# DESIGN AND ANALYSIS OF ALGORITHMS

#### Introduction

What is Algorithm?

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  - An algorithm is a finite set of instructions that, if followed, accomplishes a particular task.

### Introduction...

Characteristics of an Algorithm

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  - Input: ?
  - Output: ?
  - Definiteness: ?
  - Finiteness: ?
  - Effectiveness: ?

#### Introduction...

- Characteristics of an Algorithm
  - Input: zero or more inputs, taken from a specified set of objects
  - Output: At least one quantity is produced relation to the inputs
  - Definiteness: Each instruction must be precisely defined
  - Finiteness: It terminates after a finite number of steps
  - Effectiveness: All operations to be performed must be sufficiently basic that they can be done exactly and in finite length.

# Algorithms vs Programs

#### **Algorithms**

- Design Level
- Domain Knowledge
- Any Language
- H/W & OS
- Analyse

#### **Programs**

Implementation Level

Programmer

Programming Language

H/W & OS

**Testing** 

# Analysis of algorithms

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- Measuring efficiency of an algorithm
  - Time: How long the algorithm takes (running time)
  - Space : Memory requirement

# Time and space

# Time and space

- Time depends on processing speed
  - Not possible to change for given hardware
- Space is a function of available memory
  - Easier to reconfigure
- Typically, we will focus on time, not space

# Time and space complexity

Algorithm swap(a,b)

### Time and space complexity

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# Time and space complexity

 Algorithm swap(a,b) Temp=a; a=b; b=Temp; Total variables = Temp,a,b Constant =0(1)

# Measuring running time

### Measuring running time

- Analysis independent of underlying hardware
  - Don't use actual time
  - Measure in term of "Basic operations"

# Input size

### Input size

- Running time depends on input size
- Measure time efficiency as function of input size
  - Input size n
  - Running time t(n)

# Worst-case analysis

### Worst-case analysis

- Why do we usually focus on the worst case analysis?
  - Being upper bound, the worst case guarantees that the algorithm will not take any longer.
  - Average case is often roughly as bad as the worst case.

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- About 300 seconds
- About 5 minutes

# Typical functions

• Problem: print "Hello NIT Surat" for n times

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_____
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What could be the running time of the solution?

Prepare a table as shown below

Statement	cost	times_executed
1		
2		
3		
4		
5		

- Problem: print "Hello NIT Surat" for n times
- Algorithm/ pseudo code: Print (n)
- 1. i = 1
- 2. While  $i \leq n$
- 3. Print "Hello NIT Surat"
- 4. i = i + 1
- 5. Exit

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- 5. Exit..... $C_5$

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- 3. Print "Hello NIT Surat"..... $C_3$
- 4.  $i = i + 1 \dots C_4$
- 5. Exit..... $\mathcal{C}_5$

Total steps = Total time =  $C_1 + (n+1)C_2 + nC_3 + nC_4 + C_5$ 

Consider the code snippet

```
For i=1 to n
For j=1 to n
Print "DAA 2021"
```

What is the cost of execution?

Total time = 
$$C_1(n+1) + C_2n(n+1) + C_3n^2$$

Total time = 
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=  $C_1(n+1) + C_2(n^2+n) + C_3n^2$ 

Consider the code snippet

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For i=1 to n
For j=1 to i
Print "DAA 2021"
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What is the cost of execution?

Total time = 
$$C_1(n+1) + C_2\left(\frac{(n+1)(n+2)}{2} - 1\right) + C_3\frac{n(n+1)}{2}$$

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• Problem: Insertion sort

Algorithm Insertion-Sort (A[], n)

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1 For j = 2 to n

2 key = A[j]

3 i = j - 1

4 While (i > 0) and (A[i] > key)

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- How do we analyze the time complexity?
- We need to analyze how many times the while loop is executed?
  - Assume while loop is executed  $t_i$  times...

