# Directed hypergraph connectivity augmentation by hyperarc re-orientations

Combinatorial Optimization and Graph Theory

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  - Connectivity problems, characterisations
  - Hypergraphs



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  - Exhibiting a sequence of orientations such that :
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    - The sequence can be obtained in polynomial time (in the size of the directed graph).

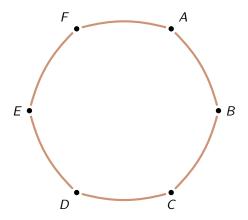
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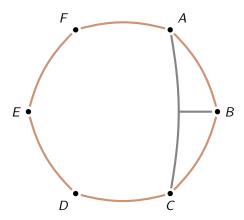
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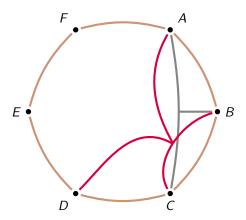
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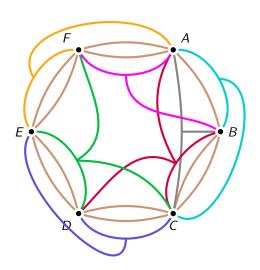
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Goal of the article: Expanding the result of **Ito and al.** to hypergraphs. Side note: This article generalise the results of **Ito and al.**, as directed graphs are special case of hypergraphs.



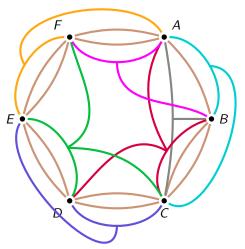






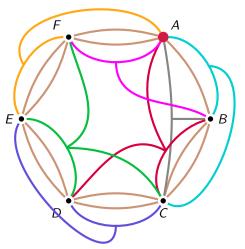
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 $d_{\mathcal{H}}(X)$  is the number of hyperedges intersecting both X and  $V \setminus X$ .



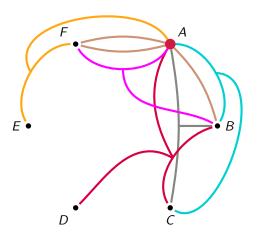
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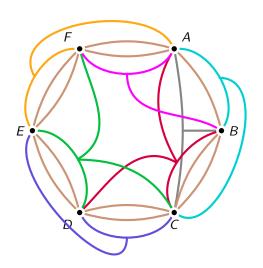


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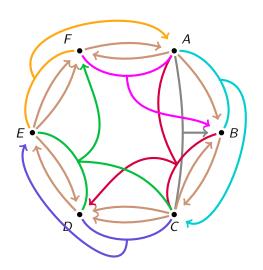
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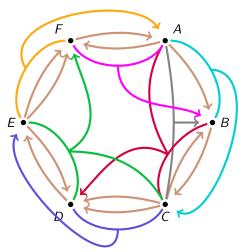


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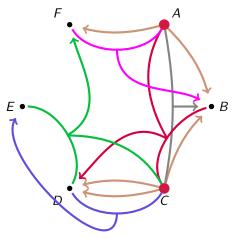
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We use a result of Frank :  $\mathcal{H}$  is (k, k)-partition-connected if and only if it admits a k-hyperarc-connected orientation.



#### Main result

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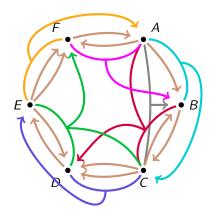
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#### Main result

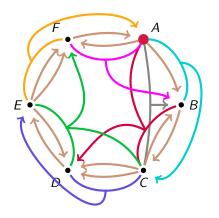
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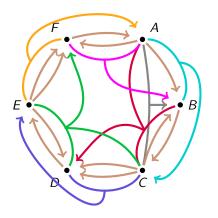
Generalization of **Ito and al.**, as digraphs are special cases of hypergraphs.



