#### LEARNING PRODUCTS OF LEARNABLE SETS

#### 1. Important Notation

In the following proofs, we assume we are given concept classes  $C_1, C_2, \ldots, C_k$  defined over sets  $X_1, X_2, \ldots, X_k$ . Each  $c_i$  in each  $C_i$  is learnable from algorithm  $A_i$  using queries to an oracle that can answer any queries in a set Q. For each query  $q \in Q$ , we say algorithm  $A_i$  makes  $\#q_i(c)$  many q queries to the oracle in order to learn concept c, dropping the index i when necessary. For example, an algorithm A might make #Mem(c) many membership queries to learn c.

Unless otherwise stated, we will assume any index i or j ranges over the set  $\{1 ... k\}$ . We write  $\prod S_i$  to refer to the cartesian-product of sets  $S_i$ . Note that this is the k-ary cartesian product, and is not simply repeated applications of the binary cartesian product. So for example  $\prod_{i=1}^3 S_i$  equals  $\{(s_1, s_2, s_3) \mid s_1 \in S_1, s_2 \in S_2, s_3 \in S_3\}$  and not  $\{((s_1, s_2), s_3) \mid s_1 \in S_1, s_2 \in S_2, s_3 \in S_3\}$  We use  $S^k$  to refer to  $\prod_{i=1}^k S$ .

We use vector notation  $\vec{x}$  to refer to a vector of elements  $(x_1, \ldots, x_k)$ ,  $\vec{x}[i]$  to refer to  $x_i$ , and  $\vec{x}[i \leftarrow x_i']$  to refer to  $\vec{x}$  with  $x_i'$  replacing value  $x_i$  at position i. We define  $\prod C_i := \{\prod c_i \mid c_i \in C_i, i \in \{1, \ldots, k\}\}$ . We write  $\vec{c}$  for any element of  $\prod C_i$  and will often denote  $\vec{c}$  by  $(c_1, \ldots, c_k)$  in place of  $\prod c_i$ .

The results below answer the following question: For what set of queries Q does the learnability of each  $C_i$  imply the learnability of  $\prod C_i$  and how does the number of queries to learn  $\prod C_i$  increase as a function of each  $\#q_i(c_i)$  for each  $q \in Q$ ?

The proofs in this paper make use of the following simple observations

**Observation 1.** For sets  $S_1, S_2, \ldots, S_k$  and  $T_1, T_2, \ldots, T_k$ , we have  $\prod S_i \subseteq \prod T_i$  if and only if  $S_i \subseteq T_i$  for all i or  $\prod S_i = \emptyset$ .

Query Name	Symbol	Complexity	Oracle Definition
Single Positive Query	1Pos	#1Pos(c)	Return a fixed $x \in c^*$
Positive Query	Pos	#Pos(c)	Return an $x \in c^*$ that has not yet been
			given as a positive example (if one exists)
Membership Query	Mem	#Mem(c)	Given string $s$ , return true iff $s \in c^*$
Equivalence Query	EQ	#EQ	Given $c \in C$ , return true if $c = c^*$ other-
			wise return $x \in (c \backslash c^*) \cup (c^* \backslash c)$
Subset Query	Sub	#Sub(c)	Given $c \in C$ , return 'true' if $c \subseteq c^*$
			otherwise return some $x \in c \backslash c^*$
Superset Query	Sup	#Sup(c)	Given $c \in C$ , return 'true' if $c \supseteq c^*$ oth-
			erwise return some $x \in c^* \backslash c$

**Observation 2.** Fix sets  $S_1, S_2, \ldots, S_k$ , points  $x_1, x_2, \ldots, x_k$  and an index i. If  $x_j \in S_j$  for all  $j \neq i$ , then  $(x_1, x_2, \ldots, x_k) \in \prod S_i$  if and only if  $x_i \in S_i$ .

#### 2. Negative Results

This section introduces some fairly simple lower bounds.

We will start with a lower-bound on learnability from positive examples.

**Proposition 1.** There exist concepts  $C_1$  and  $C_2$  that are each learnable from constantly many positive queries, such that  $C_1 \times C_2$  is not learnable from any number of positive queries.