Then the running time is given by the expression

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Then the running time is given by the expression

$$C_1 n + C_2 (n-1) + C_3 (n-1) + C_4 \sum_{j=2}^{n} t_j + C_5 \sum_{j=2}^{n} (t_j - 1) + C_6 \sum_{j=2}^{n} (t_j - 1) + C_7 (n-1)$$

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$$= C_1 n + C_2 (n-1) + C_3 (n-1) + C_4 \left(\frac{n^2 + n - 2}{2}\right) + C_5 \left(\frac{n^2 - n}{2}\right) + C_6 \left(\frac{n^2 - n}{2}\right) + C_6 \left(\frac{n^2 - n}{2}\right)$$

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- Which algorithm of the two do you think is better?

n	2 <i>n</i>	4.5n
5	10	22
10	20	45
100	200	450
1000	2000	4500
10000	20000	45000
100000	$2.0*10^{5}$	$4.5 * 10^5$
$1000000 = 10^6$	2.0 * 10 <sup>6</sup>	4.5 * 10 <sup>6</sup>

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n	2 <i>n</i>	4.5n	$n^3/2$	$5n^2$
5	10	22	45	125
10	20	45	500	500
100	200	450	5 * 10 <sup>5</sup>	$5*10^4$
1000	2000	4500	5 * 10 <sup>8</sup>	5 * 10 <sup>6</sup>
10000	20000	45000	$5*10^{11}$	5 * 10 <sup>8</sup>
100000	$2.0*10^{5}$	$4.5 * 10^5$	$5*10^{14}$	$5*10^{10}$
$   \begin{array}{r}     1000000 \\     = 10^6   \end{array} $	2.0 * 106	4.5 * 10 <sup>6</sup>	5 * 10 <sup>17</sup>	5 * 10 <sup>12</sup>

• A relook at costs of insertion sort with  $oldsymbol{\mathcal{C}}_i' oldsymbol{s} = oldsymbol{1}$ 

- A relook at costs of insertion sort with  $C_i's = 1$
- Best case

$$T(n) = C_1 n + C_2(n-1) + C_3(n-1) + C_4(n-1) + C_7(n-1)$$

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= 5n - 4

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n	$T(n)=3n^2+7n-8$	$T(n)=3n^2$	
10	362	300	
100	30692	30000	
1000	$3.006992*10^{6}$	$3.00*10^{6}$	
10000	$3.0000699992*10^{10}$	$3.00*10^{10}$	

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- Then, what is asymptotic growth rate, asymptotic order or order of functions?
- Is it reasonable to ignore smaller values and constants?

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- Insertion sort Best case complexity ...

$$T(n) = \frac{1}{2}(3n^2 + 7n - 8)$$

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  - Level 1 ignored the actual cost of execution of each statement.
  - Level 2 ignored even the abstract cost  $(C_i)$  of each statement.
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- Such analysis is based on the asymptotic growth rate,

- So, now we have assumed/abstracted at three different levels viz.
  - Level 1 ignored the actual cost of execution of each statement.
  - Level 2 ignored even the abstract cost  $(C_i)$  of each statement.
  - Level 3 ignore all the terms except for the one with the highest degree in the expression of time complexity
- Such analysis is based on the asymptotic growth rate,
  - Asymptotic order or order or functions and called asymptotic analysis

## Typical functions

- We are interested in order of magnitude
- t(n) may proportional to  $\log n, ..., n^2, n^3, ..., 2^n$
- Logarithmic, polynomial, exponential ...

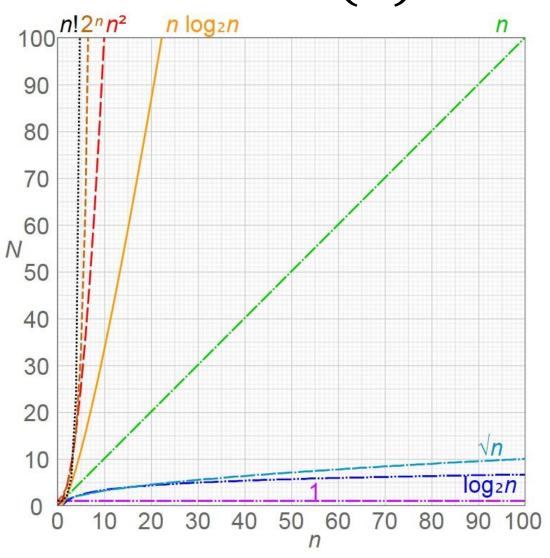
# Basic Asymptotic Efficiency classes

1	Constant		
$\log n$	Logarithmic		
n	Linear		
$n \log n$	$n \log n$		
$n^2$	Quadratic		
$n^3$	Cubic		
$2^n$	Exponential		
n!	Factorial		

