

Algorithms and Satisfiability

Lecture 3

Computational Geometry Algorithms: Sweeping

DAT6 spring 2023

Simonas Šaltenis



AALBORG UNIVERSITY
DENMARK

Computational geometry



- Main goals of the lecture:
 - *to understand how the **basic geometric operations** are performed;*
 - *to understand the basic idea of the **sweeping** algorithm design **technique**;*
 - *to understand the concept of **output-sensitive algorithms**;*
 - *to understand and be able to analyze **Graham's scan**, **Jarvis's march**, and the sweeping-line algorithm to determine whether any pair of line segments intersect.*

Computational geometry

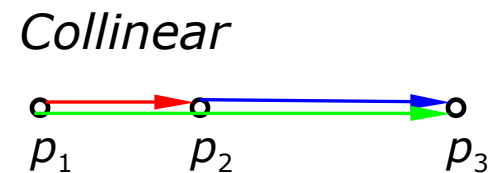
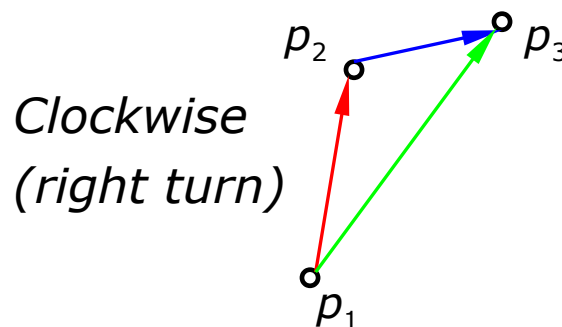
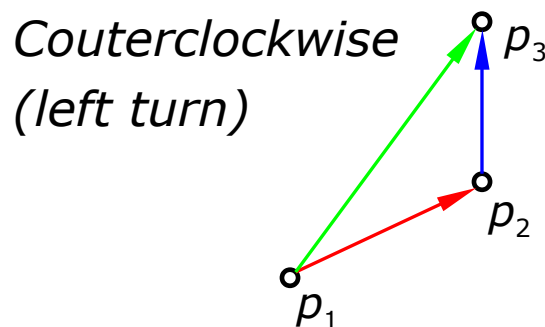


- *Computational geometry*:
 - Algorithmic basis for many scientific and engineering disciplines:
 - Geographic Information Systems (GIS)
 - Robotics
 - Computer graphics
 - Computer vision
 - Computer Aided Design/Manufacturing (CAD/CAM),
 - VLSI design, etc.
 - The term first appeared in the 70's.
 - We will deal with *points* and *line segments* in 2D space.

Basic problems: Orientation



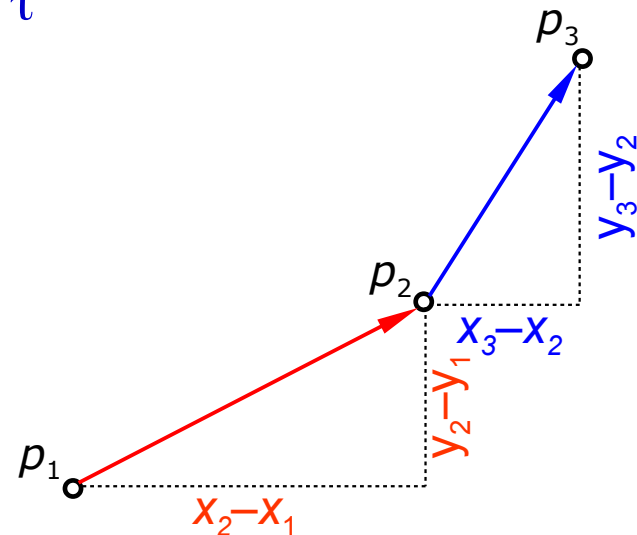
- How to find “orientation” of two line segments?
 - Three points: $p_1(x_1, y_1)$, $p_2(x_2, y_2)$, $p_3(x_3, y_3)$
 - Is segment (p_1, p_3) **clockwise** or **counterclockwise** from (p_1, p_2) ?
 - Equivalent to: Going from segment (p_1, p_2) to (p_2, p_3) do we make a **right** or a **left** turn?



Computing the orientation



- *Orientation the standard way:*
 - slope of segment (p_1, p_2) : $\sigma = (y_2 - y_1) / (x_2 - x_1)$
 - slope of segment (p_2, p_3) : $\tau = (y_3 - y_2) / (x_3 - x_2)$
- How do you compute then the orientation?
 - counterclockwise (left turn): $\sigma < \tau$
 - clockwise (right turn): $\sigma > \tau$
 - collinear (no turn): $\sigma = \tau$



Cross product



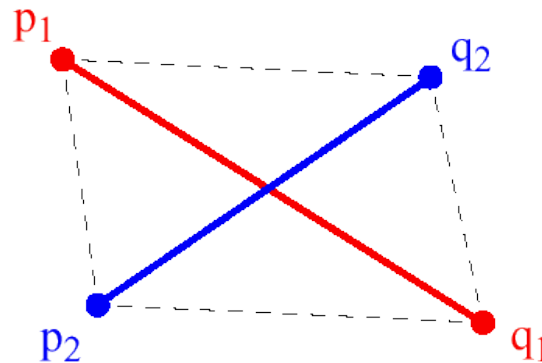
- *Finding orientation without division (to avoid numerical problems)*
 - $(y_2 - y_1)(x_3 - x_2) - (y_3 - y_2)(x_2 - x_1) = ?$
 - Positive – clockwise / right turn
 - Negative – counterclockwise / left turn
 - Zero – collinear
 - This is (almost) a **cross product** of two vectors

$$(x_2 - x_1, y_2 - y_1) \times (x_3 - x_2, y_3 - y_2) = \det \begin{pmatrix} x_2 - x_1 & x_3 - x_2 \\ y_2 - y_1 & y_3 - y_2 \end{pmatrix}$$

