Directed hypergraph connectivity augmentation by hyperarc re-orientations

Combinatorial Optimization and Graph Theory

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Thursday, Nov 23rd 2023

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 - Connectivity problems, characterisations
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- Nash-Williams, 1960 :
 - \triangleright G is 2k-edge connected \iff G admits a k-arc-connected orientation.

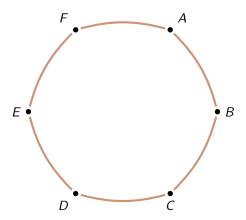
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 - ▶ *G* is 2k-edge connected \iff *G* admits a k-arc-connected orientation.
- Ito and al., 2023 :
 - Algorithmic proof of Nash-Williams, by flipping one arc at a time.
 - Exhibiting a sequence of orientations such that :
 - The arc-connectivity does not decrease, and the arc-connectivity of the last element of the sequence is k.
 - The next orientation in the sequence can be obtained from the previous one by flipping exactly one arc.
 - The sequence can be obtained in polynomial time (in the size of the directed graph).

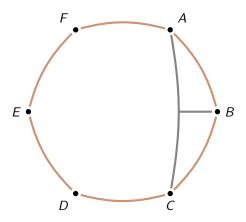
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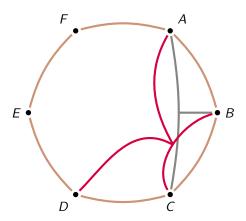
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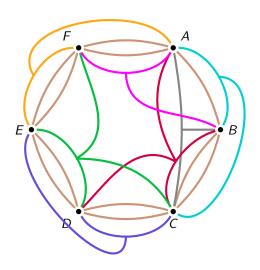
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Goal of the article: Expanding the result of **Ito and al.** to hypergraphs. Side note: This article generalise the results of **Ito and al.**, as directed graphs are special case of hypergraphs.



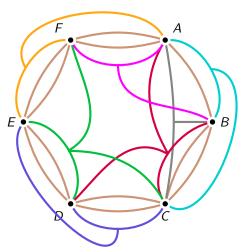






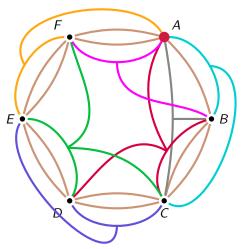
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 $d_{\mathcal{H}}(X)$ is the number of hyperedges intersecting both X and $V \setminus X$.



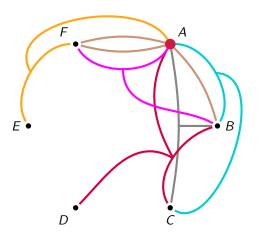
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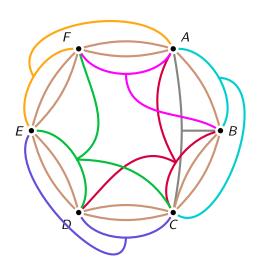


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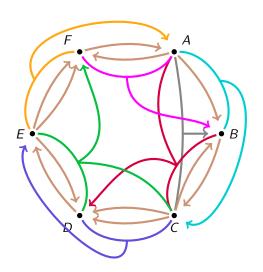
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Orientation of an hypergraph



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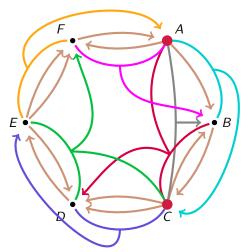
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 $d_{\mathcal{H}}^-(\mathsf{X})$ is the number of hyperarcs (Y, v) such that : $v \in \mathsf{X}$, $\exists u \in \mathsf{Y} \setminus \mathsf{X}$.