# Typical functions t(n)

Input	log n	n	n log n	$n^2$	$n^3$	$2^n$	n!
10	3.3	10	33	100	1000	1000	$10^{6}$
100	6.6	100	66	$10^{4}$	$10^{6}$	$10^{30}$	$10^{157}$
1000	10	1000	10 <sup>4</sup>	$10^{6}$	10 <sup>9</sup>		
10 <sup>4</sup>	13	$10^{4}$	$10^{5}$	$10^{8}$	$10^{12}$		
<b>10</b> <sup>5</sup>	17	10 <sup>5</sup>	$10^{6}$	$10^{10}$			
<b>10</b> <sup>6</sup>	20	$10^{6}$	10 <sup>7</sup>				
<b>10</b> <sup>7</sup>	23	10 <sup>7</sup>	108				
10 <sup>8</sup>	27	10 <sup>8</sup>	10 <sup>9</sup>				
10 <sup>9</sup>	30	10 <sup>9</sup>	10 <sup>10</sup>				
10 <sup>10</sup>	33	$10^{10}$					

# Typical functions t(n)



## An interesting "seconds" conversion

$10^{2}$	1.7 min
10 <sup>4</sup>	2.8 hours
$10^5$	1.1 days
$10^6$	1.6 weeks
10 <sup>7</sup>	3.8 months
10 <sup>8</sup>	3.1 years
10 <sup>9</sup>	3.1 decades
$10^{10}$	3.1 centuries

#### **Asymptotic Notations**

- In this approach, the running time of an algorithm is describes as Asymptotic Notations.
- Computing the running time of algorithm's operations in mathematical units of computation and defining the mathematical formula of its run-time performance is referred to as Asymptotic Analysis.
- An algorithm may not have the same performance for different types of inputs. With the increase in the input size, the performance will change.
- Asymptotic analysis accomplishes the study of change in performance of the algorithm with the change in the order of the input size.

#### **Asymptotic Notations**

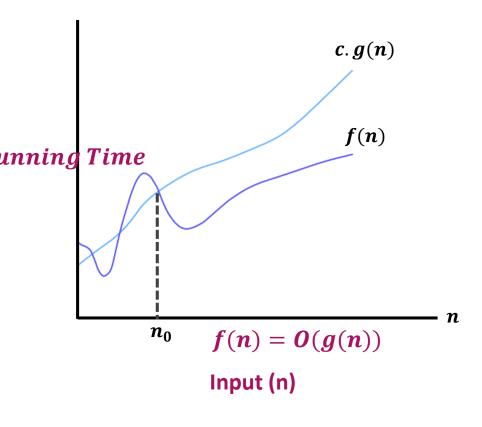
- Asymptotic notations are mathematical notations used to represent the time complexity of algorithms for Asymptotic analysis.
- ▶ Following are the commonly used asymptotic notations to calculate the running time complexity of an algorithm.
  - 1. O Notation
  - 2.  $\Omega$  Notation
  - 3.  $\theta$  Notation
- Asymptotic Notations are used,
  - 1. To characterize the complexity of an algorithm.
  - To compare the performance of two or more algorithms solving the same problem.

#### 1. O-Notation (Big O notation) (Upper Bound)

- The notation O(n) is the formal way to express the upper bound of an algorithm's running time.
- For a given function g(n), we denote by O(g(n)) the set of functions,

 $O(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \le f(n) \le cg(n) \text{ for all } n_0 \le n \}$ 

#### **Big(O) Notation**



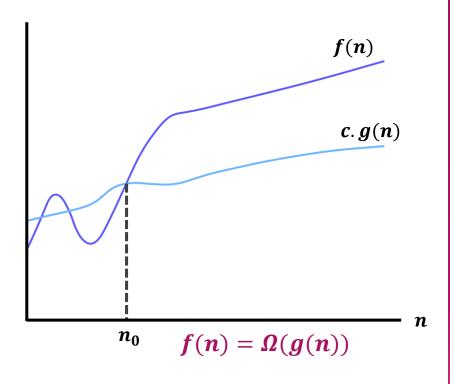
- g(n) is an asymptotically **upper bound** for f(n).
- f(n) = O(g(n)) implies: f(n)"  $\leq$  " c.g(n)

