CS 294 Special Topics in Database Theory Unit 1: Logic and Queries

Dan Suciu

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Welcome!

This course is intended for graduate students interested in getting deeper into data management technologies: understanding the underlying theory.

I am a professor at the University of Washington, attending the SIMONS institute Logic and Algorithms in Database Theory and Al, and the recipient of the Theory of Computing Chancellor's Professorship at UC Berkeley.

So, this course is a one-time offering.

Tentative Course Outline

Tue	Thu	Unit	Topic	Lecturer
8/29	8/31	U1	Queries and Static Analysis.	
9/5				
	9/7	U2	Hypertree Decomposition.	
9/12	9/14	U3	Incremental View Maintenance	Dan Olteanu
9/19		U4	AGM Bound	
	9/21		MCO1	Hung Ngo
9/25-9/27: WS 1: Fine-grained Complexity, Logic, Query Eval				
10/3	10/5	U5	Database Constraints.	
10/10	10/11	U6	Probabilistic databases	
10/16-10/20: WS 2: Probabilistic Circuits and Logic				
10/24	10/26	U7	Semirings, K-Relations.	
10/31		U8	FAQ	Hung Ngo
	11/2	U9	Datalog, Chase.	
11/7	11/9			
11/13-11/17: WS 3: Logic and Algebra for Query Evaluation				
11/21	11/28	U10	TBD	

Recommended Readings



The "Alice Book" [Abiteboul et al., 1995]

Libkin's *Finite Model Theory* [Libkin, 2004] A much shorter tutorial in PODS [Libkin, 2009].

New upcoming book on Database Theory [Arenas et al., 2022].

Basic Definitions

Structures

A vocabulary σ is a set of relation symbols R_1, \ldots, R_k and function symbols f_1, \ldots, f_m , each with a fixed arity.

A structure is
$$\mathbf{D} = (D, R_1^D, \dots, R_k^D, f_1^D, \dots, f_m^D)$$
, where $R_i^D \subseteq (D)^{\operatorname{arity}(R_i)}$ and $f_i^D : (D)^{\operatorname{arity}(f_i)} \to D$.

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D= the *domain* or the *universe*; always assumed $\neq \emptyset$. $v \in D$ is called an *element* or a *value* or a *point*. D called a *structure* or a *model* or *database*.

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A graph is
$$G = (V, E), E \subseteq V \times V$$
.

A field is $\mathbb{F} = (F, 0, 1, +, \cdot)$ where

- F is a set.
- 0 and 1 are constants (i.e. functions $F^0 \to F$).
- + and · are functions $F^2 \rightarrow F$.

An ordered set is $S = (S, \leq)$ where $\leq \subset S \times S$.

A database is $\mathbf{D} = (Domain, Customer, Order, Product)$.

Discussion

- We don't really need functions, since $f: D^k \to D$ is represented by its graph $\subseteq D^{k+1}$, but we keep them when convenient.
- If f is a 0-ary function $D^0 \to D$, then it is a constant D, and we denote it c rather than f.
- D can be a finite or an infinite structure.

First Order Logic

Fix a vocabulary σ and a set of variables x_1, x_2, \dots

Terms:

- Every constant c and every variable x is a term.
- If t_1, \ldots, t_k are terms then $f(t_1, \ldots, t_k)$ is a term.

Formulas:

- **F** is a formula (means false).
- If t_1, \ldots, t_k are terms, then $t_1 = t_2$ and $R(t_1, \ldots, t_k)$ are formulas.
- If φ, ψ are formulas, then so are $\varphi \to \psi$ and $\forall x(\varphi)$.

Discussion

Basics

We were very frugal! We used only $\mathbf{F}, \rightarrow, \forall$.

In practice we use several derived operations:

- $\neg \varphi$ is a shorthand for $\varphi \to \mathbf{F}$.
- $\varphi \lor \psi$ is a shorthand for $(\neg \varphi) \to \psi$.
- $\varphi \wedge \psi$ is a shorthand for $\neg(\varphi \vee \psi)$.
- $\exists x(\varphi)$ is a shorthand for $\neg(\forall x(\neg \varphi))$.

