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Cayley's Ω -process and the Reynolds Operator

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Berlin, den 26. März 2018

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Deutsche Zusammenfassung

Ein wichtiges Theorem in der Invariantentheorie ist *Hilberts Endlichkeitssatz*: Ist eine Gruppe G linear reduktiv, so gilt für jede affine G -Varietät X , dass der Invariantenring $K[X]^G$ endlich erzeugt ist, das heißt es gibt $\{f_i\}_{i \in [r]} \subseteq K[X]$ sodass $K[X]^G = K[\{f_i\}_{i \in [r]}]$ (siehe [Hil90]). Im Beweis ist die Zentrale Idee die Existenz eines *Reynolds Operators* $R: K[X] \rightarrow K[X]^G$, eine G -invariante lineare Projektion von $K[X]$ auf $K[X]^G$.

Eine der wichtigsten Gruppen in der Mathematik ist die allgemeine lineare Gruppe GL_n . Diese ist in der Tat linear reduktiv, was man auf verschiedene Weisen zeigen kann. Eine Möglichkeit, die lineare Reduktivität nachzuweisen, ist zu zeigen, dass der Reynolds Operator der Gruppe existiert. Die Existenz dieses Operators wird in dieser Arbeit konstruktiv mit Hilfe von *Cayleys Ω -Prozess* nachgewiesen, welcher von Arthur Cayley im Jahre 1846 schon veröffentlicht wurde (siehe [Cay46]). Dies hat nicht nur zur Folge, dass GL_n linear reduktiv ist, sondern auch, dass wir eine explizite Formel des Reynolds Operators haben, womit wir konkret Invarianten ausrechnen können.

Es werden in dieser Arbeit mehrere Konzepte vorgestellt, bevor Cayley's Ω -Prozess behandelt wird.

Als erstes werden die Grundzüge der Invariantentheorie besprochen. Wir geben affinen Varietäten die Struktur einer G -Varietät, und behandeln desweiteren rationale G -Darstellungen und G -Module. Für eine linear reduktive Gruppe G geben wir dem Koordinatenring $K[X]$ die Struktur eines rationalen G -Moduls, was uns erlaubt den Invariantenring $K[X]^G$ zu beschreiben. Dies ist ein zentrales Objekt in dieser Arbeit.

Motiviert von Hilberts Endlichkeitssatz, welcher besagt, dass $K[X]^G$ endlich erzeugt ist für eine linear reduktive Gruppe G und eine affine G -Varietät X , werden wir verschiedene Charakterisierungen des Begriffes einer linear reduktiven Gruppe besprechen. Es wird besonders auf den Reynolds Operator aufmerksam gemacht, welcher $K[X]$ auf den Invariantenring $K[X]^G$ projiziert für eine affine G -Varietät X . Für linear reduktive Gruppen existiert dieser Operator immer, und dies ist zentral für den Beweis von Hilbert's Endlichkeitssatz.

Daraufhin besprechen wir ein sehr nützliches Resultat: Wenn der Reynolds Operator R_G der Gruppe G existiert, können wir den Reynolds Operator $R: K[X] \rightarrow K[X]^G$ für jede affine G -Varietät mithilfe von R_G darstellen, was zeigt, dass G linear reduktiv ist.

Ein ganzer Abschnitt befasst sich mit der expliziten Darstellung von dem Reynolds Operator R_{GL_n} der allgemeinen linearen Gruppe GL_n , was wir mithilfe von Cayley's Ω -Prozess realisieren. Wir werden hiermit in einem Beispiel explizit die Anwendung des Reynolds Operators auf konkrete Polynome ausrechnen, womit wir Invarianten erhalten.

Zuletzt wird oberflächlich ein kompletter Algorithmus zur Berechnung der Erzeuger des Invariantenrings $K[V]^{\mathrm{GL}_n}$ einer gegebenen GL_n -Darstellung V thematisiert.

Die Hauptquelle dieser Arbeit ist das Buch *Computational invariant theory* von Harm Derksen und Gregor Kemper [DK15]. Abschnitt 3 und Abschnitt 4 halten sich eng an die Kapitel 2.2.1 und 4.5.3 in diesem Buch. Die meisten Definitionen sind ebenfalls hieraus entnommen.

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1 Introduction

A very important concept in mathematics is the idea of an *invariant*: An object which does not change under a certain action. In 1872, Felix Klein came up with a then new method of describing geometries with group theory, called the Klein Erlangen program (see [Kle93]). Here, the central idea of a geometry is characterized by its associated symmetry group, the group of transformations which leaves certain objects or properties unchanged, for example angles. The study of these transformations, for instance, is called conformal geometry.

Let us discuss the following important example in geometry: Consider all transformations which map lines to lines, meaning such transformations under which the property of being a line is invariant. The fundamental theorem of projective geometry gives us that these maps are exactly the projective transformations (see [Aud03, Ex V.44, Ex I.51]).

Conversely, we can consider projective transformations as our given group of transformations. Invariant theory asks: What invariants exist? We can loosely notice a kind of duality between geometries viewed as in the Klein Erlangen program and invariant theory. This discipline of mathematics usually only looks at invariants described with so called regular terms, or more concretely formulated: In invariant theory, we try to find invariant polynomial-like functions.

Staying in our example of considering projective transformations as our given group, a well known example for an invariant is the cross ratio. It is a rational function which takes as its input four collinear points. Is this the only invariant? How can we find other invariants? How big is the ring of all invariants?

Hilbert's finiteness theorem states that for regular actions under certain groups, such that are *linearly reductive*, the invariant ring is finitely generated. If we can find these finite generators, we have a grasp of what all invariants look like. Hilbert's first proof for this theorem, which he published in 1890 (see [Hil90]), was non-constructive. The central idea of this proof is the existence of a Reynolds operator, which projects the coordinate ring to the invariant ring.

One of the most important and most common groups is the general linear group GL_n . This group is linearly reductive and there are multiple ways to see this. Motivated by averaging for finite groups, for compact groups it is possible to replace the sum by an integral with the Haar-measure, from which we can show that GL_n is linearly reductive (see [Kra85, p. 285-288]). One can also show linear reductivity by the Schur-Weyl-duality: The symmetric group is finite, from which we can therefore see that in any rational GL_n -representation we can again construct module complements (see [Pro07, p. 243]).

Here, we will show that GL_n is linearly reductive in an even different way. For one, we want to show that a Reynolds Operator exists, which already means that GL_n is linearly reductive. But we want even more than just its existence. What does it do for our motivation to get a grasp of what all (or even just some) invariants look like, if we merely prove the existence of a finite generating set for the invariants? Since this operator projects polynomials to invariant polynomials, if we can find an explicit formula for computing the Reynolds operator applied to a polynomial, we can obtain concrete invariants.

This is possible with *Cayley's Ω -process*, which Arthur Cayley came up with as early as 1846 (see [Cay46]).

Similar to the first proof of Hilbert's finiteness theorem (by Hilbert himself,

see [Hil90]), we can show that there is a finite set of polynomials whose images under the Reynolds operator will generate the invariant ring. Although this is not what we will be discussing in detail, there is in fact an algorithm to compute these certain polynomials. With the help of Cayley's Ω -process, we then get a complete algorithm that gives us the generators of the invariant ring. (See [DK15, 4.1.9])

1.1 Outline

In this work, we cover many concepts from invariant theory before arriving at Cayley's Ω -process.

First, the framework of invariant theory is set up. For a linear algebraic group G , we give affine varieties the structure of a G -variety and we make sense of rational representations, or rational G -modules. We give the coordinate ring $K[X]$ of an affine G -variety X the structure of a rational G -module, which allows us to describe the invariant ring $K[X]^G$. This is the main object of interest in this work.

Motivated by Hilbert's finiteness theorem, which states that $K[X]^G$ is finitely generated for a linearly reductive group G and an affine G -variety X , we discuss different characterizations of the notion of a linearly reductive group. Great attention is brought to the Reynolds Operator, which for a given G -variety X projects $K[X]$ to the invariant ring $K[X]^G$. For linearly reductive groups, this operator always exists, and this helps us to prove Hilbert's finiteness theorem.

We then discuss a very useful condition for a linear algebraic group G being linearly reductive: If the Reynolds Operator R_G of G exists, we can express any Reynolds operator $R: K[X] \rightarrow K[X]^G$ in terms of R_G , which makes G linearly reductive.

For the general linear group GL_n , we will concretely express the Reynolds Operator R_{GL_n} with Cayley's Ω -process, to which we devote an entire section. We will then look at an example and calculate the Reynolds Operator applied to concrete polynomials to obtain invariants.

Lastly, without going into great detail, we discuss a complete algorithm for computing the generators of the invariant ring $K[V]^{\mathrm{GL}_n}$ for a given GL_n -representation V .

The main source of this work is *Computational invariant theory* by Harm Derksen and Gregor Kemper [DK15]. Section 3 and section 4 closely follow chapters 2.2.1 and 4.5.3 in this book, respectively, while most definitions and notions are also borrowed from there.

2 Preliminary work

In this section, we will lay the theoretical groundwork for later arriving at the results and themes we want to discuss, mainly Hilbert's finiteness theorem and Cayley's Ω -process. The definitions and early results are mainly borrowed from [DK15].

2.1 Notation and general concepts

K will always denote a field of characteristic 0.

For us, zero is an element of the natural numbers. Furthermore, for $n \in \mathbb{N}$ we write $[n] := \{m \in \mathbb{N} \mid 1 \leq m \leq n\}$.

Affine varieties are here assumed to be synonymous with algebraic sets, meaning that they need not be irreducible. For an affine variety X , we denote by $K[X]$ the coordinate ring of X . If $S \subseteq K[X]$ is a set of regular functions, we denote by $K[S]$ the K -subalgebra of $K[X]$ generated by S . For a finite-dimensional vector-space V , we denote by X_i , or sometimes Y_i or Z_i , the coordinate functions for a given (often a canonical) basis.

Refer to [DK15, p. 1-2] and [Gat17] for details.

For a set of functions in the coordinate ring $F \subseteq K[X]$ we denote by $Z(F)$ the zero set of F in X . For a subset of a ring M , (M) denotes the ideal generated by M .

For a vector space V we denote by V^* the dual space of V , that is $V^* = \text{Hom}_K(V, K)$.

If V and W are vector spaces, we denote by $V \otimes W$ the tensor product of V and W , which is equipped with the tensor product mapping $\otimes: V \times W \rightarrow V \otimes W$. For a vector space V , we identify $K \otimes V$ with V canonically via $\lambda \otimes v \leftrightarrow \lambda v$, analogously $V \otimes K$ is identified with V .

Let V_1, V_2, W_1, W_2 be vector spaces. If $A \in \text{Hom}_K(V_1, V_2)$ and $B \in \text{Hom}_K(W_1, W_2)$, we define $(A \otimes B)(v \otimes w) := A(v) \otimes B(w) \in V_2 \otimes W_2$ for $v \in V_1$ and $w \in W_1$, from which by linear extension we obtain a map $A \otimes B \in \text{Hom}_K(V_1 \otimes W_1, V_2 \otimes W_2)$.

2.2 Concepts from algebraic geometry

The concepts of algebraic geometry that we will be using are very basic, and we will stay in the theory of affine varieties. We will assume that fundamental properties of affine varieties and coordinate are given, see [DK15, p. 1-2] and [Gat17] for details.

If $g: X \rightarrow Y$ is a morphism of affine varieties, the algebraic cohomomorphism of g is defined as $g^*: K[Y] \rightarrow K[X]$, $f \mapsto g^*(f) := f \circ g$.

Now let $m: U_1 \times U_2 \rightarrow W$ be a morphism of affine varieties. The algebraic cohomomorphism m^* is a morphism of the type $m^*: K[W] \rightarrow K[U_1 \times U_2]$. We now define a K -algebra morphism

$$K[U_1 \times U_2] \longrightarrow K[U_1] \otimes K[U_2]$$

$$\sum_i \lambda_i \prod_j X_j^{d_{i,j}} \prod_k Y_k^{e_{i,k}} \longmapsto \sum_i \lambda_i \prod_j X_j^{d_{i,j}} \otimes \prod_k Y_k^{e_{i,k}},$$

for some generators $\{X_j\}_{j \in [r]}$ of $K[U_1]$ and $\{Y_k\}_{k \in [s]}$ of $K[U_2]$. This morphism is independent of the choice of generators and independent of the choice of representatives, and therefore well-defined. Conversely, we can look at the K -algebra morphism

$$K[U_1] \otimes K[U_2] \longrightarrow K[U_1 \times U_2]$$

$$\sum_i f_i \otimes g_i \longmapsto \left((u_1, u_2) \mapsto \sum_i f_i(u_1)g_i(u_2) \right).$$

These morphisms are mutually inverse, thus we will identify $K[U_1 \times U_2]$ with $K[U_1] \otimes K[U_2]$ in this way. Therefore, we view the algebraic cohomomorphism of m as $m^*: K[W] \rightarrow K[U_1] \otimes K[U_2]$.

