

Computability and Complexity

Lecture 12

More NP-complete Problems

given by Jiri Srba

Summary of What We Know

Definition (Polynomial Time Reducibility)

We write $A \leq_P B$ iff there is a polynomial time computable function f such that for any input w we have $w \in A$ iff $f(w) \in B$.

Summary of What We Know

Definition (Polynomial Time Reducibility)

We write $A \leq_P B$ iff there is a polynomial time computable function f such that for any input w we have $w \in A$ iff $f(w) \in B$.

Definition (NP-Completeness)

A language B is **NP-complete** iff $B \in \text{NP}$ (**containment in NP**) and for every $A \in \text{NP}$ we have $A \leq_P B$ (**NP-hardness**).

Summary of What We Know

Definition (Polynomial Time Reducibility)

We write $A \leq_P B$ iff there is a polynomial time computable function f such that for any input w we have $w \in A$ iff $f(w) \in B$.

Definition (NP-Completeness)

A language B is **NP-complete** iff $B \in \text{NP}$ (**containment in NP**) and for every $A \in \text{NP}$ we have $A \leq_P B$ (**NP-hardness**).

Facts: *SAT* and *CNF-SAT* are NP-complete (last lecture).

Theorem

If A is NP-complete, $A \leq_P B$, and $B \in \text{NP}$, then B is NP-complete.

NP-Completeness of 3SAT

Boolean Formula in cnf

$\phi = C_1 \wedge C_2 \wedge \dots \wedge C_k$ where every C_i , $1 \leq i \leq k$ is a disjunction of number of literals

Boolean Formula in 3-cnf

$\phi = C_1 \wedge C_2 \wedge \dots \wedge C_k$ where every C_i , $1 \leq i \leq k$ is a disjunction of exactly 3 literals

NP-Completeness of 3SAT

Boolean Formula in cnf

$\phi = C_1 \wedge C_2 \wedge \dots \wedge C_k$ where every C_i , $1 \leq i \leq k$ is a disjunction of number of literals

Boolean Formula in 3-cnf

$\phi = C_1 \wedge C_2 \wedge \dots \wedge C_k$ where every C_i , $1 \leq i \leq k$ is a disjunction of exactly 3 literals

$CNF-SAT \stackrel{\text{def}}{=} \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula in cnf} \}$

$3SAT \stackrel{\text{def}}{=} \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula in 3-cnf} \}$

NP-Completeness of 3SAT

Boolean Formula in cnf

$\phi = C_1 \wedge C_2 \wedge \dots \wedge C_k$ where every C_i , $1 \leq i \leq k$ is a disjunction of number of literals

Boolean Formula in 3-cnf

$\phi = C_1 \wedge C_2 \wedge \dots \wedge C_k$ where every C_i , $1 \leq i \leq k$ is a disjunction of exactly 3 literals

$CNF-SAT \stackrel{\text{def}}{=} \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula in cnf} \}$

$3SAT \stackrel{\text{def}}{=} \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula in 3-cnf} \}$

Theorem

$CNF-SAT \leq_P 3SAT$

Corollary

3SAT in NP-complete.

Proof: $CNF-SAT \leq_P 3SAT$

- Assume a given formula $\phi = C_1 \wedge C_2 \wedge \dots \wedge C_k$ in cnf.
- We construct in poly-time a formula $\phi' = C'_1 \wedge C'_2 \wedge \dots \wedge C'_k$ in 3-cnf such that ϕ is satisfiable if and only if ϕ' is satisfiable.

Proof: $CNF-SAT \leq_P 3SAT$

- Assume a given formula $\phi = C_1 \wedge C_2 \wedge \dots \wedge C_k$ in cnf.
- We construct in poly-time a formula $\phi' = C'_1 \wedge C'_2 \wedge \dots \wedge C'_k$ in 3-cnf such that ϕ is satisfiable if and only if ϕ' is satisfiable.
- Every clause $C_i =$

$$(\ell_1 \vee \ell_2 \vee \dots \vee \ell_m)$$

is transformed into conjunction of clauses $C'_i =$

$$(\ell_1 \vee \ell_2 \vee z_1) \wedge (\overline{z_1} \vee \ell_3 \vee z_2) \wedge (\overline{z_2} \vee \ell_4 \vee z_3) \wedge (\overline{z_3} \vee \ell_5 \vee z_4) \wedge \dots \\ \dots (\overline{z_{m-3}} \vee \ell_{m-1} \vee \ell_m)$$

where z_1, \dots, z_{m-3} are new (fresh) variables.

