

CONTROL STRUCTURE

§1. Loop Constructs

Repeat-loops

For-loops

§2. Semantic equivalence

§3. Abnormal termination

§4. Nondeterminism

§5. Concurrency

§1. Loop constructs

$\text{Stm: } S ::= x := a \mid \text{skip} \mid S_1; S_2 \mid \text{if } b \text{ then } S_1 \text{ else } S_2 \mid \text{while } b \text{ do } S$

We extend the syntax of Stm with loop constructs.

§§1.1. Repeat-loops

$\text{Stm: } S ::= \dots \mid \text{repeat } S \text{ until } b$

Informal semantics: the loop body S is executed, then the condition b is checked \longrightarrow if b evaluates to $T \Rightarrow$ leave the loop
 \longrightarrow if b evaluates to $\perp \Rightarrow$ execute the loop again

Exercise: write a BS-semantics for repeat-loops.

BS-semantics for repeat-loops

$$[\text{Repeat} - T_{BS}] \frac{\langle S, s \rangle \rightarrow s'}{\langle \text{repeat } S \text{ until } b, s \rangle \rightarrow s'} \quad s' \vdash b \rightarrow_B T$$

$$[\text{Repeat} - \perp_{BS}] \frac{\langle S, s \rangle \rightarrow s' \quad \langle \text{repeat } S \text{ until } b, s' \rangle \rightarrow s''}{\langle \text{repeat } S \text{ until } b, s \rangle \rightarrow s''} \quad s' \vdash b \rightarrow_B \perp$$

Notice the side conditions: b is not evaluated in the current state s , but in the next state s' , i.e., after S is executed.

Exercise: Build a derivation tree and find the final state for the transition

$$\langle \text{repeat } y := y * x; x := x - 1 \text{ until } x = 1, s \rangle \rightarrow s'$$

where $s = [x \mapsto 4, y \mapsto 1]$

Observation: we do not need to add the repeat-loop as a new syntactic construct. Bims is already sufficiently expressive to encode it.

Theorem: For any $s \in \text{States}$,
 $\langle \text{repeat } S \text{ until } b, s \rangle \rightarrow s' \text{ iff } \langle S; \text{while } \neg b \text{ do } S, s \rangle \rightarrow s'$

Proof: We prove firstly that if we have
 $\langle \text{repeat } S \text{ until } b, s \rangle \rightarrow s'$,
then we also have that
 $\langle S; \text{while } \neg b \text{ do } S, s \rangle \rightarrow s'$. } induction on the size of the
derivation tree of the
hypothesis

Secondly, we prove that if
 $\langle S; \text{while } \neg b \text{ do } S, s \rangle \rightarrow s'$
then we also have that
 $\langle \text{repeat } S \text{ until } b, s \rangle \rightarrow s'$ } induction on the size of the
derivation tree of the
hypothesis

Homework: Study this proof in Hüttel's book, pg. 67-69

Problem: Give an SS-semantics for repeat-loops.

§§ 1.2. For-loops

$Stm \quad S ::= \dots \mid \text{for } x := n_1 \text{ to } n_2 \text{ do } S, \text{ where } n_1, n_2 \in \mathbb{N}um$

Informal semantics: the initial value of x is $v_1 = \mathcal{V}[n_1]$.

If $v_1 \leq v_2 = \mathcal{V}[n_2]$, we execute S and increment the value of x by 1. We continue until $v_1 > v_2$. After the for-loop has terminated, the variable x has the value $v_2 + 1$.

BS-semantics for the for-loops

$$[\text{FOR-1}_{\text{BS}}] \quad \frac{\langle S, s[x \mapsto v_i] \rangle \rightarrow s'' \quad \langle \text{for } x := n'_1 \text{ to } n_2 \text{ do } S, s'' \rangle \rightarrow s'}{\langle \text{for } x := n_1 \text{ to } n_2 \text{ do } S, s \rangle \rightarrow s'}$$

if $v_1 \leq v_2$ where $v_i = \mathcal{N}[\![n_i]\!]$ and $n'_1 = \mathcal{N}^{-1}(v_1 + 1)$

$$[\text{FOR-2}_{\text{BS}}] \quad \langle \text{for } x := n_1 \text{ to } n_2 \text{ do } S, s \rangle \rightarrow s[x \mapsto v_i]$$

if $v_1 > v_2$ where $v_i = \mathcal{N}[\![n_i]\!]$

We have the semantic function $\mathcal{N}: \text{Num} \rightarrow \mathbb{Z}$

$$\mathcal{N}[\![3]\!] = 3, \quad \mathcal{N}[\![5]\!] = 5, \quad \mathcal{N}[\![0]\!] = 0$$

