

# Theoretical Treatment Raman Spectrum

University of Wisconsin–Madison

Department of Chemistry

Kecai Xuan

# Catalogue

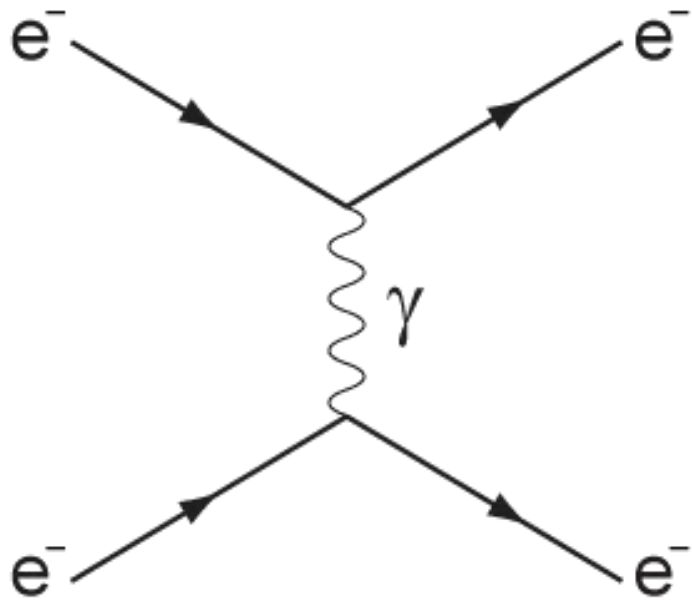
1. Introduction

2. Linear Approximation

3. Classical & Quantum Treatment

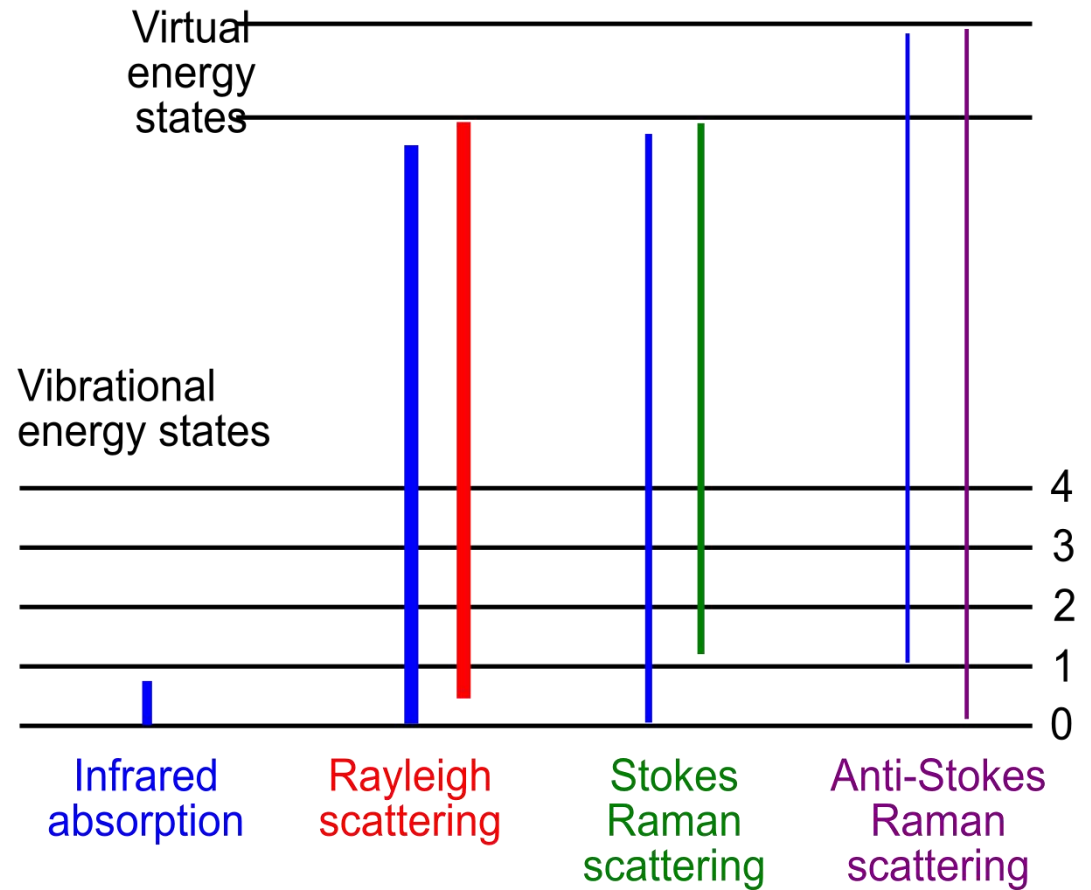
4. Simplifications

# Introduction: Photon Scattering



**Elastic: Rayleigh Scattering**

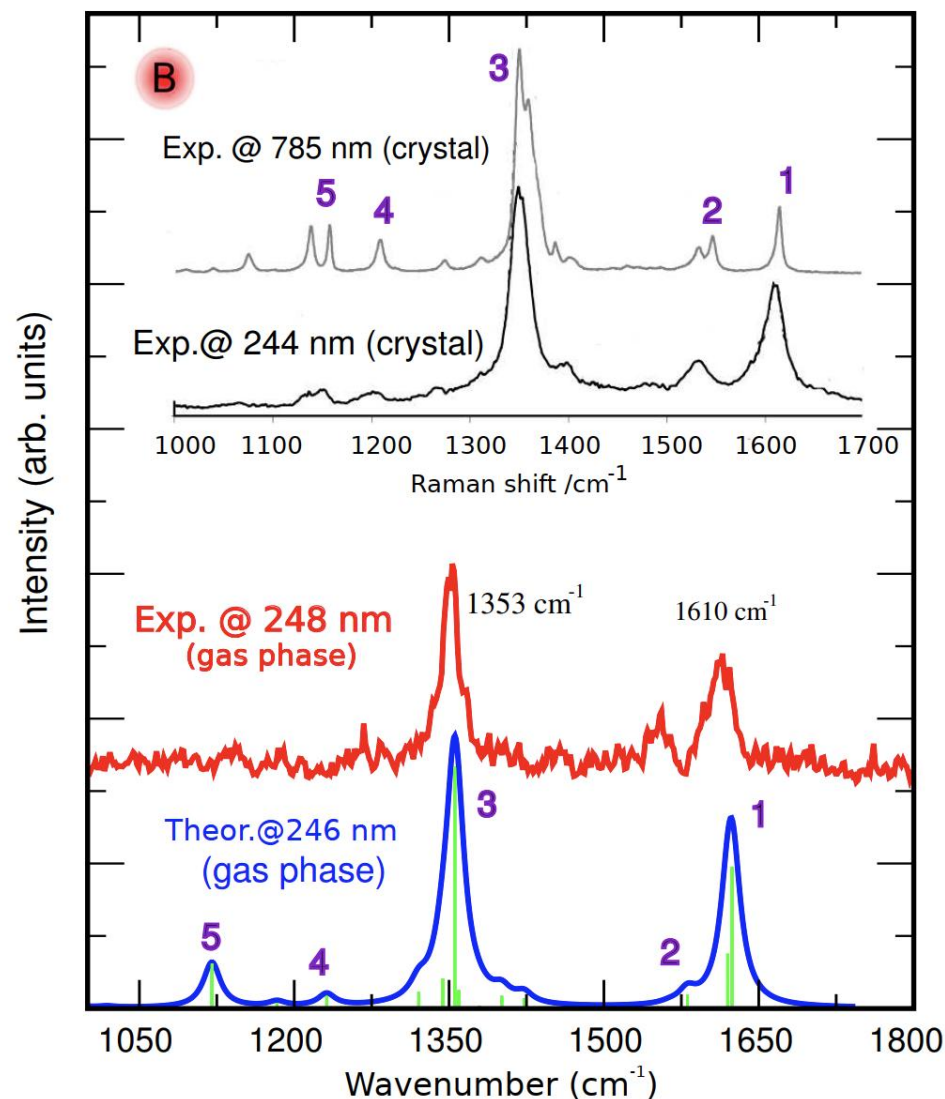
**Inelastic: Raman Scattering**



# Introduction: Spectrum

Spectrum,  
is to express **intensity** as a  
function of **frequency**:

$$I(\omega)$$



# Introduction: Assumption

**ASSUMPTION I:** plane monochromatic wave.

**ASSUMPTION II:** interaction contains only electric dipole.

**ASSUMPTION III:** classical expression for incident light.

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_1(t), \quad \mathcal{H}_1(t) = \mathbf{p} \cdot \mathbf{E}(t) = - \sum_{\sigma} p_{\sigma} E_{\sigma}(t)$$

$$E_{\sigma}(t) = E_{\sigma}(0) e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})}$$

