



## XAI

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Ghahremani  
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Hossein Pour

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# XAI : Explainable Artificial Intelligence

## Turning Black Box into Glass Box

Benyamin Ghahremani Nejad, Matin Hossein Pour  
Spring 2021  
University of Birjand

# Introduction

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Let's classify Huskies and Wolves



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Let's classify Huskies and Wolves



# Classify Huskies and Wolves

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Differences?



# Classify Huskies and Wolves

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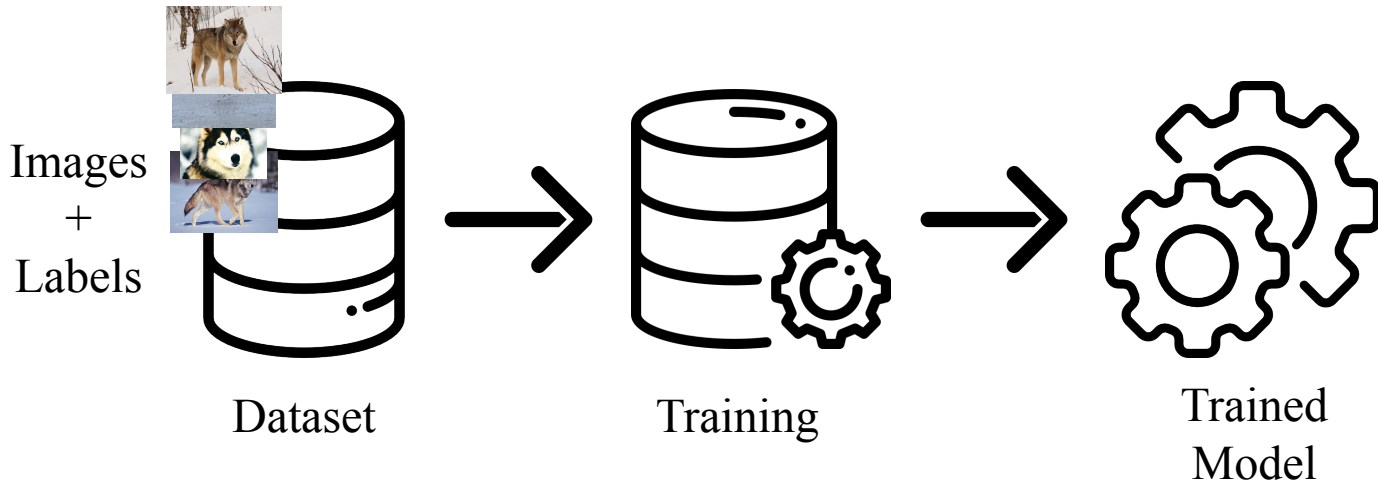
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## Building a Classification Model





# Test The Model

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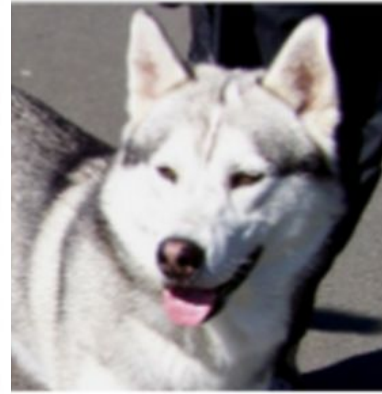
Which is a Husky and which is a Wolf ?