F often denoted: false or \perp or 0.

= is not always part of the language

Formulas and Sentences

We say that $\forall x(\varphi)$ binds x in φ . Every occurrence of x in φ is bound. Otherwise, it is free.

A sentence is a formula φ without free variables.

E.g. formula $\varphi(x,z) = \exists y (E(x,y) \land E(y,z))$. Free variables: x,z.

E.g. sentence $\varphi = \exists x \forall z \exists y (E(x,y) \land E(y,z)).$

Basics

Let φ be a sentence, and **D** a structure

Definition

We say that φ is true in \mathbf{D} , written $|\mathbf{D}| = \varphi$, if:

- φ is c = c' and c, c' are the same constant.
- φ is $R(c_1,\ldots,c_n)$ and $(c_1,\ldots,c_n)\in R^D$.
- φ is $\psi_1 \to \psi_2$ and $\mathbf{D} \not\models \psi_1$, or $\mathbf{D} \models \psi_1$ and $\mathbf{D} \models \psi_2$.
- φ is $\forall y(\psi)$, and, forall $b \in D$, $\mathbf{D} \models \psi[b/y]$.

This definition is boring but important!

Special Case: Propositional Logic

A nullary relation, A(), is the same as a propositional variable:

- In any structure \mathbf{D} , A^D can be either \emptyset or $\{()\}$.
- If $A^D = \{()\}$ then we say that A^D is true.
- If $A^D = \emptyset$ then we say that A^D is false.

Sentences over nullary predicates are the same as propositional formulas:

$$A() \wedge (B() \vee \neg A())$$

$$\exists x \exists y \exists z (x \neq y) \land (x \neq z) \land (y \neq z)$$

$$\exists x \exists y \forall z (z = x) \lor (z = y)$$

$$\exists x \exists y \exists z (x \neq y) \land (x \neq z) \land (y \neq z)$$

"There are at least three elements", i.e. $|D| \geq 3$

$$\exists x \exists y \forall z (z = x) \lor (z = y)$$

$$\exists x \exists y \exists z (x \neq y) \land (x \neq z) \land (y \neq z)$$

"There are at least three elements", i.e. |D| > 3

$$\exists x \exists y \forall z (z = x) \lor (z = y)$$

"There are at most two elements", i.e. $|D| \leq 2$

Basics

What do these sentences say about D?

$$\forall x \exists y E(x, y) \lor E(y, x)$$

$$\forall x \forall y \exists z E(x, z) \land E(z, y)$$

$$\exists x \exists y \exists z (\forall u(u=x) \lor (u=y) \lor (u=z))$$
$$\land \neg E(x,x) \land E(x,y) \land \neg E(x,z)$$
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$$\land E(z,x) \land \neg E(z,y) \land \neg E(z,z)$$

$$\forall x \exists y E(x, y) \lor E(y, x)$$

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Basics

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It completely determines the graph: $D = \{a, b, c\}$ and $a \rightarrow b \rightarrow c \rightarrow a$.

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Classical Model Theory

Fix a sentence φ , and a set of sentences Σ (may be infinite).

- Satisfiability: Σ is satisfiable if $\exists D$ such that $D \models \Sigma$. SAT(Σ).
- Implication: Σ implies φ if $\forall \mathbf{D}$, $\mathbf{D} \models \Sigma$ implies $\mathbf{D} \models \varphi$. $\Sigma \models \varphi$.
- Validity: φ is valid if $\forall \mathbf{D}$, $\mathbf{D} \models \varphi$. We write $\models \varphi$ or $VAL(\varphi)$.

$$\neg SAT(\varphi)$$
 iff $VAL(\neg \varphi)$

Completeness, Undecidability

Gödels Completeness Thm: $\Sigma \models \varphi$ iff there exists a finite proof $\Sigma \vdash \varphi$. Church's Undecidability Thm: VAL is undecidable. Hence, so is SAT.

