# Directed hypergraph connectivity augmentation by hyperarc re-orientations

Combinatorial Optimization and Graph Theory

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- Introduction
  - Connectivity problems, characterisations
  - Hypergraphs



- Nash-Williams, 1960 :
  - $\triangleright$  G is 2k-edge connected  $\iff$  G admits a k-arc-connected orientation.

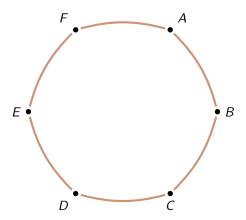
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- Ito and al., 2023 :
  - Algorithmic proof of Nash-Williams, by flipping one arc at a time.
  - Exhibiting a sequence of orientations such that :
    - The arc-connectivity does not decrease, and the arc-connectivity of the last element of the sequence is k.
    - The next orientation in the sequence can be obtained from the previous one by flipping exactly one arc.
    - The sequence can be obtained in polynomial time (in the size of the directed graph).

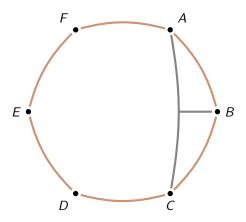
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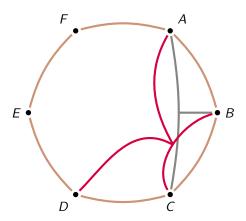
Goal of the article: Expanding the result of **Ito and al.** to hypergraphs.

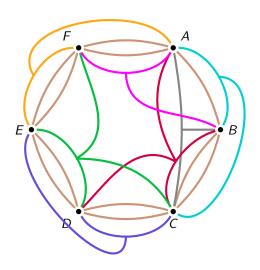
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Goal of the article: Expanding the result of **Ito and al.** to hypergraphs. Side note: This article generalise the results of **Ito and al.**, as directed graphs are special case of hypergraphs.



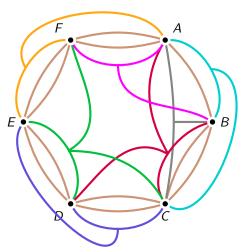






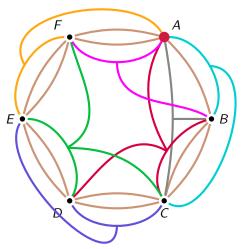
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 $d_{\mathcal{H}}(X)$  is the number of hyperedges intersecting both X and  $V \setminus X$ .



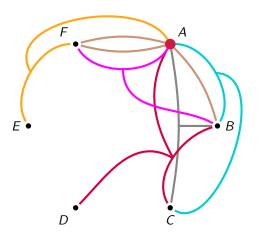
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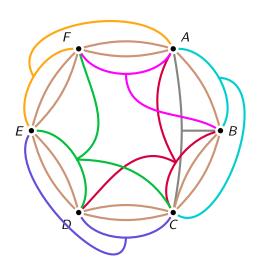


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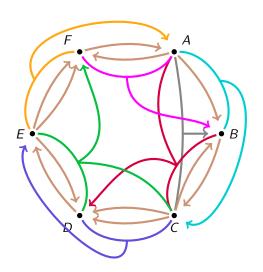
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## Orientation of an hypergraph



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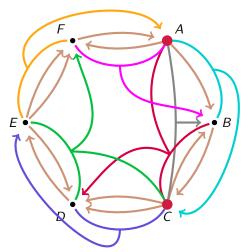
## In-Degree of $\emptyset \neq X \subsetneq V$

 $d_{\mathcal{H}}^-(\mathsf{X})$  is the number of hyperarcs  $(\mathsf{Y}, v)$  such that :  $v \in \mathsf{X}$ ,  $\exists u \in \mathsf{Y} \setminus \mathsf{X}$ .



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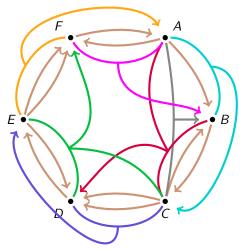
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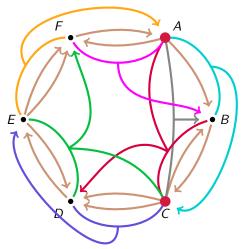
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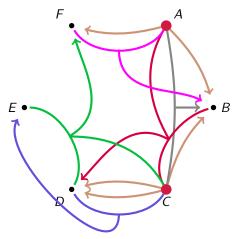
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- $\vec{\mathcal{H}}$  is k-hyperarc-connected, if,  $\forall \varnothing \neq X \subsetneq V$ ,  $d^+_{\vec{\mathcal{H}}}(X) \geq k$ .
- The hyperarc-connectivity of a hypergraph, denoted  $\lambda(\vec{\mathcal{H}})$ , is the maximum value of k such that  $\vec{\mathcal{H}}$  is k-hyperarc-connected.