This helps to formalize performing operations only on the “left part” or the “right part”, as we will soon see. This notation is found in [DK15], but other literature such as [Stu08] doesn’t take this approach. To give a very simple example: If G is a linear algebraic group (which we will shortly define) and m is its multiplication, for $f \in K[G]$ we write $(\text{id} \otimes \frac{\partial}{\partial Z_i})(m^*(f))$ as in [DK15], whereas [Stu08] would write $\frac{\partial}{\partial Y_i}(m^*(f))$, often also written as $\frac{\partial}{\partial Y_i}(f(XY))$.

Definition 2.1 (Linear algebraic group): A group G equipped with the structure of an affine variety whose group operations of the multiplication and inversion are morphisms of affine varieties is called a *linear algebraic group*.

Throughout this work, G will always refer to a linear algebraic group, if not otherwise specified.

Proposition 2.2 (Rabinowitsch trick): Let $V = K^n$ for some $n \in \mathbb{N} \setminus \{0\}$ and let $p \in K[V] = K[\{X_i\}_{i \in [n]}]$ be some polynomial. The set $X := \{v \in V \mid p(v) \neq 0\}$ has the structure of an affine variety with the coordinate ring $K[X] = K[\{X_i\}_{i \in [n]}, p^{-1}]$. (Compare to [Rab30])

Proof. The set X is not an algebraic set itself. The trick (the “Rabinowitsch-trick”) is “adding an additional variable X_0 ”. We do this as follows: Consider the algebraic set $\tilde{X} := Z(X_0 \cdot p - 1) \subseteq K \times V$. We then notice that we have $\tilde{X} = \{(p(v)^{-1}, v) \in K \times V \mid v \in X\}$. This means that X corresponds to \tilde{X} via the bijection $\Phi: X \rightarrow \tilde{X}, v \mapsto (p(v)^{-1}, v)$. The coordinate ring of \tilde{X} can be written as $K[\tilde{X}_0, \{\tilde{X}_i\}_{i \in [n]}]$, where $\tilde{X}_i = X_i \bmod (X_0 \cdot p - 1)$. If $x \in X$, we have $\tilde{X}_0(\Phi(v)) = p(x)^{-1}$ and for $i \in [n]$ we have $\tilde{X}_i(\Phi(x)) = v_i$. This shows our claim: X has the structure of an affine variety with the coordinate ring $K[X] = K[\{X_i\}_{i \in [n]}, p^{-1}]$. \square

Example 2.3 (The general linear group GL_n): One of the most important examples is the general linear group GL_n . By the above proposition, this group is an affine variety with the coordinate ring $K[\{X_{i,j}\}_{i,j \in [n]}, \det^{-1}]$. This makes GL_n a linear algebraic group.

2.3 Concepts From Invariant Theory

Our motivation is to look at transformations of spaces. Concretely, we will look at transformations of vector spaces and also more generally actions on affine

varieties. These will be given by a group action of G , giving the variety an additional structure. This gives rise to the notion of a G -variety. For vector spaces V we are interested in linear G -actions on V , from which we can make many first observations connecting to representation theory.

A given G -variety X induces a linear G -action on the coordinate ring $K[X]$. The main question of this work is how the ring of all invariants $K[X]^G$ looks like, that is asking which $f \in K[X]$ remain unchanged under the G -action.

Definition 2.4 (Regular action, rational representation): Let G be a linear algebraic group and X an affine variety. We call an action $\mu: G \times X \rightarrow X$ a **regular action**, if and only if μ is a morphism of affine varieties. We say G **acts regularly on X** , and we also call X a **G -variety** (where the action μ is implicitly given).

Let V be a G -representation, that is, V is a finite-dimensional vector space with an action $\mu: G \times V \rightarrow V$ that corresponds to group homomorphism $\rho_\mu: G \rightarrow \text{GL}(V)$, meaning that we have $\mu(\sigma, v) = \rho_\mu(\sigma)(v)$ for all $\sigma \in G$ and $v \in V$. We call a representation V (where the action μ is implicitly given) a **rational representation of G** , or a **rational G -representation**, if and only if ρ_μ is a morphism of affine varieties. We also sometimes call V a **rational G -module** (where the action μ is implicitly given).

(See [DK15, p. 31])

Remark 2.5 G -representations V are of the following form: Consider $\rho_\mu: G \rightarrow \text{GL}(V)$. If then $a_{i,j}: G \rightarrow K$ is the function of the (i,j) -entry of ρ_μ (with respect to a given basis), then $a_{i,j} \in K[G]$. Note that μ is also a regular action.

Example 2.6 If G is a linear algebraic group, then the multiplication $m: G \times G \rightarrow G$ defines a regular action, meaning that G itself is a G -variety.

Definition 2.7 If V is a rational G -representation via $\mu: G \times V \rightarrow V$, we define a rational G -representation for the dual space V^* via $\hat{\mu}: G \times V^* \rightarrow V^*$, $(\sigma, \varphi) \mapsto \sigma \cdot \varphi := (v \mapsto \varphi(\mu(\sigma^{-1}, v)))$, that is, we have $\sigma \cdot \varphi(v) = \varphi(\sigma^{-1} \cdot v)$ for $\sigma \in G$, $\varphi \in V^*$ and $v \in V$.

Definition 2.8 (Rational linear action): Let V be a vector space (not necessarily finite dimensional), and $\mu: G \times V \rightarrow V$ an action. We call μ a **rational linear action** if and only if there exists a linear map $\mu': V \rightarrow K[G] \otimes V$ such that $\mu(\sigma, v) = ((\epsilon_\sigma \otimes \text{id}) \circ \mu')(v)$, where $\epsilon_\sigma: K[G] \rightarrow K$, $p \mapsto p(\sigma)$ denotes the evaluation homomorphism for $\sigma \in G$. In other words, if for $v \in V$ we get $\mu'(v) = \sum_{i=1}^r p_i \otimes v_i \in K[G] \otimes V$, we then have $\mu(\sigma, v) = \sum_{i=1}^r p_i(\sigma) v_i$ for all $\sigma \in G$. We also refer to V as a **rational G -module**. (Compare to [DK15, A.1.7])

Remark 2.9 From the definition, it should immediately be apparent that rational linear actions are linear and regular.

We will shortly see that for finite dimensional vector spaces, the terms “rational linear action” and “rational representation” coincide, which justifies calling them both G -modules.

Definition 2.10 (locally finite): For a vector space W , we call an action $\mu: G \times W \rightarrow W$ **locally finite** if and only if for every $v \in W$ there exists a G -stable¹ finite-dimensional vector space $U \subseteq W$ such that $v \in U$.

Definition 2.11 Let W be a vector-space and $\mu: G \times W \rightarrow W$ an action. For $v \in W$ we define $V_v := \text{span } G.v = \text{span}\{\sigma.v \mid \sigma \in G\}$.

Remark 2.12 V_v is always a G -stable subspace of W . For any G -stable subspace $U \subseteq W$ with $v \in U$ we have $V_v \subseteq U$. Therefore, an action $\mu: G \times W \rightarrow W$ is locally finite if and only if V_v is finite-dimensional for all $v \in W$.

We will now show the connection between rational linear actions and rational representations.

Proposition 2.13 Let V be a vector space.

- (a) If $\mu: G \times V \rightarrow V$ is a rational linear action, then the action is locally finite, and every finite-dimensional G -stable subspace W is a rational G -representation via $\mu|_{G \times W}$.
- (b) If V is a rational representation via $\mu: G \times V \rightarrow V$, then μ is rational linear action.

(Compare to [DK15, A.1.8, 2.2.5])

Proof. (a) Assume that μ is a rational linear action. Let $v \in V$ and write $\mu'(v) = \sum_{i=1}^l f_i \otimes v_i \in K[G] \otimes V$. We then easily see that $V_v \subseteq \text{span}\{v_i\}_{i=1}^l$, showing that the action is locally finite. Since μ' is linear, μ is also linear, therefore we immediately get that W is a rational representation via $\mu|_{G \times W}$.

(b) Let V be a rational G -representation via $\mu: G \times V \rightarrow V$. This means that for all $\sigma \in G$ we have $\rho_\mu(\sigma) \in \text{GL}(V)$. Let us now choose a basis $\{v_i\}_{i \in [r]}$ of V . For all $\sigma \in G$ there then exist unique $\{(\lambda_\sigma)_{i,j}\}_{i,j \in [r]} \subseteq K$ such that for all $i \in [r]$ we have $\mu(\sigma, v_i) = \sum_{k=1}^r (\lambda_\sigma)_{i,k} v_k$. Since the action is regular, we must have $p_{i,j} := (\sigma \mapsto (\lambda_\sigma)_{i,j}) \in K[G]$. We now define $\mu': V \rightarrow K[G] \otimes V$ as the linear extension of $v_i \mapsto \sum_{k=1}^r p_{i,k} \otimes v_k$ for $i \in [r]$. It should be clear that μ' satisfies $\mu(\sigma, v) = ((\epsilon_\sigma \otimes \text{id}) \circ \mu')(v)$ for all $\sigma \in G$ and $v \in V$. This shows that μ is a rational linear action. \square

Remark 2.14 This shows that for a finite-dimensional vector space V , an action is a rational linear action if and only if it defines a rational representation. In other words, we have shown that rational representations are exactly defined by rational linear actions on finite-dimensional vector-spaces, which justifies calling both G -modules.

Definition 2.15 Let X be an affine G -variety with the regular action $\mu: G \times X \rightarrow X$. We now define an action $\tilde{\mu}: G \times K[X] \rightarrow K[X]$ via $(\sigma, f) \mapsto \sigma \cdot f$, where $\sigma \cdot f(x) := f(\sigma^{-1}.x)$ for $\sigma \in G$, $f \in K[X]$ and $x \in X$. (Compare to [DK15, p. 31])

This action is obviously regular, but we easily see that it is in fact a rational linear action: If $\tilde{\mu}: G \times X \rightarrow X$ is the morphism of affine varieties defined by $(\sigma, x) \mapsto \tilde{\mu}(\sigma, x) := \mu(\sigma^{-1}, x)$, we can then define the linear map $\tilde{\mu}^*: K[X] \rightarrow K[G] \otimes K[X]$ with the desired properties as described in definition 2.8.

¹For an action of a group G on a set Y , a subset $Y' \subseteq Y$ is called G -stable if and only if we have $\sigma.y \in Y'$ for all $\sigma \in G$ and $y \in Y'$.

The next proposition shows a practical property of $\bar{\mu}'$ which will help us with some calculations later.

Proposition 2.16 Let X be an affine G -variety. If for $f \in K[X]$ we have $\bar{\mu}'(f) = \sum_{i=1}^r p_i \otimes g_i \in K[G] \otimes K[X]$, then for every $\sigma \in G$ we have $\bar{\mu}'(f) = \sum_{i=1}^r \sigma \cdot p_i \otimes \sigma \cdot g_i$.

Proof. Let $\tau \in G$ and $x \in X$. Then

$$\begin{aligned} \left(\sum_{i=1}^r \sigma \cdot p_i \otimes \sigma \cdot g_i \right) (\tau, x) &= \sum_{i=1}^r p_i(\sigma^{-1}\tau) \otimes g_i(\sigma^{-1}x) \\ &= \sigma^{-1}\tau \cdot f(\sigma^{-1}x) \\ &= \tau \cdot f(x) = \bar{\mu}'(f)(\tau, x) \quad . \end{aligned}$$

□

Definition 2.17 Let G be a linear algebraic group. For $\sigma \in G$ and for $p \in K[G]$ we define $\sigma'p := (\tau \mapsto p(\tau\sigma)) \in K[G]$.

Now we show another useful property of $\bar{\mu}'$.

Proposition 2.18 Let X be an affine G -variety via the regular action $\mu: G \times X \rightarrow X$. For $f \in K[X]$, if we have $\bar{\mu}'(f) = \sum_{i=1}^r p_i \otimes g_i \in K[G] \otimes K[X]$, then for $\sigma \in G$ we get $\bar{\mu}'(\sigma \cdot f) = \sum_{i=1}^r \sigma'p_i \otimes g_i$.

Proof. For $f \in K[X]$ we have $\bar{\mu}'(f) = \sum_{i=1}^r p_i \otimes g_i$ for some $\{g_i\}_{i \in [r]}$. Now let $\sigma \in G$. Then for all $\tau \in G$ and for all $x \in X$ we have

$$\begin{aligned} \bar{\mu}'(\sigma \cdot f)(\tau, x) &= (\tau \cdot (\sigma \cdot f))(x) = \sum_{i=1}^r p_i(\tau\sigma)g_i(x) \\ &= \sum_{i=1}^r \sigma'p_i(\tau)g_i(x) = \left(\sum_{i=1}^r \sigma'p_i \otimes g_i \right) (\tau, x) \quad . \end{aligned}$$

□

Definition 2.19 If a group G acts on X and Y respectively, we call a map $A: X \rightarrow Y$ **G -equivariant** if and only if for all $\sigma \in G$ and $x \in X$ we have $\sigma.A(x) = A(\sigma.x)$.

If V and W are G -modules, we say V and W are **isomorphic G -modules** if and only if there exists a bijective G -equivariant linear map $\Phi: V \rightarrow W$. Φ is then called an **isomorphism of G -modules**.

