

引入 Join Point 的中间语言模型

设计背景

对于 **if (if e1 then e2 else e3) then e4 else e5** 样式的高级语言语句，编译器通常会引入称为 commuting conversion 的结构转换，成为

```
if e1 then (if e2 then e4 else e5)
  else (if e3 then e4 else e5)
```

如此一来如果 e4 和 e5 是很长的代码串则会在程序中引入大量冗余，而如果用 let 绑定的形式，则成为

```
let { j4 () = e4; j5 () = e5 }
in if e1 then (if e2 then j4 () else j5 ())
  else (if e3 then j4 () else j5 ())
```

由于 e4 和 e5 是语句序列所以需要引入新定义的函数，这样在调用 j4(), j5() 时会引起函数转移时保存上下文和局部存储空间分配的开销，这样的内存开销不是原有代码所需要的。

使用 Continuation Passing Style (CPS) 形式的中间语言还可能对于 e2 和 e3 引入不同名的 Continuation 变量来替代 e4 或 e5, 让冗余代码变得难以识别, 并加深代码层次不利于对多层 join points 进行优化。

定义 Join Point

在已有的 Glasgow Haskell Compiler (GHC) 中的中间语言的基础上添加 join point 的静态语义

$$\frac{\Gamma, \vec{a}, x:\vec{\sigma}; \Delta \vdash u : \tau \quad \Gamma; \Delta, (j: \forall \vec{a}. \vec{\sigma} \rightarrow \forall r. r) \vdash . : \tau}{\Gamma; \Delta \vdash \text{join } j \vec{a} x:\vec{\sigma} = u \text{ in } e : \tau}$$

$$\frac{\overrightarrow{\Gamma, \vec{a}, x:\vec{\sigma}; \Delta, j:\forall \vec{a}. \vec{\sigma} \rightarrow \forall r. r \vdash u : \tau} \quad \overrightarrow{\Gamma; \Delta, j:\forall \vec{a}. \vec{\sigma} \rightarrow \forall r. r \vdash e : \tau}}{\Gamma; \Delta \vdash \text{join rec } j \vec{a} x:\vec{\sigma} = u \text{ in } e : \tau} \text{ RJBIND}$$

$$\frac{(j:\forall \vec{a}. \vec{\sigma} \rightarrow \forall r. r) \in \Delta \quad \overrightarrow{\Gamma; \varepsilon \vdash u : \sigma\{\varphi/a\}}}{\Gamma; \Delta \vdash \text{jump } j \vec{\varphi} \vec{u} \tau : \tau} \text{ JUMP}$$

其中 join point 具有 (多个) 类型变量 \vec{a} , (多个) 普通变量 $x:\vec{\sigma}$, 和一个返回类型 τ 。其动态语义

$$\left\langle s' \text{ ++ } \left(\text{join } j b \text{ in } \square : s; \right) \right\rangle_{\Sigma} \mapsto \left\langle \begin{array}{c} u\{\varphi/a\}; \\ \text{join } j b \text{ in } \square : s; \end{array} \right\rangle_{\Sigma, \vec{x} = \vec{v}} \quad \text{if } (j \vec{a} \vec{x} = u) \in j b \quad (jump)$$

$$\left\langle \text{join } j b \text{ in } \square : s; \right\rangle_{\Sigma} \mapsto \langle A; s; \Sigma \rangle \quad (ans)$$

后就构成了以 λ 演算为主体的带 join point 的语言 F_j (完整语法见附录 A), 定义其优化规则为

$$\begin{array}{lll} (\lambda x:\sigma. e) v & = & \text{let } x:\sigma = v \text{ in } e & (\beta) \\ (\Lambda a. e) \varphi & = & e\{\varphi/a\} & (\beta_{\tau}) \\ \text{let } vb \text{ in } C[x] & = & \text{let } vb \text{ in } C[v] & \text{if } (x:\sigma = v) \in vb \quad (inline) \end{array}$$