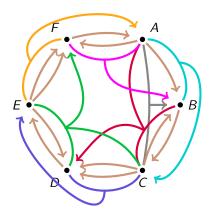
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- 2 Compute sets of vertices.
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- Select a set R (cf. 2.)
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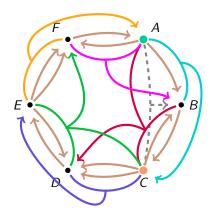
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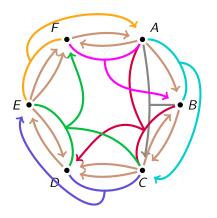
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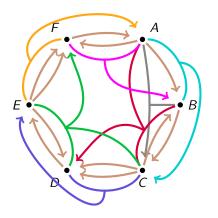
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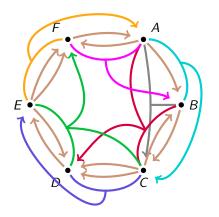
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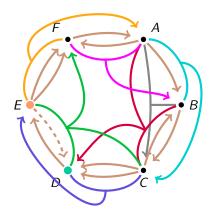
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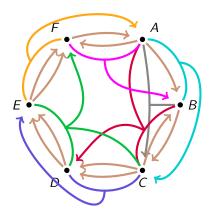
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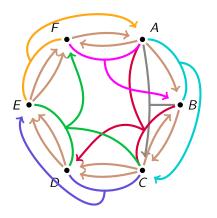
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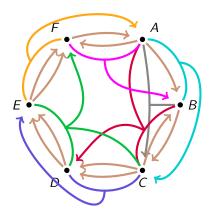
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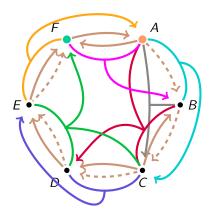
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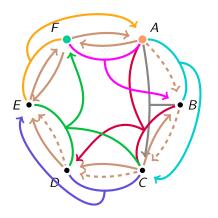
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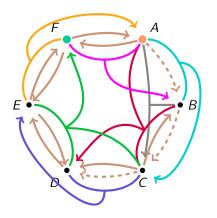
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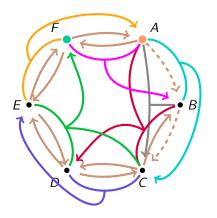
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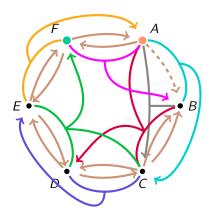
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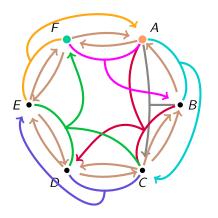
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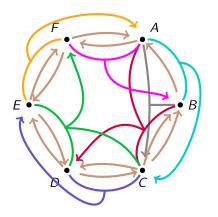
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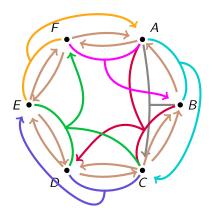
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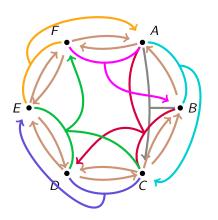
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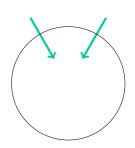
What are safe sources and safe sinks?

A brief detour...

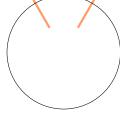


#### Remainder of the algorithm :

- Input : A k-hyperarc-connected orientation of a (k+1, k+1)-partition-connected hypergraph.
- Output : A k + 1-hyperarc-connected hypergraph.

