# EXPERT SYSTEM USING BAYESIAN NEURAL NETWORKS

submitted in partial fulfillment of the requirements for the degree of

#### **MASTER OF TECHNOLOGY**

in

#### COMPUTER SCIENCE AND ENGINEERING

by

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DEPARTMENT OF COMPUTER SCIENCE AND ENGINEERING INDIAN INSTITUTE OF TECHNOLOGY TIRUPATI MARCH 2022

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I declare that this written submission represents my ideas in my own words and

where others' ideas or words have been included, I have adequately cited and referenced

the original sources. I also declare that I have adhered to all principles of academic

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data/fact/source in my submission to the best of my knowledge. I understand that any

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Place: Tirupati

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Sathuri Bharath Kumar Goud

CS20M011

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the Indian Institute of Technology, Tirupati, for the award of the degree of Master of

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Date: 24-03-2022

Dr. Srinivas Padmanabhuni

Guide

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Note: If supervised by more than one professor, professor's name must be included

and get signatures from all supervisors. Change him/her or our or my accordingly.

## **ACKNOWLEDGMENTS**

I am thankful to my guide Dr. SRINIVAS PADMANABHUNI for his guidance, encouragement and continuous support for the whole duration of this project.

I would like to thank all the faculty members of the department of Computer Science and Engineering, IIT Tirupati for their constant support throughout this project and the course here at IIT Tirupati and making this a memorable and successful journey and a lifelong learning experience .

I have taken the dataset for this project from UCI Dataset Repository Dua and Graff (2017) titled "AI4I 2020 Predictive Maintenance Dataset Data Set" provided by Stephan Matzka, School of Engineering - Technology and Life, Hochschule fýr Technik und Wirtschaft Berlin, 12459 Berlin, Germany.

## **ABSTRACT**

KEYWORDS: Expert System; Bayesian Neural Networks; Streamlit; Tensorflow.

A LATEX class along with a simple template thesis are provided here. These can be used to easily write a thesis suitable for submission at IIT-Tirupati. The class provides options to format BTech thesis. It also allows one to write a synopsis using the same class file. Also provided is a BIBTEX style file that formats all bibliography entries as per the IIT Tirupati format. The 500 word abstract shall highlight the important features of the thesis/dissertation/report and shall correspond to the electronic version to be submitted to the Library for inclusion in the website. The Abstract in the thesis, however, shall have two more parts, namely, the layout of the thesis giving a brief chapter wise description of the work and the key words.

The formatting is as (as far as the author is aware) per the current institute guidelines.

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## **ABBREVIATIONS**

The research scholar/ student must take utmost care in the use of technical abbreviations. For example, gms stands for gram meter second not grams. Grams should be abbreviated as g. Abbreviations should be listed in alphabetical orders as shown below.

**IITT** Indian Institute of Technology, Tirupati

**OS** Operating System

**PhD** Doctor of Philosophy

**ES** Expert System

**BNN** Bayesian Neural Networks

**ANN** Artificial Neural Networks

## **NOTATION**

The research scholar/student must explain the meaning of special symbols and notations used in the thesis. Define English symbols, Greek symbols and then miscellaneous symbols Some examples are listed here.

 $\rho$ density,  $\frac{m}{kg^3}$  rRadius, m  $\theta$ Angle between x and y in degrees velocity of the object

## **CHAPTER 1**

### INTRODUCTION

This is the first chapter of your thesis. Most preferably introduce your problem description and what are you discussing in the rest of the thesis in section-wise can be given here.

Mention the motivation to solve the research problem, objective and its scope

The Expert System is a very old concept in the field of AI. Many expert systems are built for various domains and applications ranging from the First Developed MYCIN Expert system for medical diagnosis to different machinery repair expert systems, credit card fraud detection systems, bug reporting systems, etc. Even though the applications are different, the underlying concept is the same. Every Expert system has the following components. Knowledge base, Inference Engine, and User(User Interface).

## 1.1 Introduction

## 1.1.1 Expert System

**Definition:** Expert system can be defined as a knowledge-based system where it acquires knowledge about a particular(specific and narrow) domain with the help of an expert from that domain and uses its inference engine(here it's BNN and not rule-based ones) to address user queries. The user queries the expert system with the user interface provided to the user. It takes this input, processes it with the help of its domain knowledge and its inference engine, and results in the answer/output to the query.

