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A Proof for the Birch Swinnerton-Dyer Conjecture

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## Table of Contents

<i>Abstract</i> .....	<b>3</b>
<i>Introduction</i> .....	<b>4</b>
<i>I. Birch and Swinnerton-Dyer</i> .....	<b>7</b>
<i>II. Proof</i> .....	<b>8</b>
<i>Conclusion</i> .....	<b>11</b>
<i>References</i> .....	<b>12</b>

## Abstract

The Birch Swinnerton-Dyer Conjecture states that the number of rational points on an elliptic curve are infinite. This paper develops the logical formalism for a reinforcement learning system embedded with a quantum search algorithm. The formalism proves the rational points on high dimensional elliptical curves are infinite.

## Introduction

The Birch Swinnerton-Dyer (BSD) Conjecture is a millennium prize problem, for which the Clay Mathematics Institute is offering a prize. The BSD problem relates to the nature of elliptic curves. In short, there does not exist a method of finding all points on elliptic curves. Elliptic curves are two-dimensional objects – essentially lop-sided oval shapes. Further, the BSD deals with the division of the finite and infinite of points on an elliptic curve.

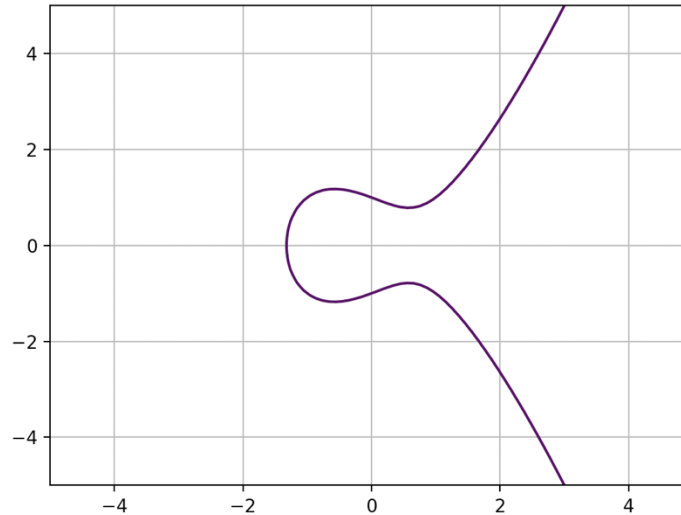


Figure 1

Generally, elliptic curves contain rational numbers. Interestingly, a majority of scholarship surrounding the BSD deals with abelian groups. Abelian groups are numeric groups whose elements can be re-ordered, but still yield the same product. Elliptic curves are defined as a group over a finite field. With a suitable change of variables, every elliptic curve with real coefficients can be put in the standard form:

$$y^2 = x^3 + Ax + B$$

For some constants A and B. An elliptic curve is a smooth projective curve of genus 1 with a distinguished point.

Specifically, an elliptic curve is a projective curve of genus 1 with a distinguished point. The projective plane is set to  $\mathbb{P}^2(k)$  for all nonzero triples  $(x, y, z)$  in  $k^3$  modulo the equivalence relation  $(x, y, z) \sim (\lambda x, \lambda y, \lambda z)$ . The projective point  $(x : y : z)$  is the equivalence class for  $(x, y, z)$ . Points of the form  $(x : y : 1)$  are called affine points. They form an affine plane  $A^2(k)$  embedded  $\mathbb{P}^2(k)$ . Points of the form  $(x : y : 0)$  are called points at infinity. These consist of the points  $(x : 1 : 0)$  and the point  $(1 : 0 : 0)$ , which form the line at infinity: this is a copy of  $\mathbb{P}^1(k)$  embedded in  $\mathbb{P}^2(k)$ .

A plane projective curve  $C_f / k$  is a homogenous polynomial  $f(x, y, z)$  with coefficients in  $k^1$ . The degree of  $C_f$  is the degree of  $f(x, y, z)$ . For any field  $K$  containing  $k$ , the  $K$  – *rational points* of  $C_f$  form the set

$$C_f(K) = \{(x : y : z) \in \mathbb{P}^2(k) \mid f(x, y, z) = 0\}$$

A point  $P \in C_f(K)$  is singular if  $\frac{\partial f}{\partial x}, \frac{\partial f}{\partial y}, \frac{\partial f}{\partial z}$  all vanish at  $P$ .  $C_f$  is nonsingular if there are no singular points  $C_f(\bar{k})$ .