We consider its inverse $\mathcal{N}^{-1}: \mathbb{Z} \rightarrow \text{Num}$

$$\mathcal{N}^{-1}(3) = \underline{3}, \quad \mathcal{N}^{-1}(1+4) = \underline{5}, \quad \mathcal{N}^{-1}(2 \cdot 3) = \underline{6}$$

Problem: Give an SS-semantics for the for-loops.

$$[\text{For} - 1_{ss}] \frac{\langle S, s[x \mapsto v_i] \rangle \Rightarrow \langle S', s' \rangle}{\langle \text{for } x := n_1 \text{ to } n_2 \text{ do } S, s \rangle \Rightarrow \langle S'; \text{for } x = n'_1 \text{ to } n_2 \text{ do } S, s' \rangle}$$

if $v_1 \leq v_2$, $v_i = \mathcal{N}[\![n_i]\!]$, $n'_1 = \mathcal{N}^{-1}(v_1 + 1)$

$$[\text{For} - 2_{ss}] \frac{\langle S, s[x \mapsto v_i] \rangle \Rightarrow s'}{\langle \text{for } x := n_1 \text{ to } n_2 \text{ do } S, s \rangle \Rightarrow \langle \text{for } x := n'_1 \text{ to } n_2 \text{ do } S, s' \rangle}$$

if $v_1 \leq v_2$, $v_i = \mathcal{N}[\![n_i]\!]$, $n'_1 = \mathcal{N}^{-1}(v_i + 1)$

$$[\text{For} - 3_{ss}] \langle \text{for } x := n_1 \text{ to } n_2 \text{ do } S, s \rangle \Rightarrow s[x \mapsto v_i]$$

if $v_1 > v_2$, $v_i = \mathcal{N}[\![n_i]\!]$

Problem: Consider the more general version of for-loops
 for $x := a_1$ to a_2 do S

Propose a BS and an SS-semantics.

Hint: Use "for $x := n_1$ to n_2 do S " as a more basic syntactic construct.

$$[\text{Ext. For-BS}] \quad \frac{\langle \text{for } x := n_1 \text{ to } n_2 \text{ do } S, s \rangle \rightarrow s'}{\langle \text{for } x := a_1 \text{ to } a_2 \text{ do } S, s \rangle \rightarrow s'} \quad s \vdash a_i \rightarrow_A v_i, v_i = \mathcal{N}[[n_i]]$$

$$[\text{Ext. For-1}_{ss}] \quad \frac{\langle \text{for } x := n_1 \text{ to } n_2 \text{ do } S, s \rangle \Rightarrow \langle S', s' \rangle}{\langle \text{for } x := a_1 \text{ to } a_2 \text{ do } S, s \rangle \Rightarrow \langle S', s' \rangle} \quad s \vdash a_i \rightarrow_A v_i, v_i = \mathcal{N}[[n_i]]$$

$$[\text{Ext. For-2}_{ss}] \quad \frac{\langle \text{for } x := n_1 \text{ to } n_2 \text{ do } S, s \rangle \Rightarrow s'}{\langle \text{for } x := a_1 \text{ to } a_2 \text{ do } S, s \rangle \Rightarrow s'} \quad s \vdash a_i \rightarrow_A v_i, v_i = \mathcal{N}[[n_i]]$$

§ 2. Semantic equivalence

Semantic equivalence = a formal version of the notion of "having the same behaviour".

- * two different implementations of the same underlying algorithm
 - if the two have the same behaviour, we have deeper reasons to believe that the algorithm has been correctly implemented.
- * an old and a new (optimized) version of a program
 - we want that the two have the same behaviour
- * a program written in some high-level language and a machine-code version of it obtained by compiling our high-level program
 - if the compiler is correct, the two must have the same behaviours.

