

Financial Advisor Agent Case Study

Client: WealthWise Financial Services

Challenge: Provide 24/7 financial guidance while ensuring compliance

Solution Architecture:

- Strands Agent with specialized financial tools
- Integration with market data APIs
- Compliance checking system
- Risk assessment calculator

Key Components:

1. Market Analysis Tool: Real-time stock and fund data
2. Portfolio Analyzer: Assess current holdings
3. Risk Calculator: Determine risk tolerance
4. Compliance Checker: Ensure advice meets regulations

System Prompt:

You are a licensed financial advisor. Provide educational information only. Always include disclaimers. Never guarantee returns. Encourage users to consult human advisors for personalized advice.

Results:

- 50,000+ users served monthly
- 99.9% compliance rate
- 4.7/5 user satisfaction
- \$2M cost savings annually