Directed hypergraph connectivity augmentation by hyperarc re-orientations

Combinatorial Optimization and Graph Theory

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 - Connectivity problems, characterisations
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State of the art, goal of the article

Nash-Williams (1960)

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Ito et al (2023)

- Algorithmic proof of *Nash-Williams*, by flipping one arc at a time.
- Exhibiting a sequence of orientations such that :
 - ► The arc-connectivity does not decrease, and the arc-connectivity of the last element of the sequence is k.
 - The next orientation in the sequence can be obtained from the previous one by flipping exactly one arc.
 - The sequence can be obtained in polynomial time (in the size of the directed graph).

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Goal of the article: Expanding the result of **Ito and al.** to hypergraphs, as directed graphs are special case of hypergraphs.



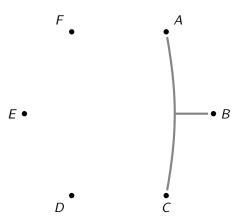


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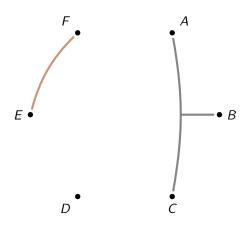
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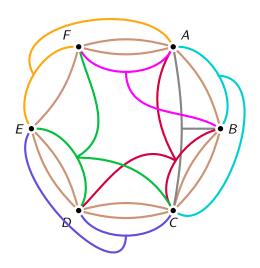
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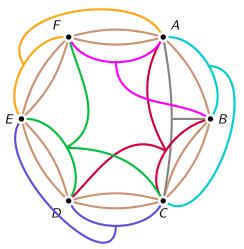






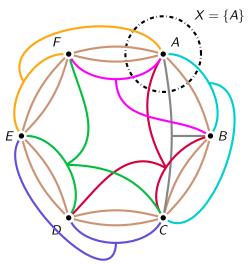
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 $d_{\mathcal{H}}(X)$ is the number of hyperedges intersecting both X and $V \setminus X$.



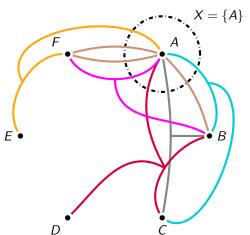
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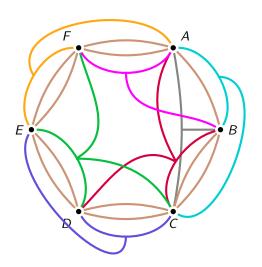


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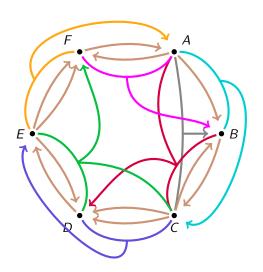
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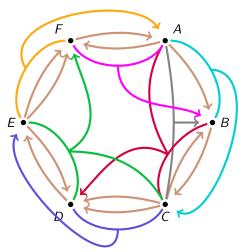


Orientation of an hypergraph



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 $d_{\mathcal{H}}^-(X)$ is the number of hyperarcs (Y, v) such that $: v \in X$, $\exists u \in Y \setminus X$.



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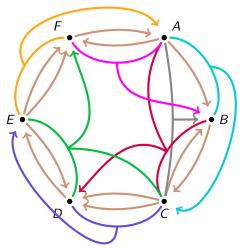
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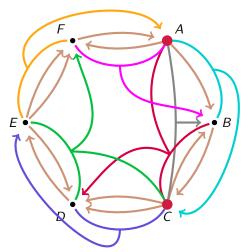
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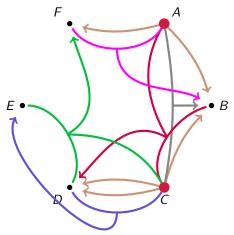
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Hyperarc-connectivity

- $\vec{\mathcal{H}}$ is *k-hyperarc-connected*, if, $\forall \varnothing \neq X \subsetneq V$, $d^+_{\vec{\mathcal{H}}}(X) \geq k$.
- The hyperarc-connectivity of a hypergraph, denoted $\lambda(\vec{\mathcal{H}})$, is the maximum value of k such that $\vec{\mathcal{H}}$ is k-hyperarc-connected.