Proof: $CNF-SAT \leq_P 3SAT$

- Assume a given formula $\phi = C_1 \wedge C_2 \wedge \dots \wedge C_k$ in cnf.
- We construct in poly-time a formula $\phi' = C'_1 \wedge C'_2 \wedge \dots \wedge C'_k$ in 3-cnf such that ϕ is satisfiable if and only if ϕ' is satisfiable.
- Every clause $C_i =$

$$(\ell_1 \vee \ell_2 \vee \dots \vee \ell_m)$$

is transformed into conjunction of clauses $C'_i =$

$$(\ell_1 \vee \ell_2 \vee z_1) \wedge (\bar{z}_1 \vee \ell_3 \vee z_2) \wedge (\bar{z}_2 \vee \ell_4 \vee z_3) \wedge (\bar{z}_3 \vee \ell_5 \vee z_4) \wedge \dots \\ \dots (\bar{z}_{m-3} \vee \ell_{m-1} \vee \ell_m)$$

where z_1, \dots, z_{m-3} are new (fresh) variables.

Proof: $CNF-SAT \leq_P 3SAT$

- Assume a given formula $\phi = C_1 \wedge C_2 \wedge \dots \wedge C_k$ in cnf.
- We construct in poly-time a formula $\phi' = C'_1 \wedge C'_2 \wedge \dots \wedge C'_k$ in 3-cnf such that ϕ is satisfiable if and only if ϕ' is satisfiable.
- Every clause $C_i =$

$$(\ell_1 \vee \ell_2 \vee \dots \vee \ell_m)$$

is transformed into conjunction of clauses $C'_i =$

$$(\ell_1 \vee \ell_2 \vee z_1) \wedge (\overline{z_1} \vee \ell_3 \vee z_2) \wedge (\overline{z_2} \vee \ell_4 \vee z_3) \wedge (\overline{z_3} \vee \ell_5 \vee z_4) \wedge \dots \\ \dots (\overline{z_{m-3}} \vee \ell_{m-1} \vee \ell_m)$$

where z_1, \dots, z_{m-3} are new (fresh) variables.

Proof: $CNF-SAT \leq_P 3SAT$

- Assume a given formula $\phi = C_1 \wedge C_2 \wedge \dots \wedge C_k$ in cnf.
- We construct in poly-time a formula $\phi' = C'_1 \wedge C'_2 \wedge \dots \wedge C'_k$ in 3-cnf such that ϕ is satisfiable if and only if ϕ' is satisfiable.
- Every clause $C_i =$

$$(\ell_1 \vee \ell_2 \vee \dots \vee \ell_m)$$

is transformed into conjunction of clauses $C'_i =$

$$(\ell_1 \vee \ell_2 \vee z_1) \wedge (\overline{z_1} \vee \ell_3 \vee z_2) \wedge (\overline{z_2} \vee \ell_4 \vee z_3) \wedge (\overline{z_3} \vee \ell_5 \vee z_4) \wedge \dots \\ \dots (\overline{z_{m-3}} \vee \ell_{m-1} \vee \ell_m)$$

where z_1, \dots, z_{m-3} are new (fresh) variables.

Proof: $CNF-SAT \leq_P 3SAT$

- Assume a given formula $\phi = C_1 \wedge C_2 \wedge \dots \wedge C_k$ in cnf.
- We construct in poly-time a formula $\phi' = C'_1 \wedge C'_2 \wedge \dots \wedge C'_k$ in 3-cnf such that ϕ is satisfiable if and only if ϕ' is satisfiable.
- Every clause $C_i =$

$$(\ell_1 \vee \ell_2 \vee \dots \vee \ell_m)$$

is transformed into conjunction of clauses $C'_i =$

$$(\ell_1 \vee \ell_2 \vee z_1) \wedge (\overline{z_1} \vee \ell_3 \vee z_2) \wedge (\overline{z_2} \vee \ell_4 \vee z_3) \wedge (\overline{z_3} \vee \ell_5 \vee z_4) \wedge \dots \\ \dots (\overline{z_{m-3}} \vee \ell_{m-1} \vee \ell_m)$$

where z_1, \dots, z_{m-3} are new (fresh) variables.