Proof. Let  $C_1 := \{\{a\}, \{a,b\}\}$  and set  $C_2 := \{\mathbb{N}, \mathbb{Z} \setminus \mathbb{N}\}$ . To learn the set in  $C_1$ , pose two positive queries to the oracle, and return  $\{a,b\}$  if and only if both a and b are given as positive examples. To learn  $C_2$ , pose one positive query to the oracle and return  $\mathbb{N}$  if and only if the positive example is in  $\mathbb{N}$ . An adversarial oracle for  $C_1 \times C_2$  could give positive examples only in the set  $\{a\} \times \mathbb{N}$ . Each new example is technically distinct from previous examples, but there is no way to distinguish between the sets  $\{a\} \times \mathbb{N}$  and  $\{a,b\} \times \mathbb{N}$  from these examples.

Now we will show lower bounds on learnability from EQ, Sub, and Mem. We will see later that this lower bound is tight when learning from membership queries, but not equivalence or subset queries.

**Proposition 2.** There exists a concept C that is learnable from #q many queries posed to  $Q \subseteq \{\text{Mem, EQ, Sub}\}\$ such that learning  $C^k$  requires  $(\#q)^k$  many queries.

*Proof.* Let  $C = \{\{j\} \mid j \in \{0 \dots m\}\}.$ 

We can learn C in m membership, subset, or equivalence queries by querying  $j \in c^*$ ,  $\{j\} \subseteq c^*$ , or  $\{j\} = c^*$ , respectively.

However, a learning algorithm for  $C^k$  requires more than  $m^k$  queries. To see this, note that  $C^k$  contains all singletons in a space of size  $(m+1)^k$ .

So for each subset query  $\{x\} \subseteq c^*$ , if  $\{j\} \neq c^*$ , the oracle will return j as a counterexample, giving no new information. Likewise, for each equivalence query  $\{j\} = c^*$ , if  $\{j\} \neq c^*$ , the oracle can return j as a counterexample. Therefore, any learning algorithm must query  $x \in c^*$ ,  $\{x\} \subseteq c^*$ , or  $\{x\} = c^*$  for  $(m+1)^k - 1$  values of x

### 3. Positive Results

**Proposition 3.** If  $Q = \{ \sup \}$ , then there is an algorithm that learns any concept  $\vec{c}^* = c_1^* \times \cdots \times c_k^* \in \prod C_i \text{ in } \sum \# \operatorname{Sup}(c_i^*) \text{ queries.}$ 

*Proof.* Algorithm 1 learns  $\prod C_i$  by simulating the learning of each  $A_i$  on its respective class  $C_i$ . The algorithm asks each  $A_i$  for subset queries  $S_i$ , queries the product  $\prod S_i$  to the oracle, and then uses the answer to answer at least one query to some  $A_i$ . Since at least one  $A_i$  receives an answer for each oracle query, at most  $\sum \#Sup(c_i^*)$  queries must be made in total.

Should I explicitly handle infinite and finite cases separately? Should I include bigO notation on the infinite case?

We will now show that each oracle query results in at least one answer to an  $A_i$  query (and that the answer is correct). The oracle first checks if the target concept is empty, if not it proceeds as normal. At each step, the algorithm poses query  $\prod S_i$  to the oracle. If the oracle returns 'yes' (meaning  $\prod S_i \supseteq \vec{c}^*$ ), then  $S_i \supseteq c_i^*$  for each i by Observation 1, so the oracle answers 'yes' to each  $A_i$ . If the oracle returns 'no', it will give a counterexample  $\vec{x} = (x_1, \ldots, x_k) \in \vec{c}^* \setminus \prod S_i$ . There must be at least one  $x_i \notin S_i$  (otherwise,  $\vec{x}$  would be in  $\prod S_i$ ). So the algorithm checks  $x_j \in S_j$  for all  $x_j$  until an  $x_i \notin S_i$  is found. Since  $\vec{x} \in \vec{c}^*$ , we know  $x_i \in c_i^*$ , so  $x_i \in c_i^* \setminus S_i$ , so the oracle can pass  $x_i$  as a counterexample to  $A_i$ .