Intersection of two segments



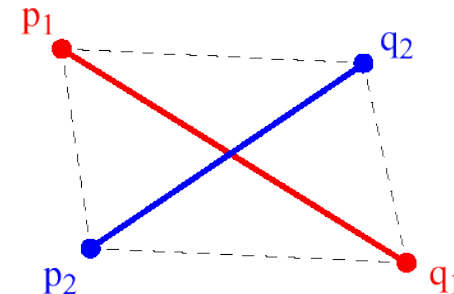
- *How do we test whether two line segments intersect?*
 - *What would be the standard way?*
 - *What are the problems?*



Intersection and orientation



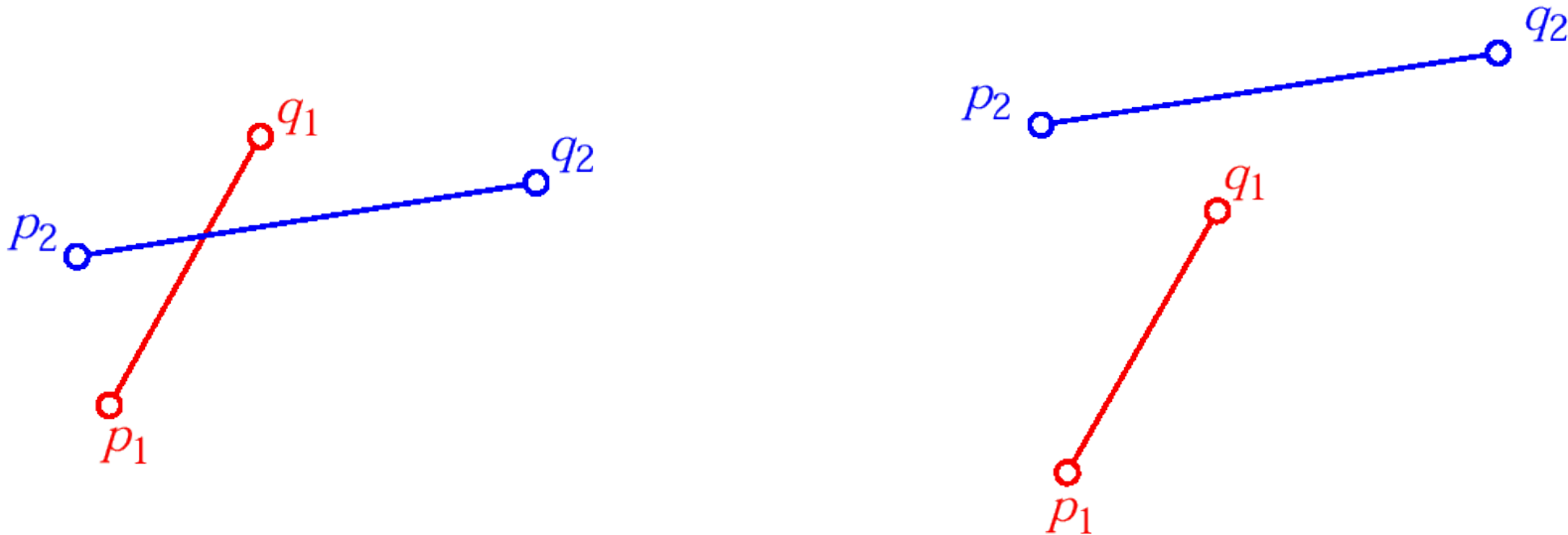
- *We can use just cross products to check for intersection!*
 - Two segments (p_1, q_1) and (p_2, q_2) intersect *if and only if* one of the two is satisfied:
 - General case:
 - (p_1, q_1, p_2) and (p_1, q_1, q_2) have different orientations **and**
 - (p_2, q_2, p_1) and (p_2, q_2, q_1) have different orientations
 - Special case
 - (p_1, q_1, p_2) , (p_1, q_1, q_2) , (p_2, q_2, p_1) , and (p_2, q_2, q_1) are all collinear **and**
 - the x-projections of (p_1, q_1) and (p_2, q_2) intersect
 - the y-projections of (p_1, q_1) and (p_2, q_2) intersect



Orientation examples



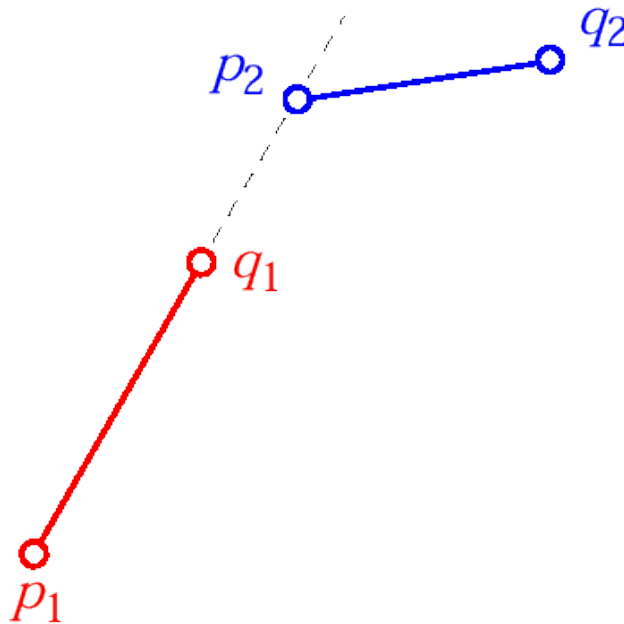
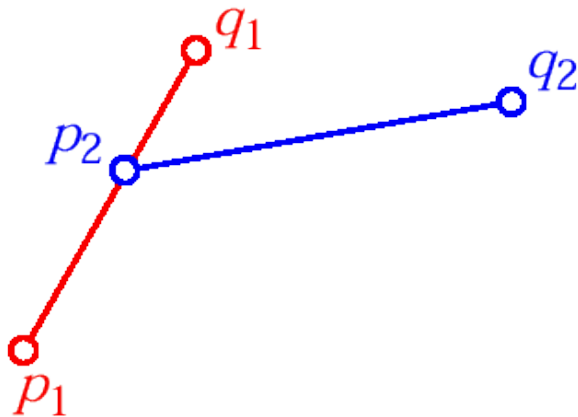
- General case:
 - (p_1, q_1, p_2) and (p_1, q_1, q_2) have different orientations **and**
 - (p_2, q_2, p_1) and (p_2, q_2, q_1) have different orientations