- Clearly, C_i is satisfiable iff C'_i is satisfiable, the formula ϕ' is in 3-cnf (if fewer variables than 3 in a clause then repeat some literal), and the reduction works in polynomial time. \square

Theorem

CLIQUE is NP-complete.

Proof: We already know (from previous lectures) that

- *CLIQUE* is in NP, and
- $3SAT \leq_P CLIQUE$.

Because *3SAT* is NP-complete, we conclude that *CLIQUE* is NP-complete too. □

NP-Completeness of *VERTEX-COVER*

Vertex-Cover Problem:

Given an undirected graph G and a number k , is there a subset of nodes of size k s.t. every edge touches at least one of these nodes?

We call such a subset a *k -node vertex cover*.

Definition of the Language *VERTEX-COVER*

$VERTEX-COVER \stackrel{\text{def}}{=} \{ \langle G, k \rangle \mid G \text{ is a graph with } k\text{-vertex cover} \}$

NP-Completeness of *VERTEX-COVER*

Vertex-Cover Problem:

Given an undirected graph G and a number k , is there a subset of nodes of size k s.t. every edge touches at least one of these nodes?

We call such a subset a *k-node vertex cover*.

Definition of the Language *VERTEX-COVER*

$VERTEX-COVER \stackrel{\text{def}}{=} \{ \langle G, k \rangle \mid G \text{ is a graph with } k\text{-vertex cover} \}$

Clearly, *VERTEX-COVER* is in NP.

Theorem

$3SAT \leq_P VERTEX-COVER$

Corollary

VERTEX-COVER is NP-complete.

Proof: $3SAT \leq_P VERTEX-COVER$

- Let ϕ be a 3-cnf formula with m variables and p clauses.
- We construct in poly-time an instance $\langle G, k \rangle$ of *VERTEX-COVER* where $k = m + 2p$ and G is given by:
 - For every variable x in ϕ add two nodes labelled with x and \bar{x} and connect them by an edge (**variable gadget**).
 - For every clause $(\ell_1 \vee \ell_2 \vee \ell_3)$ in ϕ add three nodes labelled with ℓ_1 , ℓ_2 and ℓ_3 and connect them by 3 edges so that they form a triangle (**clause gadget**).
 - Add an edge between any two identically labelled nodes, one from a variable gadget and one from a clause gadget.
- Note that the reduction works in polynomial time and that ϕ is satisfiable iff G has a k -vertex cover. □

NP-Completeness of *HAMPATH*

Theorem

$$3SAT \leq_P HAMPATH$$

Corollary

HAMPATH is NP-complete.

NP-Completeness of *HAMPATH*

Theorem

$$3SAT \leq_P HAMPATH$$

Corollary

HAMPATH is NP-complete.

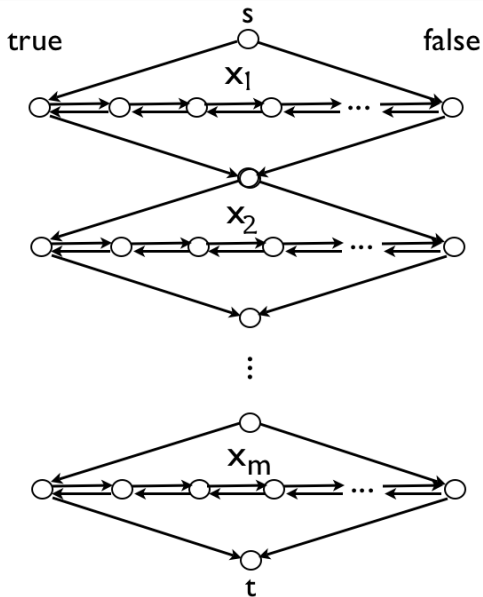
Proof ($3SAT \leq_P HAMPATH$): For a given 3-cnf formula

$$\phi = \underbrace{(a_1 \vee b_1 \vee c_1)}_{C_1} \wedge \underbrace{(a_2 \vee b_2 \vee c_2)}_{C_2} \wedge \dots \wedge \underbrace{(a_k \vee b_k \vee c_k)}_{C_k}$$

over the variables x_1, x_2, \dots, x_m construct in poly-time a digraph G and nodes s and t such that

ϕ is satisfiable if and only if G has a Hamiltonian path from s to t .