The advantage of this expert system is that the knowledge base can be updated as per need to make the expert system with up-to-date knowledge. This system simulates human(expert) intelligence. It assists an expert in making better decisions but it is not a replacement. The advantage is that these systems are consistent in their predictions with better accuracy and are fast compared to humans.

The main disadvantage of these systems is the Knowledge acquisitions part.

#### 1.1.2 Neural Networks

**Neural Networks:** These are the basic building blocks of the Deep learning domain. When compared to traditional learning approaches, we don't need to tell how to learn the data to the neural network. It automatically learns from the given observation(training) data, extracts the patterns, and applies the new data for predictions. One of the main disadvantages is that these are overconfident in their predictions which leads to uncertainty. So to overcome this and to have more dynamic predictions we are using Bayesian Neural networks where we use Bayesian inference in neural networks which gives us confident predictions and with certainty.

#### 1.1.3 Bayesian Neural Networks(BNN)

BNN: The authors of the paper [8] "Hands-on Bayesian Neural Networks - a Tutorial for Deep Learning Users" defined it as a stochastic ANN trained using Bayesian inference. In simple terms, we use the probability of weights and biases and other parameters instead of singular values to the above terms. In the same paper as above, Stochastic ANN's are defined as ANN's in which stochastic components are used to simulate multiple possible model parameters along with their probability distributions. This can be also considered as a special case of Ensemble learning(multiple different models combined to have the most accurate predictions).

#### 1.2 ES AND BNN

#### 1.2.1 BAYESIAN NEURAL NETWORKS

#### Introduction

The Bayesian paradigm which is the base for Bayesian neural networks work on two basic and simple ideas,

• Probability of an event is defined as the belief in the occurrence of that event.

• Prior beliefs(probabilities) influence posterior beliefs(probabilities). This is best explained by the Bayes theorem.

**Bayesian Neural Network:** BNN = Stochastic Neural Network + Bayesian Inference.

#### **Implementation**

Stochastic Neural Network = ANN + stochastic components(using probability distribution  $p(\theta)$  which is useful to simulate multiple models similar to the process of ensemble learning. BNN's are better at quantifying the most consistent uncertainty for their predictions when compared to ANN's. Without over fitting the data, they are also efficient for small datasets.

- They can be considered as a special case of ensemble learning.

  Ensemble learning: it's a technique where we use multiple models instead of a single one and the combination of them will work with much better accuracy.
- Stochastic models are implemented using probabilistic graphical models(PGM's). After this, the initial priors are set in the network using various techniques.
- Various Bayesian inference algorithms are MCMC(Markov chain Monte Carlo) and variational inference, Bayes by back-propagation, learning the priors method.
- In practice, it's hard to implement the exact algorithm for the implementation of BNN's, so it's a general practice to approximate it with various techniques. some of them are:
  - 1. Bayes via Dropout
  - 2. Bayes via Stochastic Gradient Descent
  - 3. Bayesian inference on the last n layers of the network.

#### **Conclusion**

Even though the overall idea might be easy where we are using probability distributions of weights in the network, the actual algorithmic implementation is difficult.

#### 1.2.2 EXPERT SYSTEM ARCHITECTURE

An Expert system is an intelligent system that is based on the knowledge base and uses an inference engine to solve problems. The knowledge base would be restricted to a specific and narrow domain and with the help of an inference engine, it solves problems or answers user queries related to that domain. It also has a heuristics engine which helps in better predictions. These machines help the domain expert in taking better decisions.

These have a wide range of domain applications.

Expert System = knowledge base + inference engine + user interface(optional)

**Knowledge Base** 

Knowledge base: It's the strength of the expert system as the expert system derives its

power from the knowledge base.

**Knowledge base = organized collection of facts + heuristics knowledge** 

The process of building a knowledge base by getting the knowledge base from the

domain expert along with various other sources is known as **knowledge engineering.** 

The way we arrange or organize the knowledge in the knowledge base is defined as

knowledge representation.

**Methods:** 

• Frame-based systems: In this, frames represent the attributes or properties of the

objects and relationships among them.

• **Production rules:** the most commonly used method where we represent knowl-

edge in the form of production rules. Similar to if-else conditional rules.

**Inference Engine** 

Inference engine: It combines all the knowledge from the knowledge base it has and

generates its predictions for the specified input provided with the help of the user interface

provided to the user. Here, in this project, we are using Bayesian neural networks as

the inference engine of this expert system to get the predictions with confidence and to

avoid uncertainty from general neural networks.

