

# Directed hypergraph connectivity augmentation by hyperarc re-orientations

Combinatorial Optimization and Graph Theory

Benoît BOMPOL, Armand GRENIER

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- Hypergraphs

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  - ▶ Algorithmic proof of *Nash-Williams*, by flipping one arc at a time.
  - ▶ Exhibiting a sequence of orientations such that :
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    - The next orientation in the sequence can be obtained from the previous one by flipping exactly one arc.
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Side note : This article generalise the results of **Ito and al.**, as directed graphs are special case of hypergraphs.

# Hypergraphs

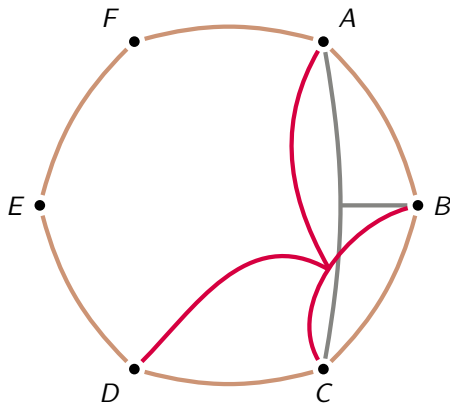




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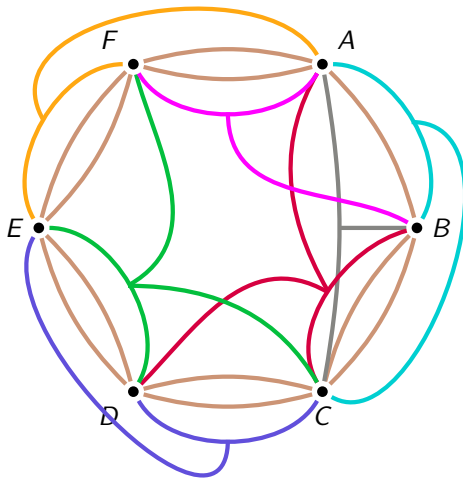


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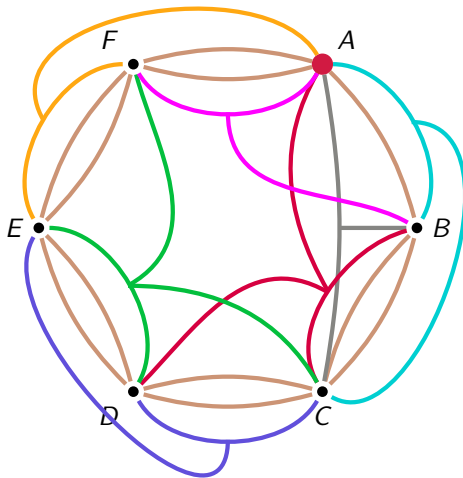
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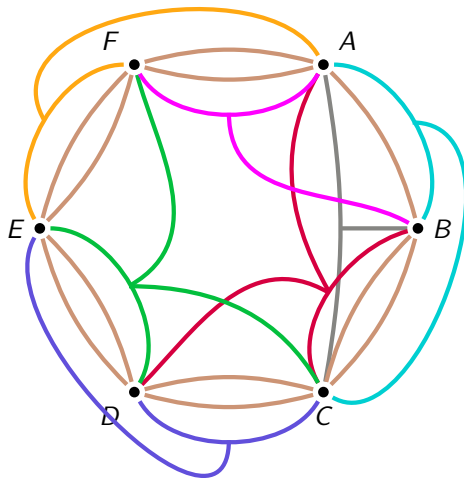