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- $\mathcal{H}$  is (k, k)-partition-connected, if :
  - $\forall \mathcal{P}, e_{\mathcal{H}}(\mathcal{P}) \geq k \times |\mathcal{P}|$

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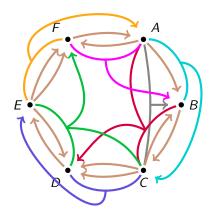
We use a result of Frank :  $\mathcal{H}$  is (k,k)-partition-connected if and only if it admits a k-hyperarc-connected orientation.



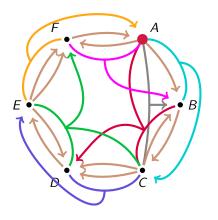
#### Main result

#### $\overline{\mathsf{Main}}$ result (Theorem 7)

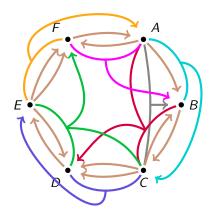
Let  $\mathcal{H}=(V,E)$  be a (k+1,k+1)-partition-connected hypergraph and  $\vec{\mathcal{H}}$  is a k-hyperarc connected orientation of  $\mathcal{H}$ . Then there exists a sequence of hypergraphs  $(\vec{\mathcal{H}}_i)_{i\in 0...\ell}$  such that  $\vec{\mathcal{H}}_{i+1}$  is obtained from  $\vec{\mathcal{H}}_i$  by reorienting exactly one hyperarc and  $\lambda(\vec{\mathcal{H}}_{i+1}) \geq \lambda(\vec{\mathcal{H}}_i)$  and  $\lambda(\vec{\mathcal{H}}_\ell) = k+1$ . Such a sequence of orientations can be obtained with  $\ell \leq |V|^3$  and found in polynomial time (in the size of  $\mathcal{H}$ ).



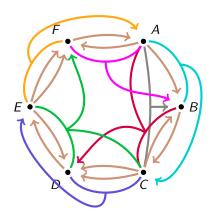
- Take r in  $V(\mathcal{H})$ .
- 2 Compute sets of vertices.
- Stopping Criteria
- Select a set R (cf. 2.)
- Find an admissible (s, t)-hyperpath in R to reorient
- Reorient the corresponding hyperpath.
- Goto (2.)



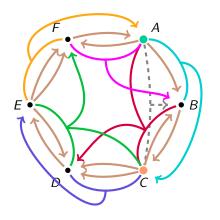
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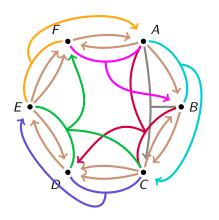
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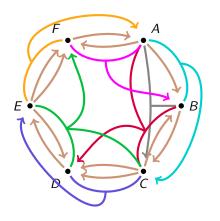
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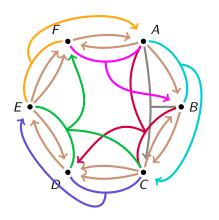
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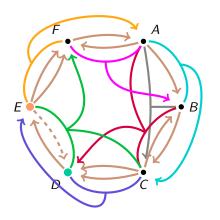
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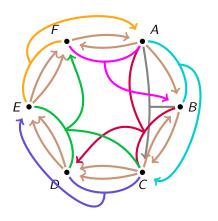
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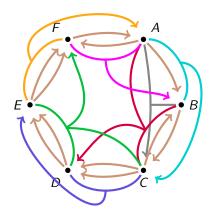
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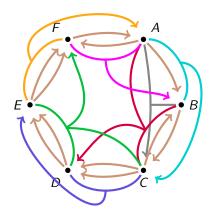
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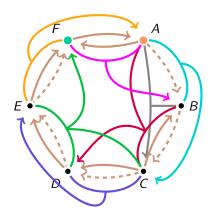
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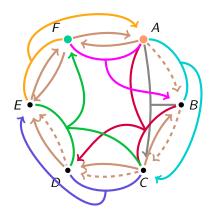
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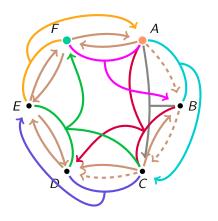
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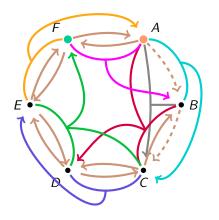
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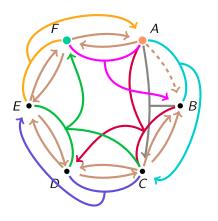
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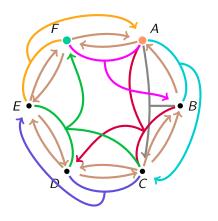
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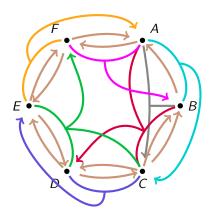
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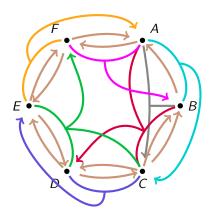
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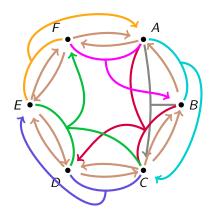
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- Crucial segment of the algorithm.
- Three criterion for P to be an admissible (s, t)-hyperpath in R:
  - $\bigcirc$  s is a safe source in  $S \subseteq R$ , t is a safe sink in  $T \subseteq R$ 
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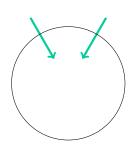