# Introduction: Radiation

**ASSUMPTION IV:** classical radiation formula in electrodynamics

$$I = k'_\omega \omega_s^4 p_0^2 \sin^2 \theta$$
$$k'_\omega = \frac{1}{32\pi^2 \epsilon_0 c_0^3} \quad \longrightarrow \quad \mathbf{p}(\omega)$$

# Linear Approximation: Introduction

## Approximation: Linear Response

$$p_{\rho}(\omega) = \boxed{\alpha_{\rho\sigma}(\omega)E_{\sigma}(\omega)} + \frac{1}{2}\beta_{\rho\sigma\tau}(\omega)E_{\sigma}(\omega)E_{\tau}(\omega) + \frac{1}{6}\gamma_{\rho\sigma\tau\eta}(\omega)E_{\sigma}(\omega)E_{\tau}(\omega)E_{\eta}(\omega) + \dots$$

**Comments:** Given frequency, amplitude of the response is determined by an **linear transformation** (amplification & direction change) of the amplitudes of environmental factors.

# Linear Approximation: Polarizability

$$p_{\rho}(\omega) = \alpha_{\rho\sigma}(\omega) E_{\sigma}(\omega)$$

**KEY VALUE**



# Classical Treatment: Normal Modes

**Normal Modes:**

$$Q_k = Q_{k_0} \cos(\omega_k t + \delta_k)$$

**polarizability depend on shape change:**

$$\alpha_{\rho\sigma} = (\alpha_{\rho\sigma})_0 + \sum_k \left( \frac{\partial \alpha_{\rho\sigma}}{\partial Q_k} \right)_0 Q_k + \frac{1}{2} \sum_{k,l} \left( \frac{\partial^2 \alpha_{\rho\sigma}}{\partial Q_k \partial Q_l} \right)_0 Q_k Q_l \dots$$

# Classical Treatment: Normal Modes

## Harmonic Oscillator:

$$P_\rho = \alpha_{\rho\sigma}(E_\sigma)_0 \cos \omega t + \sum_k (\alpha'_{\rho\sigma})_k (E_\sigma)_0 (Q_k)_0 \cos(\omega_k t + \delta_k) \cos \omega t$$

$$P_\rho = \alpha_{\rho\sigma}(E_\sigma)_0 \cos \omega t$$

$$+ \frac{1}{2} \sum_k (\alpha'_{\rho\sigma})_k (E_\sigma)_0 (Q_k)_0 \cos(\omega_k t + \delta_k + \omega t)$$

$$+ \frac{1}{2} \sum_k (\alpha'_{\rho\sigma})_k (E_\sigma)_0 (Q_k)_0 \cos(\omega_k t + \delta_k - \omega t) \quad (\alpha_{\rho\sigma}) = (\alpha_{\rho\sigma})_0 + \sum_k (\alpha'_{\rho\sigma})_k Q_k$$

$$(\alpha'_{\rho\sigma})_k = \left( \frac{\partial \alpha_{\rho\sigma}}{\partial Q_k} \right)_0$$

$$\cos A \cos B = \frac{1}{2} \{ \cos(A+B) + \cos(A-B) \}$$

# Classical Treatment: Beat Frequency

## Harmonic Oscillator:

$$P_{\rho} = \alpha_{\rho\sigma} (E_{\sigma})_0 \cos \omega t$$

Rayleigh

$$+ \frac{1}{2} \sum_k (\alpha'_{\rho\sigma})_k (E_{\sigma})_0 (Q_k)_0 \cos (\omega_k t + \delta_k + \omega t)$$

Anti-Stokes Raman

$$+ \frac{1}{2} \sum_k (\alpha'_{\rho\sigma})_k (E_{\sigma})_0 (Q_k)_0 \cos (\omega_k t + \delta_k - \omega t)$$