**User Interface** 

**User Interface:** it's an optional one where we can use this to test the expert system by

any user by providing them with the interface. Or the testing can be manually done by

the developer with the inputs to the system.

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#### Conclusion

The overall stages of an Expert system are:

- 1. Identifying the domain for the Expert system.
- 2. Designing the system and developing it.
- 3. Testing and refining it.
- 4. Deploying and maintaining the system.

The main limitations of Expert system are the domain expertise and knowledge acquisition.

#### 1.2.3 ADVANTAGES

The main advantages of Expert systems using BNN's are that

- An Expert System with better performance and confident predictions.
- Useful for experts in decision making and applicable across various other domains.

#### 1.2.4 LIMITATIONS

The main disadvantages of Expert systems using BNN's are that

- Domain expertise availability.
- Extract and up-gradation of knowledge from expert.
- The high complexity of Bayesian neural networks implementation in practice increases the cost of the project to overcome the uncertainties

## 1.3 Problem Statement

## 1.4 Our Contribution

## 1.5 Organization of The Report

This thesis has been arranged in the following order.

- Chapter 2:
- Chapter 3:
- Chapter 4:
- Chapter 5:

#### **CHAPTER 2**

#### BACKGROUND INFORMATION

This shall normally form Chapter 2 and shall present a critical appraisal of the previous work published in the literature pertaining to the topic of the investigation. The extent and emphasis of the chapter shall depend on the nature of the investigation.

Write here some intro

## 2.1 Expert System

The papers discussed for the literature review are:

Adekunle et al. (2018)"An Expert System for Automobile Repairs and Maintenance"

In this, the authors have designed an expert system that can detect various automobile problems instead of using a human expert for the same requirement. It's a complete GUI based expert system where a user can check the possible faults with causes and solutions to them and also diagnose the car with possible symptoms, causes and solutions to those problems.

Kontargyri *et al.* (2007)"An Expert System for Fault Diagnosis, Repairing and Maintenance of Electrical Machines"

In this, the authors have developed an expert system software tool that uses an SQL database with different access levels and with the use of suitable weights for the questions to define their priority. For this, a simple user interface is developed which can be used by all to effectively monitor the condition of electrical machines.

Abd-Elhamid *et al.* (2011)"Development of an Expert System for Maintenance and Repair of Masonry Barrages"

In this, the authors focus on building an expert for the domain which has very limited experts so as to carry forward the knowledge to others and also in better mainte-

nance and early monitoring of barrages for repair to improve their life. In this, the expert system consists of only a knowledge base and inference engine.

Simeón *et al.* (2010)"An Expert System for fault Diagnostics in Condition-based Maintenance"

In this, the authors discussed condition-based maintenance which helps in monitoring the health of the machine and its various critical components, monitors its performance and help in the early repair of the machine which reduces a great amount of repair cost, logistic cost etc. Data Acquisition, signal processing, condition monitoring, health assessment, prognostics and decision support were the stages involved in this expert system.

Çınar *et al.* (2020)"Machine Learning in Predictive Maintenance towards Sustainable Smart Manufacturing in Industry 4.0"

In this, the authors have discussed Predictive Maintenance and its importance in the present industry 4.0. Various Machine learning techniques have been discussed in this paper which can help in fault detection and diagnosis which helps to have fewer failures and more usage of the machine.

Akinluli *et al.* (2015)"Development of an expert system for the repair and maintenance of bulldozer's work equipment failure"

In this, the authors have developed an expert system for the repair and Maintenance of bulldozer's equipment, which gives the solutions to the various problems like low or high hydraulic pressure, abnormal noise etc and helps in getting repaired quickly improves its performance and its life-cycle.

#### 2.2 BNN

The papers discussed for the literature review are:

Jospin *et al.* (2020)"Hands-on Bayesian Neural Networks - a Tutorial for Deep Learning Users"

In this, the authors describe quantifying the uncertainty of deep learning models with the help of Bayesian inference and how it can be implemented with the help

of Bayesian neural networks. They have described in detail all the steps involved in constructing the Bayesian neural networks and their practical implementations.

Bykov et al. (2021)"Explaining Bayesian Neural Networks"

In this, the authors discussed the limited transparency of BNN's and two perspectives of transparency about BNN's and their proposed way of explaining BNN's will help to get the most effective and insightful explanations. They have used various evaluation procedures and experimented with different datasets.

