow $V_{\rm e}$ forming parabolic flow
- 84 profile typical for all FFF variants:

$$V_{in} = V_e + V_c \tag{1}$$

The "broadness" of the parabole representing the velocity gradient depends on the plate distance w, also designated as channel width. L_1 , L_2 , L_3 , b_0 and b_L describe the channel dimensions as shown in Fig. 1.

 $V_{\rm c}$ transports the particles to the membrane. As a consequence, the opposed translational diffusion $J_{\rm z}$

- determines the average velocity zone and hence the time of elution. Mathematical descriptions of AF4
- experiments and derivations have been described in literature [1,10,20,21]. They describe phenomena by
- 91 physical and geometric approaches. As shown in the supporting information, rigorously applying a
- 92 coordinate system to the channel shape allows to express these formulas for random channel shapes as
- 93 well.
- Thereby, we only state a short description of those formulas that are used in our evaluation approach
- 95 which is essentially built up on existing theory. While the physical relationships are widely known and
- 96 well documented, this is not always the case for their translations into an evaluation procedure. This
- 97 might seem to be a trivial step as the physical content is well elaborated. However, having a closer look to
- 98 the physical formalism, it turns out that the overdetermined nature of the system allows several possible
- 99 evaluation procedures with different input parameters.
- 100 Considering the number of different approaches which exist for calibration [10,18] and their variations in
- detail, the implementation affects not only the evaluation but also the required measurement setup and, of
- 102 course, the final measurement result. The lack of such standardized evaluation procedures impairs the
- reproducibility of measurements and may be one of the reasons why the analytical characterization
- potential is not exhausted to its potential up to now [3].
- In total, 5 different calibration ways are described here briefly. The explicit derivation of the underlying
- formalisms and algorithmic considerations are stated in the supporting information.

108 The retention ratio *R*, defined as

$$R = \frac{t_{void}}{t_e} \tag{2}$$

- with the time of the void peak t_{void} (the time which is required for a particle to travel if no retention
- occurs) and any possible point of time during the evaluation) and the time of sample elution t_e . This is
- connected to the relative mean layer distance λ by the classical FFF retention equation:

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$$R = 6\lambda \cdot \left(\coth\left(\frac{1}{2\lambda}\right) - 2\lambda\right) , \qquad (3)$$

which is often simplified to

$$R_{approx} = 6\lambda . (4)$$

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- The primary separation size of AF4 is the translational diffusion coefficient D. For AF4, the relevant
- 118 correlation of λ and D has been elaborated [1] as

$$\lambda = \frac{D \cdot V^0}{V_C \cdot w^2} \qquad . \tag{5}$$

- For a typical AF4 measurement the channel volume V^0 and the channel width w are critical sizes for the
- evaluation.
- Recently, we used a calibration method [22] that makes use of the volume calculation as reported by
- Wahlund and Giddings [1] and then adjusts w by a simple bisection accordingly to Eq. (3) and (4). A
- similar method was reported independently before [2,20]. This formalism does not include the steric
- effect which has to be considered for larger particles. This can be quantified by a more refined version of
- Eq. (3). [Giddings1978] An overview over possible "levels of sophistication" of variants of Eq. (3) has
- been given by Håkansson et al. [20]
- Fig. 2 shows that bisection is easily applicable due to the strict monotonicity of the retention equation
- within the relevant scope and sufficient for being used on modern CPUs. It can be replaced by an even
- more efficient conversion if required [23]. The separation volume V^0 is estimated according to [1]:

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$$t_0 = \frac{V^{cla}}{V_C} ln(\frac{z_{\%} - (V_e + V_c)/V_c}{1 - (V_e + V_c)/V_c})$$
 (6)