Orientation examples (2)



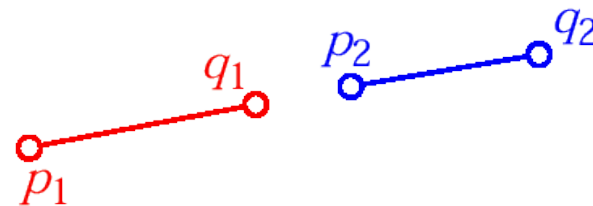
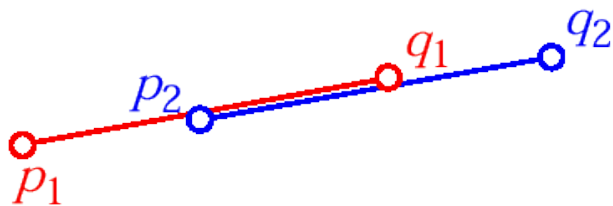
- General case:
 - (p_1, q_1, p_2) and (p_1, q_1, q_2) have different orientations **and**
 - (p_2, q_2, p_1) and (p_2, q_2, q_1) have different orientations



Orientation examples (3)



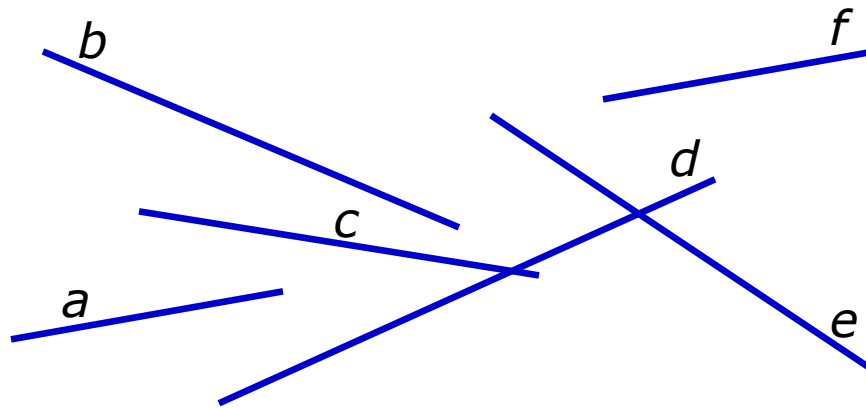
- Special case
 - (p_1, q_1, p_2) , (p_1, q_1, q_2) , (p_2, q_2, p_1) , and (p_2, q_2, q_1) are all collinear **and**
 - the x-projections of (p_1, q_1) and (p_2, q_2) intersect
 - the y-projections of (p_1, q_1) and (p_2, q_2) intersect



Determining intersections



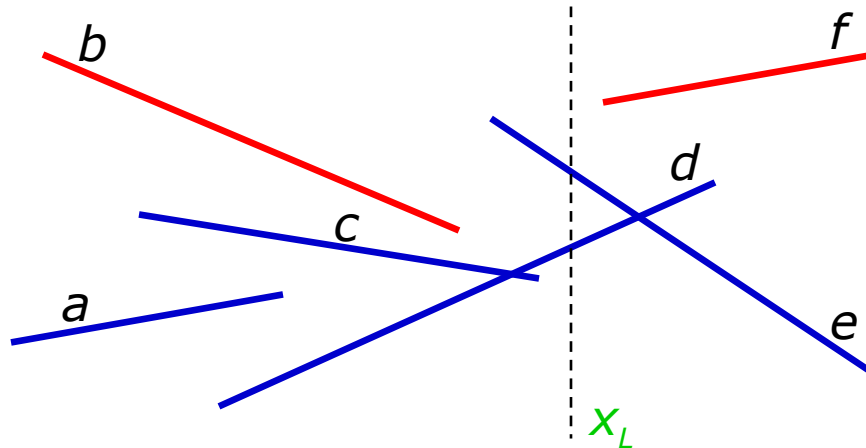
- Given a set of n segments, determine whether any two line segments intersect
 - Note: not asking to report all intersections, just true or false.
 - Usefull as a building block: e.g., check intersection of arbitrary polygons.
 - *What would be the brute force algorithm and what is its worst-case complexity?*



Observations



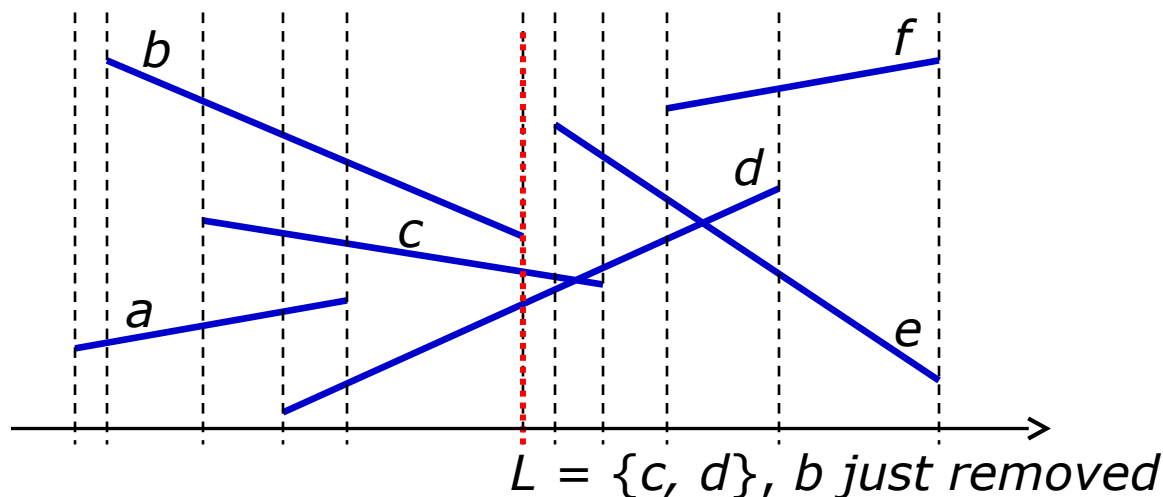
- *Helpful observation:*
 - *Two segments definitely **do not** intersect if their projections to the x axis do not intersect*
 - *In other words: If segments intersect, there is some x_L such that line $x = x_L$ intersects both segments*