Over  $\mathbb{C}$ , an irreducible projective curve is a connected compact manifold. The genus can be defined algebraically over any field. The Newton polytope of a polynomial  $f(x, y) = \sum a_{ij}x^i y^j$  is the convex hull of the set  $\{(i, j) : a_{ij} \neq 0\}$  in  $\mathbb{R}^2$ . One way to compute the genus for an irreducible curve defined by an affine equation  $f(x, y) = 0$  is to count the integer lattice points in the interior for its Newton polytope:

$$y^2 = x^3 + Ax + B$$

The Narrow Weierstrass equation  $y^2 = x^3 + Ax + B$  defines a projective genus 1 curve over  $k$  with the rational point  $(0 : 1 : 0)$ . The General Weierstrass equation:

$$y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$$

works over any field.

Zero is the point at infinity. Three points on a line sum to zero.  $P + Q + R = 0$ . The set  $E(k)$  is an abelian group. The point  $(0 : 1 : 0)$  at infinity is the identity element 0. The inverse of  $P = (x : y : z)$  is the point at  $-P = (x : -y : z)$ . Commutativity is:  $P + Q = Q + P$ . Associativity is:  $P + (Q + R) = (P + Q) + R$ .

Computing  $P + Q = R$  is algebraic. The coordinates for  $R$  are rational functions for the coordinates of  $P$  and  $Q$ . By adding a point to itself iteratively, compute  $2P = P + P$ ,  $3P = P + P + P$ , and in general,  $nP = P + \dots + P$  for any positive  $n$ . Further, define  $0P = P$  and  $(-n)P = -nP$ . Thus, scalar multiplication by any integer  $n$  is computable.

When  $k = \mathbb{C}$  the group operation of  $E(\mathbb{C}) \simeq \mathbb{C} / L$  is complex number addition, modulo the lattice  $L$ . The group  $E(\mathbb{Q})$  may be finite or infinite, but in every case, it is finitely generated.

The group  $E(\mathbb{Q})$  is a finitely generated abelian group. Thus:

$$E(\mathbb{Q}) \simeq T \oplus \mathbb{Z}^r$$

Where the torsion subgroup  $T$  is a finite abelian group corresponding to the elements of  $E(\mathbb{Q})$  with finite order, and  $r$  is the rank of  $E(\mathbb{Q})$ . The torsion subgroup  $T$  of  $E(\mathbb{Q})$  is well understood. The torsion subgroup of  $E(\mathbb{Q})$  is isomorphic to one of the following:

$$\mathbb{Z} / n\mathbb{Z} \text{ or } \mathbb{Z} / 2\mathbb{Z} \oplus \mathbb{Z} / 2m\mathbb{Z},$$

Where  $n \in \{1,2,3,4,5,6,7,8,9,10,12\}$  and  $m \in \{1,2,3,4\}$ .

If  $C(\mathbb{Q})$  is non-empty, then  $C$  is parametrized by rational functions and there are infinitely many rational points. If a non-singular projective model  $C$  has a rational point, then  $C(\mathbb{Q})$  has a natural structure as an abelian group with this point as the identity element,  $C$  and elliptic curve over  $\mathbb{Q}$ . This group is finitely generated. If  $C$  an elliptic curve over  $\mathbb{Q}$ , then

$$C(\mathbb{Q}) \simeq \mathbb{Z}^r \oplus C(\mathbb{Q})^{tors}$$

For some integer  $r \geq 0$ , where  $C(\mathbb{Q})^{tors}$  is a finite abelian group. The integer  $r$  is called an algebraic rank of  $C$ . It is zero only if  $C(\mathbb{Q})$  is finite. Some speculate a relationship between the infinite sequence of integers  $a_p$  associated to an elliptic curve  $E / \mathbb{Q}$  where the rank is .

## I. Birch and Swinnerton-Dyer

The case of cubic equations in two-variable is the first case where, there is no known method to find all the rational solutions – thus BSD. If the Birch and Swinnerton-Dyer Conjecture was true, then there would be a method to find all rational solutions to a cubic equation in two variables, and, to determine whether it has infinitely many rational solutions.