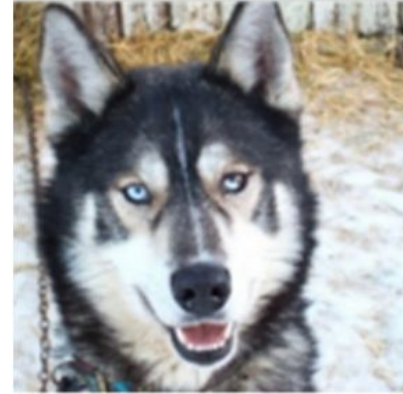
Predicted: **wolf**  
True: **wolf**



Predicted: **wolf**  
True: **wolf**



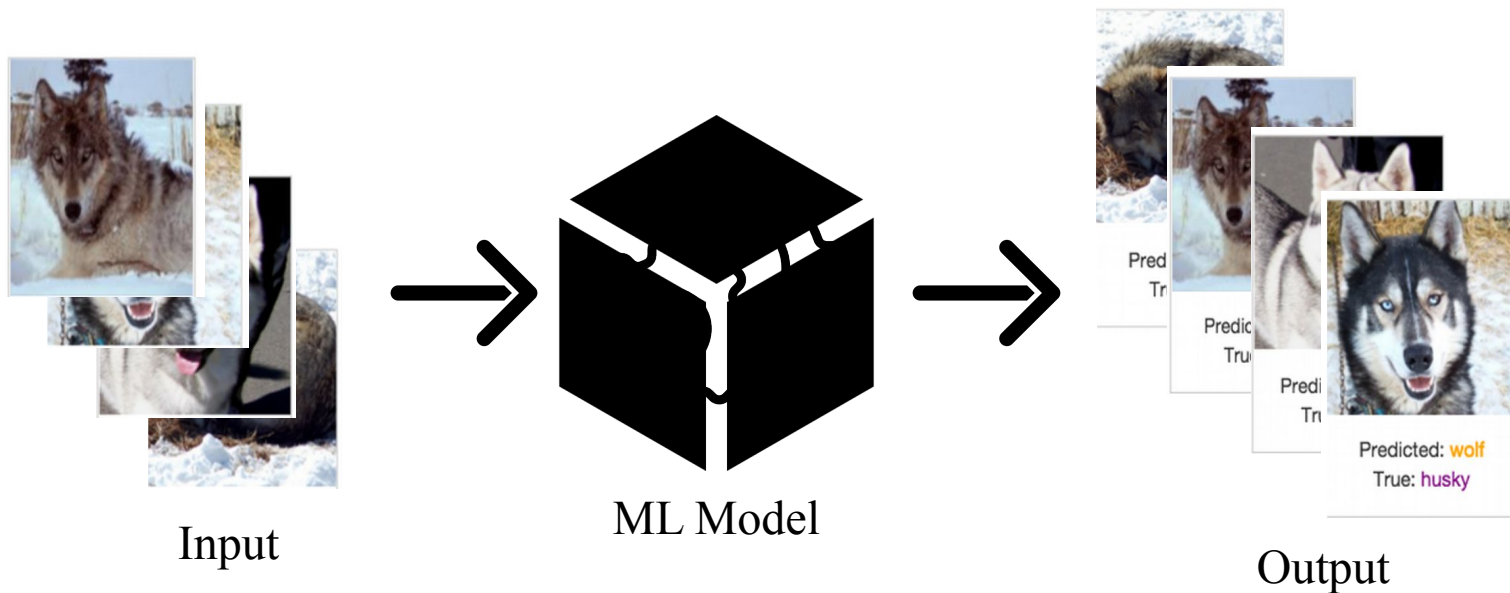
Predicted: **husky**  
True: **husky**



Predicted: **wolf**  
True: **husky**

# Test The Model

How does the model reach its decisions?



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# How Does The Model Reach Its Decisions?

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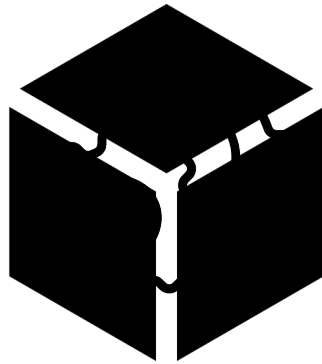
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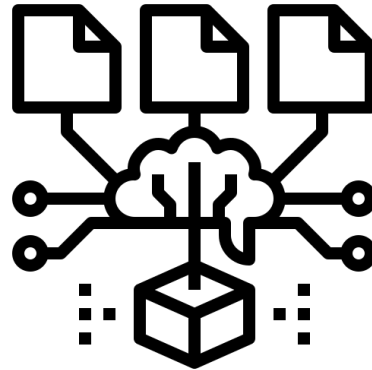
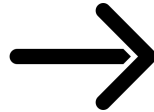
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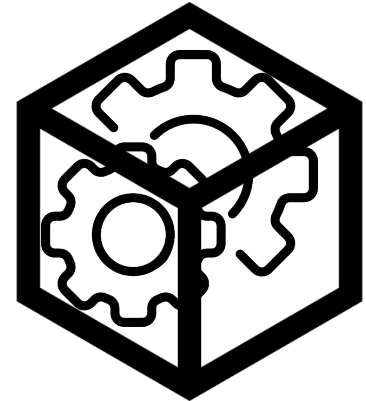
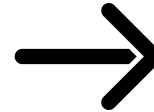
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Black Box



XAI



Glass Box





# Definition

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Explainable AI (XAI) has developed as a subfield of AI, focused on exposing complex AI models to humans in a **systematic and interpretable** manner.