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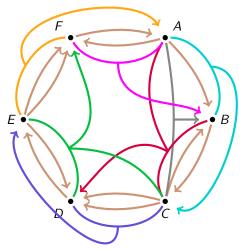
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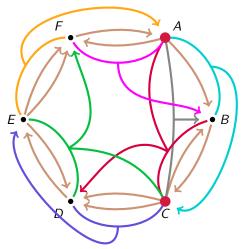
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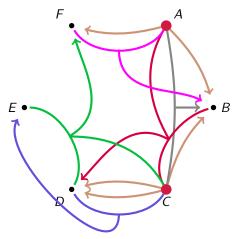
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- $\vec{\mathcal{H}}$ is k-hyperarc-connected, if, $\forall \varnothing \neq X \subsetneq V$, $d^+_{\vec{\mathcal{H}}}(X) \geq k$.
- The hyperarc-connectivity of a hypergraph, denoted $\lambda(\vec{\mathcal{H}})$, is the maximum value of k such that $\vec{\mathcal{H}}$ is k-hyperarc-connected.

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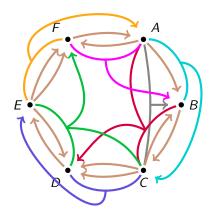
We use a result of Frank : \mathcal{H} is (k,k)-partition-connected if and only if it admits a k-hyperarc-connected orientation.



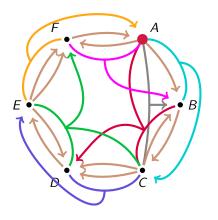
Main result

$\overline{\mathsf{Main}}$ result (Theorem 7)

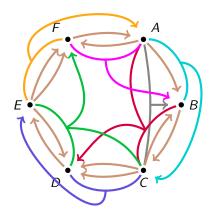
Let $\mathcal{H}=(V,E)$ be a (k+1,k+1)-partition-connected hypergraph and $\vec{\mathcal{H}}$ is a k-hyperarc connected orientation of \mathcal{H} . Then there exists a sequence of hypergraphs $(\vec{\mathcal{H}}_i)_{i\in 0...\ell}$ such that $\vec{\mathcal{H}}_{i+1}$ is obtained from $\vec{\mathcal{H}}_i$ by reorienting exactly one hyperarc and $\lambda(\vec{\mathcal{H}}_{i+1}) \geq \lambda(\vec{\mathcal{H}}_i)$ and $\lambda(\vec{\mathcal{H}}_\ell) = k+1$. Such a sequence of orientations can be obtained with $\ell \leq |V|^3$ and found in polynomial time (in the size of \mathcal{H}).



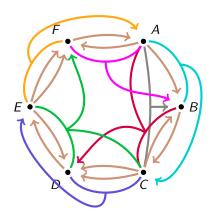
- Take r in $V(\mathcal{H})$.
- 2 Compute sets of vertices.
- Stopping Criteria
- Select a set R (cf. 2.)
- Find an admissible (s, t)-hyperpath in R to reorient
- Reorient the corresponding hyperpath.
- Goto (2.)



