# Algorithms and Satisfiability 10. Abstraction Heuristics For Planning It's a Long Way to the Goal, But How Long Exactly?

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Thanks to Jörg Hoffmann for slide sources

Introduction Recap: STRIPS Recap: Search FDR Idea Abstraction Basics Pathological Pattern Databases Conclusion F

## Agenda

- Introduction
- 2 Recap: The STRIPS Planning Formalism
- Recap: Planning as Heuristic Search
- 4 Finite-Domain Representation (FDR) Planning
- 6 Abstractions: Idea
- 6 Abstraction Basics
- Practical vs. Pathological Abstractions
- 8 Pattern Databases
- Onclusion

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# Planning

#### **Ambition:**

Write one program (planner) that can solve all sequential decision-making problems.

#### How do we describe our problem to the planner?

- A logical description of the possible states
- A *logical description* of the initial state *I*
- A *logical description* of the goal condition *G*
- logical description of the set A of actions in terms of preconditions and effects
- $\rightarrow$  Solution (plan) = sequence of actions from A, transforming I into a state that satisfies G.
- $\rightarrow$ Here, we focus on the simplest form of planning: Classical Planning. In the mini-project, we will briefly cover other extensions.

# Algorithmic Problems in Planning

#### Satisficing Planning

**Input:** A planning task  $\Pi$ .

**Output:** A plan for  $\Pi$ , or "unsolvable" if no plan for  $\Pi$  exists.

#### **Optimal Planning**

**Input:** A planning task  $\Pi$ .

**Output:** An *optimal* plan for  $\Pi$ , or "unsolvable" if no plan for  $\Pi$  exists.

- $\rightarrow$  The techniques successful for either one of these are almost disjoint. And satisficing planning is *much* more effective in practice.
- → Programs solving these problems are called (optimal) planners, planning systems, or planning tools.

# Classical Planning IPC Overview

- IPC 2000: Winner heuristic search.
- IPC 2002: Winner heuristic search.
- IPC 2004: Winner satisficing heuristic search; optimal compilation to SAT.
- IPC 2006: Winner satisficing heuristic search; optimal compilation to SAT.
- IPC 2008: Winner satisficing heuristic search; optimal symbolic search.
- IPC 2011: Winner satisficing heuristic search; optimal heuristic search.
- IPC 2014: Winner satisficing heuristic search; optimal symbolic search.
- IPC 2018: Winner satisficing heuristic search; optimal portfolio/symbolic search/heuristic search.
- → This and next lecture focus on planning as heuristic search;
  Chapter 12 will focus on compilation to SAT and symbolic search.
- $\rightarrow$  This is a VERY short summary of the history of the IPC! There are many different categories, and many different awards.

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# Our Agenda for This Topic

Planning and heuristic search were already introduced in the Machine Intelligence course, as it is a sub-area of Artificial Intelligence. Here, we focus on how to (1) design efficient algorithms that can solve planning tasks in practice; and (2) make use of existing planners by encoding your problems as planning tasks.

- This Chapter: How to automatically generate a heuristic function, given planning language input?
  - $\rightarrow$  Focusing on heuristic search as the solution method, this is the main question that needs to be answered.
- Mini-project: How to use planners to solve your problems?
- Chapter 11: How to solve planning using SAT? How to solve planning using BDDs?
  - $\rightarrow$ Other algorithms to solve planning based on the techniques we have seen before.

# STRIPS Planning: Syntax

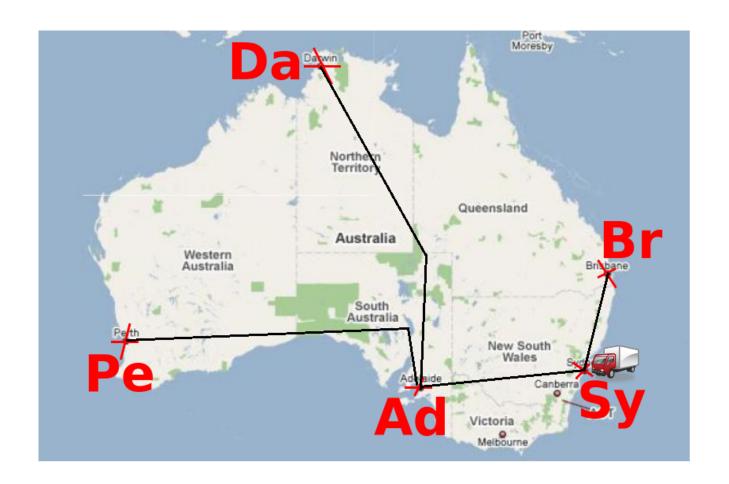
**Definition (STRIPS Planning Task).** A STRIPS planning task, short planning task, is a 4-tuple  $\Pi = (P, A, I, G)$  where:

- P is a finite set of facts (aka propositions).
- A is a finite set of actions; each  $a \in A$  is a triple  $a = (pre_a, add_a, del_a)$  of subsets of P referred to as the action's precondition, add list, and delete list respectively; we require that  $add_a \cap del_a = \emptyset$ .
- $I \subseteq P$  is the initial state.
- $G \subseteq P$  is the goal.

We will often give each action  $a \in A$  a name (a string), and identify a with that name.

→We'll see some extensions beyond STRIPS for the mini-project, when we discuss PDDL.

## "TSP" in Australia



# STRIPS Encoding of "TSP"



- Facts P:  $\{at(x), visited(x) \mid x \in \{Sydney, Adelaide, Brisbane, Perth, Darwin\}\}.$
- Initial state I: { at(Sydney), visited(Sydney) }.
- Goal G:  $\{at(Sydney)\} \cup \{visited(x) \mid x \in \{Sydney, Adelaide, Brisbane, Perth, Darwin\}\}.$
- Actions  $a \in A$ : drive(x,y) where x,y have a road. Precondition  $pre_a$ :  $\{at(x)\}$ . Add list  $add_a$ :  $\{at(y), visited(y)\}$ . Delete list  $del_a$ :  $\{at(x)\}$ .
- Plan:  $\langle drive(Sydney, Brisbane), drive(Brisbane, Sydney), drive(Sydney, Adelaide), drive(Adelaide, Perth), drive(Perth, Adelaide), drive(Adelaide, Darwin), drive(Darwin, Adelaide), drive(Adelaide, Sydney) \rangle.$

# STRIPS Planning: Semantics

**Definition (STRIPS State Space).** Let  $\Pi = (P, A, c, I, G)$  be a STRIPS planning task. The state space of  $\Pi$  is  $\Theta_{\Pi} = (S, A, T, I, S^G)$  where:

- The states (also world states)  $S = 2^P$  are the subsets of P.
- A is  $\Pi$ 's action set.
- The transitions are  $T = \{s \xrightarrow{a} s' \mid pre_a \subseteq s, s' = appl(s, a)\}$ .

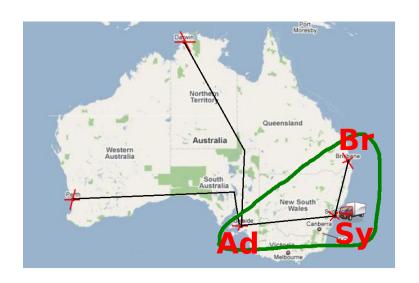
  If  $pre_a \subseteq s$ , then a is applicable in s and  $appl(s, a) := (s \cup add_a) \setminus del_a$ .

  If  $pre_a \not\subseteq s$ , then appl(s, a) is undefined.
- I is Π's initial state.
- The goal states  $S^G = \{s \in S \mid G \subseteq s\}$  are those that satisfy  $\Pi$ 's goal.

