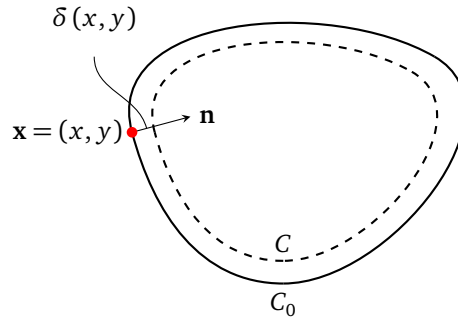


1. Prob 8.12



- (a) See figure above, let \mathbf{n} be the inward pointing normal vector from the unperturbed contour C_0 . Let $\mathbf{x} = (x, y)$ be a point on C_0 . For TM mode, we must have

$$\psi_0(\mathbf{x}) = 0 \quad (1)$$

For the perturbed contour C , since the wall is perfect conductor, the boundary condition requires

$$\psi(\mathbf{x} + \delta \mathbf{n}) = 0 \quad (2)$$

This can be achieved by letting

$$\psi(\mathbf{x}) = \psi_0(\mathbf{x}) - \delta \cdot \frac{\partial \psi_0}{\partial n} \quad (3)$$

since expanding $\psi(\mathbf{x} + \delta \mathbf{n})$ around \mathbf{x} to the first order will give

$$\psi(\mathbf{x} + \delta \mathbf{n}) \approx \psi(\mathbf{x}) + \delta \cdot \frac{\partial \psi}{\partial n} = \psi_0(\mathbf{x}) + \delta \left(\frac{\partial \psi}{\partial n} - \frac{\partial \psi_0}{\partial n} \right) \approx \psi_0(\mathbf{x}) = 0 \quad (4)$$

where in the first approximation, we ignored higher order derivatives, and in the second approximation, we ignored the difference of the first-order normal gradients, which is of second order. Thus ψ satisfies the eigenequation with modified boundary condition

$$(\nabla_t^2 + \gamma^2) \psi = 0 \quad \psi|_{C_0} = -\delta \cdot \frac{\partial \psi_0}{\partial n} \quad (5)$$

Following the steps from (8.67) - (8.69) (with one more approximation that the 2D integral of the LHS of Green's identity is to be over the unperturbed cross section S_0), we have

$$\text{TM :} \quad \gamma_0^2 - \gamma^2 = - \frac{\oint_{C_0} \delta(x, y) \left| \frac{\partial \psi_0}{\partial n} \right|^2 dl}{\int_{S_0} |\psi_0|^2 da} \quad (6)$$

For TE mode, the unperturbed boundary condition becomes

$$\frac{\partial \psi_0}{\partial n}(\mathbf{x}) = 0 \quad (7)$$

then the construction (3) still holds, since

$$\frac{\partial \psi}{\partial n}(\mathbf{x} + \delta \mathbf{n}) \approx \frac{\partial \psi}{\partial n}(\mathbf{x}) + \delta \cdot \frac{\partial^2 \psi}{\partial n^2} = \frac{\partial \psi_0}{\partial n}(\mathbf{x}) + \delta \left(\frac{\partial^2 \psi}{\partial n^2} - \frac{\partial^2 \psi_0}{\partial n^2} \right) \approx 0 \quad (8)$$

which makes ψ satisfy the Neumann boundary condition for C .

Then the same procedure produces

$$\text{TE :} \quad \gamma_0^2 - \gamma^2 = \frac{\oint_{C_0} \delta(x, y) \psi_0^* \frac{\partial^2 \psi_0}{\partial n^2} dl}{\int_{S_0} |\psi|^2 da} \quad (9)$$

Note our results are the minus of the claim from Jackson, because in the problem statement the normal is said to be "to C ". This deviates from the convention used in section 8.6, we stick to the convention here.