We will not discuss what a "proof" $\Sigma \vdash \varphi$ means.

$$VAL = \{\varphi_0, \varphi_1, \varphi_2, \ldots\}$$

$$\mathit{UNSAT} = \{ arphi_0, arphi_1, arphi_2, \ldots \}$$

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Corollary

There exists an algorithm that enumerates all valid sentences:

$$VAL = \{\varphi_0, \varphi_1, \varphi_2, \ldots\}$$

There exists an algorithm that enumerates all unsatisfiable sentences:

$$\mathit{UNSAT} = \{\varphi_0, \varphi_1, \varphi_2, \ldots\}$$

We say that VAL is recursively enumerable, r.e., and SAT is co-r.e.

Finite Model Theory, Databases, Verification

All previous problems, where the models are restricted to be finite:

- Finite satisfiability: $SAT_{fin}(\Sigma)$.
- Finite implication: $\sum \models_{\text{fin}} \varphi$.
- Finite validity: $\models_{fin} \varphi$ or $VAL_{fin}(\varphi)$.

New problems that make sense only in the finite:

- Model checking: Given φ , **D**, determine whether **D** $\models \varphi$.
- Query evaluation: Given $\varphi(x)$, D, compute $\{a \mid D \models \varphi[a/x]\}$.

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- Is $\Phi \stackrel{\text{def}}{=} (\forall x \exists y E(x, y)) \wedge (\forall x_1 \forall x_2 \forall y (E(x_1, y) \wedge E(x_2, y) \Rightarrow x_1 = x_2))$ satisfiable?

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Yes: E.g.
$$E = \{(1,2), (2,3), (3,1)\}.$$

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• Is $\Phi \wedge (\exists y \forall x \neg E(x, y))$ (where Φ is defined above) satisfiable? Yes: $E = \{(0, 1), (1, 2), (2, 3), ...\}$

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- Is $\Phi \wedge (\exists y \forall x \neg E(x, y))$ (where Φ is defined above) satisfiable? Yes: $E = \{(0,1), (1,2), (2,3), \ldots\}$ But Not satisfiable in the finite. "Axioms of infinity" [Börger et al., 1997]

$$\mathtt{SAT}_{\mathsf{fin}}(\varphi) \Rightarrow \mathtt{SAT}(\varphi)$$

Finite v.s. Classical Model Theory

In relational databases we are interested in Finite Model Theory.

 VAL_{fin} , SAT_{fin} differ from VAL, SAT. Could they be VAL_{fin} , SAT_{fin} decidable

Finite v.s. Classical Model Theory

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VAL_{fin}, SAT_{fin} differ from VAL, SAT. Could they be VAL_{fin}, SAT_{fin} decidable

There is hope:

- In classical model theory VAL is r.e., SAT is co-r.e.
- In finite model theory SAT_{fin} is r.e. why?.

Trakhtenbrot's Undecidability Theorem

Theorem (Trakhtenbrot)

If the vocabulary includes at least one relation of arity > 2, then SAT_{fin} is undecidable. (We will prove it later.)

Therefore static analysis of arbitrary FO formulas is undecidable; same as for Turing-complete programming languages: this justifies studying fragments of FO, where static analysis is possible.

We will prove Trakthenbrot's theorem later.

The condition at least one relation of arity ≥ 2 is necessary. See Homework 1.

Summary

Classical Model Theory:

- Concerned with satisfiability, validity, provability.
- Major, fundamental results: Gödel's completeness; Church undecidability; the Compactness Theorem; Löwenheim–Skolem; Gödel's incompleteness.

Finite Model Theory:

- Concerned with similar questions, plus evaluation.
- Major, fundamental results: Trakhtentbrot's undecidability; Fagin's 0/1-law; Fagin's SO=NP theorem.

Origins

In 1970-1971 Tedd Codd proposed that databases should be modeled as finite structures, and queries represented by formulas.

A decade of debates followed, where the relational data model had to compete against the established CODASYL model.

This story is now the founding legend, par of the folklore of our community. A great reading is *What Goes Around Comes Around* in [Bailis et al., 2015].

