

# ME 233 Advance Control II

## Lecture 15

### Deterministic Input/Output Approach to SISO Discrete Time Systems

### Pole Placement, Disturbance Rejection and Tracking Control

## SISO ARMA models

- SISO State space model

$$x(k+1) = Ax(k) + Bu(k)$$

$$y(k) = Cx(k) + Du(k)$$

Where all inputs and outputs are scalars:

- $u(k) \in \mathcal{R}$  control input
- $y(k) \in \mathcal{R}$  output
- $x(k) \in \mathcal{R}^n$  state

## SISO transfer function

$$Y(z) = [C(sI - A)^{-1}B + D] U(z) = \frac{B^*(z)}{A^*(z)} U(z)$$

$$A^*(z) = \det\{(zI - A)\} = z^n + a_1 z^{n-1} + \dots + a_n$$

$$B^*(z) = C \text{Adj}\{(sI - A)\}B + D$$

$$= b_0 z^m + b_1 z^{n-1} + \dots + b_m$$

$$d = n - m \geq 0 \quad \text{relative degree}$$

## ARMA Models

- Define the **back-step** operator  $q^{-1}$  such that

$$y(k-1) = q^{-1} y(k)$$

- the polynomials

$$A(q^{-1}) = q^{-n} A^*(q) = 1 + a_1 q^{-1} + \dots + a_n q^{-n}$$

$$B(q^{-1}) = q^{-m} B^*(q) = b_0 + b_1 q^{-1} + \dots + b_m q^{-m}$$

- relative degree (pure time delay)

$$d = n - m$$

## SISO ARMA models

$$A(q^{-1}) y(k) = q^{-d} B(q^{-1}) u(k)$$

$$y(k) = b_o u(k-d) + \dots + b_m u(k-n)$$

$$-a_1 y(k-1) - \dots - a_n y(k-n)$$

## SISO ARMA models with persistent disturbances

### SISO ARMA model with disturbance

$$A(q^{-1}) y(k) = q^{-d} B(q^{-1}) [u(k) + d(k)]$$

Where all inputs and outputs are scalars:

- $u(k)$  control input
- $d(k)$  persistent (deterministic) but unknown disturbance
- $y(k)$  output

## Deterministic SISO ARMA models

$$A(q^{-1}) y(k) = q^{-d} B(q^{-1}) [u(k) + d(k)]$$

Where polynomials:

$$A(q^{-1}) = 1 + a_1 q^{-1} + \dots + a_n q^{-n}$$

$$B(q^{-1}) = b_o + b_1 q^{-1} + \dots + b_m q^{-m}$$

are co-prime and  $d$  is the **known** pure time delay

## Polynomials in $q^{-1}$

- Monic polynomial

$$A(q^{-1}) = 1 + a_1 q^{-1} + \dots + a_n q^{-n}$$

*non-delay coefficient is 1*

$$A^*(q) = q^n A(q^{-1})$$

$$A^*(q) = q^n + a_1 q^{n-1} + \dots + a_n$$

*leading coefficient is 1*

## Polynomials in $q^{-1}$

- Co-prime polynomials have no common roots

$$A(q^{-1}) = 1 + a_1 q^{-1} + \dots + a_n q^{-n}$$

$$B(q^{-1}) = b_0 + b_1 q^{-1} + \dots + b_m q^{-m}$$

are co-prime if and only if

for all  $p \in \mathcal{C}$  such that  $A(p) = 0$

$$B(p) \neq 0$$

## Polynomials in $q^{-1}$

- Schur polynomials in  $q^{-1}$  have all roots outside the unit circle

$$A(q^{-1}) = 1 + a_1 q^{-1} + \dots + a_n q^{-n}$$

is Schur if and only if, for all sequences  $\{y(k)\} \in \mathcal{R}$

$$\text{such that } A(q^{-1}) y(k) = 0$$

$$\lim_{k \rightarrow \infty} y(k) = 0$$

## Polynomials in $q^{-1}$

- Schur polynomials in  $q^{-1}$  have all roots outside the unit circle

$$A(q^{-1}) = 1 + a_1 q^{-1} + \dots + a_n q^{-n}$$

is Schur if and only if,

for all  $p \in \mathcal{C}$  such that  $A(p^{-1}) = 0$ ,  $|p| < 1$

$$A^*(p) = 0$$

$$A^*(q) = q^n A(q^{-1})$$

## Factorization of the zero polynomial $B(q^{-1})$

The  $m$  order zero polynomial:

$$B^*(q) = q^m B(q^{-1}) = 0$$

has

- $m_u$  zeros which **we do not wish to cancel**.
- $m_s$  zeros inside the unit circle (asymptotically stable) which we wish to cancel.

$$B(q^{-1}) = B^s(q^{-1}) B^u(q^{-1})$$

$$B^s(q^{-1}) \text{ is Schur}$$

$$B^{u*}(q) = q^{m_u} B^u(q^{-1}) \text{ has zeros which we } \underline{\text{do not wish to cancel}}$$

## Example

$$B^*(q) = 1.2(q - 0.5)(q - 1.2)(q - 0.95e^{j\frac{\pi}{4}})(q - 0.95e^{-j\frac{\pi}{4}})$$

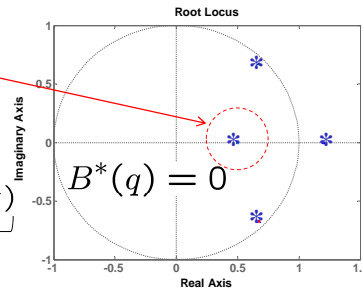
$$\begin{aligned} B(q^{-1}) &= 1.2(1 - 0.5q^{-1})(1 - 1.2q^{-1}) \\ &\quad (1 - 0.95e^{j\frac{\pi}{4}}q^{-1})(1 - 0.95e^{-j\frac{\pi}{4}}q^{-1}) \\ &= B^s(q^{-1}) B^u(q^{-1}) \end{aligned}$$

### Example

$$B^s(q) = (1 - 0.5q^{-1})$$

$$\begin{aligned} B^u(q^{-1}) &= 1.2(1 - 1.2q^{-1}) \\ &\quad (1 - 0.95e^{j\frac{\pi}{4}}q^{-1})(1 - 0.95e^{-j\frac{\pi}{4}}q^{-1}) \end{aligned}$$

*we could have chosen to cancel these*



## Deterministic SISO ARMA models

The zero polynomial:

$$B(q^{-1}) = B^s(q^{-1}) B^u(q^{-1})$$

Without loss of generality, we will assume that

$$B^s(q^{-1}) = 1 + \dots + b_{m_s}^s q^{-m_s}$$

$$B^u(q^{-1}) = b_o + \dots + b_{m_u}^u q^{-m_u}$$

i.e. the Schur polynomial  $B^s(q^{-1})$  is monic

## Control Objectives

1. **Pole Placement:** The poles of the closed loop system must be placed at specific locations in the complex plane.