An (optimal) plan for  $s \in S$  is an (optimal) solution for s in  $\Theta_{\Pi}$ , i.e., a path from s to some  $s' \in S^G$ . A solution for I is called a plan for  $\Pi$ .  $\Pi$  is solvable if a plan for  $\Pi$  exists.

For  $\vec{a} = \langle a_1, \dots, a_n \rangle$ ,  $appl(s, \vec{a}) := appl(\dots appl(appl(s, a_1), a_2) \dots, a_n)$  if each  $a_i$  is applicable in the respective state; else,  $appl(s, \vec{a})$  is undefined.

# STRIPS Encoding of Simplified "TSP"



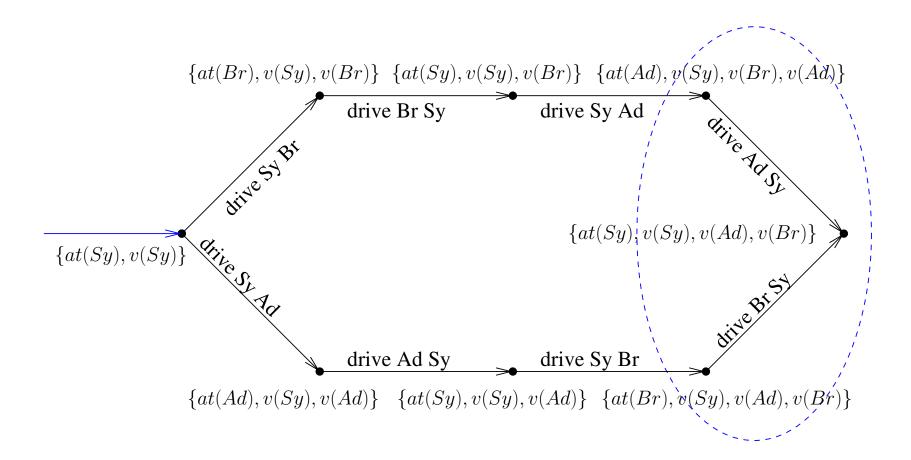
- Facts P:  $\{at(x), visited(x) \mid x \in \{Sydney, Adelaide, Brisbane\}\}.$
- Initial state I: {at(Sydney), visited(Sydney)}.
- Goal G:  $\{visited(x) \mid x \in \{Sydney, Adelaide, Brisbane\}\}$ . (Note: no "at(Sydney)".)
- Actions  $a \in A$ : drive(x, y) where x, y have a road.

Precondition  $pre_a$ :  $\{at(x)\}$ .

Add list  $add_a$ :  $\{at(y), visited(y)\}$ .

Delete list  $del_a$ :  $\{at(x)\}.$ 

# STRIPS Encoding of Simplified "TSP": State Space



 $\to$  Is this actually the state space? No, only the reachable part. E.g.,  $\Theta_{\Pi}$  also includes the states  $\{v(Sy)\}$  and  $\{at(Sy), at(Br)\}$ .

# Decision Problems in (STRIPS) Planning

**Definition** (PlanEx). Given a STRIPS task  $\Pi$ , does there exists a plan for  $\Pi$ ?  $\to$  Corresponds to satisficing planning.

**Theorem.** PlanEx is **PSPACE**-complete.

**Definition** (PlanLen). Given a STRIPS task  $\Pi$  and an integer K, does there exists a plan for  $\Pi$  of length at most K?  $\to$  Corresponds to optimal planning.

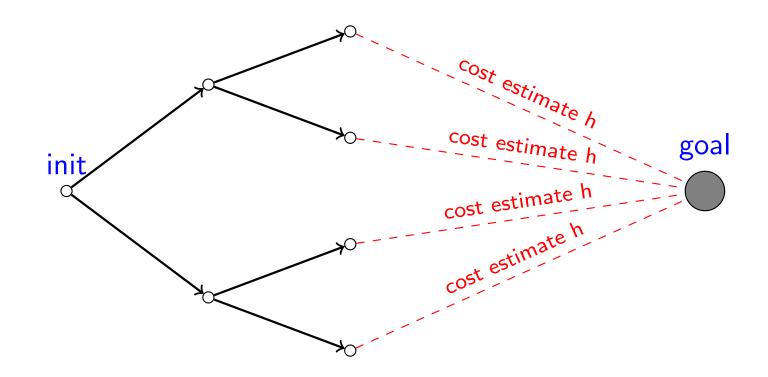
**Theorem.** PlanLen is **PSPACE**-complete.

**Definition** (PolyPlanLen). Given a STRIPS planning task  $\Pi$  and an integer K bounded by a polynomial in the size of  $\Pi$ , does there exists a plan for  $\Pi$  of length at most K?  $\to$  Corresponds to optimal planning with "small" plans.

Theorem. PolyPlanLen is NP-complete.

→Classical Planning is as hard as SAT if plans are of polynomial length, harder if plans are exponentially long

#### Reminder: Heuristic Search



 $\rightarrow$  Heuristic function h estimates the cost of an optimal path from a state s to the goal; search prefers to expand states s with small h(s).

#### Reminder: Heuristic Functions

**Definition (Heuristic Function).** Let  $\Pi$  be a planning task with states S. A heuristic function, short heuristic, for  $\Pi$  is a function  $h: S \mapsto \mathbb{N}_0^+ \cup \{\infty\}$  so that h(s) = 0 whenever s is a goal state.

**Definition** ( $h^*$ , Admissibility). Let  $\Pi$  be a planning task with states S. The perfect heuristic  $h^*$  assigns every  $s \in S$  the length of a shortest path from s to a goal state, or  $\infty$  if no such path exists. A heuristic function h for  $\Pi$  is admissible if, for all  $s \in S$ , we have  $h(s) \leq h^*(s)$ .

 $\rightarrow$  In all cases, we attempt to approximate  $h^*(s)$ , the length of an optimal plan for s. Some algorithms guarantee to lower-bound  $h^*(s)$ .

# Reminder: Greedy Best-First Search and A\*

#### Duplicate elimination omitted for simplicity:

```
function Greedy Best-First Search [A^*] (problem) returns a solution, or failure node \leftarrow a node n with n.state = problem.InitialState frontier \leftarrow a priority queue ordered by ascending h [g+h], only element n loop do

if Empty?(frontier) then return failure
n \leftarrow Pop(frontier)
if problem.GoalTest(n.State) then return Solution(n)
for each action\ a in problem.Actions(n.State) do
n' \leftarrow ChildNode(problem,n,a)
Insert(n',\ h(n')\ [g(n') + h(n')],\ frontier)
```

- ightarrow Is Greedy Best-First Search optimal? No  $\implies$  satisficing planning.
- $\rightarrow$  Is A\* optimal? Yes, but only if h is admissible  $\Longrightarrow$  optimal planning, with such h.

#### Heuristic Functions from Relaxed Problems



Problem  $\Pi$ : Find a route from Saarbruecken To Edinburgh.

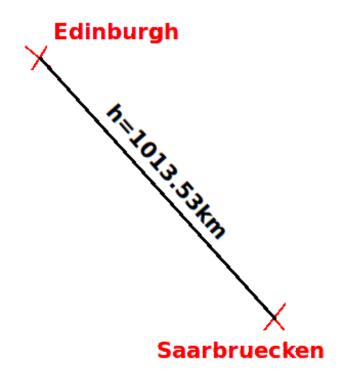
#### Heuristic Functions from Relaxed Problems





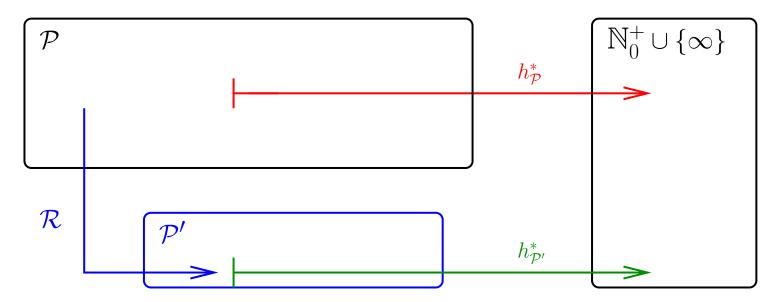
Relaxed Problem  $\Pi'$ : Throw away the map.