- In the following, we refer to this method as "classical" calibration method with the calculated separation
- volume V^{cla} and channel height w^{cla} . $z_{\%}$ designates the relative focus position of the sample at the
- beginning of a measurement. It is given as ratio of the start position (i.e. the skipped channel length) and
- the total channel length L. This description neglects the tapered ends and assumes a simple rectangular
- shape of the channel.
- The formalism for the relevant method has been adjusted for narrowing trapezoidal channel shapes as
- follows [24]. It is used as such a the second method similar to the first one. Y is a correction term for
- approximating the correct channel surface. In order to distinguish the calculated channel widths and
- volumes, we refer to the results here as V^{appGeo} and W^{appGeo}

$$t_0 = \frac{V^{app}}{V_c} ln \left(1 + \frac{V_c}{V_e} \left(1 - \frac{b_0 z - \frac{(z^2)(b_0 - b_L)}{2L} - Y}{A_L} \right) \right)$$
 (7)

- The separation volume of the channel can also be a product of the surface and height of the channel. For
- this reason, we introduce a third calibration method which yields the separation volume V^{geo} and its
- 144 corresponding size w^{geo} . We make here use the simple geometric relationship passed channel area A_L and
- the channel height

$$V^{geo} = A_L \cdot w^{geo} \tag{8}$$

- 147 Thereby, it leads to the intuitive observation that variations of any parameters don't affect the calculated
- volume and the channel width equally. The calibration is conducted by rearranging and substituting the
- 149 terms

$$\frac{\lambda V_c}{D} = \frac{V^{geo}}{W^2} := S \tag{9}$$

- and determine S analogously to w as in the classical approach via bisection. In a second step, inserting
- the geometrical definition of V^{geo} as indicated in Eq. (8) leads to a simple solution for w with

$$w = \frac{A_L}{S} \tag{10}$$

- A_L can be obtained by simple geometric considerations as described in the supporting information. Using
- Eq. (8) now explicitly, V^{geo} can be calculated as well. As already stated, the hydrodynamic information is
- already used to calculate S in this approach. It is ensured, that the calculated volume, width and the
- channel area fit together geometrically by including the term into the calculation.
- 158 A fourth way of calculating the width and the volume is based on considerations concerning the flow
- velocities and hydrodynamic processes in the channel. The same rigorous equations for the description of
- the channel shape were used as for the calculation of V^{geo} . This leads to a direct linear relationship of t_{void}
- 161 and w:

$$t_{void} = 2 \cdot w \cdot C_F \tag{11}$$

- The "conversion factor" C_F is determined via the hydrodynamic and geometric properties of the
- measurement. It can be obtained by solving the integral:

$$C_F = \int_{z_0}^{L} \frac{E(\xi)}{V_{in} - V_c \cdot \frac{2 \cdot \int_0^{\xi} E(x) dx}{A_I}} d\xi$$
 (12)

- This expression is derived based on a known approach [24], but independent from the shape and more
- suited to relate w and t_{void} directly. The function E(x) describes the shape of the channel in dependence of
- its longitudinal position x. In eq. 13, x marks the positions in the channel to the current position ξ of a
- hypothetical non-retained species, which moves with the mean velocity. A_L is the complete surface of the
- channel including the non-separating part, i.e.

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$$A_{L} = 2 \int_{0}^{L} E(x) dx$$
 (13)

- A detailed derivation and an attempt for an analytical solution of Eq. 11-13 is given the supporting
- information. Using Eq. 5, V^{hyd} can be calculated. The values obtained by this method are denoted as V^{hyd}
- in the following. A detailed derivation for the factor C_F and V^{hyd} is given in the supporting information.
- The obvious advantage of this method is the independence from an external diffusion coefficient i.e. no
- calibration measurement is involved in this procedure. This is a formalized method which is equivalent to
- calibration free conversion approaches [16,25,26].
- The fifth algorithm also makes use of this conversion factor. Here, it is used to substitute the void time
- t_{void} . As no experimental void time is used in this approach, we designated the calculated results with w^{noT}
- and V^{noT} . This way, Eq. 2 can be written as

$$R = \frac{2C_F w^{noT}}{t_o} \tag{14}$$

By reformulating Eq. 5 with the substituted volume according to Eq. 10, λ can be calculated as:

$$\lambda(w) = \frac{DA_L}{V_{-W}} \tag{15}$$

Now Eq. 3 can be merged with Eq. 14:

$$\frac{2C_F w}{t_e} = 6\lambda(w) \cdot \left(\coth\left(\frac{1}{2\lambda(w)}\right) - 2\lambda(w)\right) \tag{16}$$

- w^{noT} can now be easily determined numerically from Eq. 15 and Eq. 176 This calibration calculation
- procedure is advantageous as t_{void} does not have to be determined experimentally and be read from the
- fractogram. However, it requires a calibration measurement for the determination of t_e and a known D.

