

# AI Planning for Autonomy

## 1. Plan & Goal Recognition

*Speak Up Room: 'TBD', PIN: TBD*

Miquel Ramirez

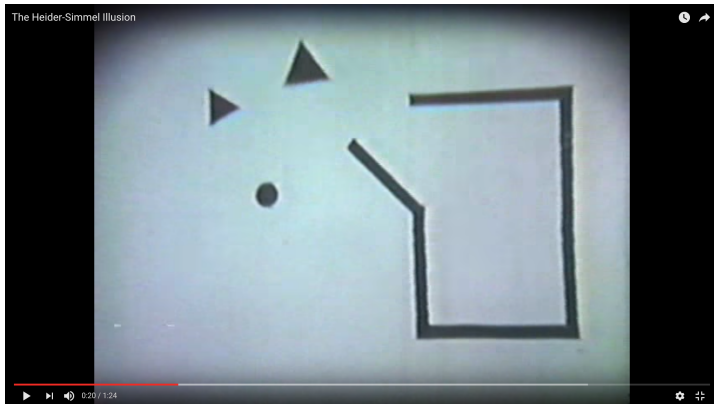


August, 2018

# Outline of the Lecture

- 1 Perceiving and Interpreting the Behavior of Others
- 2 Plan and Goal Recognition in AI
- 3 Plan and Goal Recognition and Classical Planning

# The Heider-Simmel Experiment



**Figure:** *An Experimental Study of Apparent Behavior.* F. Heider, M. Simmel.  
The American Journal of Psychology, Vol. 57, No. 2, April 1944

[Link to video \(YouTube\)](#)

# Parsing the Big Triangle



Figure: The BIG triangle  $T$ .

Speak Up Room: "TBD", PIN: TBD

## Question!

**What kind of person is the Big Triangle?**

(A): Aggressive, mean, angry.

(B): Strong, powerful.

(C): Dumb, stupid.

(D): Ugly, sly.

## what about the Smaller one...



Figure: The small triangle  $t$ .

### Question!

**What kind of person is the Small Triangle?**

(A): Fearless, defiant, cocky.

(B): Passive-aggressive.

(C): Clever, weak.

(D): Protective, loyal, devoted.

## and about the circle...



Figure: The circle  $c$ .

### Question!

**What kind of person is the Circle?**

(A): Frightened, fearful, helpless.

(B): Fidgety, playful, nervous.

(C): Clever, smart.

(D): Courageous.

# Significance of Heider & Simmel Results

Leaving **aside** issues with **priming** experimental subjects...

*It does* seem that

- ① humans *tend* to **ascribe intentions** to *anything* that **changes** over time,
- ② this rests on **deeply rooted assumptions**.

Heider & Simmel results are the *first* **quantitative** characterization of:

## Folk Psychology

Human capacity to **explain** and **predict** **behavior** and **mental state** of others

... we're **usually very good** at it, but we **fail often**!

# A Theory of Common Sense

*The Intentional Stance*, **Daniel Dennett** (1988)

- ① **Decide** to consider the object being observed as *rational*.
- ② Work out its **beliefs** and **goals** based on its *place* and *purpose* in the world.
- ③ Use **practical reasoning** to assess what the agent *ought to do* to pursue its **goals**.

The above provides a *systematic, reason-giving explanation* for actions, based on **deeply embedded beliefs** about the agent.



# So Deep we are Barely Aware of Them

## Typical Assumptions

- a) Agent's **actions** are *entirely rational*
  - cares about **some measure** of **value**, *but* may be **bad** at appraising it
- b) Actions are **reasonable** or follow some **law**
  - e.g. effects as per **known** laws of physics, or economic **theories**
  - *all models are wrong*
- c) Beliefs and Goals held **for the duration** of the performance
  - may **change their mind**, we may be **observing reflex action**
- d) **Future** actions can be **systematically predicted** from beliefs and goals *ascribed*
  - we may be **missing possible** and **relevant goals**,
  - we may **lack enough time** to **test plausible explanations** exhaustively.

*Several* of them **false most of the time**, yet *rarely all* of them are.

# Plan and Goal Recognition in Artificial Intelligence

**Key Idea:** use *generative* models of behavior to *predict* actions.

Plan Recognition (PR) is *Planning* in reverse.

- *Planning* – we seek *plans*  $\pi$  to *achieve* goals  $G$ .
- *PR*: find goals  $G$  *accounting for* partially *observed* plan  $\pi$ .

