

# CS294-248 Special Topics in Database Theory

## Unit 9: Datalog (Part 2)

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# Announcements

- Next Tuesday, Nov. 28: office hours 2pm-4:30pm.
- Please submit a short report on your project by Wednesday, Nov. 29.
- Project presentations: Thursday, Nov. 30, 9:30am, Calvin 116. More details TBD.

# Recursion and Negation

## Recap: Datalog

- Datalog = set of rules.
- Immediate consequence operator
- Least fixpoint semantics
- Naive algorithm  $J^{(0)} \subseteq J^{(1)} \subseteq \dots$

Example:

$$\begin{aligned} T(X, Y) &:- E(X, Y) \\ T(X, Y) &:- T(X, Z) \wedge E(Z, X) \end{aligned}$$

Non-example:

$$C(X) :- A(X) \wedge \neg B(X)$$

What happens if we allow negation?

# Three Examples

Transitive closure  
of the complement graph:

$$\begin{aligned} EC(X, Y) &:- V(X) \wedge V(Y) \wedge \neg E(X, Y) \\ T(X, Y) &:- EC(X, Y) \\ T(X, Y) &:- T(X, Z) \wedge EC(Z, X) \end{aligned}$$

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The Win-Move Game:

$$W(X) :- E(X, Y) \wedge \neg W(Y)$$

(will explain it later)

## But Recursion and Negation Don't Mix

EDB is  $S = \{1\}$

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A simpler example:

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ICO not monotone! Need new semantics

# Outline

- Semi-positive, stratified datalog
- Semantics motivated by logic.
- Semantics motivated by computation.

Mostly based on [Abiteboul et al., 1995].

# Semi-Positive and Stratified Datalog

# Semi-positive Datalog

EDB atoms may be positive or negated.

IDB atoms can only be positive.

Example: transitive closure of the **complement graph**:

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The Immediate Consequence Operator is monotone.

**Semantics**: least fixpoint of the ICO.

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  - ▶ For positive atoms  $A(\mathbf{X}) :- \dots \wedge B(\mathbf{Y}) \wedge \dots$ :  $s(A) \geq s(B)$ .
  - ▶ For any negative atoms  $A(\mathbf{X}) :- \dots \wedge \neg B(\mathbf{Y}) \wedge \dots$ :  $s(A) > s(B)$ .

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- **Semantics**: for each stratum  $s = 1, 2, \dots$ , view it as a semi-positive datalog program, compute its fixpoint.
- The output is called **perfect model**; it is not a minimal model!

## Example

$$\begin{aligned} T(X, Y) &:- E(X, Y) \\ T(X, Y) &:- T(X, Z) \wedge E(Z, X) \\ \text{Answ}(X, Y) &:- V(X) \wedge V(Y) \wedge \neg T(X, Y) \end{aligned}$$

Stratum 1:  $T$

Stratum 2:  $\text{Answ}$

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Semantics:

$T$  = transitive closure, Answ = its complement

This is **not** the least fixpoint (minimal model) **why??**

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The following is also a fixpoint:<sup>1</sup>

$T = V \times V, \text{Answ} = \emptyset$

---

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# Discussion

- Stratified datalog is by far the most popular extension of datalog with negation.
- It is limited: it completely prevents the interleaving of recursion and negation. The following is not allowed:

$$A \text{ :- } \neg B$$
$$B \text{ :- } \neg A$$

# Logic-Based Extensions

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- Stable Models
- Well Founded Model

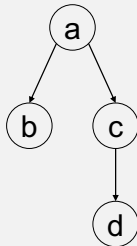
Representative example: the [Win-Move Game](#) (next)

# The Win-Move Game

- Players I, II take turns moving a pebble in a graph.
- Player who cannot move loses.
- For each node  $X$ , does Player I have a winning strategy?