Materials and methods

AF4Eval is our current version of hydrodynamic evaluation software for AF4 data. The user can create profiles for channel shapes and corresponding calibrations for a measurement set. Data are provided in a standardized csv-file format. Further input formats such as AniML[27,28,29] are planned to be added. As t_{void} and t_{e} have to be determined manually for some calibration methods, we integrated a simple graphical element (Fig. 4) with movable bars to the user interface to enable the user to pick the peak position manually without transferring the chosen value as it would be required in a spreadsheet application.

AF4Eval is written in C++14. Its source code obtainable via github and can be used without charge for academic purposes: https://github.com/biocrystal777/AF4Eval. Data shown in this report where obtained with a version compiled with g++ 6.3 under Debian Gnu/Linux 9.5, using the framework Qt 5.7 and the plotting library Qwt 6.1.2[30].

Table 1: Required input parameters for the described calibration algorithms

	Classical	Approximated Volume	Geometrical	Hydrodyamic	Without t _{void}
	$D_{ m calib}$	$D_{ m calib}$	$D_{ m calib}$		$D_{ m calib}$
	$t_{ m void}$	$t_{ m void}$	$t_{ m void}$	$t_{ m void}$	
	$t_{ m e}$	$t_{ m e}$	$t_{ m e}$		$t_{ m e}$
	$V_{ m e}$	$V_{ m e}$		$V_{ m e}$	$V_{ m e}$
Inputs	V_{c}	$V_{ m c}$	$V_{ m c}$	V_{c}	$V_{ m c}$
•	Z%	Z%		Z%	Z%
		L_1, L_2, L_3	L_1, L_2, L_3	L_1, L_2, L_3	L_1, L_2, L_3
		$b_0,b_{ m L}$	$b_0,b_{ m L}$	$b_0,b_{ m L}$	$b_0,b_{ m L}$
Side condition	-	-	Eq. 8	Eq. 8	Eq. 8
	w	w (w ^{appgeo})	w (w ^{geo})	w (w ^{hyd})	w (w ^{noT})
Outputs	V^0	$V^{0}(V^{\text{appgeo}})$	$V^{0}(V^{\mathrm{geo}})$	$V^{0}(V^{\mathrm{hyd}})$	$V^{0}(V^{\text{noT}})$

Based on the theory above we implemented 5 calibration algorithms. The calibration-dependent three methods enable an estimation of the void peak time from the geometrical properties of the calibrated channel. Thus, manual readout of the void peak is avoided entirely and the methods can be integrated in a fully automated procedure. The direct conversion turns out to be useful if no appropriate standard is available. These algorithms vary in their specific required input magnitudes (Tab. 1). A pseudocode of the applied calculations representation is given in the supporting information.

In addition, an error analysis function was implemented that allows to estimate the uncertainties of the methods. The error analysis allows to define a range R of the estimated uncertainty δX from -100% to 100% for the input quantity X_i and a grid resolution parameter. The method then iterates over $X+\delta X$ of R while conducting the assigned algorithm and gives the deviation of the output quantity Y_j . The method seems trivial from a mathematical perspective, however, it gives a good overview, how the deviation of one quantity affects the result while the other quantities are kept constant. This is especially useful if the impact cannot be foreseen due to the involvement in several numerical procedures. This way, the individual impact of the uncertainty of each variable can be easily quantified for each experimental

217 condition. Other functionalities, such as the evaluation of size distributions based on the calibration, are not discussed in this publication.

3. Experiments

The experiments were conducted with a Wyatt Eclipse DualTec Separation system. The setup was coupled with a degassing unit (G1322A), an isocratic pump (G1310B) and an autosampler (G1328C), all from the Agilent 1260 series. Signals were recorded using the software Astra 6.1.7.17 with a sample rate of 0.5 Hz. A detector chain, consisting of a Dawn Heleos 8⁺ MALLS detector (wavelength = 663 nm) a UV/VisDAD detector (G1315C, Agilent series 1100) and an RI detector (G7162A, Agilent series 1260). Before usage, an interdetector distance correction was conducted according to the elution peak of a BSA measurement using the respective function in Wyatt. Only signals with a decent signal-to-noise ratio were considered.