Note that once  $A_i$  has output a correct hypothesis  $c_i$ ,  $S_i$  will always equal  $c_i$ , so counterexamples must be taken from some  $j \neq i$ .

```
Result: Learn \prod C_i from Superset Queries
if \emptyset \in C_i for some i then
    Query \emptyset \supseteq \vec{c}^*;
    if \emptyset \supset \vec{c}^* then
     oxed{return} \emptyset
    end
end
for i = 1 \dots k do
| Set S_i to initial subset query from A_i
end
while Some A_i has not completed do
    Query \prod S_i to oracle;
    if \prod S_i \supseteq c^* then
        Answer S_i \supseteq c_i^* to each A_i;
        Update each S_i to new query;
    else
        Get counterexample \vec{x} = (x_1, \dots, x_k) for i = 1 \dots k do
            if x_i \notin S_i then
                 Pass counterexample x_i to A_i;
                 Update S_i to new query;
            end
        end
    end
    for i = 1 \dots k do
        if A_i outputs c_i then
         Set S_i := c_i;
        end
    end
end
return \prod c_i;
```

**Algorithm 1:** Algorithm for learning from Subset Queries

ample

combine learning algorithm for sub, mem, and eq w/ one positive query

**Proposition 4.** If  $Q = \{\text{Mem}\}$  and a single positive example  $\vec{p} \in \vec{c}^*$  is given, then  $\vec{c}^*$  is learnable in  $k \cdot \sum \# \text{Mem}_i(c_i^*)$  membership queries.

*Proof.* Algorithm 2 learns by simulating each  $A_i$  in sequence, moving on to  $A_{i+1}$  once  $A_i$  returns a hypothesis  $c_i$ . For any membership query  $M_i$  made by  $A_i$ ,  $M_i \in c_i^*$  if and only if  $\vec{p}[i \leftarrow M_i] \in \vec{c}^*$  by Observation 2. Therefore the algorithm is successfully able to simulate the oracle for each  $A_i$ , yielding a correct hypothesis  $c_i$ .

```
Result: Learn \prod C_i from Membership Queries and One Positive Example Get positive example \vec{p}; for i=1\ldots k do

| while A_i has not returned a hypothesis c_i do
| Get membership query M_i from A_i;
| Query \vec{p}[i \leftarrow M_i] to oracle;
| if Oracle returns 'yes' then
| Pass answer M_i \in c_i^* to A_i;
| else
| Pass answer M_i \notin c_i^* to A_i;
| end
| end
| end
| end
| end
| 2: Algorithm 2: Algorithm for learning from Membership Queries and One Positive Ex-
```

```
Result: Learn \prod C_i from Subset Queries, Membership Queries, and One Positive
           Example
for i = 1 \dots k do
| Set S_i to initial subset query from A_i
end
while Some A_i has not completed do
    Query \prod S_i \subseteq \vec{c}^* to oracle;
    if \prod S_i \subseteq \vec{c}^* then
         Answer S_i \subseteq c_i^* to each A_i;
         Update each S_i to new query from A_i;
    else
         Get counterexample \vec{x} = (x_1, \dots, x_k);
         for i = 1 \dots k do
             Query \vec{p}[i \leftarrow x_i] \in \vec{c}^*;
             if \vec{p}[i \leftarrow x_i] \not\in \vec{c}^* and x_i \in S_i then
                Pass counterexample x_i to A_i;
                 Update S_i to new query from A_i;
             end
        end
    end
    for i = 1 \dots k do
        if A_i outputs c_i then
          \mid \text{ Set } S_i := c_i;
        end
    end
end
return \prod c_i;
```

**Algorithm 3:** Algorithm for learning from Subset Queries, Membership Queries, and One Positive Example

**Proposition 5.** If  $Q = \{ \text{Sub} \}$  and a single positive example  $\vec{p} \in \vec{c}^*$  is given, then  $\vec{c}^*$  is learnable in  $\sum \# \text{Sub}_i(c_i^*)$  subset queries and  $k \cdot \sum \# \text{Sub}_i(c_i^*)$  membership queries.

Proof. The learning process is described in Algorithm 3. For each subset query  $\prod S_i \subseteq \bar{c}^*$ , the algorithm either returns 'yes' or gives a counterexample  $\vec{x} = (x_1, \dots, x_k) \in \prod S_i \setminus \bar{c}^*$ . If the algorithm returns 'yes', then by Observation 1  $S_i \subseteq c_i^*$  for all i, so the algorithm can return 'yes' to each  $A_i$ . Otherwise,  $\vec{x} \notin \bar{c}^*$ , so there is an i such that  $x_i \notin c_i^*$ . By Observation 2 the algorithm can query  $\vec{p}[j \leftarrow x_j]$  for all j until the  $x_i \notin c_i^*$  is found.