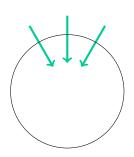


In-Tight sets

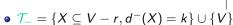


Out-Tight sets

- $T_{-} = \{X \subseteq V r, d^{-}(X) = k\} \cup \{V\}$
- $\mathcal{T}_+ = \{X \subseteq V r, d^+(X) = k\} \cup \{V\}$
- $\mathcal{D}_{-} = \{X \subseteq V r, d^{-}(X) = k + 1\}$
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- $\bullet$   $\mathcal{M}_{-}$ : Inclusion-wise minimal members of  $\mathcal{T}_{-}$
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In-Dangerous sets

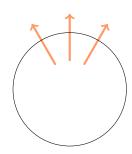


• 
$$\mathcal{T}_+ = \{X \subseteq V - r, d^+(X) = k\} \cup \{V\}$$

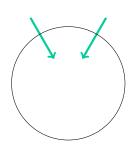
• 
$$\mathcal{D}_{-} = \{X \subseteq V - r, d^{-}(X) = k + 1\}$$

• 
$$\mathcal{D}_+ = \{X \subseteq V - r, d^+(X) = k + 1\}$$

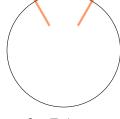
- $\bullet$   $\mathcal{M}_{-}$ : Inclusion-wise minimal members of  $\mathcal{T}_{-}$
- $\mathcal{M}_{\perp}$ : Inclusion-wise minimal members of  $\mathcal{T}_{\perp}$
- ullet  ${\mathcal M}$  : Inclusion-wise minimal members of  ${\mathcal M}_-\cup{\mathcal M}_+$



Out-Dangerous sets

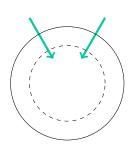


In-Tight sets

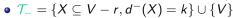


Out-Tight sets

- $T_{-} = \{X \subseteq V r, d^{-}(X) = k\} \cup \{V\}$
- $\mathcal{T}_+ = \{X \subseteq V r, d^+(X) = k\} \cup \{V\}$
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- $\bullet$   $\mathcal{M}$  : Inclusion-wise minimal members of  $\mathcal{M}_- \cup \mathcal{M}_+$



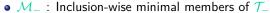
Minimal In-Tight sets



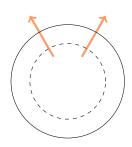
• 
$$\mathcal{T}_+ = \{X \subseteq V - r, d^+(X) = k\} \cup \{V\}$$

• 
$$\mathcal{D}_{-} = \{X \subseteq V - r, d^{-}(X) = k + 1\}$$

• 
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- $\mathcal{M}_+$ : Inclusion-wise minimal members of  $\mathcal{T}_+$
- ullet  $\mathcal{M}$  : Inclusion-wise minimal members of  $\mathcal{M}_- \cup \mathcal{M}_+$



Minimal Out-Tight sets

Let X, Y two crossing sets in V.

### Claim 1(b)

If  $X, Y \in \mathcal{T}_+$ , then both  $X \cup Y \in \mathcal{T}_+$  and  $X \cap Y \in \mathcal{T}_+$ .

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- We have  $\lambda(\vec{\mathcal{H}}) = k$
- Since X, Y are crossing,  $X \cap Y \neq \emptyset$ ,  $X \cup Y \neq V$ .
- $k + k = d^+(X) + d^+(Y)$
- By submodularity,  $d^+(X) + d^+(Y) \ge d^+(X \cup Y) + d^+(X \cap Y)$
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# Existence of a safe source (a safe sink)

#### Lemma 10

 $\forall S \in \mathcal{M}_{-}$ , there is a safe source  $s \in S$ .

Likewise,

#### Lemma 11

 $\forall T \in \mathcal{M}_+, \text{ there is a safe sink } t \in T.$ 

### Quick outline of a proof for Lemma 10:

- Let  $S \in \mathcal{M}_{-}$ .
- Considering a family of vertex sets  $(\chi)$  that cover as many vertices of S as possible, but using as little as vertex sets possible.
- $\bullet$  We can prove that, under given assumptions,  $\chi$  cannot cover every vertex of  ${\it S}.$
- ullet Vertices that are not covered by  $\chi$  are "potential" safe sources, the last part of the proof is verifying that they are effectively safe sources.