Let  $E$  be an elliptic curve, let  $r$  be its rank, and let  $N_p$  denote the number of points  $E(\text{mod } p)$ . Then

$$\prod_{p \leq X} \frac{N_p}{p} \sim c \cdot (\log X)^r$$

Birch and Swinnerton-Dyer also provided an explicit expression for  $c$  in terms of  $E$ ; this is called the strong form of the conjecture. Let  $E$  be an elliptic curve, let  $r$  be its rank, and let  $N_p$  denote the number of points  $E(\text{mod } p)$ . Set,  $a_p = p + 1 - N_p$ , and define the incomplete L-function of  $E$  by:

$$L(E, s) = \prod_{p \nmid 2\Delta} \frac{1}{1 - a_p p^{-s} + p^{1-2s}}$$

**Conjecture (Birch and Swinnerton-Dyer)** The Taylor Expansion of  $L^*(C, s)$  at  $s = 1$  has the form

$$L(C, s) = c(s - 1)^r + \text{higher order terms}$$

With

$$c \neq 0 \text{ and } r = \text{rank}(C(\mathbb{Q})).$$

In particular, this conjecture asserts that

$$L(C, 1) = 0 \Leftrightarrow C(\mathbb{Q})$$

is infinite.<sup>1</sup>

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<sup>1</sup> Andrew Wiles, The Birch and Swinnerton-Dyer Conjecture (2006).

## II. Proof

A proof is an inferential argument for a mathematical statement, showing that the stated assumptions logically guarantee the conclusion. The model for proving the BSD is conceptually straightforward. A deep reinforcement learning agent<sup>2</sup> uses a quantum search algorithm<sup>3</sup> to identify all point on the elliptic curve over the field  $\mathbb{Q}$ . All classical fields  $C(\mathbb{Q})$  are fields of limited dimensionality, reduced from a quantum field  $Q(\mathbb{Q})$ .<sup>4</sup>

The offered proof proves the number of rational points on a finite elliptic curve is infinite. In other words, the L-function's order is equivalent to the rank  $C(\mathbb{Q})$ . The proof is described as a series of three transformations.

First, if a non-singular projective model  $C$  has a rational point, then  $C(\mathbb{Q})$  has a natural structure as an abelian group with this point as the identity element.

$$Q \in \mathbb{Q}$$

The Quantum Ising Model is a method for statistical mechanics, where variables are binary and the relationship between variables is represented by couplings. The Ising Model uses a Quantum Energy Measurement (QEM) function is to describe the total amount of energy in a quantum system.

$$Q_s(s) = -\frac{1}{2} \sum_i \Delta(s) \sigma_i^x + \varepsilon(s) \left( -\sum_i h_i \sigma_i^z + \sum_{i < j} J_{ij} \sigma_i^z \sigma_j^z \right).$$

The input for the QEM function is the state of the system. In other words, the QEM returns the energy measurement for any particular state.

$$-\frac{1}{2} \sum_i \Delta(s) \sigma_i^x,$$

And, the output is the energy measurement of the system.

$$\varepsilon(s) \left( -\sum_i h_i \sigma_i^z + \sum_{i < j} J_{ij} \sigma_i^z \sigma_j^z \right),$$

Then,

$$L(C, 1) = 0 \Leftrightarrow C(\mathbb{Q})$$

$$C(\mathbb{Q}) \rightarrow \mathbb{Z}^r \oplus C(\mathbb{Q})^{tors}$$

$$L(C, 1) = 0 \Leftrightarrow \mathbb{Z}^r \oplus C(\mathbb{Q})^{tors}$$

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<sup>2</sup> Leslie Pack Kaelbling, et al., Reinforcement Learning: A Survey, J. of Artificial Intelligence Research (1996).

<sup>3</sup> Lov K. Grover, Quantum Computers can Search Arbitrarily Large Databases by a Single Query, Vol. 79 Physical Review Letters No. 23, 4709 (1997).

<sup>4</sup> R. SHANKAR, QUANTUM FIELD THEORY AND CONDENSED MATTER (2017).



Where,

$$L(C, 1) = 0 \Leftrightarrow \mathbb{Z}^r \oplus C(\mathbb{Q})^{tors} \rightarrow L(C, 1) = 0 \Leftrightarrow C(\mathbb{Q})$$

The energy description  $Q$  is given to transform the state space for field  $C(\mathbb{Q})$ .

$$Q = \sum_{i=1}^N [\varepsilon_i \sigma_i^Z + \Delta_i \sigma_i^X] + \sum_{i=1}^N \sum_{j>i}^N J_{ij} \sigma_i^Z \sigma_j^Z.$$

Where  $C(\mathbb{Q}) \rightarrow Q(\mathbb{Q})$ .

