

# Computability and Complexity

## Lecture 1

Introduction  
Turing machines  
Decidable and recognizable languages

given by Jiri Srba

The theory of computation studies

- **whether** (computability theory), and
- **how efficiently** (complexity theory)

certain problems can be solved on a computer, or rather on a **model of a computer**.

Several equivalent models of computational devices can be used:

- Register machines.
- Lambda calculus.
- Simple while programming language (pseudo-code).
- Turing machines.
- ...

We focus on **Turing machines**.

## Computability

- Do algorithmic solutions to problems always exist?
- What are the limitations of computational devices?
- Is there any insight to which problems are algorithmically solvable and which are not?
- Are the unsolvable problems somehow related?

Question: Will a given program ever raise a null pointer exception?

Question: Are two different implementations of some library function equivalent?

## Complexity

- How do we measure time/memory requirements of an algorithm?
- How much time/memory is needed to solve a certain problem?
- What problems are efficiently solvable?
- Are there solvable problems which do not have efficient algorithms?

Question: Given a nonnegative integer, is it a prime number?

Question: Does a graph contain contain a Hamiltonian cycle?

# Formal Languages — Repetition

- Let  $\Sigma$  be a finite, nonempty set called **alphabet**.
- A **string** or **word**  $w$  is a finite sequence of symbols from  $\Sigma$ .
- An **empty string** is denoted by  $\epsilon$ .
- A **concatenation** of strings  $w_1$  and  $w_2$  is a string  $w_1 w_2$ .
- The **length** of the string  $w$  is denoted by  $|w|$ .
- The set of all strings over  $\Sigma$  is denoted by  $\Sigma^*$ .
- A **language**  $L$  over  $\Sigma$  is any subset of  $\Sigma^*$ , i.e.,  $L \subseteq \Sigma^*$ .

# Operations on Languages — Repetition

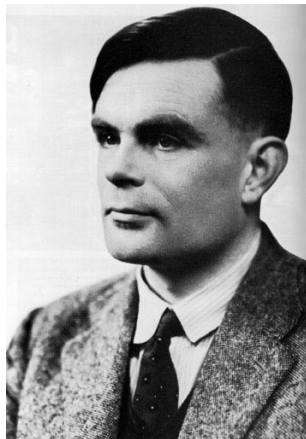
Let  $L_1$  and  $L_2$  be two languages ( $L_1, L_2 \subseteq \Sigma^*$ ).

- $L_1 \cup L_2 = \{w \in \Sigma^* \mid w \in L_1 \text{ or } w \in L_2\}$
- $L_1 \cap L_2 = \{w \in \Sigma^* \mid w \in L_1 \text{ and } w \in L_2\}$
- $\overline{L_1} = \{w \in \Sigma^* \mid w \notin L_1\}$
- $L_1.L_2 = \{w \in \Sigma^* \mid w = w_1w_2 \text{ where } w_1 \in L_1 \text{ and } w_2 \in L_2\}$
- $L_1^* = \{w \in \Sigma^* \mid w = w_1w_2 \dots w_k \text{ where } k \geq 0 \text{ and each } w_i \in L_1 \text{ for all } 1 \leq i \leq k\}$

# Turing Machine

Devised in 1936 by English mathematician Alan Turing.

- computations can be done by writing symbols on sheets of paper
- we have a pen, an eraser, finitely many symbols we can write, and as many sheets of paper as we want
- no thinking is required to execute a computation
- essentially a finite-state automaton with unbounded memory



# Turing Machine Formally

## Definition

A **Turing machine** is a 7-tuple  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ :

- $Q$  is a finite set of states,
- $\Sigma$  is a finite input alphabet, s.t.  $\sqcup \notin \Sigma$
- $\Gamma$  is a finite tape alphabet, s.t.  $\sqcup \in \Gamma$  and  $\Sigma \subseteq \Gamma$ ,
- $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$  is the transition function,
- $q_0 \in Q$  is the start state,
- $q_{\text{accept}} \in Q$  is the accept state, and
- $q_{\text{reject}} \in Q$  is the reject state, where  $q_{\text{accept}} \neq q_{\text{reject}}$ .