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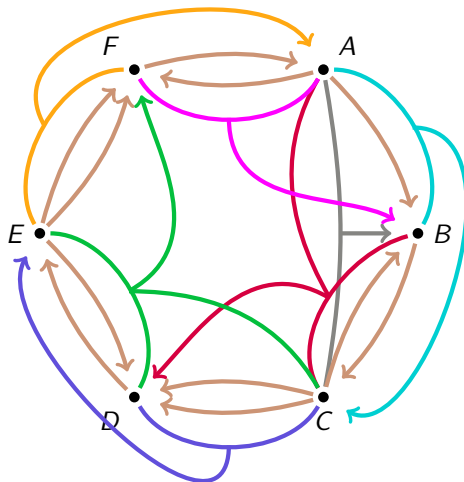
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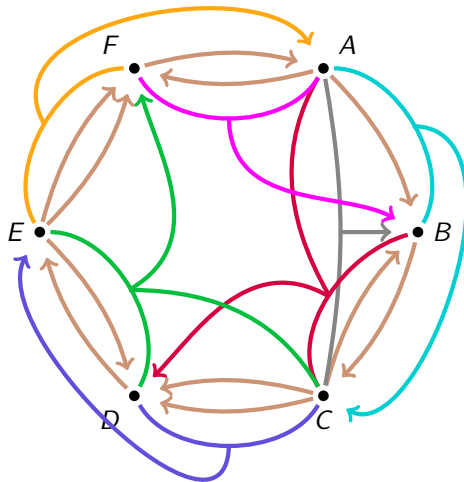
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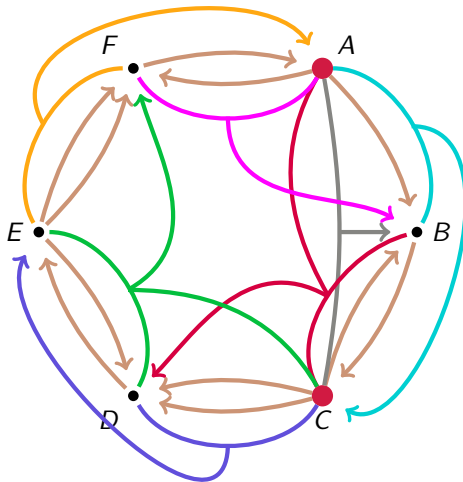
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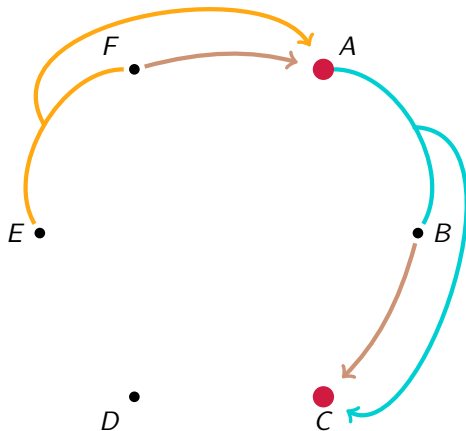
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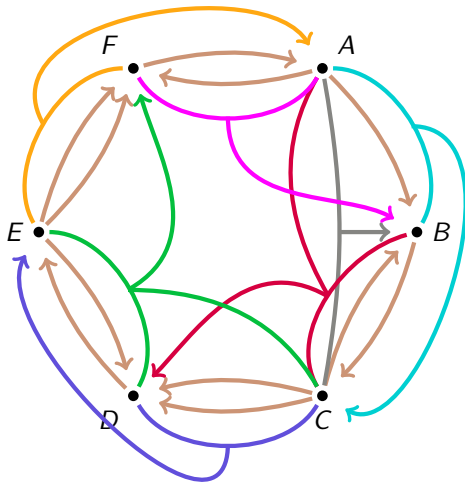
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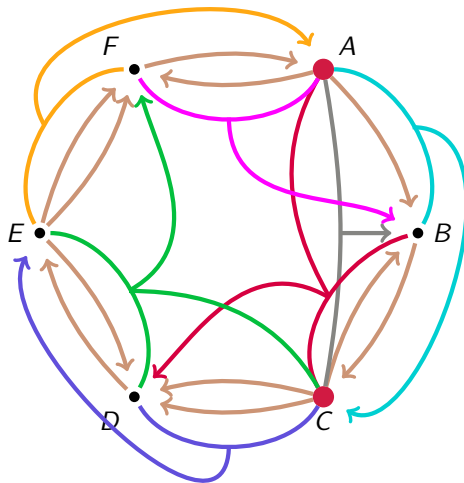
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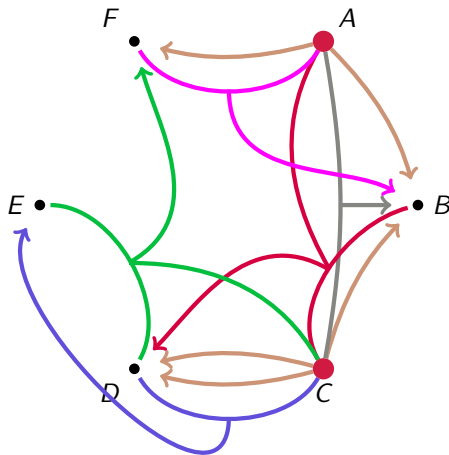
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- $\vec{\mathcal{H}}$  is  $k$ -hyperarc-connected, if,  $\forall \emptyset \neq X \subsetneq V$ ,  $d_{\vec{\mathcal{H}}}^+(X) \geq k$ .
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We use a result of Frank :  $\mathcal{H}$  is  $(k, k)$ -partition-connected if and only if it admits a  $k$ -hyperarc-connected orientation.