#### Heuristic Functions from Relaxed Problems



Heuristic function *h*: Straight line distance.

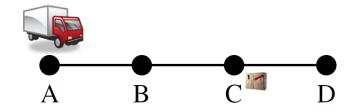
#### How to Relax



- You have a class  $\mathcal{P}$  of problems, whose perfect heuristic  $h_{\mathcal{P}}^*$  you wish to estimate.
- You define a class  $\mathcal{P}'$  of *simpler problems*, whose perfect heuristic  $h_{\mathcal{P}'}^*$  can be used to *estimate*  $h_{\mathcal{P}}^*$ .
- You define a transformation the relaxation mapping  $\mathcal{R}$  that maps instances  $\Pi \in \mathcal{P}$  into instances  $\Pi' \in \mathcal{P}'$ .
- Given  $\Pi \in \mathcal{P}$ , you let  $\Pi' := \mathcal{R}(\Pi)$ , and estimate  $h_{\mathcal{P}}^*(\Pi)$  by  $h_{\mathcal{P}'}^*(\Pi')$ .

# How to Relax in Planning? (A Reminder!)

**Example:** "Logistics"



- Facts P:  $\{truck(x) \mid x \in \{A, B, C, D\}\} \cup \{pack(x) \mid x \in \{A, B, C, D, T\}\}.$
- Initial state I:  $\{truck(A), pack(C)\}$ .
- Goal G:  $\{truck(A), pack(D)\}.$
- Actions A: (Notated as "precondition  $\Rightarrow$  adds,  $\neg$  deletes")
  - drive(x, y), where x, y have a road: " $truck(x) \Rightarrow truck(y), \neg truck(x)$ ".
  - load(x): " $truck(x), pack(x) \Rightarrow pack(T), \neg pack(x)$ ".
  - unload(x): " $truck(x), pack(T) \Rightarrow pack(x), \neg pack(T)$ ".

**Example Delete-Relaxation:** Drop the delete effects.

### Search + Inference

#### In Chapter 7:

DPLL is an example of a successful algorithmic pattern: Search + Inference

- DPLL  $\approx$  Search = Backtracking, with Inference() = unit propagation.
- Unit propagation is sound: It does not reduce the set of solutions.

#### In Planning:

- Search =  $A^*$  or GBFS
- Inference = Heuristic function

# FDR Representation

Finite-domain representation (c.f. next slide) is an alternative representation for planning tasks with multi-valued variables.

- $\bullet$  at(Adelaide), at(Sydney), ...
- We know that the truck is always in exactly one location
- ⇒ Variable "at" which may take values Adelaide, Sydney, etc.
- →Both representations are equivalent, but FDR is more convenient when analyzing abstractions and pattern databases so we will use it in this lecture

# FDR Planning: Syntax

**Definition (FDR Planning Task).** A finite-domain representation planning task, short FDR planning task, is a 5-tuple  $\Pi = (V, A, c, I, G)$  where:

- V is a finite set of state variables, each  $v \in V$  with a finite domain  $D_v$ . We refer to (partial) functions on V, mapping each  $v \in V$  into a member of  $D_v$ , as (partial) variable assignments.
- A is a finite set of actions; each  $a \in A$  is a pair  $(pre_a, eff_a)$  of partial variable assignments referred to as the action's precondition and effects.
- $c: A \mapsto \mathbb{R}_0^+$  is the cost function.
- I is a complete variable assignment called the initial state.
- G is a partial variable assignment called the goal.

We say that  $\Pi$  has unit costs if, for all  $a \in A$ , c(a) = 1.

 $\rightarrow$  In FDR, a (partial) variable assignment represents a state in I, a condition in  $pre_a$  and G, and an effect instruction in  $eff_a$ .

**Notation:** Pairs (v, d) are facts, also written v = d. We identify partial variable assignments p with fact sets. We write  $V[p] := \{v \in V \mid p(v) \text{ is defined}\}$ .

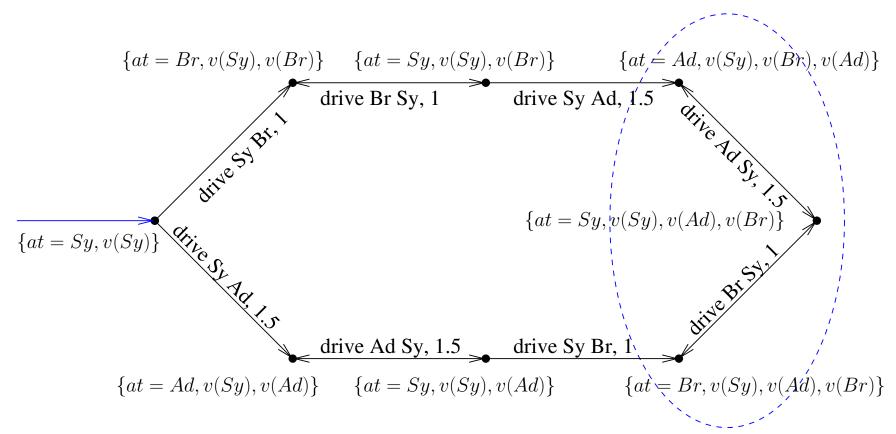
# FDR Encoding of "TSP"



- lacktriangle Variables  $V: at: \{Sydney, Adelaide, Brisbane, Perth, Darwin\}; <math>visited(x): \{T, F\}$  for  $x \in \{Sydney, Adelaide, Brisbane, Perth, Darwin\}.$
- Initial state I: at = Sydney, visited(Sydney) = T, visited(x) = F for  $x \neq Sydney$ .
- Goal G: at = Sydney, visited(x) = T for all x.
- Actions  $a \in A$ : drive(x, y) where x, y have a road. Precondition  $pre_a$ : at = x. Effect eff a: at = y, visited(y) = T.
- Cost function *c*:  $c(drive(x,y)) = \begin{cases} 1 & \{x,y\} = \{Sydney, Brisbane\} \\ 1.5 & \{x,y\} = \{Sydney, Adelaide\} \\ 3.5 & \{x,y\} = \{Adelaide, Perth\} \\ 4 & \{x,y\} = \{Adelaide, Darwin\} \end{cases}$

# FDR Encoding of Simplified "TSP": State Space

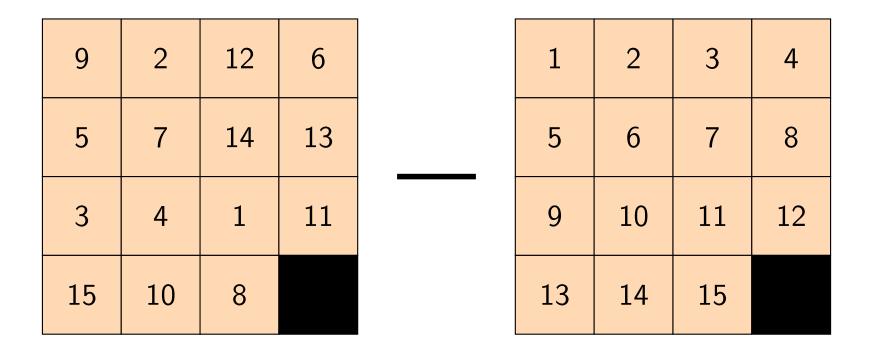
(using "v(x)" as shorthand for visited(x) = T)



 $\rightarrow$  This is only the reachable part of the state space: E.g.,  $\Theta_{\Pi}$  also includes the state  $\{at=Sy,v(Br)\}$ . (But neither  $\{v(Sy)\}$  nor  $\{at=Sy,at=Br\}$ , compare slide 14.)