(b) For TM_{11}

$$\psi_0(x, y) = \sin\left(\frac{\pi x}{a}\right) \sin\left(\frac{\pi y}{b}\right) \quad (10)$$

The contour integral on the numerator of (6) only involves the two vertical walls, on each of which

$$\left| \frac{\partial \psi_0}{\partial n} \right|_{\text{ver}} = \left(\frac{\pi}{a} \right) \sin\left(\frac{\pi y}{b}\right) \quad (11)$$

Also

$$\delta(x, y)|_{\text{ver}} = \frac{\delta y}{b} \quad (12)$$

Then by (6) we have

$$\gamma_0^2 - \gamma^2 = - \frac{2 \int_0^b \left(\frac{\pi}{a} \right)^2 \sin^2\left(\frac{\pi y}{b}\right) \left(\frac{\delta y}{b} \right) dy}{\int_0^b \sin^2\left(\frac{\pi y}{b}\right) dy \int_0^a \sin^2\left(\frac{\pi x}{a}\right) dx} = - \frac{\frac{\pi^2 \delta b}{2a^2}}{\frac{ab}{4}} = - \frac{2\pi^2 \delta}{a^3} \quad (13)$$

For TE_{10} ,

$$\psi_0(x, y) = \cos\left(\frac{\pi x}{a}\right) \quad (14)$$

On the $x = 0$ vertical wall, \mathbf{n} points to the $+\hat{\mathbf{x}}$ direction, and on the $x = a$ vertical wall, \mathbf{n} points to the $-\hat{\mathbf{x}}$ direction, hence

$$\psi_0|_{\text{ver}, x=0} = 1 \quad \psi_0|_{\text{ver}, x=a} = -1 \quad \frac{\partial^2 \psi_0}{\partial n^2} \Big|_{\text{ver}, x=0} = -\left(\frac{\pi}{a}\right)^2 \quad \frac{\partial^2 \psi_0}{\partial n^2} \Big|_{\text{ver}, x=a} = \left(\frac{\pi}{a}\right)^2 \quad (15)$$

which by (9) gives

$$\gamma_0^2 - \gamma^2 = \frac{2 \int_0^b -\left(\frac{\pi}{a}\right)^2 \frac{\delta y}{b} dy}{\int_0^b dy \int_0^a \cos^2\left(\frac{\pi x}{a}\right) dx} = - \frac{\frac{\pi^2 \delta b}{a^2}}{\frac{ab}{2}} = - \frac{2\pi^2 \delta}{a^3} \quad (16)$$

2. Prob 8.13

(a) Let the k -th perturbed solution ψ_k be a perturbation from the linear combination

$$\psi_k \approx \sum_i a_i \psi_0^{(i)} \quad (17)$$

For the finite conductivity case, ψ_k satisfies the equation and boundary condition

$$(\nabla_t^2 + \gamma_k^2) \psi_k = 0 \quad \psi_k|_S = f \sum_i a_i \frac{\partial \psi_0^{(i)}}{\partial n} \quad (18)$$

From the Green's identity

$$\int_A (\phi \nabla_t^2 \psi - \psi \nabla_t^2 \phi) da = \oint_C \left(\psi \frac{\partial \phi}{\partial n} - \phi \frac{\partial \psi}{\partial n} \right) dl \quad (19)$$

we can identify ψ with ψ_k and ϕ with $\psi_0^{(j)*}$. Then (19) becomes

$$(\gamma_0^2 - \gamma_k^2) \int_A \psi_0^{(j)*} \psi_k da = \oint_C \psi_k \frac{\partial \psi_0^{(j)*}}{\partial n} dl \quad (20)$$

We can approximate ψ_k in the LHS integrand with (17), and with orthogonality of $\psi_0^{(i)}$ s, we have

$$\text{LHS}_{(20)} = (\gamma_0^2 - \gamma_k^2) a_j \int_A \left| \psi_0^{(j)} \right|^2 da = (\gamma_0^2 - \gamma_k^2) N_j a_j \quad (21)$$

And the RHS of (20) is

$$\text{RHS}_{(20)} = f \sum_i a_i \oint_C \frac{\partial \psi_0^{(i)}}{\partial n} \frac{\partial \psi_0^{(j)*}}{\partial n} dl = \sum_i a_i \Delta_{ji} \quad (22)$$

Equating (21) with (22) gives the linear system

$$\sum_i [(\gamma_k^2 - \gamma_0^2) N_j \delta_{ji} + \Delta_{ji}] a_i = 0 \quad \text{for } j = 1, 2, \dots, N \quad (23)$$

γ_k^2 can be obtained from the eigenvalue of the matrix in (23), and a_i s correspond to the components of the k -th eigenvector.