In the channel, an SC W350 spacer from Wyatt was used. The detailed dimensions are given in Table 3, together with the measurement conditions and related input parameters for the algorithms. Two samples were used: BSA and PS (Polystyrene) nanospheres. 3 replicates for each condition were measured. The eluent was 50 mM NaNO₃ for measurements with BSA. The injected sample amount was 20 µl. For the measurement with PS nanospheres, a 0.1 % w/v of SDS (sodium dodecyl sulfate) was used. The nanosphere standard particles had a nominal diameter of 60 nm. For our calculations, we assumed a hydrodynamic radius of 32 nm, which included the estimated influence of the surface stabilizer.

measurements were conducted at 293 K. For each spacer a new 5 kDa Millipore regenerated Cellulose membrane was used. Detailed measurement program and sequence setup is given in the supplementary information.

4. Results

4.1 Comparison of algorithms with test measurements

First, we tested the algorithms with data acquired from our own field-flow fractionation. Standard protocols with a constant crossflow were used. Before elution, the sample was focused at $z_{\%}$ =12 % of the total channel length. The details of the measurement protocols are given in the supporting information (Table S.1-S.3). BSA was measured at V_c =2.5 mlmin⁻¹ and V_c =3.5 mlmin⁻¹. To provide an example for a lower crossflow, additional measurements with PS nanospheres were conducted at V_c =0.5 mlmin⁻¹. For BSA, the signals of the UV-Vis detector were chosen, for the PS measurements, UV and RI were considered.

Table 2: Parameters used for calibration experiments with test measurements

Parameter	unit	BSA_Vc2_5			BSA_Vc3_5			PS_Vc0_5		
		r1	r2	r3	r1	r2	r3	r1	r2	r3
$t_{ m void}$	min	0.53 0.55 0.54		0.6	0.5	0.51	0.73	0.72	0.72	
$t_{ m e}$	min	3.65	3.65	3.35	4.6 4.5 4.5			15.8	15	15.1
$V_{ m c}$	mlmin ⁻¹	2.5			3.5			0.5		
$V_{ m e}$	mlmin ⁻¹	1.0			1.0			1.0		
$D_{ m calib}$	cm^2s^{-1}	6.1*10 ⁻⁷			$6.1*10^{-7}$		$6.74*10^{-8}$			
z%	%	12			12			12		
b_0	mm	22			22			22		
$b_{ m L}$	mm	3			3			3		
L_1	mm	20			20			20		

$egin{array}{c} L_2 \ L_3 \end{array}$	mm mm	150 3	150 3	150 3
			<u> </u>	<u> </u>
peak, as s	hown exemplarily related steps. I.e. "	in Fig. 5. The fractogra	pick positions of t_{void} and t_{ums} have been corrected in plays the actual time of elut	time by its offset due to the
information calibration. The acquiremeasuren	on (Fig. S.6.1 - S.6 n algorithms of AI ired retention time nents with similar of	5.5). All information was F4Eval. The calculated of swere typical for our A conditions. In all three of	s collected (Table 2) and p channel widths and volume F4 setup and comparable t	es are displayed in Fig. 6. o a lot of other n the calculated V^{cla} . This is
calculation each of the of Eq. 8 (ns was deduced from calculations to le	om essentially the same ead to a very similar res le the calculated channe	established AF4 theory, w	e geometrical side condition
increased represents separation similar as correct. F	calculated channe is the maximal poss in volume V^{hyd} was sumption for the dinally, removing t_0	I widths, which are high sible distance between renearly the same as for the erivation of the respect to from the list input particular to the same as for the respect to the same as for the respect to the same as for the s	O _{calib} (for determination of ally unrealistic as the spacer nembrane and upper wall. the established first two varive volume. This indicates arameters by making use of resulted in a channel thick	r thickness of 350 μm Interestingly, the related iants as they use a very that the formalism is indeed f Eq. 11 led to a result,
increased $V_{c.}$ For w^h	when a higher cro and V^{hyd} and V^{hyd} also and by the higher presentation.	ssflow was applied alth increase with constant	red V^{cla} , V^{appGeo} their respectough the membrane area stratio was observed. The difference was not of	hould be independent from fference was too big to be
	o exclude potential fractogram data.	errors in our own expe	erimental setups, we applied	d the algorithms on
4.2 Comp	arison of algorithi	ns using published data	in literature	
fractogram focus pos is typicall are discus nanoparti- literature	ms and required in ition $z_\%$ was not ally used for channel seed below separaticles [16,31], Silical had been conducted	formation on the applie ways indicated. For our is without frit-inlet. The ely. We chose to work a particles[16] and silvered using trapezoidal cha	_	if possible. Especially the d, to assume a value which eviations of this real value with spherical gold