Definition [Big-Step Semantic equivalence]:

Let (T, \rightarrow, F) be the transition system for our BS-semantics of Birms. We say that two statements $S_1, S_2 \in \mathcal{S}tm$ are semantically-equivalent, written

$$S_1 \sim_{BS} S_2$$

iff for all states $s, s' \in \mathcal{S}tates$,

$$\langle S_1, s \rangle \rightarrow s' \quad \text{iff} \quad \langle S_2, s \rangle \rightarrow s'$$

Observe that our previous Theorem stated that
repeat S until b \sim_{BS} S; while $\neg b$ do S

|| Theorem: \sim_{BS} is an equivalence relation.

Proof: - exercise

Definition [Small-Step Semantic Equivalence]:

Let (T, \Rightarrow, F) be the transition system given by the SS-semantics of Birms. We say that two statements $S_1, S_2 \in \mathcal{S}tm$ are semantically equivalent in SS, written

$$S_1 \sim_{SS} S_2$$

iff for all states $s, s' \in \mathcal{S}tates$ and all statements $S' \in \mathcal{S}tm$,

$$\langle S_1, s \rangle \Rightarrow \langle S', s' \rangle \text{ iff } \langle S_2, s \rangle \Rightarrow \langle S', s' \rangle$$

We say that S_1 and S_2 are semantically equivalent to termination written

$$S_1 \sim_{SS}^* S_2$$

iff for all $s, s' \in \mathcal{S}tates$,

$$\langle S_1, s \rangle \Rightarrow^* s' \text{ iff } \langle S_2, s \rangle \Rightarrow^* s'$$

Theorem: \sim_{ss} is an equivalence relation.

Theorem: \sim_{ss}^* is an equivalence relation.

Theorem: In BSMs, for arbitrary statements $S_1, S_2 \in \mathcal{S}tm$ we have that
 $S_1 \sim_{BS} S_2$ iff $S_1 \sim_{ss}^* S_2$.

Proof We have proven during the previous lecture that for arbitrary $S \in \mathcal{S}tm$
and $s, s' \in \mathcal{S}tates$, $\langle S, s \rangle \rightarrow s'$ iff $\langle S, s \rangle \Rightarrow^* s'$ (*)

(\Rightarrow) Supp. $S_1 \sim_{BS} S_2$. Then for any $s, s' \in \mathcal{S}tates$,

$$\begin{array}{l} \langle S_1, s \rangle \rightarrow s' \text{ iff } \langle S_2, s \rangle \rightarrow s' \\ \text{Applying (*) : } \langle S_1, s \rangle \rightarrow s' \text{ iff } \langle S_1, s \rangle \Rightarrow^* s' \\ \langle S_2, s \rangle \rightarrow s' \text{ iff } \langle S_2, s \rangle \Rightarrow^* s' \end{array} \quad \Bigg| \Rightarrow$$

$$\Rightarrow \langle S_1, s \rangle \Rightarrow^* s' \text{ iff } \langle S_2, s \rangle \Rightarrow^* s'$$

$$\text{Hence, } S_1 \sim_{ss}^* S_2$$

Theorem: \sim_{ss} is an equivalence relation.

Theorem: \sim_{ss}^* is an equivalence relation.

Theorem: In Birm's, for arbitrary statements $S_1, S_2 \in \mathcal{S}tm$ we have that
 $S_1 \sim_{BS} S_2$ iff $S_1 \sim_{ss}^* S_2$.