Definition 2.20 (Invariants): Let X be a set on which G acts via $\mu: G \times X \rightarrow X$, $(\sigma, x) \mapsto \sigma.x$. We define the set of all **G -invariants** of X as

$$X^G := \{ x \in X \mid \forall \sigma \in G : \sigma.x = x \} \quad .$$

If X is an affine G -variety via the action $\mu: G \times X \rightarrow X$, $(\sigma, x) \mapsto \sigma.x$, it induces a regular linear action $\bar{\mu}: G \times K[X] \rightarrow K[X]$, $(\sigma, f) \mapsto \sigma \cdot f$ as described in definition 2.15. The **invariant ring** is then defined as

$$K[X]^G = \{ f \in K[X] \mid \forall \sigma \in G : \sigma \cdot f = f \} \quad .$$

Its elements are referred to as **G -invariant**. As the name implies, $K[X]^G$ defines a subring, in fact also a K -subalgebra, of $K[X]$.

Definition 2.21 If we have a given action of a group G on a set X , we call a map $A: X \rightarrow Y$ **G -invariant** if and only if we have $A(\sigma.x) = A(x)$ for all $\sigma \in G$ and $x \in X$.

3 Linearly reductive groups, the Reynolds operator and Hilbert's finiteness theorem

An important theme in this work is the question of whether the invariant ring $K[X]^G$ is finitely generated. The goal of this section is to prove *Hilbert's finiteness theorem*, which states that if the group G is linearly reductive, $K[V]^G$ is finitely generated. The strict definition of “linearly reductive” is a little unintuitive, but we will discuss alternate characterizations, which will lay the groundwork for the proof the theorem. This will also motivate Cayley's Ω -process.

This section closely follows chapter 2.2.1 in [DK15].

3.1 Linearly reductive groups and the Reynolds operator

Definition 3.1 (Linearly reductive group): Let G be a linear algebraic group. We call G **linearly reductive** if and only if for any rational representation V , the spaces $(V^*)^G$ and V^G are dual to each other with respect to the canonical pairing $b: V^* \times V \rightarrow K$, $(\varphi, v) \mapsto \varphi(v)$, that is $b|_{(V^*)^G \times V^G}$ is non-degenerate.

(Compare to [DK15, 2.2.1, 2.2.5 (a) \implies (b)])

This definition might be a little unintuitive at first. We will soon learn that G is linearly reductive if and only if for every rational G -representation V there exists a unique submodule W such that $V = V^G \oplus W$, and we have $(W^*)^G = \{0\}$. We will also see that G is linearly reductive if and only if for every affine G -variety there exists a Reynolds operator $R: K[X] \twoheadrightarrow K[X]^G$, whose definition we will give right now.

Definition 3.2 (Reynolds operator): Let X be an affine G -variety. A **Reynolds operator** is a G -invariant linear projection $R: K[X] \twoheadrightarrow K[X]^G$, that is R is a linear projection of $K[X]$ onto $K[X]^G$ satisfying $R(\sigma \cdot f) = R(f)$ for all $\sigma \in G$ and $f \in K[X]$.

(See [DK15, 2.2.2])

The following definition will give us the main tool with which we make the connection between linearly reductive groups and the existence of a Reynolds operator.

Definition 3.3 Assume that V is a rational G -representation such that there exists a unique submodule W of V with $V = V^G \oplus W$. We define $R_V: V \twoheadrightarrow V^G$ as the linear projection of V onto V^G along W .

(Compare to [DK15, 2.2.5 (b) \implies (c)])

Remark 3.4 R_V is a G -invariant projection of V onto V^G : If for $v \in V$ we write $v = u + w$ with $u \in V^G$ and $w \in W$, then for $\sigma \in G$ we have $\sigma.v = \sigma.u + \sigma.w = u + \sigma.w$ and $\sigma.w \in W$, which therefore means that we have $R_V(\sigma.v) = u = R_V(v)$.

We will now show some important properties of the map R_V as described in definition 3.3. This will later help us to define a Reynolds Operator for linearly reductive groups.

Lemma 3.5 Assume that G is a linear algebraic group with the following property: For every rational representation V of G there exists a unique subrepresentation W of V such that $V = V^G \oplus W$, and for this W we have $(W^*)^G = \{0\}$. The following properties hold:

- (a) If V is a submodule of a rational G -representation V' , we then have $R_{V'}|_V = R_V$.
- (b) Let V be a rational G -representation. Now assume that $R'_V: V \rightarrow Y$ is a G -invariant linear map with $R'_V|_{V^G} = \text{id}_{V^G}$, where Y is a G -module such that V is a submodule of Y . We then have $R'_V = R_V$. This means that R_V is unique with this property¹.
- (c) If X is an affine G -variety and $R: K[X] \rightarrow K[X]^G$ is a Reynolds operator, then for every finite-dimensional G -submodule V of $K[X]$ we have $R|_V = R_V$.
- (d) If X is an affine G -variety, $R: K[X] \rightarrow K[X]^G$ a Reynolds operator and W is any G -submodule of $K[X]$, we have $R(W) = W^G$. (See [DK15, 2.2.7])
- (e) If X is an affine G -variety, the Reynolds operator $R: K[X] \rightarrow K[X]^G$ is unique. (See [DK15, 2.2.5 (b) \implies (c)])

Proof. (a) Let V be a submodule of a rational representation V' of G . We decompose $V = V^G \oplus W$ and $V' = (V')^G \oplus W'$, where W and W' are each the unique submodules of V and V' respectively with this property as in our assumption. We have $W \subseteq W'$:

Let $w \in W$. We write $w = u' + w'$ where $u' \in (V')^G$ and $w' \in W'$. We choose a basis $\{u'_i\}_{i \in [r]}$ of $(V')^G$ and $\{w'_j\}_{j \in [s]}$ of W' and write $w = \sum_{i=1}^r \lambda_i u'_i + \sum_{j=1}^s \mu_j w'_j$. For $i \in [r]$, let us consider $\hat{u}'_i \in (V')^*$, the dual basis element of u'_i with respect to the basis $\{u'_i\}_{i \in [r]} \cup \{w'_j\}_{j \in [s]}$ of V' . Because of our assumption we have $(W^*)^G = \{0\}$, so we must have $\hat{u}'_i|_W = 0$, and therefore $\lambda_i = \hat{u}'_i(w) = \hat{u}'_i|_W(w) = 0$. This means $u' = 0$, implying $w = w' \in W'$. We have shown $W \subseteq W'$.

Now let $v \in V$. With $V^G \subseteq (V')^G$ and $R_V(v) - v \in W \subseteq W'$, we obtain $R_{V'}(v) - R_V(v) = R_{V'}(v - R_V(v)) = 0$. This concludes $R_{V'}|_V = R_V$.

(b) Let V be a rational G -representation and let $R'_V: V \rightarrow Y$ be a G -invariant linear map with $R'_V|_{V^G} = \text{id}_{V^G}$, where Y is a G -module such that V is a submodule of Y . Via our assumption, we can find a unique submodule W of V such that $V = V^G \oplus W$. We obviously have $R'_V|_{V^G} = \text{id}_{V^G} = R_V|_{V^G}$. We now want to show $R'_V|_W = 0 = R_V|_W$.

Let $w \in W$. We choose a basis $\{w_i\}_{i \in [r]}$ of $U := \text{span}(W + R'_V(w))$, and we write $R'_V(w) = \sum_{i=1}^r \lambda_i w_i$. Let $\{w'_i\}_{i \in [r]}$ be the basis of U^* dual to the previously mentioned basis of U . For $i \in [r]$, we have $(w'_i \circ R'_V)|_W \in (W^*)^G = \{0\}$ via our assumption, and therefore $\lambda_i = w'_i(R'_V(w)) = (w'_i \circ R'_V)|_W(w) = 0$. This means that $R(w) = 0$. We now have shown $R|_W = 0$. This concludes that $R'_V = R_V$.

¹We here view $R_V: V \rightarrow V^G$ as $R_V: V \rightarrow V$.

(c) This follows immediately from (b): If X is an affine G -variety and $R: K[X] \rightarrow K[X]^G$ is a Reynolds operator and V is a G -submodule of $K[X]$, we have that $R|_V: V \rightarrow K[X]$ is a linear map with $V \subseteq K[X]$ and $R_V|_{V^G} = \text{id}_{V^G}$. Therefore we have $R|_V = R_V$.

(d) Let X be an affine G -variety, $R: K[X] \rightarrow K[X]^G$ a Reynolds operator and W any G -submodule of $K[X]$. now let $w \in W$. Since W is G -stable we have $V_w \subseteq W$ and with (c) therefore $R(w) = R_{V_w}(w) \in V_w^G \subseteq W^G$. We have therefore shown $R(W) \subseteq W^G$. Also $R|_{W^G} = \text{id}_{W^G}$ since $W^G \subseteq K[X]^G$, concluding $R(W) = W^G$.

(e) This follows immediately from (c): Let X be an affine G -variety and $R_1, R_2: K[X] \rightarrow K[X]^G$ each a Reynolds operator. Now let $f \in K[X]$. Then $R_1(f) = R_{V_f}(f) = R_2(f)$. \square

Remark 3.6 $K[V]_d$, that is the subspace of all homogeneous polynomials in $K[V]$ of degree d , is a finite-dimensional G -submodule of $K[V]$, meaning that $K[V]_d$ is a rational G -representation. Since $K[V] = \bigoplus_{d \geq 0} K[V]_d$, we therefore also have $K[V]^G = \bigoplus_{d \geq 0} K[V]_d^G$, which means that all $R_{K[V]_d}$ characterize R . This is important for the proof of Hilbert's finiteness theorem.

Remark 3.7 Note that in lemma 3.5(e) we just showed uniqueness without mentioning the existence. In the following, we see that in fact there always exists a Reynolds operator for groups with the previously described properties.

We now come to the most important theorem of this section before we prove Hilbert's finiteness theorem. We characterize linearly reductive groups in three different ways, the most important one involving the Reynolds operator. Cayley's Ω -process will later give us a concrete formula for the Reynolds operator.

Theorem 3.8 Let G be a linear algebraic group. The following are equivalent:

- (a) G is linearly reductive
- (b) For every rational representation V of G there exists a unique submodule W with $V = V^G \oplus W$. For this submodule W we have $(W^*)^G = \{0\}$.
- (c) For every affine G -variety X there exists a Reynolds operator $R: K[X] \rightarrow K[X]^G$.

(Compare to [DK15, 2.2.5])

Proof. (a) \implies (b) Let V be a rational representation of G . Consider the subspace $((V^*)^G)^\perp \subseteq V$. It is easily seen that this is a submodule of V . Since by (a) $(V^*)^G$ and V^G are dual to each other, we have $V = V^G \oplus ((V^*)^G)^\perp$.

We have shown the existence, now we shall show uniqueness. Let W be a submodule of V with $V = V^G \oplus W$. Again, it is easily seen that $W^\perp \subseteq V^*$ is a submodule. G must act trivially on $W^\perp \subseteq V^*$: Let $f \in W^\perp$, and let $\sigma \in G$. We have $\sigma \cdot f \in W^\perp$ and therefore $\sigma \cdot f - f \in W^\perp$. Now, let $v \in V$. We write $v = u + w$ for (unique) $u \in V^G$ and $w \in W$ and compute

$$\begin{aligned} (\sigma \cdot f - f)(v) &= (\sigma \cdot f - f)(u) + (\sigma \cdot f - f)(w) \\ &= f(\sigma^{-1} \cdot u) - f(u) + 0 = f(u) - f(u) = 0 \quad , \end{aligned}$$

which implies that $\sigma \cdot f = f$. Hence G does act trivially on W^\perp . This means that $W^\perp \subseteq (V^*)^G$. We also have $\dim W^\perp = \dim V^G = \dim (V^*)^G$, which implies $W^\perp = (V^*)^G$, and therefore also $W = (W^\perp)^\perp = ((V^*)^G)^\perp$, which concludes the claim of uniqueness.

Finally, we notice that W and W^* are isomorphic G -modules, which also means that $(W^*)^G$ and W^G are isomorphic as G -modules. Since we have $W^G = \{0\}$, we therefore must also have $(W^*)^G = \{0\}$.

(b) \implies (c) Let X be an affine G -variety. Let $f \in K[X]$. We define the map $R: K[X] \rightarrow K[X]^G$, $f \mapsto R_{V_f}(f)$. For $f \in K[X]$ we denote by W_f the unique submodule of V_f such that $V_f = V_f^G \oplus W_f$ as in (b). This map is linear: Let $f, g \in K[X]$ and $\lambda \in K$. We notice that $V_f, V_g, V_{\lambda f + g} \subseteq V_f + V_g$, which together with lemma 3.5(a) gives us

$$\begin{aligned} R(\lambda f + g) &= R_{V_{\lambda f + g}}(\lambda f + g) = R_{V_f + V_g}(\lambda f + g) \\ &= \lambda R_{V_f + V_g}(f) + R_{V_f + V_g}(g) = \lambda R_{V_f}(f) + R_{V_g}(g) \\ &= \lambda R(f) + R(g) \quad . \end{aligned}$$

The map R is a projection onto $K[X]^G$, since for each $f \in K[X]$ we have $V_f^G \subseteq K[X]^G$. R is also G -invariant, since R_{V_f} is G -invariant for all $f \in K[X]$ and $V_f = V_{\sigma \cdot f}$ for all $\sigma \in G$. This concludes that R is a Reynolds operator, which shows (c).