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#### The 15-Puzzle



 $\rightarrow$  Abstractions, in the context of AI, were first introduced in the form of pattern database heuristics for the 15-Puzzle.

How to apply the idea of relaxation to solve this puzzle?

#### Pattern Databases in a Nutshell

9	2	12	6		2		6
5	7	14	13	 5	7		
3	4	1	11	3	4	1	
15	10	8					

"Abstract the planning task by choosing a subset P of variables (the pattern), and ignoring the values of all other variables."

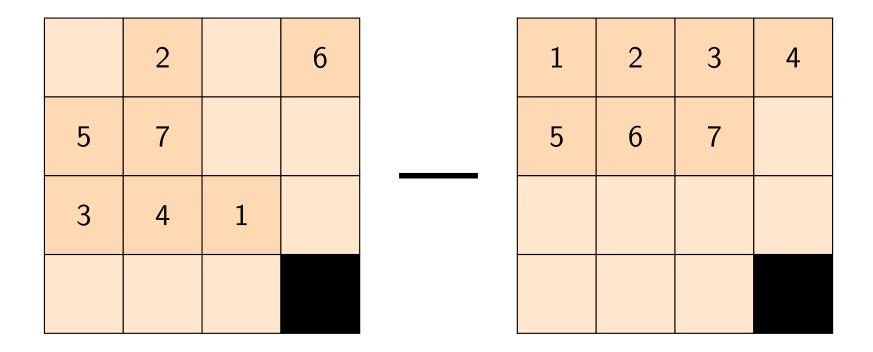
## Concrete vs. Abstract State Space

9	2	12	6
5	7	14	13
3	4	1	11
15	10	8	

1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	

Concrete State Space:  $16^{16} \approx 1.8 * 10^{19}$  states.

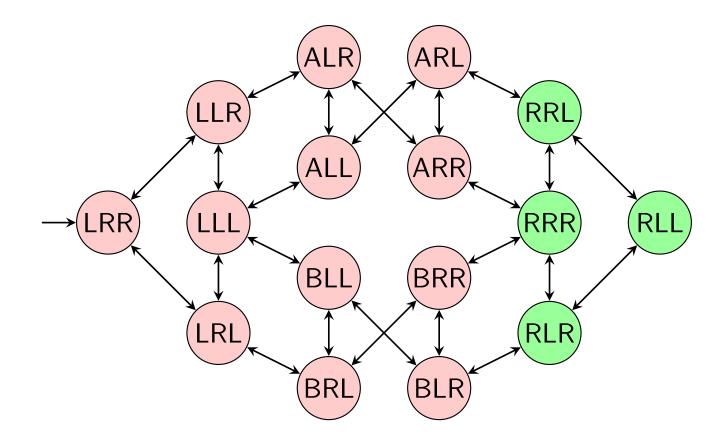
## Concrete vs. Abstract State Space



Abstract State Space:  $16^8 \approx 4.2 * 10^9$  states.

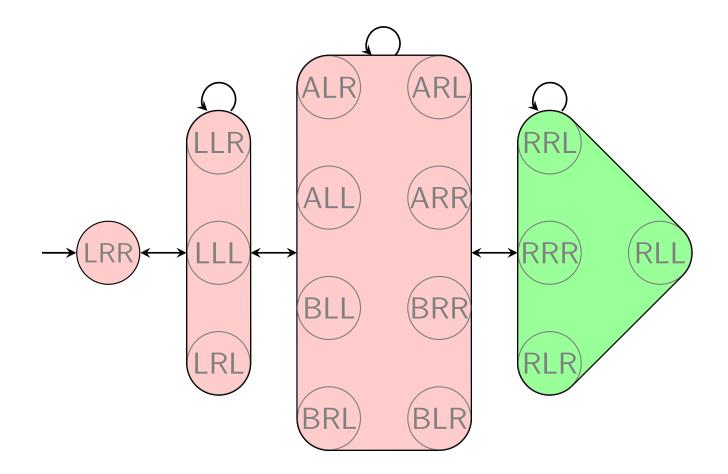
## Abstractions in a Nutshell: Example

Concrete transition system: (of "Logistics mal anders", see later)



# Abstractions in a Nutshell: Example

Abstract transition system: (of "Logistics mal anders", see later)



## Abstractions in a Nutshell: Wrap-Up

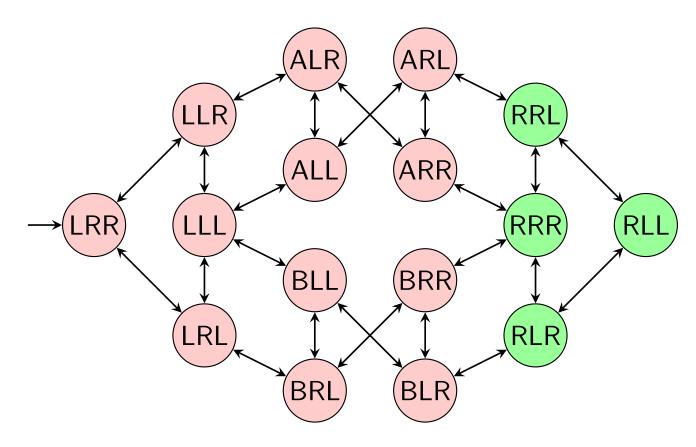
- $\rightarrow$  Abstracting a transition system means dropping some distinctions between states, while preserving all transitions and goal states.
  - An abstraction of a transition system  $\Theta$  is defined by a function  $\alpha$  (the abstraction mapping), mapping states to abstract states (also block states).
  - If  $\alpha$  maps states s and t to the same abstract state, then s and t are not distinguished anymore (they are equivalent under  $\alpha$ ).
  - The abstract transition system  $\Theta^{\alpha}$  on the image of  $\alpha$  is defined by homomorphically mapping over all goal states and transitions from  $\Theta$ , and thus preserving all solutions.
  - The abstract remaining cost, i.e., remaining cost in  $\Theta^{\alpha}$ , is an estimate  $h^{\alpha}$  for remaining cost in  $\Theta$ . As we preserve all solutions,  $h^{\alpha}$  is admissible.

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## Our Agenda for This Chapter

- Abstraction Basics: Formal definition of abstractions and their associated structures; proving their basic properties.
- **Practical vs. Pathological Abstractions:** We briefly illuminate basic practical issues, through a number of examples illustrating "how not to do it".
- Pattern Databases: How to implement PDB heuristics (namely, via a "pattern database").

# The State Space of "Logistics mal anders"



- State p = x,  $t_A = y$ ,  $t_B = z$  is depicted as xyz.
- Transition labels not shown. For example, the transition from LLL to ALL has the label pickup(A, L).