# Multi-Agent setting

Two possible *roles* for each agent:

- **Actor** – *performs* actions to change the state of the world.
- **Observer** – *perceives* actions and updates its beliefs on the **Actor** intentions.

and *three* possible *stances* for the **Actor**:

- *Adversarial* – obfuscates deliberately its goals.
- *Cooperative* – tries to tell the **Observer** what she is up to.
- *Indifferent* – does not care about the **Observer**.

**Open Challenge** → Stances could be *changing over time*

# The Elements of PR

**Actions** describe *what* the **Actor** does

- Walking from  $X$  to  $Y$ , opening a door, using a credit card...

**Goals** describe *what* the **Actor** wants

- To have breakfast, Plant a bomb, Troll the Interwebs...

**Plans** describe *how* goals can be *achieved*

- **Ordered** sequences of actions
- These can be **ranked** according to **cost** or **efficiency**

**Sensor Model** describes *what* does the **Observer** *perceives*

- Does it always see **every** action done by the **Actor**?
- Are actions observed **directly**? Or only their **effects** are?
- Does it know exactly **where** in the world the **Actor** is?

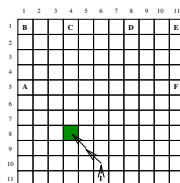
# Example: Agent on a Grid World

	1	2	3	4	5	6	7	8	9	10	11
1	B			C				D			E
2											
3											
4											
5	A										F
6											
7											
8											
9											
10											
11						I					

- **starts** in “I”, may be heading to “A”, “B”, ..., “F”.
- **moves along compass directions** *North*, etc. with cost 1 and *North West*, etc. with cost  $\sqrt{2}$ .

# Example

**Actor** now at (4, 8) after going *N* once, and twice *NW*.



## Question!

Assuming the Actor prefers CHEAPEST plans which goals are most likely?

(A): A & B.

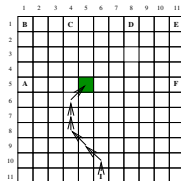
(B): C.

(C): D

(D): E & F

# Example

**Actor** now at (5, 5) after going *N* twice and once *NE*.



## Question!

For which goal(s) observed actions are in a **CHEAPEST** plan?

(A): A & B.

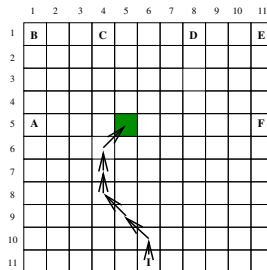
(B): C.

(C): D, E & F

(D): None

# So Folk Psychology is Useless?

**Perhaps**, but as a **framework** is **useful** we can **extend** it.



## Counterfactual Reasoning (Pearl, 2001) to Handle Non-Optimal Behaviour

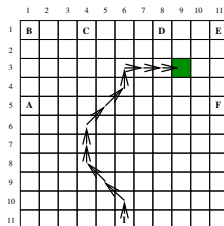
Compare **best** plans that **comply** with observed actions, with best plans that **do not**.

→ Then it follows *B* and *C* **more likely** than *A* or **the rest**.



# Example

**Actor** at (9,3), has gone *NE*, *N* and three times *E*.



Question!

Now, which are the most likely goals?

(A): A & B.

(B): C & D.

(C): E

(D): F

# Plan Recognition over Plan Libraries

## Definition

PR problem defined as tuple  $T = \langle \mathcal{G}, \mathcal{L}, A, O \rangle$  where

- $\mathcal{G}$  is the set of **possible goals**  $G$ ,
- $\mathcal{L} = \bigcup \mathcal{L}(G)$  set of **possible plans**  $\pi$  for  $G$ ,  $G \subseteq \mathcal{G}$ ,
- $O$  is **observation sequence**  $(a_1, \dots, a_i, \dots, a_n)$ ,  $a_i \in A$

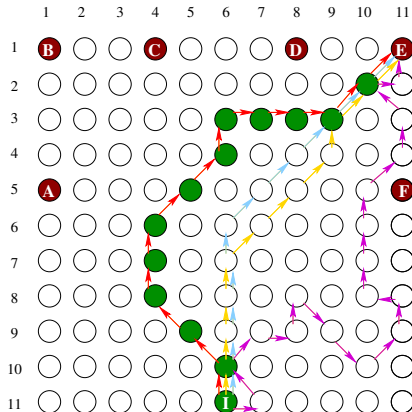
## Key Property

An action sequence  $\pi$  **satisfies**  $O$  if  $O$  **embedded** in  $\pi$ .

## Solution

A possible goal  $G \in \mathcal{G}$  is **plausible** if  $\exists$  plan  $\pi$  in  $\mathcal{L}(G)$  that **satisfies**  $O$ .

# Plan Recognition over Plan Libraries: Limitations



4 plans:

- two optimal
- two valid

What plans get into  $\mathcal{L}$ ?

Why?