Mourdoukoutas *et al.* (2021)"A Bayesian Approach to Invariant Deep Neural Networks"

In this, the authors describe learning invariances directly from data with the help of posteriors distributions over various weight sharing schemes. and is outperforming non-invariant architectures. They have experimented with the different forms of the data.

Rakesh and Jain (2021)"Efficacy of Bayesian Neural Networks in Active Learning"

In this, the authors discussed active learning and the efficiency of Bayesian neural networks for active learning. They found that BNN's are more efficient than ensemble learning methods in capturing the uncertainty. In this, they experimented and compared various other techniques along with BNN's and with the use of various other datasets.

Hassen and Rish (2021)"Approximate Bayesian Optimisation for Neural Networks"

In this, the authors discussed the option of exploring with BNN's instead of GP's(Gaussian Processes) for building the model distributions for given functions and how it improved the performance and efficiency of the system. They have experimented and bench-marked the effect of priors on BNN's with HPO Benchmark.

Nguyen *et al.* (2021)"Structured Dropout Variational Inference for Bayesian Neural Networks"

In this, the authors proposed a new technique called Variational Structured Dropout which is inspired by the Bayesian interpretation of the Dropout regularization technique. In this, the VSD technique introduces an adaptive regularization term with various desired properties which increases the model to make more generalized predictions. Besides this, they have discussed the scalability of their VSD technique and explicit regularization of the Variational Structured Dropout(VSD) technique.

## Chang (2021) "Bayesian Neural Networks: Essentials"

In this, the author explained the Bayesian neural networks and how they are built and implemented with examples. They concluded that in practice, it's very inefficient to use due to its cost for complexity in implementing for all layers in the network, instead, using a variation of BNN mostly hybrid ones are more suitable in practice. The author described Bayesian inference using Markov Chain Monte Carlo(MCMC) inference and variational inference techniques and the use of Gaussian priors and posteriors besides bayesian priors and posteriors which are used in general.

#### **CHAPTER 3**

## MATERIALS AND METHODS

## 3.1 About this Chapter

In this chapter, we describe all the tools and technologies used, procedures, techniques and methodologies that are involved in this project.

- Mathematical formulation of your work should be presented in this chapter
- Due importance shall be given to experimental setups, procedures adopted, techniques developed, methodologies developed and adopted.
- While important derivations/formulae should normally be presented in the text
  of these chapters, extensive and long treatments, copious details and tedious
  information, detailed results in tabular and graphical forms may be presented in
  Appendices. Representative data in table and figures may, however, be included in
  appropriate chapters.
- Figures and tables should be presented immediately following their first mention in the text. Short tables and figures (say, less than half the writing area of the page) should be presented within the text, while large table and figures may be presented on separate pages. Guidelines for including figures and tables can be found at this section ??
- Equations should form separate lines with appropriate paragraph separation above and below the equation line, with equation numbers flushed to the right. Refer the following section ?? for including equations and definitions, theorem if any.

## 3.2 Mathematical Formulation

## 3.3 Experimental Setup

## 3.4 Materials Used

## 3.5 Technologies used.

Streamlit: Streamlit is one of the most popular open-source python libraries in the domain of machine learning and data science projects. It is useful in creating and sharing web applications in less time when compared with other frameworks. This framework/library

is compatible with almost all the other popular python libraries such as sci-kit-learn,

TensorFlow, Keras, etc. It uses markdown to write the textual content in the app which

automatically gets converted into a full HTML web page for your application. It has

various built-in features to include, forms, images, code etc in the web application.

TensorFlow Probability (TFP) is a Python library that is built on the most popular

and powerful python library i.e TensorFlow which makes it easy to combine probabilis-

tic models and deep learning on modern hardware (TPU, GPU). It's mostly used by

people who want to combine domain knowledge with deep learning to have a better

understanding of data and to make better predictions. Some of those who use TFP are

data scientists, statisticians, ML researchers.

Tensorflow: It is an open-source library or a framework available in multiple lan-

guages and is used for machine learning and artificial intelligence applications. It focuses

on deep learning networks.

Dataset, Front end, Backend 3.6

3.6.1 **Dataset** 

The dataset for this project is taken from UCI Dataset Repository Dua and Graff (2017)

titled "AI4I 2020 Predictive Maintenance Dataset Data Set" provided by Stephan

Matzka, School of Engineering - Technology and Life, Hochschule Wirtschaft Berlin,

12459 Berlin, Germany. It contains data of 10000 rows 1 for each data point and 14

columns 1 for each feature. The target variable 'machine failure' indicates whether the

machine has failed for that particular machine point in the dataset only if any one of the

independent failures has occurred for that machine.