AF4 devices from different vendors. The respective diffusion coefficient was calculated according to the

specifications made in the respective publication and the expected shell thickness for polymer coatings[34,35]. All used parameters are displayed in Table 3 and Table 4. We applied the algorithms in the same way as we did for our own measured data.

Table 3: Parameters used for calibration calculation with literature data

Parameter	unit	Lit_Au1[30]	Lit_Au2[16]		LitAu3[16]		Lit_Sil[16]
			Vc1_0	Vc0_75	Vc1_0	Vc0_75	
$t_{ m void}$	min	0.593	0.89	0.89	0.992	0.992	0.96
$t_{ m e}$	min	4.42	4.55	0.992	9.45	9.45	13.3
$V_{ m c}$	mlmin ⁻¹	0.8	1.0	0.75	1.0	0.75	0.2
$V_{ m e}$	mlmin ⁻¹	0.5	1.0		1.0		0.2
$D_{ m calib}$	cm ² s ⁻¹	$6.1*10^{-7}$	$6.1*10^{-7}$		6.74	$6.74*10^{-8}$	
$z^{0}\!/_{\!0}$	%	12		12		12	
b_0	mm	22	22		22		22
$b_{ m L}$	mm	3	3		3		5
L_1	mm	20	20		20		22
L_2	mm	150	150		150		213
L_3	mm	3	3		3		5

Table 4: Parameters used for calibration calculation with literature data

Parameter	unit	LitAG[31]				Lit_Ag	Lit Ag 42nm[32]	
		10	20nm	30nm	50nm	70nm	90nm	
		nm						
$t_{ m void}$	min	0.75	0.75	0.75	0.75	0.75	0.75	0.75
$t_{ m e}$	min	1.81	4.32	7.85	10.11	12.02	14.32	10.39
V_{c}	mlmin ⁻¹				0.4			1
V_{e}	mlmin ⁻¹				1.0			0.4
$D_{ m calib}$	cm^2s^{-1}	4.29*10	⁷ 2.14*	1.43*10 ⁻⁷	8.58*1	0-8 6.13*10	0^{-8} 4.76*10 ⁻⁸	1.02*10 ⁻⁷
			10^{-7}					
$z^{0}/_{0}$	%				12			12
b_0	mm				20			24
$b_{ m L}$	mm				5			3
L_1	mm	20 22					22	
L_2	mm	250 152					152	
L_3	mm	5					3	

The results of our evaluations are displayed in Fig.7. For the data set Lit_Au1, we could confirm all effects of previous findings: w^{geo} was usually significantly smaller than the other calculated channel distances, while the calculated value for w^{hyd} increased. Only in two cases we found the opposite result, but also here, the presence of differences as such was remarkable.

Due to our lack of knowledge of $z_{\%}$ we repeated the analysis for our own data as well for the literature data also for the alternative values 8% and 16%. The respective results do not vary significantly from the observations made for 12% and are displayed in the supporting information (S.6.19).