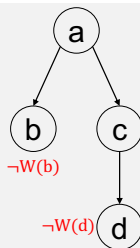
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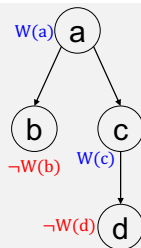
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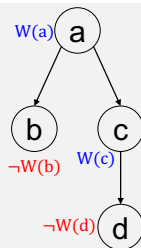
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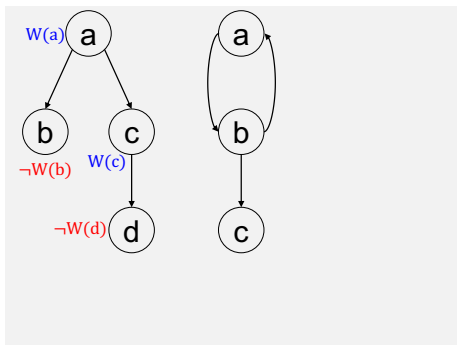


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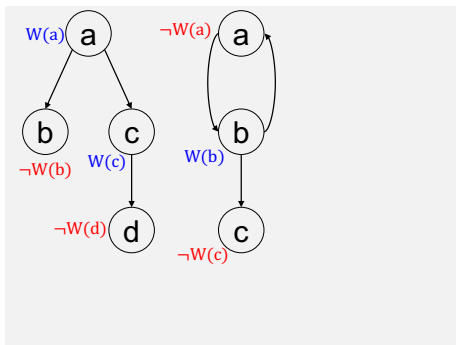
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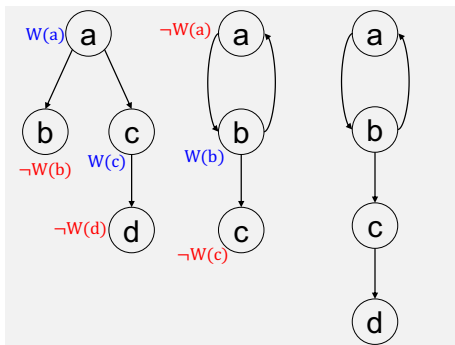
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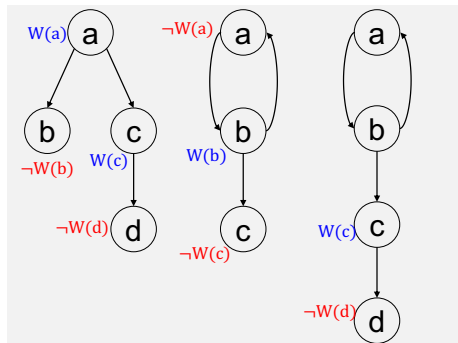
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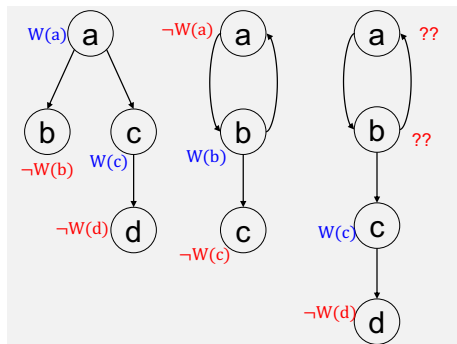
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# Discussion

- **Least Fixpoint Logic (LFP)** is FO extended with monotone fixpoint.  
E.g. the win-move game:

$$\text{lf}_{\text{p}_{W(x)}}(\exists y(E(x, y) \wedge \forall z(E(y, z) \Rightarrow W(z))))$$

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Before that we discuss two simple technical constructs:

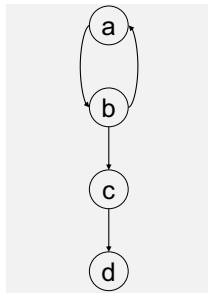
**grounded program** and **reduct**

# The Grounded Datalog Program

A **grounded** atom, or a **fact**, is an atom without variables

A **grounded rule** is a rule whose atoms are grounded.

The **grounding** of a program  $P$  consists of all possible groundings of its rules



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$$W(a) \text{ :- } E(a, b) \wedge \neg W(b)$$

$$W(b) \text{ :- } E(b, a) \wedge \neg W(a)$$

$$W(b) \text{ :- } E(b, c) \wedge \neg W(c)$$

$$W(c) \text{ :- } E(c, d) \wedge \neg W(d)$$

# The Reduct

$P \stackrel{\text{def}}{=} \text{the grounded program, } J = \text{any set of grounded atoms;}$

The **reduct**,  $P_J$  is obtained as follows:

- Remove all rules with a negated atom in  $J$ .
- Remove all remaining negated atoms.