$$\begin{array}{llll}
\text{let } vb \text{ in } e & = & e & \text{if } \text{bv}(vb) \cap \text{fv}(e) = \emptyset \quad (\text{drop}) \\
\text{join } jb \text{ in } L[\vec{e}, \text{jump } j \vec{\varphi} \vec{v} \tau, \vec{e}'] & = & \text{join } jb \text{ in } L[\vec{e}, \text{let } \overline{x:\sigma} = \vec{v} \text{ in } u\{\overline{\varphi/a}, \vec{e}'\}] & \text{if } (j \vec{a} \vec{x}:\vec{\sigma} = u) \in jb \quad (\text{jinline}) \\
\text{join } jb \text{ in } e & = & e & \text{if } \text{bv}(jb) \cap \text{fv}(e) = \emptyset \quad (\text{jdrop}) \\
\text{case } K \vec{\varphi} \vec{v} \text{ of } \overline{alt} & = & \text{let } \overline{x:\sigma} = \vec{v} \text{ in } e & \text{if } (K \vec{x}:\vec{\sigma} \rightarrow e) \in \overline{alt} \quad (\text{case}) \\
E[\text{case } e \text{ of } \overline{K \vec{x} \rightarrow u}] & = & \text{case } e \text{ of } \overline{K \vec{x} \rightarrow E[u]} & (\text{casefloat}) \\
E[\text{let } vb \text{ in } e] & = & \text{let } vb \text{ in } E[e] & (\text{float}) \\
E[\text{join } j \vec{a} \vec{x} = u \text{ in } e] & = & \text{join } j \vec{a} \vec{x} = E[u] \text{ in } E[e] & (\text{jfloat}) \\
E[\text{join rec } j \vec{a} \vec{x} = u \text{ in } e] & = & \text{join rec } j \vec{a} \vec{x} = E[u] \text{ in } E[e] & (\text{jfloat}_{rec}) \\
E[\text{jump } j \vec{\varphi} \vec{e} \tau] : \tau' & = & \text{jump } j \vec{\varphi} \vec{e} \tau' & (\text{abort})
\end{array}$$

$$\begin{array}{ll}
\boxed{e = e'} & \\
\text{let } f = \Lambda \vec{a} . \lambda \vec{x} . u \text{ in } L[\vec{e}] : \tau & = \text{join } j \vec{a} \vec{x} = u \text{ in } L[\text{tail}_\rho(e)] \quad (\text{contify}) \\
& \text{if } \rho(f \vec{a} \vec{x}) = \text{jump } j \vec{a} \vec{x} \tau \\
& \text{and } f \notin \text{fv}(L), u : \tau \\
\text{let rec } f = \Lambda \vec{a} . \lambda \vec{x} . L[\vec{u}] \text{ in } L'[\vec{e}] : \tau & = \text{join rec } j \vec{a} \vec{x} = L[\text{tail}_\rho(u)] \text{ in } L'[\text{tail}_\rho(e)] \quad (\text{contify}_{rec}) \\
& \text{if } \rho(f \vec{a} \vec{x}) = \text{jump } j \vec{a} \vec{x} \tau \\
& \text{and } f \notin \text{fv}(\vec{L}), f \notin \text{fv}(L'), L[\vec{u}] : \tau \\
\text{tail}_\rho(f \vec{\sigma} \vec{u}) & \triangleq e\{\overline{\sigma/a}\}\{u/x\} \quad \text{if } \rho(f \vec{a} \vec{x}) = e \text{ and } \text{dom}(\rho) \cap \text{fv}(\vec{u}) = \emptyset \\
\text{tail}_\rho(e) & \triangleq e \quad \text{if } \text{dom}(\rho) \cap \text{fv}(e) = \emptyset \\
\text{tail}_\rho(e) & \triangleq \text{undefined} \quad \text{otherwise}
\end{array}$$

其中 $E[\vec{e}]$ 代表对 \vec{e} 中语句进行枚举，例如 $E[\vec{e}] =$

Case v of A \rightarrow e1
 B \rightarrow e2
 C \rightarrow e3

利用 Join Point 进行代码优化

对于

```
Let f x = rhs in
Case a of A  $\rightarrow$  ... f y
          B  $\rightarrow$  ... f z
```