It can be summarized that applying AF4 theory blindly leads to conspicuously inconsistent results. As we counterchecked the derivation of our formulas and implementation into the software multiple times, we

- speculated this behavior to be reasoned by a systematic measurement error. For this reason, we continued
- and investigated the influence of measurement uncertainties on the resulting sizes.
- 306 Influence of measurement uncertainties on the calibration result
- In the following, we implemented an additional feature which displays the effect of deviations to the final
- results. The task was implemented by a generic preprocessor macro, which keeps all but one parameter
- 309 constant and iterates over a grid of the remaining parameters to be investigated. Thereby, we plot the
- impact of a deviation δX of a parameter X to the relative deviations of the resulting volume and channel
- widths (δY). This analysis is useful as due to the included numeric procedures and the relationship
- between the two output variables and its inputs is not obvious, especially when an input variable is used
- 313 multiple times. In addition, this analysis can be used as a base for an estimation of the total propagation of
- 314 uncertainty. Here, we show the result of this analysis, where the central parameters $\delta X=0$ are taken from
- 315 the parameter set BSA Vc2 5 r1.
- Fig. 8 shows the influence of deviations to 4 of the parameters, we expected to exhibit the highest
- possible uncertainty. t_{void} and t_{e} have to be considered as they are the primary raw measured results. The
- 318 physical dimensions of the channel area and the applied flow volumes are assumed to be measured with
- 319 high accuracy, thereby, we don't discuss them further here in detail. Also, we skip the discussion on the
- first algorithm due to its similarity to the second one. However, the resulting data of full analysis of all 5
- 321 algorithms and 10 parameter sets is shown in the supporting information. The diffusion coefficient
- externally acquired has to be considered here, as for many samples, different numerical values have been
- published [36,37,38,39,40]. Also, side conditions like temperature and the temperature-dependent
- viscosity can influence the effective diffusion coefficient[10], where the latter one is often not known
- exactly in practice. The analysis shows that all procedures but the fourth (where D is not used) scale with
- 326 D. However, the dependence was significantly stronger for the third procedure, while the volume is
- completely neglected for the second. The same relationships are shown for the input variable t_e .
- Interestingly, a deviation of the focus position seems only to contribute significantly if the actual position
- is strongly displaced from its designated position. The relation of the deviations of t_{void} were remarkable.
- While the linear relationship of the calculated volume was obvious, there was almost no impact on
- w^{appGeo} . For the third and fourth procedures, we found a proportional and a nearly inverse proportional
- relationship. As logical consequence a deviation of t_{void} leads to a divergence of the results in these
- 333 procedures as it could be seen in the experimental results. This consideration lead to a closer
- consideration of an impact of the void peak time.
- 335 4.3 Convergence of the calibration calculations via the adjustment of t_{void}
- Similarly, to the analysis above, t_{void} was varied for all 5 algorithms and the resulting channel widths. The
- analysis was conducted for one measurement per triplicate. The results, shown in Fig. 9, indicate a
- convergence of all algorithms for w and V for a value of t_{void} which is significantly dislocated from the
- respective measured value. We concluded that the position of the intersection point rather designates the
- position which should represent the actual position of t_{void} by its definition (the time used of passing the
- channel with the average migration velocity, starting from the focus position). This confirms prior
- observations that the measured void peak might yield wrong results [1,10].
- The results of an analysis for this intersectional t_{void} are shown in Fig. 10. Besides the balanced results for
- 344 the single measurements we can also show that the discrepancies of the results between the different
- crossflows now completely vanish.