• **Closed loop pole polynomial:**

$$A_c(q^{-1}) = B^s(q^{-1}) A'_c(q^{-1})$$

Where:

- $B^s(q^{-1})$  cancelable plant zeros
  - $A'_c(q^{-1})$  monic Schur polynomial chosen by the designer
- $$A'_c(q^{-1}) = 1 + a'_{c1}q^{-1} + \dots + a'_{cn_c}q^{-n'_c}$$

## Control Objectives

2. **Tracking:** The output sequence  $y(k)$  must follow a **reference** sequence  $y_d(k)$  which is known

• **Reference model:**

$$A_m(q^{-1})y_d(k) = q^{-d} B_m(q^{-1})u_d(k)$$

Where:

- $y_d(k)$  **reference output sequence**, which is known in advance (i.e.  $y_d(k+L)$  is available at instance  $k$  for some  $L>0$ ).
- $A_m(q^{-1})$  monic Schur polynomial chosen by the designer
- $B_m(q^{-1})$  polynomial chosen by the designer

## Control Objectives

3. **Disturbance rejection**: The closed loop system must reject a class of **persistent** disturbances  $d(k)$

- **Disturbance model:**

$$A_d(q^{-1})d(k) = 0$$

Where

- $A_d(q^{-1})$  is a **known** annihilating polynomial with roots on the unit circle
- $A_d(q^{-1}), B(q^{-1})$  are co-prime

## Deterministic disturbance examples

a) Constant disturbance:

$$d(k) = d(k-1)$$

Then,

$$A_d(q^{-1}) = 1 - q^{-1}$$

b) Sinusoidal disturbance of **known** frequency:

$$d(k) = D \sin(\omega k + \phi)$$

Then,

$$A_d(q^{-1}) = 1 - 2 \cos(\omega) q^{-1} + q^{-2}$$

## Deterministic disturbance examples

c) Periodic disturbance of **known** period  $N$

$$d(k) = d(k-N)$$

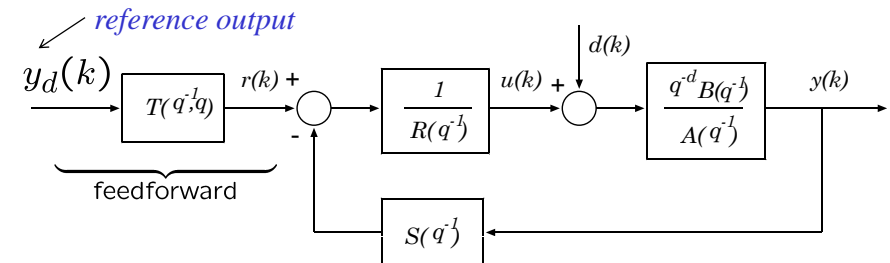
Then,

$$A_d(q^{-1}) = 1 - q^{-N}$$

In all of these three examples, the polynomial  $A_d(q^{-1})$  has its roots on the unit circle.

## Control Law

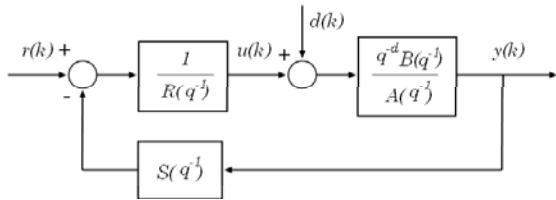
- Feedback and feedforward actions:



$$u(k) = \frac{1}{R(q^{-1})} [r(k) - S(q^{-1})y(k)]$$

$$r(k) = T(q^{-1}, q) y_d(k) \quad \text{Feedforward action (a-causal)}$$

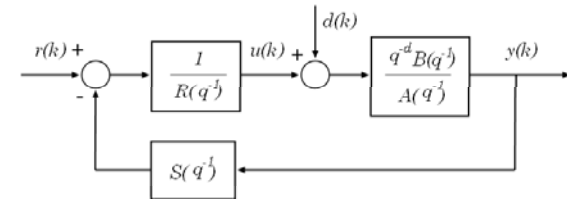
## Close Loop TF from $r(k)$ to $y(k)$



$$\begin{aligned} \frac{y(k)}{r(k)} &= \frac{\frac{1}{R(q^{-1})} \frac{q^{-d} B(q^{-1})}{A(q^{-1})}}{1 + \frac{S(q^{-1}) q^{-d} B(q^{-1})}{R(q^{-1}) A(q^{-1})}} \\ &= \frac{q^{-d} B(q^{-1})}{\underbrace{A(q^{-1}) R(q^{-1}) + q^{-d} B(q^{-1}) S(q^{-1})}_{A_c(q^{-1})}} \end{aligned}$$

Desired closed loop characteristic polynomial

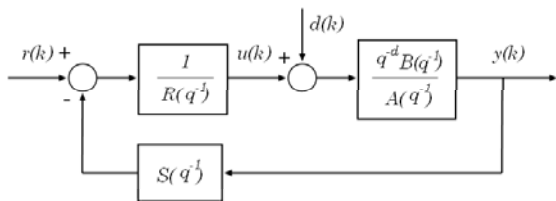
## Close Loop TF from $r(k)$ to $y(k)$



$$\frac{y(k)}{r(k)} = \frac{q^{-d} B(q^{-1})}{A_c(q^{-1})} = \frac{B^s(q^{-1})}{B^s(q^{-1})} \frac{q^{-d} B^u(q^{-1})}{A'_c(q^{-1})}$$

Since  $\begin{cases} B(q^{-1}) = B^s(q^{-1}) B^u(q^{-1}) \\ A_c(q^{-1}) = B^s(q^{-1}) A'_c(q^{-1}) \end{cases}$

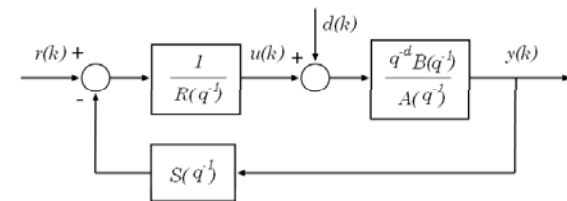
## Close Loop TF from $r(k)$ to $y(k)$



$$\underbrace{[A(q^{-1}) R(q^{-1}) + q^{-d} B(q^{-1}) S(q^{-1})]}_{A_c(q^{-1})} y(k) = q^{-d} B(q^{-1}) r(k)$$