这样形如 `let f x = rhs in E[...f y]` 的代码，应用 *float* 规则可将 `let` 放入枚举中变成

```
Case a of A  $\rightarrow$  Let f x = rhs in ... f y
          B  $\rightarrow$  Let f x = rhs in ... f z
```

因为是尾调用，可用 *contify* 规则将普通函数转化成 Join Point 以节省函数调用开销

```
Case a of A  $\rightarrow$  join f x = rhs in ... jump f y  $\tau$ 
          B  $\rightarrow$  join f x = rhs in ... jump f z  $\tau$ 
```

然后使用 *jfloat* 规则将 Join Point 提出 case 外

```
Join f x = case a of A  $\rightarrow$  rhs[x/y]
              B  $\rightarrow$  rhs[x/z]
in case ... of ...  $\rightarrow$  ... jump f y  $\tau$ 
```

用 *abort* 规则可以省略 jump 处的无效 case

```
Join f x = Case a of A  $\rightarrow$  rhs[x/y]
              B  $\rightarrow$  rhs[x/z]
```

```
in ... jump f y  $\tau$ 
```

这样实现了 case (if-else) 在内外层代码之间的（双向）移动，当存在嵌套 case，即 rhs 中也是 case 语句时，可以将内外层 case 联合起来进行下一步优化。

例如

$$any = \Lambda a. \lambda(p : a \rightarrow Bool)(xs : [a]).$$

$$\text{case} \left(\begin{array}{l} \text{join go xs} = \text{case xs of} \\ \quad x : xs' \rightarrow \text{if } p \ x \text{ then } Just \ x \\ \quad \quad \quad \text{else } \text{jump go xs'} \ (Maybe \ a) \\ \quad [] \rightarrow Nothing \\ \text{in jump go xs } (Maybe \ a) \end{array} \right) \text{ of}$$

$$\{Just _ \rightarrow True; Nothing \rightarrow False\}$$

将外层 case 移入内层再优化就成为

$$any = \Lambda a. \lambda(p : a \rightarrow Bool)(xs : [a]).$$

$$\text{join go xs} = \text{case xs of}$$

$$\quad x : xs' \rightarrow \text{if } p \ x \text{ then } True$$

$$\quad \quad \quad \text{else } \text{jump go xs'} \ Bool$$

$$\quad [] \rightarrow False$$

$$\text{in jump go xs } Bool$$

相比于 CPS 的优越性

- F_j 是基于 A-Normal Form 的形式，与函数式语言比较接近，语句形式比 CPS 简洁；
- CPS 对代码求值顺序有强制规定，而 F_j 没有，并且 GHC 原有的 `let floating` 和新引入的 `float`、`jfloat` 等规则能方便地交换代码顺序，利于优化；
- CPS 所需的一些将函数转化为 Continuation 的操作可能因为重命名而引入难以优化的代码；
- F_j 能利用 GHC 已有的允许用户自定义优化规则的系统。

附录 A

完整的 F_j 语法，syntax:

Terms

x	\in	Term variables
j	\in	Label variables
e, u, v	$::=$	$x \mid l \mid \lambda x:\sigma. e \mid e \ u$
		$\Lambda a. e \mid e \ \varphi$ Type polymorphism
		$K \ \vec{\varphi} \ \vec{e}$ Data construction
		$\text{case } e \text{ of } \vec{alt}$ Case analysis
		$\text{let } vb \text{ in } v$ Let binding
		$\text{join } jb \text{ in } u$ Join-point binding
		$\text{jump } j \ \vec{\varphi} \ \vec{e} \ \tau$ Jump
alt	$::=$	$K \ \vec{x}:\vec{\sigma} \rightarrow u$ Case alternative

Value bindings and join-point bindings

vb	$::=$	$x:\tau = e$ Non-recursive value
		$\text{rec } \vec{x}:\vec{\tau} = \vec{e}$ Recursive values
jb	$::=$	$j \ \vec{a} \ \vec{x}:\vec{\sigma} = e$ Non-recursive join point
		$\text{rec } j \ \vec{a} \ \vec{x}:\vec{\sigma} = e$ Recursive join points