The quantum field  $Q(\mathbb{Q})$  is a quantum subfield for classical fields.

$$Q(\mathbb{Q}) \rightarrow C(\mathbb{Q}) \rightarrow \mathbb{Z}^r \oplus C(\mathbb{Q})^{tors}$$

Second, deep reinforcement learning is a new type of machine learning resulting from the technical convergence of two more mature machine learning methods, deep learning and reinforcement learning. Deep Q-Networks (DQNs) are deep neural networks embedded in the reinforcement learning architecture, representing these two systems' convergence. The DQN algorithm combines Q-learning with a neural network to maximize an agent's reward.

The reward acts as a feedback mechanism, allowing the agent to learn independent of human training. The rewards are used to update the agent's knowledge over time, so it learns to take actions returning the highest rewards. For each time step, the reward is a number

$$R_t \in \mathbb{R},$$

which is associated with a corresponding action.

$$a^* = \arg \max_a E R(s|a).$$

The value function is used to compute the value of a given state according to a defined policy. The value function  $V^\pi$  is equal to the expected sum of the discounted rewards for executing policy  $\pi$ :

$$V^\pi(s) = E[R(s_0) + R(s_1) + \dots | s_0 = s, \pi(s)].$$

The DQN algorithm's Bellman Equation is defined:

$$Q^*(s, a) = E_{s' \sim \varepsilon} \left[ r + \max_{a'} Q^*(s', a') | s, a \right].$$

Thus, a neural network is a state-action value function, allowing for more efficient programming and model development.

$$Q(s, a; \emptyset) \rightarrow (s, a).$$

For each state in the agent's environment, the field  $Q(\mathbb{Q})$ , the agent applies the Quantum Search Algorithm, to search the field for all points. The Quantum Search Algorithm (QSA) searches the quantum field  $Q(\mathbb{Q})$  by algorithmic method. The algorithm begins where all rational points ( $\mathbb{Q}$ ) are in search space with an equal superposition  $|\psi\rangle = \frac{1}{\sqrt{N}} \sum_x |x\rangle$ . Where  $O_p$  is a quantum oracle, apply  $O_p$  to  $|\psi\rangle$ .

$$O_p : |Q, a\rangle \rightarrow |Q, P(Q) \oplus a\rangle,$$

$$\text{for all } Q \in \mathbb{Q}$$

Flip the sign of all basis vectors that represent a solution.

$$O|\psi\rangle \rightarrow Q \oplus -Q$$

$$O : Q_i |\psi_Q\rangle - Q_i |\psi_{-Q}\rangle \rightarrow Q_{i+1} |\psi_Q\rangle - Q_{i+1} |\psi_{-Q}\rangle$$

Perform an inversion about the average, a transformation that maps every amplitude  $A - \delta$  to  $A + \delta$ , where  $A$  is the average of the amplitudes.

$$\sum_{i=0}^{N-1} (a_i) |\psi\rangle \rightarrow \sum_{i=0}^{N-1} (2A - a_i) |\psi\rangle$$

Where

$$N = \infty$$

The agent is rewarded for each point it finds, taking action associated with the collection of rational points in the quantum state space modeling the classical elliptic curve  $C(\mathbb{Q})$  in high dimensionality.

Third, the L-Function is a method on the complex plane. Where, the complex plane is a quantum field, which underlies a classical field.

$$L(Q, 1) = 0 \Leftrightarrow Q(\mathbb{Q})$$

$$Q(\mathbb{Q}) = 0 \Leftrightarrow Q(\mathbb{Q})$$

$$Q(\mathbb{Q}) = C(\mathbb{Q}) |\psi\rangle$$

Thus

$$L(Q, 1) = 0 \Leftrightarrow Q(\mathbb{Q}) = \infty$$

and

$$L(C, 1) = 0 \Leftrightarrow C(\mathbb{Q}) = \infty$$

## Conclusion

In sum, this paper proves the Birch Swinnerton-Dyer Conjecture. The proof provides a logical support that the rational points on high dimensional elliptical curves are infinite.

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