

By inductive hypothesis, for each vertex  $i$  that is not a leaf,  $x_i \xrightarrow{*} a_i$ , since the subtree with root  $x_i$  is not the entire tree. If  $x_i = a_i$ , then surely  $x_i \xrightarrow{*} a_i$ .

$$A \Rightarrow x_1 x_2 \dots x_n \xrightarrow{*} a_1 x_2 \dots x_n \xrightarrow{*} a_1 a_2 \dots x_n \xrightarrow{*} a_1 a_2 \dots a_n = \alpha$$

Thus  $A \xrightarrow{*} \alpha$

## UNIT III

### PUSH DOWN AUTOMATA

In order to accept a context free language we need a machine similar to FA called PDA

$$FA + \text{stack} = PDA \quad \begin{cases} DPDA \\ NDPDA \end{cases}$$

7 tuple Notation

$$P = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$$

$$PDA : \delta : Q \times \{\Sigma \cup \epsilon\} \times \Gamma \rightarrow Q \times \Gamma^*$$

$$NPDA : \delta : Q \times \{\Sigma \cup \epsilon\} \times \Gamma \rightarrow 2^{(Q \times \Gamma^*)}$$

$Q$  - states

$\Sigma$  - i/p symbol

$\Gamma$  - stack alphabet

$q_0$  - (state) - start state

$Z_0$  - start symbol (bottom of stack)

$F$  - Final state

One Move:

Based on 3 elements (state, i/p, stack element) <sup>(TOS)</sup>

$$\delta(q, a, x) = (p, \gamma)$$

$q$  - state (current)

$a$  - i/p

$x$  - stack element (TOS)

$p$  - new state

$\gamma$  - stack symbols that replaces  $x$  at TOS

$\gamma \leftarrow \begin{cases} \epsilon - \text{Pop} \\ x - \text{unchange} \\ yz - \text{replaced by } yz \end{cases}$

1)  $a^n b^n$  ON ACCEPTING STATE

$$\begin{aligned} \delta(q_0, a, z_0) &= (q_0, az_0) \\ \delta(q_0, a, a) &= (q_0, aa) \end{aligned} \quad \left. \vphantom{\begin{aligned} \delta(q_0, a, z_0) &= (q_0, az_0) \\ \delta(q_0, a, a) &= (q_0, aa) \end{aligned}} \right\} \text{PUSH}$$

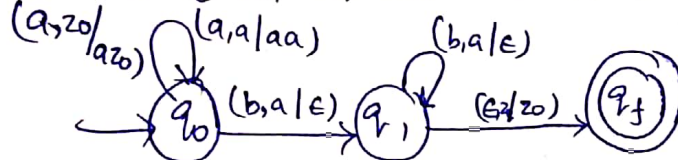
$$\delta(q_0, b, a) = (q_1, \epsilon) \quad \left. \vphantom{\delta(q_0, b, a) = (q_1, \epsilon)} \right\} \text{POP}$$

$$\delta(q_1, b, a) = (q_1, \epsilon)$$

$$\delta(q_1, \epsilon, z_0) = (q_f, z_0)$$

ACCEPT ON FINAL STATE

Con  
auc



ON STACK EMPTY

$$\delta(q_0, a, z_0) = (q_0, az_0) \quad \left. \vphantom{\delta(q_0, a, z_0) = (q_0, az_0)} \right\} \text{PUSH}$$

$$\delta(q_0, a, a) = (q_0, aa)$$

$$\delta(q_0, b, a) = (q_1, \epsilon) \quad \left. \vphantom{\delta(q_0, b, a) = (q_1, \epsilon)} \right\} \text{POP}$$

$$\delta(q_1, b, a) = (q_1, \epsilon)$$

$$\delta(q_1, \epsilon, z_0) = (q_1, \epsilon) \quad \text{ACCEPT ON EMPTY STACK}$$