$P_J$  is monotone;       $\text{lfp}(P_J)$  exists;       $J_1 \subseteq J_2$  implies  $\text{lfp}(P_{J_1}) \supseteq \text{lfp}(P_{J_2})$

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$\text{Ifp}(P_J) = \{W(a), W(b)\}$

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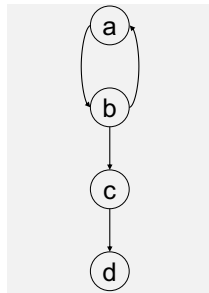
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# Stable Models

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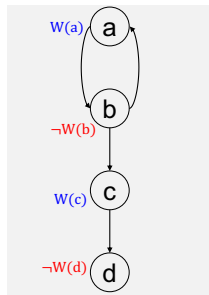
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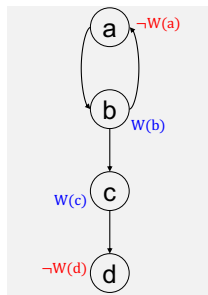
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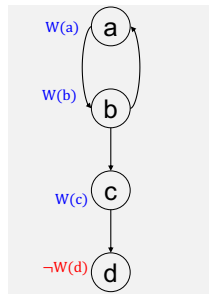
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Non-example:  $J = \{W(a), W(b), W(c)\}$  **why??**



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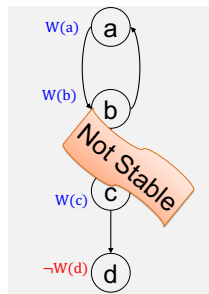
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# Stable Models

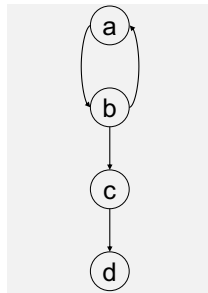
$J$  is a **stable model** if  $J = \text{lfp}(P_J)$

Example:  $J = \{W(a), W(c)\}$

Example:  $J = \{W(b), W(c)\}$

Non-example:  $J = \{W(a), W(b), W(c)\}$  why??

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# Stable Models

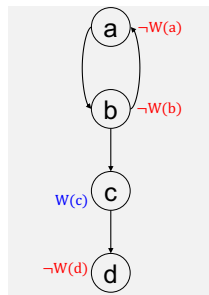
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## Discussion

- Stable models introduced by [Gelfond and Lifschitz, 1988]
- Elegant, principled definition.
- But: NP-hard to check if there exists any stable model.

A stratified program has a unique stable model, which is the perfect model.

$A(1) :-$

$B(1) :- \neg A(1)$

$C(1) :- A(1)$

$C(1) :- C(1) \wedge \neg B(1)$

Perfect model:  $J = \{A(1), C(1)\}$

Not stable:  $J = \{A(1), B(1), C(1)\}$  **why?**

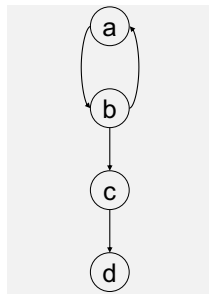
# Well-Founded Model

Alternating Fixpoint:

$$J^{(0)} \stackrel{\text{def}}{=} \emptyset, J^{(t+1)} \stackrel{\text{def}}{=} \text{lfp}(P_{J^{(t)}})$$

$$J^{(0)} \subseteq J^{(2)} \subseteq J^{(4)} \subseteq \dots \subseteq J^{(5)} \subseteq J^{(3)} \subseteq J^{(1)}.$$

$$\bigcup_t J^{(2t)} \stackrel{\text{def}}{=} \text{certain-} \quad \bigcap_t J^{(2t+1)} \stackrel{\text{def}}{=} \text{possible-facts}$$



$$W(a) \text{ :- } E(a, b) \wedge \neg W(b)$$

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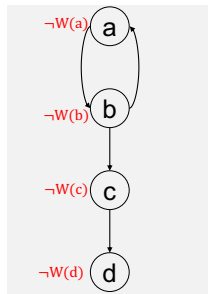
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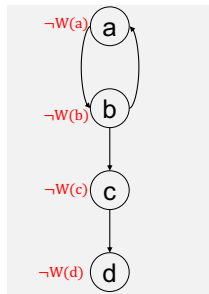
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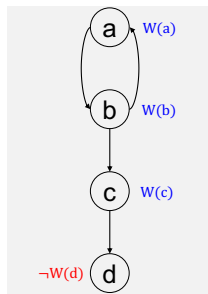
$$J^{(1)} = \{W(a), W(b), W(c)\}$$