Hyperarc-connectivity

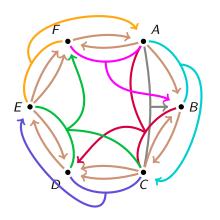
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Main result

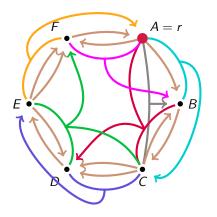
We use a result of Frank : \mathcal{H} is (k, k)-partition-connected if and only if it admits a k-hyperarc-connected orientation.

Main result (Theorem 7)

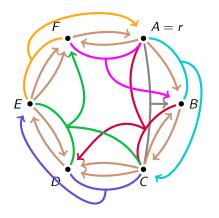
Let $\mathcal{H}=(V,E)$ be a (k+1,k+1)-partition-connected hypergraph and $\vec{\mathcal{H}}$ is a k-hyperarc connected orientation of \mathcal{H} . Then there exists a sequence of hypergraphs $(\vec{\mathcal{H}}_i)_{i\in 0...\ell}$ such that $\vec{\mathcal{H}}_{i+1}$ is obtained from $\vec{\mathcal{H}}_i$ by reorienting exactly one hyperarc and $\lambda(\vec{\mathcal{H}}_{i+1}) \geq \lambda(\vec{\mathcal{H}}_i)$ and $\lambda(\vec{\mathcal{H}}_\ell) = k+1$. Such a sequence of orientations can be obtained with $\ell \leq |V|^3$ and found in polynomial time (in the size of \mathcal{H}).



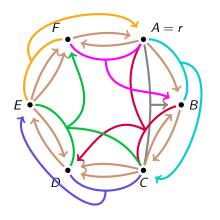
- Take r in $V(\mathcal{H})$.
- 2 Compute sets of vertices.
- Stopping Criteria
- Select a set R (cf. 2.)
- Find an admissible (s, t)-hyperpath in R to reorient
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- Goto (2.)



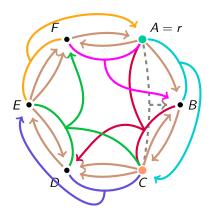
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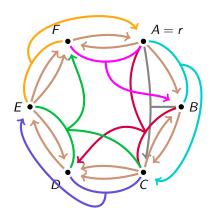
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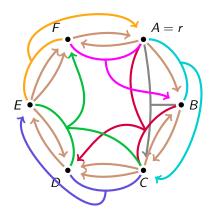
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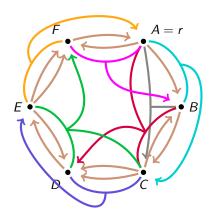
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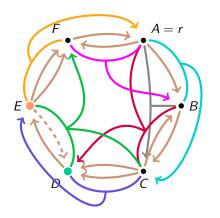
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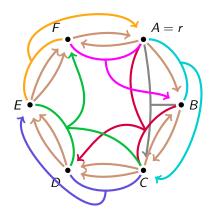
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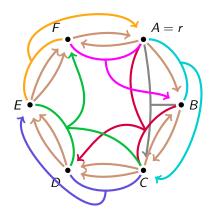
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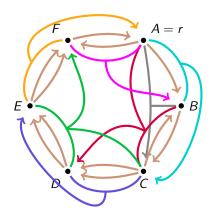
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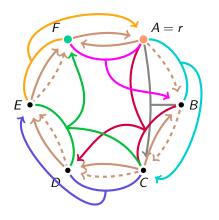
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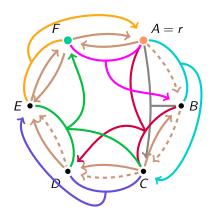
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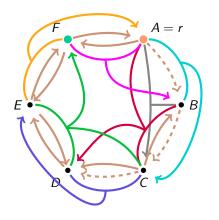
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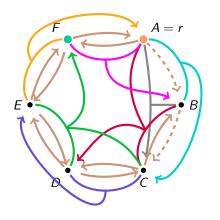
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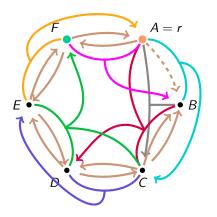
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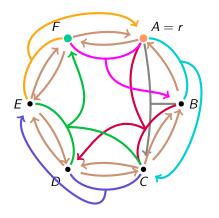
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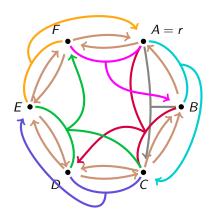
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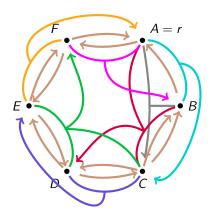
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 - lacksquare s is a safe source in $S \subseteq R$, t is a safe sink in $T \subseteq R$.
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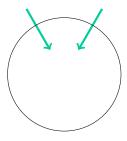