Desired closed loop polynomial

## Close Loop TF from $r(k)$ to $y(k)$



$$[A(q^{-1}) R(q^{-1}) + q^{-d} B(q^{-1}) S(q^{-1})] y(k) = q^{-d} B(q^{-1}) r(k)$$

We need to find polynomials  $R(q^{-1})$  and  $S(q^{-1})$  so that

$$A(q^{-1}) R(q^{-1}) + q^{-d} B(q^{-1}) S(q^{-1}) = A_c(q^{-1})$$

## The Diophantine (Bezout) equation

- Given the **co-prime** polynomials

$$\mathcal{A}(q^{-1}) = 1 + a_1 q^{-1} + \dots + a_n q^{-n}$$

$$\mathcal{B}(q^{-1}) = b_o + b_1 q^{-1} + \dots + b_m q^{-m}$$

- $\mathcal{A}(q^{-1})$  is monic and order  $n$
- $\mathcal{B}(q^{-1})$  is order  $m$

- and a monic polynomial of order  $n_c$

$$\mathcal{C}(q^{-1}) = 1 + c_1 q^{-1} + \dots + c_{n_c} q^{-n_c}$$

## The Diophantine (Bezout) equation

We wish to find the polynomials

$$\mathcal{R}(q^{-1}) = 1 + r_1 q^{-1} + \dots + r_m q^{-m} \quad \text{same order as } \beta(q^{-1})$$

$$\mathcal{S}(q^{-1}) = s_o + \dots + s_{n_s} q^{-n_s}$$

which satisfy the Diophantine equation:

$$\mathcal{C}(q^{-1}) = \mathcal{A}(q^{-1}) \mathcal{R}(q^{-1}) + q^{-1} \mathcal{B}(q^{-1}) \mathcal{S}(q^{-1})$$

given

## The Diophantine (Bezout) equation

Expanding in terms of  $q^{-1}$  coefficients:

$$\mathcal{C}(q^{-1}) = \mathcal{A}(q^{-1}) \mathcal{R}(q^{-1}) + q^{-1} \mathcal{B}(q^{-1}) \mathcal{S}(q^{-1})$$

↑	↑	↑
order	order	order
$n_c$	$n + m$	$m + n_s + 1$

$$n_s = \max\{n - 1, n_c - m - 1\}$$

## The Diophantine (Bezout) equation

Expanding in terms of  $q^{-1}$  coefficients:

$$\mathcal{C}(q^{-1}) = \mathcal{A}(q^{-1}) \mathcal{R}(q^{-1}) + q^{-1} \mathcal{B}(q^{-1}) \mathcal{S}(q^{-1})$$

We obtain:

$$\begin{matrix} q^{-1} \\ q^{-2} \\ \vdots \\ \vdots \\ \vdots \\ q^{-(n_s+m+1)} \end{matrix} D \begin{bmatrix} r_1 \\ r_2 \\ \vdots \\ r_m \\ s_o \\ \vdots \\ s_{n_s} \end{bmatrix} = \begin{bmatrix} c_1 \\ c_2 \\ \vdots \\ c_{n_c} \\ 0 \\ \vdots \\ 0 \end{bmatrix} - \begin{bmatrix} a_1 \\ a_2 \\ \vdots \\ a_n \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

## The Diophantine (Bezout) equation

Where the matrix  $D \in \mathcal{R}^{(n_s+1+m) \times (n_s+1+m)}$  is given by:

$$D = \begin{bmatrix} 1 & 0 & 0 & \cdots & 0 & b_0 & 0 & 0 & \cdots & 0 \\ a_1 & 1 & 0 & \cdots & 0 & b_1 & b_0 & 0 & \cdots & 0 \\ a_2 & a_1 & 1 & \cdots & 0 & b_2 & b_1 & b_0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ a_{n-1} & a_{n-2} & a_{n-3} & \cdots & 1 & b_m & b_{m-1} & b_{m-2} & \cdots & b_0 \\ a_n & a_{n-1} & a_{n-2} & \cdots & a_1 & 0 & b_m & b_{m-1} & \cdots & b_1 \\ 0 & a_n & a_{n-1} & \cdots & a_2 & 0 & 0 & b_m & \cdots & b_2 \\ 0 & 0 & a_n & \cdots & a_3 & 0 & 0 & 0 & \cdots & b_3 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & \cdots & 0 & a_n & 0 & 0 & 0 & \cdots & b_m \end{bmatrix}$$

$\underbrace{\hspace{10em}}_m \quad \underbrace{\hspace{10em}}_{n_s + 1}$

## The Diophantine (Bezout) equation

**Theorem:**  $D$  is nonsingular iff the polynomials  $A(q^{-1})$  and  $q^{-1}B(q^{-1})$  are co-prime.

The solution to the Diophantine equation is:

$$\begin{bmatrix} r_1 \\ r_2 \\ \vdots \\ r_m \\ s_0 \\ \vdots \\ s_{n_s} \end{bmatrix} = D^{-1} \left\{ \begin{bmatrix} c_1 \\ c_2 \\ \vdots \\ c_{n_c-1} \\ c_{n_c} \\ \vdots \\ 0 \end{bmatrix} - \begin{bmatrix} a_1 \\ a_2 \\ \vdots \\ a_n \\ 0 \\ \vdots \\ 0 \end{bmatrix} \right\}.$$

Example:  $\mathcal{C}(q^{-1}) = \mathcal{A}(q^{-1})\mathcal{R}(q^{-1}) + q^{-1}\mathcal{B}(q^{-1})\mathcal{S}(q^{-1})$  <sup>31</sup>

Let

$$\begin{aligned} \mathcal{C}(q^{-1}) &= (1 - 0.5q^{-1})(1 - 0.8q^{-1}) \quad \text{order } n_c = 2 \\ &= (1 - 1.3q^{-1} + 0.4q^{-2}) \end{aligned}$$

$$\begin{aligned} \mathcal{A}(q^{-1}) &= (1 - q^{-1})(1 - 1.2q^{-1}) \quad \text{order } n = 2 \\ &= (1 - 2.2q^{-1} + 1.2q^{-2}) \end{aligned}$$

$$\mathcal{B}(q^{-1}) = (2q^{-1} + 2.4q^{-2}) \quad \text{order } m = 2$$

Solve for  $\begin{cases} \mathcal{R}(q^{-1}) = 1 + r_1q^{-1} + r_2q^{-2} & \text{order } m = 2 \\ \mathcal{S}(q^{-1}) & \text{order } n_s \end{cases}$