Proof: $3SAT \leq_P HAMPATH$



$\circ C_1$

$\circ C_2$

\vdots

$\circ C_k$

clauses

NP-Completeness of *UHAMPATH*

Definition

$UHAMPATH \stackrel{\text{def}}{=} \{ \langle G, s, t \rangle \mid$
 $G \text{ is undirected graph with a Hamiltonian path from } s \text{ to } t \}$

Theorem

UHAMPATH is NP-complete.

NP-Completeness of *UHAMPATH*

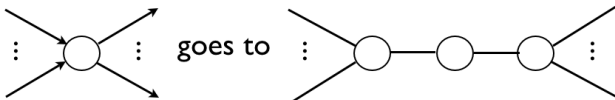
Definition

$UHAMPATH \stackrel{\text{def}}{=} \{ \langle G, s, t \rangle \mid$
 $G \text{ is undirected graph with a Hamiltonian path from } s \text{ to } t \}$

Theorem

UHAMPATH is NP-complete.

Proof: By poly-time reduction from *HAMPATH*. In the reduction from a directed graph to an undirected one, we replace every node with an undirected path of length 2:



NP-Completeness of *SUBSET-SUM*

$SUBSET-SUM \stackrel{\text{def}}{=} \{ \langle S, t \rangle \mid$
 $S = \{x_1, \dots, x_k\} \subseteq \mathbb{N} \text{ is a multiset, } t \in \mathbb{N},$
 $\text{and there is a multiset } X \subseteq S \text{ s.t. } \sum X = t \}$

Theorem

SUBSET-SUM is NP-complete.

NP-Completeness of *SUBSET-SUM*

$SUBSET-SUM \stackrel{\text{def}}{=} \{ \langle S, t \rangle \mid$
 $S = \{x_1, \dots, x_k\} \subseteq \mathbb{N} \text{ is a multiset, } t \in \mathbb{N},$
 $\text{and there is a multiset } X \subseteq S \text{ s.t. } \sum X = t \}$

Theorem

SUBSET-SUM is NP-complete.

Proof: By poly-time reduction from *3SAT*. For a given 3-cnf formula

$$\phi = \underbrace{(a_1 \vee b_1 \vee c_1)}_{C_1} \wedge \underbrace{(a_2 \vee b_2 \vee c_2)}_{C_2} \wedge \dots \wedge \underbrace{(a_k \vee b_k \vee c_k)}_{C_k}$$

over the variables x_1, x_2, \dots, x_m construct in poly-time a set of numbers S and a number t such that

ϕ is satisfiable iff from S we can select numbers that add up to t .

Proof: $3SAT \leq_P SUBSET-SUM$

							C_1	C_2	...	C_k
x_1	1	0	0	0	...	0	1	0	...	0
$\overline{x_1}$	1	0	0	0	...	0	0	0	...	1
x_2		1	0	0	...	0	0	0	...	1
$\overline{x_2}$		1	0	0	...	0	1	0	...	0
x_3			1	0	...	0	0	0	...	0
$\overline{x_3}$			1	0	...	0	1	0	...	0
\vdots										
x_m					...	1	0	0	...	0
$\overline{x_m}$...	1	0	1	...	0
							1	0	...	0
							1	0	...	0
								1	...	0
								1	...	0
										\vdots
										1
										1
t	1	1	1	1	...	1	3	3	...	3

Cook-Levin Theorem: *SAT* is NP-complete.

- Because poly-time reducibility (\leq_P) is transitive, all languages below are NP-hard.
- All languages below belong to NP, so they are NP-complete.