# Main result

## Main result (Theorem 7)

Let  $\mathcal{H} = (V, E)$  be a  $(k + 1, k + 1)$ -partition-connected hypergraph and  $\vec{\mathcal{H}}$  is a  $k$ -hyperarc connected orientation of  $\mathcal{H}$ . Then there exists a sequence of hypergraphs  $(\vec{\mathcal{H}}_i)_{i \in 0 \dots \ell}$  such that  $\vec{\mathcal{H}}_{i+1}$  is obtained from  $\vec{\mathcal{H}}_i$  by reorienting exactly one hyperarc and  $\lambda(\vec{\mathcal{H}}_{i+1}) \geq \lambda(\vec{\mathcal{H}}_i)$  and  $\lambda(\vec{\mathcal{H}}_\ell) = k + 1$ . Such a sequence of orientations can be obtained with  $\ell \leq |V|^3$  and found in polynomial time (in the size of  $\mathcal{H}$ ).

# Main result

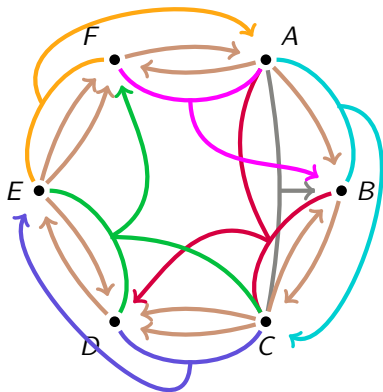
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Generalization of **Ito and al.**, as digraphs are special cases of hypergraphs.

# "High-Level"-running of the algorithm

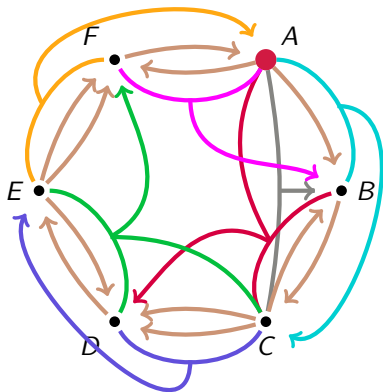
Our algorithm will provide a 3-hyperarc-connected orientation of  $\mathcal{H}$ , starting from a 2-hyperarc-connected.



- 1 Take  $r$  in  $V(\mathcal{H})$ .
- 2 Compute sets of vertices.
- 3 Stopping Criteria
- 4 Select a set  $R$  (cf. 2.)
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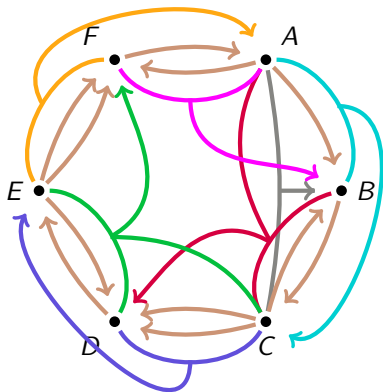