Relational Databases

Fix the schema (vocabulary): R_1, R_2, \ldots

A relational database instance is a finite structure $\mathbf{D} = (D, R_1^D, R_2^D, \ldots)$

We often omit the domain and write $\mathbf{D} = (R_1^D, R_2^D, \ldots)$.

The active domain, $ADom(\mathbf{D})$, is the set of constants that occur in R_1^D, R_2^D, \dots

A query, Q(x), is an FO formula with free variables x. We write (with some overloading) Q(D) for the result of Q on a database D.

Introduced by [Ullman, 1980].

Frequents(Drinker,Bar)

Serves(Bar, Beer)

Likes(Drinker, Beer)

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Drinkers who frequent some bar who serve some beer that they like:

$$Q(x) = \exists y \exists z (\texttt{Frequents}(x, y) \land \texttt{Serves}(y, z) \land \texttt{Likes}(x, z))$$

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Frequents(Drinker,Bar)

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Drinkers who frequent <u>some</u> bar who serve <u>some</u> beer that they like:

$$Q(x) = \exists y \exists z (\texttt{Frequents}(x, y) \land \texttt{Serves}(y, z) \land \texttt{Likes}(x, z))$$

Drinkers who frequent only bars who serve only beers that they like:

$$Q(x) = \forall y (\texttt{Frequents}(x, y) \Rightarrow \forall z (\texttt{Serves}(y, z) \Rightarrow \texttt{Likes}(x, z)))$$

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Recall the "boring" definition: if $c \in D$, $c \notin \Pi_x(R^D)$ then Q(c) is true. Q returns values c that are not in the active domain; domain dependent.

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Are these queries independent?

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$$Q(x) = R(x) \wedge \exists y (\neg S(x, y))$$

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Proof Assuming an algorithm for checking domain independence, we solve SAT_{fin} , which contradicts Trakhtenbrot's theorem:

- Fix some domain-dependent query, say $\varphi = \forall x R(x)$.
- Given an FO sentence Φ , construct a new sentence $\psi \stackrel{\text{def}}{=} \Phi \wedge \varphi$.
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Q is range-restricted if each var is restricted to (a subset of) ADom.

$$Q(x) = \exists u(R(x, u) \lor S(x, u)) \land (\forall y(R(x, y) \Rightarrow S(x, y)))$$

Five operators:

- Selection σ
- Projection Π
- Join ⋈
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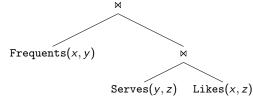
$$Q_2(x, y, z) = \text{Frequents}(x, y) \land \text{Serves}(y, z) \land \text{Likes}(x, z)$$

- Selection σ
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Five operators:

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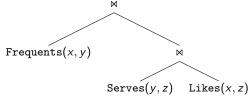
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$$Q_3(x) = A(x) \land \forall y (B(y) \Rightarrow C(x, y))$$

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Five operators:

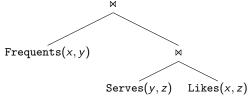
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Who likes Leffe?

$$egin{array}{c} \Pi_{\mathsf{x}} \ \mid \ \sigma_{\mathsf{y}=\mathtt{`leffe'}} \end{array}$$

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$$Q_3(x) = A(x) \land \forall y (B(y) \Rightarrow C(x,y))$$

$$Q_3(x) = A(x) \land \neg \exists y (B(y) \land \neg C(x,y))$$

Likes(x,y)

Five operators:

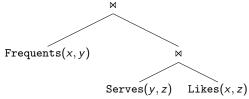
- Selection σ
- Projection Π
- Join ⋈
- Union ∪
- Difference –

Who likes Leffe?

$$Q_1(x) = \text{Likes}(x, '\text{Leffe'})$$

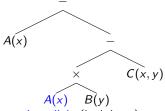


$$Q_2(x,y,z) = \texttt{Frequents}(x,y) \land \texttt{Serves}(y,z) \land \texttt{Likes}(x,z)$$



$$Q_3(x) = A(x) \land \forall y (B(y) \Rightarrow C(x,y))$$

$$Q_3(x) = A(x) \land \neg \exists y (B(y) \land \neg C(x,y))$$



Easier with an anti-semijoin (look it up).