For the distortion case, following problem 8.12, we can change the boundary condition in (18) as

$$\text{TM :} \quad \psi_k|_S = -\delta(x, y) \sum_i a_i \frac{\partial \psi_0^{(i)}}{\partial n} \quad (24)$$

$$\text{TE :} \quad \frac{\partial \psi_k}{\partial n} \Big|_S = -\delta(x, y) \sum_i a_i \frac{\partial^2 \psi_0^{(i)}}{\partial n^2} \quad (25)$$

Then the RHS of (20) will become

$$\text{TM :} \quad \text{RHS}_{(20)} = - \sum_i a_i \oint_C \delta(x, y) \frac{\partial \psi_0^{(i)}}{\partial n} \frac{\partial \psi_0^{(j)*}}{\partial n} dl \quad (26)$$

$$\text{TE :} \quad \text{RHS}_{(20)} = \sum_i a_i \oint_C \delta(x, y) \psi_0^{(j)*} \frac{\partial^2 \psi_0^{(i)}}{\partial n^2} dl \quad (27)$$

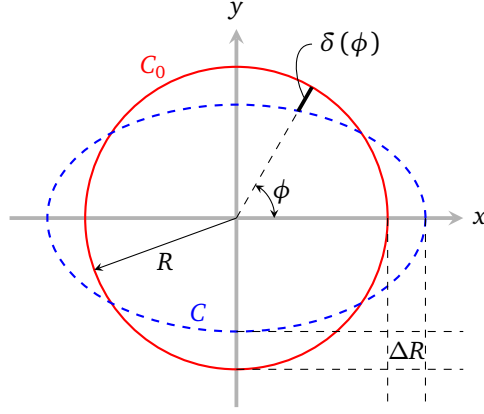
Thus by defining (again, we have a minus sign compared to the claim from Jackson, see explanations above for part (a) of problem 8.12)

$$\text{TM :} \quad \Delta_{ji} = - \oint_C \delta(x, y) \frac{\partial \psi_0^{(i)}}{\partial n} \frac{\partial \psi_0^{(j)*}}{\partial n} dl \quad (28)$$

$$\text{TE :} \quad \Delta_{ji} = \oint_C \delta(x, y) \psi_0^{(j)*} \frac{\partial^2 \psi_0^{(i)}}{\partial n^2} dl \quad (29)$$

the system of linear equations (23) still holds its form.

(b) The distortion of the circular cross section is depicted below.



We wish to find the displacement function $\delta(\phi)$, accurate to the first order of $\Delta R/R$. Given that $\delta(0) = -\Delta R$, and $\delta(\pi/2) = \Delta R$ (again, normal vector \mathbf{n} is pointing inward), an obvious guess would be

$$\delta(\phi) = -\Delta R \cos 2\phi \quad (30)$$

To verify it, we test it against the ellipse up to $O(\Delta R/R)$.

$$\begin{aligned} \frac{x^2}{(R + \Delta R)^2} + \frac{y^2}{(R - \Delta R)^2} &= \frac{(R \cos \phi + \Delta R \cos 2\phi \cos \phi)^2}{R^2 \left(1 + \frac{\Delta R}{R}\right)^2} + \frac{(R \sin \phi + \Delta R \cos 2\phi \sin \phi)^2}{R^2 \left(1 - \frac{\Delta R}{R}\right)^2} \\ &= \left[\cos^2 \phi + 2 \left(\frac{\Delta R}{R}\right) \cos 2\phi \cos^2 \phi \right] \left(1 - \frac{2\Delta R}{R}\right) + \\ &\quad \left[\sin^2 \phi + 2 \left(\frac{\Delta R}{R}\right) \cos 2\phi \sin^2 \phi \right] \left(1 + \frac{2\Delta R}{R}\right) + O\left[\left(\frac{\Delta R}{R}\right)^2\right] \\ &= 1 + O\left[\left(\frac{\Delta R}{R}\right)^2\right] \end{aligned} \quad (31)$$