Once the correct  $c_j$  is found for any j,  $S_j$  will equal  $c_j$  for all future queries, so any counterexamples must fail on an  $i \neq j$ .

Each subset query results in a correct answer being given to at least one learner  $A_i$  and at most k membership queries are made per subset query, yielding the desired bound on queries.

```
Result: Learn \prod C_i from Equivalence Queries, Membership Queries, and One
               Positive Example
for i = 1 \dots k do
| Set S_i to initial equivalence query from A_i
end
Query \prod S_i = \vec{c}^*? to oracle;
if \prod S_i = \vec{c}^* then
     return \prod S_i;
else
      Get counterexample \vec{x} = (x_1, \dots, x_k);
     if \vec{x} \in \vec{c}^* \backslash \prod S_i then
           for i = 1 \dots k do
                 if x_i \notin S_i then
                     Pass counterexample x_i to A_i;
Update S_i to new query from A_i;
           end
     else
           for i = 1 \dots k do
                Query \vec{p}[i \leftarrow x_i] \in \vec{c}^*;

if \vec{p}[i \leftarrow x_i] \notin \vec{c}^* and x_i \in S_i then

Pass counterexample x_i to A_i;

Update S_i to new query from A_i;
           end
     \quad \text{end} \quad
end
```

**Algorithm 4:** Algorithm for learning from Equivalence Queries, Membership Queries, and One Positive Example

Finally, we study the case when  $Q = \{EQ\}$ , as described in Algorithm 4. This algorithm works as a synthesis of the learning algorithms for Supersets and Subsets. When a negative example is given, the algorithm runs as in Algorithm 3 for handling subset queries. When a positive example is given, the algorithm runs as in Algorithm 1 for handling superset queries.

# 4. Disjoint Union

This section discusses learning disjoint unions of concept classes. This is generally much easier than learning cross-products of classes, since counterexamples belong to a single dimension in the disjoint union. This problem uses the same notation as the cross-product case, but we denote the disjoint union of two sets as  $A \cup B$  and the disjoint union of many sets as  $A \cup B$ . We define the concept class of disjoint unions as  $C \cup := \{ \bigcup c_i \mid c_i \in C_i \}$ .

The algorithm for learning from membership queries is very easy and won't be stated here. Algorithm 5 shows the learning procedure for when  $Q \in \{\{Sub\}, \{Sup\}, \{EQ\}\}\}$ . The correctness of this algorithm follows from the following simple facts. Assume we have sets  $S_1, \ldots, S_k$  and  $T_1, \ldots, T_k$ . Then  $\bigcup S_i \subseteq \bigcup T_i$  if and only  $S_i \subseteq T_i$  for all i. Likewise  $\bigcup S_i = \bigcup T_i$  if and only if  $S_i = T_i$  for all i.

```
Result: Learning Disjoint Unions
for i = 1 ... k do

| Set S_i to initial query from A_i
end
while Some \ A_i has not terminated do

| Query \bigcup S_i to oracle;
| if Oracle \ returns \ 'yes' then

| Pass 'yes' to each A_i;
| Get updated S_i from each A_i;
| else

| Get counterexample x_i \in X_i for some i;
| Pass x_i as counterexample to A_i;
| Get updated S_i from each A_i;
| end
end
return [\cdot] S_i;
```

Algorithm 5: Learning Disjoint Unions

## 5. Learning with Only Membership Queries

We have seen that learning with membership queries can be made significantly easier if a single positive example is given. In this section we describe a learning algorithm using membership queries when no positive example is given. This algorithm makes  $O(\max_i \{\#Mem_i(c_i)\}^k)$  queries, matching the lower bound given in a previous section.

For this algorithm to work, we need to assume that  $\emptyset \notin C_i$  for all i. If not, there is no way to distinguish between an empty and non-empty concept. For example consider the classes  $C_1 = \{\{1\}, \emptyset\}$  and  $C_2 = \{\{j\} \mid j \in \mathbb{N}\}$ . It is easy to know when we have learned the correct class in  $C_1$  or in  $C_2$  using membership queries. However, for any finite number of membership queries, there is no way to distinguish between the sets  $\emptyset$  and  $\{(1,j)\}$  for some j that has yet to be queried.