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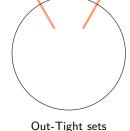


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In-Tight sets



• 
$$\mathcal{T}_{-} = \{X \subseteq V - r, d^{-}(X) = k\} \cup \{V\}$$

• 
$$\mathcal{T}_+ = \{X \subseteq V - r, d^+(X) = k\} \cup \{V\}$$

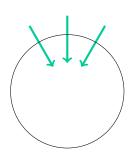
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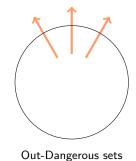
ullet  $\mathcal{M}_-$ : Inclusion-wise minimal members of  $\mathcal{T}_-$ 

ullet  $\mathcal{M}_+$  : Inclusion-wise minimal members of  $\mathcal{T}_+$ 

 $\bullet$   $\mathcal{M}$  : Inclusion-wise minimal members of  $\mathcal{M}_- \cup \mathcal{M}_+$ 



In-Dangerous sets



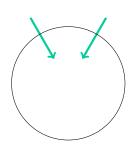
•  $T_{-} = \{X \subset V - r, d^{-}(X) = k\} \cup \{V\}$ 

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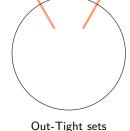
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- $\bullet$   $\mathcal{M}_{-}$ : Inclusion-wise minimal members of  $\mathcal{T}_{-}$
- $\mathcal{M}_{\perp}$ : Inclusion-wise minimal members of  $\mathcal{T}_{\perp}$
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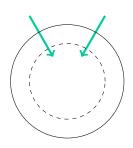
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$$\mathcal{D}_{-} = \{X \subseteq V - r, d^{-}(X) = k + 1\}$$

• 
$$\mathcal{D}_+ = \{X \subseteq V - r, d^+(X) = k + 1\}$$

ullet  $\mathcal{M}_-$ : Inclusion-wise minimal members of  $\mathcal{T}_-$ 

ullet  $\mathcal{M}_+$  : Inclusion-wise minimal members of  $\mathcal{T}_+$ 

 $\bullet$   $\mathcal{M}$  : Inclusion-wise minimal members of  $\mathcal{M}_- \cup \mathcal{M}_+$ 



Minimal In-Tight sets



• 
$$\mathcal{T}_+ = \{X \subseteq V - r, d^+(X) = k\} \cup \{V\}$$

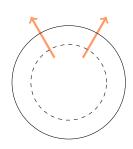
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Minimal Out-Tight sets

Let X, Y two crossing sets in V.

### Claim 1(b)

If  $X, Y \in \mathcal{T}_+$ , then both  $X \cup Y \in \mathcal{T}_+$  and  $X \cap Y \in \mathcal{T}_+$ .

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If  $X, Y \in \mathcal{T}_+$ , then both  $X \cup Y \in \mathcal{T}_+$  and  $X \cap Y \in \mathcal{T}_+$ .