#### 2. $\Omega$ -Notation (Omega notation) (Lower Bound)

- $\blacktriangleright$  Big Omega notation ( $\Omega$  ) is used to define the lower bound of any algorithm.
- ▶ This always indicates the minimum time required for any algorithm for all input values.
- When a time complexity for any algorithm is represented in the form of big- $\Omega$ , it means that the algorithm will take at least this much time to complete it's execution. It can definitely take more time than this too.
- For a given function g(n), we denote by  $\Omega(g(n))$  the set of functions,

 $\Omega(g(n)) = \{f(n): \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \le cg(n) \le f(n) \text{ for all } n_0 \le n \}$ 

#### $Big(\Omega)$ Notation



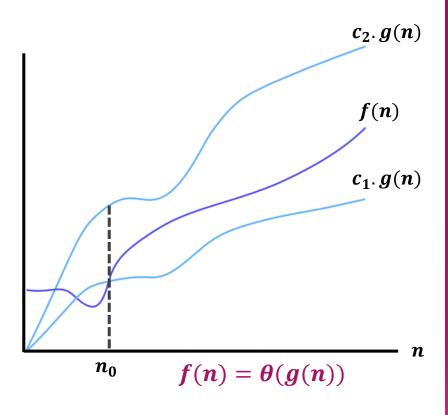
- g(n) is an asymptotically **lower bound** for f(n).
- $f(n) = \Omega(g(n))$  implies: f(n)"  $\geq$  " c.g(n)

#### 3. $\theta$ -Notation (Theta notation) (Same order)

- The notation  $\theta(n)$  is the formal way to enclose both the lower bound and the upper bound of an algorithm's running time.
- The time complexity represented by the Big- $\theta$  notation is the range within which the actual running time of the algorithm will be.
- ▶ So, it defines the exact Asymptotic behavior of an algorithm.
- For a given function g(n), we denote by  $\theta(g(n))$  the set of functions,

 $\theta(g(n)) = \{f(n) : \text{there exist positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \le c_1 g(n) \le f(n) \le c_2 g(n) \text{ for all } n_0 \le n \}$ 

#### **θ-Notation**



- $\theta(g(n))$  is a set, we can write  $f(n) \in \theta(g(n))$  to indicate that f(n) is a member of  $\theta(g(n))$ .
- g(n) is an asymptotically tight bound for f(n).
- $f(n) = \theta(g(n))$  implies: f(n) " = " c.g(n)

# **Asymptotic Notations**

#### **Asymptotic Notations**

O-Notation (Big O notation) (Upper Bound)

$$O(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } \mathbf{0} \le f(n) \le g(n) \text{ for all } n_0 \le n \}$$

$$f(n) = O(g(n))$$

Ω-Notation (Omega notation) (Lower Bound)

$$\Omega(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \le cg(n) \le f(n) \text{ for all } n_0 \le n\}$$

$$f(\boldsymbol{n}) = \Omega(g(\boldsymbol{n}))$$

 $\theta$ -Notation (Theta notation) (Same order)

$$\theta(g(n)) = \{f(n) : \text{there exist positive constants } c_1, c_2 \text{ and } n_0 \text{such that } \mathbf{0} \leq \mathbf{c_1} \mathbf{g}(\mathbf{n}) \leq \mathbf{f}(\mathbf{n}) \leq \mathbf{c_2} \mathbf{g}(\mathbf{n}) \text{ for all } n_0 \leq n \}$$

$$f(\boldsymbol{n}) = \theta(g(\boldsymbol{n}))$$

#### **Asymptotic Notations – Examples**

#### Example 1:

$$f(n) = n^2$$
 and  $g(n) = n$ 

Algo. 1 running time

Algo. 2 running time

$$f(n) \ge g(n) \Longrightarrow f(n)$$
  
=  $\Omega(g(n))$ 

n	$f(n)=n^2$	g(n) = n
1	1	1
2	4	2
3	9	3
4	16	4
5	25	5

#### Example 2:

$$f(n) = n$$
 and  $g(n) = n^2$ 

Algo. 1 running time

Algo. 2 running time

$$f(n) \le g(n) \Longrightarrow f(n)$$
  
=  $O(g(n))$ 

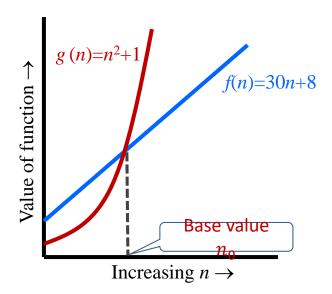
n	f(n) = n	$g(n) = n^2$
1	1	1
2	2	4
3	3	9
4	4	16
5	5	25