FO and RA are Equivalent

Theorem

Domain-independent FO and Relational Algebra express the same class of queries.

Proof: exercise.

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Physical independence principle: separation of What from How.

- Users write what they want, in a declarative language (FO).
- System decides how to compute the query most efficiently (RA plan).

Summary

- Relational data model is founded on finite model theory.
- Physical Data Independence is perhaps the deepest reason why it is still successful 50 years later: separate the What from the How.
- What is in FO. But too abstract for the real world (e.g. domain independence!), hence SQL and its history.
- Why is RA. But too limited for the real world, hence extended with aggregates, group-by, dependent joins, anti-semijoins, etc., etc.
- FO used in databases beyond query expressions: for constraints, optimization rules, verification.

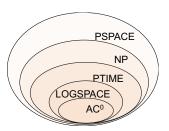


Complexity

A Turing-complete language can express any computable problem.

But FO is restricted. What is the complexity of the problems it can express?

First, are interested in the complexity class. Later we will study efficient algorithms.



The Query Evaluation Problem

Given a query Q and a database instance D, compute Q(D). This is the bread-and-butter of database engines.

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Definition (Complexity of Query Evaluation [Vardi, 1982])

Three ways to define the complexity:

- Data Complexity. Fix the query Q, complexity is $f(|\mathbf{D}|)$.
- Query Complexity. Fix the database D, complexity is f(|Q|). A.k.a. expression complexity.
- Combined Complexity, f(|Q|, |D|).

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Which is most important in practice?

Data Complexity of FO is in AC⁰

Theorem

The Data Complexity of FO is in AC⁰

(Stronger: it is in uniform AC⁰, but we will ignore this.)

Recall that AC^0 is at the bottom of the hierarchy: $AC^0 \subseteq LOGSPACE \subseteq \cdots \subseteq PTIME$

Before we prove the theorem let's prove something simpler: The Data Complexity of FO is in PTIME.

Dan Suciu

Data Complexity of FO is in PTIME: Proof

How do we evaluate this?
$$Q = \exists x (A(x) \land \forall y (B(y) \Rightarrow C(x,y)))$$

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```
some_x = false:
for x = 1.n do:
   if A(x) then:
           all_y = true
           for y = 1,n do:
              if not (B(y) => C(x,y))
              then: all_y = false;
   if all_v then: some_x = true;
return some_x
```

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\begin{split} \text{some.x} &= \text{false;} \\ \text{for x} &= 1, \text{n do:} \\ &\quad \text{if A(x) then:} \\ &\quad \text{all\_y} = \text{true} \\ &\quad \text{for y} = 1, \text{n do:} \\ &\quad \text{if not (B(y) => C(x,y))} \\ &\quad \text{then: all\_y} = \text{false;} \\ &\quad \text{if all\_y then: some\_x} = \text{true;} \\ &\quad \text{return some\_x} \end{split}
```

- Generalizes to any sentence φ .
- Runtime $O(N^k)$, where: $N = |\mathsf{ADom}|$ $k = |\mathsf{Vars}(\varphi)|$
- In PTIME (and in LOGSPACE), for fixed φ .

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Many texts state that the data complexity is in LOGSPACE, or in PTIME. The correct complexity is AC⁰. Let's prove it

Definition

A problem is in AC^0 if $\forall N$, there exists a circuit of polynomial size and constant depth, consisting NOT gates and unbounded fan-in AND and OR gates, that computes the problem on inputs of size N encoded using N bits.

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$$E_{12} \wedge E_{23} \wedge E_{13} \vee E_{12} \wedge E_{24} \wedge E_{14} \vee E_{23} \wedge E_{34} \wedge E_{24} \vee E_{34} \wedge E_{14} \wedge E_{13}$$

Relational Model Query Evaluation for FO Restrictions of FO Query Evaluation for CQ

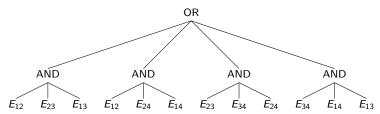
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In class: construct a circuit of depth 5 and size $O(n^2)$.