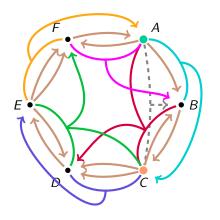
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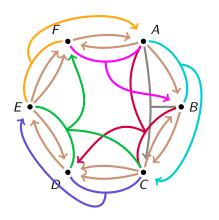
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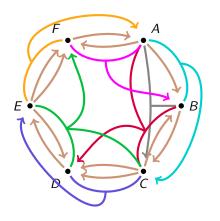
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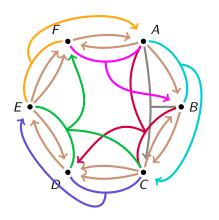
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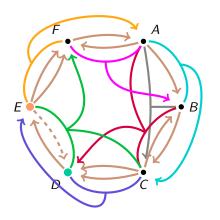
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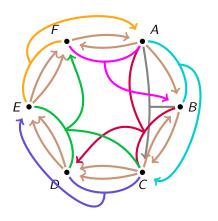
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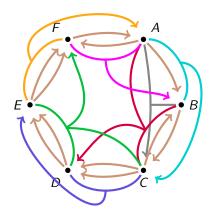
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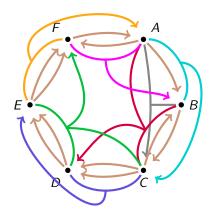
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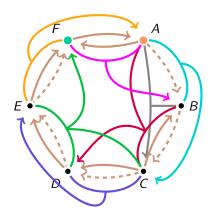
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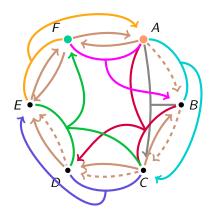
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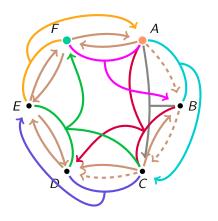
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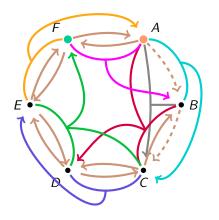
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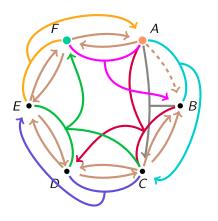
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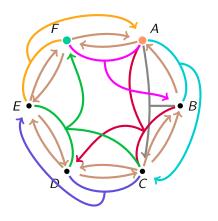
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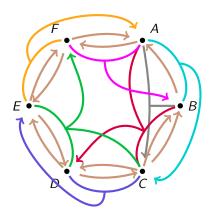
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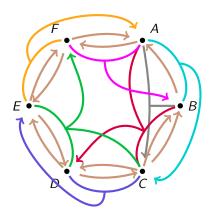
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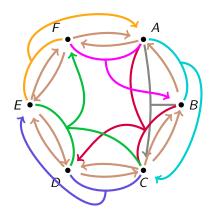
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- Crucial segment of the algorithm.
- Three criterion for P to be an admissible (s, t)-hyperpath in R:
 - \bigcirc s is a safe source in $S \subseteq R$, t is a safe sink in $T \subseteq R$
 - Reorient each hyperarc, one by one, does not decrease the hyperarc-connectivity.
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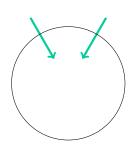


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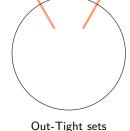


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In-Tight sets



•
$$\mathcal{T}_{-} = \{X \subseteq V - r, d^{-}(X) = k\} \cup \{V\}$$

•
$$\mathcal{T}_+ = \{X \subseteq V - r, d^+(X) = k\} \cup \{V\}$$

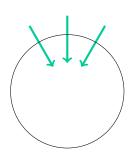
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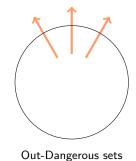
ullet \mathcal{M}_- : Inclusion-wise minimal members of \mathcal{T}_-

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 \bullet \mathcal{M} : Inclusion-wise minimal members of $\mathcal{M}_- \cup \mathcal{M}_+$



In-Dangerous sets



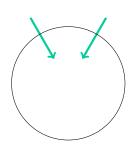
• $T_{-} = \{X \subset V - r, d^{-}(X) = k\} \cup \{V\}$

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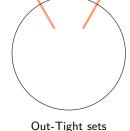
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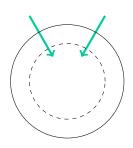
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Minimal In-Tight sets



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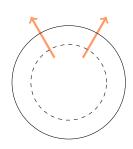
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 \bullet \mathcal{M}_{-} : Inclusion-wise minimal members of \mathcal{T}_{-}

ullet \mathcal{M}_+ : Inclusion-wise minimal members of \mathcal{T}_+

ullet ${\mathcal M}$: Inclusion-wise minimal members of ${\mathcal M}_- \cup {\mathcal M}_+$



Minimal Out-Tight sets

Let X, Y two crossing sets in V.

Claim 1(b)

If $X, Y \in \mathcal{T}_+$, then both $X \cup Y \in \mathcal{T}_+$ and $X \cap Y \in \mathcal{T}_+$.

Let X, Y two crossing sets in V.

Claim 1(b)

If $X, Y \in \mathcal{T}_+$, then both $X \cup Y \in \mathcal{T}_+$ and $X \cap Y \in \mathcal{T}_+$.