2.  $a^n cb^n$

$$\delta(q_0, a, z_0) = (q_0, az_0)$$

$$\delta(q_0, a, a) = (q_0, aa)$$

$$\delta(q_0, c, a) = (q_1, a)$$

$$\delta(q_1, b, a) = (q_2, \epsilon)$$

$$\delta(q_2, b, a) = (q_2, \epsilon)$$

$$\delta(q_2, \epsilon, z_0) = (q_f, z_0)$$

3.  $a^n b^{2n}$

$$\delta(q_0, a, z_0) = (q_0, az_0)$$

$$\delta(q_0, a, a) = (q_0, aa)$$

$$\delta(q_0, b, a) = (q_1, a)$$

$$\delta(q_1, b, a) = (q_2, \epsilon)$$

$$\delta(q_2, b, a) = (q_1, a)$$

$$\delta(q_2, \epsilon, z_0) = (q_f, z_0)$$





4.  $wcwr$

$$\left. \begin{aligned} \delta(q_0, a, z_0) &= (q_0, az_0) \\ \delta(q_0, a, a) &= (q_0, aa) \\ \delta(q_0, b, z_0) &= (q_0, bz_0) \\ \delta(q_0, b, b) &= (q_0, bb) \\ \delta(q_0, a, b) &= (q_0, ab) \\ \delta(q_0, b, a) &= (q_0, ba) \end{aligned} \right\} \text{PUSH}$$

$$\left. \begin{aligned} \delta(q_0, c, a) &= (q_1, a) \\ \delta(q_0, c, b) &= (q_1, b) \end{aligned} \right\} \text{STATE CHANGE}$$

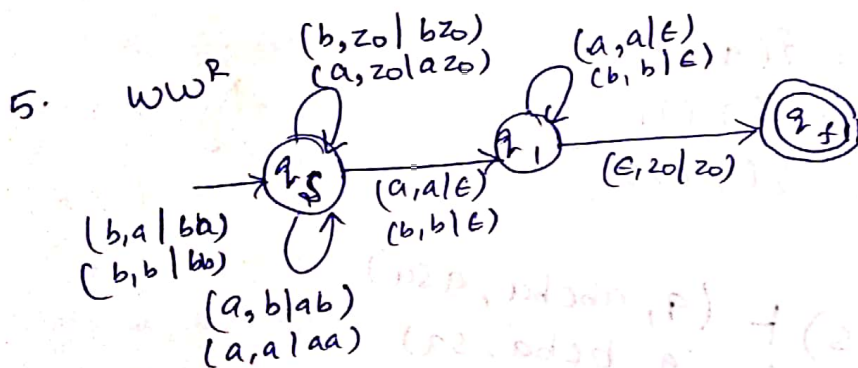
$\delta(q_0, c, z_0) = (q_f, z_0)$  - i/p is only c

$$\left. \begin{aligned} \delta(q_1, a, a) &= (q_2, \epsilon) \\ \delta(q_1, b, b) &= (q_2, \epsilon) \end{aligned} \right\} \text{POP}$$

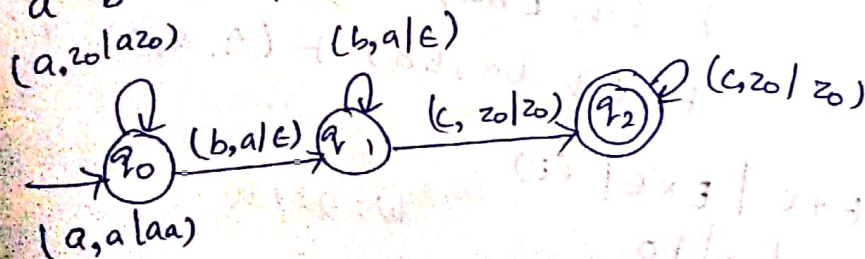
$$\delta(q_2, \epsilon, z_0) = (q_f, z_0) - \text{ACC ON FINAL STATE}$$

(or)

$$\delta(q_2, \epsilon, z_0) = (q_2, \epsilon) - \text{ACC ON EMPTY STACK}$$



6.  $a^n b^n c^m \mid n, m \geq 1$



# CFG TO PDA CONVERSION

Construct PDA 'P' that accepts  $L(G)$  by empty stack as follows

$$P = [ \{q\}, \gamma, \text{VOT}, \delta, q, s ]$$

$\delta$  is defined by:

1. For each variable  $A$ ,

$$\delta(q, \epsilon, A) = \{ (q, \beta) \mid A \rightarrow \beta \text{ is a production of } P \}$$

2. For each terminal  $a$ ,

$$\delta(q, a, a) = \{ (q, \epsilon) \}$$

$\epsilon$

$$1. S \rightarrow aSa \mid bSb \mid c$$

$$\delta(q, \epsilon, S) = \{ (q, aSa), (q, bSb), (q, c) \}$$

$$\delta(q, a, a) = \{ (q, \epsilon) \}$$

$$\delta(q, b, b) = \{ (q, \epsilon) \}$$

$$\delta(q, c, c) = \{ (q, \epsilon) \}$$

$$w = abcba$$

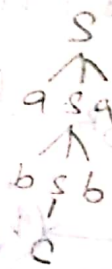
$$(q, abcba, S) \vdash (q, abcba, aSa)$$

$$\vdash (q, bcba, sa)$$

$$\vdash (q, bcba, bsba)$$

$$\vdash (q, cba, sbq) \vdash (q, cba, cba)$$

$$\vdash (q, ba, ba) \vdash (q, a, a) \vdash (q, \epsilon)$$



$$2. E \rightarrow I \mid E+E \mid E \times E \mid (E)$$

$$I \rightarrow a \mid Ia \mid 0 \mid Io$$

$$w = aa+ao$$

$$\delta(q, \epsilon, E) = \{ (q, I), (q, E+E), (q, E \times E), (q, (E)) \}$$

$$\delta(q, \epsilon, I) = \{ (q, a), (q, 0), (q, Ia), (q, Io) \}$$



$$\left. \begin{array}{l} \delta(q, a, a), \delta(q, c, c) \\ \delta(q, +, +), \delta(q, ), ) \\ \delta(q, x, x), \delta(q_0, 0) \end{array} \right\} \Rightarrow (q, \epsilon)$$

3.  $S \rightarrow AB$ ,  
 $A \rightarrow aA | \epsilon$ ,  $w = aab$   
 $B \rightarrow aBb | \epsilon$

$$\delta(q, \epsilon, S) = \{(q, AB)\}$$

$$\delta(q, \epsilon, A) = \{(q, aA), (q, \epsilon)\}$$

$$\delta(q, \epsilon, B) = \{(q, aBb), (q, \epsilon)\}$$

$$\left. \begin{array}{l} \delta(q, a, a) \\ \delta(q, b, b) \end{array} \right\} = \{(q, \epsilon)\}$$

A.  $S \rightarrow aA$ ,  $B \rightarrow b$   
 $A \rightarrow aABC | bB | a$ ,  $C \rightarrow c$

$$\delta(q, \epsilon, S) = \{(q, aA)\}$$

$$\delta(q, \epsilon, A) = \{(q, aABC), (q, bB), (q, a)\}$$

$$\delta(q, \epsilon, B) = \{(q, b)\}$$

$$\delta(q, \epsilon, C) = \{(q, c)\}$$

$$\left. \begin{array}{l} \delta(q, a, a) \\ \delta(q, b, b) \\ \delta(q, c, c) \end{array} \right\} = \{(q, \epsilon)\}$$

PDA TO CFG CONVERSION

Rules:

1.  $S \rightarrow [q_0, z_0, P]$  for each  $P$  in  $\Sigma$
2. If  $\delta(q, x, A)$  contains  $(P, B_1, B_2, \dots, B_m)$  when  $m \neq 0$   
then  $[q_1, A, q_{m+1}] \rightarrow x [P, B_1, q_2] [q_2, B_2, q_3] \dots [q_m, B_m, q_m]$
3. If  $m = 0$ ; if  $\delta(q, x, A)$  contains  $(P, \epsilon)$   
 $[q, A, P] \rightarrow x$

$$\begin{aligned}
 1. \quad & \delta(q_0, \epsilon, z_0) = \{(q_0, xz_0)\} \\
 & \delta(q_0, 0, x) = \{(q_0, xx)\} \\
 & \delta(q_0, 1, x) = \{(q_1, \epsilon)\} \\
 & \delta(q_1, 1, x) = \{(q_1, \epsilon)\} \\
 & \delta(q_1, \epsilon, x) = \{(q_1, \epsilon)\} \\
 & \delta(q_1, \epsilon, z_0) = \{(q_1, \epsilon)\}
 \end{aligned}$$