- We have  $\lambda(\vec{\mathcal{H}}) = k$
- Since X, Y are crossing,  $X \cap Y \neq \emptyset$ ,  $X \cup Y \neq V$ .
- $k + k = d^+(X) + d^+(Y)$
- By submodularity,  $d^+(X) + d^+(Y) \ge d^+(X \cup Y) + d^+(X \cap Y)$
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- Grouping these equations, we obtain :  $k+k=d^+(X)+d^+(Y)\geq d^+(X\cup Y)+d^+(X\cap Y)\geq k+k$
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# Existence of a safe source (a safe sink)

#### Lemma 10

 $\forall S \in \mathcal{M}_{-}$ , there is a safe source  $s \in S$ .

Likewise,

#### Lemma 11

 $\forall T \in \mathcal{M}_+$ , there is a safe sink  $t \in T$ .

#### Quick outline of a proof for Lemma 10:

- Let  $S \in \mathcal{M}_{-}$ .
- Considering a family of vertex sets  $(\chi)$  that cover as many vertices of S as possible, but using as little as vertex sets possible.
- $\bullet$  We can prove that, under given assumptions,  $\chi$  cannot cover every vertex of  ${\it S}.$
- ullet Vertices that are not covered by  $\chi$  are "potential" safe sources, the last part of the proof is verifying that they are effectively safe sources.

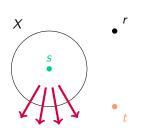
 $\mathcal{R}: R \subseteq V - r$  inclusion-wise minimal such that either :

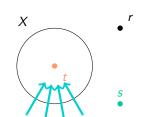
- $R \in \mathcal{T}_{-}$ , and contains a member of  $\mathcal{T}_{+}$
- or  $R \in \mathcal{T}_+$ , and contains a member of  $\mathcal{T}_-$ .

#### Lemma 13

Let  $R \in \mathcal{R}, S \in \mathcal{M}_-, T \in \mathcal{M}_+$  such that  $S, T \subseteq R$ . Let s be a safe source in S, t a safe sink in T.

- a.  $\forall X \subseteq V r$  such that  $s \in X$ ,  $t \notin X$ , we have  $d^+(X) \ge k + 1$ .
- b.  $\forall X \subseteq V r$  such that  $s \notin X$ ,  $t \in X$ , we have  $d^-(X) \ge k + 1$ .





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Let  $R \in \mathcal{R}, S \in \mathcal{M}_-, T \in \mathcal{M}_+$  such that  $S, T \subseteq R$ . Let s be a safe source in S, t a safe sink in T.

Then:

- $\forall X \subseteq V r$  such that  $s \in X$ ,  $t \notin X$ , we have  $d^+(X) \ge k + 1$ .
- $\forall X \subseteq V r$  such that  $s \notin X$ ,  $t \in X$ , we have  $d^-(X) \ge k + 1$ .

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#### Proof of Lemma 13

By contradiction, either:

- a.  $s \in X, t \notin X, d^+(X) = k$ , i.e.  $s \in X, t \notin X, X \in \mathcal{T}_+$ .
  - a1.  $R \in \mathcal{R} \cap \mathcal{T}_{-}$
  - a2.  $R \in \mathcal{R} \cap \mathcal{T}_+$
- b.  $s \notin X, t \in X, d^-(X) = k$ , i.e.  $s \notin X, t \in X, X \in \mathcal{T}_-$ .
  - b1.  $R \in \mathcal{R} \cap \mathcal{T}_{-}$
  - b2.  $R \in \mathcal{R} \cap \mathcal{T}_+$

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- $\forall X \subseteq V r$  such that  $s \in X$ ,  $t \notin X$ , we have  $d^+(X) \ge k + 1$ .
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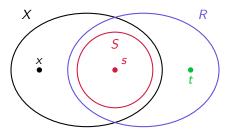
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- **a.**  $s \in X, t \notin X, d^+(X) = k$ , i.e.  $s \in X, t \notin X, X \in \mathcal{T}_+$ .
  - **a1.**  $R \in \mathcal{R} \cap \mathcal{T}$
  - **a2.**  $R \in \mathcal{R} \cap \mathcal{T}_+$
- b.  $s \notin X, t \in X, d^-(X) = k$ , i.e.  $s \notin X, t \in X, X \in \mathcal{T}_-$ .
  - **b1**. R ∈  $\mathcal{R}$  ∩  $\mathcal{T}_{-}$
  - b2.  $R \in \mathcal{R} \cap \mathcal{T}_+$