• Data complexity is in AC⁰; this implies LOGSPACE, PTIME.

Expression complexity, combined complexity: PSPACE complete
 We will discuss this later.

• AC⁰ is the class of highly parallelizable problems.

"SQL is embarrassingly parallel"

Restricted Query Languages

Motivation

 FO is too rich for powerful optimizations: Trakhtenbrot's theorem is a fundamental limit.

 For fragments of FO static analysis is possible, and they still capture the most important queries in practice.

• Assuming FO consists of $\exists, \forall, \land, \lor, \neg, =$, we will obtain fragments by restricting the connectives.

Conjunctive Queries

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- E.g. $Q(x,y) = \exists z (E(x,z) \land E(z,y)).$
- Equivalently: a CQ is an FO formula restricted to $=, \land, \exists$
- CQ has the same expressive power as RA restricted to σ, Π, \bowtie .
- These correspond to SELECT-FROM-WHERE queries in SQL (but we have to be careful what we allow in each clause).

Unions of Conjunctive Queries

Definition

A Union of Conjunctive Queries (UCQ) is a formula of the form:

$$Q(x) = \bigvee_{i} Q_{i}(x)$$

where all Q_i 's are CQs, and have the same sets of free variables.

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- Equivalently, UCQs are FO formulas restricted to $=, \land, \exists, \lor$.
- UCQ has the same expressive power as RA restricted to σ , Π , \bowtie , \cup .

Monotone Queries

Given two databases D, D' over the same schema, we write $D \subseteq D'$ if $R_i^D \subseteq R_i^{D'}$ for every relation R_i in the schema.

Definition

A query Q is monotone if $\mathbf{D} \subseteq \mathbf{D}'$ implies $Q(\mathbf{D}) \subseteq Q(\mathbf{D}')$.

All UCQ queries are monotone. Exercise

The only non-monotone operators are:

- negation ¬ in FO.
- difference in RA.

Other Ways to Restrict the Query Language (1/2)

Adding \neq , <, \leq to CQ, UCQ:

- By default they are not allowed in CQ, UCQ.
- If we want them, we write CQ^{\neq} or UCQ^{\leq} .
- $Q(x,y) = \exists u \exists v (E(x,u) \land E(u,v) \land E(v,y) \land x < u < v < y).$

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YES!

Restricting the number of variables in FO:

- FO^k : restricted to using only k variables.
- E.g. check in FO² if there a path of length \geq 5: $\exists x \exists y (E(x,y) \land \exists x (E(y,x) \land \exists y (E(x,y) \land \exists x (E(y,x)))))$

Other Ways to Restrict the Query Language (2/2)

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Theorem ([Grädel et al., 1997])

If $\varphi \in FO^2$ has any model (possibly infinite), then it has a model of size at most exponential in $|\varphi|$. Thus, $SAT_{fin}(FO^2)$ is decidable.

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What about FO³?

To watch how many variables we need to prove Trakhtenbrot's theorem

Conjunctive Queries

Are the most important and most studied fragment. Terminology:

- $Q() = \exists x \exists y \exists z (E(x, y) \land E(y, z)).$ Boolean guery: no head vars:
- Full query: no existential vars: $Q(x, y, z) = E(x, y) \wedge E(y, z).$
- Without selfjoins: every relation name occurs at most once.

$$Q(x) = \exists y \exists z (R(x,y) \land S(y,z) \land T(z,x)).$$

Restrictions of FO 000000000

We often omit the existential quantifiers, and write for example:

$$Q(x) = R(x, y) \wedge S(y, z) \wedge T(z, x).$$

Most of our discussion will be focused on CQ's.

UCQs come almost for free, or with very little additional effort.

• Let's re-examine query evaluation when the query is restricted to a CQ.

Motivation

We already know that the data complexity is in AC⁰.