Con  
an

Step 1

$$\begin{aligned}
 S & \rightarrow [q_0, z_0, q_0] \\
 \checkmark S & \rightarrow [q_0, z_0, q_1]
 \end{aligned}$$

Step 2:

$$\begin{aligned}
 \checkmark [q_0, x, q_1] & \rightarrow 1 \\
 \checkmark [q_1, x, q_1] & \rightarrow 1 \\
 \checkmark [q_1, x, q_1] & \rightarrow \epsilon \\
 \checkmark [q_1, z_0, q_1] & \rightarrow \epsilon
 \end{aligned}$$

Step 3

$$\begin{aligned}
 & \delta(q_0, 0, z_0) = (q_0, xz_0) \\
 & [q_0, z_0, q_0] \rightarrow 0 [q_0, x, z_0] [q_0, z_0, z_0] \\
 & [q_0, z_0, q_0] \rightarrow 0 [q_0, x, q_1] [q_1, z_0, z_0] \\
 & [q_0, z_0, q_1] \rightarrow 0 [q_0, x, z_0] [q_0, z_0, q_1] \\
 \checkmark & [q_0, z_0, q_1] \rightarrow 0 [q_0, x, q_1] [q_1, z_0, q_1]
 \end{aligned}$$

$$\delta(q_0, 0, x) = (q_0, xx)$$

$$[q_0, x, z_0] \rightarrow 0 [q_0, x, z_0] [q_0, x, z_0]$$

$$[q_0, x, z_0] \rightarrow 0 [q_1, x, q_1] [q_1, x, z_0]$$

$$[q_0, x, q_1] \rightarrow 0 [q_0, x, z_0] [q_0, x, q_1]$$

$$\checkmark [q_0, x, q_1] \rightarrow 0 [q_0, x, q_1] [q_1, x, q_1]$$

Language:  $0^*1^n$



$$\begin{aligned}
 2. \quad & \delta(q_0, 1, z_0) = (q_0, xz_0) \checkmark \\
 & \delta(q_0, 1, x) = (q_0, xx) \checkmark \\
 & \delta(q_0, 0, x) = (q_1, x) \checkmark \\
 & \delta(q_1, 0, z_0) = (q_0, z_0) \\
 & \delta(q_0, \epsilon, z_0) = (q_0, \epsilon) - \checkmark \\
 & \delta(q_1, 1, x) = (q_1, \epsilon) -
 \end{aligned}$$

Step 1:

$$\begin{aligned}
 \checkmark S & \rightarrow [q_0, z_0, q_0] \\
 S & \rightarrow [q_0, z_0, q_1]
 \end{aligned}$$

Step 2:

$$\begin{aligned}
 \checkmark [q_0, z_0, q_0] & \rightarrow \epsilon \\
 \checkmark [q_1, x, q_1] & \rightarrow 1
 \end{aligned}$$

Step 3:

$$(q_0, 1, z_0) = (q_0, xz_0)$$

$$[q_0, z_0, q_0] \rightarrow 1 [q_0, x, q_0] [q_0, z_0, q_0]$$

$$\checkmark [q_0, z_0, q_0] \rightarrow 1 [q_0, x, q_1] [q_1, z_0, q_0]$$

$$[q_0, z_0, q_1] \rightarrow 1 [q_0, x, q_0] [q_0, z_0, q_1]$$

$$[q_0, z_0, q_1] \rightarrow 1 [q_0, x, q_1] [q_1, z_0, q_1]$$

$$(q_0, 1, x) = (q_0, xx)$$

$$[q_0, x, q_0] \rightarrow 1 [q_0, x, q_0] [q_0, x, q_0]$$

$$[q_0, x, q_0] \rightarrow 1 [q_0, x, q_1] [q_1, x, q_0]$$

$$[q_0, x, q_1] \rightarrow 1 [q_0, x, q_0] [q_0, x, q_1]$$

$$\checkmark [q_0, x, q_1] \rightarrow 1 [q_0, x, q_1] [q_1, x, q_1]$$

$$\delta(q_0, 0, x) = (q_1, x)$$

$$[q_0, x, q_0] \rightarrow 0 [q_1, x, q_0]$$

$$\checkmark [q_0, x, q_1] \rightarrow 0 [q_1, x, q_1]$$

$$\delta(q_1, 0, z_0) = (q_0, z_0)$$

$$\checkmark [q_1, z_0, q_0] \rightarrow 0 [q_0, z_0, q_0]$$

$$[q_1, z_0, q_1] \rightarrow 0 [q_0, z_0, q_1]$$

$$3) \delta(q_0, b, z_0) = \{(q_0, zz_0)\}$$

$$\delta(q_0, \epsilon, z_0) = \{(q_0, \epsilon)\}$$

$$\delta(q_0, b, z) = \{(q_0, zz)\}$$

$$\delta(q_0, a, z) = \{(q_1, z)\}$$

$$\delta(q_1, b, z) = \{(q_1, \epsilon)\}$$

$$\delta(q_1, a, z_0) = \{(q_0, z_0)\}$$

$$A) \delta(q, 1, z) = (q, xz)$$

$$\delta(q, 1, x) = (q, xx)$$

$$\delta(q, \epsilon, x) = (q, \epsilon)$$

$$\delta(q, 0, z) = (p, x)$$

$$\delta(p, 1, x) = (p, \epsilon)$$

$$\delta(p, 0, z) = (q, z)$$

$$S \rightarrow [q, z, q]$$

$$S \rightarrow [q, z, \bar{q}]$$

$$5) \delta(q_0, a, z) = (q_0, xz)$$

$$\delta(q_0, a, x) = (q_0, xx)$$

$$\delta(q_0, b, x) = (q_1, \epsilon)$$

$$\delta(q_0, b, x) = (q_1, \epsilon)$$

$$\delta(q_1, b, x) = (q_1, \epsilon)$$

$$\delta(q_1, \epsilon, z) = (q_1, \epsilon)$$

$$S \rightarrow [q_0, z_0, q_0]$$

$$S \rightarrow [q_0, z_0, q_1]$$

## LANGUAGE OF A PDA

Acceptance by final state

$L(P) = \{w \mid (q_0, w, z_0) \xrightarrow{*}_P (q, \epsilon, \alpha)\}$   
for some state  $q$  in  $F$  & any stack string  $\alpha$

Acceptance by empty stack

$N(P) = \{w \mid (q_0, w, z_0) \xrightarrow{*}_P (q, \epsilon, \epsilon)\}$

for any state  $q$  i.e.  $N(P)$  is the set of inputs  $w$  that  $P$  can consume & at the same time empty its stack.

Instantaneous description of PDA

Triple  $(q, w, \gamma)$  where  $q$  - state,  
 $w$  - remaining input and  $\gamma$  - stack contents

$(q, w, \gamma) \vdash_P (P, \gamma)$

Theorem: Final state  $\iff$  Empty stack

If  $L$  is  $L(M_2)$  for some PDA  $M_2$ , then  $L \in N(M_1)$   
for some PDA  $M_1$ .

Proof:

$M_1$  simulates  $M_2$ ,  $M_1$  will erase its stack whenever  $M_2$  enters a final state.