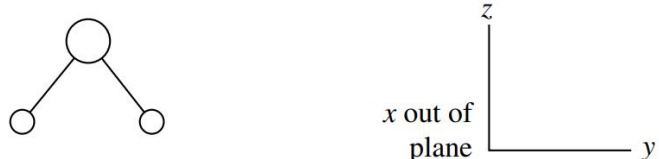
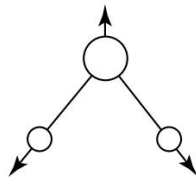
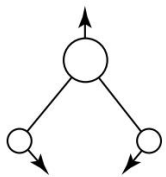
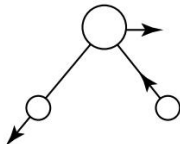
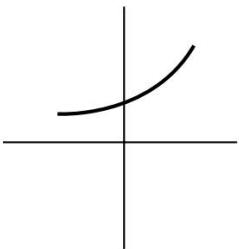
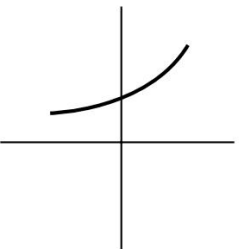
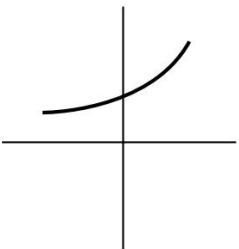
Stokes Raman

**Comments:** the frequencies observed in Raman scattering are **beat frequencies** between the radiation frequency  $\omega$  and the molecular frequency  $\omega_k$ .

# Classical Treatment: Selection Rules

$$(\alpha_{\rho\sigma}) = (\alpha_{\rho\sigma})_0 + \sum_k (\alpha'_{\rho\sigma})_k Q_k$$

$$(\alpha'_{\rho\sigma})_k = \left( \frac{\partial \alpha_{\rho\sigma}}{\partial Q_k} \right)_0$$

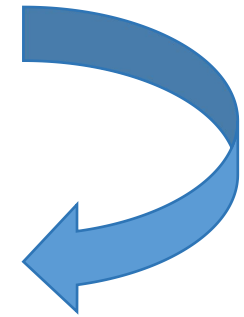
Molecule			
Mode of vibration			
Variation of polarizability with normal coordinate (schematic)			
Polarizability derivative at equilibrium position	$\neq 0$	$\neq 0$	$\neq 0$
Raman activity	Yes	Yes	Yes

# Quantum Treatment: LRT

**Linear Response:** time domain

$$p_{\rho}(t) = \int_{-\infty}^{\infty} dt' \alpha_{\rho\sigma}(t - t') E_{\sigma}(t')$$

$$\alpha_{\rho\sigma}(t - t') = \frac{\delta p_{\rho}(t)}{\delta E_{\sigma}(t')}$$



# Quantum Treatment: LRT

**Linear Response:** time domain

$$|\Psi(t_2)\rangle = U(t_2, t_1) |\Psi(t_1)\rangle$$

$$U(t_2, t_1) = \mathcal{T} \exp \left\{ -\frac{i}{\hbar} \int_{t_1}^{t_2} dt \mathcal{H}(t) \right\}$$

$$\frac{\delta U(t_2, t_1)}{\delta E_\sigma(t)} = \frac{i}{\hbar} U(t_2, t) E_\sigma U(t, t_1)$$




$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_1(t), \quad \mathcal{H}_1(t) = \mathbf{p} \cdot \mathbf{E}(t) = - \sum_{\sigma} p_{\sigma} E_{\sigma}(t)$$

# Quantum Treatment: LRT

**Linear Response:** time domain

$$\frac{\delta U(t_2, t_1)}{\delta E_\sigma(t)} = \frac{i}{\hbar} U(t_2, t) E_\sigma U(t, t_1)$$

$$\begin{aligned} \left. \frac{\delta |\Psi(t)\rangle}{\delta E_\sigma(t')} \right|_{\{\forall t, \sigma, E_\sigma(t)=0\}} &= \frac{i}{\hbar} e^{-i\mathcal{H}_0(t-t')/\hbar} E_\sigma e^{-i\mathcal{H}_0(t'-t_0)/\hbar} |\Psi(t_0)\rangle \Theta(t-t') \\ &= \frac{i}{\hbar} e^{-i\mathcal{H}_0 t/\hbar} E_\sigma(t') e^{+i\mathcal{H}_0 t_0/\hbar} |\Psi(t_0)\rangle \Theta(t-t') \end{aligned}$$