Example:  $\mathcal{C}(q^{-1}) = \mathcal{A}(q^{-1})\mathcal{R}(q^{-1}) + q^{-1}\mathcal{B}(q^{-1})\mathcal{S}(q^{-1})$  <sup>32</sup>

$$n_s = \max\{n - 1, n_c - m - 1\} = \max\{2 - 1, 2 - 2 - 1\} = 1$$

$$\begin{aligned} \underbrace{(1 - 1.3q^{-1} + 0.4q^{-2})}_{\mathcal{C}(q^{-1})} &= \underbrace{(1 - 2.2q^{-1} + 1.2q^{-2})}_{\mathcal{A}(q^{-1})} \underbrace{(1 + r_1q^{-1} + r_2q^{-2})}_{\mathcal{R}(q^{-1})} \\ &\quad + q^{-1} \underbrace{(2q^{-1} + 2.4q^{-2})}_{\mathcal{B}(q^{-1})} \underbrace{(s_0 + s_1q^{-1})}_{\mathcal{S}(q^{-1})} \end{aligned}$$

4 equations and 4 unknowns



Example:  $\mathcal{C}(q^{-1}) = \mathcal{A}(q^{-1}) \mathcal{R}(q^{-1}) + q^{-1} \mathcal{B}(q^{-1}) \mathcal{S}(q^{-1})$

Equating coefficients of powers of  $q^{-1}$

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ -2.2 & 1 & 2 & 0 \\ 1.2 & -2.2 & 2.4 & 2 \\ 0 & 1.2 & 0 & 2.4 \end{bmatrix} \begin{bmatrix} r_1 \\ r_2 \\ s_0 \\ s_1 \end{bmatrix} = \begin{bmatrix} -1.3 \\ 0.4 \\ 0 \\ 0 \end{bmatrix} - \begin{bmatrix} -2.2 \\ 1.2 \\ 0 \\ 0 \end{bmatrix}$$

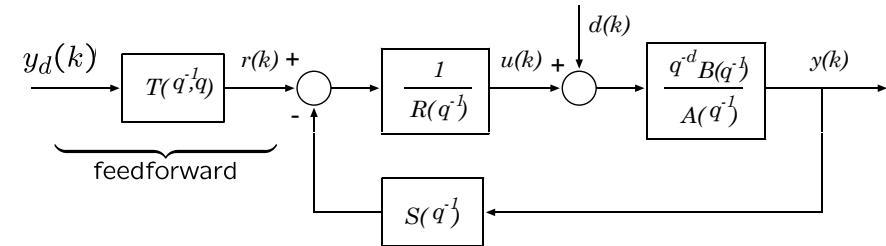
Solution:

$$\mathcal{R}(q^{-1}) = 1 + 0.9q^{-1} + 0.57q^{-2}$$

$$\mathcal{S}(q^{-1}) = 0.31 - 0.28q^{-1}$$

## Control Law

- Feedback and feedforward actions:



$$u(k) = \frac{1}{R(q^{-1})} [r(k) - S(q^{-1})y(k)]$$

$$r(k) = T(q^{-1}, q) y_d(k) \quad \text{Feedforward (a-causal)}$$

## Feedback Controller

Diophantine equation: Obtain polynomials  $R(q^{-1})$ ,  $S(q^{-1})$  which satisfy:

$$A_c(q^{-1}) = A(q^{-1}) \underline{R(q^{-1})} + q^{-d} B(q^{-1}) \underline{S(q^{-1})}$$

Close loop poles

Plant poles

plant zeros

$$A_c(q^{-1}) = \underline{B^s(q^{-1})} A'_c(q^{-1})$$

$$R(q^{-1}) = R'(q^{-1}) \underline{A_d(q^{-1})} \underline{B^s(q^{-1})}$$

We will factor out the  $B^s(q^{-1})$  polynomial next

## Controller Diophantine equation

Factor out  $B^s(q^{-1})$  polynomial  $A_c(q^{-1}) = B^s(q^{-1}) A'_c(q^{-1})$   
 $R(q^{-1}) = R'(q^{-1}) A_d(q^{-1}) B^s(q^{-1})$

$$A_c(q^{-1}) = A(q^{-1}) R(q^{-1}) + q^{-d} B(q^{-1}) S(q^{-1})$$

$$\cancel{B^s(q^{-1})} A'_c(q^{-1}) = \cancel{B^s(q^{-1})} A(q^{-1}) A_d(q^{-1}) R'(q^{-1})$$

$$+ q^{-d} \cancel{B^s(q^{-1})} B^u(q^{-1}) S(q^{-1})$$

$$A'_c(q^{-1}) = A_d(q^{-1}) A(q^{-1}) R'(q^{-1}) + q^{-d} B^u(q^{-1}) S(q^{-1})$$

## Feedback Controller

Diophantine equation: Obtain polynomials  $\underline{R'(q^{-1})}$ ,  $\underline{S(q^{-1})}$  which satisfy:

$$\boxed{A'_c(q^{-1}) = A_d(q^{-1}) A(q^{-1}) \underline{R'(q^{-1})} + q^{-d} B^u(q^{-1}) \underline{S(q^{-1})}}$$

Close loop  
poles minus  
cancelled zeros

Disturbance annihilating polynomial

Plant poles

Unstable plant zeros

$$\begin{aligned} R(q^{-1}) &= R'(q^{-1}) A_d(q^{-1}) B^s(q^{-1}) \\ A_c(q^{-1}) &= B^s(q^{-1}) A'_c(q^{-1}) \end{aligned}$$

Use previous solution of the Diophantine equation

$$A'_c(q^{-1}) = A_d(q^{-1}) A(q^{-1}) R'(q^{-1}) + q^{-d} B^u(q^{-1}) S(q^{-1})$$

$$\begin{aligned} \underbrace{A'_c(q^{-1})}_{C(q^{-1})} &= \underbrace{(A_d(q^{-1}) A(q^{-1}))}_{A(q^{-1})} \underbrace{R'(q^{-1})}_{R(q^{-1})} \\ &\quad + q^{-1} \underbrace{(q^{d-1} B^u(q^{-1}))}_{B(q^{-1})} \underbrace{S(q^{-1})}_{S(q^{-1})} \end{aligned}$$

## Diophantine equation

$$A'_c(q^{-1}) = A_d(q^{-1}) A(q^{-1}) R'(q^{-1}) + q^{-d} B^u(q^{-1}) S(q^{-1})$$

Solution:  $R'(q^{-1}) = 1 + r'_1 q^{-1} + \dots + r'_{n_r} q^{-n_r'}$

$$S(q^{-1}) = s_o + s_1 q^{-1} + \dots + s_{n_s} q^{-n_s}$$

$$R(q^{-1}) = R'(q^{-1}) A_d(q^{-1}) B^s(q^{-1})$$

$$\begin{aligned} n_r' &= d + m_u - 1 \\ n_s &= \max\{n + n_d - 1, n_c' - d - m_u\} \\ n_r &= n_r' + n_d + m_s \end{aligned}$$

## Feedback Controller

$$u(k) = \frac{1}{R(q^{-1})} [r(k) - S(q^{-1})y(k)]$$

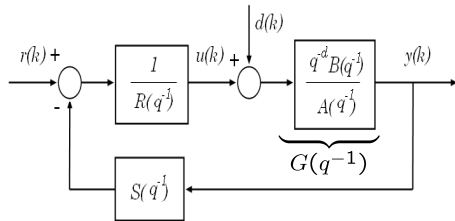
where

$$\begin{aligned} n_r' &= d + m_u - 1 \\ n_s &= \max\{n + n_d - 1, n_c' - d - m_u\} \\ n_r &= n_r' + n_d + m_s \end{aligned}$$

If the degree of the disturbance annihilator polynomial,  $n_d$  is large (e.g.  $N$  is large), then  $n_r$  and  $n_s$  are also large

Then, the solution of the Diophantine equation may be ill conditioned.