Let  $M_2 = (Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$   $L \in L(M_2)$

Let  $M_1 = (Q \cup \{q_e, q_0'\}, \Sigma, \Gamma \cup \{x_0\}, \delta', q_0', x_0, \emptyset)$

new  
initial  
state



where  $\delta'$  is defined as follows

- 1)  $\delta'(q_0', \epsilon, x_0) = \{(q_0, x_0, x_0)\}$  make  $M_0$  to enter into initial in  $M_2$
- 2)  $\delta'(q, a, z)$  includes the elements of  $\delta(q, a, z)$  simulate  $M_2$   
for all  $q$  in  $Q$ ,  $a$  in  $\Sigma$  or  $a = \epsilon$ , and  $z$  in  $\Gamma$
- 3) For all  $q$  in  $F$ , and  $z$  in  $\Gamma \cup \{x_0\}$ ,  $\delta'(q, \epsilon, z)$  contains  $(q_e, \epsilon)$
- 4) For all  $z$  in  $\Gamma \cup \{x_0\}$ ,  $\delta'(q_e, \epsilon, z)$  contains  $(q_e, \epsilon)$  empty stack

Let  $x$  be in  $L(M_2)$ .

Then  $(q_0, x, z_0) \xrightarrow{*}_{M_2} (q, \epsilon, \gamma)$  for some  $q$  in  $F$

Now consider  $M_1$  with input  $x$ .

By rule (1)  $(q_0', x, x_0) \xrightarrow{*}_{M_1} (q_0, x, z_0 x_0)$

By rule (2), every move of  $M_2$  is a legal move of  $M_1$ .

$(q_0, x, z_0) \xrightarrow{*}_{M_1} (q, \epsilon, \gamma)$

$(q_0', x, x_0) \xrightarrow{*}_{M_1} (q_0, x, z_0 x_0) \xrightarrow{*}_{M_1} (q, \epsilon, \gamma x_0)$

By rules (3) and (4).

$(q, \epsilon, \gamma x_0) \xrightarrow{*}_{M_1} (q_e, \epsilon, \epsilon)$

Therefore  $(q_0', x, x_0) \xrightarrow{*}_{M_1} (q_e, \epsilon, \epsilon)$  and  $M_1$  accepts  $x$  by empty stack.

Thus  $x$  accepts by empty stack. in  $L(M_2)$

**PUMPING LEMMA for CFL**

Every sufficiently long string in a regular set contains a short substring that can be pumped.

**LEMMA**

Let  $L$  be any CFL. Then there is a constant  $n$ , depending only on  $L$ , such that if  $z$  is in  $L$  and  $|z| \geq n$ , then we may write  $z = uvwxy$  such that

- 1)  $|V^*x| \geq 1$
- 2)  $|Vwx| \leq n$  and
- 3) for all  $i \geq 0$   $UV^iwx^iy$  is in  $L$

1)  $L = \{a^i b^i c^i \mid i \geq 1\}$

Consider

Consider  $z = a^n b^n c^n$   $z = UVwxY$

$U, x$  ? in steps can be pumped? lie in  $a$

Since  $|Vwx| \leq n$   $U$  &  $x$  can't contain

instances of  $a$ 's and  $c$ 's

$L$  is not context-free language

2)  $\{a^i b^j c^k \mid j \geq 1\}$  and - Not CFL

3)  $\{a^i b^j c^k \mid i \leq j \leq k\}$  NOT CFL

4)  $\{a^i b^j c^k \mid i \geq 1 \text{ and } j \geq 1\}$  - NOT CFL, CFL

5)  $\{a^i b^j c^k \mid i < j < k\}$  - NOT CFL

6)  $\{a^i b^j \mid j = i^2\}$  - NOT CFL

7)  $\{a^i \mid i \text{ is a prime}\}$  - NOT CFL

8)  $\{a^n b^m c^n \mid n \leq m \leq 2n\}$  - NOT CFL

9)  $\{a^i b^{2i} c^i \mid i, j \geq 0\}$  - CFL

$$\begin{aligned} S &\rightarrow AB \\ A &\rightarrow aAbb \mid \epsilon \\ B &\rightarrow cB \mid \epsilon \end{aligned}$$

$$\begin{aligned} S &\rightarrow S_1 S_2 \mid T \\ T &\rightarrow a T b \mid \epsilon \end{aligned}$$

10)  $\{a^n b^m c^{n+m} \mid n, m \geq 0\}$  - CFL

$$\begin{aligned} S &\rightarrow a S_1 b \mid T \\ T &\rightarrow b T_1 c \mid \epsilon \end{aligned}$$