Sweeping technique



- A powerful algorithm design technique: **sweeping**.
 - Two sets of data are maintained:
 - **sweep-line status**: the set of segments intersecting the sweep line L
 - **event-point schedule**: where updates to L are required



Plane-sweeping algorithm

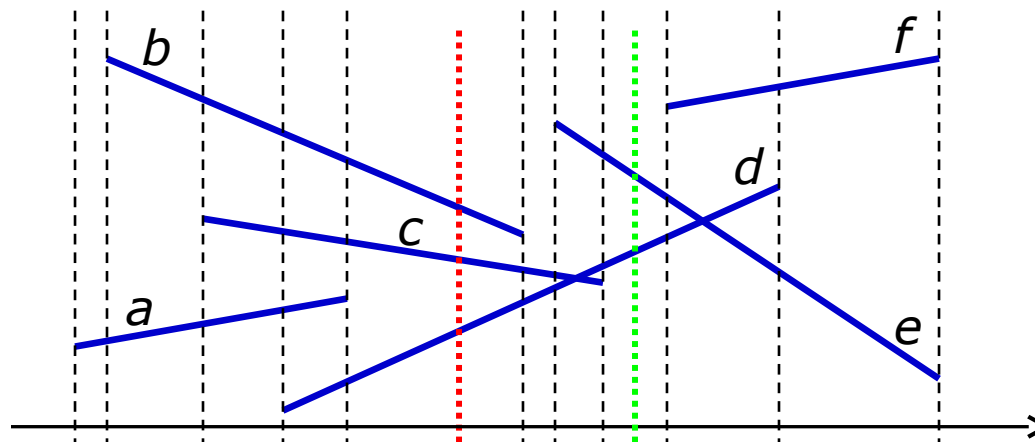


- Skeleton of the algorithm:
 - Each segment end point is an event point
 - At an event point, update the status of the sweep line and perform intersection tests
 - left end point: a new segment is added to the status of L and it is tested for intersection against the other segments in the status
 - right end point: it is deleted from the status of L
- Analysis:
 - *What is the worst-case complexity?*
 - *Worst-case example?*

Improving the algorithm



- More useful observations:
 - *For a specific position of the sweep line, there is **an order** of segments in the y-axis;*
 - *If segments intersect – there is a position of the sweep-line such that two segments are **adjacent** in this order;*
 - *Order does not change in-between event points;*
 - ♦ True only to the left of the leftmost intersection point. If the algorithm does not return before, this intersection is detected!
 - *Main idea: check only all **new pairs of neighbors** in the order!*



Sweep-line status DS



- *Sweep-line status data structure:*
 - Operations:
 - Insert
 - Delete
 - Below (Predecessor)
 - Above (Successor)
 - Balanced binary search tree T (e.g., Red-Black)
 - The bottom-to-top order of segments on the line $L \Leftrightarrow$ the left-to-right order of in-order traversal of T
 - *How do you do comparison?*

Algorithm



AnySegmentsIntersect(S)

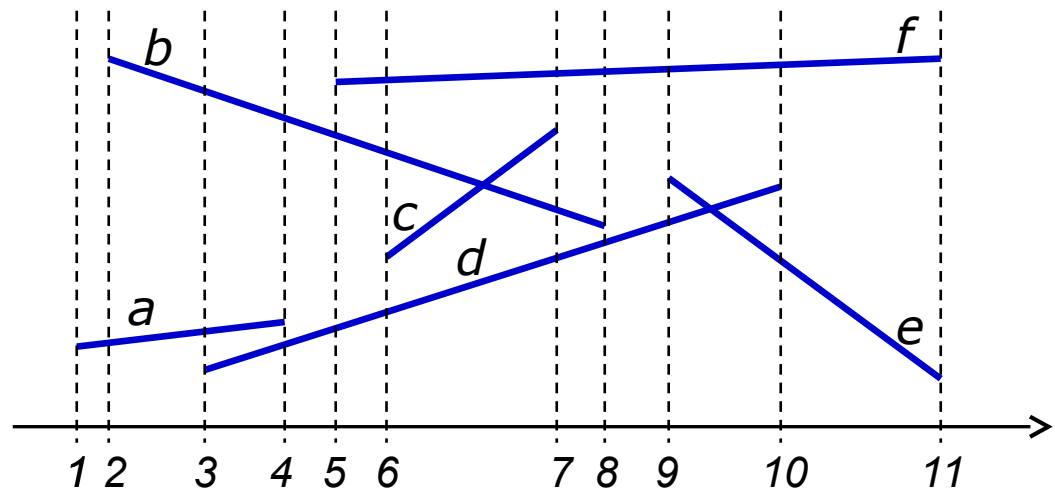
```
01  $T \leftarrow \emptyset$ 
02 sort the left and right endpoints of the segments in
   S from left to right, breaking ties by putting left
   endpoints first
03 for each point p in the sorted list of endpoints do
04     if p is the left endpoint of a segment s then
05         Insert(T,s)
06         if (Above(T,s) exists and intersects s) or
              (Below(T,s) exists and intersects s) then
07             return TRUE
08     if p is the right endpoint of a segment s then
09         if both Above(T,s) and Below(T,s) exist and
              Above(T,s) intersects Below(T,s) then
10             return TRUE
11         Delete(T,s)
12 return FALSE
```