**where:**  $E_\sigma(t') \equiv e^{i\mathcal{H}_0 t'/\hbar} E_\sigma e^{-i\mathcal{H}_0 t'/\hbar}$

# Quantum Treatment: LRT

## Linear Response: time domain

$$\begin{aligned}\alpha_{\rho\sigma}(t-t') &= \frac{\delta}{\delta E_{\sigma}(t')} \langle \Psi(t) | \hat{p}_{\rho} | \Psi(t) \rangle \\ &= \frac{\delta \langle \Psi(t) |}{\delta E_{\sigma}(t')} \hat{p}_{\rho} | \Psi(t) \rangle + \langle \Psi(t) | \hat{p}_{\rho} \frac{\delta | \Psi(t) \rangle}{\delta E_{\sigma}(t')} \\ &= \left\{ -\frac{i}{\hbar} \langle \Psi(t_0) | e^{-i\mathcal{H}_0 t_0/\hbar} \hat{p}_{\sigma}(t') e^{+i\mathcal{H}_0 t/\hbar} \hat{p}_{\rho} | \Psi(t) \rangle \right. \\ &\quad \left. + \frac{i}{\hbar} \langle \Psi(t) | \hat{p}_{\rho} e^{-i\mathcal{H}_0 t/\hbar} \hat{p}_{\sigma}(t') e^{+i\mathcal{H}_0 t_0/\hbar} | \Psi(t_0) \rangle \right\} \Theta(t-t') \\ &= \frac{i}{\hbar} \langle [\hat{p}_{\rho}(t), \hat{p}_{\sigma}(t')] \rangle \Theta(t-t'),\end{aligned}$$



# Quantum Treatment: LRT

**Linear Response:** frequency domain  $\int_0^\infty dt e^{i(\omega - \Omega + i\Gamma)t} = \frac{i}{\omega - \Omega + i\Gamma}$

$$\begin{aligned} p_\rho(\omega) &= \langle \psi_f | \hat{p}_i(\omega) | \psi_i \rangle = \langle \psi_f | \hat{\alpha}_{\rho\sigma}(\omega) E_\sigma(\omega) | \psi_i \rangle \\ &= \langle \psi_f | \left[ \frac{i}{\hbar} \int_0^\infty dt e^{i\omega t} [\hat{p}_\rho(t), \hat{p}_\sigma(0)] \right] E_\sigma(\omega) | \psi_i \rangle \\ &= \langle \psi_f | \left[ \frac{i}{\hbar} \int_0^\infty dt e^{i\omega t} [\hat{p}_\rho(t), \hat{p}_\sigma(0)] \right] E_\sigma(\omega) | \psi_i \rangle \\ &= \frac{i}{\hbar} \int_0^\infty dt e^{i\omega t} \sum_r \left\{ \langle \psi_f | \hat{p}_\rho | \psi_r \rangle \langle \psi_r | \hat{p}_\sigma | \psi_i \rangle e^{+i(\omega_f - \omega_r)t} \right. \\ &\quad \left. - \langle \psi_f | \hat{p}_\sigma | \psi_r \rangle \langle \psi_r | \hat{p}_\rho | \psi_i \rangle e^{+i(\omega_r - \omega_i)t} \right\} E_\sigma(\omega) \end{aligned}$$

# Quantum Treatment: LRT

**Linear Response:** frequency domain

$$(\alpha_{\rho\sigma})_{fi} = \frac{1}{\hbar} \sum_r \left\{ \frac{\langle \psi_f | \hat{p}_\rho | \psi_r \rangle \langle \psi_r | \hat{p}_\sigma | \psi_i \rangle}{\omega_{ri} - \omega - i\Gamma_r} + \frac{\langle \psi_f | \hat{p}_\sigma | \psi_r \rangle \langle \psi_r | \hat{p}_\rho | \psi_i \rangle}{\omega_{rf} + \omega + i\Gamma_r} \right\}$$