An explanation is the answer to a why-question (Miller 2017).

- Why did you reach the decision?
- Why is there a 95% accuracy?
- What is the reason behind it?



# Categories & Methods

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## Two Main Categories

### Intrinsic Interpretable Models

These methods are  
**intrinsically interpretable ML  
models** by their own  
structures.

### Post-Hoc Explanation

In contrast to the  
interpretable methods, we  
need some **standalone  
algorithms to explain the BB  
ML/DL methods**



# Intrinsic Interpretable Methods

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- Logistic/ Linear Regression
- Decision Trees
- K-Nearest Neighbors
- Rule-base Learners
- Bayesian Models



# Post-Hoc Explanation

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## Model-Specific

## Model-Agnostic

### Local

Use attention mechanisms to show how the model selectively focuses on features in high-dimensional input for an instance

Develop interpretable surrogate models with local fidelity in the vicinity of an instance

### Global

Enforce interpretability constraints into the structure and learning mechanisms of deep learning models

Develop interpretable global surrogate models based on input-output associations predicted by a black-box model



# Post-Hoc Explanation Methods

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## Model-Specific

## Model-Agnostic

### Local

- Attention-based Models

- LIME
- SHAP
- Anchor

### Global

- Intrinsically or Inherently Interpretable Models

- Global Surrogate Models
- PDP
- ICE

## Local Interpretable Model-Agnostic Explanations

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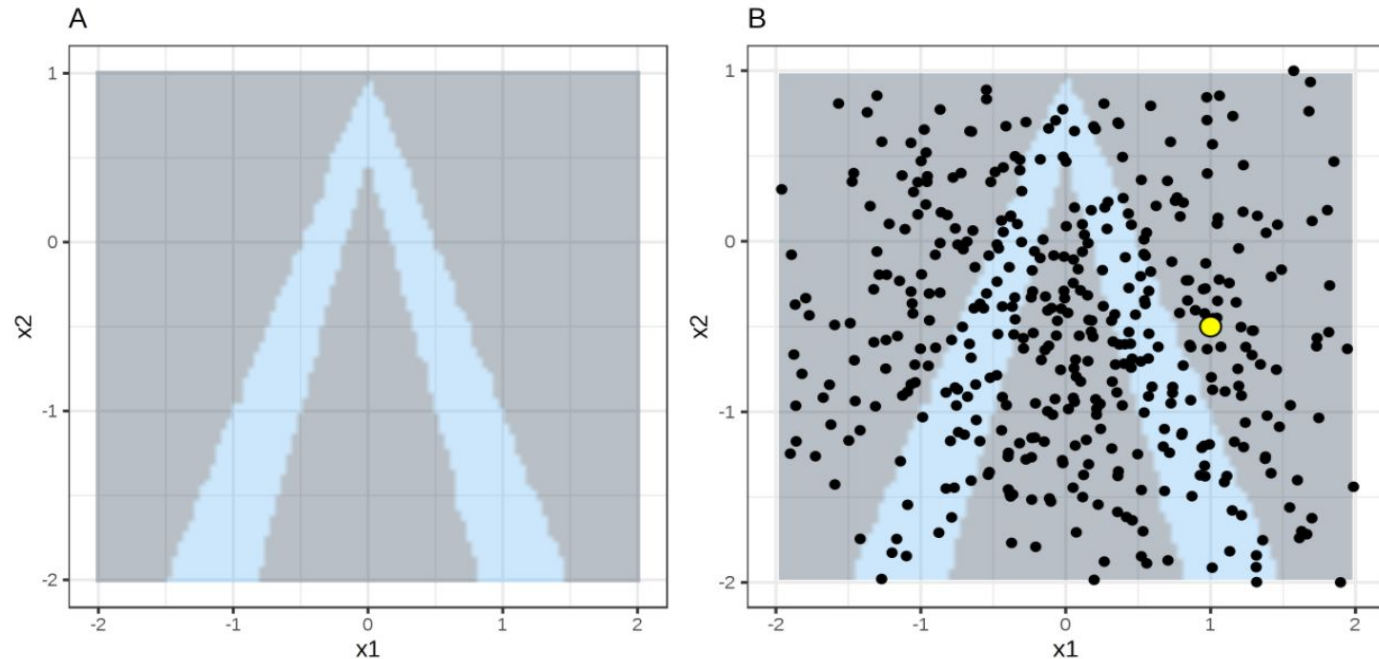
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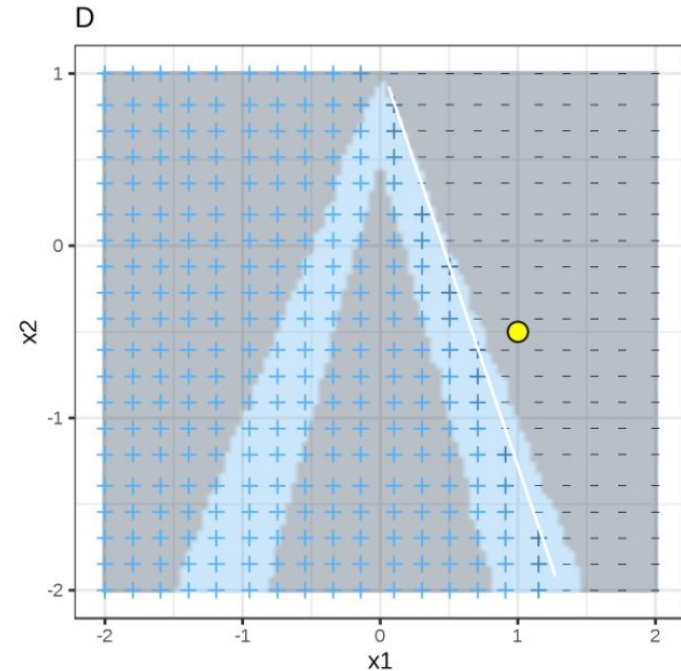
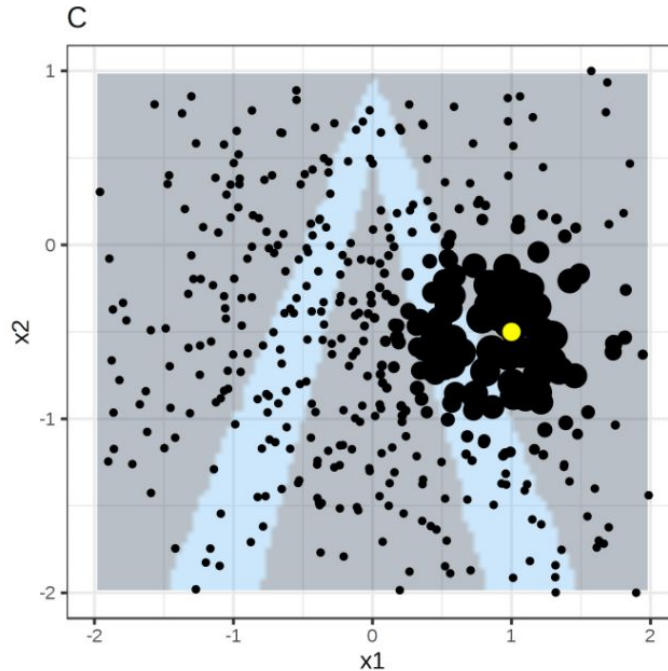
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## Local Interpretable Model-Agnostic Explanations



## Local Interpretable Model-Agnostic Explanations

LIME algorithm for tabular data. A) Random forest predictions given features  $x_1$  and  $x_2$ . Predicted classes: 1 (dark) or 0 (light). B) Instance of interest (big dot) and data sampled from a normal distribution (small dots). C) Assign higher weight to points near the instance of interest. D) Signs of the grid show the classifications of the locally learned model from the weighted samples. The white line marks the decision boundary ( $P(\text{class}=1) = 0.5$ ).

