

Addendum to: The Bane of Generate-and-Validate Program Repair: Too Much Generation, Too Little Validation

Anonymous, Pending Review
[REG] Regular Research Paper

Abstract—Sample Hoare-style proof of program repair algorithm.

Index Terms—program repair, absolute correctness, relative correctness, patch generation, patch validation, Cardumen

We propose to prove the partial correctness of `UnitIncCor()` with respect to the second specification. This amounts to proving that the following Hoare formula is a theorem in Hoare's deductive logic:

$v: \{(\exists m : 1 \leq m \leq M : \exists Q \in PS(m) : Q \sqsupset_{R'} P)\}$

```
m=1; inc=false; Pp=P;
while (! inc && m<=M)
{while (! smc(Pp,P) && MorePatches(P,m))
{Pp = NextPatch(P,m);}
if smc(Pp,P) {inc=true;}
else {m=m+1;}}//try higher multiplicity
```

$\{Pp \sqsupset_{R'} P\}$.

Applying the sequence rule to v , with the following intermediate predicate int :

$$(\exists m : 1 \leq m \leq M : \exists Q \in PS(m) : Q \sqsupset_{R'} P) \\ \wedge m = 1 \wedge inc \wedge Pp = P$$

yields the following lemmas:

$v_0: \{(\exists m : 1 \leq m \leq M : \exists Q \in PS(m) : Q \sqsupset_{R'} P)\}$

```
m=1; inc=false; Pp=P;
```

$\{(\exists m : 1 \leq m \leq M : \exists Q \in PS(m) : Q \sqsupset_{R'} P) \wedge m = 1 \wedge \neg inc \wedge Pp = P\}$.

$v_1: \{(\exists m : 1 \leq m \leq M : \exists Q \in PS(m) : Q \sqsupset_{R'} P) \wedge m = 1 \wedge \neg inc \wedge Pp = P\}$

```
while (! inc && m<=M)
{while (! smc(Pp,P) && MorePatches(P,m))
{Pp = NextPatch(P,m);}
if smc(Pp,P) {inc=true;}
else {m=m+1;}}//try higher multiplicity
```

$\{Pp \sqsupset_{R'} P\}$.

If we apply the (concurrent) assignment rule to v_0 , we get:

$v_{00}: \{(\exists m : 1 \leq m \leq M : \exists Q \in PS(m) : Q \sqsupset_{R'} P)\}$

\Rightarrow

$(\exists m : 1 \leq m \leq M : \exists Q \in PS(m) : Q \sqsupset_{R'} P) \wedge 1 = 1 \wedge \text{true} \wedge P = P$.

This formula is clearly a tautology, hence we turn our attention to v_1 , to which we apply the while rule, with the following loop invariant inv :

$$inb(m) \wedge ((inc \wedge Pp \sqsupset_{R'} P)$$

$$\vee (\neg inc \wedge (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsupset_{R'} P))),$$

where $inb(m)$ (stands for: *in bounds*) is shorthand for: $1 \leq m \leq M$. Application of the while rule to v_1 with the selected loop invariant yields three lemmas:

$v_{10}: \{(\exists m : 1 \leq m \leq M : \exists Q \in PS(m) : Q \sqsupset_{R'} P) \wedge m = 1 \wedge \neg inc \wedge Pp = P$

\Rightarrow

$inb(m) \wedge ((inc \wedge Pp \sqsupset_{R'} P) \vee (\neg inc \wedge (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsupset_{R'} P)))$.

$v_{11}: \{(\neg inc \wedge m \leq M) \wedge inb(m) \wedge ((inc \wedge Pp \sqsupset_{R'} P) \vee (\neg inc \wedge (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsupset_{R'} P)))\}$

```
{while (! smc(Pp,P) && MorePatches(P,m))
{Pp = NextPatch(P,m);}
if smc(Pp,P) {inc=true;}
else {m=m+1;}}//try higher multiplicity
```

$\{inb(m) \wedge ((inc \wedge Pp \sqsupset_{R'} P) \vee (\neg inc \wedge (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsupset_{R'} P)))\}$.

$v_{12}: \neg(\neg inc \wedge m \leq M) \wedge inb(m) \wedge ((inc \wedge Pp \sqsupset_{R'} P) \vee (\neg inc \wedge (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsupset_{R'} P)))$

\Rightarrow

$Pp \sqsupset_{R'} P$.