# Towards hyperarc connectivity augmentation

 $\mathcal{R}: R \subseteq V - r$  inclusion-wise minimal such that either :

- $R \in \mathcal{T}_{-}$ , and contains a member of  $\mathcal{T}_{+}$
- or  $R \in \mathcal{T}_+$ , and contains a member of  $\mathcal{T}_-$ .

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#### Lemma 13

Let  $R \in \mathcal{R}, S \in \mathcal{M}_-, T \in \mathcal{M}_+$  such that  $S, T \subseteq R$ . Let s be a safe source in S, t a safe sink in T.

Then:

- $\forall X \subseteq V r$  such that  $s \in X$ ,  $t \notin X$ , we have  $d^+(X) \ge k + 1$ .
- $\forall X \subseteq V r$  such that  $s \notin X$ ,  $t \in X$ , we have  $d^-(X) \ge k + 1$ .

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- $\forall X \subseteq V r$  such that  $s \notin X$ ,  $t \in X$ , we have  $d^-(X) \ge k + 1$ .

#### Proof of Lemma 13

- a.  $s \in X, t \notin X, d^+(X) = k$ , i.e.  $s \in X, t \notin X, X \in \mathcal{T}_+$ .
  - a1.  $R \in \mathcal{R} \cap \mathcal{T}_{-}$
  - a2.  $R \in \mathcal{R} \cap \mathcal{T}_+$
- b.  $s \notin X, t \in X, d^-(X) = k$ , i.e.  $s \notin X, t \in X, X \in \mathcal{T}_-$ .
  - b1. R ∈  $\mathcal{R}$  ∩  $\mathcal{T}_{-}$
  - b2.  $R \in \mathcal{R} \cap \mathcal{T}_+$
- By definition of a safe source,  $S \subseteq X$ .
- By  $t \in R \setminus X, R \in \mathcal{R}$ , we have  $X \not\subseteq R$ , which implies  $X \setminus R \neq \emptyset$ .

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- By definition of a safe source,  $S \subseteq X$ .
- By  $t \in R \setminus X$ ,  $R \in \mathcal{R}$ , we have  $X \not\subseteq R$ , which implies  $X \setminus R \neq \emptyset$ .
- a1 : If  $R \in \mathcal{R} \cap \mathcal{T}_-$  :
  - By using Claim 1 on  $X \in \mathcal{T}_+$  and on  $R \in \mathcal{T}_-$ , we get  $R \setminus X \in \mathcal{T}_-$ .
  - If  $X \cap T \neq \emptyset$ 
    - $ightharpoonup X \cap T \in \mathcal{T}_+$  , T is no longer minimal, which is a contradiction.
  - Hence  $X \cap T = \emptyset$ , and  $T \subseteq R \setminus X$ .
  - *R* is not minimal, which is a contradiction.



#### Proof of Lemma 13

- a.  $s \in X, t \notin X, d^+(X) = k$ , i.e.  $s \in X, t \notin X, X \in \mathcal{T}_+$ . al.  $R \in \mathcal{R} \cap \mathcal{T}_$ al.  $R \in \mathcal{R} \cap \mathcal{T}_+$
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- By definition of a safe source,  $S \subseteq X$ .
- By  $t \in R \setminus X$ ,  $R \in \mathcal{R}$ , we have  $X \not\subseteq R$ , which implies  $X \setminus R \neq \emptyset$ .
- a2 : If  $R \in \mathcal{R} \cap \mathcal{T}_{+}$  :
  - By using Claim 1 on X, R, we get  $X \cap R \in \mathcal{T}_+$
  - $S \subseteq R \cap X$ ,  $t \in R \setminus X$  suffice to show that  $R \cap X \in \mathcal{R}$ , with  $R \setminus X \subsetneq R$ , as  $t \in R \setminus X$ .
  - This contradicts that  $R \in \mathcal{R}$ .