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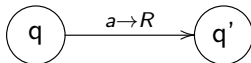
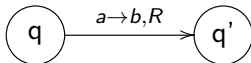
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$$\delta(q, a) = (q', b, R)$$

$$\delta(q, a) = (q', \textcolor{red}{a}, R)$$

Notation:



# Configuration of a Turing Machine (TM)

Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$  be a TM.

Informally, a configuration consists of

- the current control-state,
- the current tape content, and
- the current head position.

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## Definition (Configuration)

A **configuration** of a TM is a string  $uqv$  where

- $u \in \Gamma^*$  is the initial part of the tape,
- $q \in Q$  is the current state,
- $v \in \Gamma^*$  is the final part of the tape and the head points at the first symbol of  $v$ .

Remark: if  $v = \epsilon$  then the head points at the first blank symbol  $\sqcup$  after  $u$ , i.e.,  $uq \equiv uq\sqcup$ .

# Computation of a Turing Machine

Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$  be a TM.

Informally, a **configuration  $C_1$  yields a configuration  $C_2$**  if

- in configuration  $C_1$  the machine  $M$  performs one computational step and moves to  $C_2$ .

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## Definition ( $C_1$ yields $C_2$ )

Let  $u, v \in \Gamma^*$ ,  $a, b \in \Gamma$ , and  $q, q' \in Q$ . We say that

- $C_1 = ua**q**bv$  yields  $u**q'**a**c**v = C_2$  if  $\delta(q, b) = (q', c, L)$ ,

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- $C_1 = u**qb**v$  yields  $u**c****q'**v = C_2$  if  $\delta(q, b) = (q', c, \textcolor{red}{R})$ , and
- $C_1 = **qb**v$  yields  $**q'****c**v = C_2$  if  $\delta(q, b) = (q', c, \textcolor{red}{L})$ .

# Acceptance of a String by a TM

Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$  be a TM.

Informally, a TM  $M$  accepts a string  $w \in \Sigma^*$  if

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# Acceptance of a String by a TM

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**Definition** ( $M$  accepts a string  $w$ )

A TM  $M$  **accepts an input string  $w$**  if there is a sequence of configurations  $C_1, C_2, \dots, C_k$  such that

- $C_1 = q_0w$  is the initial (start) configuration,
- $C_i$  yields  $C_{i+1}$  for all  $i$ ,  $1 \leq i < k$ , and
- $C_k$  is an accept configuration (contains  $q_{\text{accept}}$ ).

Similarly,  $M$  **rejects  $w$**  if there is such a sequence ending in  $C_k$  which is a reject configuration (contains  $q_{\text{reject}}$ ).

# Three Possible Outcomes of a TM Computation, Decider

Assume that a TM  $M$  computes from the initial configuration  $C_1 = q_0w$  (note that this computation is **deterministic**).

There are three possible outcomes of **running  $M$  on  $w$** :

- 1  $C_1, C_2, \dots, C_k$  ends in accept configuration  $C_k$ , or
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## Definition (Decider)

A TM  $M$  which for **any input string  $w$  always halts** (either in accept or reject configuration) is called a **decider**.

## Definition (Language of $M$ )

The language recognized by a TM  $M$ , or simply the language of  $M$  is

$$L(M) = \{w \in \Sigma^* \mid M \text{ accepts } w\}.$$

# Recognizable and Decidable Languages

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## Definition (Decidable Language)

A language  $L \subseteq \Sigma^*$  is **decidable** if there exists a TM  $M$  such that  **$M$  is a decider** and  $M$  recognizes  $L$ , i.e.,  $L = L(M)$ .

# Example

Consider the language  $L \stackrel{\text{def}}{=} \{a^n b^n c^n \mid n \geq 0\}$ .

Facts:

- $L$  is not regular,
- $L$  is not context-free, but
- $L$  is recognizable and even decidable language.



- Definition of a Turing machine, configuration, computation, acceptance of a string by a TM.
- Definition of a decider.
- Definition of recognizable and decidable languages.