- We have $\lambda(\vec{\mathcal{H}}) = k$
- Since X, Y are crossing, $X \cap Y \neq \emptyset$, $X \cup Y \neq V$.
- $k + k = d^+(X) + d^+(Y)$
- By submodularity, $d^+(X) + d^+(Y) \ge d^+(X \cup Y) + d^+(X \cap Y)$
- By $\lambda(\vec{\mathcal{H}}) = k$, $d^+(X \cup Y) \ge k$ and $d^+(X \cap Y) \ge k$
- Grouping these equations, we obtain : $k+k=d^+(X)+d^+(Y)\geq d^+(X\cup Y)+d^+(X\cap Y)\geq k+k$
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Existence of a safe source (a safe sink)

Lemma 10

 $\forall S \in \mathcal{M}_{-}$, there is a safe source $s \in S$.

Likewise,

Lemma 11

 $\forall T \in \mathcal{M}_+$, there is a safe sink $t \in T$.

Quick outline of a proof for Lemma 10:

- Let $S \in \mathcal{M}_{-}$.
- Considering a family of vertex sets (χ) that cover as many vertices of S as possible, but using as little as vertex sets possible.
- \bullet We can prove that, under given assumptions, χ cannot cover every vertex of ${\it S}.$
- ullet Vertices that are not covered by χ are "potential" safe sources, the last part of the proof is verifying that they are effectively safe sources.

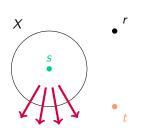
 $\mathcal{R}: R \subseteq V - r$ inclusion-wise minimal such that either :

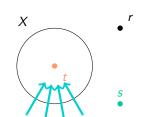
- $R \in \mathcal{T}_{-}$, and contains a member of \mathcal{T}_{+}
- or $R \in \mathcal{T}_+$, and contains a member of \mathcal{T}_- .

Lemma 13

Let $R \in \mathcal{R}, S \in \mathcal{M}_-, T \in \mathcal{M}_+$ such that $S, T \subseteq R$. Let s be a safe source in S, t a safe sink in T.

- a. $\forall X \subseteq V r$ such that $s \in X$, $t \notin X$, we have $d^+(X) \ge k + 1$.
- b. $\forall X \subseteq V r$ such that $s \notin X$, $t \in X$, we have $d^-(X) \ge k + 1$.





Lemma 13

Let $R \in \mathcal{R}, S \in \mathcal{M}_-, T \in \mathcal{M}_+$ such that $S, T \subseteq R$. Let s be a safe source in S, t a safe sink in T.

Then:

- $\forall X \subseteq V r$ such that $s \in X$, $t \notin X$, we have $d^+(X) \ge k + 1$.
- $\forall X \subseteq V r$ such that $s \notin X$, $t \in X$, we have $d^-(X) \ge k + 1$.

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- $\forall X \subseteq V r$ such that $s \notin X$, $t \in X$, we have $d^-(X) \ge k + 1$.

Proof of Lemma 13

By contradiction, either:

- a. $s \in X, t \notin X, d^+(X) = k$, i.e. $s \in X, t \notin X, X \in \mathcal{T}_+$.
 - a1. $R \in \mathcal{R} \cap \mathcal{T}_{-}$
 - a2. $R \in \mathcal{R} \cap \mathcal{T}_+$
- b. $s \notin X, t \in X, d^-(X) = k$, i.e. $s \notin X, t \in X, X \in \mathcal{T}_-$.
 - b1. $R \in \mathcal{R} \cap \mathcal{T}_{-}$
 - b2. $R \in \mathcal{R} \cap \mathcal{T}_+$

Lemma 13

Let $R \in \mathcal{R}, S \in \mathcal{M}_-, T \in \mathcal{M}_+$ such that $S, T \subseteq R$. Let s be a safe source in S, t a safe sink in T.

Then:

- $\forall X \subseteq V r$ such that $s \in X$, $t \notin X$, we have $d^+(X) \ge k + 1$.
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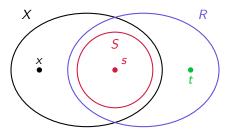
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By contradiction, either:

- **a.** $s \in X, t \notin X, d^+(X) = k$, i.e. $s \in X, t \notin X, X \in \mathcal{T}_+$.
 - **a1.** $R \in \mathcal{R} \cap \mathcal{T}$
 - **a2.** $R \in \mathcal{R} \cap \mathcal{T}_+$
- b. $s \notin X, t \in X, d^-(X) = k$, i.e. $s \notin X, t \in X, X \in \mathcal{T}_-$.
 - **b1**. R ∈ \mathcal{R} ∩ \mathcal{T}_{-}
 - b2. $R \in \mathcal{R} \cap \mathcal{T}_+$