(c) \implies (a) Let V be a rational representation of G . We now want to show that $b|_{(V^*)^G \times V^G}$ is non-degenerate in the left variable.

Let $v \in V^G \setminus \{0\}$. We choose a basis $\{v_i\}_{i \in [r]}$ of V with $v_1 = v$. Let $\tilde{v} \in V^*$ be the dual basis vector of v with respect to the aforementioned basis. We now define $p_v: K[V^*] \rightarrow K$, $f \mapsto f(\tilde{v})$. Consider the isomorphism of G -modules $\Phi: V \rightarrow (V^*)^*$, $w \mapsto (\varphi \mapsto \varphi(w))$. We have $(V^*)^* \subseteq K[V^*]$. Since V^* is a rational representation and since via our assumption (c) there exists a Reynolds operator $R: K[V^*] \rightarrow K[V^*]^G$, we can define $\psi_v := p_v \circ R \circ \Phi: V \rightarrow K$. Since each map is linear, we have $\psi_v \in V^*$, and since the Reynolds operator is used, we can also see that we have $\psi_v \in (V^*)^G$. We notice that since $v \in V^G$ we have $\Phi(v) \in K[V^*]^G$, implying $R(\Phi(v)) = \Phi(v)$. From this, we can calculate $\psi_v(v) = p_v(\Phi(v)) = \Phi(v)(\tilde{v}) = \tilde{v}(v) = 1 \neq 0$. This implies that $b|_{(V^*)^G \times V^G}$ is non-degenerate in the left variable.

By what we just showed, if we take any linear invariant $\varphi \in (V^*)^G \setminus \{0\}$, we receive an $A_\varphi \in ((V^*)^*)^G$ such that $A_\varphi(\varphi) = 1$. Since Φ is an isomorphism of G -modules, we get $v_\varphi := \Phi^{-1}(A_\varphi) \in V^G$, from which we obtain $\varphi(v_\varphi) = \varphi(\Phi^{-1}(A_\varphi)) = A_\varphi(\varphi) = 1 \neq 0$. This shows that $b|_{(V^*)^G \times V^G}$ is also non-degenerate in the right variable.

This concludes that G is linearly reductive, showing (a). \square

We will now learn of an additional characterization for linearly reductive groups over algebraically closed fields.

Theorem 3.9 If K is an algebraically closed field, then a linear algebraic group G is linearly reductive if and only if for every rational representation V of G and every submodule W of V there exists a submodule Z of V such that $V = W \oplus Z$. (See [DK15, 2.2.5])

Proof. Assume that G is linearly reductive and let V be a rational representation of G .

Let us first assume that we have an irreducible¹ submodule W of V . We can identify $\text{Hom}_K(W, V)^*$ with $\text{Hom}_K(V, W)$ via the isomorphism

$$\begin{aligned}\Phi: \quad \text{Hom}_K(W, V) &\longrightarrow \text{Hom}_K(V, W)^* \\ B &\longmapsto (A \mapsto \text{tr}(A \circ B)) \quad ,\end{aligned}$$

If we let G act on $\text{Hom}_K(W, V)$ by $\sigma.B := w \mapsto \sigma.(B(w))$ and on $\text{Hom}_K(V, W)$ by $\sigma.A := v \mapsto A(\sigma^{-1}.v)$, we can then view Φ as an isomorphism of G -modules. Now let $B \in \text{Hom}_K(W, V)^G$ be the inclusion map. Since G is linearly reductive, there exists an $A \in \text{Hom}_K(V, W)^G$ such that $\text{tr}(A \circ B) \neq 0$. Since K is algebraically closed and since W is irreducible, Schur's lemma (see [FH91, 1.7]) gives us that $A \circ B$ must be a non-zero multiple of the identity map. Therefore, if Z is the kernel of A , which is a submodule of V since A is G -invariant, we have $V = W \oplus Z$ as a decomposition of G -modules.

Now let us prove the claim for an arbitrary submodules W of V by induction over $k := \dim W$. If $k = 0$ the statement is trivial. Assume that for $k \in \mathbb{N}$ the statement is true for all $m \leq k$. Now let $\dim W = k+1$. We choose a non-trivial irreducible submodule of W , say $W' := \text{span } G.w$ for some $w \in W \setminus \{0\}$. By what we showed, there exists a submodule Z' of V such that $V = W' \oplus Z'$. We also have that $W \cap Z'$ is a submodule of V and $W = W' \oplus W \cap Z'$. Since W' is non-trivial, we get $\dim W \cap Z' \leq k$, and therefore by induction hypothesis there exists a submodule Z of Z' such that $Z' = W \cap Z' \oplus Z$. We then have $V = W' \oplus Z' = W' \oplus W \cap Z' \oplus Z = W \oplus Z$. This shows the forwards implication of the theorem.

Now assume that for every rational representation V of G and submodule W of V there exists a submodule Z of V such that $V = W \oplus Z$. Let V be a rational representation of G . By our assumption there exists a submodule W of V such that $V = V^G \oplus W$. If we have $v \in V^G \setminus \{0\}$, we can extend to a basis B_{V^G} of V^G with $v \in B_{V^G}$. Now we choose any basis B_W of W and can define $\varphi_v \in V^*$ to be the dual vector of v with respect to the basis $B_{V^G} \cup B_W$ of V . We then have $\varphi_v \in (V^*)^G$ and $\varphi_v(v) = 1 \neq 0$. This shows that $b|_{(V^*)^G \times V^G}$ is non-degenerate in the left variable. We use the same steps to show non-degeneracy in the right variable: By assumption, there exists a submodule Z of V^* with $V^* = (V^*)^G \oplus Z$. If we have $\varphi \in (V^*)^G \setminus \{0\}$, we can choose a basis $B_{(V^*)^G}$ of $(V^*)^G$ with $\varphi \in B_{(V^*)^G}$. Now, for some basis B_Z of Z we define $v_\varphi \in V$ to be the dual vector of φ with respect to the basis $B_{(V^*)^G} \cup B_Z$ of V^* . We notice that $v_\varphi \in V^G$ and $\varphi(v_\varphi) = 1 \neq 0$, showing that $b|_{(V^*)^G \times V^G}$ is non-degenerate in the right variable. This concludes that G is linearly reductive.

We have now proven both implications of the theorem. \square

3.2 Hilbert's finiteness theorem

We now come to one of the most famous and important theorems in invariant theory, Hilbert's finiteness theorem. It is claimed that this proof was responsible for Gordan's famous quote "Das ist Theologie und nicht Mathematik" ("This is theology and not mathematics") (see [DK15, p.42]). This theorem gives us that for linearly reductive groups G , the invariant ring $K[X]^G$ is finitely generated

¹A G -module is called irreducible if and only if it doesn't have any non-trivial proper submodules.

for any affine G -variety X . The main idea of the proof is the existence of the Reynolds operator $R: K[X] \rightarrow K[X]^G$, which we showed in theorem 3.8.

Proposition 3.10 Let G be a linearly reductive group, and let $R: K[X] \rightarrow K[X]^G$ be the Reynolds operator for an affine G -variety X . If $f \in K[X]^G$ and $g \in K[X]$ we have $R(fg) = fR(g)$, that is, the Reynolds operator is a $K[X]^G$ -module homomorphism. (See [DK15, 2.2.7])

Proof. Let $f \in K[X]^G$ and $g \in K[X]$. By theorem 3.8, we can decompose $V_g = V_g^G \oplus W_g$ uniquely, where W_g is a submodule of V_g , and we also have $(W_g^*)^G = \{0\}$. fV_g is also a G -module with submodules fV_g^G and fW_g and we notice that $(fV_g)^G = fV_g^G$. We easily check that the map $R'_{fV_g}: fV_g \rightarrow fV_g$, $fh \mapsto fR(h)$ is a G -invariant linear map with $R'_{fV_g}|_{(fV_g)^G} = \text{id}_{(fV_g)^G}$, which by lemma 3.5(b) means that we have $R'_{(fV_g)} = R_{(fV_g)}$, which implies that we have $R(fg) = fR(g)$, concluding that R is a $K[X]^G$ -module homomorphism. \square

To sum up, we have shown that if a group G is linearly reductive, we always get a Reynolds Operator $R: K[X] \rightarrow K[X]^G$ for any affine G -variety X , and by the previous proposition we showed that R is a $K[X]^G$ -module homomorphism. This is all we need to prove Hilbert's finiteness theorem, which we will prove right now.

Theorem 3.11 (Hilbert's finiteness theorem): If G is linearly reductive and V is a finite-dimensional rational G -representation, the invariant ring $K[V]^G$ is finitely generated. (See [DK15, 2.2.10])

Proof. Let $I_{>0}$ denote the ideal generated by all non-constant invariants in $K[V]^G$. Since $K[V]$ is noetherian (by Hilbert's Basissatz, see [Bos13, p. 131]), there exist finitely many linearly independent invariants $\{f_i\}_{i \in [r]} \subseteq K[V]^G$ such that $(\{f_i\}_{i \in [r]}) = I_{>0}$. We claim $K[\{f_i\}_{i \in [r]}] = K[V]^G$.

The inclusion " \subseteq " is clear. To show is " \supseteq ". This is equivalent to showing that for all $d \in \mathbb{N}$ we have $K[V]_d^G \subseteq K[\{f_i\}_{i \in [r]}]_d$. We will show our claim via induction over the degree d . For $g \in K[V]_0^G = K$ we are already done since $K \subseteq K[\{f_i\}_{i \in [r]}]$. Now assume that for $d \in \mathbb{N}$ we have $K[V]_c^G \subseteq K[\{f_i\}_{i \in [r]}]_c$ for all $c \leq d$. Let $g \in K[V]_{d+1}^G$. By construction, $g \in I_{>0}$, therefore there exist $\{g_i\}_{i \in [r]} \subseteq K[V]$ such that $g = \sum_{i=1}^r g_i f_i$. Since the f_i are non-constant and linearly independent, and since $\deg g = d+1$, we must have $\deg g_i \leq d$. We now make use of the Reynolds Operator: We have

$$g = R(g) = R\left(\sum_{i=1}^r g_i f_i\right) = \sum_{i=1}^r R(g_i) f_i \quad .$$

Since the Reynolds operator R maps $K[V]_c$ to $K[V]_c^G$ for all $c \in \mathbb{N}$, we have $R(g_i) \in K[V]_{\leq d}^G \subseteq K[\{f_i\}_{i \in [r]}]_1$ by our induction hypothesis. This finally implies $g \in K[\{f_i\}_{i \in [r]}]$, which concludes our proof. We have $K[V]^G = K[\{f_i\}_{i \in [r]}]$, which means that $K[V]^G$ is finitely generated, which was to show. \square

¹ $K[V]_{\leq d} = \bigoplus_{c=0}^d K[V]_c$ are the polynomials in $K[V]$ of degree at most d .

Example 3.12 Let K be an algebraically-closed field. Consider GL_n viewed as the group of all change-of-coordinates transformations for endomorphisms on K^n , that is the rational representation $V = K^{n \times n}$ with the action

$$\begin{aligned} \mu: \quad \mathrm{GL}_n \times V &\longrightarrow V \\ (\sigma, A) &\longmapsto \sigma A \sigma^{-1}. \end{aligned}$$

We will later show that GL_n is linearly reductive. Hilbert's finiteness theorem then gives us that $K[V]^{\mathrm{GL}_n}$ is finitely generated. We will now look at what the invariant ring looks like in detail.

What are the invariants? The invariants are exactly those polynomials that are independent of the choice of the basis. The most well-known invariant is the determinant. From this observation we can find even more: It follows that the characteristic polynomial of a matrix A , that is $\det(tI_n - A)$, does not change under a change of coordinates. If we write

$$\det(tI_n - A) = \sum_{i=0}^n p_i(A)t^i,$$

this means that every p_i is an invariant polynomial in $K[V]$. This is how one usually proves that the trace, denoted by tr , is an invariant polynomial after observing that $p_{n-1} = \mathrm{tr}$. This raises the question if there exist other invariants than these p_i . It is in fact the case that we have $K[V]^{\mathrm{GL}_n} = K[\{p_i\}_{0 \leq i \leq n}]$.

Proof. Consider $D := \{\delta \in V \mid \delta \text{ diagonalizable}\} \subseteq K[V]$. Since $M := \{A \in V \mid \mathrm{disc}(\det(tI_n - A)) \neq 0\}$ ¹ is Zariski-open and therefore Zariski-dense in V due to V being irreducible (see [Gat17, 1.3.4, 1.3.5]), and since $M \subseteq D$, we also have that D is Zariski-dense in V . For this reason, we will look at the evaluation of an invariant polynomial $p \in K[V]^{\mathrm{GL}_n}$ only on elements in D , and can deduce what polynomial it is.