#### Proof of Lemma 13

- a.  $s \in X, t \notin X, d^+(X) = k$ , i.e.  $s \in X, t \notin X, X \in \mathcal{T}_+$ . at:  $R \in \mathcal{R} \cap \mathcal{T}_$ at:  $R \in \mathcal{R} \cap \mathcal{T}_+$
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### Finding admissible (s, t)-hyperpaths in $R \in \mathcal{R}$

Three criterion for P to be an admissible (s, t)-hyperpath in R:

- 1. s is a safe source in  $S \subseteq R$ , t is a safe sink in  $T \subseteq R$ .
- 2. Reorienting each hyperarc, **one by one**, does not decrease the hyperarc-connectivity
- 3. Let  $\vec{\mathcal{H}}'$  the hypergraph obtained after reorientation of P.
  - $lackbox{}{\cal M}'$  : Inclusion-wise minimal members of  ${\cal M}'_-\cup {\cal M}'_+$
  - ▶ Either  $|\mathcal{M}'| < |\mathcal{M}|$ , either  $|\mathcal{M}'| = |\mathcal{M}|$  and  $\mathcal{M}'$  covers more vertices than  $\mathcal{M}$ .

Point 3. is the stopping criteria for the main algorithm :

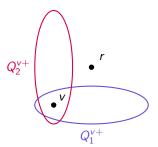
- $\mathcal{M} = \{V\}$  implies both  $\mathcal{M}_- = \{V\}$  and  $\mathcal{M}_+ = \{V\}$ .
- $\mathcal{T}_{-} = \{X \subseteq V r, d^{-}(X) = k\} \cup \{V\}$
- ullet  $\mathcal{M}_-$  : Inclusion-wise minimal members of  $\mathcal{T}_-$
- Finally, if  $\lambda(\vec{\mathcal{H}}) \geq k$  and  $\mathcal{T}_{-} = \mathcal{T}_{+} = \{V\}$ ,  $\vec{\mathcal{H}}$  is (k+1)-hyperarc-connected.

### Definition of $Q_+^v$

Consider the sets of  $\mathcal{T}_+$  containing v.  $Q_+^v$  is **the** minimal (inclusion-wise) one.

### Unicity of $Q_+^v$ :

If it exists,  $Q_+^v$  is unique.



Let  $Q_1^{\nu+}$ ,  $Q_2^{\nu+}$  verifying the above definition.

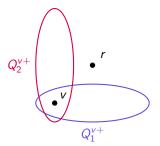
### Introduction of $Q_+^{\nu}$

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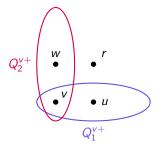
By definition,  $Q_1^{v+} \not\subseteq Q_2^{v+}$  and  $Q_2^{v+} \not\subseteq Q_1^{v+}$ .

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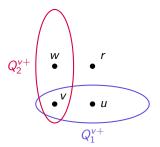
Denote  $u \in Q_1^{v+} \setminus Q_2^{v+}$ ,  $w \in Q_2^{v+} \setminus Q_1^{v+}$ .

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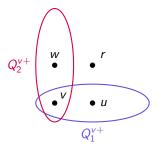
As  $r \notin Q_1^{v+}, Q_2^{v+}$ , both are are crossing sets.

### Definition of $Q_+^v$

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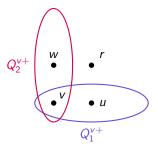
By submodularity, if  $X, Y \in \mathcal{T}_+$ , both  $X \cup V \in \mathcal{T}_+$  and  $X \cap V \in \mathcal{T}_+$ .

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 $Q_1^{\nu+}\cap Q_2^{\nu+}$  is smaller (inclusion-wise) than  $Q_1^{\nu+}$  and  $Q_2^{\nu+}$ .

#### Lemma 12 (a)

 $\forall s \in V, \forall t \in Q_+^s$ , there exists an (s,t)-hyperpath that does not leave  $Q_+^s$ .