Let's run it

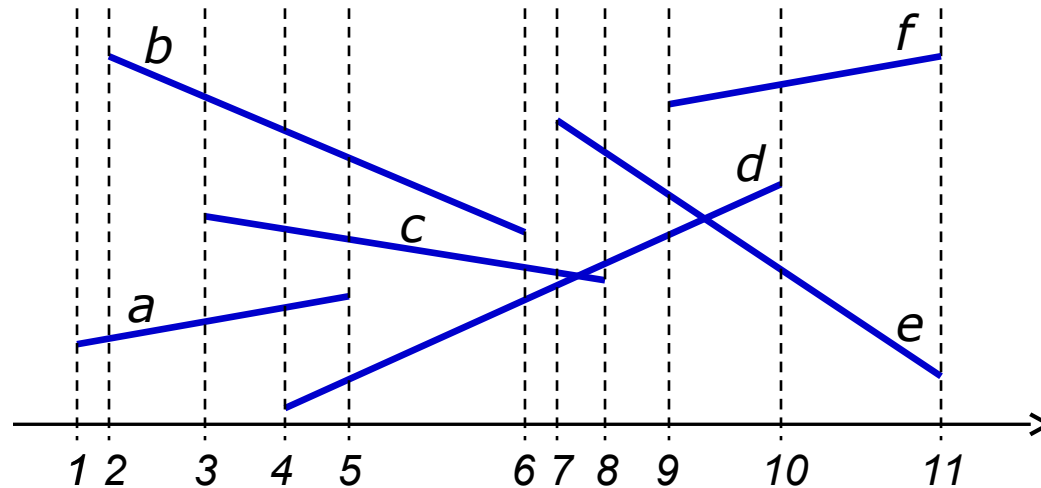


AnySegmentsIntersect(S)

```
01  $T \leftarrow \emptyset$ 
02 sort the left and right endpoints of the segments in S from
    left to right, breaking ties by putting left endpoints
    first
03 for each point p in the sorted list of endpoints do
04     if p is the left endpoint of a segment s then
05         Insert(T,s)
06         if (Above(T,s) exists and intersects s) or
            (Below(T,s) exists and intersects s) then
07             return TRUE
08     if p is the right endpoint of a segment s then
09         if both Above(T,s) and Below(T,s) exist and
            Above(T,s) intersects Below(T,s) then
10             return TRUE
11         Delete(T,s)
12 return FALSE
```



Example



- Which intersection checks are done in each step?
- At which event an intersection is discovered?
- Go to [Socratic](#) and write in your answer:
 - E.g., “3, 4” means 3 intersection checks, at the 4th event.
- What if sweeping is from right to left?

Analysis, Special cases



- Running time:
 - Sorting the segment endpoints: $\Theta(n \lg n)$
 - The loop is executed once for every end point ($2n$) taking each time $\Theta(\lg n)$ (at most three red-black tree operations)
 - The total running time is $\Theta(n \lg n)$
- Special cases (correctness not obvious, have to prove separately):
 - More than two segments intersect at one point
 - ♦ Can be shown to work just fine
 - There are some vertical segments
 - ♦ Can be proven to work correctly if bottom endpoints are treated as left endpoint (processed first in the event sequence)

Sweeping technique principles

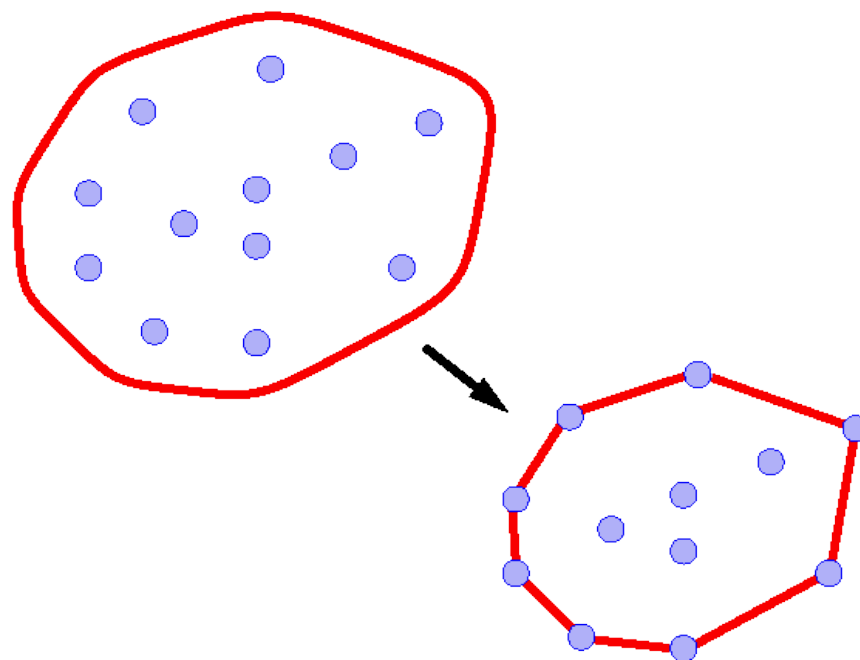


- *Principles of the sweeping technique:*
 - Define events and their order
 - If all the events can be determined in advance – *sort* the events
 - Else use a *priority queue* to manage the events
 - See which operations have to be performed with the sweep-line status at each event point
 - Choose a data-structure for the sweep-line status to efficiently support those operations