Let $p \in K[V]^{\mathrm{GL}_n}$. We define a projection onto the diagonal: $\pi: K^{n \times n} \rightarrow K^n, [A_{i,j}]_{i,j \in [n]} \mapsto (A_{i,i})_{i \in [n]}$. Now consider the polynomial $\tilde{p} := p \circ \mathrm{diag} \in K[K^n]$ ². We claim that \tilde{p} is S_n -invariant: If $M_\tau \in \mathrm{GL}_n$ is the permutation matrix corresponding to $\tau \in S_n$, then for all $\tau \in S_n$ and for all $X \in K^n$ we have

$$\begin{aligned} \tau \cdot \tilde{p}(X) &= \tilde{p}(\tau^{-1} \cdot X) = p(\mathrm{diag}(\tau^{-1} \cdot X)) = p(M_\tau^{-1} \cdot \mathrm{diag}(X)) \\ &= M_\tau \cdot p(\mathrm{diag}(X)) = p(\mathrm{diag}(X)) = \tilde{p}(X). \end{aligned}$$

From the fundamental theorem of symmetric polynomials (see [Bos13, p. 164]), it follows that $\tilde{p} \in \mathrm{span}\{e_i\}_{i=0}^n$, say $\tilde{p} = \sum_{i=0}^n \lambda_i e_i$, where $\{e_i\}_{i=0}^n$ are the elementary symmetric polynomials of dimension n . Let us now define $s(A) := \sigma_A \cdot A$, where for every $A \in D$ we choose some $\sigma_A \in \mathrm{GL}_n$ such that $\sigma_A \cdot A$ is diagonal. We see that since p is an invariant, we have $p = p \circ s = \tilde{p} \circ \pi \circ s$, therefore $p = \sum_{i=0}^n \lambda_i e_i \circ \pi \circ s$. Now we want to show that $e_i \circ \pi \circ s = p_i$, which would conclude our claim. For all $A \in D$ we have

$$\sum_{i=0}^n (e_i \circ \pi \circ s)(A)t^i = \det(t - \sigma_A \cdot A) = \det(t - A) = \sum_{i=0}^n p_i(A)t^i.$$

¹disc denotes the discriminant, see [Bos13, p.172-173].

² $\mathrm{diag}: K^n \rightarrow K^{n \times n}, (x_i)_{i \in [n]} \mapsto [\delta_{i,j} x_i]_{i,j \in [n]}$ is the embedding of K^n in $K^{n \times n}$ as diagonal matrices.

This shows $e_i \circ \pi \circ s = p_i$ for all $0 \leq i \leq n$ and concludes our claim $K[V]^{\mathrm{GL}_n} = K[\{p_i\}_{0 \leq i \leq n}]$. \square

Example 3.13 Assume that K is algebraically closed. Consider the group $G = \mathrm{SL}_n$ and the vector space $V = \{A \in K^{n \times n} \mid A^T = A\}$. Now we will look at the following action:

$$\begin{aligned} \mu: \quad \mathrm{SL}_n \times V &\longrightarrow V \\ (S, A) &\longmapsto SAS^T, \end{aligned}$$

which defines a rational representation of SL_n . After we show that SL_n is linearly reductive, Hilbert's finiteness theorem gives us that $K[V]^{\mathrm{SL}_n}$ is finitely generated. We will now look at what the invariant ring exactly looks like. We claim that $K[V]^{\mathrm{SL}_n} = K[\det(Z)]$ ¹.

Proof. For $B \in K^{n,n}$, we define $A' := \mathrm{diag}(b_i)_{i \in [n]}$ where $b_1 := \det(B)$ and $a_i := 1$ for $2 \leq i \leq n$ as in corollary 4.5. Now assume that $f \in K[V]^{\mathrm{SL}_n}$. Define $h := (B \mapsto f(B')) \in K[\det(Z)]$. We claim that $f = h$.

Because $X := \{A \in V \mid \det(A) \neq 0\}$ is Zariski-open and therefore Zariski-dense in V (since V is irreducible, see [Gat17, 1.3.4, 1.3.5]), we have $g_1(A) = g_2(A)$ for all $A \in X$ if and only if $g_1 = g_2$ for $g_1, g_2 \in K[V]$. Now let $A \in X$. There exists a $\sigma \in \mathrm{SL}_n$ such that $\sigma.A = \sigma A \sigma^T$ is a diagonal matrix, say $\sigma.A = \mathrm{diag}(\lambda_i)_{i \in [n]}$ (see [Fis14, p. 325]). We then have $\det(A) = \det(\sigma A \sigma^T) = \prod_{i=1}^n \lambda_i$. Since $A \in X$, we have $\lambda_i \neq 0$ for all $i \in [n]$. Using that K is algebraically closed, we define $\nu_i := 1/(\lambda_i^{1/2})$ for $2 \leq i \leq n$ (where $\lambda_i^{1/2}$ is any choice of a square root) and $\nu_1 := \prod_{i=2}^n \lambda_i^{1/2}$, from which we obtain $\tau := \mathrm{diag}(\nu_i)_{i \in [n]} \in \mathrm{SL}_n$. This leads to us having $\tau.\mathrm{diag}(\lambda_i)_{i \in [n]} = A'$, which implies that we have $f(A) = (\tau\sigma)^{-1}.f(A) = f(A') = h(A)$, showing $f = h \in K[\det(Z)]$.

Conversely, it should be clear that we have $K[\det(Z)] \subseteq K[V]^{\mathrm{SL}_n}$, which concludes $K[\det(Z)] = K[V]^{\mathrm{SL}_n}$. \square

For linearly reductive groups G , we have so far only shown that $K[V]^G$ is finitely generated for rational G -representations V . It is in fact also true that $K[X]^G$ is finitely generated for affine G -varieties X , if K is algebraically closed, as we will soon show.

Lemma 3.14 Let K be an algebraically closed field and V and W be rational representations of a linearly reductive group G . For a surjective G -equivariant linear map $A: V \twoheadrightarrow W$ we have $A(V^G) = W^G$. (See [DK15, 2.2.8])

Proof. Let $A: V \twoheadrightarrow W$ be a surjective G -equivariant linear map. Let $Z := \ker A$, which is a submodule of V since A is G -equivariant. Since G is linearly reductive and since K is algebraically closed, we can apply theorem 3.9 and get a submodule W' of V such that $V = Z \oplus W'$. This yields an isomorphism of G -modules $A|_{W'}: W' \xrightarrow{\sim} W$, which implies $A(V^G) = A(Z^G + W'^G) = A(W'^G) = A(W')^G = W^G$. \square

¹ $Z = [Z_{\min\{i,j\}, \max\{i,j\}}]_{i,j \in [n]}$ is to be viewed as the symmetric matrix of the coordinate functions $\{Z_{i,j}\}_{i,j \in [n], i < j}$ of V .

To show that $K[X]^G$ is finitely generated for a linearly reductive group G and an affine G -variety X , we want to reduce the problem to a rational representation, for which we have already shown this statement in theorem 3.11, Hilbert's finiteness theorem. The following lemma will allow us to do exactly that.

Lemma 3.15 Let X be an affine G -variety. Then there exists a rational G -representation V and a G -equivariant embedding $i: X \hookrightarrow V$. (See [DK15, A1.9])

Proof. We choose generators $\{f_i\}_{i \in [r]}$ of $K[X]$ and define $W := \sum_{i \in [r]} V_{f_i}$, which is a finite-dimensional G -submodule of $K[X]$ containing $\{f_i\}_{i \in [r]}$. This gives us the G -equivariant morphism of affine varieties $i: X \rightarrow W^*$, $x \mapsto (w \mapsto w(x))$. This is injective, since W contains a generating set of $K[X]$, which means that i is an embedding. \square

Example 3.16 (The domain of the cross ratio): We would like to look at four distinct points in the projective line over an algebraically closed field K . Since the projective line isn't an affine variety, we will look at points in K^2 to make the situation affine and regular, which will make some things different from the setting in projective geometry.

Consider $(K^2)^4$ and the coordinate functions $\{(X_i)_k\}_{i \in [4], k \in [2]}$. We write $X_i = \begin{pmatrix} (X_i)_1 \\ (X_i)_2 \end{pmatrix}$ for $i \in [4]$. Define $q := \prod_{i,j \in [r], i < j} \det(X_i, X_j)$. As described in 2.2, we have an affine variety

$$X := \{(x_1, x_2, x_3, x_4) \in (K^2)^4 \mid q(x_1, x_2, x_3, x_4) \neq 0\}$$

with the coordinate ring $K[X] = K[\{(X_i)_k\}_{i \in [4], k \in [2]}, q^{-1}]$. Now consider the regular action of GL_2 on X via pointwise application, that is $\mu: \mathrm{GL}_2 \times X \rightarrow X$, $(\sigma, (x_1, x_2, x_3, x_4)) \mapsto (\sigma x_1, \sigma x_2, \sigma x_3, \sigma x_4)$. Lemma 3.15 now gives us that there exists a GL_2 -equivariant embedding $i: X \hookrightarrow V$, where V is a rational G -representation. We will now give a concrete embedding.

The Rabinowitsch-trick gives us the inclusion $i: X \hookrightarrow K \times (K^2)^4$ as described in proposition 2.2. If we now define an action on $K \times (K^2)^4$ by $(\sigma, (z, x_1, x_2, x_3, x_4)) \mapsto (\det(\sigma)^{-6} z, \sigma x_1, \sigma x_2, \sigma x_3, \sigma x_4)$, it should be clear that i is a GL_2 -equivariant morphism of affine varieties.

We will later come back to this example when we discuss the cross ratio, whose domain will be described as X in our affine setting.

Lemma 3.17 Assume that K is algebraically closed and that G is linearly reductive. Let X be an affine G -variety, V a rational G -representation of and $i: X \hookrightarrow V$ a G -equivariant embedding. The surjective G -equivariant ring homomorphism $i^*: K[V] \rightarrow K[X]$ then has the property $i^*(K[V]^G) = K[X]^G$. (See [DK15, 2.2.9])

Proof. We obviously have $i^*(K[V]^G) \subseteq K[X]^G$. Now let $f \in K[X]^G$. Since i^* is surjective, there exists a $g \in K[V]$ such that $i^*(g) = f$. We consider the finite-dimensional G -submodule $V_g \subseteq K[V]$, and we notice that since i^* is G -equivariant, $W := i^*(V_g)$ is a finite-dimensional G -submodule of $K[X]$. By lemma 3.14 we have $i^*(V_g^G) = W^G$, in particular $f \in i^*(V_g^G) \subseteq i^*(K[V]^G)$. This concludes $i^*(K[V]^G) = K[X]^G$. \square

We now have the necessary tools to show a more general version of Hilbert's finiteness theorem.

Theorem 3.18 (Hilbert's finiteness theorem for affine varieties): If K is an algebraically closed field, G is a linearly reductive group and X is an affine G -variety, $K[X]^G$ is finitely generated.
(See [DK15, 2.2.11])

Proof. By lemma 3.15, there exists a rational representation V of G and an embedding $i: X \hookrightarrow V$. By theorem 3.11 there exist $\{f_i\}_{i \in [r]} \subseteq K[V]$ such that $K[V]^G = K[\{f_i\}_{i \in [r]}]$. By lemma 3.17 we have $K[X]^G = i^*(K[V]^G) = i^*(K[\{f_i\}_{i \in [r]}]) = K[\{i^*(f_i)\}_{i \in [r]}]$, which shows that $K[X]^G$ is finitely generated. \square

Example 3.19 (The domain of the cross ratio): Consider the affine GL_2 -variety $X := \{(x_1, x_2, x_3, x_4) \in (K^2)^4 \mid q(x_1, x_2, x_3, x_4) \neq 0\}$ with the coordinate ring $K[X] = K[\{(X_i)_k\}_{i \in [4], k \in [2]}, q^{-1}]$, where $q := \prod_{i,j \in [r], i < j} \det(X_i, X_j)$, and the regular action by pointwise application, that is the action $\mu: \mathrm{GL}_2 \times X \rightarrow X$, $(\sigma, (x_1, x_2, x_3, x_4)) \mapsto (\sigma x_1, \sigma x_2, \sigma x_3, \sigma x_4)$, as in example 3.16. Our condition $q(x_1, x_2, x_3, x_4) \neq 0$ is equivalent to saying that for $i \neq j$ we have $x_i \notin \mathrm{span} x_j$, which allows us to define the cross ratio $\mathrm{cr} \in K[X]$ as follows

$$\begin{aligned} \mathrm{cr}: X &\longrightarrow K \\ (x_1, x_2, x_3, x_4) &\longmapsto \frac{\det(x_1, x_2) \det(x_3, x_4)}{\det(x_2, x_3) \det(x_4, x_1)} \end{aligned}$$

This map, along with the maps $\{\mathrm{cr}(X_{\pi_1}, X_{\pi_2}, X_{\pi_3}, X_{\pi_4})\}_{\pi \in S_4}$, is an invariant, which is very important in projective geometry.

This raises the question of what other invariants exist. Hilbert's finiteness theorem (3.18) gives us that the ring of all invariants $K[X]^{\mathrm{GL}_2}$ is finitely generated.

We still have the problem that Hilbert's finiteness theorem only gives us the existence of a finite generating set of the invariant ring, it does not give us an idea of what they look like.