- By contradiction, assume that there is  $s \in V$ ,  $t \in Q_+^s$  such that any (s, t)-hyperpath leaves  $Q_+^s$ .
- There is  $s \in Z \subseteq Q_+^s \setminus \{t\}$  such that any hyperarc leaving Z will also leave  $Q_+^s$  .
- We have the following inequalities

```
ightharpoonup d_{77}^{+}(Q_{+}^{s}) \geq d_{77}^{+}(Z)
```

- $ightharpoonup d_{\mathcal{H}}^+(Z) \geq k$ , as  $\mathcal{H}$  is k-hyperarc-connected.
- $k = d_{37}^+(Q_+^s)$  by definition
- We can deduce that  $d_{\vec{\mathcal{U}}}^+(Z)=k$ , which automatically implies that  $Z\in\mathcal{T}_+$ .
- $Q_{\perp}^{s}$  is not minimal, hence the contradiction.



#### Lemma 12 (a)

 $\forall s \in V, \forall t \in Q^s_+$ , there exists an (s,t)-hyperpath that does not leave  $Q^s_+$ .

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- We have the following inequalities
  - $ightharpoonup d_{\overline{G}}^{+}(Q_{+}^{s}) \geq d_{\overline{G}}^{+}(Z)$
  - $d_{\mathcal{H}}^+(Z) \geq k$ , as  $\mathcal{H}$  is k-hyperarc-connected
  - $k = d_{\vec{x}}^+(Q_+^s)$  by definition.
- We can deduce that  $d_{\vec{\mathcal{U}}}^+(Z)=k$ , which automatically implies that  $Z\in\mathcal{T}_+$ .
- $Q^s_{\perp}$  is not minimal, hence the contradiction.



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  - $d_{11}^+(Q_+^s) \geq d_{11}^+(Z)$
  - $d_{\vec{x}}^+(Z) \ge k$ , as  $\mathcal{H}$  is k-hyperarc-connected.
  - $k = d_{\vec{x}}^+(Q_+^s)$  by definition.
- We can deduce that  $d^+_{\vec{\mathcal{H}}}(Z)=k$ , which automatically implies that  $Z\in\mathcal{T}_+$ .
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- We have the following inequalities

  - $d_{\vec{x}}^{+}(Z) \ge k$ , as  $\mathcal{H}$  is k-hyperarc-connected.
  - $k = d_{\vec{x}}^+(Q_+^s)$  by definition.
- We can deduce that  $d^+_{\vec{\mathcal{H}}}(Z)=k$ , which automatically implies that  $Z\in\mathcal{T}_+$ .
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  - $\triangleright$  s, t are constrained (maybe not unique) by the choice of R.
- 2. Choosing  $S \in \mathcal{M}_{-}$ , then a safe source  $s \in S$ .
- 3. Main part of the algorithm : s-out arborescence
  - F: (Directed) arborescence, rooted in s
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#### **Algorithm** Admissible (s, t)-hyperpath in $R \in \mathcal{R} \cap \mathcal{T}$

- 1: Take a set  $S \in \mathcal{M}_{-}$ , with  $S \subseteq R$ , then a safe source  $s \in S$ .
- 2:  $Z = \{s\}, F = (Z, \emptyset), V' = R$
- 3: while h = (X, v) exists such that  $v \in V' Z$  and  $X \cap Z \neq \emptyset$  do
- 4: Let  $u \in X \cap Z$ .
- 5:  $Z \leftarrow Z \cup \{v\}$
- 6:  $F \leftarrow F + uv$
- 7: if  $Q_+^{\nu} \subseteq V'$  then
- 8:  $V' \leftarrow Q^{\nu}_{\perp}$
- 9: end if
- 10: end while
- 11: T = V'
- 12: Take a safe sink  $t \in T$
- 13: P' = F[s, t]
- 14: P is the corresponding hypergraph in  $\vec{\mathcal{H}}$  with respect to P'.
- 15: **Return** *S*, *T*, *s*, *t*, *P*