$|\mathcal{L}|$  **exponential** on size of grid to be **complete**.

# Key Facts of the Model-Based Approach

- ①  $\mathcal{L}$  given **implicitly**, requires to **solve**  $|\mathcal{G}|$  planning tasks
- ② Plans “**extracted**” with **off-the-shelf** planning algorithms.
- ③ **Plausibility** of goals  $\mathcal{G}$  given as a **probability distribution**
  - Goals are *plausible* when motivate plans **consistent** with  $O$ ,
  - **and** when  $O$  is *necessary* to achieve goals *efficiently*.

# Plan Recognition As Planning (Ramirez & Geffner, 2009-10)

Define possible agent behavior **implicitly**, according to **general principles**:

- A STRIPS **planning domain**  $P[\cdot]$ ,
- **Cost function** over **actions and/or states**,
- **Hypothetic** set of goals  $\mathcal{G}$ ,
- **General Knowledge** given as **prior probability** distribution over  $\mathcal{G}$ .

**Assume** the **Actor** **prefers lower cost** plans

- **Rationality Assumption** in *The Intentional Stance* (Dennett, 1987).

and is **indifferent** towards the **Observer**

**Use** generic planners to **compute**  $P(G|O)$ .

# STRIPS: A Factored Representation of Planning Models

A STRIPS planning **domain** is the tuple  $P[\cdot] = \langle F, I, A \rangle$  where

- $F$  is the set of **fluents**.
- $I \subseteq F$  is **initial** situation.
- A set of STRIPS **actions**,  $a$  with  $Pre(a)$  and **conditional effects**  $e_i$ ,
  - $\langle Cond(e_i), Add(e_i), Del(e_i) \rangle$   
all *subsets* of  $F$ .

A planning **problem**  $P[G]$  is domain  $P$  and goal  $G \subseteq F$ .

**Solution** of  $P[G]$  is a **valid** sequence of actions, or **plan**

$$\pi = (a_1, \dots, a_n)$$

# Defining Behaviour Implicitly via Constraints

**Hard Constraints:** Valid plans for  $P[G]$ , is sequence  $\pi = (a_1, \dots, a_n)$  s.t.

- 1  $Pre(a_1) \subseteq I$ ,
- 2  $Pre(a_i) \subseteq I[a_1, \dots, a_{i-1}]$  for  $i > 1$ ,
- 3  $G \subseteq I[a_1, \dots, a_n]$ .

**Soft Constraints:** The cost of a plan  $\pi$  is

$$c(\pi) = \sum_{a \in \pi} c(a)$$

Valid plans are optimal when they have minimum cost.

The cost of  $P[G]$ ,  $c^*(P[G])$ , is the cost of an optimal plan.

# Roadmap

- ① Make off-the-shelf planners compute plans **constrained** w.r.t.  $O$ ,
- ② Derive  $P(G|O)$  from **best** plans that **comply with** *and* **work around**  $O$ .



# Computing Plans that Satisfy $O$

## Problem

To find **efficiently** what plans **satisfy**  $O$  with an **unmodified** planner.

## Idea #1: Plan Constraints

**Constrain** plans to **embed** (or **avoid so**)  $O$  **requiring extra dummy** goals through **changing preconditions and effects**

## Idea #2: Implicit Sorting of Plans

For each  $G \in \mathcal{G}$ , formulate **two** planning tasks, **splitting** set of valid plans

- ①  $\pi$  plan for  $P[G]$  that **satisfies**  $O$  **iff**  $\pi$  plan for  $P'[G + O]$
- ②  $\pi$  plan for  $P[G]$  that **doesn't satisfy**  $O$  **iff**  $\pi$  plan for  $P'[G + \overline{O}]$

# PR as planning: Inferring the Goal Probabilities

## Goal

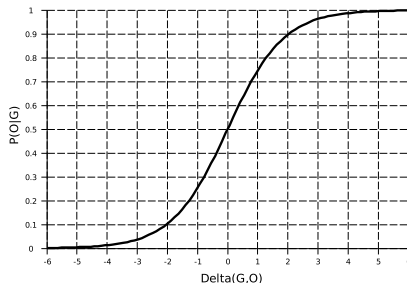
Obtain **probability distribution**  $P(G|O)$ ,  $G \in \mathcal{G}$ .