Convex hull problem



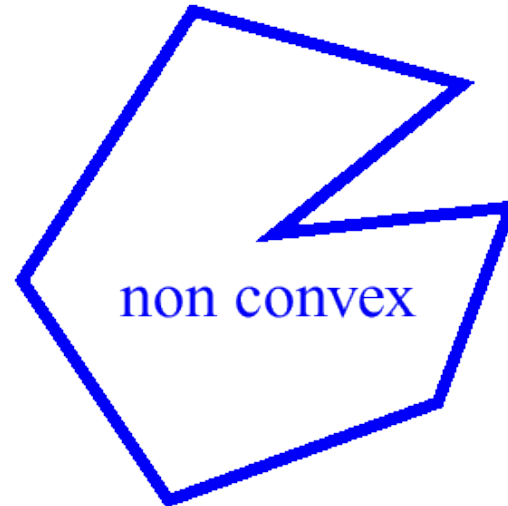
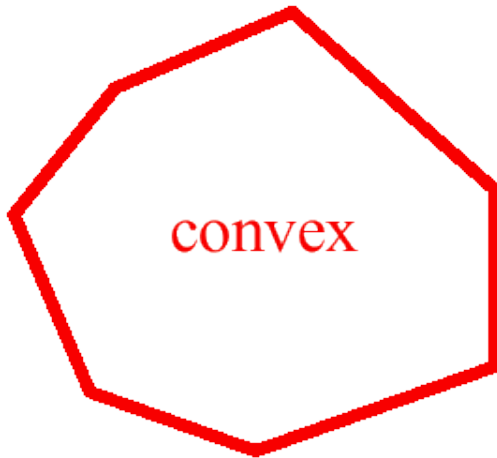
- *Convex hull problem:*
 - Let S be a set of n points in the plane. Compute the convex hull of these points.
 - *Intuition:* rubber band stretched around the pegs
 - *Formal definition:* the **convex hull** of S is the smallest convex polygon that contains all the points of S



What is convex



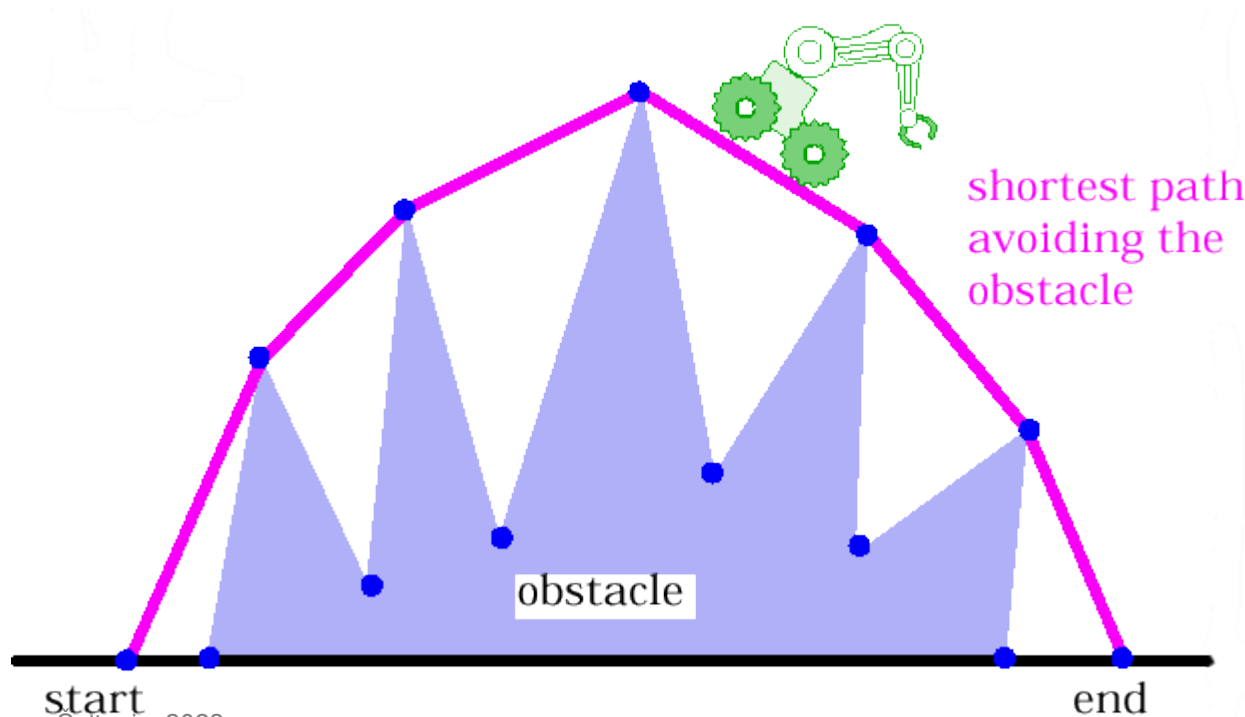
- A polygon P is said to be **convex** if:
 - P is *simple* (non-intersecting); and
 - for any two points p and q on the boundary of P , segment (p,q) lies entirely inside P



Many applications, many algs



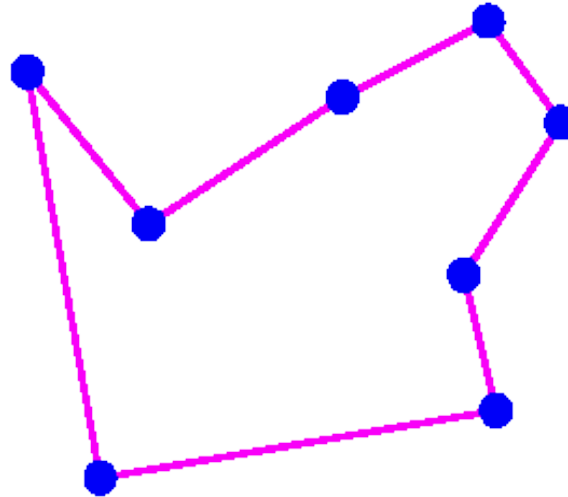
- In motion planning for robots, often there is a need to compute convex hulls.
- Convex hulls are often useful as a starting point in comp. geometry
 - For example, *furthest-pair problem*.