With Cayley's Ω -process, we will later be able to get more concrete answers.

3.3 The Reynolds operator of a group

In theorem 3.8 we have learned about different characterizations of linearly reductive groups, but for a given linear algebraic group, it is still hard to concretely show that it is linearly reductive. We will now learn about an additional way to show that a given group is linearly reductive: If, for a given linear algebraic group G , the *Reynolds operator* R_G of G exists (which will be defined shortly), we can show that G is then linearly reductive. This directly motivates Cayley's Ω -process.

Definition 3.20 (Reynolds operator of a group): Let G be a linear algebraic group. The multiplication $m: G \times G \rightarrow G$ makes G a G -variety with the coordinate ring $K[G]$. Assume that for this action there exists a Reynolds operator $R_G: K[G] \rightarrow K[G]^G = K$ which is G -invariant from the left and from the right, that is for all $\sigma \in G$ and $p \in K[G]$ we not only have $R_G(\sigma \cdot p) = R_G(p)$,

but also $R_G(\sigma p) = R_G(p)$ (see definition 2.17). We then call R_G a **Reynolds operator of G** .

We notice that the Reynolds operator R_G of a group G is an element of the dual space of the coordinate ring $K[G]^*$. In the following, we will define a K -algebra structure on $K[G]^*$, after which we can give any G -module V the structure of a $K[G]^*$ -module.

Definition 3.21 Define the multiplication on $K[G]^*$, denoted by $*$, as follows: For $\alpha, \beta \in K[G]^*$, we define

$$\alpha * \beta := (\alpha \otimes \beta) \circ m^* ,$$

where $m: G \times G \rightarrow G$ is the group multiplication and $m^*: K[G] \rightarrow K[G] \otimes K[G]$ denotes the algebraic comomorphism. Concretely, if for $f \in K[G]$ we have $m^*(f) = \sum_i g_i \otimes h_i \in K[G] \otimes K[G]$, we get $(\alpha * \beta)(f) = \sum_i \alpha(g_i) \beta(h_i)$ for $\alpha, \beta \in K[G]^*$.

(See [DK15, A.2.1])

Proposition 3.22 The multiplication $*$ turns $K[G]^*$ into an associative K -algebra with the neutral element $\epsilon := \epsilon_e$ (Note: $\epsilon_\sigma(f) = f(\sigma)$ for $\sigma \in G$), where $e \in G$ is the neutral element of G .

(See [DK15, A2.2])

Proof. From the associativity of the multiplication of the group G , that is for all $\alpha, \beta, \mu \in G$ we have $m(m(\alpha, \beta), \mu) = m(\alpha, m(\beta, \mu))$, we observe that

$$(m^* \otimes \text{id}) \circ m^* = (\text{id} \otimes m^*) \circ m^*$$

holds true. Then, for $\delta, \gamma, \varphi \in K[G]^*$ we obtain

$$\begin{aligned} (\delta * \gamma) * \varphi &= (((\delta \otimes \gamma) \circ m^*) \otimes \varphi) \circ m^* \\ &= ((\delta \otimes \gamma) \otimes \varphi) \circ (m^* \otimes \text{id}) \circ m^* \\ &= (\delta \otimes (\gamma \otimes \varphi)) \circ (\text{id} \otimes m^*) \circ m^* \\ &= (\delta \otimes ((\gamma \otimes \varphi) \circ m^*)) \circ m^* = \delta * (\gamma * \varphi) , \end{aligned}$$

showing the associativity. It should be clear that $\epsilon = \epsilon_e$ is the neutral element. This concludes that $K[G]^*$ is an associative K -algebra. \square

For a given rational G -representation V , our motivation is to extend the action of G on V to an action of $K[G]^*$ on V . It will be an extension in the sense that we can embed G into $K[G]^*$ as $\{e_\sigma \mid \sigma \in G\} \subseteq K[G]^*$.

Definition 3.23 Let $\mu: G \times V \rightarrow V$ be a rational linear action, from which we obtain $\mu': V \rightarrow K[G] \otimes V$ as described in definition 2.8, that is, we have $\mu(\sigma, v) = ((\epsilon_\sigma \otimes \text{id}) \circ \mu')(v)$ for all $\sigma \in G$ and $v \in V$, where $\epsilon_\sigma: K[G] \rightarrow K$, $p \mapsto p(\sigma)$ describes the evaluation homomorphism for $\sigma \in G$. For $\delta \in K[G]^*$ and for $v \in V$ we define

$$\delta \cdot v := ((\delta \otimes \text{id}) \circ \mu')(v) .$$

(See [DK15, A.2.9])

Proposition 3.24 Definition 3.23 defines a $K[G]^*$ -module structure on V .
(See [DK15, A2.10])

Proof. First, we show that this definition defines a group action. We define $m: G \times G \rightarrow G$ by $(\sigma, \tau) \mapsto m(\tau, \sigma)$, and observe that

$$(\text{id} \otimes \mu') \circ \mu' = (m^* \otimes \text{id}) \circ \mu' ,$$

using the fact that μ is an action. For any $\gamma, \delta \in G$ and $v \in V$ we therefore get

$$\begin{aligned} \gamma \cdot (\delta \cdot v) &= ((\gamma \otimes \text{id}) \circ \mu' \circ (\delta \otimes \text{id}) \circ \mu')(v) \\ &= ((\gamma \otimes \text{id}) \circ (\delta \otimes \text{id} \otimes \text{id}) \circ (\text{id} \otimes \mu') \circ \mu')(v) \\ &= ((\delta \otimes \gamma \otimes \text{id}) \circ (m^* \otimes \text{id}) \circ \mu')(v) \\ &= (((\gamma \otimes \delta) \circ m^*) \otimes \text{id}) \circ \mu')(v) = (\gamma * \delta) \cdot v \end{aligned}$$

This shows that our definition yields an action. Since all operations are linear, we can conclude that V is a $K[G]^*$ -module. \square

If we look at definition 2.8, we can see that for a given G -module V , this newly defined $K[G]^*$ -action is an extension of the given G -action in the following way: The subgroup $\{\epsilon_\sigma \mid \sigma \in G\}$ of $K[G]^*$ is isomorphic to G , and its induced action coincides with the given action: For $\sigma \in G$ and for $v \in V$ we have:

$$\sigma \cdot v = \epsilon_\sigma \cdot v$$

This extension enables us to let R_G act on elements in V , which leads to a quite useful result.

Theorem 3.25 Let G be a linear algebraic group for which a Reynolds operator exists as in definition 3.20, and let X be an affine G -variety, which induces G -module structure on $K[X]$ as described in definition 2.15, which in turn gives $K[X]$ the structure a $K[G]^*$ -module as described in definition 3.23 and proposition 3.24. We notice that we have $R_G \in K[G]^*$. Then the map

$$\begin{aligned} R: K[X] &\longrightarrow K[X]^G \\ f &\longmapsto R_G \cdot f \end{aligned}$$

defines the Reynolds operator.

(See [DK15, 4.5.10])

Proof. As per our construction from definition 3.23, the linearity of this map should be clear. Let $f \in K[X]$, $\sigma \in G$ and $x \in X$. Write $\bar{\mu}'(f) = \sum_i p_i \otimes g_i \in K[G] \otimes K[X]$. Now we compute

$$\begin{aligned} \sigma \cdot (R_G \cdot f)(x) &= (R_G \cdot f)(\sigma^{-1} \cdot x) = \sum_i R_G(p_i) \sigma \cdot g_i(x) \\ &= \sum_i R_G(\sigma \cdot p_i) \sigma \cdot g_i(x) = (R_G \otimes \text{id})(\sum_i \sigma \cdot p_i \otimes \sigma \cdot g_i)(x) \\ &= (R_G \otimes \text{id})(\bar{\mu}'(f))(x) = (R_G \cdot f)(x) , \end{aligned}$$

where we make use of the G -invariance of R_G and proposition 2.16. This means that we have $R(K[X]) \subseteq K[X]^G$.

If $f \in K[X]^G$, we have $\bar{\mu}'(f) = 1 \otimes f$, therefore $R(f) = R_G \cdot f = R_G(1)f = f$. This gives us $R|_{K[X]^G} = \text{id}_{K[X]^G}$, showing that R is a projection of $K[X]$ onto $K[X]^G$.

Now let $\sigma \in G$, $f \in K[X]$, and assume $\bar{\mu}'(f) = \sum_{i=1}^r p_i \otimes g_i \in K[G] \otimes K[X]$. Making use of proposition 2.18, we then get

$$\begin{aligned} R_G \cdot (\sigma \cdot f) &= (R_G \otimes \text{id}) (\bar{\mu}'(\sigma \cdot f)) = (R_G \otimes \text{id}) \left(\sum_{i=1}^r \sigma \cdot p_i \otimes g_i \right) \\ &= \sum_{i=1}^r R_G(\sigma \cdot p_i) g_i = \sum_{i=1}^r R_G(p_i) g_i = R_G \cdot f, \end{aligned}$$

making use of proposition 2.17. This shows that R is G -invariant, which concludes that R is the Reynolds operator. \square

Corollary 3.26 If the Reynolds operator of G exists as described in definition 3.20, G is linearly reductive via characterization (c) of theorem 3.8. The Reynolds operator of G is unique by lemma 3.5(e).

This means that to prove that a linear algebraic group G is linearly reductive, it suffices to show that the Reynolds operator of G exists as described in definition 3.20. Additionally, if we have the Reynolds operator R_G of the group, we can then express the Reynolds operator $R: K[X] \rightarrow K[X]^G$ for any affine G -variety X in terms of R_G . *Cayley's Ω -process* will give us an explicit formula for the Reynolds operator of the general linear group GL_n , which means that we also have an explicit formula for the Reynolds operator of any affine GL_n -variety.

4 Cayley's Ω -process

This section closely follows chapter 4.5.3 in [DK15].

In the following, we will abbreviate $Z := [Z_{i,j}]_{i,j \in [n]}$ and $K[\{Z_{i,j}\}_{i,j \in [n]}] := K[Z]$.

We want to express the Reynolds Operator of the group GL_n in a concrete way. For the group GL_n , we can explicitly formulate it with the help of Cayley's Ω -Process, which was published by Arthur Cayley in 1846 [Cay46].

The idea is to express the Reynolds operator with formal derivatives of polynomials.

For fixed $k, l \in [n]$ and some $g \in K[Z]$ with $Z_{k,l} \nmid g$, the formal partial derivative of $gZ_{k,l}^e \in K[Z]$ with respect to $Z_{k,l}$ is $\frac{\partial}{\partial Z_{k,l}}(gZ_{k,l}^e) = egZ_{k,l}^{e-1} \in K[Z]$ for any $e \in \mathbb{N}$ ($gZ_{k,l}^e$ gets mapped to zero for $e = 0$). The linear extension of this defines a map $\frac{\partial}{\partial Z_{k,l}} \in \text{Hom}_K(K[Z], K[Z])$. We will from now on also abbreviate $\frac{\partial}{\partial Z} := \left[\frac{\partial}{\partial Z_{i,j}} \right]_{i,j \in [n]}$.

Definition 4.1 (Cayley's Ω -process): The map

$$\begin{aligned} \Omega: K[Z] &\longrightarrow K[Z] \\ f &\longmapsto \sum_{\sigma \in S_n} \text{sgn}(\sigma) \prod_{i=1}^n \frac{\partial}{\partial Z_{i,\sigma(i)}} f^1 \end{aligned}$$

¹ $\prod_{i=1}^n \frac{\partial}{\partial Z_{i,\sigma(i)}}$ here denotes the successive application of the formal partial derivatives.

is called **Cayley's Ω -process**. It can also be thought of as $\Omega = \det \left(\frac{\partial}{\partial Z} \right)$, where

$$\frac{\partial}{\partial Z} := \left[\frac{\partial}{\partial Z_{i,j}} \right]_{i,j \in [n]}.$$

(See [DK15, p. 193-194])

It is not yet apparent what Cayley's Ω -process has to do with the Reynolds operator. The next two lemmas will prepare defining the Reynolds operator with Cayley's Ω -process.

Lemma 4.2 Consider Cayley's Ω -process $\Omega: K[Z] \rightarrow K[Z]$ as described above and the algebraic cohomomorphism $m^*: K[Z] \rightarrow K[Z] \otimes K[Z]$ of the group multiplication $m: \text{GL}_n \times \text{GL}_n \rightarrow \text{GL}_n$ restricted to $K[Z]$. We then have

$$\left(\det(Z)^{-1} \otimes \Omega \right) \circ m^* = m^* \circ \Omega = \left(\Omega \otimes \det(Z)^{-1} \right) \circ m^*.$$

Here, for $p \in K[\text{GL}_n]$, we also view $p: K[\text{GL}_n] \rightarrow K[\text{GL}_n]$, $f \mapsto pf$ as the operation *multiply with p* for a polynomial $p \in K[\text{GL}_n]$, in this case $p = \det(Z)^{-1}$. (See [DK15, 4.5.22])

Proof. Let $f \in K[\text{GL}_n]$. Consider $m^*(f)$, which we here view as $m^*(f) \in K \left[\{X_{i,j}\}_{i,j \in [n]}, \det(X)^{-1}, \{Y_{i,j}\}_{i,j \in [n]}, \det(Y)^{-1} \right]$, where the $X_{i,j}$ are associated with the “left” input of m and the $Y_{i,j}$ are associated with the “right” input of m . For $k, l \in [n]$, we denote by $m_{k,l}: \text{GL}_n \times \text{GL}_n \rightarrow K$, $([x_{i,j}]_{i,j \in [n]}, [y_{i,j}]_{i,j \in [n]}) \mapsto \sum_{i=1}^n x_{k,i} y_{i,l}$ the (k, l) -entry of the group multiplication m . We have $m_{k,l} = \sum_{i=1}^n X_{k,i} Y_{i,l} \in K[\{X_{i,j}\}_{i,j \in [n]}, \{Y_{i,j}\}_{i,j \in [n]}]$.