Proof of Lemma 13

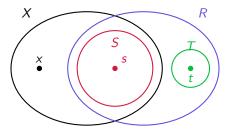
- $a: \exists X \subseteq V r, s \in X, t \notin X, X \in \mathcal{T}_+$
 - . Since $s \in S$ is a **safe source** and $s \in X \in \mathcal{T}_+$, we have $S \subsetneq X$
 - . We also have $t \in R \setminus X$ by [a.], so $X \setminus R \neq \emptyset$.



Proper representation of a

Proof of Lemma 13

- $a: \exists X \subseteq V r, s \in X, t \notin X, X \in \mathcal{T}_+$
 - . Since $s \in S$ is a **safe source** and $s \in X \in \mathcal{T}_+$, we have $S \subsetneq X$
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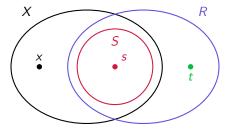


Proper representation of a

- a1. : $R \in \mathcal{R} \cap \mathcal{T}_{-}, \exists X \subseteq V r, s \in X, t \notin X, X \in \mathcal{T}_{+}$.
 - . As $t \in R \setminus X \neq \emptyset$, and using Claim 1, we have $R \setminus X \in \mathcal{T}_{-}$.
 - . $T \cap X \neq \emptyset$ would contradict the minimality of T, so T and X are disjoint.
 - . As $R \setminus X \in \mathcal{T}_-$, $T \in \mathcal{T}_+$, and $T \subseteq R \setminus X$, this contradicts R minimal.

Proof of Lemma 13

- $a: \exists X \subseteq V r, s \in X, t \notin X, X \in \mathcal{T}_+$
 - . Since $s \in S$ is a **safe source** and $s \in X \in \mathcal{T}_+$, we have $S \subsetneq X$
 - . We also have $t \in R \setminus X$ by [a.], so $X \setminus R \neq \emptyset$.



Proper representation of a

- a2. : $R \in \mathcal{R} \cap \mathcal{T}_+, \exists X \subseteq V r, s \in X, t \notin X, X \in \mathcal{T}_+$.
 - $R \in \mathcal{T}_+, X \in \mathcal{T}_+, \text{ and } X \cap R \neq \emptyset \implies X \cap R \in \mathcal{T}_+$
 - . $S \in \mathcal{T}_{-}, S \subseteq R \cap X$. Since $r \in R \setminus X, X \cap R \subseteq R$.
 - . This contradicts the minimality of R.

Proof of Lemma 13

Proof of Lemma 13

By contradiction, either:

- $s \in X, t \notin X, d^{+}(X) = k, \text{ i.e. } s \in X, t \notin X, X \in \mathcal{T}_{+}.$ $2X \in \mathcal{R} \cap \mathcal{T}_{-}$ $2X \in \mathcal{R} \cap \mathcal{T}_{+}$
- b. $s \notin X, t \in X, d^{-}(X) = k$, i.e. $s \notin X, t \in X, X \in \mathcal{T}_{-}$. b1. $R \in \mathcal{R} \cap \mathcal{T}_{-}$ b2. $R \in \mathcal{R} \cap \mathcal{T}_{+}$

Finding admissible (s, t)-hyperpaths in $R \in \mathcal{R}$

Three criterion for P to be an admissible (s, t)-hyperpath in R:

- 1. s is a safe source in $S \subseteq R$, t is a safe sink in $T \subseteq R$.
- 2. Reorienting each hyperarc, **one by one**, does not decrease the hyperarc-connectivity
- 3. Let $\vec{\mathcal{H}}'$ the hypergraph obtained after reorientation of P.
 - $ightharpoonup \mathcal{M}'$: Inclusion-wise minimal members of $\mathcal{M}'_- \cup \mathcal{M}'_+$
 - ▶ Either $|\mathcal{M}'| < |\mathcal{M}|$, either $|\mathcal{M}'| = |\mathcal{M}|$ and \mathcal{M}' covers more vertices than \mathcal{M} .