5. Discussion

- 347 *5.1 Invalidity of the measured void peak*
- 348 Our results show several implications towards working out best practices in AF4 calibration. As it could
- be demonstrated, the measured "void peaks" are not suited to reproduce calibration results which are
- consistent with the elaborated AF4 theory. An obvious potential error might be a bad correction of the
- offset volume in our measurement setup. However, the error has been shown to occur abundantly in
- literature data as well. As a consequence, t_{void} would be a very unreliable source of information just
- because of this pitfall. Moreover, we even believe the observed small peak in AF4 should not be related to
- 354 this magnitude at all.
- 355 5.2 Alternate hypothesis for the occurrence of the "void peak"
- Finally, we want to give another possible explanation for the reason behind the occurrence of the little
- observable peak, which has been considered as the void peak. To the best of our knowledge this
- explanation has not been reported elsewhere up to now. FFF is fundamentally based on the friction
- between the solvent and the channel wall. This friction is responsible for forming the parabolic flow
- profile. However, the friction to the side walls is usually not considered at all. Still, it should be assumed
- that a similar velocity gradient is present immediately at these side channel walls. While we assume the
- 362 crossflow to be uniformly distributed over the channel area and the migration velocity to be dependent
- solely on x- and z position referring to Fig. 3, this is probably not true at these border regions. A more
- sophisticated hypothetical flow distribution is shown in Fig. 11.
- 365 Because of the friction of solvent and channel side wall, 4 regions with different flow are present in this
- model. Due to diffusion, a sample particle can easily migrate from one of these zones into another. By
- this effect, a small amount of the sample partially eludes the retention effect of the crossflow. This portion
- is then detected much earlier than the retained sample. However, it does not accompany a "solvent front",
- a term used for the description other chromatography techniques, which has no actual correspondent in
- field-flow-fractionation: Due to the parabolic flow distribution, the single laminar layers of the solvent
- flow are permanently displaced against each other. As a result, no common elution speed for all layers
- 372 should exist. It has been reported that the observed peak intensity increases with decreasing V_c [24.41].
- This might be reasoned by an increase of the permeable (i.e. unaffected from crossflow) area in the
- 374 presented model.
- To give experimental evidence, dedicated mathematical models will have to be developed in the future.
- Therefore, the physical nature of t_{void} has to be considered as a theoretical auxiliary construct (i.e. "the
- time needed to flush the separation volume if there was no flow gradient along the diameter" as it was the
- 378 case for very big wall distance). One should not rely on an experimental value for this parameter
- 379 consequently. Approaches already exist, which substitute its value by the information on the channel
- shape and the external calibration measurement using a calibrant with known diffusion coefficient. Our
- fifth algorithm belongs to this group as well as some simplified versions in literature [10,17,20,42,43] or
- the replacement of the known D with a related property of the calibrant [18]. According to our study, this
- groups remains the only valid approach to conduct the calibration properly.

6. Conclusions

- We have shown that the measured void peak is not a reliable value for the evaluation of field-flow-
- fractionation data. Based on this observation, we could confirm this hypothesis by Wahlund [10] and give
- 387 strong evidence how a gold standard calibration for the evaluation of AF4 data with current instruments
- can be performed. Our dedicated software for the evaluation has the potential to greatly improve the
- practical handling of AF4 data. As already known, the information about channel volume and channel

- 390 width are the critical quantities for contemporary machines. Avoiding t_{void} as an error-prone calibration
- 391 input is likely to improve accuracy of AF4 measurements. The development of our software will be
- 392 continued, considering a list of features for numerous possible extensions:
- Alternative calibration methods as investigated recently [18]. Also, 394 distance measurement has improved continuously [44], therefore we think that further calibration methods based on combination of the channel with such a device might be an additional 396 orthogonal tool for the calibration.
 - Crossflow gradients [5,45,46], steric effects and decays [10,20,21]. Our final goal will be to provide an open and extensible reference implementation, which gathers all these state-of-the-art evaluation methods.
- Advanced handling of light scattering data. 400
 - AF4 related deconvolution techniques [22,47].
 - Improved focus point determination as recently presented [43].
- An API for scripting languages. 403
- 404 As distance measurement by optical methods has improved continuously over the last decades up to
- 405 submicrometer precision a direct measurement of w will be an additional improvement. If the width could
- 406 be provided directly, the presented formalism could be applied easily to determine D without prior
- 407 calibration. It was stated by Wahlund[10] that, unfortunately, hydrodynamic calculations were not
- 408 available in commercial software. Our platform-independent and vendor-agnostic implementation is
- 409 designed to fill this gap, relying on a state-of-the-art technology stack. We encourage users of AF4 get in
- 410 contact to discuss possible extensions for their specific needs.

411 7. Acknowledgements

- 412 This work was generously supported by the DFG (Deutsche Forschungsgemeinschaft) within the SFB
- 413 1214, project B6. We also thank Emre Brookes for hosting a repository for the development steps prior
- 414 publication.

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