**Require** wave functions, energies, lifetimes

**Barrier** to further progress

# Simplification: Decomposition

**Symmetric** part & **Anti-symmetric** part

$$\begin{aligned}(\alpha_{\rho\sigma})_{fi}^s &= \frac{1}{2\hbar} \sum_{r \neq i, f} \frac{(\omega_{ri} + \omega_{rf})}{(\omega_{ri} - \omega_1 - i\Gamma_r)(\omega_{rf} + \omega_1 + i\Gamma_r)} \\&\quad \times \{ \langle f | \hat{p}_\rho | r \rangle \langle r | \hat{p}_\sigma | i \rangle + \langle f | \hat{p}_\sigma | r \rangle \langle r | \hat{p}_\rho | i \rangle \} \\(\alpha_{\rho\sigma})_{fi}^a &= \frac{1}{2\hbar} \sum_{r \neq i, f} \frac{(2\omega_1 + \omega_{if} + 2i\Gamma_r)}{(\omega_{ri} - \omega_1 - i\Gamma_r)(\omega_{rf} + \omega_1 + i\Gamma_r)} \\&\quad \times \{ \langle f | \hat{p}_\rho | r \rangle \langle r | \hat{p}_\sigma | i \rangle - \langle f | \hat{p}_\sigma | r \rangle \langle r | \hat{p}_\rho | i \rangle \}\end{aligned}$$

# Simplification: Decomposition

## Real part & Imaginary part

$$\begin{aligned}(\alpha_{\rho\sigma})_{fi}^s &= \frac{1}{2\hbar} \sum_{r \neq i, f} \frac{(\omega_{ri} + \omega_{rf})}{(\omega_{ri} - \omega_1)(\omega_{rf} + \omega_1)} \\&\quad \times \text{Re}(\langle f | \hat{p}_\rho | r \rangle \langle r | \hat{p}_\sigma | i \rangle + \langle f | \hat{p}_\sigma | r \rangle \langle r | \hat{p}_\rho | i \rangle) \\(\alpha_{\rho\sigma})_{fi}^a &= \frac{1}{2\hbar} \sum_{r \neq i, f} \frac{(2\omega_1 + \omega_{if})}{(\omega_{ri} - \omega_1)(\omega_{rf} + \omega_1)} \\&\quad \times \text{Re}(\langle f | \hat{p}_\rho | r \rangle \langle r | \hat{p}_\sigma | i \rangle - \langle f | \hat{p}_\sigma | r \rangle \langle r | \hat{p}_\rho | i \rangle) \\(\alpha'_{\rho\sigma})_{fi}^s &= -\frac{1}{2\hbar} \sum_{r \neq i, f} \frac{(\omega_{ri} + \omega_{rf})}{(\omega_{ri} - \omega_1)(\omega_{rf} + \omega_1)} \\&\quad \times \text{Im}(\langle f | \hat{p}_\rho | r \rangle \langle r | \hat{p}_\sigma | i \rangle + \langle f | \hat{p}_\sigma | r \rangle \langle r | \hat{p}_\rho | i \rangle) \\(\alpha'_{\rho\sigma})_{fi}^a &= -\frac{1}{2\hbar} \sum_{r \neq i, f} \frac{(2\omega_1 + \omega_{if})}{(\omega_{ri} - \omega_1)(\omega_{rf} + \omega_1)} \\&\quad \times \text{Im}(\langle f | \hat{p}_\rho | r \rangle \langle r | \hat{p}_\sigma | i \rangle - \langle f | \hat{p}_\sigma | r \rangle \langle r | \hat{p}_\rho | i \rangle)\end{aligned}$$

# Simplification: B-O Approximation

**Born-Oppenheimer:**  $|j\rangle = |e^j v^j R^j\rangle = |e^j\rangle |v^j\rangle |R^j\rangle$

$$\omega_{e^j v^j R^j} = \omega_{e^j} + \omega_{v^j} + \omega_{R^j}$$

$$\hat{\alpha}_{\rho\sigma}(e^r, v^r, R^r) = \frac{1}{\hbar} \sum_{\substack{e^r v^r R^r \neq e^i v^i R^i, \\ e^f v^f R^f}} \left\{ \frac{\hat{p}_\rho |e^r\rangle |v^r\rangle |R^r\rangle \langle R^r| \langle v^r| \langle e^r| \hat{p}_\sigma}{\omega_{e^r e^i} + \omega_{v^r v^i} + \omega_{R^r R^i} - \omega_1 - i\Gamma_{e^r v^r R^r}} \right. \\ \left. + \frac{\hat{p}_\sigma |e^r\rangle |v^r\rangle |R^r\rangle \langle R^r| \langle v^r| \langle e^r| \hat{p}_\rho}{\omega_{e^r e^f} + \omega_{v^r v^f} + \omega_{R^r R^f} + \omega_1 + i\Gamma_{e^r v^r R^r}} \right\}$$