3.6.2 **Data Description** 

Unique values in each attribute of the dataset:

UDI: 10000, Product ID: 10000, Type: 3, Air temperature [K]: 93, Process temper-

11

ature [K]: 82, Rotational speed [rpm]: 941, Torque [Nm]:577, Tool wear [min]: 246,

Machine failure: 2, TWF: 2, HDF: 2, PWF: 2, OSF: 2, RNF: 2.

datatype: int64

#### 3.6.3 pre-processing data

Pre-processing the data:

Ordinal Encoding:

df['Type'].unique(): array(['M', 'L', 'H'], dtype=object)

• L, M, H are three types representing low (50% of all products), medium (30%), and high (20%) as product quality variants respectively.

- Converting this categorical data to numerical with class 0, 1, 2 for L, M, H respectively using OrdinalEncoder from the sklearn library.
- One-hot encoding is not suitable for ordinal data so we use an Ordinal encoder.
- This gives categories converted into integers.
- This sorts all the categories present and assigns values to them in alphabetical order.

0 for H

1 for L

2 for M

Getting all numerical data: 'Type', 'Air temperature [K]', 'Process temperature [K]', 'Rotational speed [rpm]', 'Torque [Nm]', 'Tool wear [min]', TWF', 'HDF', 'PWF', 'OSF', 'RNF', 'Machine failure'

Creating training and evaluation datasets: Using the  $train_test_split$  function, I split the data into training and testing in the ratio of 70% - 30%.

#### 3.6.4 Frontend

Frontend for this web application is done with the help of streamlit which actually takes care of the backend model to be loaded into the app. It has all built-in options to easily build a web app that too in python language and a mix of markdown for displaying and formatting the content.

#### 3.6.5 Backend

Backend part for this web application is working on the model after taking the input from the user and it is handled both by the streamlit and other python libraries like TensorFlow for loading the model and sending these variable values to it to get its predictions.

## 3.7 Procedure, Techniques and Methodologies, etc

#### 3.7.1 DIFFERENT VERSIONS OF BNN

These are developed by using the above-mentioned techniques.

#### **VI.(VARIATIONAL INFERENCE)**

Describe here!

#### MC VIA DROPOUT

**EARLY STOP** 

#### 3.7.2 STREAMLIT BASED WEB APP FOR EXPERT SYSTEM

Contains information about the app.

#### Contains an option to load any desired model from the above notebook file.

- It has a default model loaded into the application initially but has the drop-down menu to select any model for getting the predictions as per the requirement.
- After building a model in the colab file, saving the model in either hd5 format or as a folder using save\_model() function, and downloading them to the local folder into the streamlit web app folder so that it can be loaded. This model is loaded into the web app using the same technique of the load\_model() function.

#### Contains an option for any user to enter values and get predictions from the model

• Has 11 input fields for all the variables to be entered by the user.

- This input is passed to the above-loaded model and the predicted value from the model is displayed in the app.
- As mentioned, It has a drop-down menu to select any model for getting the predictions as per the requirement before submitting the data to the application.

So to summarize, the app needs to have:

- Info about the app and its usage/code.
- Load any desired model on the prediction page of 11 fields.
- Use that selected model from the option to predict the output based on the given inputs.
- A page for normal users to select an option from a list of common queries and based on that query linked to the model gets the advice displayed on the screen.
- A markdown report page to summarize the results and conclusion including the technique implemented.

## **CHAPTER 4**

## **Results and Discussions**

This shall form the penultimate chapter of the thesis and shall include a thorough evaluation of the investigation carried out and bring out the contributions from the study. The discussion shall logically lead to inferences and conclusions as well as scope for possible further future work. If you have any tables, please refer the following section ??