## Local Interpretable Model-Agnostic Explanations

$$\text{explanation}(x) = \arg \min_{g \in G} L(f, g, \pi_x) + \Omega(g)$$

Our test Instance      Complex Model      Model Complexity

↑      ↑      ↑

↓      ↓

g is a model from  
intrinsic interpretable  
family models G      Proximity Measure

## SHapley Additive exPlanation

Coalitions  $\xrightarrow{h_x(z')}$  Feature values

Instance x

$$x' = \begin{array}{c|c|c} \text{Age} & \text{Weight} & \text{Color} \\ \hline 1 & 1 & 1 \end{array}$$

$$x = \begin{array}{c|c|c} \text{Age} & \text{Weight} & \text{Color} \\ \hline 0.5 & 20 & \text{Blue} \end{array}$$

Instance with  
"absent"  
features

$$z' = \begin{array}{c|c|c} \text{Age} & \text{Weight} & \text{Color} \\ \hline 1 & 0 & 0 \end{array}$$

$$z = \begin{array}{c|c|c} \text{Age} & \text{Weight} & \text{Color} \\ \hline 0.5 & \cancel{20} & \cancel{\text{Blue}} \\ & \downarrow & \downarrow \\ & 17 & \text{Pink} \end{array}$$

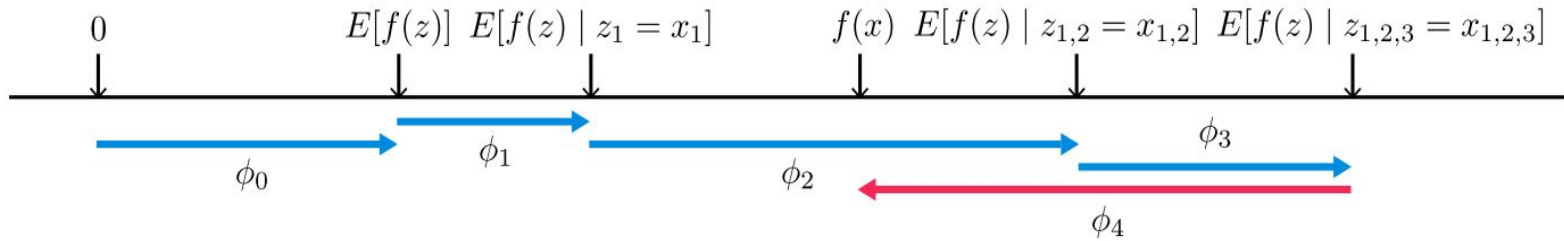


## SHapley Additive exPlanation

Function  $h_x$  maps a coalition to a valid instance. For present features (1),  $h_x$  maps to the feature values of  $x$ . For absent features (0),  $h_x$  maps to the values of a randomly sampled data instance.

In the coalition vector, an entry of 1 means that the corresponding feature value is "present" and 0 that it is "absent".

## SHapley Additive exPlanation







# SHAP

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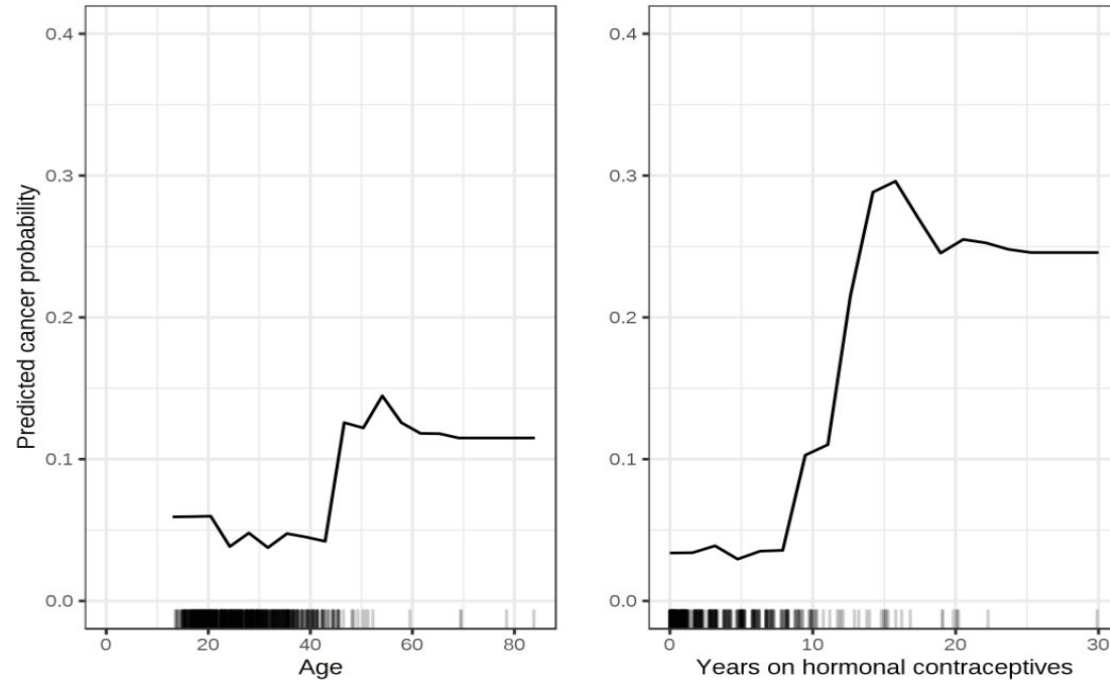
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## SHapley Additive exPlanation