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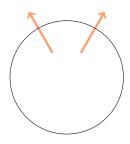
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Tight and Minimal-tight sets



In-Tight sets

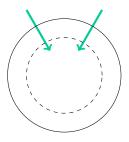


Out-Tight sets

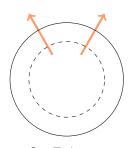
- $\mathcal{T}_{-} = \{X \subseteq V r, d^{-}(X) = k\} \cup \{V\}$
- $\mathcal{T}_+ = \{X \subseteq V r, d^+(X) = k\} \cup \{V\}$
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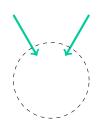


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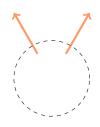
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Claim 1(b)

Let X, Y two crossing sets in V. If $X, Y \in \mathcal{T}_+$, then both $X \cup Y \in \mathcal{T}_+$ and $X \cap Y \in \mathcal{T}_+$.

- Since X, Y are crossing, $X \cap Y \neq \emptyset$, $X \cup Y \neq V$.
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- As $\lambda(\vec{\mathcal{H}}) = k$, we have $d^+(X \cup Y) \ge k$ and $d^+(X \cap Y) \ge k$
- Grouping these equations, we obtain : $k+k=d^+(X)+d^+(Y)\geq d^+(X\cup Y)+d^+(X\cap Y)\geq k+k$.
- This implies $d^+(X \cup Y) = k = d^+(X \cap Y)$, i.e. $X \cap Y, X \cup Y \in \mathcal{T}_+$



Finding admissible (s, t)-hyperpaths in $R \in \mathcal{R}$

Three criterion for P to be an admissible (s, t)-hyperpath in R:

- 1. s is a safe source in $S \subseteq R$, t is a safe sink in $T \subseteq R$.
- 2. Reorienting each hyperarc, **one by one**, does not decrease the hyperarc-connectivity
- 3. Let $\vec{\mathcal{H}}'$ the hypergraph obtained after reorientation of P.

Point 3. is the stopping criteria for the main algorithm :

- $\mathcal{M} = \{V\}$ implies both $\mathcal{M}_- = \{V\}$ and $\mathcal{M}_+ = \{V\}$.
- $\mathcal{T}_{-} = \{X \subseteq V r, d^{-}(X) = k\} \cup \{V\}$
- ullet \mathcal{M}_- : Inclusion-wise minimal members of \mathcal{T}_-
- ullet Finally, if $\lambda(\vec{\mathcal{H}}) \geq k$ and $\mathcal{T}_- = \mathcal{T}_+ = \{V\}$, $\vec{\mathcal{H}}$ is (k+1)-hyperarc-connected.

Existence of a safe source (a safe sink)

Lemma 10

 $\forall S \in \mathcal{M}_{-}$, there is a safe source $s \in S$.

Lemma 11

 $\forall T \in \mathcal{M}_+$, there is a safe sink $t \in T$.

Towards hyperarc connectivity augmentation

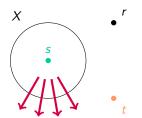
 $\mathcal{R}: R \subseteq V - r$ inclusion-wise minimal such that either :

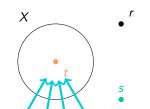
- $R \in \mathcal{T}_{-}$, and contains a member of \mathcal{T}_{+}
- or $R \in \mathcal{T}_+$, and contains a member of \mathcal{T}_- .