Proof We have proven during the previous lecture that for arbitrary $S \in \mathcal{S}tm$
and $s, s' \in \mathcal{S}tates$, $\langle S, s \rangle \rightarrow s'$ iff $\langle S, s \rangle \Rightarrow^* s'$ (*)

(\Leftarrow) Supp. $S_1 \sim_{ss}^* S_2$. Then, for any $s, s' \in \mathcal{S}tates$,

$$\begin{array}{l} \langle S_1, s \rangle \Rightarrow^* s' \text{ iff } \langle S_2, s \rangle \Rightarrow^* s' \quad | \\ \text{Applying (*)} : \langle S_1, s \rangle \Rightarrow^* s' \text{ iff } \langle S_1, s \rangle \rightarrow s' \quad | \\ \langle S_2, s \rangle \Rightarrow^* s' \text{ iff } \langle S_2, s \rangle \rightarrow s' \quad | \\ \Rightarrow \langle S_1, s \rangle \rightarrow s' \text{ iff } \langle S_2, s \rangle \rightarrow s' \end{array}$$

Hence, $S_1 \sim_{BS} S_2$

§3. Abnormal termination

\$tm \quad S ::= \dots \mid \text{abort}

Informal semantics: abort stops the execution of a program

Example: Suppose that we extend the Aexp to include division of integers.
we can use abort to use correctly the division operation:

if $\neg(x=0)$ then $x := \underline{25}/x$ else abort

$\langle \text{abort}, s \rangle$ has no transition

- there is no BS-rule for abort
- there is no SS-rule for abort

Observe that

- (i) $\text{abort} \not\sim_{\text{BS}} \text{skip}$ (ii) $\text{abort} \not\sim_{\text{SS}} \text{skip}$ (iii) $\text{abort} \not\sim_{\text{SS}}^* \text{skip}$

What is the relation between "abort" and "while 0=0 do skip" regarding the BS-semantics?

$\text{while } 0=0 \text{ do skip} \sim_{\text{BS}} \text{abort}$

Our BS-semantics cannot distinguish between abnormal termination and infinite loops.

What is the SS-semantic relation between "abort" and "while 0=0 do skip"?

Since $\langle \text{while } 0=0 \text{ do skip}, s \rangle \Rightarrow^3 \langle \text{while } 0=0 \text{ do skip}, s \rangle$

$\text{while } 0=0 \text{ do skip} \not\sim_{\text{SS}} \text{abort}$

What is the SS-semantic relation on termination between "abort" and "while 0=0 do skip"?

$\text{while } 0=0 \text{ do skip} \sim_{\text{SS}}^* \text{abort}$

§4. Nondeterminism

Nondeterminism – the possibility of choosing between two different branches of execution of a program.

– if a branch is chosen, then the other one disappears.

Stm: $S ::= \dots \mid S_1 \text{ or } S_2$

Notice that this "or" is different of the disjunction from Bexp.

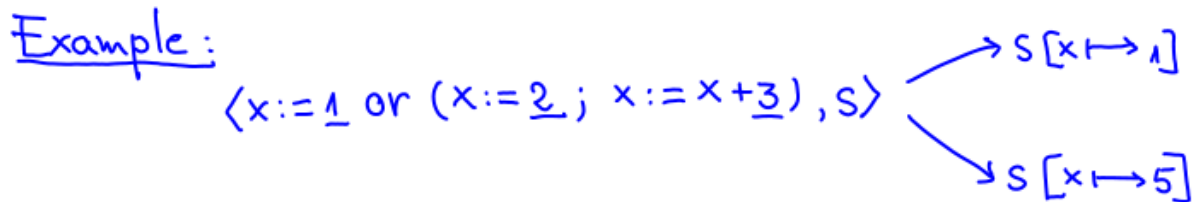
In fact, " $b_1 \text{ or } b_2$ " and " $S_1 \vee S_2$ " are both illegal in Birms.

BS-semantics of Nondeterminism

$$[\text{OR-1}_{BS}] \frac{\langle S_1, s \rangle \rightarrow s'}{\langle S_1 \text{ or } S_2, s \rangle \rightarrow s'}$$

$$[\text{OR-2}_{BS}] \frac{\langle S_2, s \rangle \rightarrow s'}{\langle S_1 \text{ or } S_2, s \rangle \rightarrow s'}$$

Example:



SS-semantics for Nondeterminism

$$[OR-1_{SS}] \quad \langle S_1 \text{ or } S_2, s \rangle \Rightarrow \langle S_1, s \rangle$$

