

Here we fill in some derivation details in section 8.5.

1. Equation (8.48)

For TM mode, $\psi e^{ikz} = E_z$ and $H_z = 0$. Then by (8.33) and (8.31)

$$\mathbf{E}_t = \frac{ik}{\gamma^2} \nabla_t E_z \quad \mathbf{H}_t = \frac{\epsilon\omega}{k} \hat{\mathbf{z}} \times \mathbf{E}_t \quad (1)$$

Then

$$\begin{aligned} \mathbf{S} &= \frac{1}{2} \mathbf{E} \times \mathbf{H}^* = \frac{1}{2} (\mathbf{E}_t + E_z \hat{\mathbf{z}}) \times \mathbf{H}_t^* \\ &= \frac{1}{2} \left(\frac{ik}{\gamma^2} \nabla_t E_z + E_z \hat{\mathbf{z}} \right) \times \left[\frac{\epsilon\omega}{k} \hat{\mathbf{z}} \times \left(\frac{-ik}{\gamma^2} \nabla_t E_z^* \right) \right] \\ &= \frac{1}{2} \left[\frac{k\epsilon\omega}{\gamma^4} \nabla_t E_z \times (\hat{\mathbf{z}} \times \nabla_t E_z^*) - \frac{i\epsilon\omega}{\gamma^2} E_z \hat{\mathbf{z}} \times (\hat{\mathbf{z}} \times \nabla_t E_z^*) \right] \\ &= \frac{1}{2} \left(\frac{k\epsilon\omega}{\gamma^4} \hat{\mathbf{z}} |\nabla_t E_z|^2 + \frac{i\epsilon\omega}{\gamma^2} E_z \nabla_t E_z^* \right) \end{aligned} \quad (2)$$

which is the upper line of (8.48).

For TE mode, $\psi e^{ikz} = H_z$, $E_z = 0$,

$$\mathbf{H}_t = \frac{ik}{\gamma^2} \nabla_t H_z \quad \mathbf{E}_t = -\frac{\mu\omega}{k} \hat{\mathbf{z}} \times \mathbf{H}_t \quad (3)$$

which gives

$$\mathbf{S} = \frac{1}{2} \mathbf{E} \times \mathbf{H}^* = \left(-\frac{1}{2} \mathbf{H} \times \mathbf{E}^* \right)^* = \left\{ \frac{1}{2} \left(\frac{ik}{\gamma^2} \nabla_t H_z + H_z \hat{\mathbf{z}} \right) \times \left[\frac{\mu\omega}{k} \hat{\mathbf{z}} \times \left(\frac{-ik}{\gamma^2} \nabla_t H_z^* \right) \right] \right\}^* \quad (4)$$

Comparing this with the second line of (2), evidently we can compute (4) by substituting $\mu \leftrightarrow \epsilon$, $E_z \leftrightarrow H_z$ in (2) followed by taking the complex conjugate, i.e.,

$$\mathbf{S} = \frac{1}{2} \left(\frac{k\mu\omega}{\gamma^4} \hat{\mathbf{z}} |\nabla_t H_z|^2 - \frac{i\mu\omega}{\gamma^2} H_z^* \nabla_t H_z \right) \quad (5)$$

which is the lower line of (8.48).

2. Equation (8.52)

For TM mode, the energy density is

$$\begin{aligned} u &= \frac{\epsilon}{4} (\mathbf{E}_t \cdot \mathbf{E}_t^* + E_z E_z^*) + \frac{\mu}{4} (\mathbf{H}_t \cdot \mathbf{H}_t^*) \\ &= \frac{\epsilon}{4} \left(\frac{k^2}{\gamma^4} |\nabla_t E_z|^2 + E_z E_z^* \right) + \frac{\mu}{4} \left(\frac{\epsilon^2 \omega^2}{\gamma^4} |\nabla_t E_z|^2 \right) \\ &= \frac{\epsilon}{4\gamma^4} (k^2 + \mu\epsilon\omega^2) |\nabla_t E_z|^2 + \frac{\epsilon}{4} E_z E_z^* \end{aligned} \quad (6)$$

Using

$$\gamma^2 = \mu\epsilon\omega_\lambda^2 \quad k^2 = \mu\epsilon(\omega^2 - \omega_\lambda^2) \quad (7)$$

as well as (see equation (8.49), (8.50) and the eigenequation (8.34))

$$\int_A |\nabla_t \psi|^2 da = - \int_A \psi^* \nabla_t^2 \psi da = \gamma^2 \int_A \psi^* \psi da \quad (8)$$

then we have

$$U = \int_A u da = \left[\frac{\epsilon}{4\gamma^2} \cdot \mu\epsilon(2\omega^2 - \omega_\lambda^2) + \frac{\epsilon}{4} \right] \int_A E_z E_z^* da = \frac{\epsilon}{2} \left(\frac{\omega}{\omega_\lambda} \right)^2 \int_A E_z E_z^* da \quad (9)$$