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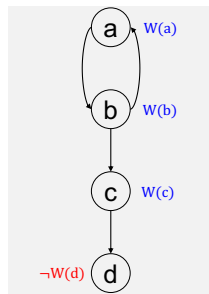
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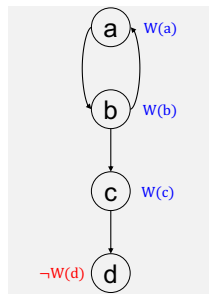
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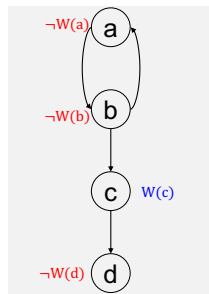
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$$\begin{aligned} J^{(0)} &= \emptyset \\ J^{(2)} &= \{W(c)\} \end{aligned} \quad \begin{aligned} J^{(1)} &= \{W(a), W(b), W(c)\} \end{aligned}$$

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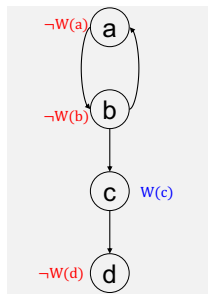
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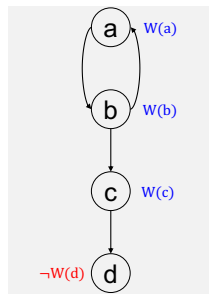
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$$\begin{array}{ll} J^{(0)} = \emptyset & J^{(1)} = \{W(a), W(b), W(c)\} \\ J^{(2)} = \{W(c)\} & J^{(3)} = \{W(a), W(b), W(c)\} \end{array}$$



$$W(a) \text{ :- } E(a, b) \quad \wedge \neg W(b)$$

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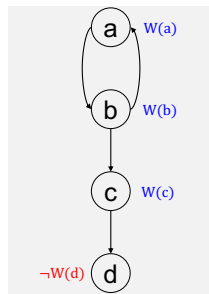
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# Well-Founded Model

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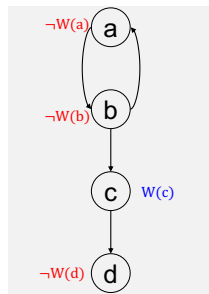
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$$J^{(2)} = \{W(c)\} \quad J^{(3)} = \{W(a), W(b), W(c)\}$$

$$J^{(4)} = \{W(c)\} \quad \dots$$

Certain facts:  $W(c)$ ;

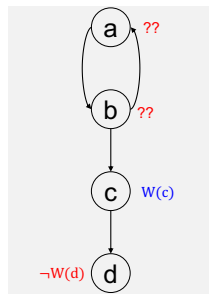
possible facts:  $W(a), W(b)$ .

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# Discussion

- Well-founded models can be computed in PTIME.
- Yet, I don't know of any system that supports it.  
Maybe because of the 3-valued logic?

Next: two other semantics motivated by computation.

# Computation-Based Extensions

# Computation-Based Extensions

- Datalog with inflationary fixpoint semantics.
- Datalog with partial fixpoint semantics.

# Inflationary Fixpoint

Let  $P$  be a datalog<sup>+</sup> program,  $T_P$  its ICO.

The **inflationary fixpoint** is  $\boxed{\text{ifp}(P) \stackrel{\text{def}}{=} \bigcup_{t \geq 0} J_t}$ , where:

$$J_0 \stackrel{\text{def}}{=} \emptyset, \quad J_{t+1} \stackrel{\text{def}}{=} J_t \cup T_P(J_t)$$

## Fact

*ifp(P) can be computed in PTIME in the size of the EDB I.*

why?