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### Abstractions

**Definition (Abstraction).** Let  $\Theta = (S, L, c, T, I, S^G)$  be a transition system. An abstraction of  $\Theta$  is a surjective function  $\alpha : S \mapsto S^{\alpha}$ , also referred to as the abstraction mapping. The abstract state space induced by  $\alpha$ , written  $\Theta^{\alpha}$ , is the transition system  $\Theta^{\alpha} = (S^{\alpha}, L, c, T^{\alpha}, I^{\alpha}, S^{\alpha G})$  defined by:

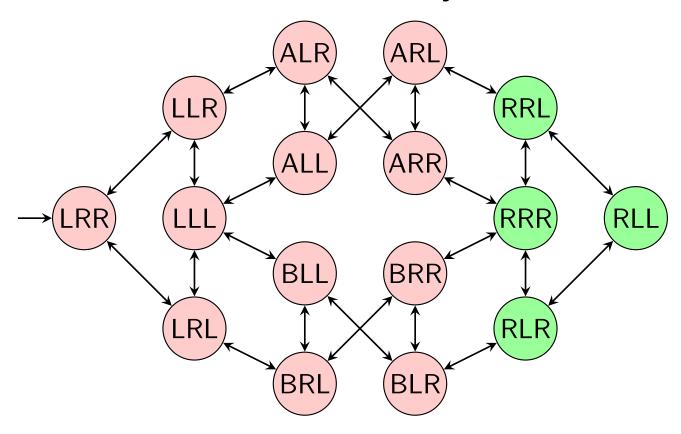
- $\bullet \hspace{-0.4cm} \bullet \hspace{-0.4cm} T^{\alpha} = \{(\alpha(s), l, \alpha(t)) \mid (s, l, t) \in T\}. / *$  preserve transitions \*/

The size of the abstraction is the number  $|S^{\alpha}|$  of abstract states.

 $\rightarrow$   $\Theta$  is called the concrete state space. Similarly: concrete/abstract transition system, concrete/abstract transition, etc.

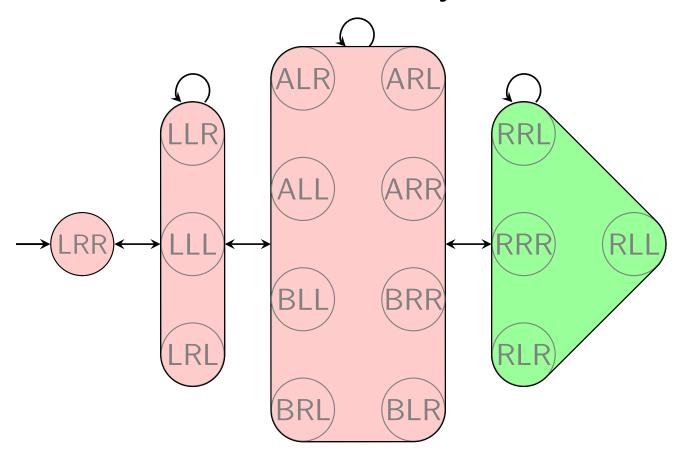
## Abstractions: "Logistics mal anders"

### **Concrete transition system:**



# Abstractions: "Logistics mal anders"

### **Abstract transition system:**



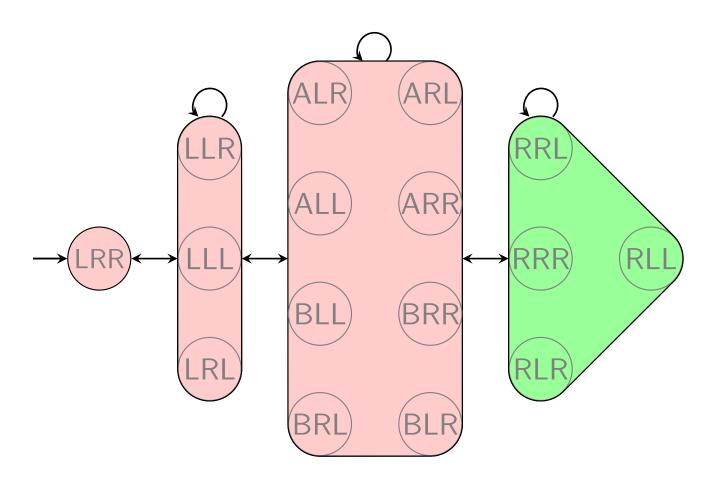
→ A transition between concrete states is "spurious" if it exists in the abstract but not in the concrete state space. Example here? We can go in a single step from LRR to LLL.

## **Abstraction Heuristics**

**Definition (Abstraction Heuristic).** Let  $\Theta = (S, L, c, T, I, S^G)$  be a transition system, and let  $\alpha$  be an abstraction of  $\Theta$ . The abstraction heuristic induced by  $\alpha$ , written  $h^{\alpha}$ , is the heuristic function  $h^{\alpha}: S \mapsto \mathbb{R}^+_0 \cup \{\infty\}$  which maps each state  $s \in S$  to  $h^*_{\Theta^{\alpha}}(\alpha(s))$ , i.e., to the remaining cost of  $\alpha(s)$  in  $\Theta^{\alpha}$ .

- $\rightarrow$  The abstract remaining cost (remaining cost in  $\Theta^{\alpha}$ ) is used as the heuristic estimate for remaining cost in  $\Theta$ .
- $\to h^{\alpha}(s) = \infty$  if no goal state of  $\Theta^{\alpha}$  is reachable from  $\alpha(s)$ .

# Abstraction Heuristics: "Logistics mal anders"



$$h^{\alpha}(\{p=L, t_A=R, t_B=R\}) = 3 \neq h^*(\{p=L, t_A=R, t_B=R\}) = 4$$

## Abstraction Heuristics: Properties

**Proposition** ( $h^{\alpha}$  is Admissible). Let  $\Theta$  be a transition system, and let  $\alpha$  be an abstraction of  $\Theta$ . Then  $h^{\alpha}$  is consistent and goal-aware, and thus also admissible and safe.

**Proof Idea:** Any plan in the original transition system is a valid plan in the abstract transition system.

**Proof.** (for reference) Let 
$$\Theta=(S,L,c,T,I,S^G)$$
 and  $\Theta^{\alpha}=(S^{\alpha},L,c,T^{\alpha},I^{\alpha},S^{\alpha G}).$ 

For goal-awareness, we need to show that  $h^{\alpha}(s)=0$  for all  $s\in S^G$ . So let  $s\in S^G$ . Then  $\alpha(s)\in S^{\alpha G}$  by definition of abstractions, and hence  $h^{\alpha}(s)=h^*_{\Theta^{\alpha}}(\alpha(s))=0$ .

For consistency, we need to show that whenever  $(s,a,t) \in T$ ,  $h^{\alpha}(s) \leq h^{\alpha}(t) + c(a)$ . By definition,  $h^{\alpha}(s) = h^*_{\Theta^{\alpha}}(\alpha(s))$  and  $h^{\alpha}(t) = h^*_{\Theta^{\alpha}}(\alpha(t))$ , so we need to show that  $h^*_{\Theta^{\alpha}}(\alpha(s)) \leq h^*_{\Theta^{\alpha}}(\alpha(t)) + c(a)$ . Since (s,a,t) is a concrete transition, by definition of abstractions we have an abstract transition  $(\alpha(s),a,\alpha(t))$  in  $\Theta^{\alpha}$ . But then,  $h^*_{\Theta^{\alpha}}(\alpha(s)) \leq h^*_{\Theta^{\alpha}}(\alpha(t)) + c(a)$  holds simply because  $h^*$  is consistent. (In our notation here:  $h^*_{\Theta^{\alpha}}$  is consistent in  $\Theta^{\alpha}$ ). Algorithms and Satisfiability Chapter 10: Abstraction Heuristics For Planning

## Questionnaire



- Variables:  $at: \{Sy, Ad, Br, Pe, Ad\};$   $v(x): \{T, F\}$  for  $x \in \{Sy, Ad, Br, Pe, Ad\}.$
- Actions: drive(x, y) where x, y have a road.
- Costs:  $Sy \leftrightarrow Br: 1$ ,  $Sy \leftrightarrow Ad: 1.5$ ,  $Ad \leftrightarrow Pe: 3.5$ ,  $Ad \leftrightarrow Da: 4$ .
- Initial state: at = Sy, v(Sy) = T, v(x) = F for  $x \neq Sy$ .
- Goal: at = Sy, v(x) = T for all x.