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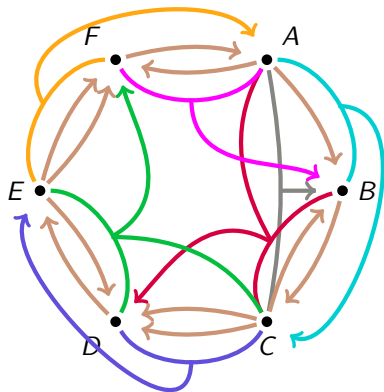
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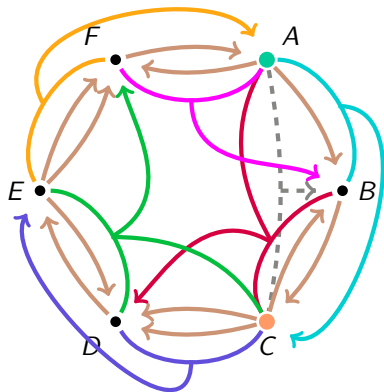
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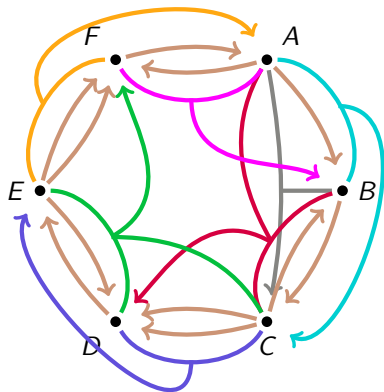
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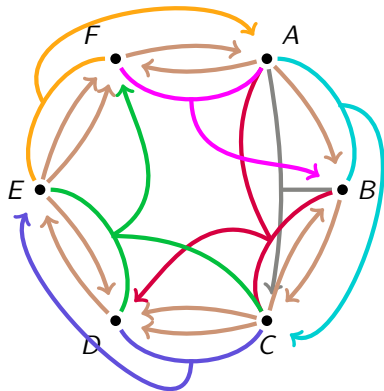
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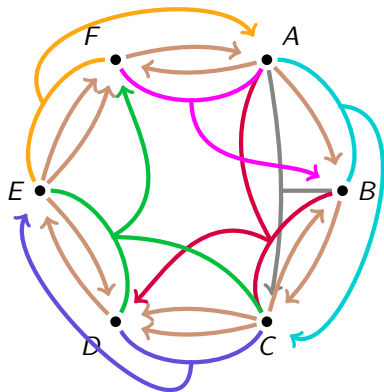
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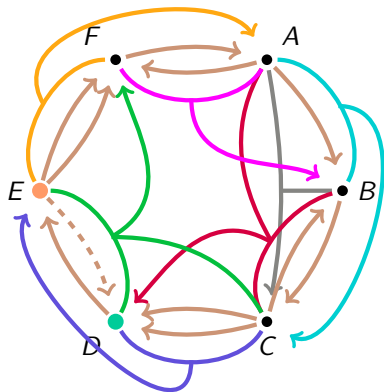
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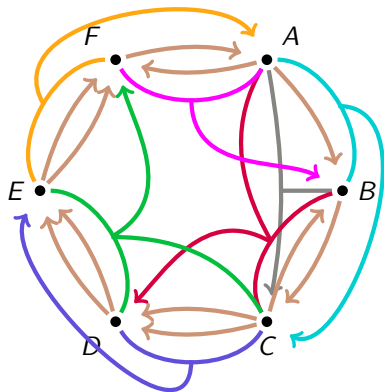