Point 3. is the stopping criteria for the main algorithm :

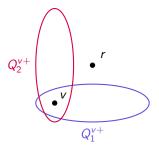
- $\mathcal{M} = \{V\}$ implies both $\mathcal{M}_- = \{V\}$ and $\mathcal{M}_+ = \{V\}$.
- $\mathcal{T}_{-} = \{X \subseteq V r, d^{-}(X) = k\} \cup \{V\}$
- ullet \mathcal{M}_- : Inclusion-wise minimal members of \mathcal{T}_-
- Finally, if $\lambda(\vec{\mathcal{H}}) \geq k$ and $\mathcal{T}_{-} = \mathcal{T}_{+} = \{V\}$, $\vec{\mathcal{H}}$ is (k+1)-hyperarc-connected.

Definition of Q_+^v

Consider the sets of \mathcal{T}_+ containing v. Q_+^v is **the** minimal (inclusion-wise) one.

Unicity of Q_+^v :

If it exists, Q_+^v is unique.



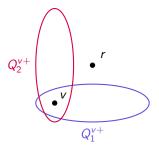
Let $Q_1^{\nu+}$, $Q_2^{\nu+}$ verifying the above definition.

Definition of Q_+^v

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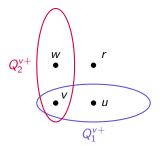
By definition, $Q_1^{v+} \not\subseteq Q_2^{v+}$ and $Q_2^{v+} \not\subseteq Q_1^{v+}$.

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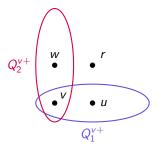
Denote $u \in Q_1^{v+} \setminus Q_2^{v+}$, $w \in Q_2^{v+} \setminus Q_1^{v+}$.

Definition of Q_+^v

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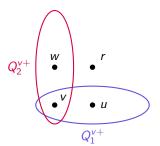
As $r \notin Q_1^{v+}, Q_2^{v+}$, both are are crossing sets.

Definition of Q_+^v

Consider the sets of \mathcal{T}_+ containing v. Q_+^v is **the** minimal (inclusion-wise) one.

Unicity of Q_+^v :

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By submodularity, if $X, Y \in \mathcal{T}_+$, both $X \cup V \in \mathcal{T}_+$ and $X \cap V \in \mathcal{T}_+$.

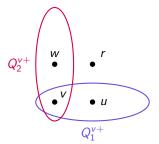
Introduction of Q_+^{ν}

Definition of Q_+^v

Consider the sets of \mathcal{T}_+ containing v. Q_+^v is **the** minimal (inclusion-wise) one.

Unicity of Q_+^v :

If it exists, Q_+^v is unique.



 $Q_1^{\nu+}\cap Q_2^{\nu+}$ is smaller (inclusion-wise) than $Q_1^{\nu+}$ and $Q_2^{\nu+}$.

Lemma 12 (a)

 $\forall s \in V, \forall t \in Q^s_+$, there exists an (s,t)-hyperpath that does not leave Q^s_+ .

- By contradiction, assume that there is $s \in V$, $t \in Q_+^s$ such that any (s, t)-hyperpath leaves Q_+^s .
- There is $s \in Z \subseteq Q_+^s \setminus \{t\}$ such that any hyperarc leaving Z will also leave Q_+^s .
- We have the following inequalities