### Question!

Say  $\alpha$  projects this planning task onto  $\{at, v(Pe), v(Da)\}$ , i.e.,  $\alpha(s) = \alpha(t)$  iff they agree on these variables. What is  $h^{\alpha}(I)$ ?

(A): 10 (B): 12.5

(C): 18 (D): 20

 $\rightarrow$  In the abstract state space induced by  $\alpha$ , any solution must visit Perth and Darwin, then return to Sydney. The optimal sequence doing so has cost 18, so (C) is correct.

## Questionnaire, ctd.



- Variables:  $at : \{Sy, Ad, Br, Pe, Ad\};$  $v(x) : \{T, F\}$  for  $x \in \{Sy, Ad, Br, Pe, Ad\}.$
- Actions: drive(x, y) where x, y have a road.
- Costs:  $Sy \leftrightarrow Br: 1$ ,  $Sy \leftrightarrow Ad: 1.5$ ,  $Ad \leftrightarrow Pe: 3.5$ ,  $Ad \leftrightarrow Da: 4$ .
- Initial state: at = Sy, v(Sy) = T, v(x) = F for  $x \neq Sy$ .
- Goal: at = Sy, v(x) = T for all x.

### Question!

Say  $\alpha$  projects this task onto  $\{v(Pe), v(Da)\}$ . What is  $h^{\alpha}(I)$ ?

(A): 2

(B): 7.5

(C): 12.5

(D): 14

 $\rightarrow$  We can drive to Perth and Darwin without achieving the truck precondition. The only actions driving to these cities cost 3.5 respectively 4, so (B) is correct.

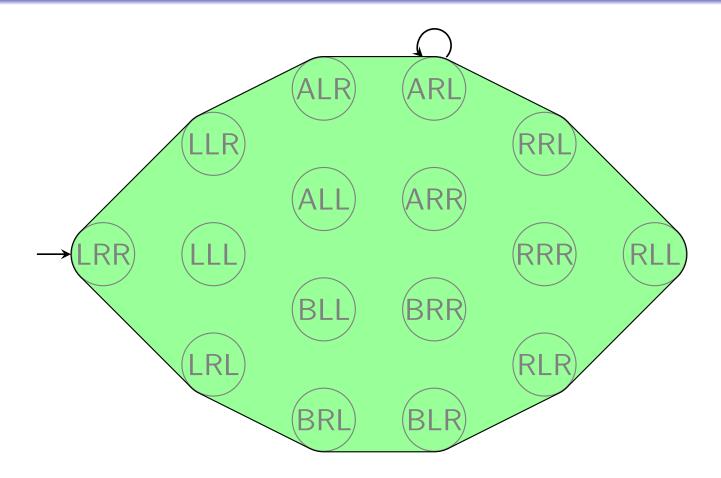
## Which Abstractions Should We Use in Practice?

### Conflicting Objectives

The eternal trade-off between accuracy and efficiency:

- We want to obtain an informative heuristic.
- We want to obtain a small computational overhead.
- $\rightarrow$  The abstraction function  $\alpha$  is a very powerful parameter, allowing to travel the whole way between both extremes (see next slides).
- → What do we mean by "small computational overhead"?
  - Fast computation of  $\alpha$ : For a given state s, the abstract state  $\alpha(s)$  must be efficiently computable.
  - Few abstract states: For a given abstract state  $\alpha(s)$ , the abstract remaining cost  $h^{\alpha}(s) = h^*_{\Theta^{\alpha}}(\alpha(s))$  must be efficiently computable.

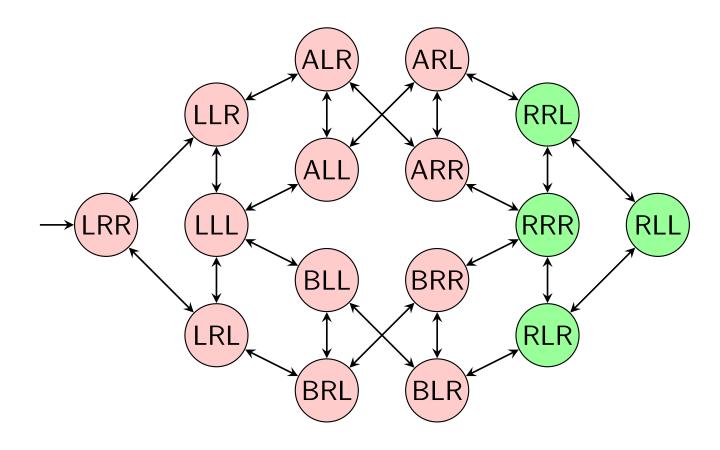
## Pathological Case 1: One-State Abstraction



One-state abstraction:  $\alpha(s) := \text{const.}$ 

- + Trivial to compute  $\alpha$ , just one abstract state.
- Completely uninformative  $h^{\alpha}$ .

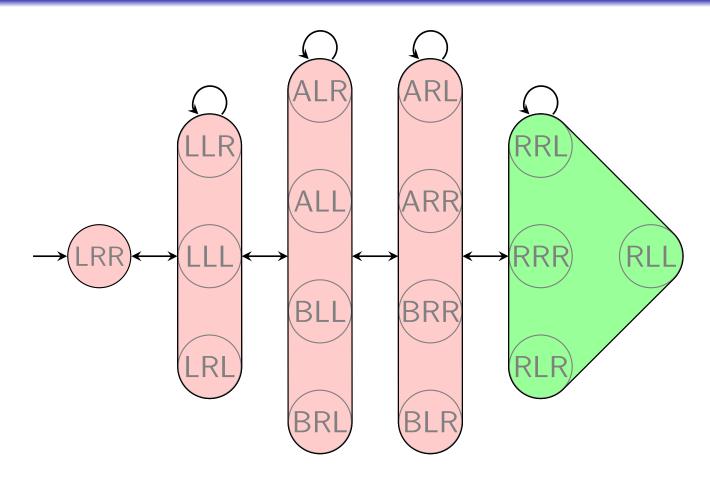
## Pathological Case 2: Identity Abstraction



Identity abstraction:  $\alpha(s) := s$ .

- $+ h^{\alpha} = h^*$ , trivial to compute  $\alpha$ .
- Abstract state space = concrete state space.

## Pathological Case 3: Perfect Abstraction



Perfect abstraction:  $\alpha(s) := h^*(s)$ .

- $+\ h^{\alpha}=h^{*}$ , usually very few abstract states.
- Computing \alpha entails solving the optimal planning problem.

## So, How to Obtain *Non*-Pathological Abstractions?

#### **Covered in this course:**

Pattern database heuristics [Culberson and Schaeffer (1998);
 Edelkamp (2001); Haslum et al. (2007)].

#### Not covered in this course:

- Domain Abstractions, obtained by aggregating values within state variable domains [Hernádvölgyi and Holte (2000)]. Generalizes pattern database heuristics.
- Cartesian Abstractions, where abstract states are characterized by cross-products of state-variable-domain-subsets [Seipp and Helmert (2013)]. Generalizes domain abstractions.
- Merge-and-shrink abstractions: obtained by iteratively applying transformations to a set of transition systems [Dräger et al. (2006); Helmert et al. (2007); Katz et al. (2012); Helmert et al. (2014)].
- Structural patterns, where abstractions are implicitly represented [Katz and Domshlak (2008)].