## Example



Plant:

$$G(q^{-1}) = \frac{q^{-d} B(q^{-1})}{A(q^{-1})}$$

$$G(q^{-1}) = \frac{q^{-2}(2 + 2.4q^{-1})}{(1 - 1.2q^{-1})}$$

Zeros:  $B(q^{-1}) = (2 + 2.4q^{-1}) \Rightarrow \begin{cases} B^s(q^{-1}) = 1 \\ B^u(q^{-1}) = (2 + 2.4q^{-1}) \end{cases}$

Disturbance:  $d(k) = d(k-1) \Rightarrow A_d(q^{-1}) = 1 - q^{-1}$

Select close loop poles:  $A'_c(q^{-1}) = (1 - 0.5q^{-1})(1 - 0.8q^{-1})$   
 $= (1 - 1.3q^{-1} + 0.4q^{-2})$

## Diophantine equation

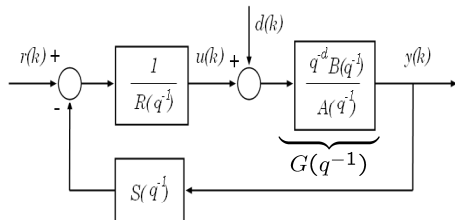
$$A'_c(q^{-1}) = A_d(q^{-1}) A(q^{-1}) R'(q^{-1}) + q^{-d} B^u(q^{-1}) S(q^{-1})$$

$$(1 - 1.3q^{-1} + 0.4q^{-2}) = \underbrace{(1 - 2.2q^{-1} + 1.2q^{-2})}_{A(q^{-1})A_d(q^{-1})} \underbrace{(1 + r'_1 q^{-1} + r'_2 q^{-2})}_{R'(q^{-1})} + q^{-2} \underbrace{(2 + 2.4q^{-1})}_{B^u(q^{-1})} \underbrace{(s_0 + s_1 q^{-1})}_{S(q^{-1})}$$

Solution:  $\begin{cases} R'(q^{-1}) = 1 + 0.9q^{-1} + 0.57q^{-2} \\ S(q^{-1}) = 0.31 - 0.28q^{-1} \end{cases}$

$$R(q^{-1}) = A_d(q^{-1}) R'(q^{-1}) = (1 - q^{-1})(1 + 0.9q^{-1} + 0.57q^{-2})$$

## Example



$$G(q^{-1}) = \frac{q^{-2}(2 + 2.4q^{-1})}{(1 - 1.2q^{-1})}$$

$$d(k) = d(k-1)$$

Control:  $u(k) = \frac{1}{R(q^{-1})} [r(k) - S(q^{-1})y(k)]$

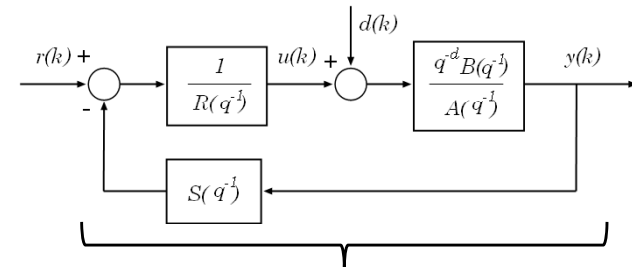
$$R(q^{-1}) = 1 - 0.1q^{-1} - 0.33q^{-2} - 0.57q^{-3}$$

$$S(q^{-1}) = 0.31 - 0.28q^{-1}$$

$$r(k) = r(k-1) = 1$$

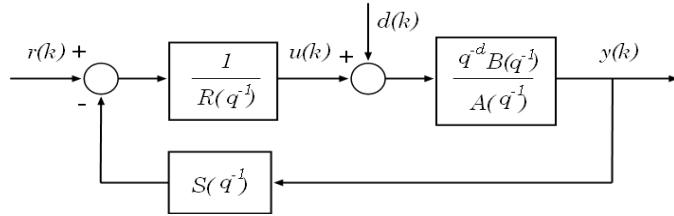
## Feedback Control Law

Feedback control action:



$$y(k) = \frac{q^{-d} B^u(q^{-1})}{A'_c(q^{-1})} r(k)$$

## Proof – block diagram algebra



The close loop dynamics is from  $r(k)$  and  $d(k)$  to  $y(k)$

$$y(k) = \frac{q^{-d} B(q^{-1})}{A(q^{-1})R(q^{-1}) + q^{-d} B(q^{-1})S(q^{-1})} r(k) + \frac{q^{-d} B(q^{-1}) R(q^{-1})}{A(q^{-1})R(q^{-1}) + q^{-d} B(q^{-1})S(q^{-1})} d(k)$$

## Proof – block diagram algebra

The close loop dynamics is from  $d(k)$  to  $y(k)$  ( $r(k) = 0$ )

$$y(k) = \frac{q^{-d} B(q^{-1}) R(q^{-1})}{A(q^{-1})R(q^{-1}) + q^{-d} B(q^{-1})S(q^{-1})} d(k)$$

Substitute:

$$B(q^{-1}) = B^s(q^{-1}) B^u(q^{-1})$$

$$R(q^{-1}) = R'(q^{-1}) A_d(q^{-1}) B^s(q^{-1})$$

$$y(k) = \frac{\cancel{B^s(q^{-1})}^I}{\cancel{B^s(q^{-1})}} \left[ \frac{q^{-d} B(q^{-1}) R'(q^{-1}) A_d(q^{-1})}{\underbrace{A(q^{-1}) A_d(q^{-1}) R'(q^{-1}) + q^{-d} B^u(q^{-1}) S(q^{-1})}_{A'_c(q^{-1}) \text{ Diophantine equation}}} \right] d(k)$$

pole-zero cancellation

## Proof – block diagram algebra

The close loop dynamics is from  $d(k)$  to  $y(k)$  ( $r(k) = 0$ )