Answers

$A ::= \lambda x:\sigma. e \mid \Lambda a. e \mid K \ \vec{\varphi} \ \vec{v}$

Types

a, b	\in	Type variables
τ, σ, φ	$::=$	a Variable
		T Datatype
		$\sigma \rightarrow \tau$ Function type
		$\tau \ \varphi$ Application
		$\forall a. \tau$ Polymorphic type

Frames, evaluation contexts, and stacks

F	$::=$	$\square \ v$ Applied function
		$\square \ \tau$ Instantiated polymorphism
		$\text{case } \square \text{ of } \vec{p} \rightarrow \vec{u}$ Case scrutinee
		$\text{join } jb \text{ in } \square$ Join point
E	$::=$	$\square \mid F[E]$ Evaluation contexts
s	$::=$	$\varepsilon \mid F : s$ Stacks

Tail contexts

L	$::=$	\square Empty unary context
		$\text{case } e \text{ of } \vec{p} \rightarrow \vec{L}$ Case branches
		$\text{let } vb \text{ in } L$ Body of let
		$\text{join } j \ \vec{a} \ \vec{x}:\vec{\sigma} = L \text{ in } L'$ Join point, body
		$\text{join rec } j \ \vec{a} \ \vec{x}:\vec{\sigma} = \vec{L} \text{ in } L'$ Rec join points, body

Miscellaneous

C	\in	General single-hole term contexts
Σ	$::=$	$\cdot \mid \Sigma, x:\sigma = v$ Heap
c	$::=$	$\langle e; s; \Sigma \rangle$ Configuration

Statics:

$$\boxed{\Gamma; \Delta \vdash e : \tau}$$

$$\begin{array}{c}
\frac{(x:\tau) \in \Gamma}{\Gamma; \Delta \vdash x : \tau} \text{VAR} \quad \frac{\text{typeof}(K) = \forall \vec{a}. \vec{\sigma} \rightarrow T \vec{a} \quad \frac{\Gamma; \varepsilon \vdash u : \sigma \{\varphi/a\}}{\Gamma; \Delta \vdash K \vec{\varphi} \vec{u} : T \vec{\varphi}}}{\Gamma; \Delta \vdash K \vec{\varphi} \vec{u} : T \vec{\varphi}} \text{CON} \quad \frac{\Gamma, (x:\sigma); \varepsilon \vdash e : \tau}{\Gamma; \Delta \vdash \lambda(x:\sigma).e : \sigma \rightarrow \tau} \text{ABS} \quad \frac{\Gamma, a; \varepsilon \vdash e : \tau}{\Gamma; \Delta \vdash \Lambda a.e : \forall a.\tau} \text{TABS} \\
\frac{\Gamma; \Delta \vdash e : \sigma \rightarrow \tau \quad \Gamma; \varepsilon \vdash u : \sigma}{\Gamma; \Delta \vdash e u : \tau} \text{APP} \quad \frac{\Gamma; \Delta \vdash e : \forall a.\tau}{\Gamma; \Delta \vdash e \varphi : \tau \{\varphi/a\}} \text{TAPP} \quad \frac{(j:\forall \vec{a}. \vec{\sigma} \rightarrow \forall r.r) \in \Delta \quad \Gamma; \varepsilon \vdash u : \sigma \{\varphi/a\}}{\Gamma; \Delta \vdash \text{jump } j \vec{\varphi} \vec{u} : \tau} \text{JUMP} \\
\frac{\Gamma; \varepsilon \vdash u : \sigma \quad \Gamma, x:\sigma; \Delta \vdash e : \tau}{\Gamma; \Delta \vdash \text{let } x:\sigma = u \text{ in } e : \tau} \text{VBIND} \quad \frac{\Gamma, \vec{x}:\vec{\sigma}; \varepsilon \vdash u : \vec{\sigma} \quad \Gamma, \vec{x}:\vec{\sigma}; \Delta \vdash e : \tau}{\Gamma; \Delta \vdash \text{let rec } \vec{x}:\vec{\sigma} = \vec{u} \text{ in } e : \tau} \text{RVBIND} \\
\frac{\Gamma, \vec{a}, \vec{x}:\vec{\sigma}; \Delta \vdash u : \tau \quad \Gamma, \Delta, (j:\forall \vec{a}. \vec{\sigma} \rightarrow \forall r.r) \vdash e : \tau}{\Gamma; \Delta \vdash \text{join } j \vec{a} \vec{x}:\vec{\sigma} = u \text{ in } e : \tau} \text{JBIND} \\
\frac{\Gamma, \vec{a}, \vec{x}:\vec{\sigma}; \Delta, j:\forall \vec{a}. \vec{\sigma} \rightarrow \forall r.r \vdash u : \tau \quad \Gamma, \Delta, j:\forall \vec{a}. \vec{\sigma} \rightarrow \forall r.r \vdash e : \tau}{\Gamma; \Delta \vdash \text{join rec } j \vec{a} \vec{x}:\vec{\sigma} = u \text{ in } e : \tau} \text{RJBIND} \\
\frac{\Gamma; \Delta \vdash e : T \vec{\varphi} \quad \text{typeof}(K) = \forall \vec{a}. \vec{\sigma} \rightarrow T \vec{a} \quad \vec{v} = \vec{\sigma} \{\varphi/a\} \quad \Gamma, \vec{x}:\vec{\sigma}; \Delta \vdash u : \tau \quad \text{ctors}(T) = \{\vec{K}\}}{\Gamma; \Delta \vdash \text{case } e \text{ of } K \vec{x}:\vec{\sigma} \rightarrow u : \tau} \text{CASE}
\end{array}$$