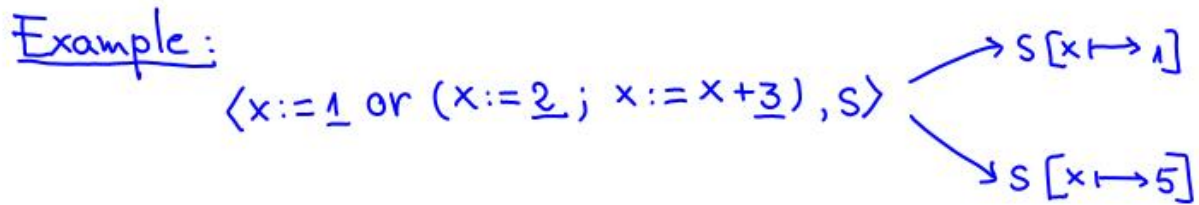
$$[OR-2_{SS}] \quad \langle S_1 \text{ or } S_2, s \rangle \Rightarrow \langle S_2, s \rangle$$

Observe that in this SS-semantics the choice between S_1 and S_2 is treated as a proper transition.

Example: $\langle x := \underline{1} \text{ or } (x := \underline{2}; x := x + \underline{3}), s \rangle \Rightarrow \langle x := \underline{1}, s \rangle \Rightarrow s[x \mapsto 1]$

and $\langle x := \underline{1} \text{ or } (x := \underline{2}; x := x + \underline{3}), s \rangle \Rightarrow \langle x := \underline{2}; x := x + \underline{3}, s \rangle$
 $\Rightarrow \langle x := x + \underline{3}, s[x \mapsto 2] \rangle$
 $\Rightarrow s[x \mapsto 5]$

Example:



SS-semantics for Nondeterminism

$$[OR-1_{SS}] \quad \langle S_1 \text{ or } S_2, s \rangle \Rightarrow \langle S_1, s \rangle$$

$$[OR-2_{SS}] \quad \langle S_1 \text{ or } S_2, s \rangle \Rightarrow \langle S_2, s \rangle$$

Observe that in this SS-semantics the choice between S_1 and S_2 is treated as a proper transition.

Exercise: Propose an SS-semantics for OR such that the choice is not treated as a transition.

Which are the possible transitions of

$$\langle (x := 1) \text{ or } (\text{while } 0 = 0 \text{ do skip}), s \rangle ?$$

Since there exists no transition $\langle \text{while } 0 = 0 \text{ do skip}, s \rangle \rightarrow s'$

$$\langle (x := 1) \text{ or } (\text{while } 0 = 0 \text{ do skip}), s \rangle \rightarrow s[x \mapsto 1]$$

$$\text{Hence, } (x := 1) \text{ or } (\text{while } 0 = 0 \text{ do skip}) \sim_{BS} x := 1$$

The BS-semantics suppresses infinite loops, i.e., undesired choices do not result in a transition — angelic nondeterminism

Which is the SS-relation between

$$"x := 1" \text{ and } "(x := 1) \text{ or } (\text{while } 0 = 0 \text{ do skip})" ?$$

$$\langle (x := 1) \text{ or } (\text{while } 0 = 0 \text{ do skip}), s \rangle \Rightarrow \langle x := 1, s \rangle \Rightarrow s[x \mapsto 1]$$

and

$$\begin{aligned} \langle (x := 1) \text{ or } (\text{while } 0 = 0 \text{ do skip}), s \rangle &\Rightarrow \langle \text{while } 0 = 0 \text{ do skip}, s \rangle \Rightarrow \\ &\Rightarrow^3 \langle \text{while } 0 = 0 \text{ do skip}, s \rangle \Rightarrow \dots \end{aligned}$$

The SS-semantics exhibits infinite loops — demonic nondeterminism

What about the SS-relation to termination?

$$(x := 1) \sim_{SS}^* (x := 1) \text{ or } (\text{while } 0 = 0 \text{ do skip})$$