## 4.1 BNN Models

#### 4.1.1 BNN - VI Models

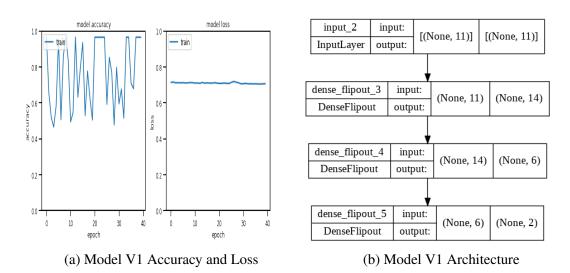


Figure 4.1: MODEL V1 - BNN

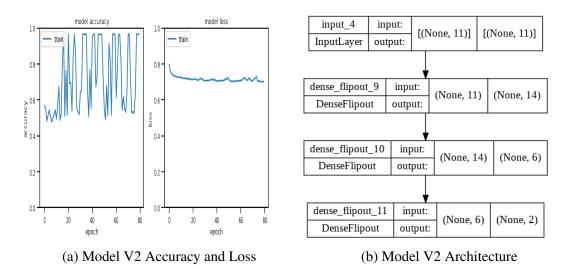


Figure 4.2: MODEL V2 - BNN

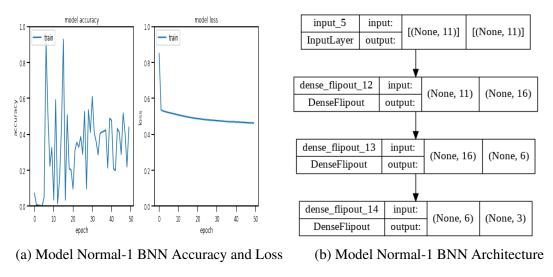


Figure 4.3: MODEL Normal-1 BNN

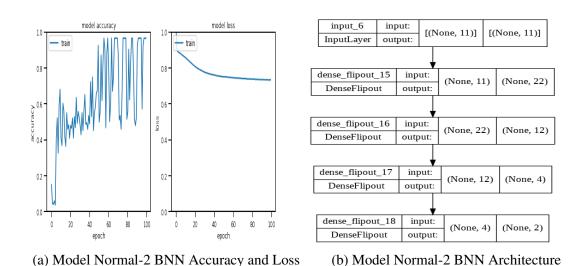
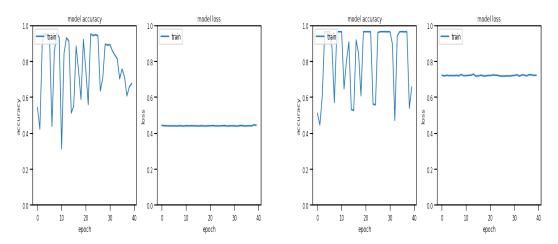


Figure 4.4: MODEL Normal-2 BNN - BNN

## 4.1.2 BNN - MC via Dropout



(a) Model Normal-Cmp-1 Accuracy and Loss (b) Model Normal-Cmp-2 Accuracy and Loss