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 d_{3}^{+}(Q_{+}^{s}) \geq d_{3}^{+}(Z)
```

- $ightharpoonup d_{\overrightarrow{\mathcal{U}}}^+(Z) \geq k$, as \mathcal{H} is k-hyperarc-connected.
- $k = d_{37}^+(Q_+^s)$ by definition
- We can deduce that $d^+_{\vec{\mathcal{H}}}(Z)=k$, which automatically implies that $Z\in\mathcal{T}_+$.
- Q^s_{\perp} is not minimal, hence the contradiction.



Lemma 12 (a)

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- We have the following inequalities
 - $d_{27}^+(Q_+^s) \ge d_{27}^+(Z)$
 - $d_{\vec{\mathcal{H}}}^+(Z) \geq k$, as \mathcal{H} is k-hyperarc-connected.
 - $k = d_{\vec{x}}^+(Q_+^s)$ by definition.
- We can deduce that $d^+_{\vec{\mathcal{H}}}(Z)=k$, which automatically implies that $Z\in\mathcal{T}_+$.
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- We have the following inequalities
 - $d_{11}^+(Q_+^s) \geq d_{11}^+(Z)$
 - $d_{\vec{x}}^+(Z) \ge k$, as \mathcal{H} is k-hyperarc-connected.
 - $k = d_{\vec{x}}^+(Q_+^s)$ by definition.
- We can deduce that $d^+_{\vec{\mathcal{H}}}(Z)=k$, which automatically implies that $Z\in\mathcal{T}_+$.
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- We have the following inequalities

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- We have the following inequalities
 - $d_{\vec{i}}^+(Q_+^s) \geq d_{\vec{i}}^+(Z)$
 - $d_{\vec{x}}^{+}(Z) \ge k$, as \mathcal{H} is k-hyperarc-connected.
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- There is $s \in Z \subseteq Q_+^s \setminus \{t\}$ such that any hyperarc leaving Z will also leave Q_+^s .
- We have the following inequalities
 - $d_{\vec{i}}^+(Q_+^s) \ge d_{\vec{i}}^+(Z)$
 - $d_{\vec{\mathcal{U}}}^+(Z) \ge k$, as \mathcal{H} is k-hyperarc-connected.
 - $k = d_{\vec{x}}^+(Q_+^s)$ by definition.
- We can deduce that $d^+_{\vec{\mathcal{U}}}(Z) = k$, which automatically implies that $Z \in \mathcal{T}_+$.
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 - $k = d_{\vec{x}}^+(Q_+^s)$ by definition.
- We can deduce that $d^+_{\vec{i}}(Z) = k$, which automatically implies that $Z \in \mathcal{T}_+$.
- Q_{\perp}^{s} is not minimal, hence the contradiction.



- 1. Only input of the algorithm $R \in \mathcal{R} \in \mathcal{T}$
 - \triangleright s, t are constrained (maybe not unique) by the choice of R.
- 2. Choosing $S \in \mathcal{M}_{-}$ such that $S \subseteq R$, then a safe source $s \in S$.
- 3. Main part of the algorithm: s-out arborescence
 - F: (Directed) arborescence, rooted in s
 - Z : Explored (yet) vertices
 - $\triangleright V'$: Allowed remaining vertices to explore



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Algorithm Admissible (s, t)-hyperpath in $R \in \mathcal{R} \cap \mathcal{T}$

- 1: Take a set $S \in \mathcal{M}_{-}$, with $S \subseteq R$, then a safe source $s \in S$.
- 2: $Z = \{s\}, F = (Z, \emptyset), V' = R$
- 3: while h = (X, v) exists such that $v \in V' Z$ and $X \cap Z \neq \emptyset$ do
- 4: Let $u \in X \cap Z$.
- 5: $Z \leftarrow Z \cup \{v\}$
- 6: $F \leftarrow F + uv$
- 7: if $Q^{\vee}_{+} \subseteq V'$ then
- 8: $V' \leftarrow Q^{v}$
- 9: end if
- 10: end while
- 11: T = V'
- 12: Take a safe sink $t \in T$
- 13: P' = F[s, t]
- 14: P is the corresponding hyperpath in \mathcal{H} , obtained with P'.
- 15: **Return** *S*, *T*, *s*, *t*, *P*



- Computing \mathcal{R} , \mathcal{M}_{-} , \mathcal{M}_{+} in polynomial time :
 - We can transform our hypergraph in a network (by trimming),
 - In which we can apply **Edmonds-Karp** to compute (s, t)-cuts.
 - ▶ It can be shown that $\mathcal{R}, \mathcal{M}_-, \mathcal{M}_+ \in \mathcal{Q}$, with \mathcal{Q} obtained while applying Edmonds-Karp.
- Finding an admissible hyperpath runs in polynomial time :
 - Simple search that runs in polynomial time of number of hyperedgess
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Thank you for your attention.