Lemma 13

Let $R \in \mathcal{R}, S \in \mathcal{M}_-, T \in \mathcal{M}_+$ such that $S, T \subseteq R$. Let s be a safe source in S, t a safe sink in T.

- a. $\forall X \subseteq V r$ such that $s \in X$, $t \notin X$, we have $d^+(X) \ge k + 1$.
- b. $\forall X \subseteq V r$ such that $s \notin X$, $t \in X$, we have $d^-(X) \ge k + 1$.





Towards hyperarc connectivity augmentation

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Proof of Lemma 13

By contradiction, either:

- a. $\exists X \subseteq V r, s \in X, t \notin X, d^+(X) = k$, i.e. $s \in X, t \notin X, X \in \mathcal{T}_+$.
 - a1. $R \in \mathcal{R} \cap \mathcal{T}_{-}$
 - a2. $R \in \mathcal{R} \cap \mathcal{T}_{\perp}$
- b. $\exists X \subseteq V r, s \notin X, t \in X, d^-(X) = k$, i.e. $s \notin X, t \in X, X \in \mathcal{T}_-$.
 - b1. R ∈ \mathcal{R} ∩ \mathcal{T}_{-}
 - b2. $R \in \mathcal{R} \cap \mathcal{T}_+$



Towards hyperarc connectivity augmentation

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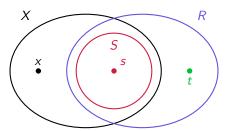
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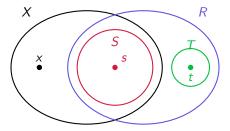


- $a: \exists X \subseteq V r, s \in X, t \notin X, X \in \mathcal{T}_+$
 - . Since $s \in S$ is a **safe source** and $s \in X \in \mathcal{T}_+$, we have $S \subsetneq X$
 - . We also have $t \in R \setminus X$ by [a.], so $X \setminus R \neq \emptyset$.



Proper representation of a

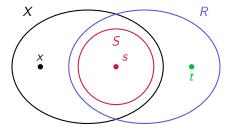
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Proper representation of a

- a1.: $R \in \mathcal{R} \cap \mathcal{T}_{-}, \exists X \subseteq V r, s \in X, t \notin X, X \in \mathcal{T}_{+}$.
 - . As $t \in R \setminus X \neq \emptyset$, and using Claim 1, we have $R \setminus X \in \mathcal{T}$.
 - . $T \cap X \neq \emptyset$ would contradict the minimality of T, so T and X are disjoint.
 - . As $R \setminus X \in \mathcal{T}_-$, $T \in \mathcal{T}_+$, and $T \subseteq R \setminus X$, this contradicts R minimal.

- $a: \exists X \subseteq V r, s \in X, t \notin X, X \in \mathcal{T}_+$
 - . Since $s \in S$ is a **safe source** and $s \in X \in \mathcal{T}_+$, we have $S \subsetneq X$
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Proper representation of a

- a2. : $R \in \mathcal{R} \cap \mathcal{T}_+$, $\exists X \subseteq V r, s \in X, t \notin X, X \in \mathcal{T}_+$.
 - $R \in \mathcal{T}_+, X \in \mathcal{T}_+, \text{ and } X \cap R \neq \emptyset \implies X \cap R \in \mathcal{T}_+$
 - . $S \in \mathcal{T}_{-}, S \subseteq R \cap X$. Since $t \in R \setminus X, X \cap R \subsetneq R$.
 - . This contradicts the minimality of R.

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- 1. s is a safe source in $S \subseteq R$, t is a safe sink in $T \subseteq R$.
- Reorienting each hyperarc, one by one, does not decrease the hyperarc-connectivity
 - ► How to proceed ?
- 3. Stopping criteria-related argument.

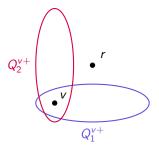
Introduction of Q_+^{ν}

Definition of Q_+^v

Consider the sets of \mathcal{T}_+ containing v. Q_+^v is **the** minimal (inclusion-wise) one.

Unicity of Q_+^v :

If it exists, Q_+^v is unique.



Let Q_1^{v+} , Q_2^{v+} verifying the above definition.