To check the validity of v_{10} , we rewrite it by distributing $inb(m)$ over the disjunction and replacing m by 1 on the right hand side:

$v_{10}: \{(\exists m : 1 \leq m \leq M : \exists Q \in PS(m) : Q \sqsupset_{R'} P) \wedge m = 1 \wedge \neg inc \wedge Pp = P$

\Rightarrow

$(inb(m) \wedge inc \wedge Pp \sqsupset_{R'} P) \vee (inb(m) \wedge \neg inc \wedge (\exists h : 1 \leq h \leq M : \exists Q \in PS(h) : Q \sqsupset_{R'} P))$.

Now it is clear that v_{10} is a tautology, since the left hand side logically implies the second disjunct of the right hand side, assuming, as we do, that $M \geq 1$. As for v_{12} , its left hand side can be simplified into $(inc \wedge Pp \sqsupset_{R'} P)$, due to the contradiction between $m > M$ and $inb(m)$, and the contradiction between inc and $\neg inc$. Hence v_{12} is also a tautology. We turn our attention to v_{11} , which we first simplify as follows:

$v_{11}: \{\neg inc \wedge inb(m) \wedge (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsupset_{R'} P)\}$

```
{while (! smc(Pp,P) && MorePatches(P,m))
{Pp = NextPatch(P,m);}
if smc(Pp,P) {inc=true;}
else {m=m+1;}}//try higher multiplicity
```

$\{inb(m) \wedge ((inc \wedge Pp \sqsupset_{R'} P) \vee (\neg inc \wedge (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsupset_{R'} P)))\}$.

We apply the sequence rule to v_{11} , with the following intermediate predicate int' :

$$(Pp \sqsupset_{R'} P \vee PS(m) = \epsilon) \wedge$$

$$\neg inc \wedge inb(m) \wedge$$

$$(Pp \sqsupset_{R'} P \vee (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsupset_{R'} P)).$$

This yields the following two lemmas:

$v_{110}: \{\neg inc \wedge inb(m) \wedge (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsupset_{R'} P)\}$

```
{while (! smc(Pp,P) && MorePatches(P,m))
{Pp = NextPatch(P,m);}
```

$\{(Pp \sqsupset_{R'} P \vee PS(m) = \epsilon) \wedge \neg inc \wedge inb(m) \wedge (Pp \sqsupset_{R'} P \vee (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsupset_{R'} P))\}$.

$v_{111}: \{(Pp \sqsupset_{R'} P \vee PS(m) = \epsilon) \wedge \neg inc \wedge inb(m) \wedge (Pp \sqsupset_{R'} P \vee (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsupset_{R'} P))\}$.

```

if smc(Pp,P) {inc=true;}
else {m=m+1;} //try higher multiplicity

{inb(m) ∧ ((inc ∧ Pp ⊃R' P) ∨ (¬inc ∧ (∃h : m ≤ h ≤ M : ∃Q ∈ PS(h) : Q ⊃R' P)))}.
We apply the while rule to v110, with the following loop
invariant, inv':

```

$\neg inc \wedge inb(m) \wedge (Pp \sqsubset_{R'} P \vee (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P)) PS(h) : Q \sqsubset_{R'} P))$.

This yields the following three lemmas:

$v_{1100} : \neg inc \wedge inb(m) \wedge (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P) \Rightarrow \neg inc \wedge inb(m) \wedge (Pp \sqsubset_{R'} P \vee (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P)).$
 $v_{1101} : \{\neg inc \wedge inb(m) \wedge (Pp \sqsubset_{R'} P \vee (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P)) \wedge \neg(Pp \sqsubset_{R'} P \wedge PS(m) \neq \epsilon)\}$

$\{Pp = \text{NextPatch}(P, m) ; \}$

$\{\neg inc \wedge inb(m) \wedge (Pp \sqsubset_{R'} P \vee (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P))\}$

$v_{1102} : \neg inc \wedge inb(m) \wedge (Pp \sqsubset_{R'} P \vee (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P)) \wedge (Pp \sqsubset_{R'} P \vee PS(m) = \epsilon)$

$\Rightarrow (Pp \sqsubset_{R'} P \vee PS(m) = \epsilon) \wedge \neg inc \wedge inb(m) \wedge (Pp \sqsubset_{R'} P \vee (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P)).$

To see that v_{1100} is a tautology, it suffices to distribute the \wedge over the \vee on the right hand side of the implication, and to notice that the second disjunct on the right hand side is a copy of the left hand side of the implication. As for v_{1102} , it is clearly a tautology, since the right hand side of \Rightarrow is merely a copy of the left hand side. We turn our attention to v_{1101} now, and we begin by simplifying its precondition by virtue of Boolean identities:

$v_{1101} : \{\neg inc \wedge inb(m) \wedge (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P) \wedge \neg(Pp \sqsubset_{R'} P \wedge PS(m) \neq \epsilon)\}$

$\{Pp = \text{NextPatch}(P, m) ; \}$

$\{\neg inc \wedge inb(m) \wedge (Pp \sqsubset_{R'} P \vee (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P))\}$

We consider v_{1101} , to which we must apply the assignment statement rule; to this effect, we must analyze the semantics of function $\text{NextPatch}(P, m)$. We assume that this function performs the following operations:

$Pp = \text{head}(PS(m)) ; PS(m) = \text{tail}(PS(m)) ;$

Hence application of the assignment rule yields the following formula:

$v_{11010} : \neg inc \wedge inb(m) \wedge (Pp \sqsubset_{R'} P \vee (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P)) \wedge (\neg(Pp \sqsubset_{R'} P \wedge PS(m) \neq \epsilon))$

$\Rightarrow \neg inc \wedge inb(m) \wedge (\text{head}(PS(m)) \sqsubset_{R'} P \vee (\exists Q \in \text{tail}(PS(m)) : Q \sqsubset_{R'} P) \vee (\exists h : m+1 \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P)).$

We consider the first two disjuncts in the parenthesized expression:

$(\text{head}(PS(m)) \sqsubset_{R'} P) \vee (\exists Q \in \text{tail}(PS(m)) : Q \sqsubset_{R'} P)$

and we merge them into a single expression:

$(\exists Q \in PS(m) : Q \sqsubset_{R'} P).$

Now we merge this expression with the third disjunct above:

$(\exists Q \in PS(m) : Q \sqsubset_{R'} P) \vee (\exists h : m+1 \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P),$

to obtain:

$(\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P).$

Replacing these in v_{11010} , we find that the right hand side is a logical conclusion of the left hand side, hence v_{11010} is a tautology. We now consider v_{111} , to which we apply the if-then-else rule, which yields two lemmas:

$v_{1110} : \{(Pp \sqsubset_{R'} P) \wedge (Pp \sqsubset_{R'} P \vee PS(m) = \epsilon) \wedge \neg inc \wedge inb(m) \wedge (Pp \sqsubset_{R'} P \vee (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P)).\}$

$inc = \text{true};$

$\{inb(m) \wedge ((inc \wedge Pp \sqsubset_{R'} P) \vee (\neg inc \wedge (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P)))\}.$

$v_{1111} : \{\neg(Pp \sqsubset_{R'} P) \wedge (Pp \sqsubset_{R'} P \vee PS(m) = \epsilon) \wedge \neg inc \wedge inb(m) \wedge (Pp \sqsubset_{R'} P \vee (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P)).\}$

$m = m+1;$

$\{inb(m) \wedge ((inc \wedge Pp \sqsubset_{R'} P) \vee (\neg inc \wedge (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P)))\}.$

We simplify v_{1110} and apply the assignment rule to it, yielding:

$v_{11100} : (Pp \sqsubset_{R'} P) \wedge \neg inc \wedge inb(m) \wedge (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P)$

\Rightarrow

$inb(m) \wedge (Pp \sqsubset_{R'} P),$

This is clearly a tautology. We simplify v_{1111} and apply the assignment rule to it, yielding:

$v_{11110} : \neg(Pp \sqsubset_{R'} P) \wedge PS(m) = \epsilon \wedge \neg inc \wedge inb(m) \wedge (\exists h : m \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P)$

\Rightarrow

$inb(m+1) \wedge ((inc \wedge Pp \sqsubset_{R'} P) \vee (\neg inc \wedge (\exists h : m+1 \leq h \leq M : \exists Q \in PS(h) : Q \sqsubset_{R'} P)))$.

If we know that there exists Q strictly more-correct than P in one of the patch sequences $PS(m), PS(m+1), \dots, PS(M)$ but $PS(m)$ is empty, then it must be in one of the sequence $PS(m+1), PS(m+2), \dots, PS(M)$. For the same reason, m is necessarily strictly less than M , since Q is somewhere in $PS(m+1), PS(m+2), \dots, PS(M)$. Hence $inb(m+1)$ holds.

We conclude that v_{11110} is a tautology.

Since all the lemmas generated from v are valid, so is v . Hence $\text{UnitIncCor}()$ is partially correct with respect to the specification:

- Precondition: $(\exists m : 1 \leq m \leq M : \exists Q \in PS(m) : Q \sqsubset_{R'} P).$
- Postcondition: $Pp \sqsubset_{R'} P.$