§ 5. Concurrency

Stm : $S ::= \dots \mid S_1 \parallel S_2$

Informal semantics:

$$\langle x := 1 \parallel (x := 2; x := x + 3), S \rangle$$

$$\text{OR} \left\{ \begin{array}{l} \Rightarrow \langle x := 2; x := x + 3, S[x \mapsto 1] \rangle \Rightarrow^2 S[x \mapsto 5] \\ \Rightarrow \langle x := 1 \parallel x := x + 3, S[x \mapsto 2] \rangle \end{array} \right.$$

$$\text{OR} \left\{ \begin{array}{l} \Rightarrow \langle x := x + 3, S[x \mapsto 1] \rangle \Rightarrow S[x \mapsto 4] \\ \Rightarrow \langle x := 1, S[x \mapsto 5] \rangle \Rightarrow S[x \mapsto 1] \end{array} \right.$$

§ 5. Concurrency

Stm : $S ::= \dots \mid S_1 \parallel S_2$

SS-semantics for parallel

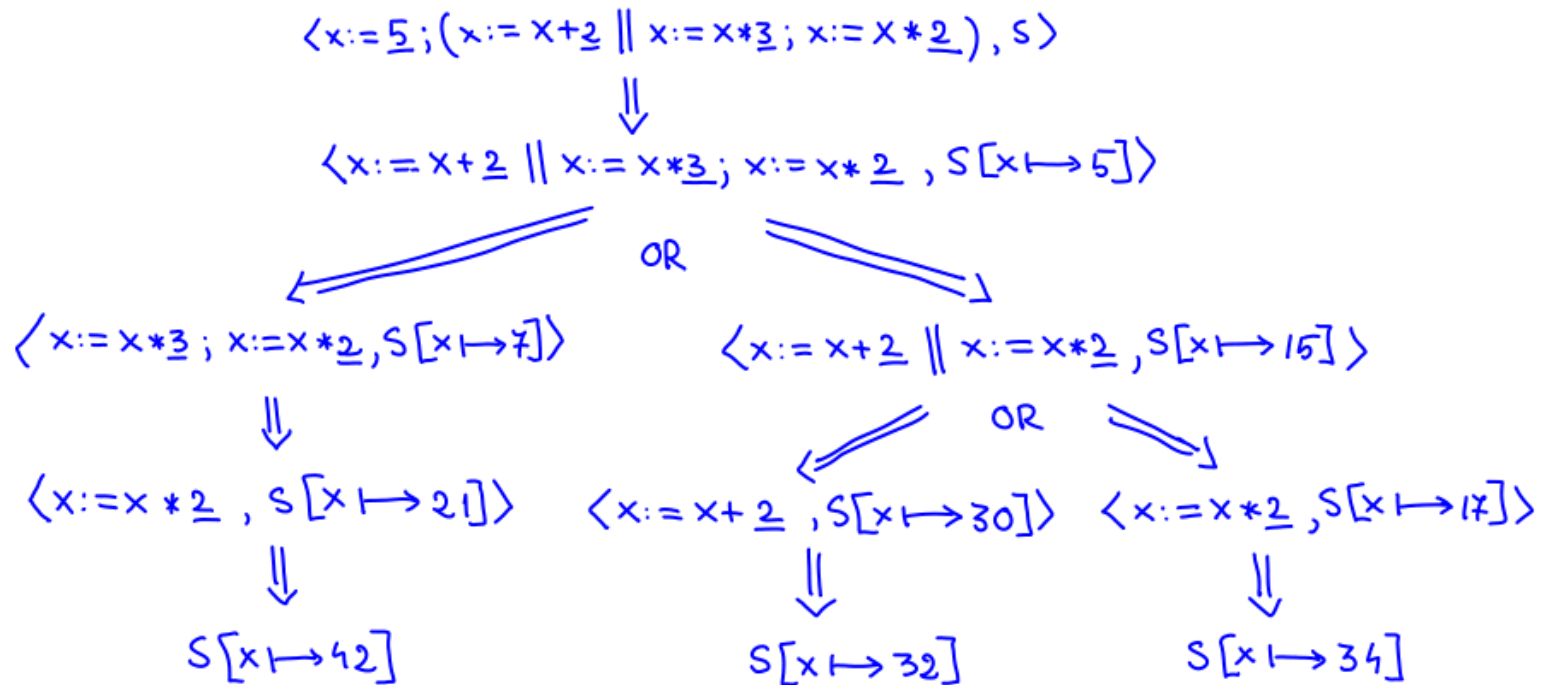
$$[\text{PAR-1}_{ss}] \frac{\langle S_1, s \rangle \Rightarrow \langle S'_1, s' \rangle}{\langle S_1 \parallel S_2, s \rangle \Rightarrow \langle S'_1 \parallel S_2, s' \rangle}$$