Graham Scan



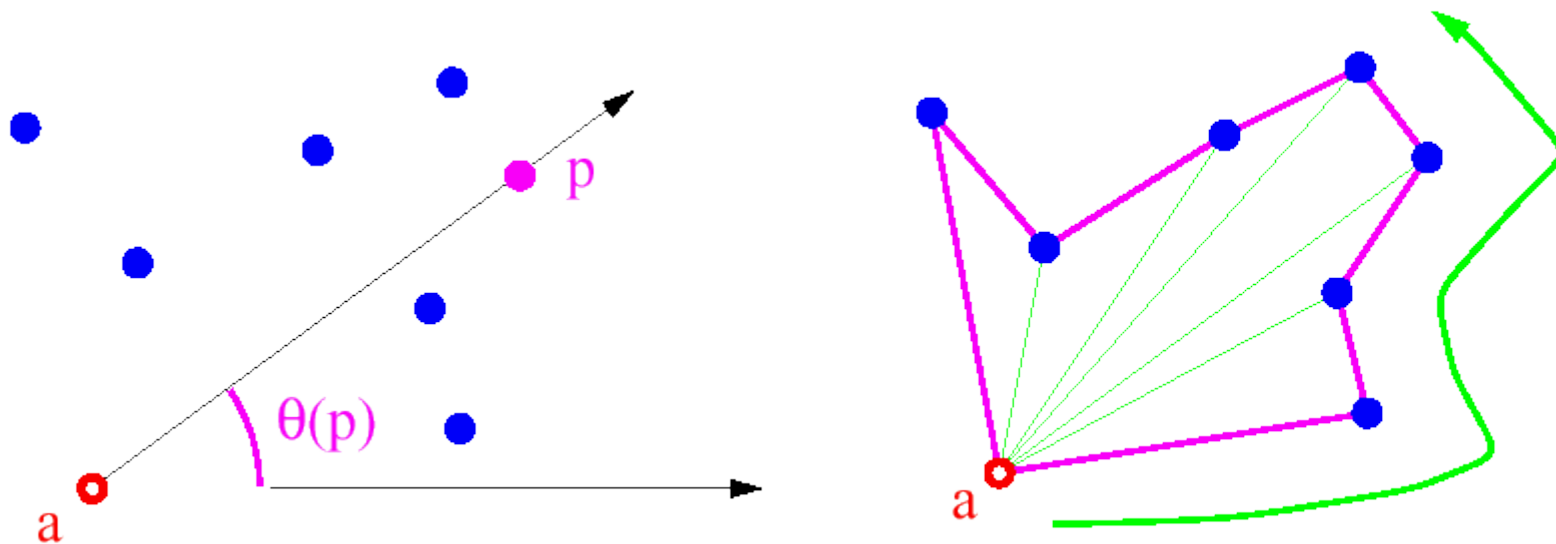
- ***Graham Scan algorithm.***
 - *Phase 1:* Solve the problem of finding the simple (non-crossing) closed path visiting all points



Finding non-crossing path



- *How do we find such a non-crossing path:*
 - Pick the bottommost point *a* as the anchor point
 - For each point *p*, compute the angle $\theta(p)$ of the segment (a,p) with respect to the x-axis.
 - Traversing the points by **increasing angle** yields a simple closed path

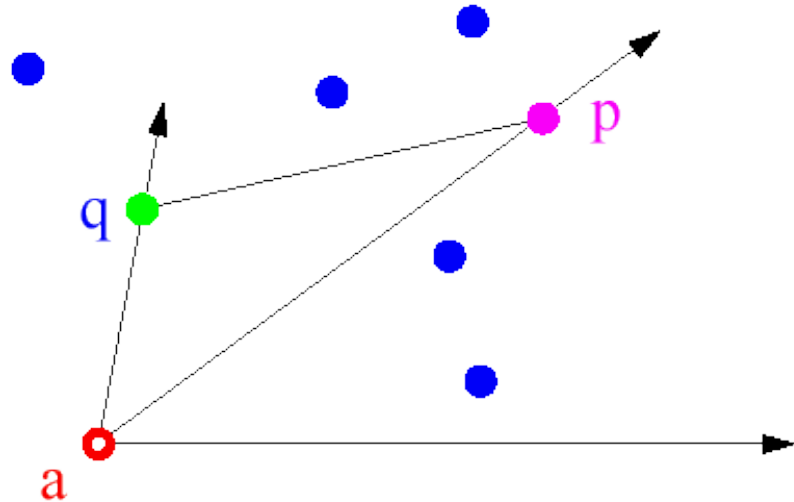


Sorting by angle



- *How do we sort by increasing angle?*
 - *Observation:* We do not need to compute the actual angle!
 - We just need to compare them for sorting

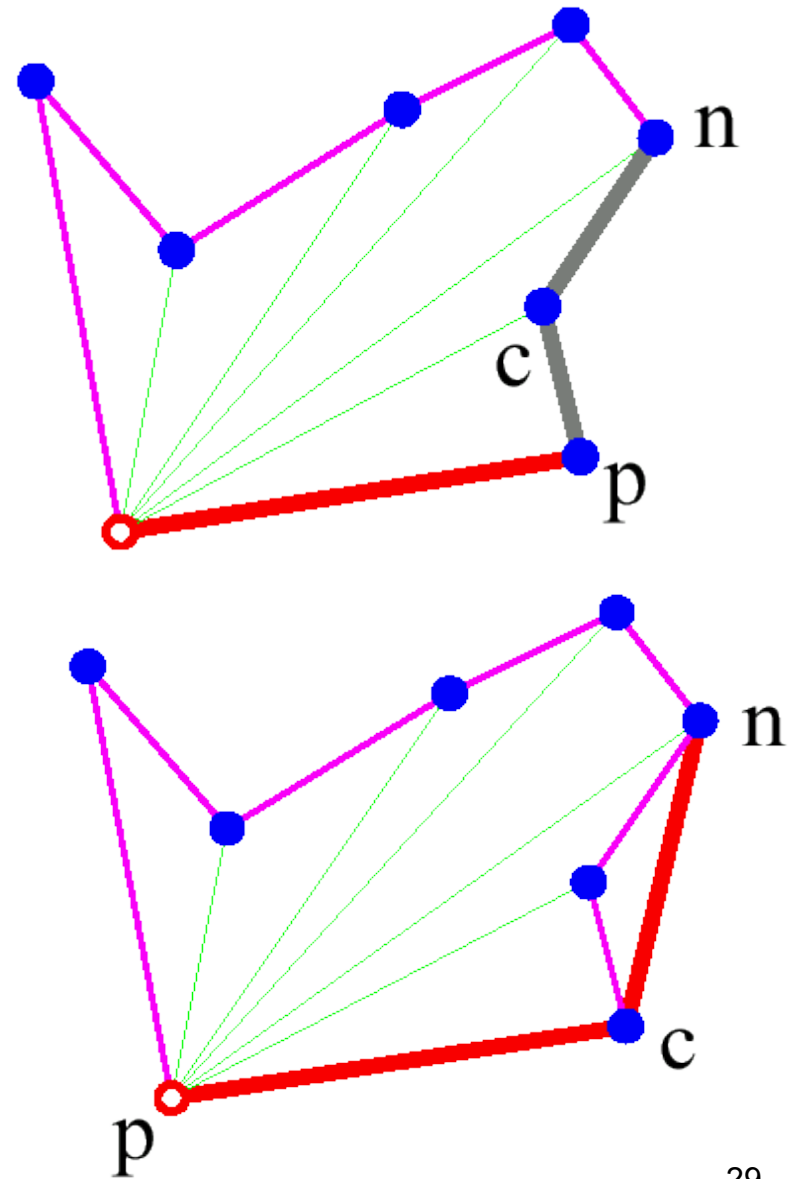
$\theta(p) < \theta(q)$
 $\Leftrightarrow \text{orientation}(a, p, q) =$
counterclockwise