For TM mode, we only need to replace the density with

$$u = \frac{\mu}{4} (\mathbf{H}_t \cdot \mathbf{H}_t^* + H_z H_z^*) + \frac{\epsilon}{4} (\mathbf{E}_t \cdot \mathbf{E}_t^*) = \frac{\mu}{4} \left(\frac{k^2}{\gamma^4} |\nabla_t H_z|^2 + H_z H_z^* \right) + \frac{\epsilon}{4} \left(\frac{\mu^2 \omega^2}{\gamma^4} |\nabla_t H_z|^2 \right) \quad (10)$$

The remaining steps are similar to (6)-(9), with the substitution $\mu \leftrightarrow \epsilon, E_z \leftrightarrow H_z$,

$$U = \frac{\mu}{2} \left(\frac{\omega}{\omega_\lambda} \right)^2 \int_A H_z H_z^* da \quad (11)$$

3. Equation (8.59)

For TM mode, by (1),

$$\mathbf{H}_t = \frac{i\epsilon\omega}{\gamma^2} \hat{\mathbf{z}} \times \nabla_t E_z = \frac{i\epsilon\omega}{\gamma^2} \hat{\mathbf{z}} \times \left(\frac{\partial E_z}{\partial n} \mathbf{n} + \frac{\partial E_z}{\partial m} \mathbf{m} \right) \quad (12)$$

where $\mathbf{m} = \hat{\mathbf{z}} \times \mathbf{n}$ is a unit vector in the transverse plane orthogonal to \mathbf{n} .

Thus applying (8.58) gives

$$-\frac{dP}{dz} = \frac{1}{2\sigma\delta} \oint_C |\mathbf{n} \times \mathbf{H}|^2 dl = \frac{1}{2\sigma\delta} \frac{\epsilon^2 \omega^2}{\gamma^4} \oint_C \left| \frac{\partial E_z}{\partial n} \right|^2 dl = \frac{1}{2\sigma\delta} \frac{\omega^2}{\omega_\lambda^4 \mu^2} \oint_C \left| \frac{\partial E_z}{\partial n} \right|^2 dl \quad (13)$$

For TE mode,

$$\mathbf{H} = \mathbf{H}_t + H_z \hat{\mathbf{z}} = \frac{ik}{\gamma^2} \nabla_t H_z + H_z \hat{\mathbf{z}} \quad (14)$$

So,

$$\begin{aligned} -\frac{dP}{dz} &= \frac{1}{2\sigma\delta} \oint_C |\mathbf{n} \times \mathbf{H}|^2 dl = \frac{1}{2\sigma\delta} \oint_C \left(\frac{k^2}{\gamma^4} |\mathbf{n} \times \nabla_t H_z|^2 + |H_z|^2 \right) dl \\ &= \frac{1}{2\sigma\delta} \oint_C \left[\left(\frac{\omega^2 - \omega_\lambda^2}{\mu\epsilon\omega_\lambda^4} \right) |\mathbf{n} \times \nabla_t H_z|^2 + |H_z|^2 \right] dl \end{aligned} \quad (15)$$

4. Geometric factor ξ_{m0}, η_{m0} of TE mode rectangular waveguide

For TE- $m0$ of the rectangular waveguide,

$$H_z = H_0 \cos\left(\frac{m\pi x}{a}\right) e^{ikz} \implies \text{on the contour } |\mathbf{n} \times \nabla_t H_z|^2 = \begin{cases} \left(\frac{m\pi H_0}{a}\right)^2 \sin^2\left(\frac{m\pi x}{a}\right) & \text{for } y = 0 \text{ or } y = b \\ 0 & \text{otherwise} \end{cases} \quad (16)$$

With

$$\mu\epsilon\omega_{m0}^2 = \gamma_{m0}^2 = \frac{\pi^2 m^2}{a^2} \quad (17)$$

(8.59) yields

$$\begin{aligned} -\frac{dP}{dz} &= \frac{1}{2\sigma\delta} \left(\frac{\omega}{\omega_{m0}} \right)^2 |H_0|^2 \left[2 \left(1 - \frac{\omega_{m0}^2}{\omega^2} \right) \overbrace{\int_0^a \sin^2\left(\frac{m\pi x}{a}\right) dx}^{a/2} + \left(\frac{\omega_{m0}^2}{\omega^2} \right) \overbrace{\oint_C \cos^2\left(\frac{m\pi x}{a}\right) dl}^{a+2b} \right] \\ &= \frac{1}{2\sigma\delta} \left(\frac{\omega}{\omega_{m0}} \right)^2 |H_0|^2 \left[a + 2b \left(\frac{\omega_{m0}^2}{\omega^2} \right) \right] \end{aligned} \quad (18)$$

On the other hand, by (8.51)

$$P = \frac{1}{2} \sqrt{\frac{\mu}{\epsilon}} \left(\frac{\omega}{\omega_{m0}} \right)^2 \sqrt{1 - \frac{\omega_{m0}^2}{\omega^2}} |H_0|^2 \overbrace{\int_0^b dy \int_0^a \cos^2\left(\frac{m\pi x}{a}\right) dx}^{ab/2} \quad (19)$$

Matching $\beta_{m0} = -(dP/dz)/2P$ with (8.63) yields

$$\xi_{m0} = \frac{a}{a+b} \quad \eta_{m0} = \frac{2b}{a+b} \quad (20)$$