# Simplification: B-O Approximation

**Assumption:**

$$\omega_1 \gg \omega_{v^r v^i} + \omega_{R^r R^i}$$

$$e^i = e^g$$

**Effect:** get rid of rotation:

$$\hat{\alpha}_{\rho\sigma}(e^r, v^r) = \frac{1}{\hbar} \sum_{\substack{e^r v^r \neq e^g v^i \\ e^f v^f}} \left\{ \frac{\hat{p}_\rho |e^r\rangle |v^r\rangle \langle v^r| \langle e^r| \hat{p}_\sigma}{\omega_{e^r e^g} + \omega_{v^r v^i} - \omega_1 - i\Gamma_{e^r v^r}} + \frac{\hat{p}_\sigma |e^r\rangle |v^r\rangle \langle v^r| \langle e^r| \hat{p}_\rho}{\omega_{e^r e^f} + \omega_{v^r v^f} + \omega_1 + i\Gamma_{e^r v^r}} \right\}$$

$$(\alpha_{\rho\sigma})_{e^f v^f: e^g v^i} = \frac{1}{\hbar} \sum_{\substack{e^r v^r \neq e^g v^i \\ e^f v^f}} \left\{ \frac{\langle v^f | \langle e^f | \hat{p}_\rho | e^r \rangle | v^r \rangle \langle v^r | \langle e^r | \hat{p}_\sigma | e^g \rangle | v^i \rangle}{\omega_{e^r e^g} + \omega_{v^r v^i} - \omega_1 - i\Gamma_{e^r v^r}} \right. \\ \left. + \frac{\langle v^f | \langle e^f | \hat{p}_\sigma | e^r \rangle | v^r \rangle \langle v^r | \langle e^r | \hat{p}_\rho | e^g \rangle | v^i \rangle}{\omega_{e^r e^f} + \omega_{v^r v^f} + \omega_1 + i\Gamma_{e^r v^r}} \right\}$$

# Herzberg–Teller Vibronic Coupling

## Coordinates Dependence:

$$\hat{H}_e(Q) = (\hat{H}_e)_0 + \sum_k \left( \frac{\partial \hat{H}_e}{\partial Q_k} \right)_0 Q_k + \frac{1}{2} \sum_{k,l} \left( \frac{\partial^2 \hat{H}_e}{\partial Q_k \partial Q_l} \right)_0 Q_k Q_l + \dots$$

## Perturbation Theory:

$$|e^{r'}(Q_0)\rangle = |e^r(Q_0)\rangle + \frac{1}{\hbar} \sum_{e^s \neq e^r} \sum_k \frac{h_{e^s e^r}^k}{\omega_{e^r} - \omega_{e^s}} Q_k |e^s(Q_0)\rangle$$

$$h_{e^s e^r}^k = \langle \psi_{e^s}(Q_0) | (\partial \hat{H}_e / \partial Q_k)_0 | \psi_{e^r}(Q_0) \rangle$$

# Herzberg–Teller Vibronic Coupling

**plug in and multiply out:**

$$\langle e^{r'} | \hat{p}_\sigma | e^{g'} \rangle = \left\{ \langle e^r | + \frac{1}{\hbar} \sum_{e^s \neq e^r} \sum_k \langle e^s | \frac{h_{e^r e^s}^k}{\omega_{e^r} - \omega_{e^s}} Q_k \right\} | \hat{p}_\sigma | \left\{ | e^g \rangle + \frac{1}{\hbar} \sum_{e^t \neq e^g} \sum_k \frac{h_{e^t e^g}^k}{\omega_{e^g} - \omega_{e^t}} Q_k | e^t \rangle \right\}$$