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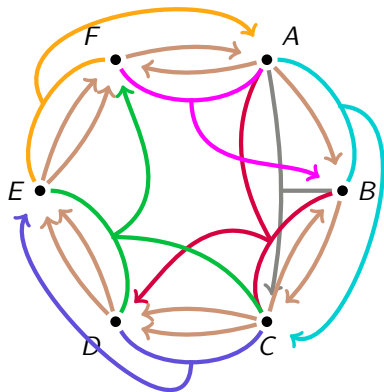
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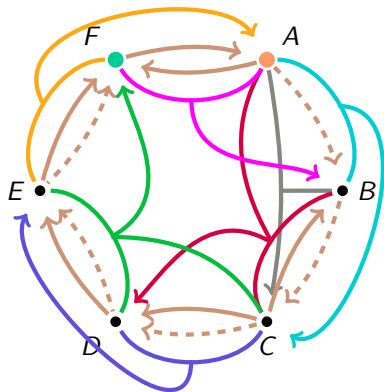
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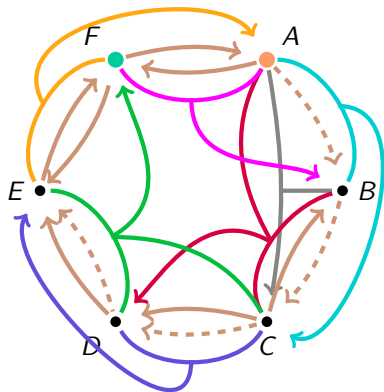
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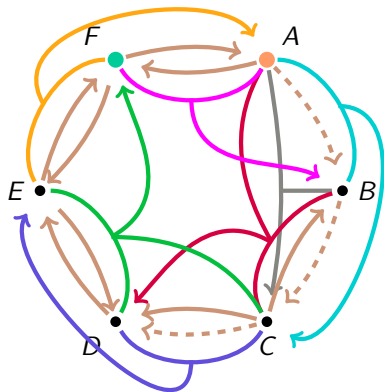
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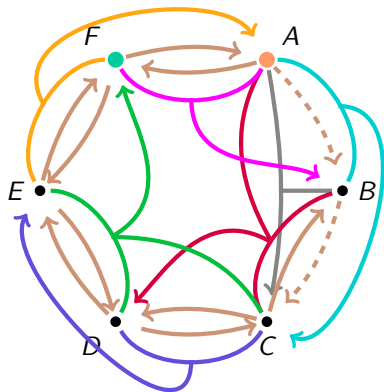
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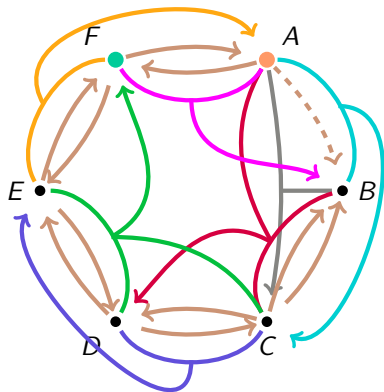
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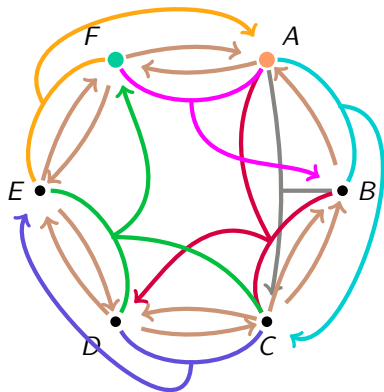
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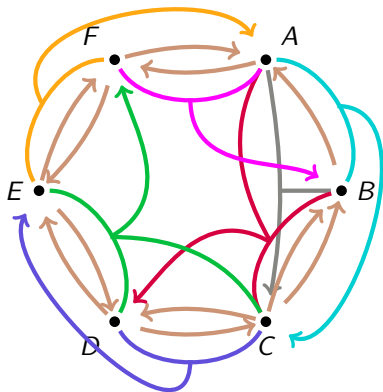


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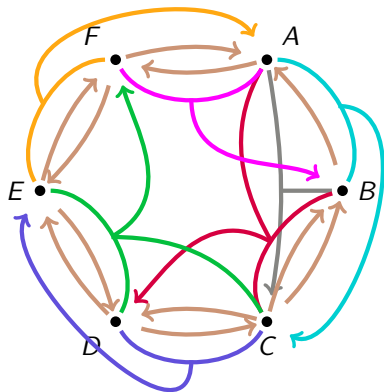
Our algorithm will provide a 3-hyperarc-connected orientation of  $\mathcal{H}$ , starting from a 2-hyperarc-connected.



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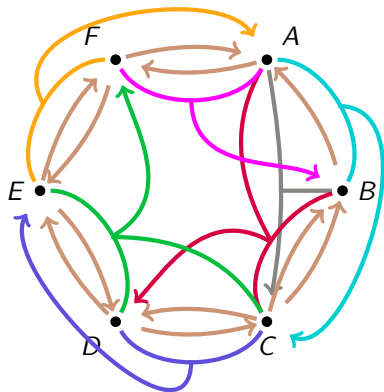
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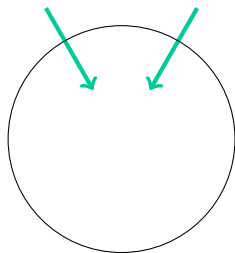
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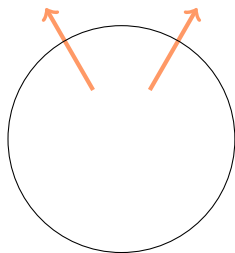
What are **safe sources** and **safe sinks** ?