Dynamics:

程序状态为三元组 $\langle e; s; \Sigma \rangle$, e 为当前求值的表达式, s 为 stack 状态, Σ 为普通变量的绑定集合

$$\boxed{\langle e; s; \Sigma \rangle \mapsto \langle e'; s'; \Sigma' \rangle}$$

$$\begin{array}{ll}
\langle F[e]; s; \Sigma \rangle \mapsto \langle e; F : s; \Sigma \rangle & (\text{push}) \\
\langle \lambda x.e; \square v : s; \Sigma \rangle \mapsto \langle e; s; \Sigma, x = v \rangle & (\beta) \\
\langle \Lambda a.e; \square \varphi : s; \Sigma \rangle \mapsto \langle e\{\varphi/a\}; s; \Sigma \rangle & (\beta_\tau) \\
\langle \text{let } vb \text{ in } e; s; \Sigma \rangle \mapsto \langle e; s; \Sigma, vb \rangle & (\text{bind}) \\
\langle x; s; \Sigma[x = v] \rangle \mapsto \langle v; s; \Sigma[x = v] \rangle & (\text{look}) \\
\left\langle \begin{array}{c} K \vec{\varphi} \vec{v}; \\ \text{case } \square \text{ of } \vec{alt} : s; \\ \Sigma \end{array} \right\rangle \mapsto \langle u; s; \Sigma, \vec{x} = \vec{v} \rangle & (\text{case}) \\
& \text{if } (K \vec{x} \rightarrow u) \in \vec{alt} \\
\left\langle \begin{array}{c} \text{jump } j \vec{\varphi} \vec{v} \tau; \\ s' \uparrow\uparrow (\text{join } jb \text{ in } \square : s); \\ \Sigma \end{array} \right\rangle \mapsto \left\langle \begin{array}{c} u\{\varphi/a\}; \\ \text{join } jb \text{ in } \square : s; \\ \Sigma, \vec{x} = \vec{v} \end{array} \right\rangle & (\text{jump}) \\
& \text{if } (j \vec{a} \vec{x} = u) \in jb \\
\left\langle \begin{array}{c} A; \\ \text{join } jb \text{ in } \square : s; \\ \Sigma \end{array} \right\rangle \mapsto \langle A; s; \Sigma \rangle & (\text{ans})
\end{array}$$