#### Proof of Lemma 13

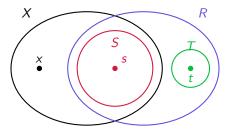
- $a: \exists X \subseteq V r, s \in X, t \notin X, X \in \mathcal{T}_+$ 
  - . Since  $s \in S$  is a **safe source** and  $s \in X \in \mathcal{T}_+$ , we have  $S \subsetneq X$
  - . We also have  $t \in R \setminus X$  by [a.], so  $X \setminus R \neq \emptyset$ .



Proper representation of a

### Proof of Lemma 13

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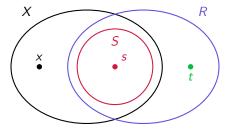


Proper representation of a

- a1. :  $R \in \mathcal{R} \cap \mathcal{T}_{-}, \exists X \subseteq V r, s \in X, t \notin X, X \in \mathcal{T}_{+}$ .
  - . As  $t \in R \setminus X \neq \emptyset$ , and using Claim 1, we have  $R \setminus X \in \mathcal{T}_{-}$ .
  - .  $T \cap X \neq \emptyset$  would contradict the minimality of T, so T and X are disjoint.
  - . As  $R \setminus X \in \mathcal{T}_-$ ,  $T \in \mathcal{T}_+$ , and  $T \subseteq R \setminus X$ , this contradicts R minimal.

### Proof of Lemma 13

- $a: \exists X \subseteq V r, s \in X, t \notin X, X \in \mathcal{T}_+$ 
  - . Since  $s \in S$  is a **safe source** and  $s \in X \in \mathcal{T}_+$ , we have  $S \subsetneq X$
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Proper representation of a

- a2. :  $R \in \mathcal{R} \cap \mathcal{T}_+, \exists X \subseteq V r, s \in X, t \notin X, X \in \mathcal{T}_+$ .
  - $R \in \mathcal{T}_+, X \in \mathcal{T}_+, \text{ and } X \cap R \neq \emptyset \implies X \cap R \in \mathcal{T}_+$
  - .  $S \in \mathcal{T}_{-}, S \subseteq R \cap X$ . Since  $r \in R \setminus X, X \cap R \subseteq R$ .
  - . This contradicts the minimality of R.

### Proof of Lemma 13

#### Proof of Lemma 13

By contradiction, either:

- $s \in X, t \notin X, d^{+}(X) = k, \text{ i.e. } s \in X, t \notin X, X \in \mathcal{T}_{+}.$   $2X \in \mathcal{R} \cap \mathcal{T}_{-}$   $2X \in \mathcal{R} \cap \mathcal{T}_{+}$
- b.  $s \notin X, t \in X, d^{-}(X) = k$ , i.e.  $s \notin X, t \in X, X \in \mathcal{T}_{-}$ . b1.  $R \in \mathcal{R} \cap \mathcal{T}_{-}$ b2.  $R \in \mathcal{R} \cap \mathcal{T}_{+}$

## Finding admissible (s, t)-hyperpaths in $R \in \mathcal{R}$

Three criterion for P to be an admissible (s, t)-hyperpath in R:

- 1. s is a safe source in  $S \subseteq R$ , t is a safe sink in  $T \subseteq R$ .
- 2. Reorienting each hyperarc, **one by one**, does not decrease the hyperarc-connectivity
- 3. Let  $\vec{\mathcal{H}}'$  the hypergraph obtained after reorientation of P.
  - $ightharpoonup \mathcal{M}'$ : Inclusion-wise minimal members of  $\mathcal{M}'_- \cup \mathcal{M}'_+$
  - ▶ Either  $|\mathcal{M}'| < |\mathcal{M}|$ , either  $|\mathcal{M}'| = |\mathcal{M}|$  and  $\mathcal{M}'$  covers more vertices than  $\mathcal{M}$ .