$$\phi_i(f, x) = \sum_{z' \subseteq x'} \frac{|z'|!(M - |z'| - 1)!}{M!} [f_x(z') - f_x(z' \setminus i)]$$

## Partial Dependence Plot





## Partial Dependence Plot

PDPs of cancer probability based on age and years with hormonal contraceptives. For age, the PDP shows that the probability is low until 40 and increases after. The more years on hormonal contraceptives the higher the predicted cancer risk, especially after 10 years. For both features not many data points with large values were available, so the PD estimates are less reliable in those regions.

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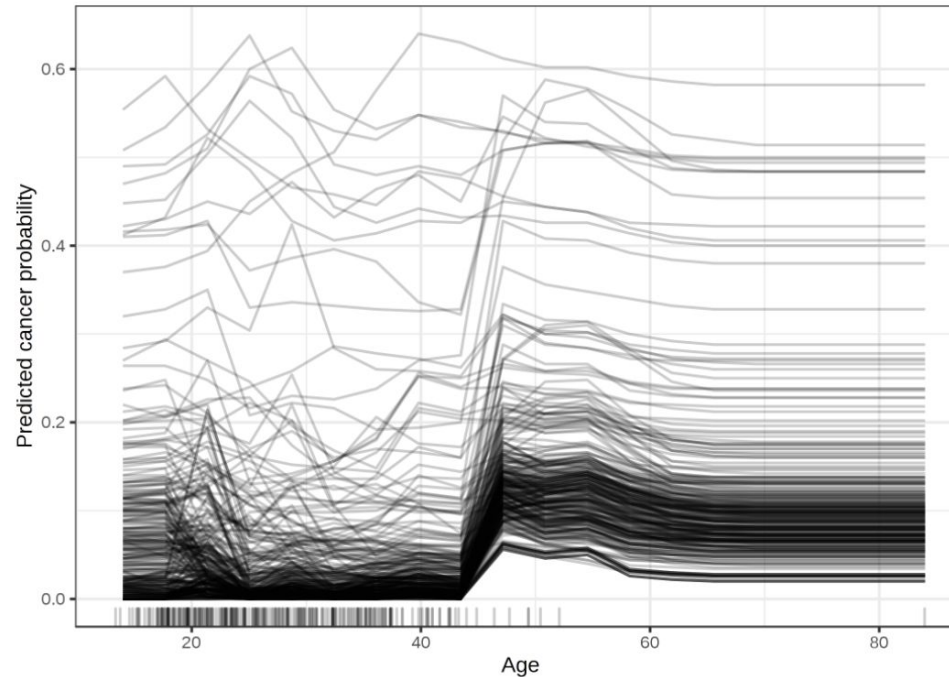
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## Individual Conditional Expectation





## Individual Conditional Expectation

ICE plot of cervical cancer probability by age. Each line represents one woman. For most women there is an increase in predicted cancer probability with increasing age. For some women with a predicted cancer probability above 0.4, the prediction does not change much at higher age.