*A brief detour...*

# Tight and Dangerous sets

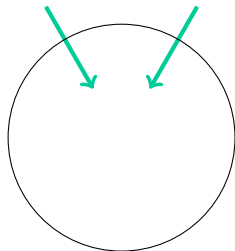


In- sets

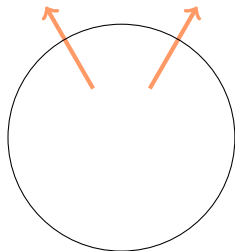


Out- sets

# Tight and Dangerous sets



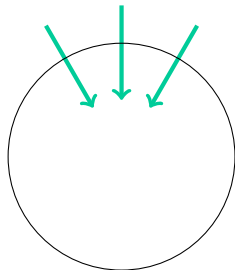
In-Tight sets



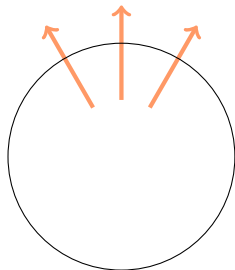
Out-Tight sets

- $\mathcal{T}_- = \{X \subseteq V - r, d^-(X) = k\} \cup \{V\}$
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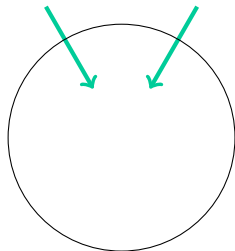
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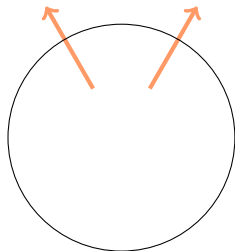
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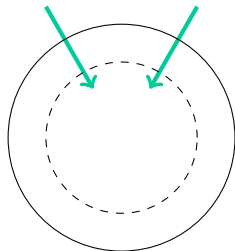
In-Tight sets



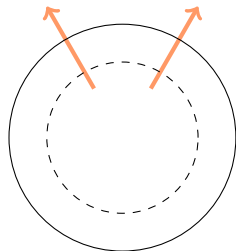
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# Tight and Dangerous sets



Minimal In-Tight sets



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# Crossing sets and structural results

Let  $X, Y$  two crossing sets in  $V$ .

## Claim 1(b)

If  $X, Y \in \mathcal{T}_+$ , then both  $X \cup Y \in \mathcal{T}_+$  and  $X \cap Y \in \mathcal{T}_+$ .



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- We have  $\lambda(\vec{\mathcal{H}}) = k$
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# Existence of a safe source (*a safe sink*)

## Lemma 10

$\forall S \in \mathcal{M}_-$ , there is a safe source  $s \in S$ .

Likewise,

## Lemma 11

$\forall T \in \mathcal{M}_+$ , there is a safe sink  $t \in T$ .

## Quick outline of a proof for Lemma 10 :

- Let  $S \in \mathcal{M}_-$ .
- Considering a family of vertex sets  $(\chi)$  that cover as many vertices of  $S$  as possible, but using as little as vertex sets possible.
- We can prove that, under given assumptions,  $\chi$  cannot cover every vertex of  $S$ .
- Vertices that are not covered by  $\chi$  are "potential" safe sources, the last part of the proof is verifying that they are effectively safe sources.

# Towards hyperarc connectivity augmentation

$\mathcal{R} : R \subseteq V - r$  inclusion-wise minimal such that either :

- $R \in \mathcal{T}_-$ , and contains a member of  $\mathcal{T}_+$
- or  $R \in \mathcal{T}_+$ , and contains a member of  $\mathcal{T}_-$ .



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## Lemma 13

Let  $R \in \mathcal{R}$ ,  $S \in \mathcal{M}_-$ ,  $T \in \mathcal{M}_+$  such that  $S, T \subseteq R$ . Let  $s$  be a safe source in  $S$ ,  $t$  a safe sink in  $T$ .

Then :

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# Towards hyperarc connectivity augmentation - Proof

## Proof of Lemma 13

By contradiction, either :

a.  $s \in X, t \notin X, d^+(X) = k$ , i.e.  $s \in X, t \notin X, X \in \mathcal{T}_+$ .

a1.  $R \in \mathcal{R} \cap \mathcal{T}_-$

a2.  $R \in \mathcal{R} \cap \mathcal{T}_+$

b.  $s \notin X, t \in X, d^-(X) = k$ , i.e.  $s \notin X, t \in X, X \in \mathcal{T}_-$ .

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- By **definition of a safe source**,  $S \subsetneq X$ .
- By  $t \in R \setminus X, R \in \mathcal{R}$ , we have  $X \not\subseteq R$ , which implies  $X \setminus R \neq \emptyset$ .

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a1 : If  $R \in \mathcal{R} \cap \mathcal{T}_-$  :

- By using Claim 1 on  $X \in \mathcal{T}_+$  and on  $R \in \mathcal{T}_-$ , we get  $R \setminus X \in \mathcal{T}_-$ .