Point 3. is the stopping criteria for the main algorithm :

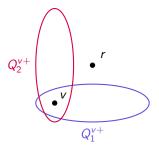
- $\mathcal{M} = \{V\}$  implies both  $\mathcal{M}_- = \{V\}$  and  $\mathcal{M}_+ = \{V\}$ .
- $\mathcal{T}_{-} = \{X \subseteq V r, d^{-}(X) = k\} \cup \{V\}$
- ullet  $\mathcal{M}_-$  : Inclusion-wise minimal members of  $\mathcal{T}_-$
- Finally, if  $\lambda(\vec{\mathcal{H}}) \geq k$  and  $\mathcal{T}_{-} = \mathcal{T}_{+} = \{V\}$ ,  $\vec{\mathcal{H}}$  is (k+1)-hyperarc-connected.

### Definition of $Q_+^v$

Consider the sets of  $\mathcal{T}_+$  containing v.  $Q_+^v$  is **the** minimal (inclusion-wise) one.

### Unicity of $Q_+^v$ :

If it exists,  $Q_+^v$  is unique.



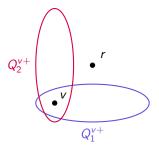
Let  $Q_1^{\nu+}$ ,  $Q_2^{\nu+}$  verifying the above definition.

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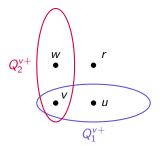
By definition,  $Q_1^{v+} \not\subseteq Q_2^{v+}$  and  $Q_2^{v+} \not\subseteq Q_1^{v+}$ .

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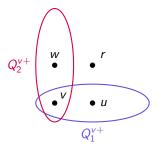
Denote  $u \in Q_1^{v+} \setminus Q_2^{v+}$ ,  $w \in Q_2^{v+} \setminus Q_1^{v+}$ .

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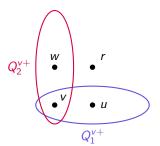
As  $r \notin Q_1^{v+}, Q_2^{v+}$ , both are are crossing sets.

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By submodularity, if  $X, Y \in \mathcal{T}_+$ , both  $X \cup V \in \mathcal{T}_+$  and  $X \cap V \in \mathcal{T}_+$ .

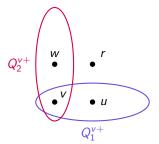
## Introduction of $Q_+^{\nu}$

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If it exists,  $Q_+^v$  is unique.



 $Q_1^{\nu+}\cap Q_2^{\nu+}$  is smaller (inclusion-wise) than  $Q_1^{\nu+}$  and  $Q_2^{\nu+}$ .

#### Lemma 12 (a)

 $\forall s \in V, \forall t \in Q^s_+$ , there exists an (s,t)-hyperpath that does not leave  $Q^s_+$ .

- By contradiction, assume that there is  $s \in V$ ,  $t \in Q_+^s$  such that any (s, t)-hyperpath leaves  $Q_+^s$ .
- There is  $s \in Z \subseteq Q_+^s \setminus \{t\}$  such that any hyperarc leaving Z will also leave  $Q_+^s$  .
- We have the following inequalities

```
 d_{3}^{+}(Q_{+}^{s}) \geq d_{3}^{+}(Z)
```

- $ightharpoonup d^+_{\mathcal{H}}(Z) \geq k$ , as  $\mathcal{H}$  is k-hyperarc-connected.
- $k = d_{37}^+(Q_+^s)$  by definition
- We can deduce that  $d^+_{\vec{\mathcal{H}}}(Z)=k$ , which automatically implies that  $Z\in\mathcal{T}_+$ .
- $Q^s_{\perp}$  is not minimal, hence the contradiction.



#### Lemma 12 (a)

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- There is  $s \in Z \subseteq Q_+^s \setminus \{t\}$  such that any hyperarc leaving Z will also leave  $Q_+^s$ .
- We have the following inequalities
  - $d_{27}^+(Q_+^s) \ge d_{27}^+(Z)$ 
    - $d_{\vec{\mathcal{H}}}^+(Z) \geq k$ , as  $\mathcal{H}$  is k-hyperarc-connected.
  - $k = d_{\vec{x}}^+(Q_+^s)$  by definition.
- We can deduce that  $d^+_{\vec{\mathcal{H}}}(Z)=k$ , which automatically implies that  $Z\in\mathcal{T}_+$ .
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- There is  $s \in Z \subseteq Q_+^s \setminus \{t\}$  such that any hyperarc leaving Z will also leave  $Q_+^s$ .
- We have the following inequalities
  - $d_{11}^+(Q_+^s) \geq d_{11}^+(Z)$
  - $d_{\vec{x}}^+(Z) \ge k$ , as  $\mathcal{H}$  is k-hyperarc-connected.
  - $k = d_{\vec{x}}^+(Q_+^s)$  by definition.
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  - $d_{\vec{z}}^+(Z) \ge k$ , as  $\mathcal{H}$  is k-hyperarc-connected.
  - $k = d_{\vec{x}}^+(Q_+^s)$  by definition.
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- There is  $s \in Z \subseteq Q_+^s \setminus \{t\}$  such that any hyperarc leaving Z will also leave  $Q_+^s$ .
- We have the following inequalities
  - $d_{\vec{i}}^+(Q_+^s) \ge d_{\vec{i}}^+(Z)$
  - $d_{\vec{x}}^{+}(Z) \ge k$ , as  $\mathcal{H}$  is k-hyperarc-connected.
  - $k = d_{\vec{x}}^+(Q_+^s)$  by definition.
- We can deduce that  $d^+_{\vec{\mathcal{H}}}(Z)=k$ , which automatically implies that  $Z\in\mathcal{T}_+$ .
- $Q_{\perp}^{s}$  is not minimal, hence the contradiction.