Rotational sweeping



- *Phase 2 of Graham Scan: Rotational sweeping*
 - The anchor point and the first point in the polar-angle order have to be in the hull
 - Traverse points in the sorted order:
 - Before including the next point n check if the new added segment makes a left turn
 - If not, keep discarding the previous point (c) until a left turn is made



Implementation



- *Implementation:*
 - *Stack to store the vertices of the convex hull*

GrahamScan(S)

```
01 let  $p_0$  be the bottommost point in S
02 sort  $p_1, p_2, \dots, p_n$  according to the angle of  $p_0p_i$ .
03 s.push( $p_0$ )
04 s.push( $p_1$ )
05 s.push( $p_2$ )
06 for  $i \leftarrow 3$  to n
07     while (s.nexttop, s.top,  $p_i$ ) is a non-left turn do
08         s.pop
09     s.push( $p_i$ )
10 return s
```

Analysis

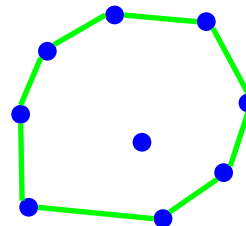
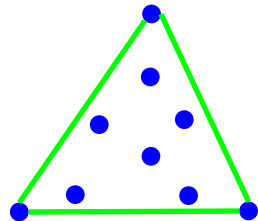


- *Analysis:*
 - Phase 1: $\Theta(n \log n)$
 - ◆ the anchor point is found: $\Theta(n)$
 - points are sorted by the angle around the anchor
 - Phase 2: $\Theta(n)$
 - each point is pushed into the stack once
 - each point is removed from the stack at most once
 - Total time complexity $\Theta(n \log n)$

Size of the output



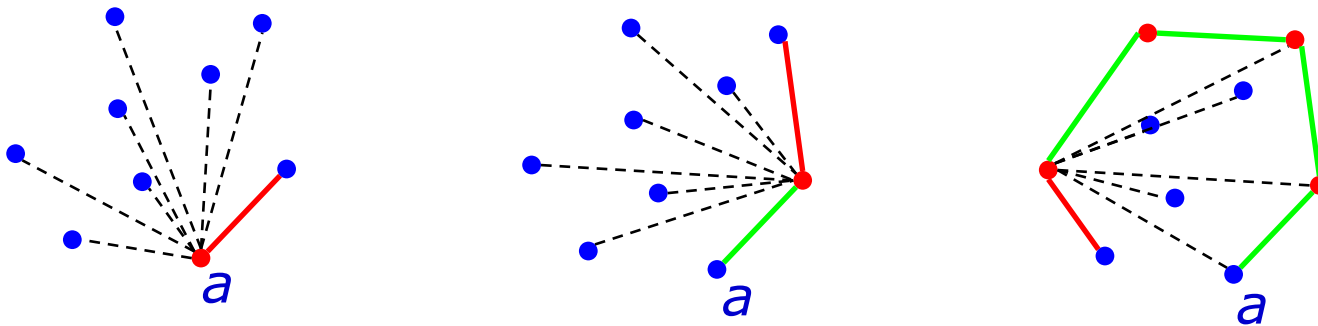
- *In computational geometry, the size of an algorithm's **output** may differ/depend on the **input**.*
 - Line-intersection problem vs. convex-hull problem
 - *Observation:* Graham's scan running time depends only on the size of the **input** – it is independent of the size of the **output**



Gift wrapping



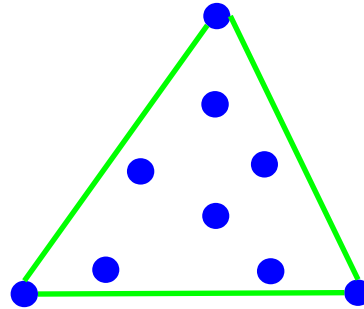
- *Would be nice to have an algorithm that runs fast if the convex hull is small*
 - Idea: **gift wrapping** (a.k.a **Jarvis's march**)
 - 1. Start with the lowest point a .
 - 2. The **next** point in the convex hull has to be in the clockwise direction with respect to all the remaining points looking from the **current** point on the convex hull
 - 3. Repeat 2. until a is reached. Include a in the convex hull



Jarvis's march



- *How many cross products are computed for this example?*



- The running time of Jarvis's march:
 - Find lowest point: $O(n)$
 - For each vertex in the convex hull: $n-2$ cross-product computations
 - Total: **$O(nh)$** , where h is the number of vertices in the convex hull

Output-sensitive algorithms



- **Output-sensitive** algorithm: its running time depends on the size of the output.
 - When should we use Jarvi's march instead of the Graham's scan?
 - The asymptotically optimal output-sensitive algorithm of Kirkpatrick and Seidel runs in $O(n \lg h)$