

Chapter 5

Graded-index fibers (GRA)

This chapter describes the wave propagation in graded-index fibers. In particular, the number of propagable waves and the optimal shape of the refractive index profile will be discussed.

The single-mode step-index fiber has a high bandwidth (essentially limited only by the waveguide dispersion and material), but has, due to the generally small fiber parameter V , either a small core diameter or a small numerical aperture. This leads to problems with linking such fibers. Presuming multi-mode step-index fibers (high V) the eigenmodes have in general different propagation delays, resulting in a pulse broadening (see Hochfrequenztechnik I for further details). Thus:

$$\Delta t \approx \frac{N_1 L}{2 \cdot c \cdot n_1^2} A_N^2 \quad (5.1)$$

N_1 denotes the group index in the fiber core and L is the fiber length. This limits the transmission rate to approximately 20 to 100 Mbit/s based on 1 km of fiber length.

For these reasons, searching for a refractive index profile in which all the eigenmodes have nearly the same running time is necessary. The so-called graded-index fiber is a possible solution.

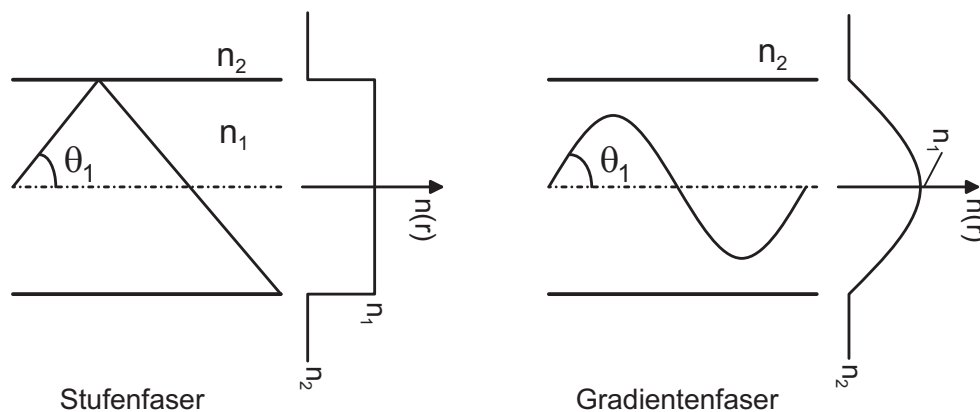


Figure 5.1: Motivation for a graded-index fiber in a beam optical illustration

For waves with a higher θ_1 , the distance covered by the beam increases. Thus, in the step-index fiber, the

transit time is increases. In the graded-index fiber the rays with higher θ_1 reach into regions with a lower refractive index. Hence they are faster. By suitable choice of a refractive index profile $n(r)$ these two effects can cancel each other out.

5.1 Field calculation

For linearly polarized waves in graded-index fibers the scalar wave equation

$$\Delta \underline{E}_{x,y} + k_0^2 n^2(r) \underline{E}_{x,y} = 0 \quad (5.2)$$

is effective. Firstly an x -direction linearly polarized wave of the form

$$\underline{E}_x = \psi(r, \varphi) \exp(-j\beta z) \quad (5.3)$$

is assumed. Therefore the scalar wave equation for $\psi(r, \varphi)$ results in:

$$\Delta_t \psi + (k_0^2 n^2(r) - \beta^2) \psi = 0 \quad (5.4)$$

in cylindrical coordinate this yields

$$\frac{1}{r} \frac{\partial}{\partial r} \left(r \cdot \frac{\partial \psi}{\partial r} \right) + \frac{1}{r^2} \frac{\partial^2 \psi}{\partial \varphi^2} + (k_0^2 n^2(r) - \beta^2) \psi = 0 \quad (5.5)$$

In contrast to step-index fibers, the refractive index $n(r)$ is now dependent on the radial coordinate r .

For a LP_{lp} -wave with the circumferential order l for $\psi(r, \varphi)$ the result is:

$$\psi(r, \varphi) = \psi_r(r) \begin{cases} \cos(l \cdot \varphi) \\ \sin(l \cdot \varphi) \end{cases} \quad (5.6)$$

Inserting this approach into the wave equation (5.5), the radial field variable $\psi_r(r)$ can be obtained:

$$\frac{1}{r} \frac{d}{dr} \left(r \frac{d\psi_r(r)}{dr} \right) + \underbrace{\left(k_0^2 n^2(r) - \beta^2 - \frac{l^2}{r^2} \right)}_{k_r^2} \psi_r(r) = 0. \quad (5.7)$$

Therefore

$$k_r = \sqrt{k_0^2 n^2(r) - \beta^2 - \frac{l^2}{r^2}} \quad (5.8)$$

can be interpreted as wave number in radial direction (fig. 5.2). The zeros of k_r^2 are r_1 and r_2 , which are called the inner and outer turning radius.

$$\begin{array}{ll} k_r \text{ -is real for } r_1 < r < r_2 & \Rightarrow \psi \text{ oscillating} \\ k_r \text{ -is imaginary for } r < r_1 \text{ or } r > r_2 & \Rightarrow \psi \text{ decays exponentially} \end{array}$$

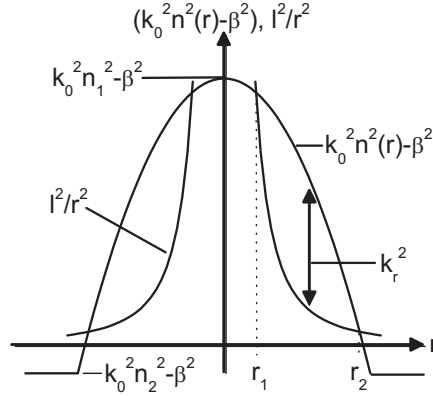


Figure 5.2: Schematic of the wave number in radial direction k_r and the turning radius r_1 and r_2

Thus, the field is concentrated in the region between r_1 and r_2 .