Figure 4.5: Model Normal - BNN 1 and 2 for Comparison with Dropout

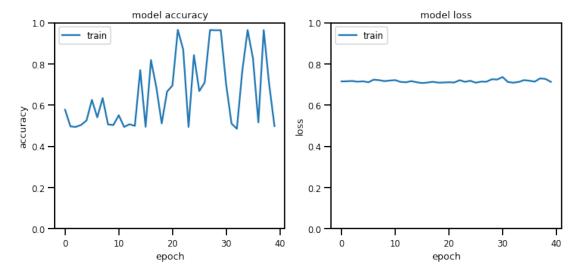


Figure 4.6: Model Drop-1 Accuracy and Loss

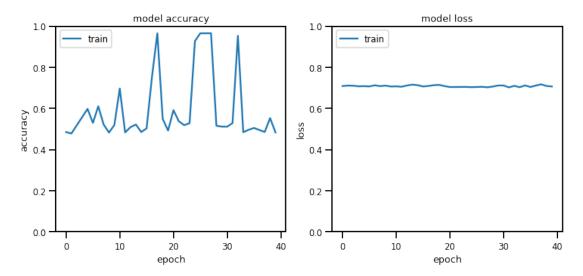


Figure 4.7: Model Drop-2 Accuracy and Loss

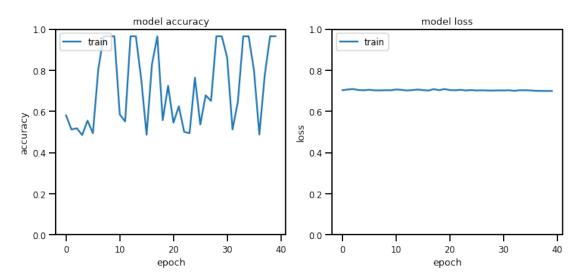


Figure 4.8: Model Drop-3 Accuracy and Loss

## 4.1.3 Early Stop

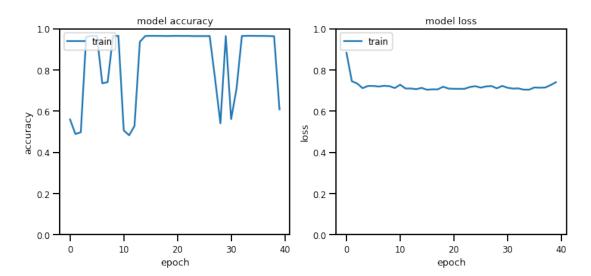


Figure 4.9: Model Early-1 Accuracy and Loss

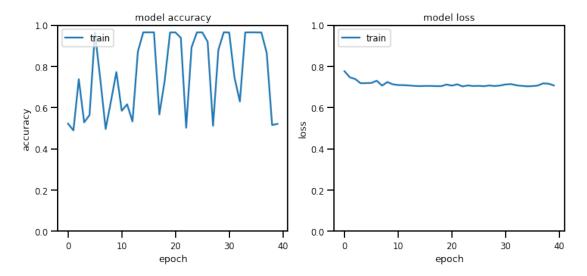


Figure 4.10: Model Early-2 Accuracy and Loss

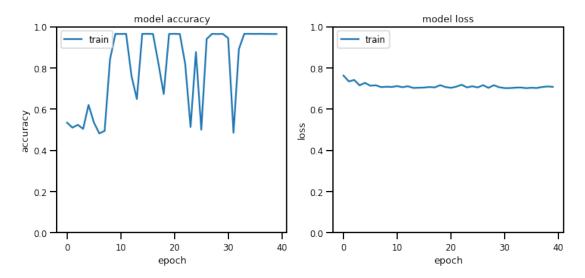
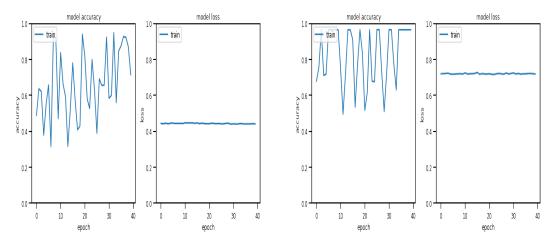


Figure 4.11: Model Early-3 Accuracy and Loss



(a) Model Normal-Cmp-1 Accuracy and Loss (b) Model Normal-Cmp-2 Accuracy and Loss

Figure 4.12: Model Normal - BNN 1 and 2 for Comparison with Early Stop

## 4.2 Web Application

It has two main containers - 1 is a sidebar that has a drop-down menu to load or move into different pages or sections available in the application.

## 4.2.1 SCREENSHOT - 1,2,3: APP INFORMATION



Figure 4.13: Basic Home Page of the application displaying information about the app along with Side-bar with drop-down

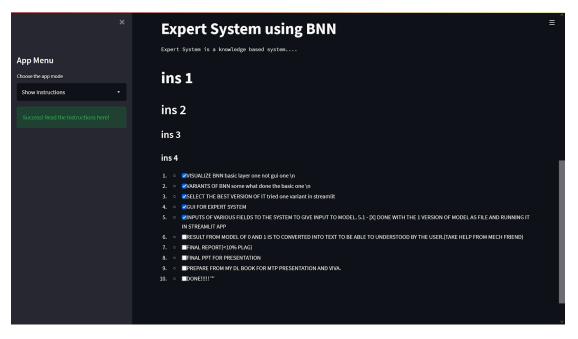


Figure 4.14: Basic Instructions to be updated and to-do of the app.

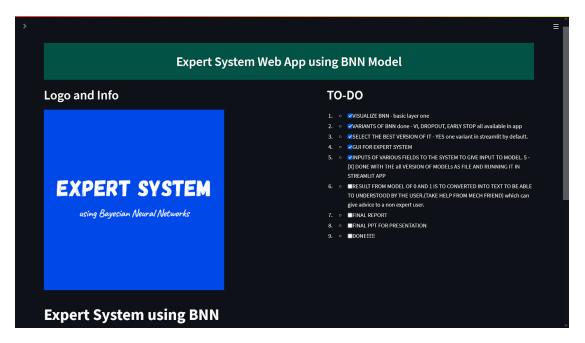


Figure 4.15: Full-Screen view of the Home Page.

Displays the app's basic information and a checklist to track the progress of developing the application.

## 4.2.2 SCREENSHOT - 4,5: RUN THE APP

It displays the form where the user can enter the value into the app to get the predictions from the model.