#### **Asymptotic Notations – Examples**

Example 3:  $f(n) = n^2$  and  $g(n) = 2^n$ 

		ſ	
			$f(n) \le g(n) \Longrightarrow f(n)$ = $O(g(n))$
n	$f(n)=n^2$	$g(n)=2^n$	
_1_	1	2	
_2_	4	4	
3	9	8	
4	16	16	Here for $n \geq$
<u>5</u>	25	32	$4, f(n) \leq$
6	36	64	g(n)
7	49	128	$so, n_0 = 4$

#### Asymptotic Notations – Examples



Example 4:  $\mathbf{f(n)} = \mathbf{30n} + \mathbf{8} \text{ is in the order of n, or } 0(n)$   $\mathbf{g(n)} = \mathbf{n^2 + 1} \text{ is order } n^2, \text{ or } 0(n^2)$   $\mathbf{f(n)} = \mathbf{0}(\mathbf{g(n)})$ 

In general, any  $O(n^2)$  function is fastergrowing than any O(n) function.

## Asymptotic notations: The Big-O notation

#### Prove that f(n) = 2n+3 is O(n)

 $2n+3 \le 7n$  [Upgrade to higher order term]

n	L.H.S.	R.H.S.
1	5	7
2	7	14
3	9	21

$$f(n)=O(n)$$
 for  $n>=1 && c=7$ 

## Asymptotic notations: The Big-O notation

#### Prove that f(n) = 2n+5 is O(n)

 $2n+5 \le 3n$  [Upgrade to higher order term]

n	L.H.S.	R.H.S.
1	7	3
2	9	6
3	11	9
4	13	12
5	15	15
6	17	18

$$f(n)=O(n)$$
 for  $n>=5 \&\& c=3$ 

• Prove that f(n) = 2n+5 is  $O(n^2)$ 

## Asymptotic notations: The Big-O notation

# $f(n) = 2n^{2} + 3n + 4$ $2n^{2} + 3n + 4 \le 2n^{2} + 3n^{2} + 4n^{2}$ $\le 9n^{2}$ $f(n) = 2n^{2} + 3n + 4$ c = 9 $g(n) = n^{2}$ $f(n) = O(n^{2})$

Asymptotic notations: The Big-  $\Omega$  notation

## Asymptotic notations: The Big- $\Omega$ notation

```
f(n) = 2n+3

2n+3≥ 1 * n n ≥1

f(n) = 2n+3

c=1

g(n)=n

f(n) = Ω(n)
```

## Asymptotic notations: The Big- $\Omega$ notation

```
f(n) = 2n^{2} + 3n + 4
2n^{2} + 3n + 4n \ge 1 * n^{2}
f(n) = 2n^{2} + 3n + 4
c=1
g(n)=n^{2}
f(n) = \Omega(n^{2})
```

Asymptotic notations: The Big- heta notation

## Asymptotic notations: The Big-heta notation

```
f(n) = 2n+3
f(n)=O(n)
f(n)=\Omega(n)
f(n)=\theta(n)
```

## Asymptotic notations: The Big-heta notation

$$f(n) = 2n^2 + 3n + 4$$
  
 $f(n) = O(n^2)$   
 $f(n) = O(n^2)$   
 $f(n) = \theta(n^2)$ 

#### The Big-theta notation

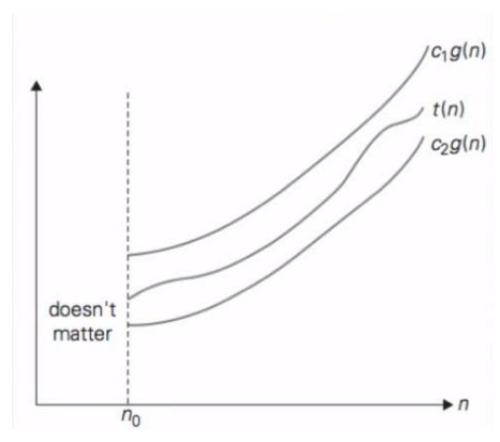


Figure: Big-theta notation:  $t(n) \in \theta(g(n))$ 

• Prove that  $10 \log n + 4 = \theta(\log n)$ 

## Calculating complexity

- Iterative programs
- Recursive programs

Problem: Maximum value in an array

- Problem: Maximum value in an array
- Solution:

```
Function MaxElement(A, n)

1 maxval = A[0]

2 For i = 1 to n - 1

3 If A[i] > maxval

4 maxval = A[i]
```