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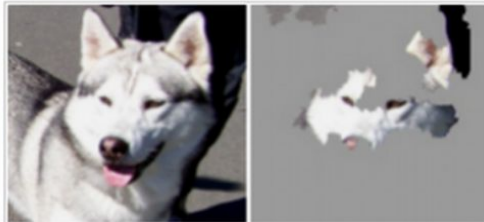
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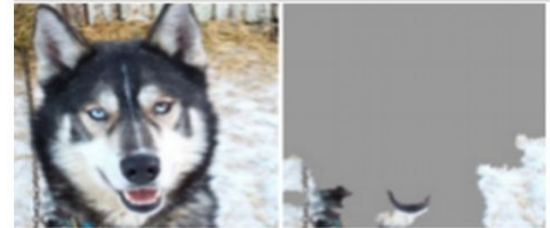
Let's get back into the husky and wolf classification example



Predicted: **husky**  
True: **husky**



Predicted: **wolf**  
True: **wolf**



Predicted: **wolf**  
True: **husky**



Predicted: **wolf**  
True: **wolf**





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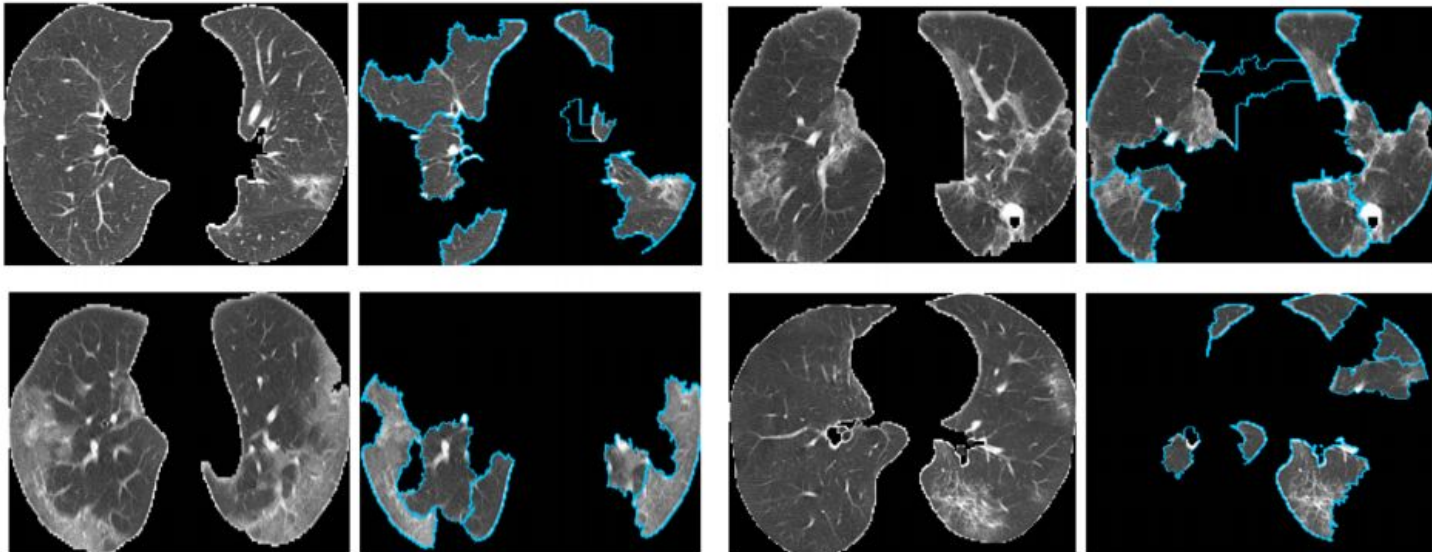
Do you **trust** the model?

When should I trust the model?

Who is responsible for the decisions?