#### Lemma 12 (a)

 $\forall s \in V, \forall t \in Q_+^s$ , there exists an (s,t)-hyperpath that does not leave  $Q_+^s$ .

- By contradiction, assume that there is  $s \in V$ ,  $t \in Q_+^s$  such that any (s, t)-hyperpath leaves  $Q_+^s$ .
- There is  $s \in Z \subseteq Q_+^s \setminus \{t\}$  such that any hyperarc leaving Z will also leave  $Q_+^s$ .
- We have the following inequalities
  - $d_{\vec{i}}^+(Q_+^s) \ge d_{\vec{i}}^+(Z)$
  - $d_{\vec{x}}^{+}(Z) \ge k$ , as  $\mathcal{H}$  is k-hyperarc-connected.
  - $k = d_{\vec{x}}^+(Q_+^s)$  by definition.
- We can deduce that  $d^+_{\vec{\mathcal{H}}}(Z)=k$ , which automatically implies that  $Z\in\mathcal{T}_+$ .
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#### Lemma 12 (a)

 $\forall s \in V, \forall t \in Q^s_+$ , there exists an (s,t)-hyperpath that does not leave  $Q^s_+$ .

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- 1. Only input of the algorithm  $R \in \mathcal{R} \in \mathcal{T}$ 
  - $\triangleright$  s, t are constrained (maybe not unique) by the choice of R.
- 2. Choosing  $S \in \mathcal{M}_{-}$  such that  $S \subseteq R$ , then a safe source  $s \in S$ .
- 3. Main part of the algorithm: s-out arborescence
  - F: (Directed) arborescence, rooted in s
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#### **Algorithm** Admissible (s, t)-hyperpath in $R \in \mathcal{R} \cap \mathcal{T}$

- 1: Take a set  $S \in \mathcal{M}_{-}$ , with  $S \subseteq R$ , then a safe source  $s \in S$ .
- 2:  $Z = \{s\}, F = (Z, \emptyset), V' = R$
- 3: while h = (X, v) exists such that  $v \in V' Z$  and  $X \cap Z \neq \emptyset$  do
- 4: Let  $u \in X \cap Z$ .
- 5:  $Z \leftarrow Z \cup \{v\}$
- 6:  $F \leftarrow F + uv$
- 7: if  $Q_{+}^{v} \subseteq V'$  then
- 8:  $V' \leftarrow Q^{v}$
- 9: end if
- 10: end while
- 11: T = V'
- 12: Take a safe sink  $t \in T$
- 13: P' = F[s, t]
- 14: P is the corresponding hyperpath in  $\mathcal{H}$ , obtained with P'.
- 15: **Return** *S*, *T*, *s*, *t*, *P*



- Computing  $\mathcal{R}$ ,  $\mathcal{M}_{-}$ ,  $\mathcal{M}_{+}$  in polynomial time :
  - We can transform our hypergraph in a network (by trimming),
  - In which we can apply **Edmonds-Karp** to compute (s, t)-cuts.
  - ▶ It can be shown that  $\mathcal{R}, \mathcal{M}_-, \mathcal{M}_+ \in \mathcal{Q}$ , with  $\mathcal{Q}$  obtained while applying Edmonds-Karp.
- Finding an admissible hyperpath runs in polynomial time :
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- Generalization to hypergraphs of a previous article (by Ito and al.).
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### Thank you for your attention.