对 F_j 的优化规则

$$\boxed{e = e'}$$

$$\begin{array}{llll}
(\lambda x:\sigma.e) v & = & \text{let } x:\sigma = v \text{ in } e & (\beta) \\
(\Lambda a.e) \varphi & = & e\{\varphi/a\} & (\beta_\tau) \\
\text{let } vb \text{ in } C[x] & = & \text{let } vb \text{ in } C[v] & \text{if } (x:\sigma = v) \in vb \quad (\text{inline}) \\
\text{let } vb \text{ in } e & = & e & \text{if } \text{bv}(vb) \cap \text{fv}(e) = \emptyset \quad (\text{drop}) \\
\text{join } jb \text{ in } L[\vec{e}, \text{jump } j \vec{\varphi} \vec{v} \tau, \vec{e}'] & = & \text{join } jb \text{ in } L[\vec{e}, \text{let } \vec{x}:\vec{\sigma} = \vec{v} \text{ in } u\{\varphi/a\}, \vec{e}'] & \text{if } (j \vec{a} \vec{x} = u) \in jb \quad (\text{jinline}) \\
\text{join } jb \text{ in } e & = & e & \text{if } \text{bv}(jb) \cap \text{fv}(e) = \emptyset \quad (\text{jdrop}) \\
\text{case } K \vec{\varphi} \vec{v} \text{ of } \vec{alt} & = & \text{let } \vec{x}:\vec{\sigma} = \vec{v} \text{ in } e & \text{if } (K \vec{x} \rightarrow e) \in \vec{alt} \quad (\text{case}) \\
E[\text{case } e \text{ of } K \vec{x} \rightarrow u] & = & \text{case } e \text{ of } K \vec{x} \rightarrow E[u] & (\text{casefloat}) \\
E[\text{let } vb \text{ in } e] & = & \text{let } vb \text{ in } E[e] & (\text{float}) \\
E[\text{join } j \vec{a} \vec{x} = u \text{ in } e] & = & \text{join } j \vec{a} \vec{x} = E[u] \text{ in } E[e] & (\text{jfloat}) \\
E[\text{join rec } j \vec{a} \vec{x} = u \text{ in } e] & = & \text{join rec } j \vec{a} \vec{x} = E[u] \text{ in } E[e] & (\text{jfloat}_{rec}) \\
E[\text{jump } j \vec{\varphi} \vec{e} \tau] : \tau' & = & \text{jump } j \vec{\varphi} \vec{e} \tau' & (\text{abort})
\end{array}$$

$$\begin{array}{lcl}
\boxed{e = e'} & & \\
\text{let } f = \Lambda \vec{a}. \lambda \vec{x}. u \text{ in } L[\vec{e}] : \tau & = & \text{join } j \vec{a} \vec{x} = u \text{ in } L[\overrightarrow{\text{tail}_\rho(e)}] \quad (\text{contify}) \\
& & \text{if } \rho(f \vec{a} \vec{x}) = \text{jump } j \vec{a} \vec{x} \tau \\
& & \text{and } f \notin \text{fv}(L), u : \tau \\
\text{let rec } f = \Lambda \vec{a}. \lambda \vec{x}. L[\vec{u}] \text{ in } L'[\vec{e}] : \tau & = & \text{join rec } j \vec{a} \vec{x} = L[\overrightarrow{\text{tail}_\rho(u)}] \text{ in } L'[\overrightarrow{\text{tail}_\rho(e)}] \quad (\text{contify}_{\text{rec}}) \\
& & \text{if } \rho(f \vec{a} \vec{x}) = \text{jump } j \vec{a} \vec{x} \tau \\
& & \text{and } f \notin \text{fv}(L), f \notin \text{fv}(L'), L[\vec{u}] : \tau \\
\text{tail}_\rho(f \vec{\sigma} \vec{u}) & \triangleq & e\{\overrightarrow{\sigma/a}\}\{\overrightarrow{u/x}\} \quad \text{if } \rho(f \vec{a} \vec{x}) = e \text{ and } \text{dom}(\rho) \cap \text{fv}(\vec{u}) = \emptyset \\
\text{tail}_\rho(e) & \triangleq & e \quad \text{if } \text{dom}(\rho) \cap \text{fv}(e) = \emptyset \\
\text{tail}_\rho(e) & \triangleq & \text{undefined} \quad \text{otherwise}
\end{array}$$

参考文献

[Compiling without continuations](#)

[The essence of compiling with continuations](#)

[Stream Fusion: From Lists to Streams to Nothing at all](#)