For fixed $i, j \in [n]$ we calculate

$$\begin{aligned} \left(\text{id} \otimes \frac{\partial}{\partial Z_{i,j}} \right) (m^*(f)) &= \frac{\partial}{\partial Y_{i,j}} (f \circ m) \\ &= \sum_{k,l \in [n]} \left(\left(\frac{\partial}{\partial Z_{k,l}} f \right) \circ m \right) \cdot \frac{\partial}{\partial Y_{i,j}} m_{k,l} \\ &= \sum_{k=1}^n \left(\left(\frac{\partial}{\partial Z_{k,j}} f \right) \circ m \right) \cdot X_{k,i} \\ &= \sum_{k=1}^n (Z_{k,i} \otimes \text{id}) \left(m^* \left(\frac{\partial}{\partial Z_{k,j}} f \right) \right). \end{aligned}$$

In the second equation, we made use of the chain rule. Successively applying

this result yields

$$\begin{aligned}
(\text{id} \otimes \Omega)(m^*(f)) &= \sum_{\sigma \in S_n} \text{sgn}(\sigma) \left(\text{id} \otimes \prod_{i=1}^n \frac{\partial}{\partial Z_{i, \sigma(i)}} \right) (m^*(f)) \\
&= \sum_{\sigma \in S_n} \text{sgn}(\sigma) \sum_{k \in [n]^n} \left(\prod_{i=1}^n Z_{k(i), i} \otimes \text{id} \right) \left(m^* \left(\prod_{j=1}^n \frac{\partial}{\partial Z_{k(j), \sigma(j)}} f \right) \right) \\
&= \sum_{k \in [n]^n} \left(\prod_{i=1}^n Z_{k(i), i} \otimes \text{id} \right) \left(m^* \left(\sum_{\sigma \in S_n} \text{sgn}(\sigma) \prod_{j=1}^n \frac{\partial}{\partial Z_{k(j), \sigma(j)}} f \right) \right) \\
&= \sum_{k \in S_n} \left(\prod_{i=1}^n Z_{k(i), i} \otimes \text{id} \right) \left(m^* \left(\sum_{\sigma \in S_n} \text{sgn}(\sigma) \prod_{j=1}^n \frac{\partial}{\partial Z_{k(j), \sigma(j)}} f \right) \right) \\
&= \sum_{k \in S_n} \left(\prod_{i=1}^n Z_{k(i), i} \otimes \text{id} \right) (m^*(\text{sgn}(k)\Omega f)) \\
&= (\det(Z) \otimes \text{id})(m^*(\Omega f)) .
\end{aligned}$$

In the fourth equation, we are able to eliminate all terms with $k \in [n]^n \setminus S_n$ since if there exist $i \neq j$ such that $k(i) = k(j)$, the term $\sum_{\sigma \in S_n} \text{sgn}(\sigma) \prod_{j=1}^n \frac{\partial}{\partial Z_{k(j), \sigma(j)}} f$ consists of pairs of sums that cancel each other out, due to the nature of the sign function.

This immediately shows the first equality, and the second equality is proven analogously. \square

Lemma 4.3 For $p \in \mathbb{N}$, $c_{p,n} := \Omega^p \det(Z)^p = \det\left(\frac{\partial}{\partial Z}\right)^p \det(Z)^p$ is a positive integer.

(See [DK15, 4.5.26])

Proof. Write $\det(Z)^p = \sum_i a_i q_i(Z)$, where $a_i \in \mathbb{Z} \setminus \{0\}$ and q_i are (monic) monomials. Then

$$\Omega^p \det(Z)^p = \sum_i a_i q_i \left(\frac{\partial}{\partial Z} \right) \sum_j a_j q_j(Z) .$$

Notice that $q_i \left(\frac{\partial}{\partial Z} \right) q_j(Z)$ is zero for $i \neq j$ and a strictly positive integer for $i = j$, since the formal partial derivative lowers the degree of a polynomial by exactly one or maps the polynomial to zero. Therefore in particular

$$c_{p,n} = \sum_i a_i^2 q_i \left(\frac{\partial}{\partial Z} \right) q_i(Z) \in \mathbb{N}_{>0} .$$

\square

Now, finally, we have the tools to see the following way of expressing the Reynolds Operator.

Theorem 4.4 For $p \in \mathbb{N}$ and $\tilde{f} \in K[Z]_{pn}$, we define for $f = \frac{\tilde{f}}{\det(Z)^p}$

$$R(f) := \frac{\Omega^p \tilde{f}}{c_{p,n}} .$$

The linear extension of this (mapping any $g = \frac{\tilde{g}}{\det(Z)^p} \in K[\mathrm{GL}_n]$ to zero for $\tilde{g} \in K[Z]_m$ with $m \neq pn$), defines the Reynolds Operator R_{GL_n} , which makes GL_n *linearly reductive*.
(See [DK15, 4.5.27])

Proof. First, check that this is well-defined: For any such term, expanding the fraction by $\det(Z)^q$ will yield the same result. Also, Ω^p is linear for any $p \in \mathbb{N}$. We shall now show that R is GL_n -invariant from the left and from the right. Let $p \in \mathbb{N}$, $\tilde{f} \in K[\mathrm{GL}_n]_{pn}$ and $f := \frac{\tilde{f}}{\det(Z)^p}$. For $\beta, \gamma \in \mathrm{GL}_n$, we notice

$$\begin{aligned} R(\beta.f)(\gamma) &= R\left(\frac{\det(\beta)^p \cdot \beta.\tilde{f}}{\det(Z)^p}\right)(\gamma) = \frac{\det(\beta)^p \cdot \Omega^p(\beta.\tilde{f})(\gamma)}{c_{p,n}} \\ &= \frac{1}{c_{p,n}} \cdot (\epsilon_{\beta^{-1}} \otimes \epsilon_\gamma) \left(((\det(Z)^{-p} \cdot \otimes \Omega^p) \circ m^*)(\tilde{f}) \right) \\ &= \frac{1}{c_{p,n}} \cdot (\epsilon_{\beta^{-1}} \otimes \epsilon_\gamma) \left(((\Omega^p \otimes \det(Z)^{-p}) \circ m^*)(\tilde{f}) \right) \\ &= \frac{\Omega^p(\gamma.\tilde{f})(\beta^{-1}) \cdot \det(\gamma^{-1})^p}{c_{p,n}} \\ &= R\left(\frac{\gamma.\tilde{f} \cdot \det(\gamma^{-1})^p}{\det(Z)^p}\right)(\beta^{-1}) = R(\gamma.f)(\beta^{-1}) . \end{aligned}$$

Since each $\frac{\partial}{\partial Z_{i,j}}$ lowers the degree of a monomial by one or maps it to zero, R maps to K , and therefore for all $\delta \in \mathrm{GL}_n$ and $g \in K[\mathrm{GL}_n]$ we will write $R(g)(\delta) = R(g) \in K$. We then get for all $\beta, \gamma \in \mathrm{GL}_n$

$$R(\beta.f) = R(\beta.f)(\gamma) = R(\gamma.f)(\beta^{-1}) = R(\gamma.f) .$$

This means that for $\sigma \in G$ and $p \in K[\mathrm{GL}_n]$, we have $R(\sigma.p) = R(I_n.p) = R(p)$ and $R(\sigma.p) = R(I_n.p) = R(p)$, showing that R is GL_n -invariant from the left and from the right. Finally, the definition immediately gives us that R restricted to K is the identity.

This shows that R is a Reynolds-operator of GL_n , and as mentioned in lemma 3.5(e), the uniqueness of the Reynolds Operator implies that we can write $R = R_{\mathrm{GL}_n}$. \square

Now we will look at the Reynolds Operator R_{SL_n} , which we can express in terms of R_{GL_n} .

Corollary 4.5 With the identification $K[\mathrm{GL}_n] = K\left[\{Z_{k,l}\}_{k,l \in [n]}, \det(Z)^{-1}\right]$, view $K[\mathrm{SL}_n] = K[\mathrm{GL}_n]/I$, where $I = (\det(Z) - 1)$. Now, for $p \in \mathbb{N}$ and $f \in K[Z]_{pn}$ we define

$$R(f \bmod I) := R_{\mathrm{GL}_n}\left(\frac{f}{\det(Z)^p}\right) \bmod I = \frac{\Omega^p \tilde{f}}{c_{p,n}} \bmod I \in K[\mathrm{SL}_n] .$$

The linear extension of this (mapping $g \bmod I$ to zero whenever $g \in K[Z]_m$ with $n \nmid m$), defines the Reynolds Operator R_{SL_n} , making SL_n *linearly reductive*.
(See [DK15, 4.5.28])

Proof. First, we will show $K[\mathrm{GL}_n]^{\mathrm{SL}_n} = K[\det(Z), \det(Z)^{-1}]$ (action by left multiplication).

For $B \in K^{n,n}$, define $B' := \mathrm{diag}(b_i)_{i \in [n]}$, where $b_1 := \det(\beta)$ and $b_i := 1$ for $2 \leq i \leq n$. Let $g \in K[\mathrm{GL}_n]^{\mathrm{SL}_n}$, and let $\alpha \in \mathrm{GL}_n$. Note that $\alpha(\alpha')^{-1} \in \mathrm{SL}_n$. Define $h := (\beta \mapsto g(\beta')) \in K[\det(Z), \det(Z)^{-1}]$. We have

$$g(\alpha) = \alpha(\alpha')^{-1} \cdot g(\alpha) = g(\alpha' \alpha^{-1} \alpha) = g(\alpha') = h(\alpha),$$

which shows that $g = h \in K[\det(Z), \det(Z)^{-1}]$. Conversely it is easy to see that $K[\det(Z), \det(Z)^{-1}] \subseteq K[\mathrm{GL}_n]^{\mathrm{SL}_n}$.

Now we define a map $\hat{R}: K[\mathrm{GL}_n] \rightarrow K[\mathrm{GL}_n]^{\mathrm{SL}_n}$ as follows: For $p, r \in \mathbb{N}$, $\tilde{f} \in K[\{Z_{k,l \in [n]}\}]_{rn}$, and $f = \frac{\tilde{f}}{\det(Z)^p}$, define

$$\hat{R}(f) := \det(Z)^{r-p} \cdot \frac{\Omega^r \tilde{f}}{c_{r,n}} = \det(Z)^{r-p} \cdot R_{\mathrm{GL}_n} \left(\frac{\tilde{f}}{\det(Z)^r} \right).$$

As before we define the images of the other elements by linear extension.

Well-definedness follows from the same observations as in the proof of theorem 4.4. This map is the identity on $K[\mathrm{GL}_n]^{\mathrm{SL}_n}$: If $f \in K[\mathrm{GL}_n]^{\mathrm{SL}_n}$, then f must be a linear combination of terms of the form $\frac{\det(Z)^r}{\det(Z)^p}$. Without loss of generality we can assume that either $p = 0$ or $r = 0$. Then it should be clear that f gets mapped to itself. Finally, we can see that \hat{R} is SL_n -invariant from the left and from the right: Let $p, r \in \mathbb{N}$, $\tilde{f} \in K[\{Z_{k,l \in [n]}\}]_{rn}$, and $f = \frac{\tilde{f}}{\det(Z)^p}$. Then for all $\alpha \in \mathrm{SL}_n$ we have

$$\begin{aligned} \hat{R}(\alpha.f) &= \hat{R} \left(\frac{\det(\alpha)^p \cdot \alpha.\tilde{f}}{\det(Z)^p} \right) \\ &= \det(Z)^{r-p} \cdot R_{\mathrm{GL}_n} \left(\frac{\det(\alpha)^p \cdot \alpha.\tilde{f}}{\det(Z)^r} \right) \\ &= \det(Z)^{r-p} \cdot R_{\mathrm{GL}_n} \left(\frac{\det(\alpha)^r \cdot \alpha.\tilde{f}}{\det(Z)^r} \right) \\ &= \det(Z)^{r-p} \cdot R_{\mathrm{GL}_n} \left(\alpha. \left(\frac{\tilde{f}}{\det(Z)^r} \right) \right) \\ &= \det(Z)^{r-p} \cdot R_{\mathrm{GL}_n} \left(\frac{\tilde{f}}{\det(Z)^r} \right) = \hat{R}(f), \end{aligned}$$

where we used $\det(\alpha)^p = 1 = \det(\alpha)^r$ and the GL_n -invariance of R_{GL_n} . The GL_n -invariance from the right is shown analogously. Thus we have shown that \hat{R} is the Reynolds-Operator for the action of SL_n on GL_n by left-multiplication, which is also SL_n -invariant from the right.