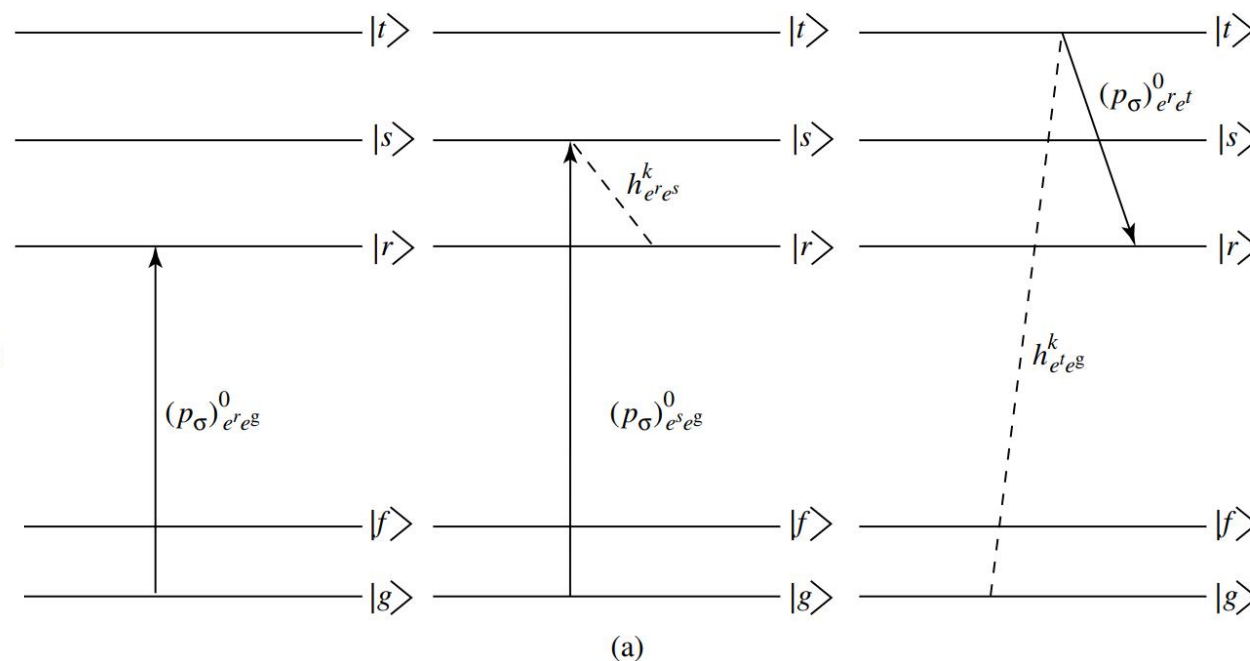
$$\begin{aligned} (p_\sigma)_{e^{r'} e^{g'}} &= (p_\sigma)_{e^r e^g}^0 + \frac{1}{\hbar} \sum_{e^s \neq e^r} \sum_k \frac{h_{e^r e^s}^k}{\omega_{e^r} - \omega_{e^s}} Q_k (p_\sigma)_{e^s e^g}^0 \\ &\quad + \frac{1}{\hbar} \sum_{e^t \neq e^g} \sum_k (p_\sigma)_{e^r e^t}^0 \frac{h_{e^t e^g}^k}{\omega_{e^g} - \omega_{e^t}} Q_k \end{aligned}$$



# Herzberg–Teller Vibronic Coupling

illustration:

$$(p_{\sigma})_{e'r'e'g'} = (p_{\sigma})_{e'r'e'g}^0 + \frac{1}{\hbar} \sum_{e^s \neq e^r} \sum_k \frac{h_{e^r e^s}^k}{\omega_{e^r} - \omega_{e^s}} Q_k (p_{\sigma})_{e^s e^g}^0 + \frac{1}{\hbar} \sum_{e^t \neq e^g} \sum_k (p_{\sigma})_{e^r e^t}^0 \frac{h_{e^t e^g}^k}{\omega_{e^g} - \omega_{e^t}} Q_k$$



**Figure 4.5(a)** The three contributions to  $(p_{\sigma})_{e'r'e'g'}$  which arise from Herzberg–Teller coupling of the states  $|s\rangle$  and  $|r\rangle$ , and  $|t\rangle$  and  $|g\rangle$  via  $Q_k$ .

# Herzberg–Teller Vibronic Coupling

take back to polarizability:

$$(\alpha_{\rho\sigma})_{efvf:egvi} = A^I + B^I + C^I + D^I$$

II. resonance & non-resonance case

III. normal electronic and vibronic scattering

more cases:

IV. pure vibrational scattering

V. electronic and vibronic resonance scattering

VI. vibrational resonance scattering

# Conclusion

$$(\alpha_{\rho\sigma})_{ef\,vf:eg\,vi} = A^I + B^I + C^I + D^I$$

**Linear Response Theory**

**General Polarizability Tensor**

**Symmetry**

**B-O approximation**

**Vibronic Coupling**