With

$$\psi_0^{(\pm)} = J_1(\gamma_0 \rho) e^{\pm i\phi} \quad \gamma_0 R \approx 1.841 \quad J_1'(\gamma_0 R) = 0 \quad (32)$$

we have

$$\left. \frac{\partial^2 \psi_0^{(\pm)}}{\partial n^2} \right|_{C_0} = \gamma_0^2 J_1''(\gamma_0 R) e^{\pm i\phi} \quad (33)$$

Then by (29) it is clear that

$$\Delta_{++} = \Delta_{--} = 0 \quad (34)$$

$$\begin{aligned} K \equiv \Delta_{+-} = \Delta_{-+} &= -\gamma_0^2 J_1(\gamma_0 R) J_1''(\gamma_0 R) R \Delta R \int_0^{2\pi} \cos 2\phi e^{\pm i2\phi} d\phi \\ &= -\pi \gamma_0^2 J_1(\gamma_0 R) J_1''(\gamma_0 R) R \Delta R \end{aligned} \quad (35)$$

Also by invoking 10.22.38 of <https://dlmf.nist.gov> which was also proved in problem 3.11:

$$\int_0^1 J_\nu(\alpha_l t) J_\nu(\alpha_m t) t dt = \left(\frac{a^2}{b^2} + \alpha_l^2 - \nu^2 \right) \frac{[J_\nu(\alpha_l)]^2}{2\alpha_l^2} \delta_{lm} \quad \text{for } \alpha_l, \alpha_m \text{ positive zeros of } aJ_\nu(x) + bxJ_\nu'(x) \quad (36)$$

we know

$$\begin{aligned} N \equiv N_\pm &= \int_A \left| \psi_0^{(\pm)} \right|^2 da = 2\pi \int_0^R [J_1(\gamma_0 \rho)]^2 \rho d\rho \\ &= 2\pi R^2 \int_0^1 [J_1(\gamma_0 R t)]^2 t dt \\ &= \pi R^2 [J_1(\gamma_0 R)]^2 \left[1 - \frac{1}{(\gamma_0 R)^2} \right] \end{aligned} \quad (37)$$

Putting these back to (23), we obtain a system of linear equations

$$\begin{bmatrix} (\gamma^2 - \gamma_0^2)N & K \\ K & (\gamma^2 - \gamma_0^2)N \end{bmatrix} \begin{bmatrix} a_+ \\ a_- \end{bmatrix} = 0 \quad (38)$$

which yields the eigenvalues

$$\gamma_{\pm}^2 = \gamma_0^2 \pm \frac{K}{N} = \gamma_0^2 \left(1 \pm \lambda \frac{\Delta R}{R} \right) \quad (39)$$

where

$$\lambda = \frac{1}{\gamma_0^2} \cdot \frac{R}{\Delta R} \cdot \left(\frac{K}{N} \right) = -\frac{J_1''(\gamma_0 R)(\gamma_0 R)^2}{J_1(\gamma_0 R)[(\gamma_0 R)^2 - 1]} = 1 \quad (40)$$

Where the last step is a result of recognizing the Bessel equation

$$x^2 J_1''(x) + x J_1'(x) + (x^2 - 1) = 0 \quad (41)$$

with $x = \gamma_0 R$ being the zero of $J_1'(x)$.

By plugging the value of γ_{\pm}^2 back into (38), we can solve for the eigenvectors,

$$\begin{bmatrix} a_+ \\ a_- \end{bmatrix} = \begin{bmatrix} 1 \\ \pm 1 \end{bmatrix} \quad (42)$$

with \pm sign matching the eigenvalue γ_{\mp}^2 .

Then the two modes that are lifted out of the degeneracy are

$$\psi_{\pm}(\rho, \phi) \propto J_1(\gamma_0 \rho) \times \begin{cases} \sin \phi & \text{for } \gamma_+^2 = \gamma_0^2 \left(1 + \frac{\Delta R}{R} \right) \\ \cos \phi & \text{for } \gamma_-^2 = \gamma_0^2 \left(1 - \frac{\Delta R}{R} \right) \end{cases} \quad (43)$$