Noting that $\det(Z) \bmod I = 1 \bmod I$, this shows our proposed statement that $R = R_{\mathrm{SL}_n}$ does define the Reynolds operator of SL_n . \square

Example 4.6 We will apply Cayley's Ω -process in the setting of example 3.13 for $n = 2$, that is the the SL_2 -representation $V = \{A \in K^{2 \times 2} \mid A^T = A\}$ with

the action

$$\begin{aligned}\mu: \quad \mathrm{SL}_2 \times V &\longrightarrow V \\ (S, A) &\longmapsto SAS^T.\end{aligned}$$

Now consider the following for $S \in \mathrm{SL}_2$ and $A \in V$:

$$S = \begin{bmatrix} s_{1,1} & s_{1,2} \\ s_{2,1} & s_{2,2} \end{bmatrix} \quad A = \begin{bmatrix} a_{1,1} & a_{1,2} \\ a_{1,2} & a_{2,2} \end{bmatrix}$$

$$S^{-1} = \begin{bmatrix} s_{2,2} & -s_{1,2} \\ -s_{2,1} & s_{1,1} \end{bmatrix}.$$

We then have

$$\begin{aligned}S^{-1}.A &= S^{-1}A(S^{-1})^T \\ &= \begin{bmatrix} a_{1,1}s_{2,2}^2 - 2a_{1,2}s_{1,2}s_{2,2} & -a_{1,1}s_{2,1}s_{2,2} + a_{1,2}s_{1,1}s_{2,2} \\ +a_{2,2}s_{1,2}^2 & +a_{1,2}s_{1,2}s_{2,1} - a_{2,2}s_{1,1}s_{1,2} \\ -a_{1,1}s_{2,1}s_{2,2} + a_{1,2}s_{1,1}s_{2,2} & a_{1,1}s_{2,1}^2 - 2a_{1,2}s_{1,1}s_{2,1} \\ +a_{1,2}s_{1,2}s_{2,1} - a_{2,2}s_{1,1}s_{1,2} & +a_{2,2}s_{1,1}^2 \end{bmatrix}\end{aligned}$$

Notice that we also have

$$\begin{aligned}\det\left(\frac{\partial}{\partial S}\right)^n &= \left(\frac{\partial}{\partial S_{1,1}}\frac{\partial}{\partial S_{2,2}} - \frac{\partial}{\partial S_{1,2}}\frac{\partial}{\partial S_{2,1}}\right)^n \\ &= \sum_{k=0}^n (-1)^k \binom{n}{k} \left(\frac{\partial}{\partial S_{1,1}}\right)^{n-k} \left(\frac{\partial}{\partial S_{1,2}}\right)^k \left(\frac{\partial}{\partial S_{2,1}}\right)^k \left(\frac{\partial}{\partial S_{2,2}}\right)^{n-k}.\end{aligned}$$

It is quite cumbersome to calculate the Reynolds Operator of general polynomials. We will look at the monomial $Z_{1,1}^2 \in K[V]$, for which we have

$$\begin{aligned}\bar{\mu}'(Z_{1,1}^2) &= S_{2,2}^4 \otimes Z_{1,1}^2 - 4S_{1,2}S_{2,2}^3 \otimes Z_{1,1}Z_{1,2} + 2S_{1,2}^2S_{2,2}^2 \otimes Z_{1,1}Z_{2,2} \\ &\quad + 4S_{1,2}^2S_{2,2}^2 \otimes Z_{1,2}^2 - 4S_{1,2}^3S_{2,2} \otimes Z_{1,2}Z_{2,2} + S_{1,2}^4 \otimes Z_{2,2}^2.\end{aligned}$$

We can now apply the Reynolds operator in the way we discussed it in proposition 3.25 in combination with Cayley's Ω -process. Since all terms in $K[\mathrm{SL}_2]$ are already of degree 2, we apply the same to each summand and calculate:

$$\begin{aligned}R_G \cdot Z_{1,1}^2 &= \left(\left(\frac{\partial}{\partial S_{1,1}}\right)^2 \left(\frac{\partial}{\partial S_{2,2}}\right)^2 - 2\frac{\partial}{\partial S_{1,1}}\frac{\partial}{\partial S_{1,2}}\frac{\partial}{\partial S_{2,1}}\frac{\partial}{\partial S_{2,2}} + \left(\frac{\partial}{\partial S_{1,2}}\right)^2 \left(\frac{\partial}{\partial S_{2,1}}\right)^2 \right) \cdot Z_{1,1}^2 \\ &= 0.\end{aligned}$$

The zero-polynomial is a trivial invariant, so we see that applying the Reynolds Operator to a polynomial will not always produce interesting results.

We will try again for the polynomial $Z_{1,2}^2 \in K[V]$. We calculate

$$\begin{aligned}
& \mu'(Z_{1,2}^2) \\
&= S_{2,1}^2 S_{2,2}^2 \otimes Z_{1,1}^2 - 2S_{1,1} S_{2,1} S_{2,2}^2 \otimes Z_{1,1} Z_{1,2} \\
&\quad - 2S_{1,2} S_{2,1}^2 S_{2,2} \otimes Z_{1,2}^2 + 2S_{1,1} S_{1,2} S_{2,1} S_{2,2} \otimes Z_{1,1} Z_{2,2} \\
&\quad + S_{1,1}^2 S_{2,2}^2 \otimes Z_{1,2}^2 + 2S_{1,1} S_{1,2} S_{2,1} S_{2,2} \otimes Z_{1,2}^2 \\
&\quad - 2S_{1,1}^2 S_{1,2} S_{2,2} \otimes Z_{1,2} Z_{2,2} + S_{1,2}^2 S_{2,1}^2 \otimes Z_{1,2}^2 \\
&\quad - 2S_{1,1} S_{1,2}^2 S_{2,1} \otimes Z_{1,2} Z_{2,2} + S_{1,1}^2 S_{1,2}^2 \otimes Z_{2,2}^2 .
\end{aligned}$$

Again, all $K[\mathrm{SL}_2]$ terms are of degree 2, therefore we can simplify and calculate

$$\begin{aligned}
& R_G \cdot Z_{1,2}^2 \\
&= \left(\frac{\partial^2}{\partial S_{1,1}} \frac{\partial^2}{\partial S_{2,2}} - 2 \frac{\partial}{\partial S_{1,1}} \frac{\partial}{\partial S_{1,2}} \frac{\partial}{\partial S_{2,1}} \frac{\partial}{\partial S_{2,2}} + \frac{\partial^2}{\partial S_{1,2}} \frac{\partial^2}{\partial S_{2,1}} \right) \cdot Z_{1,2}^2 \\
&= -\frac{4}{12} Z_{1,1} Z_{2,2} + \frac{4}{12} Z_{1,2}^2 - \frac{4}{12} Z_{1,2}^2 + \frac{4}{12} Z_{1,2}^2 \\
&= -\frac{1}{3} \det(Z) .
\end{aligned}$$

This is in line with what we showed in example 3.13: $K[V]^{\mathrm{SL}_2} = K[\det(Z)]$.

5 Further discussion

We will discuss some additional topics that build upon the themes of this work. This section will be of less mathematical rigor and is meant to be a motivation for further discussion of the topics.

5.1 A complete algorithm for obtaining generators of the invariant ring

Our motivation for having a construction of the Reynolds operator was to not only see that GL_n is linearly reductive, but also to yield some concrete invariants. It would also be very helpful if we could somehow produce a generating set for the invariant ring.

In example 4.6, we saw that applying the Reynolds operator to any polynomial does not always result in retrieving a non-constant invariant. It suggests that we somehow need to find the “correct” polynomials to apply the Reynolds operator to. The following proposition gives us exactly that.

Proposition 5.1 Let V be a rational G -representation where G is linearly reductive, and let $I_{>0}$ denote the ideal generated by all non-constant invariants in $K[V]^G$. If $I_{>0} = (\{f_i\}_{i \in [r]})$ for some homogeneous polynomials $\{f_i\}_{i \in [r]} \subseteq K[V]$, we then have $I_{>0} = (\{R(f_i)\}_{i \in [r]})$ and $K[V]^G = K[\{R(f_i)\}_{i \in [r]}]$. (See [DK15, prop. 4.1.1])

In the proof of Hilbert’s finiteness theorem (3.11), we made use of the existence of a finite set of invariants generating $I_{>0}$, which was non-constructively given. The previous proposition looks helpful since we have a construction for

the Reynolds operator for $G = \mathrm{GL}_n$ via Cayley's Ω -process, but the problem still remains that we need to have a finite set of homogeneous polynomials generating $I_{>0}$, whose existence is also non-constructively given.

It is in fact possible to compute them with Groebner bases, which is extensively described in [DK15, 4.1.9]. This gives us a complete algorithm that takes as its input all of the information necessary to describe our rational representation, which can all be given in terms of polynomials, and outputs a list of generators of the invariant ring.

5.2 The cross ratio

In examples 3.16 and 3.19 we discussed the cross ratio. Our setting was affine and in K^2 , which makes our results different from the projective setting, where there are not very many other invariants other than the cross ratio.

Using the same conventions and definitions as in the aforementioned examples, we can define the projective cross ratio:

$$\begin{aligned} \mathrm{cr}: Y &\longrightarrow K \\ ([x_1], [x_2], [x_3], [x_4]) &\longmapsto \frac{\det(x_1, x_2) \det(x_3, x_4)}{\det(x_2, x_3) \det(x_4, x_1)} \end{aligned}$$

where $Y \subseteq P(K^2)^4$ is the set of all pairwise distinct four-tuples of points in $P(K^2)$. It should be clear that the map cr is well-defined. The action of GL_2 on X induces an action of the projective general linear group PGL_n on Y . In the projective setting, we will get a different looking set of invariants, which we will discuss right now.

Let $f: Y \longrightarrow K$ be an invariant regular function. If $X_1, X_2, X_3, Y_1, Y_2, Y_3 \in P(K^2)$ with X_1, X_2 and X_3 pairwise distinct and Y_1, Y_2 and Y_3 pairwise distinct, then an important theorem in projective geometry is that there exists a (unique) projective transformation $\rho \in \mathrm{PGL}(K^2)$ such that $\rho(X_1) = Y_1$, $\rho(X_2) = Y_2$ and $\rho(X_3) = Y_3$ (see [Aud03, prop 5.6]), in other words PGL_2 acts transitively on 3-tuples of pairwise distinct points in $P(K^2)$. Let $A, B, C, D \in Y$, which implies that B, C, D are pairwise distinct. For $x \in K$ we define $x_P := \begin{bmatrix} x \\ 1 \end{bmatrix}$ and $\infty_P := \begin{bmatrix} 1 \\ 0 \end{bmatrix}$. There then exists a $\rho \in \mathrm{PGL}(K^2)$ such that $\rho(B) = 0_P$, $\rho(C) = 1_P$ and $\rho(D) = \infty_P$. Since A is distinct from D we know $\rho(A) \neq \infty_P$, and therefore there exists some $a \in K$ such that $\rho(A) = \begin{bmatrix} a \\ 1 \end{bmatrix}$. We then compute

$$\begin{aligned} \rho(A) &= \begin{bmatrix} a \\ 1 \end{bmatrix} = \mathrm{cr} \left(\begin{bmatrix} a \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix} \right)_P \\ &= \mathrm{cr}(\rho(A), \rho(B), \rho(C), \rho(D))_P = \mathrm{cr}(A, B, C, D)_P. \end{aligned}$$

We then have

$$\begin{aligned} f(A, B, C, D) &= \rho^{-1}.f(A, B, C, D) = f(\rho(A), \rho(B), \rho(C), \rho(D)) \\ &= f(\mathrm{cr}(A, B, C, D)_P, 0_P, 1_P, \infty_P). \end{aligned}$$

This shows that in the projective setting, there don't exist more invariants than regular functions in the cross ratio.

In our affine setting, it suggests that we can transfer the idea. If we had $f(A, B, C, D) = f\left(\begin{pmatrix} \mathrm{cr}(A, B, C, D) \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \end{pmatrix}\right) \in K[\mathrm{cr}, p(\begin{pmatrix} \mathrm{cr} \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \end{pmatrix})^{-1}]$ for

all invariants $f \in K[X]^{\text{GL}_2}$, we would then have shown that $K[\text{cr}, p(\binom{\text{cr}}{1}, \binom{0}{1}, \binom{1}{1}, \binom{1}{0})^{-1}] = K[\text{cr}, (\text{cr} \cdot (\text{cr} - 1))^{-1}] = K[\text{cr}, \text{cr}(X_1, X_3, X_4, X_2)]$ are all invariants. This is not true though, since for instance $\frac{\det(X_1, X_2)}{\det(X_3, X_4)} \in K[X]^{\text{GL}_2}$ is an invariant not included in $K[\text{cr}, \text{cr}(X_1, X_3, X_4, X_2)]$.

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