### Pattern Database Heuristics

"Pattern database heuristics" = Heuristics induced by a particular class of abstraction mappings, namely projections:

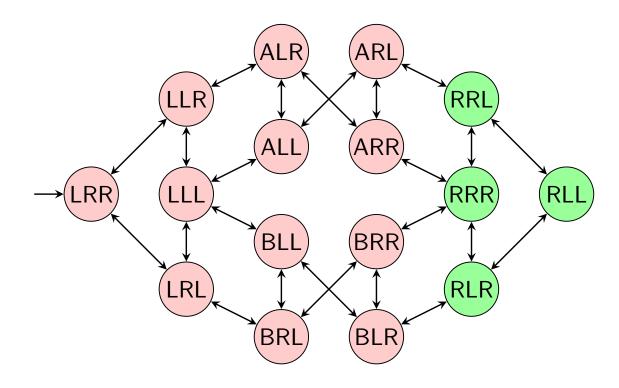
**Definition (Projection, PDB Heuristic).** Let  $\Pi = (V, A, c, I, G)$  be an FDR planning task with state space  $\Theta_{\Pi} = (S, L, c, T, I, S^G)$ , and let  $P \subseteq V$ . For a partial assignment  $\varphi$  to V, by  $\varphi|_P$  we denote the restriction of  $\varphi$  to P. Let  $S^P$  be the set of variable assignments to P. The projection  $\pi_P \colon S \mapsto S^P$  is defined by  $\pi_P(s) := s|_P$ .

 $\rightarrow \pi_P$  maps two states  $s_1$  and  $s_2$  to the same abstract state iff they agree on all variables in the pattern.

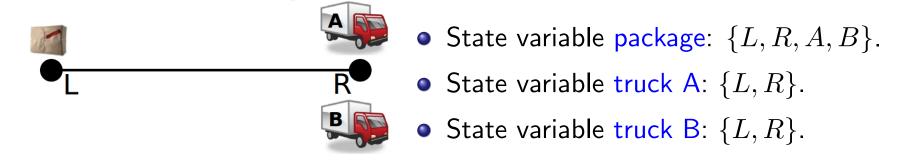
We refer to P as the pattern of  $\pi_P$ . The abstraction heuristic induced by  $\pi_P$  on  $\Theta_{\Pi}$  is called a pattern database heuristic, short PDB heuristic. We write  $h^P$  as a short-hand for  $h^{\pi_P}$ , and we write  $\Theta_{\Pi}^P$  or  $\Theta_{\Pi}^P$  as short-hands for  $\Theta_{\Pi}^{\pi_P}$ .

•  $h^P$  is usually stored in a lookup table called a pattern database (PDB).

# "Logistics mal anders": State Space

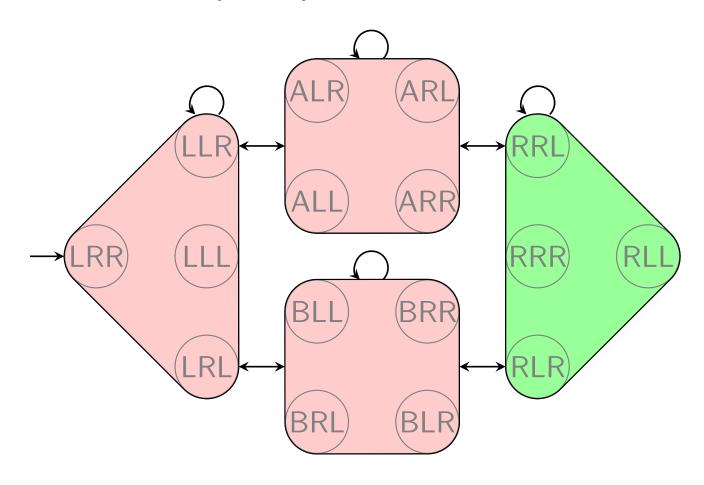


Logistics task with one package, two trucks, two locations:



# "Logistics mal anders": Projection 1

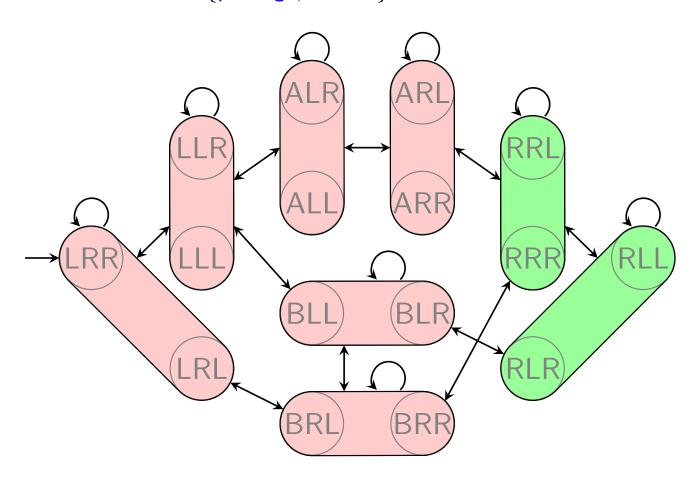
Abstraction induced by  $\pi_{\{package\}}$ :



$$h^{\{\text{package}\}}(\mathsf{LRR}) = 2$$

# "Logistics mal anders": Projection 2

Abstraction induced by  $\pi_{\{\text{package}, \text{truck A}\}}$ :



$$h^{\{\text{package,truck A}\}}(\mathsf{LRR}) = 2$$

## I Give You Pattern, You Give Me Database!

**Asssume:** You are given a pattern P.

- How do you compute  $h^P$ ?
- More precisely: How do you compute a data structure that efficiently represents the function  $h^P(s)$ , for all states s?

#### Here's how:

- In a precomputation step, we compute an explicit graph representation for the abstract state space  $\Theta_{\Pi}^{\pi_P}$ , and compute the abstract remaining cost for every abstract state.
- Ouring search, we use the precomputed abstract remaining costs in a lookup step.

## (I) Precomputation Step: It's Not That Easy

Let  $\Pi$  be a planning task and P a pattern. Let  $\Theta = \Theta_{\Pi}$  and  $\Theta' = \Theta_{\Pi}^{\pi_P}$ . We want to compute a graph representation of  $\Theta'$ .

#### So, what's the issue?

- $\Theta'$  is defined through a function on  $\Theta$ :
  - Each concrete transition induces an abstract transition, each concrete goal state induces an abstract goal state.
- In principle, we can we compute  $\Theta'$  by iterating over all transitions/goal states of  $\Theta$ . BUT:
  - This would take time  $\Omega(\|\Theta\|)$ .
  - Which comes down to solving the original (concrete, not abstract) planning task in the first place, using blind search.
- o We need a way of computing  $\Theta'$  in time polynomial in  $\|\Pi\|$  and  $\|\Theta'\|$ .

# (I) Precomputation Step: Here's How To

**Definition (Syntactic Projection).** Let  $\Pi = (V, A, c, I, G)$  be an FDR planning task, and let  $P \subseteq V$ . The syntactic projection of  $\Pi$  to P is the FDR planning task  $\Pi|_P = (P, A|_P, c, I|_P, G|_P)$  where  $A|_P := \{a|_P \mid a \in A\}$  with  $pre_{a|_P} := (pre_a)|_P$  and  $eff_{a|_P} := (eff_a)|_P$ .

 $\to \Pi|_P$  removes the variables outside P from all constructs in the planning task description  $\Pi$ .