Return maxval

- Problem: Maximum value in an array
- Solution:

```
Function MaxElement(A, n)
```

```
1 maxval = A[0]

2 For i = 1 to n - 1 -------(n-1) steps

3 If A[i] > maxval
```

maxval = A[i]

5 Return *maxval* 

Problem: Check if all element in an array are distinct

- Problem: Check if all element in an array are distinct
- Solution:

```
Function NoDuplicates(A, n)

1 For i = 1 to n

2 For j = i + 1 to n

3 If A[i] == A[j]

Return False
```

Return *True* 

• Problem: Matrix multiplication

- Problem: Matrix multiplication
- Solution:

Function MatrixMultiply(A, B)

- 1. For i = 1 to n
- 2. For j = 1 to n
- 3. C[i][j] = 0
- 4. For k = 1 to n
- 5.  $C[i][j] = c[i][j] + A[i][k] \times B[k][j]$
- 6.Return C

#### 1) General Properties:

If f(n) is O(g(n)) then a\*f(n) is also O(g(n)); where a is a constant.

#### **Example:**

$$f(n) = 2n^2+5$$
 is  $O(n^2)$   
then  $7*f(n) = 7(2n^2+5)$   
=  $14n^2+35$  is also  $O(n^2)$ 

Similarly this property satisfies for both  $\Theta$  and  $\Omega$  notation. We can say,

If f(n) is  $\Theta(g(n))$  then a\*f(n) is also  $\Theta(g(n))$ ; where a is a constant.

If f(n) is  $\Omega$  (g(n)) then  $a^*f(n)$  is also  $\Omega$  (g(n)); where a is a constant.

#### **Reflexive Properties:**

- If f(n) is given then f(n) is O(f(n)).
   Example: f(n) = n<sup>2</sup>; O(n<sup>2</sup>) i.e O(f(n))
- Function is Upper Bound for itself.
- Similarly, this property satisfies both Θ and Ω notation. We can say
   If f(n) is given then f(n) is Θ(f(n)).
   If f(n) is given then f(n) is Ω (f(n)).

- Transitive Properties :
- If f(n) is O(g(n)) and g(n) is O(h(n)) then f(n) = O(h(n)).

```
Example: if f(n) = n, g(n) = n^2 and h(n)=n^3 n is O(n^2) and n^2 is O(n^3) then n is O(n^3)
```

• Similarly this property satisfies for both  $\Theta$  and  $\Omega$  notation. We can say If f(n) is  $\Theta(g(n))$  and g(n) is  $\Theta(h(n))$  then  $f(n) = \Theta(h(n))$ . If f(n) is  $\Omega$  (g(n)) and g(n) is  $\Omega$  (h(n)) then  $f(n) = \Omega$  (h(n))

#### **Symmetric Properties:**

• If f(n) is  $\Theta(g(n))$  then g(n) is  $\Theta(f(n))$ . Example:  $f(n) = n^2$  and  $g(n) = n^2$  then  $f(n) = \Theta(n^2)$  and  $g(n) = \Theta(n^2)$ 

This property only satisfies for Θ notation.

- Transpose Symmetric Properties:
- If f(n) is O(g(n)) then g(n) is  $\Omega$  (f(n)). Example: f(n) = n,  $g(n) = n^2$  then n is  $O(n^2)$  and  $n^2$  is  $\Omega$  (n)

• This property only satisfies for O and  $\Omega$  notations.

- Some More Properties :
- 1. If f(n) = O(g(n)) and  $f(n) = \Omega(g(n))$  then  $f(n) = \Theta(g(n))$

2. If f(n) = O(g(n)) and d(n)=O(e(n))
 then f(n) + d(n) = O( max( g(n), e(n) ))