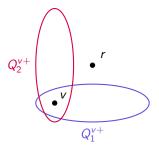
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By definition, $Q_1^{v+} \not\subseteq Q_2^{v+}$ and $Q_2^{v+} \not\subseteq Q_1^{v+}$.

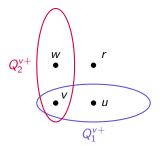
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Denote $u \in Q_1^{v+} \setminus Q_2^{v+}$, $w \in Q_2^{v+} \setminus Q_1^{v+}$.

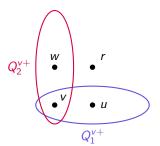
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As $r \notin Q_1^{v+}, Q_2^{v+}$, both are are crossing sets.

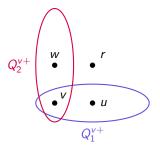
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By submodularity, if $X, Y \in \mathcal{T}_+$, both $X \cup V \in \mathcal{T}_+$ and $X \cap V \in \mathcal{T}_+$.

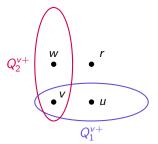
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 $Q_1^{\nu+}\cap Q_2^{\nu+}$ is smaller (inclusion-wise) than $Q_1^{\nu+}$ and $Q_2^{\nu+}$.

Lemma 12(a)

 $\forall s \in V, \forall t \in Q_+^s$, there exists an (s,t)-hyperpath that does not leave Q_+^s .

- By contradiction, assume that there is $s \in V, t \in Q_+^s$ such that any (s,t)-hyperpath leaves Q_+^s .
- There is $s \in Z \subseteq Q_+^s \setminus \{t\}$ such that any hyperarc leaving Z will also leave Q_+^s .
- We have the following inequalities
 - $d_{\vec{x}\vec{i}}^+(Q_+^s) \ge d_{\vec{x}\vec{i}}^+(Z)$
 - $d_{\mathfrak{I}}^{+}(Z) \geq k$, as \mathcal{H} is k-hyperarc-connected.
 - $k = d_{si}^+(Q_+^s)$ by definition
- We can deduce that $d_{\vec{i}}^+(Z) = k$, which automatically implies that $Z \in \mathcal{T}_+$.
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 $\forall s \in V, \forall t \in Q^s_+$, there exists an (s,t)-hyperpath that does not leave Q^s_+ .

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 - \triangleright s, t are constrained (maybe not unique) by the choice of R.
- 2. Choosing $S \in \mathcal{M}_{-}$ such that $S \subseteq R$, then a safe source $s \in S$.
- 3. Main part of the algorithm: s-out arborescence
 - F: (Directed) arborescence, rooted in s
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Algorithm Admissible (s, t)-hyperpath in $R \in \mathcal{R} \cap \mathcal{T}$

- 1: Take a set $S \in \mathcal{M}_{-}$, with $S \subseteq R$, then a safe source $s \in S$.
- 2: $Z = \{s\}, F = (Z, \emptyset), V' = R$
- 3: while h = (X, v) exists such that $v \in V' Z$ and $X \cap Z \neq \emptyset$ do
- 4: Let $u \in X \cap Z$.
- 5: $Z \leftarrow Z \cup \{v\}$
- 6: $F \leftarrow F + uv$
- 7: if $Q_{+}^{v} \subseteq V'$ then
- 8: $V' \leftarrow Q_{\perp}^{v}$
- 9: end if
- 10: end while
- 11: T = V'
- 12: Take a safe sink $t \in T$
- 13: P' = F[s, t]
- 14: P is the corresponding hyperpath in $\vec{\mathcal{H}}$, obtained with P'.
- 15: **Return** *S*, *T*, *s*, *t*, *P*



- Computing \mathcal{R} , \mathcal{M}_{-} , \mathcal{M}_{+} in polynomial time :
 - We can transform our hypergraph in a network (by trimming),
 - In which we can apply **Edmonds-Karp** to compute (s, t)-cuts.
 - ▶ It can be shown that $\mathcal{R}, \mathcal{M}_-, \mathcal{M}_+ \in \mathcal{Q}$, with \mathcal{Q} obtained while applying Edmonds-Karp.
- Finding an *admissible* hyperpath runs in polynomial time :
 - Simple search that runs in polynomial time of number of hyperedges
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Thank you for your attention.