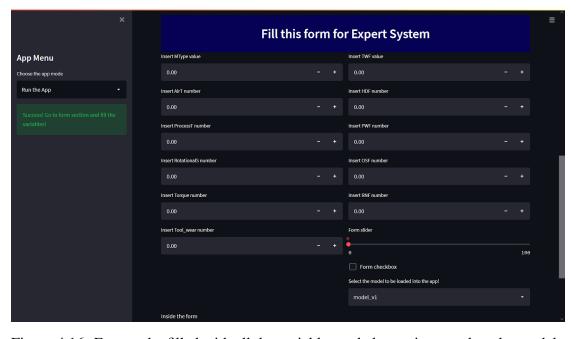


Figure 4.16: Form to be filled with all the variables and also option to select the model..

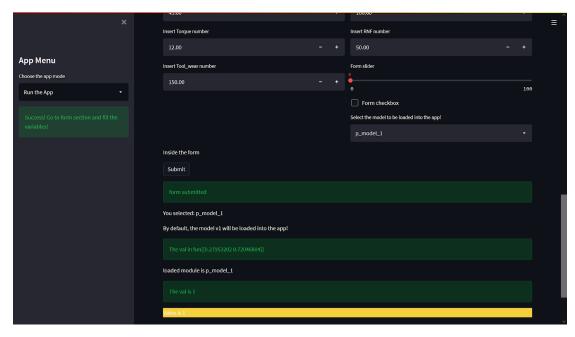


Figure 4.17: Result being displayed from the model for given inputs.

#### 4.2.3 SCREENSHOT - 3: SEE THE SOURCE CODE

It has the option to view the source code of the application.

Figure 4.18: Code of the application: stream\_app.py

### 4.2.4 SCREENSHOT - 4: EXPERT SYSTEM

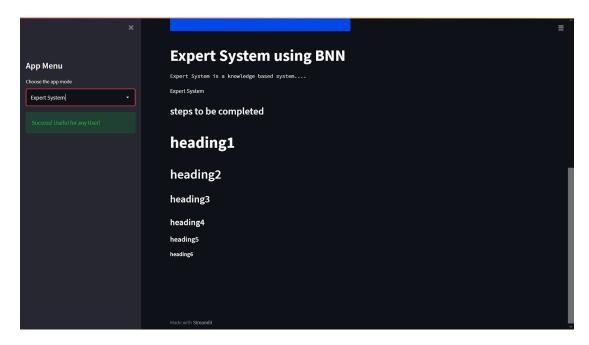


Figure 4.19: Expert System: to-do

## 4.2.5 SCREENSHOT - 5: MARKDOWN REPORT

It contains an option to display the markdown report content in the application.

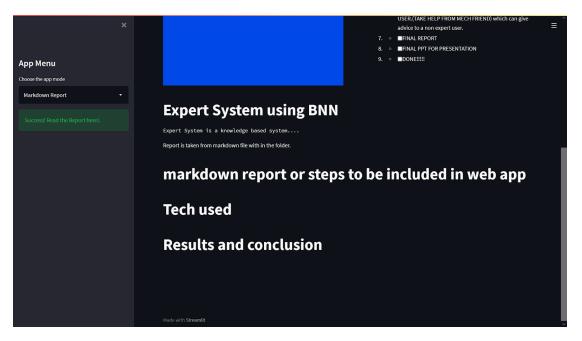


Figure 4.20: Markdown report - yet to updated.

## **CHAPTER 5**

## **SUMMARY AND CONCLUSION**

This will be the final chapter of the thesis. A brief report of the work carried out shall form the first part of the Chapter. Conclusions derived from the logical analysis presented in the Results and Discussions Chapter shall be presented and clearly enumerated, each point stated separately. Scope for future work should be stated lucidly in the last part of the chapter. A brief report or synopsis of work conclusions and scope for future work.

Expert system has many advantages compared to its limitations. So to overcome its limitations, we can use various practical implementation techniques of Bayesian neural networks as a part of its inference engine which approximates them yet gives better results.

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## **APPENDIX A**

## A SAMPLE APPENDIX

Just put in text as you would into any chapter with sections and whatnot. Thats the end of it. Detailed information, lengthy derivations, raw experimental observations etc. are to be presented in the separate appendices, which shall be numbered in Roman Capitals (e.g. "Appendix IV"). Since reference can be drawn to published/unpublished literature in the appendices these should precede the "References" section.