## Outline of Approach

From **Bayes' Rule**  $P(G|O) = \alpha P(O|G) Prob(G)$ , where

- $\alpha$  norm. constant
- $Prob(G)$  given in problem specification
- $P(O|G)$  function of **extra cost** needed to **not comply** with  $O$

$$P(O|G) = \text{function}(c^*(P'[G + \overline{O}])) - c^*(P'[G + O]) \quad (1)$$

$P(O|G)$  and  $P(\overline{O}|G)$ 

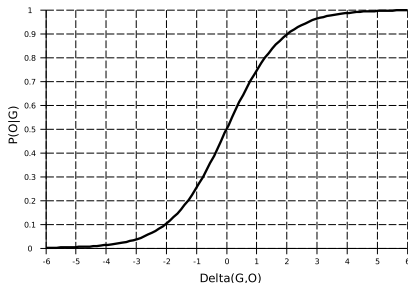
Ramirez & Geffner Observer Model (AAAI, 2010)

$$P(O|G) = \text{sigmoid}(\beta \Delta(G, O))$$

where

$$\Delta(G, O) = c^*(P'[G + \overline{O}]) - c^*(P'[G + O])$$

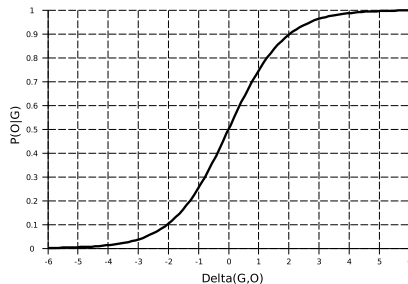
# Goals as Predictors for $O$ (informally)



## Properties

- 1  $G$  predicts  $O$  **badly** when it would be **more efficient** to deviate from  $O$ .
- 2  $G$  predicts  $O$  **perfectly** when  $G$  **unfeasible** if **not doing**  $O$ .

# Goals as Predictors for $O$ (formally)



## Properties

- ①  $G$  predicts  $O$  **badly** when  $P(O|G) < P(\overline{O}|G)$  since

$$c^*(P'[G + \overline{O}]) < c^*(P[G + O])$$

- ②  $G$  predicts  $O$  **perfectly** when  $P(O|G) = 1$  since

$$c^*(P'[G + \overline{O}]) = \infty$$

# Demo: A Slightly More Interesting STRIPS Model



**Fluents:** *facts about the world*

- Locations of people
- State of appliances
- Locations of objects

**Actions:** *stuff people may do*

- Move across the place
- Interaction with objects & appliances

**Goals:** *why people do stuff*

- Cook some foodstuff
- Watch a movie
- Listen to a record
- Go to sleep
- Get ready to leave for work

**Unitary** action costs (to keep it simple)

**GITHUB Repo PULL REQUESTS WELCOME!**

Anyone looking for a Masters' project? Revamp needed.

## Further Reading or Watching

- Article** *An Experimental Study of Apparent Behavior*. F. Heider, M. Simmel. The American Journal of Psychology, 57(2), 1944
- A Probabilistic Plan Recognition Algorithm based on Plan Tree Grammars* C. Geib, R. Goldman, Artificial Intelligence 173(11), 2009
- Probabilistic Plan Recognition using off-the-shelf Classical Planners*. M. Ramirez and H. Geffner. Proceedings AAAI, 2010.
- Landmark-Based Heuristics for Goal Recognition*. R. Pereira, N. Oren and F. Meneguzzi. Proceedings AAAI, 2017.
- Heuristic Online Goal Recognition in Continuous Domains*, M. Vered and G. Kaminka. Proceedings IJCAI, 2017.
- Book** Chapter 4, Section 4.3 *A Concise Introduction to Models and Methods for Automated Planning*. B. Bonet & H. Geffner, Morgan Claypool, 2013.
- Video Lecture** *Engineering & Reverse-engineering Human Common Sense*, J. Tenenbaum, Allen Institute for AI, 2015.

# Prob. PR as Planning: Cheat Sheet

A **plan recognition problem** is a tuple  $T = (P, \mathcal{G}, O, Prob)$  where

- $P$  is a **planning domain**  $P = (F, I, A)$
- $\mathcal{G}$  is a set of **possible goals**  $G, G \subseteq F$
- $O$  is the **observation sequence**  $(a_1, \dots, a_n), a_i \in O$
- $Prob$  is **prior distribution** over  $\mathcal{G}$

**Posterior distribution**  $P(G|O)$  obtained from

- **Bayes Rule**  $P(G|O) = \alpha P(O|G) Prob(G)$  and
- **Likelihood**  $P(O|G) = sigmoid\{\beta [c^*(P'[G + \overline{O}]) - c^*(P'[G + O])]\}$   
 $c^*(P'[G + O])$  and  $c^*(P'[G + \overline{O}])$  **computed**
  - **exactly** with an **optimal** planner
  - **approximately** with a **sub-optimal** planner

**In either case,  $2 \cdot |\mathcal{G}|$  planner calls are needed for  $P(G|O)$**