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## Fact

*ifp(P) can be computed in PTIME in the size of the EDB I.*

**why?** Because  $J_0 \subseteq J_1 \subseteq \dots \subseteq (\text{ADom}(I))^k$



# Partial Fixpoint

The **partial fixpoint** is:

$$\text{pfp}(P) \stackrel{\text{def}}{=} \begin{cases} J_{t_0} & \text{if } J_{t_0} = J_{t_0+1} \\ \emptyset & \text{if } J_t \neq J_{t+1}, \forall t \end{cases}$$

where

$$J_0 \stackrel{\text{def}}{=} \emptyset, \quad J_{t+1} \stackrel{\text{def}}{=} T_P(J_t)$$

## Fact

*pfp(P) can be computed in PSPACE in the size of the EDB I.*

why?

# Partial Fixpoint

The **partial fixpoint** is:

$$\text{pfp}(P) \stackrel{\text{def}}{=} \begin{cases} J_{t_0} & \text{if } J_{t_0} = J_{t_0+1} \\ \emptyset & \text{if } J_t \neq J_{t+1}, \forall t \end{cases}$$

where

$$J_0 \stackrel{\text{def}}{=} \emptyset, \quad J_{t+1} \stackrel{\text{def}}{=} T_P(J_t)$$

## Fact

*pfp(P) can be computed in PSPACE in the size of the EDB I.*

**why?** each  $|J_t|$  has size polynomial in  $\text{ADom}(I)$ .

Detect non-termination using a counter.

# How To Express Negation

It's harder than one may think!

Complement of the TC:

$$\begin{aligned}T(X, Y) &:- E(X, Y) \\T(X, Y) &:- T(X, Z) \wedge E(Z, X) \\ \text{Answ}(X, Y) &:- V(X) \wedge V(Y) \\ &\quad \wedge \neg T(X, Y)\end{aligned}$$

ifp( $P$ ) is incorrect!

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ifp( $P$ ) is incorrect!

Detect the last step  
 [Abiteboul et al., 1995, Ex.14.4.2]

$$\begin{aligned} T(X, Y) &:- E(X, Y) \\ T(X, Y) &:- T(X, Z) \wedge E(Z, Y) \\ T_{\text{prev}}(X, Y) &:- T(X, Y) \\ T_{\text{prev-not-last}}(X, Y) &:- T(X, Y) \wedge \\ &\quad \wedge T(X', Z') \wedge E(Z', Y') \wedge \neg T(X', Y') \\ \text{Answ}(X, Y) &:- V(X) \wedge V(Y) \wedge \neg T(X, Y) \\ &\quad \wedge T_{\text{prev}}(X', Y') \wedge \neg T_{\text{prev-not-last}}(X', Y') \end{aligned}$$

## Descriptive Complexity

- Datalog<sup>¬</sup> cannot express **parity**, no matter which semantics we adopt.

---

<sup>2</sup>**Exercise:** express  $\text{succ}(X, Y)$ ,  $\text{min}(X)$ ,  $\text{max}(Y)$  using  $<$ .

## Descriptive Complexity

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- If we have access to an order relation  $<$  then we can express parity as:<sup>2</sup>

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## Descriptive Complexity

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- If we have access to an order relation  $<$  then we can express parity as:<sup>2</sup>

$$E(X, Y) \text{ :- succ}(X, Z) \wedge \text{succ}(Z, Y)$$

$$E(X, Y) \text{ :- } E(X, Z) \wedge E(Z, Y) \quad // \text{ even-length distance}$$

$$\text{Even}() \text{ :- } R(X) \wedge \text{min}(X) \wedge E(X, Y) \wedge \text{max}(Y) \wedge R(Y)$$

### Theorem (Descriptive Complexity [Vardi, 1982, Immerman, 1986])

- Datalog<sup>¬</sup>( $<$ , ifp) expresses precisely queries in PTIME.
- Datalog<sup>¬</sup>( $<$ , pfp) expresses precisely queries in PSPACE.

<sup>2</sup>**Exercise:** express succ( $X, Y$ ), min( $X$ ), max( $Y$ ) using  $<$ .

# Discussion

- Datalog: simple, elegant, appealing. New resurgence after a 40 years history.
- Stratified datalog<sup>¬</sup> is a simple and practical extension.
- Beyond that, it becomes questionable.
- But the theory is beautiful. A famous result:

Theorem ([Abiteboul et al., 1992])

$datalog^{\neg}(ifp) = datalog^{\neg}(pfp)$  iff  $PTIME = PSPACE$ .





Abiteboul, S., Hull, R., and Vianu, V. (1995).

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