The main idea behind this algorithm is that learning from membership queries is easy once a single positive example is found. So the algorithm runs until a positive example is found from each concept or until all concepts are learned. If a positive example is found, the learner can then run Algorithm 4 for learning from membership queries and a single positive example.

**Proposition 6.** Algorithm 6 will terminate after making  $O(\max_i \{\# \text{Mem}_i(c_i)\}^k)$  queries.

*Proof.* The algorithm works by constructing sets  $S_i$  of elements and querying all possible elements of  $\prod S_i$ . We will get our bound of  $O(\max_i \{\#Mem_i(c_i)\}^k)$  by showing the algorithm will find a positive example once  $|S_i| > \max_i \{\#Mem_i(c_i)\}$  for all i. Since the algorithm queries all possible elements of  $\prod S_i$ , it is sufficient to prove that  $S_i$  will contain an element of  $c_i$  once  $|S_i| > \#Mem_i(c_i)$ .

Assume that each learner eventually terminates. Let  $\bar{q}^i = q_1^i, q_2^i, \ldots$  be the membership queries  $A_i$  makes assuming it only receives negative answers from an oracle. If  $\bar{q}^i$  is finite, then there is some set  $N_i \in C_i$  that  $A_i$  outputs after querying all points in  $\bar{q}^i$  (and receiving negative answers). If  $N_i$  is non-empty let  $n_i$  be some element in  $N_i$ . Note that although sampling elements from a set might be expensive in general, this is only done for  $N_i$  and can therefore be hard-coded into the learning algorithm. If  $c_i = N_i$ , then by our assumption that  $\bar{c}^* \neq \emptyset$ ,  $N_i$  contains some  $n_i$ . So  $S_i$  contains an element of  $c_i$  at the start of the algorithm. If  $c_i \neq N_i$ , by our assumption that  $A_i$  eventually terminates,  $A_i$  must eventually query some  $q_j^i \in c_i$ . So after j steps,  $S_i$  contains some element of  $c_i$ . Since  $j < \#Mem_i(c_i)$ , we have that  $S_i$  contains a positive example once  $|S_i| > \#Mem_i(c_i)$ , completing the proof.

```
Result: Learning with Membership Queries Only
for i = 1 \dots k do
    if N_i and n_i exist then
      Set S_i := \{n_i\};
    else
     Set S_i := \{\};
    end
end
Set j=0;
while True do
    for i = \{1, ..., k\} do
        if |\vec{q}^i| \geq j then
           S_i := S_i \cup \{q_i^i\};
        end
    end
    for \vec{x} \in \prod S_i do
        Query \vec{x} \in \vec{c}^*;
        if \vec{x} \in \vec{c}^* then
            Run Algorithm 2 using \vec{x} as a positive example;
        end
    end
    j := j + 1;
end
```

**Algorithm 6:** Algorithm for Learning from Membership Queries Only

# 6. Learning Cartesian Products with Equivalence or Subset Queries is Hard

The previous section showed that learning cross products of membership queries requires at most  $O(\max_i \{\#Mem_i(c_i)\}^k)$  membership queries. A natural next question is whether this can be done for equivalence and subset queries. In this section, we answer that question in the negative. We will construct a class  $\mathfrak{C}$  that can be learned from n equivalence or membership queries but which requires at least  $k^n$  queries to learn  $\mathfrak{C}^k$ .

We define  $\mathfrak{C}$  to be the set  $\{\mathfrak{c}(s) \mid s \in \mathbb{N}^*\}$ , where  $\mathfrak{c}(s)$  is defined as follows:

$$\mathfrak{c}(\lambda) = \{\lambda\} \times \mathbb{N}$$

$$\mathfrak{c}(s) = (\{s\} \times \mathbb{N}) \cup \mathfrak{c}_{sub}(s)$$

$$\mathfrak{c}_{sub}(sa) = (\{s\} \times (\mathbb{N} \setminus \{a\})) \cup \mathfrak{c}_{sub}(s)$$

For example,  $\mathfrak{c}(12) = (\{12\} \times \mathbb{N}) \cup (\{1\} \times (\mathbb{N} \setminus \{2\})) \cup (\{\lambda\} \times (\mathbb{N} \setminus \{1\})).$ 

An important part of this construction is that for any two strings  $s, s' \in \mathbb{N}$ , we have that  $\mathfrak{c}(s) \subseteq \mathfrak{c}(s')$  if and only if s = s'. This implies that a subset query will return true if and only if the true concept has been found. Moreover, an adversarial oracle can always give a negative example for an equivalence query, meaning that oracle can give the same counterexample if a subset query were posed. So we will show that  $\mathfrak{C}$  is learnable from equivalence queries, implying that it is learnable from subset queries.