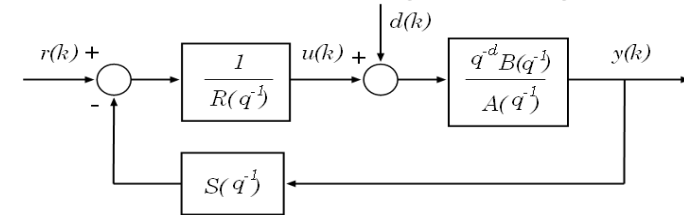
$$y(k) = \left[ \frac{q^{-d} B(q^{-1}) R'(q^{-1})}{A'_c(q^{-1})} \right] \underbrace{A_d(q^{-1}) d(k)}_0$$

$$A'_c(q^{-1}) \text{ is Schur} \iff r(k) \rightarrow 0 \Rightarrow y(k) \rightarrow 0$$

$$y(k) = \frac{\cancel{B^s(q^{-1})}^I}{\cancel{B^s(q^{-1})}} \left[ \frac{q^{-d} B(q^{-1}) R'(q^{-1}) A_d(q^{-1})}{\underbrace{A(q^{-1}) A_d(q^{-1}) R'(q^{-1}) + q^{-d} B^u(q^{-1}) S(q^{-1})}_{A'_c(q^{-1}) \text{ Diophantine equation}}} \right] d(k)$$

pole-zero cancellation

## Proof – block diagram algebra



The close loop dynamics is from  $r(k)$  and  $d(k)$  to  $y(k)$

$$y(k) = \frac{q^{-d} B(q^{-1})}{A(q^{-1})R(q^{-1}) + q^{-d} B(q^{-1})S(q^{-1})} r(k) + \frac{q^{-d} B(q^{-1}) R(q^{-1})}{\underbrace{A(q^{-1})R(q^{-1}) + q^{-d} B(q^{-1})S(q^{-1})}_{\rightarrow 0}} d(k)$$

## Proof – block diagram algebra

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$$y(k) = \frac{q^{-d}B(q^{-1})}{A(q^{-1})R(q^{-1}) + q^{-d}B(q^{-1})S(q^{-1})} r(k)$$

Substitute:

$$B(q^{-1}) = B^s(q^{-1}) B^u(q^{-1})$$

$$R(q^{-1}) = R'(q^{-1}) A_d(q^{-1}) B^s(q^{-1})$$

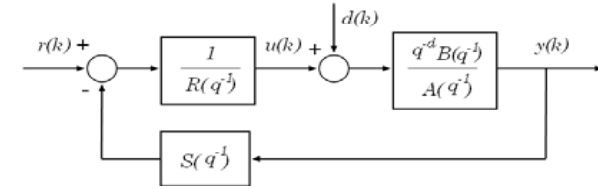
$$y(k) = \frac{\cancel{B^s(q^{-1})}}{\cancel{B^s(q^{-1})}} \frac{q^{-d}B^u(q^{-1})}{\underbrace{[A(q^{-1})A_d(q^{-1})R'(q^{-1}) + q^{-d}B^u(q^{-1})S(q^{-1})]}_{A'_c(q^{-1})}} r(k)$$

pole-zero cancellation

Diophantine equation

## Proof – block diagram algebra

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$$y(k) = \frac{q^{-d}B^u(q^{-1})}{A'_c(q^{-1})} r(k)$$

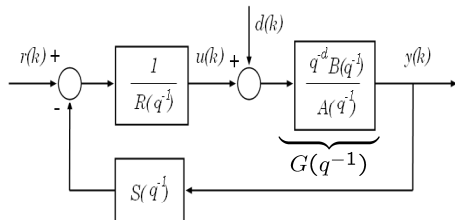
$$y(k) = \frac{\cancel{B^s(q^{-1})}}{\cancel{B^s(q^{-1})}} \frac{q^{-d}B^u(q^{-1})}{\underbrace{[A(q^{-1})A_d(q^{-1})R'(q^{-1}) + q^{-d}B^u(q^{-1})S(q^{-1})]}_{A'_c(q^{-1})}} r(k)$$

pole-zero cancellation

Diophantine equation

## Example

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$$G(q^{-1}) = \frac{q^{-2}(2 + 2.4q^{-1})}{(1 - 1.2q^{-1})}$$

$$d(k) = d(k-1)$$

Control:

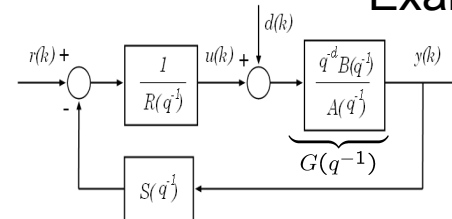
$$u(k) = \frac{1}{R(q^{-1})} [r(k) - S(q^{-1})y(k)]$$

$$R(q^{-1}) = 1 - 0.1q^{-1} - 0.33q^{-2} - 0.57q^{-3}$$

$$S(q^{-1}) = 0.31 - 0.28q^{-1}$$

## Example

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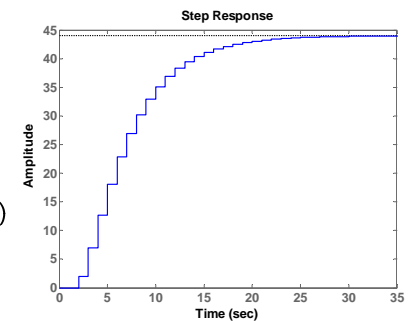
$$G(q^{-1}) = \frac{q^{-2}(2 + 2.4q^{-1})}{(1 - 1.2q^{-1})}$$

Close loop dynamics:

$$y(k) = \frac{q^{-d}B^u(q^{-1})}{A'_c(q^{-1})} r(k)$$

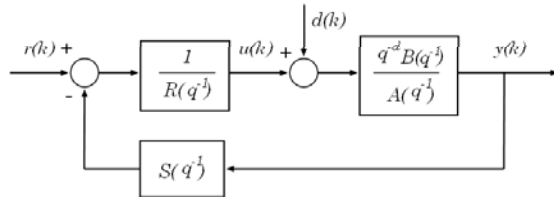
$$y(k) = \frac{q^{-2}(2 + 2.4q^{-1})}{(1 - 0.8q^{-1})(1 - 0.5q^{-1})} r(k)$$

Unit step response



## Feedback Control Law

The feedback control action:

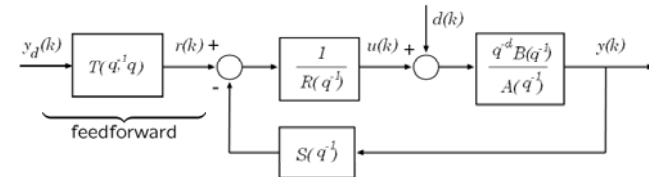


Results in the following close loop input/output dynamics:

$$u(k) = \underbrace{\frac{A(q^{-1})}{B^s(q^{-1})A'_c(q^{-1})}}_{\text{well-damped zeros}} r(k) + \frac{q^{-d}B^u(q^{-1})S(q^{-1})}{A'_c(q^{-1})} d(k)$$

## Feedforward Control

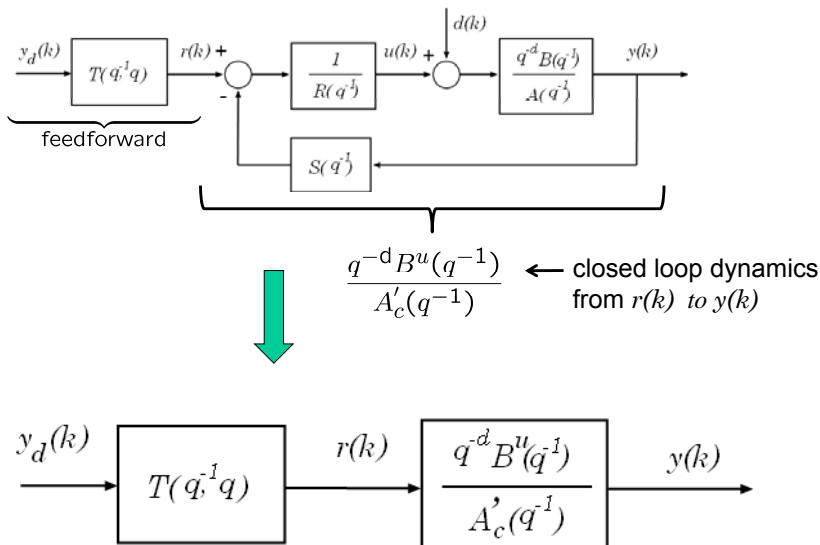
Feedforward control objective is to make  $y(k)$  follow  $y_d(k)$  as closely as possible.



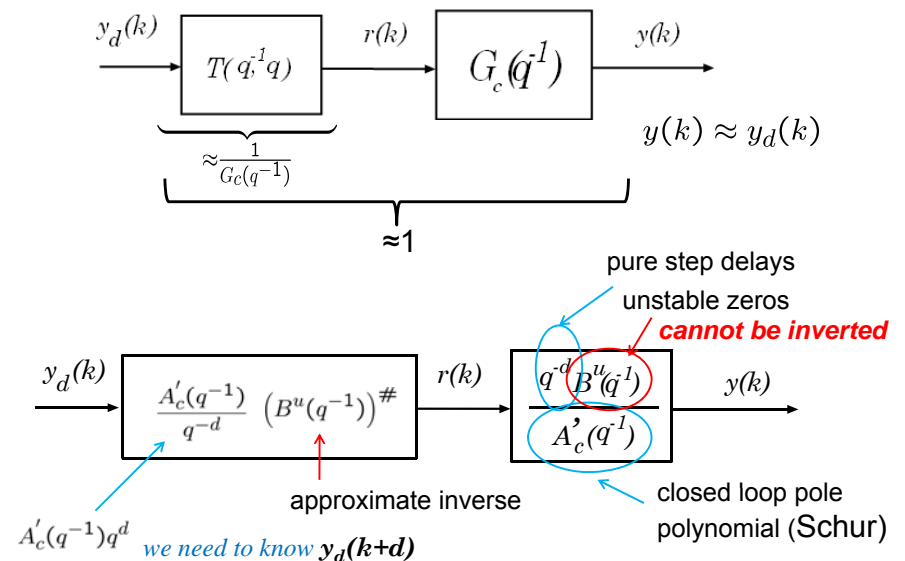
Goal:  $y(k) = y_d(k)$  or  $y(k) \approx y_d(k)$

how well the objective met depends on whether the plant has unstable zeros or not

## Feedforward Control Synthesis



Feedforward control principle: **plant inversion**

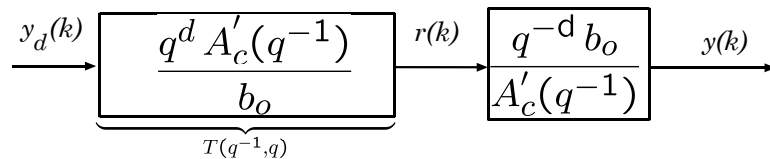


## Perfect Tracking Feedforward Control

**Perfect tracking** can be achieved if all plant zeros are cancelable, i.e.

$$B^u(q^{-1}) = b_o$$

in this case



$$r(k) = \frac{1}{b_o} A'_c(q^{-1}) y_d(k + d)$$

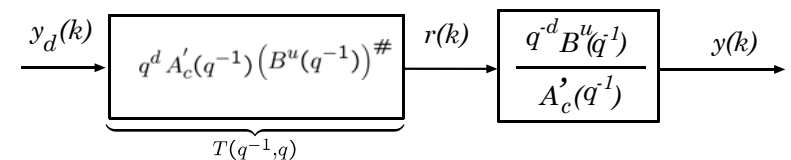
## Tracking with unstable zeros

- When the plant has unstable zeros, i.e.

$$B^u(q^{-1}) \neq b_o$$

- We need to find an approximate inverse  $(B^u(q^{-1}))^\#$

$$B^u(q^{-1}) (B^u(q^{-1}))^\# \approx 1$$



A-causal Bounded-Input Bounded-Output (BIBO) realization of a purely unstable operator

Let  $B^u(p^{-1}) = 0 \iff |p| > 1$

i.e. all roots of  $B^{u*}(q) = q^{m_u} B^u(q^{-1})$  are outside the unite circle

Then we can interpret  $\frac{1}{B^u(q^{-1})}$  in two ways:

- $\frac{1}{B^u(q^{-1})}$  is **causal** but unstable
- $\frac{1}{B^u(q^{-1})}$  is **a-causal** but BIBO

A-causal Bounded-Input Bounded-Output (BIBO) realization of a purely unstable operator

Example:  $B^u(q^{-1}) = (2 + 2.4q^{-1}) = 2.4(0.8\bar{3} + q^{-1})$

$$\frac{1}{B^u(q^{-1})} = \frac{0.41\bar{6}}{0.8\bar{3} + q^{-1}} \longleftarrow \text{unstable causal operator}$$