- If  $X \cap T \neq \emptyset$

- $X \cap T \in \mathcal{T}_+$ ,  $T$  is no longer minimal, which is a contradiction.

- Hence  $X \cap T = \emptyset$ , and  $T \subseteq R \setminus X$ .

- $R$  is not minimal, which is a contradiction.

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a2 : If  $R \in \mathcal{R} \cap \mathcal{T}_+$  :

- By using Claim 1 on  $X, R$ , we get  $X \cap R \in \mathcal{T}_+$

- $S \subseteq R \cap X, t \in R \setminus X$  suffice to show that  $R \cap X \in \mathcal{R}$ , with  $R \setminus X \subsetneq R$ , as  $t \in R \setminus X$ .

- This contradicts that  $R \in \mathcal{R}$ .

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~~b1.~~  $R \in \mathcal{R} \cap \mathcal{T}_-$

~~b2.~~  $R \in \mathcal{R} \cap \mathcal{T}_+$

- By **definition of a safe source**,  $S \subsetneq X$ .
- By  $t \in R \setminus X, R \in \mathcal{R}$ , we have  $X \not\subseteq R$ , which implies  $X \setminus R \neq \emptyset$ .

# Finding *admissible* $(s, t)$ -hyperpaths in $R \in \mathcal{R}$

Three criterion for  $P$  to be an admissible  $(s, t)$ -hyperpath in  $R$ :

1.  $s$  is a safe source in  $S \subseteq R$ ,  $t$  is a safe sink in  $T \subseteq R$ .
2. Reorienting each hyperarc, **one by one**, does not decrease the hyperarc-connectivity
3. Let  $\vec{\mathcal{H}}'$  the hypergraph obtained after reorientation of  $P$ .
  - ▶  $\mathcal{M}'$  : Inclusion-wise minimal members of  $\mathcal{M}'_- \cup \mathcal{M}'_+$
  - ▶ Either  $|\mathcal{M}'| < |\mathcal{M}|$ , either  $|\mathcal{M}'| = |\mathcal{M}|$  and  $\mathcal{M}'$  covers more vertices than  $\mathcal{M}$ .

Point 3. is the stopping criteria for the main algorithm :

- $\mathcal{M} = \{V\}$  implies both  $\mathcal{M}_- = \{V\}$  and  $\mathcal{M}_+ = \{V\}$ .
- $\mathcal{T}_- = \{X \subseteq V - r, d^-(X) = k\} \cup \{V\}$
- $\mathcal{M}_-$  : Inclusion-wise minimal members of  $\mathcal{T}_-$
- Finally, if  $\lambda(\vec{\mathcal{H}}) \geq k$  and  $\mathcal{T}_- = \mathcal{T}_+ = \{V\}$ ,  $\vec{\mathcal{H}}$  is  $(k + 1)$ -hyperarc-connected.



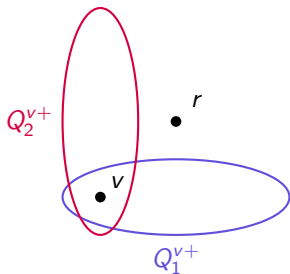
# Introduction of $Q_+^v$

## Definition of $Q_+^v$

Consider the sets of  $\mathcal{T}_+$  containing  $v$ .  $Q_+^v$  is **the** minimal (inclusion-wise) one.

## Unicity of $Q_+^v$ :

If it exists,  $Q_+^v$  is unique.



Let  $Q_1^{v+}$ ,  $Q_2^{v+}$  verifying the above definition.

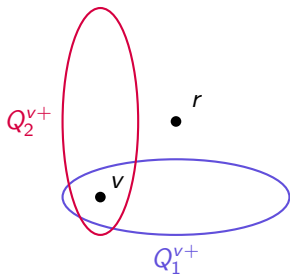
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By definition,  $Q_1^{v+} \not\subseteq Q_2^{v+}$  and  $Q_2^{v+} \not\subseteq Q_1^{v+}$ .

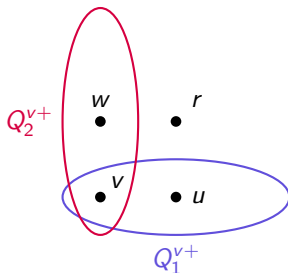
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Denote  $u \in Q_1^{v+} \setminus Q_2^{v+}$ ,  $w \in Q_2^{v+} \setminus Q_1^{v+}$ .