We we prove a lower-bound on learning  $\mathfrak{C}^k$  from subset queries from an adversarial oracle. An adversarial equivalence query oracle can give the exact same answers and counterexamples, implying that  $\mathfrak{C}^k$  is hard to learn from equivalence queries.

**Proposition 7.** There exist algorithms for learning from equivalence queries or subset queries such that any concept  $\mathfrak{c}(s) \in \mathfrak{C}$  can be learned from |s| queries.

*Proof.* (proof sketch) Algorithm 7 shows the learning algorithm for equivalence queries. As mentioned above, this algorithm is essentially the same for learning from subset queries. When learning  $\mathfrak{c}(s)$  for any  $s \in \mathbb{N}^*$ , the algorithm will construct s by learning at least one new element of s per query. Each new query to the oracle is constructed from a string that is a substring of s If a positive counterexample is given, this can only yield a longer substring of s.

```
Result: Set s = \lambda; while True do \\ Query <math>\mathfrak{c}(s) to Oracle if Oracle\ returns\ `yes' then \\ |\ \mathbf{return}\ \mathfrak{c}(s)| end \\ \text{if } Oracle\ returns\ (s',m) \in c^* \backslash \mathfrak{c}(s) then \\ |\ \mathrm{Set}\ s = s'; end \\ \text{if } Oracle\ returns\ (s,m) \in \mathfrak{c}(s) \backslash c^* then \\ |\ \mathrm{Set}\ s = sm; end end
```

**Algorithm 7:** Learning  $\mathfrak C$  from equivalence queries.

6.1. Showing  $\mathfrak{C}^k$  is Hard to Learn. It is easy to learn  $\mathfrak{C}$ , since each new counterexample gives one more element in the target string s. When learning a concept,  $\prod \mathfrak{c}(s_i)$ , it is not clear which dimension a given counterexample applies to. Specifically A given counterexample  $\vec{x}$  could have the property that  $\vec{x}[i] \in \mathfrak{c}(s_i)$  for all  $i \neq j$ , but the learner cannot infer the value of this j. It will then proceed considering all possible values of j, requiring exponentially more queries for longer  $s_i$ . This subsection will formalize this notion to prove an exponential lower bound on learning  $\mathfrak{C}^k$ . First, we need a couple definitions.

A concept  $\prod \mathfrak{c}(s_i)$  is *justifiable* if one of the following holds:

- For all  $i, s_i = \lambda$
- There is an i and an  $a \in \mathbb{N}$  and  $w \in \mathbb{N}^*$  such that  $s_i = wa$ , and  $\mathfrak{c}(s_1) \times \cdots \times \mathfrak{c}(w) \times \cdots \times \mathfrak{c}(s_k)$  was justifiably queried to the oracle and received a counterexample  $\vec{x}$  such that  $\vec{x}[i] = (w, a)$ .

A concept is *justifiably queried* if it was queried to the oracle when it was justifiable.

The adversarial oracle works as follows:

- It will always answer the same query with the same counterexample.
- Given any query  $\prod \mathfrak{c}(s_i) \subseteq c^*$ , the oracle will return a counterexample  $\vec{x}$  such that for all  $i, \vec{x}[i] = (s_i, a_i)$ , and  $a_i$  has not been in any query or counterexample yet seen.