Using an infinite series expansion,

$$\begin{aligned} \frac{0.41\bar{6}}{(0.8\bar{3} + q^{-1})} &= \frac{0.41\bar{6}q}{0.8\bar{3}q + 1} \\ &= 0.41\bar{6}q \left[ 1 - 0.8\bar{3}q + (0.8\bar{3}q)^2 - (0.8\bar{3}q)^3 + \dots \right. \\ &\quad \left. + \dots (-1)^n (0.8\bar{3}q)^n \right] \\ &\quad \underbrace{\hspace{10em}}_{\text{infinite dimensional a-causal operator}} \end{aligned}$$

A-causal BIBO realization of a purely unstable operator

Thus, 
$$y(k) = \frac{1}{2 + 2.4q^{-1}} u(k)$$

Can be realized either as:

$$y(k) = -1.2 y(k-1) + 0.5 u(k) \quad (\text{unstable})$$

or

$$y(k) = 0.41\bar{6} \left[ u(k+1) - 0.8\bar{3} u(k+2) + (0.8\bar{3})^2 u(k+3) - (0.8\bar{3})^3 u(k+4) + \dots (-0.8\bar{3})^n u(k+n+1) + \dots \right]$$

(a-causal BIBO)

A-causal BIBO approximation of a purely unstable operator

We will now describe two methods of approximating a purely unstable operator:

1) Truncated a-causal series expansion:

$$\left( B^u(q^{-1}) \right)^{\#} = \beta_1 q + \beta_2 q^2 + \dots + \beta_3 q^M$$

2) Zero-phase error feedforward operator:  
(develop by Prof. Tomizuka)

$$\left( B^u(q^{-1}) \right)^{\#} = \frac{1}{[B^u(1)]^2} B^u(q)$$

Example: realizing  $\left( B^u(q^{-1}) \right)^{\#}$

Let,  $B^u(q^{-1}) = (2 + 2.4q^{-1})$

1) Truncated a-causal series expansion:

$$\left( B^u(q^{-1}) \right)^{\#} = 0.41\bar{6} \left[ q - 0.8\bar{3}q^2 + (0.8\bar{3}q)^3 - (0.8\bar{3}q)^4 \right]$$

2) Zero-phase error feedforward operator:

$$\left( B^u(q^{-1}) \right)^{\#} = \frac{1}{[4.4]^2} (2 + 2.4q)$$

## Zero-phase error tracking

One of the most popular feedforward techniques for systems with unstable zeros.

$$\left( B^u(q^{-1}) \right)^{\#} = \frac{1}{|B^u(0)|^2} B^u(q)$$

Define the zero-phase operator

$$\begin{aligned} G_{zp}(q^{-1}, q) &= B^u(q^{-1}) \left( B^u(q^{-1}) \right)^{\#} \\ &= \frac{B^u(q^{-1}) B^u(q)}{[B^u(1)]^2} \end{aligned}$$



## Zero-phase error transfer function

A-causal zero-phase transfer function:

$$G_{zp}(z^{-1}, z) = \frac{B^u(z^{-1}) B^u(z)}{[B^u(1)]^2}$$

Properties:

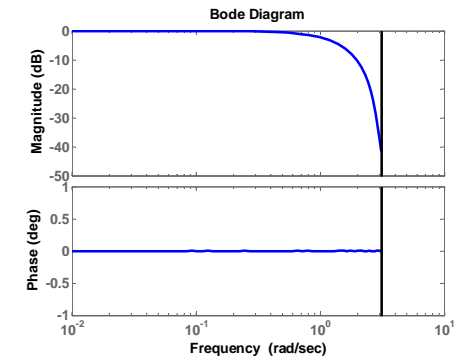
- It has zero-phase, i.e.  $\text{Im} \{G_{zp}(e^{-j\omega}, e^{j\omega})\} = 0$
- It has unity dc gain, i.e.  $G_{zp}(e^{-0}, e^0) = 1$

Example: realizing  $(B^u(q^{-1}))^\#$

Let,  $B^u(q^{-1}) = (2 + 2.4q^{-1})$

- Zero-phase feedforward:  $(B^u(q^{-1}))^\# = \frac{1}{[4.4]^2} (2 + 2.4q)$
- Zero-phase transfer function:

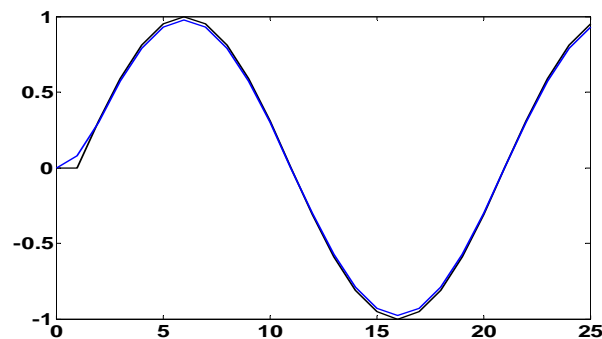
$$G_{zp}(z^{-1}, z) = \frac{(2 + 2.4z^{-1})(2z + 2.4)}{[4.4]^2}$$



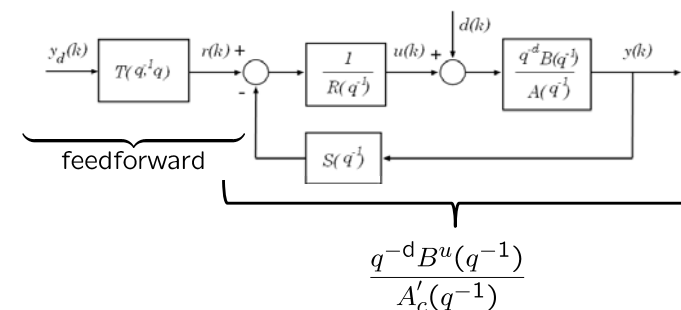
## Sinusoidal zero-phase error tracking

If  $y_d(k)$  is a sinusoidal, there will be no phase shift between  $y_d(k)$  and  $y(k)$

$$y(k) = \frac{B^u(q^{-1}) B^u(q)}{[B^u(1)]^2} y_d(k)$$

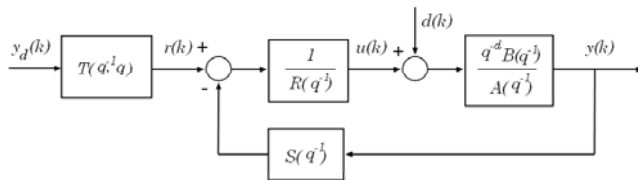


## Zero-phase error feedforward



$$T(q^{-1}, q) = A'_c(q^{-1}) q^d \frac{B^u(q)}{[B^u(1)]^2}$$

## Zero-phase error feedforward



$$y(k) = \frac{B^u(q^{-1}) B^u(q)}{[B^u(1)]^2} y_d(k)$$