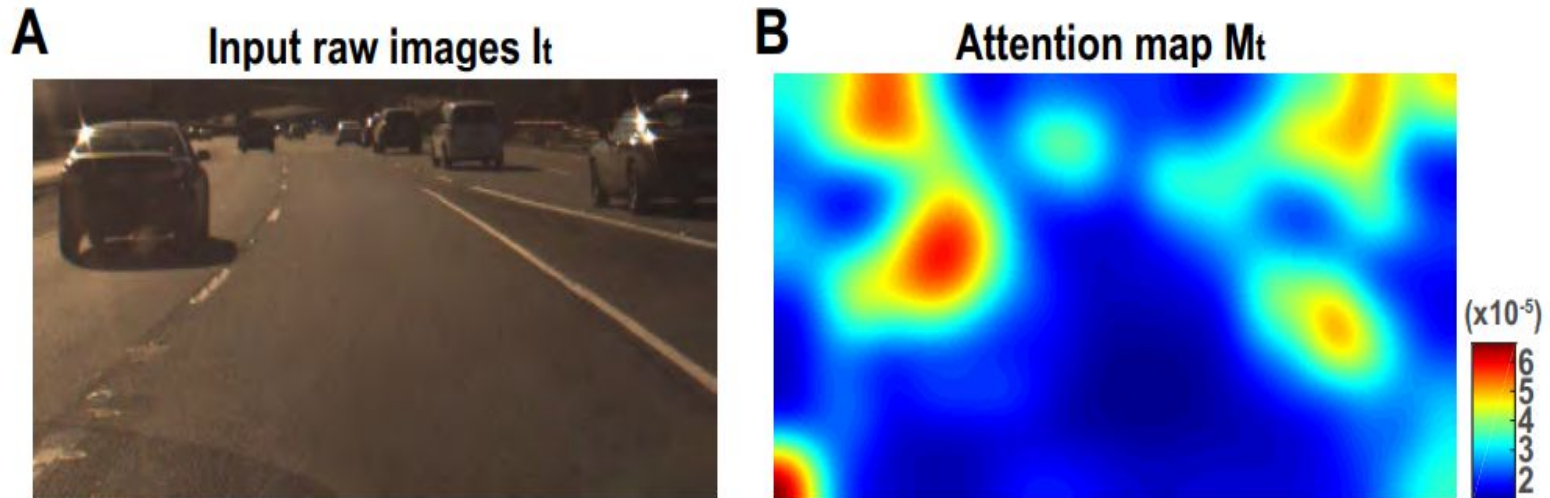
## Medical Diagnosis

### Covid-19 Detection Through Chest X-Ray



## Autonomous Driving

### Object Detection





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## Improvement of The System

The first step towards improving an AI system is to understand it's weaknesses.

## Learning from The System

Extract knowledge from the vast amount of data and crealation between them

## Compliance to Legislation

legal aspects have recently received increased attention.

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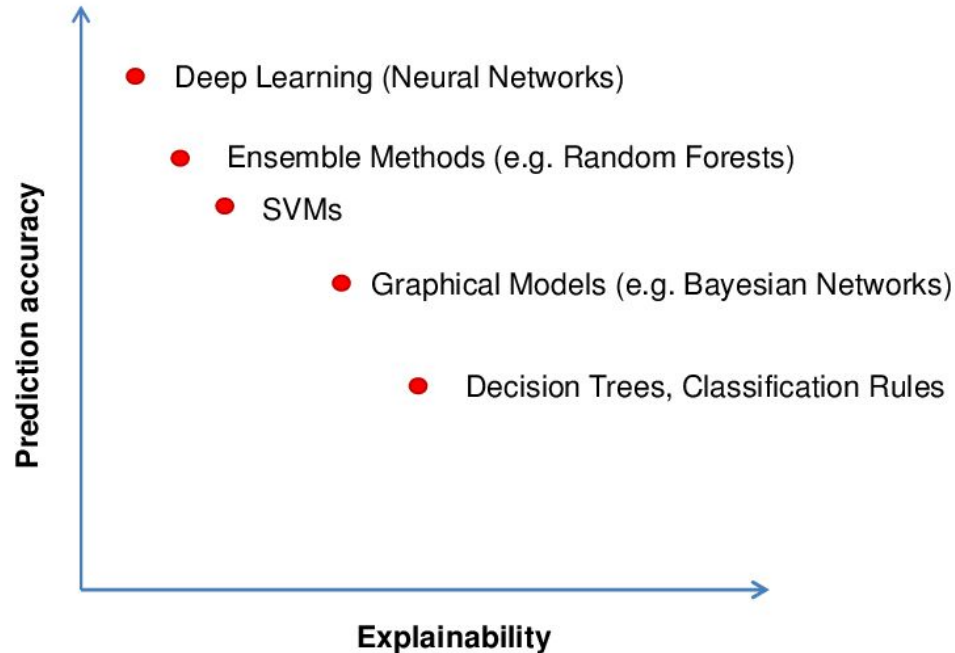
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## Performance vs. Explainability





# Challenges

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## Evaluation

Are all models in all defined-to-be-interpretable model classes equally interpretable?

**HUMAN-LIKE  
EXPLANATIONS**





# Future Works

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Ethical AI

Responsible AI

AGI



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# THANKS!

Do you have any questions?

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