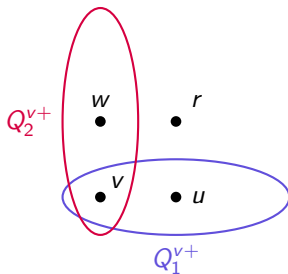
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As  $r \notin Q_1^{v+}, Q_2^{v+}$ , both are crossing sets.

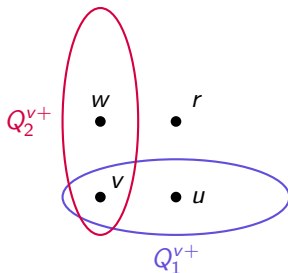
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By submodularity, if  $X, Y \in \mathcal{T}_+$ , both  $X \cup Y \in \mathcal{T}_+$  and  $X \cap Y \in \mathcal{T}_+$ .

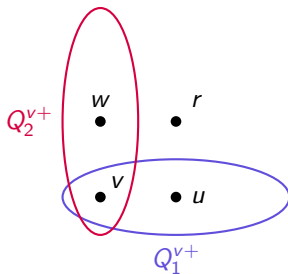
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$Q_1^{v+} \cap Q_2^{v+}$  is smaller (inclusion-wise) than  $Q_1^{v+}$  and  $Q_2^{v+}$ .

# Existence of an hyperpath that does not leave $Q_+^v$

## Lemma 12 (a)

$\forall s \in V, \forall t \in Q_+^s$ , there exists an  $(s, t)$ -hyperpath that does not leave  $Q_+^s$ .

## Proof of Lemma 12 (a)

- By contradiction, assume that there is  $s \in V, t \in Q_+^s$  such that any  $(s, t)$ -hyperpath leaves  $Q_+^s$ .
- There is  $s \in Z \subseteq Q_+^s \setminus \{t\}$  such that any hyperarc leaving  $Z$  will also leave  $Q_+^s$ .
- We have the following inequalities
  - ▶  $d_{\mathcal{H}}^+(Q_+^s) \geq d_{\mathcal{H}}^+(Z)$
  - ▶  $d_{\mathcal{H}}^+(Z) \geq k$ , as  $\mathcal{H}$  is  $k$ -hyperarc-connected.
  - ▶  $k = d_{\mathcal{H}}^+(Q_+^s)$  by definition.
- We can deduce that  $d_{\mathcal{H}}^+(Z) = k$ , which automatically implies that  $Z \in \mathcal{T}_+$ .
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# Finding an admissible $(s, t)$ -hyperpath in $R \in \mathcal{R} \cap \mathcal{T}_-$

1. Only input of the algorithm  $R \in \mathcal{R} \in \mathcal{T}_-$ 
  - ▶  $s, t$  are constrained (maybe not unique) by the choice of  $R$ .
2. Choosing  $S \in \mathcal{M}_-$ , then a safe source  $s \in S$ .
3. Main part of the algorithm :  $s$ -out arborescence
  - ▶  $F$  : (Directed) arborescence, rooted in  $s$
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# Finding an admissible $(s, t)$ -hyperpath in $R \in \mathcal{R} \cap \mathcal{T}_-$

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**Algorithm** Admissible  $(s, t)$ -hyperpath in  $R \in \mathcal{R} \cap \mathcal{T}_-$ 


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- 1: Take a set  $S \in \mathcal{M}_-$ , with  $S \subseteq R$ , then a safe source  $s \in S$ .
  - 2:  $Z = \{s\}$ ,  $F = (Z, \emptyset)$ ,  $V' = R$
  - 3: **while**  $h = (X, v)$  exists such that  $v \in V' - Z$  and  $X \cap Z \neq \emptyset$  **do**
  - 4:     Let  $u \in X \cap Z$ .
  - 5:      $Z \leftarrow Z \cup \{v\}$
  - 6:      $F \leftarrow F + uv$
  - 7:     **if**  $Q_+^v \subsetneq V'$  **then**
  - 8:          $V' \leftarrow Q_+^v$
  - 9:     **end if**
  - 10: **end while**
  - 11:  $T = V'$
  - 12: Take a safe sink  $t \in T$
  - 13:  $P' = F[s, t]$
  - 14:  $P$  is the corresponding hyperpath in  $\vec{\mathcal{H}}$ , obtained with  $P'$ .
  - 15: **Return**  $S, T, s, t, P$
-