What is the expression complexity? The combined complexity?

Will answer both, and also discuss the expression/combined complexity for FO (which we left out).

Importantly: we will define query evaluation for CQ in terms of Homomorphisms

Equivalent Concepts

• A Conjunctive Query: $R(x, y, z) \land S(x, u) \land S(y, v) \land S(z, w) \land R(u, v, w)$

A database instance:

$$R(A, B, C) = \begin{bmatrix} A & B & C \\ x & y & z \\ u & v & w \end{bmatrix}$$

$$S(D,E) = \begin{bmatrix} x & u \\ y & v \\ z & w \end{bmatrix}$$

• A labeled hypergraph, G = (V, E), where $V = \{x, y, z, u, v, w\}$, $E = \{\{x, y, z\}, \{u, v, w\}, \{x, u\}, \{y, v\}, \{z, w\}\}$ (hyperedges are labeled with R, S respectively).



Equivalent Concepts

• A Conjunctive Query: $R(x, y, z) \land S(x, y) \land S(y, y) \land S(z, y)$

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We will often switch back-and-forth between these equivalent notions

Homomorphisms

$$Q(\mathbf{x}_0) = R_1(\mathbf{x}_1) \wedge \cdots \wedge R_m(\mathbf{x}_m), \ Q'(\mathbf{y}_0) = S_1(\mathbf{y}_1) \wedge \cdots \wedge S_n(\mathbf{y}_n).$$

Definition

A homomorphism $h: Q' \rightarrow Q$ is a function

 $h: \mathtt{Const}(Q') \cup \mathtt{Vars}(Q') o \mathtt{Const}(Q) \cup \mathtt{Vars}(Q) ext{ s.t.: }$

- $\forall c \in \text{Const}(Q'), \ h(c) = c.$
- $S_j(\mathbf{y}_j) \in \text{Atoms}(Q')$, $\exists R_i(\mathbf{x}_i) \in \text{Atoms}(Q)$ such that $R_i = S_j$ (the are the same relation name) and $h(\mathbf{y}_i) = \mathbf{x}_i$.
- h maps head vars to head vars: $h(\mathbf{y}_0) = \mathbf{x}_0$.

$$Q(\mathbf{x}_0) = R_1(\mathbf{x}_1) \wedge \cdots \wedge R_m(\mathbf{x}_m), \ Q'(\mathbf{y}_0) = S_1(\mathbf{y}_1) \wedge \cdots \wedge S_n(\mathbf{y}_n).$$

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E.g. graph homomorphism $h: G' \to G$ is $h: V \to V'$ s.t. $\forall e \in E'$, $h(e) \in E$.

Query Evaluation for CQ and Homomorphisms

Computing $Q(\mathbf{D})$ consists of finding all homomorphisms $h: Q \to D$ and returning h(Head(Q)).

$$Q(x) = R(x) \wedge S(x, y) \wedge T(y, 'a')$$

We list all homomorphisms:

$$h = \begin{array}{|c|c|c|c|c|} \hline x(= \operatorname{Head}(Q)) & y & a \\ \hline & 1 & 10 & a \\ & 1 & 20 & a \\ & 2 & 20 & a \\ \hline \end{array}$$

Final answer after duplicate elimination: $Q(\mathbf{D}) = \{1, 2\}.$

The Combined Complexity for UCQ is in NP

Theorem

The combined complexity for UCQ is in NP.

Proof: Fix a UCQ $Q = Q_1 \vee Q_2 \vee \cdots$ and a database D.

To check $D \models Q$:

- "guess" a CQ Q_i, and
- "guess" a homomorphism $h: Q_i \to D$

Theorem

There exists a database **D** for which the expression complexity of CQ queries is NP complete.

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Given a 3CNF formula Φ we construct Q_{Φ} , D such that:

 Φ is satisfiable iff $\exists h: Q_{\Phi} \to \mathbf{D}$.

Notice that D is independent of Φ .

Details next.