Theorem (Syntactic Projection is Equivalent to Projection). Let  $\Pi$  be an FDR planning task, and let  $P \subseteq V$ . Then  $\Theta_{(\Pi|P)}$  is identical to  $\Theta_{\Pi}^{\pi_P}$  except that labels a in the latter become labels  $a|_P$  in the former. **Proof.** Easy from definition.

 $\rightarrow$  The state space of the syntactic projection is (modulo label renaming) the same as the abstract state space of the projection.

# (I) Precomputation Step: Here's How To, ctd.

Using the Theorem on the previous slide, we can compute pattern databases for FDR tasks  $\Pi$  and patterns P:

### Computing Pattern Databases

```
def compute-PDB(\Pi, P): \Pi' := \Pi|_{P}.
```

Compute  $\Theta' := \Theta_{\Pi'}$  by a complete forward search (e.g., breadth-first).

In the explicit graph  $\Theta'$ , add a new node x with a 0-cost incoming edge from every goal node

Run Dijkstra starting from x and traversing edges backwards, to compute all cheapest paths to x and thus the remaining costs  $h_{\Theta'}^*$  in  $\Theta'$ 

PDB := a table containing all remaining costs in  $\Theta'$ 

return PDB

ightarrow This algorithm runs in time and space polynomial in  $\|\Pi\| + \|\Theta'\|$ .

# (II) Lookup Step: Overview

#### **Basic observations and method:**

- During search, we do not need the actual abstract state space (transitions etc): The PDB is the only piece of information necessary to represent  $h^P$ .
  - $\rightarrow$  We can throw away the abstract state space  $\Theta'$  once the PDB is computed.
  - $\rightarrow$  Space requirement for the PDB heuristic during search is linear in number of abstract states S': PDB has one table entry for each abstract state.
- Design a perfect hash function mapping projected states  $s|_P$  to numbers in the range  $\{0, \ldots, |S'| 1\}$ .
  - $\rightarrow$  Index PDB by these hash values. Given a state s during search, to compute  $h^P(s)$ , map  $\pi_P(s) = s|_P$  to its hash value and lookup the table entry of PDB.

## (II) Lookup Step: Here's How To

### Perfect hash function $\approx$ numeral system over variable domains:

- Let  $P = \{v_1, \dots, v_k\}$  be the pattern.
- Assume wlog that all variable domains are natural numbers counted from 0, i.e.,  $D_v = \{0, 1, \dots, |D_v| 1\}$ .
- For all  $i \in \{1, \ldots, k\}$ , we precompute  $N_i := \prod_{j=1}^{i-1} |D_{v_j}|$ .

### Looking Up a Pattern Database Heuristic Value

```
def PDB-heuristic(s):

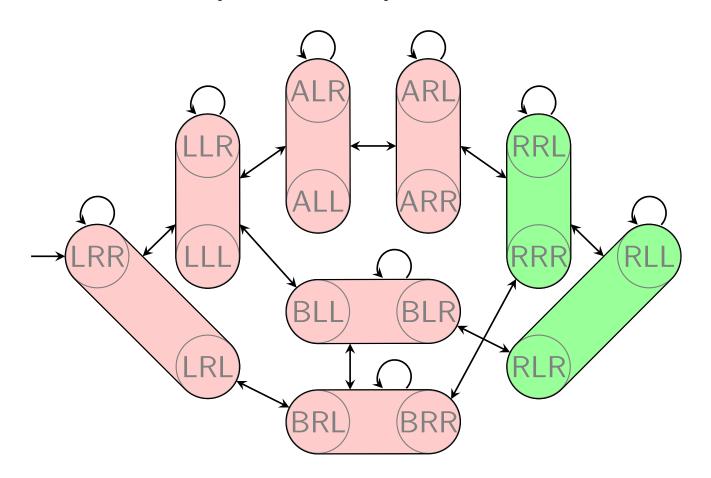
index := \sum_{i=1}^{k} N_i s(v_i)

return PDB[index]
```

**Note:** This lookup runs in time and space O(k). This is *very* fast. For comparison, delete-relaxation heuristics need time  $O(\|\Pi\|)$  per state.

# (II) Lookup Step: "Logistics mal anders"

Abstraction induced by  $\pi_{\{\text{package,truck A}\}}$ :



# (II) Lookup Step: "Logistics mal anders", ctd.

#### Pattern variables and domains:

- $P = \{v_1, v_2\}$  with  $v_1 = \mathsf{package}$ ,  $v_2 = \mathsf{truck} \ \mathsf{A}$ .
- $D_{v_1} = \{L, R, A, B\} \approx \{0, 1, 2, 3\}$
- $D_{v_2} = \{L, R\} \approx \{0, 1\}$

$$\rightarrow N_1 = \prod_{j=1}^0 |D_{v_j}| = 1.$$

$$\rightarrow N_2 = \prod_{j=1}^1 |D_{v_j}| = 4.$$

 $\rightarrow$  index(s) = 1 \* s(package) + 4 \* s(truck A).

#### → Pattern database:

## And Now: The Australia Example



- Variables:  $at : \{Sy, Ad, Br, Pe, Ad\};$  $v(x) : \{T, F\}$  for  $x \in \{Sy, Ad, Br, Pe, Ad\}.$
- Actions: drive(x, y) where x, y have a road.
- Costs:  $Sy \leftrightarrow Br: 1$ ,  $Sy \leftrightarrow Ad: 1.5$ ,  $Ad \leftrightarrow Pe: 3.5$ ,  $Ad \leftrightarrow Da: 4$ .
- Initial state: at = Sy, v(Sy) = T, v(x) = F for  $x \neq Sy$ .
- Goal: at = Sy, v(x) = T for all x.

**Question:** Say our pattern P is  $\{v_1 = v(Br), v_2 = v(Pe), v_3 = v(Da)\}$ . What is the PDB?

$$\to D_{v(Br)}=\{F,T\}pprox\{0,1\}$$
,  $N_1=1$ ;  $D_{v(Pe)}=\{F,T\}pprox\{0,1\}$ ,  $N_2=2$ ;  $D_{v(Da)}=\{F,T\}pprox\{0,1\}$ ,  $N_3=4$ .

abstract state	FFF	TFF	FTF	TTF	FFT	TFT	FTT	TTT
index	0	1	2	3	4	5	6	7
value	8.5	7.5	5	4	4.5	3.5	1	0

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## Summary

- An abstraction  $\alpha$  is a surjective function on a transition system  $\Theta$  (e.g., of a planning task).
- The abstract state space  $\Theta^{\alpha}$  inherits the initial state, goal states, and transitions from  $\Theta$ .
- Remaining cost in  $\Theta^{\alpha}$  is the abstraction heuristic  $h^{\alpha}$ , which is safe, goal-aware, admissible, and consistent.
- Practically useful abstractions yield informative heuristics at a small computational overhead.
- Pattern database (PDB) heuristics are abstraction heuristics based on projection to a subset of variables: the pattern. For FDR tasks, they can easily be implemented via syntactic projection on the task representation.
- Pattern databases are lookup tables that store heuristic values, indexed by perfect hash values for projected states.

## Motivation for Pattern Database Heuristics

 $\rightarrow$  Pattern databases are a concrete method for designing abstraction functions  $\alpha$ , and for computing the associated heuristic functions.

### There's many good reasons to be considering PDBs:

- Pattern database (PDB) heuristics are the most commonly used class of abstraction heuristics outside planning (Games, mostly).
- PDBs are the most commonly used classes of abstraction heuristics in planning
- PDBs have been a very active research area from their inception, and still are a very active research area today. (Theoretical properties, how to implement and use PDBs effectively, how to find good patterns, . . . )
- For many search problems, pattern databases are the most effective admissible heuristics currently known.

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