$$[\text{PAR-2}_{ss}] \frac{\langle S_1, s \rangle \Rightarrow s'}{\langle S_1 \parallel S_2, s \rangle \Rightarrow \langle S_2, s' \rangle}$$

$$[\text{PAR-3}_{ss}] \frac{\langle S_2, s \rangle \Rightarrow \langle S'_2, s' \rangle}{\langle S_1 \parallel S_2, s \rangle \Rightarrow \langle S_1 \parallel S'_2, s' \rangle}$$

$$[\text{PAR-4}_{ss}] \frac{\langle S_2, s \rangle \Rightarrow s'}{\langle S_1 \parallel S_2, s \rangle \Rightarrow \langle S_1, s' \rangle}$$

Example



Can we have a BS-semantics for parallel?

$$[\text{PAR-1}_{\text{BS}}] \quad \frac{\langle S_1, s \rangle \rightarrow s' \quad \langle S_2, s' \rangle \rightarrow s''}{\langle S_1 \parallel S_2, s \rangle \rightarrow s''}$$

$$[\text{PAR-2}_{\text{BS}}] \quad \frac{\langle S_1, s' \rangle \rightarrow s'' \quad \langle S_2, s \rangle \rightarrow s'}{\langle S_1 \parallel S_2, s \rangle \rightarrow s''}$$

Example: $\langle x := \underline{5}; (x := x + \underline{2} \parallel x := x * \underline{3}; x := x * \underline{2}), s \rangle \rightarrow s'$

only if $\langle x := x + \underline{2} \parallel x := x * \underline{3}, x := x * \underline{2}, S[x \mapsto \underline{5}] \rangle \rightarrow s'$

only if either $\langle x := x + \underline{2}, S[x \mapsto \underline{5}] \rangle \rightarrow S[x \mapsto \underline{7}]$

and $\langle x := x * \underline{3}, x := x * \underline{2}, S[x \mapsto \underline{7}] \rangle \rightarrow s'$, i.e., $s' = S[x \mapsto \underline{42}]$

or $\langle x := x * \underline{3}, x := x * \underline{2}, S[x \mapsto \underline{5}] \rangle \rightarrow S[x \mapsto \underline{30}]$

and $\langle x := x + \underline{2}, S[x \mapsto \underline{30}] \rangle \rightarrow s'$, i.e., $s' = S[x \mapsto \underline{32}]$

We cannot obtain $s' = S[x \mapsto \underline{34}] \quad \nabla$

The semantics of concurrency that allows a statement of type $S_1 \parallel S_2$ to be executed by executing alternatively commands from S_1 , then from S_2 , then from S_1 , then from S_2 , etc is known as the **interleaving semantics**.

We observed that in Birm's we cannot provide a BS-semantics for the parallel operator with the interleaving semantics.

There exists operators that one can define in a language for which it is not possible to have a BS-semantics.

Which are the semantic relations between

$$S_1 = x := \underline{1} \parallel (x := \underline{2} ; x := x + \underline{3})$$

and

$$S_2 = (x := \underline{1} ; x := \underline{2} ; x := \underline{3}) \text{ OR } (x := \underline{2} ; x := \underline{1} ; x := x + \underline{3}) \text{ OR } (x := \underline{2} ; x := x + \underline{3} ; x := \underline{1}) ?$$

$$S_1 \sim_{ss} S_2, \quad S_1 \sim_{ss}^* S_2 \quad \text{and} \quad S_1 \sim_{BS} S_2$$

In some cases the parallel operator can be simulated by a disjunction of sequential compositions. This, however, is not true in general

Example: $(\text{while } b_1 \text{ do } S_1) \parallel (\text{while } b_2 \text{ do } S_2)$
cannot be represented as a conjunction of sequential compositions.