Consider the following example when k=2. First, the learner queries  $(\mathfrak{c}(\lambda),\mathfrak{c}(\lambda))$  to the oracle and receives a counter-example  $((\lambda,1),(\lambda,2))$ . The justifiable concepts are now  $(\mathfrak{c}(1),\mathfrak{c}(\lambda))$  and  $(\mathfrak{c}(\lambda),\mathfrak{c}(2))$ . The learner queries  $(\mathfrak{c}(1),\mathfrak{c}(\lambda))$  and receives counterexample  $((1,3),(\lambda,4))$ . The learner queries  $(\mathfrak{c}(\lambda),\mathfrak{c}(2))$  and receives counterexample  $((\lambda,5),(2,6))$ . The justifiable concepts are now  $(\mathfrak{c}(1),\mathfrak{c}(4)),(\mathfrak{c}(1\cdot3),\mathfrak{c}(\lambda)),(\mathfrak{c}(5),\mathfrak{c}(2))$  and  $(\mathfrak{c}(\lambda),\mathfrak{c}(2\cdot6))$ . At this point, the only possible solutions constructible from strings of length 1 are  $(\mathfrak{c}(1),\mathfrak{c}(4))$  and  $(\mathfrak{c}(5),\mathfrak{c}(2))$ .

is this clear?

need flat cross product For any strings  $s, s' \in \mathbb{N}^*$ , we write  $s \leq s'$  if s is a substring of s', and we write s < s' if  $s \leq s'$  and  $s \neq s'$ . We say that the *sum of string lengths* of a concept  $\prod \mathfrak{c}(s_i)$  is of size r if  $\sum |s_i| = r$ 

The following simple proposition can be proven by induction on sum of string lengths.

**Proposition 8.** Let  $\prod \mathfrak{c}(s_i)$  be a justifiable concept. Then for all  $w_i \leq s_i$ ,  $\prod \mathfrak{c}(w_i)$  has been queried to the oracle.

**Proposition 9.** If all justified concepts  $\prod \mathfrak{c}(s_i)$  with sum of string lengths equal to r have been queried, then there are  $k^{r+1}$  justified queries whose sum of string lengths equals r+1

Proof. This proof follows by induction on r. When r = 0, the concept  $\prod \mathfrak{c}(\lambda)$  is justifiable. For induction, assume that there are  $k^r$  justifiable queries with sum of string lengths equal to r. By construction, the oracle will always chose counterexamples with as-yet unseen values in  $\mathbb{N}$ . So querying each concept  $\prod \mathfrak{c}(s_i)$  will yield a counterexample  $\vec{x}$  where for all i,  $\vec{x}[i] = (s_i, a_i)$  for new  $a_i$ . Then for all i, this query creates the justifiable concept  $\prod \mathfrak{c}(s_i')$ , where  $s_j' = s_j$  for all  $j \neq i$  and  $s_i' = \mathfrak{c}(s_i \cdot a_i)$ . Thus there are  $k^{r+1}$  justifiable concepts with sum of string lengths equal to r+1.

**Theorem 1.** Any algorithm learning  $\mathfrak{C}^k$  from subset queries requires at least  $k^r$  subset (or equivalence) queries to learn a concept  $\prod \mathfrak{c}(s_i)$ , whose sum of string lengths is r.

Proof. Assume for contradiction that an algorithm can learn with less than  $k^r$  queries and let this algorithm converge on some concept  $c = \prod \mathfrak{c}(s_i)$  after less than  $k^r$  queries. Since less than  $k^r$  queries were made to learn c, by Proposition 9, there must be some justifiable concept  $c' = \prod \mathfrak{c}(s_i')$  with sum of string lengths less than or equal to r that has not yet been queried. By proposition 8 we can assume without loss of generality that for all  $w_i \leq s_i'$ ,  $\prod \mathfrak{c}(w_i)$  has been queried to the oracle. We will show that c' is consistent with all given oracle answers, contradicting the claim that c is the correct concept. Let  $c_v = \prod \mathfrak{c}v_i$  be any concept queried to the oracle, and let  $\vec{x}$  be the given counterexample. If for all  $i, v_i \leq s_i'$ , then by construction, there is an i with  $\vec{x}[i] = (v_i, a_i)$  such that  $v_i \cdot a_i \leq s_i'$ , so  $\vec{x}$  is a valid counterexample. Otherwise, there is an i such that  $v_i \not\leq s_i'$ . So  $\{v_i\} \times \mathbb{N} \cap \mathfrak{c}(s_i') = \emptyset$ , so  $\vec{x}$  is a valid counterexample. Therefore, all counterexamples are consistent with c' being correct concept, contradicting the claim that the learner has learned c.

explain how to assume the oracle will never return "true" on a query.