Given a 3CNF formula Φ we construct Q_{Φ} , D such that:

$$\Phi$$
 is satisfiable iff $\exists h: Q_{\Phi} \to \mathbf{D}$.

 Q_{Φ} has one atom for each clause C in Φ :

• If $C = (X_i \vee X_j \vee X_k)$ then Q contains $A(x_i, x_j, x_k)$.

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- If $C = (X_i \vee \neg X_i \vee \neg X_k)$ then Q contains $C(x_i, x_i, x_k)$.
- If $C = (\neg X_i \lor \neg X_j \lor \neg X_k)$ then Q contains $D(x_i, x_j, x_k)$.

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- If $C = (X_i \vee \neg X_i \vee \neg X_k)$ then Q contains $C(x_i, x_i, x_k)$.
- If $C = (\neg X_i \lor \neg X_i \lor \neg X_k)$ then Q contains $D(x_i, x_i, x_k)$.

D has 4 tables with 7 tuples each which tuple is missing?

$$A = \begin{bmatrix} 0 & 0 & 1 \\ & \vdots & \\ 1 & 1 & 1 \end{bmatrix}$$

$$A = \begin{bmatrix} 0 & 0 & 1 \\ & \vdots & \\ 1 & 1 & 1 \end{bmatrix} \qquad B = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ & \vdots & \\ 1 & 1 & 1 \end{bmatrix}$$

$$C = \dots$$
 $D = \dots$

Given a 3CNF formula Φ we construct Q_{Φ} , \boldsymbol{D} such that:

$$\Phi$$
 is satisfiable iff $\exists h: Q_{\Phi} \to \mathbf{D}$.

 Q_{Φ} has one atom for each clause C in Φ :

- If $C = (X_i \vee X_i \vee X_k)$ then Q contains $A(x_i, x_i, x_k)$.
- If $C = (X_i \vee X_i \vee \neg X_k)$ then Q contains $B(x_i, x_i, x_k)$.
- If $C = (X_i \vee \neg X_i \vee \neg X_k)$ then Q contains $C(x_i, x_i, x_k)$.
- If $C = (\neg X_i \lor \neg X_i \lor \neg X_k)$ then Q contains $D(x_i, x_i, x_k)$.

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$$C = \dots$$
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In class: Φ is satisfiable iff $\exists h : Q \to \mathbf{D}$.

Dan Suciu

Combined Complexity for FO

Recall that the combined complexity of FO is in PSPACE.

Theorem

There exists a database **D** for which the expression complexity of FO queries is PSPACE complete.

Thus, the combined complexity is also PSPACE-complete.



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Proof: Reduction from the Quantified Boolean Formula Satfiability:

$$Q_1X_1 \ Q_2X_2 \ \cdots \ Q_nX_n \ \Phi$$

where Φ is 3CNF.

Use the same Q_{Φ} , **D** before, but add appropriate quantifiers to Q_{Φ} :

$$Qx_1 \ Qx_2 \ \cdots \ Q_nx_n \ Q_{\Phi}(x_1,\ldots,x_n)$$

Discussion: CQ and CSP

The generalized Constraint Satisfaction Problem is:

Definition ([Kolaitis and Vardi, 1998])

Given two classes of finite structures A, B, the CSP(A, B) problem is:

Given $A \in \mathcal{A}, B \in \mathcal{B}$, is there a homomorphism $h : A \to B$?

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Standard CSP restricts the right-hand side, CSP(-,B). What is B for 3SAT? For 3-colorability? For Hamiltonean path?

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Standard CSP restricts the right-hand side, CSP(-, B). What is B for 3SAT? For 3-colorability? For Hamiltonean path?

Query evaluation restricts the left-hand side, CSP(Q, -)

"Query evaluation is CSP from the other side."

Summary

• Evaluating $Q(\mathbf{D})$ consists of finding homomorphisms $h: Q \to \mathbf{D}$.

This problem is in NP, in fact it is the very definition of NP.

• If Q is fixed, then the problem is in PTIME in |D|. Data complexity

• If Q is part of the input (i.e. can be huge) then NP-complete. Expression complexity



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