In order to avoid the singularities at $r = 0$ in (5.7), the substitution $r = a \cdot \exp(w)$ is applied. Then the wave equation is given by:

$$\frac{d^2 \psi_r}{dw^2} + Q_w^2 \psi_r = 0 \quad (5.9)$$

with $Q_w^2 = r^2 \cdot k_r^2$.

It is $Q_w^2 = 0$ for w_1 and w_2 . Thus $r_1 = a \exp(w_1)$ and $r_2 = a \exp(w_2)$ apply,

as long as $|Q_w|$ is sufficiently large and is only weakly dependent of w (r respectively), eq. (5.9) is approximately solved by the *WKB-solution* (Wentzel, Kramers, Brillouin):

$$\psi_r \approx \frac{A}{\sqrt{Q_w}} \exp \left(\pm j \int Q_w dw \right) \quad (5.10)$$

As long as $Q_w \neq 0$ applies, three cases are apply:

$$1. \quad w < w_1 \quad \Rightarrow \quad Q_w^2, k_r^2 < 0$$

$$\psi_r \approx \frac{A}{\sqrt{|Q_w|}} \exp \left(- \int_w^{w_1} |Q_w| dw \right) \quad (5.11)$$

$$2. \quad w_1 < w < w_2 \quad \Rightarrow \quad Q_w^2, k_r^2 > 0$$

$$\psi_r \approx \frac{B}{\sqrt{Q_w}} \cos \left(\int_{w_1}^w Q_w dw + \theta \right) \quad (5.12)$$

$$3. \quad w > w_2 \quad \Rightarrow \quad Q_w^2, k_r^2 < 0$$

$$\psi_r \approx \frac{C}{\sqrt{|Q_w|}} \exp \left(- \int_{w_2}^w |Q_w| dw \right), \quad (5.13)$$

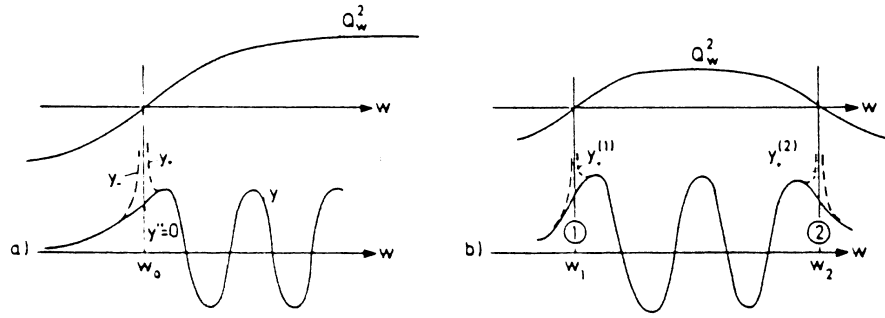


Figure 5.3: WKB-solution for the problem (a) with one turning point and (b) with two turning points (Illustration taken from: Timmermann, Lichtwellenleiter)

where A , B and C in eq. (5.11), eq. (5.12) and eq. (5.13) designate constants. In order for eq. (5.11) and eq. (5.12), as well as eq. (5.12) and eq. (5.13) to be continuously differentiable at $w \approx w_1$, and $w \approx w_2$, respectively, it follows from fig. 5.3, that for $w \rightarrow w_1$ the argument of the cosine in eq. (5.12) must take the value $-\frac{\pi}{4}$ and for $w \rightarrow w_2$ the value $m \cdot \pi + \frac{\pi}{4}$ (m - of integer). These intuitive considerations are confirmed by accurate calculations. In addition, $A = C = \frac{B}{2}$ (see Flügge, Rechenmethoden der Quantentheorie, Springer, Berlin-Heidelberg 1965, S.159)

Therefore the result is given by

$$\theta = -\frac{\pi}{4} \quad \text{and} \quad \int_{w_1}^{w_2} Q_w dw = \left(m + \frac{1}{2}\right) \pi = \left(p - \frac{1}{2}\right) \pi \quad (5.14)$$

with the radial modal number $p = 1, 2, 3, \dots$ of the LP_{lp} -wave. With the relation $dr = r \cdot dw$, eq. (5.14) can be determined as:

$$\int_{r_1}^{r_2} k_r dr = \left(p - \frac{1}{2}\right) \pi \quad (5.15)$$

Because of the approximation in eq. (5.10), the equations (5.14) and (5.15) only apply for $p \gg 1$.

For particular LP_{lp} -waves the propagation constant β can be determined from eq. (5.15). If l and p are given, β has to be chosen to satisfy (5.15) (in general only numerically feasible).

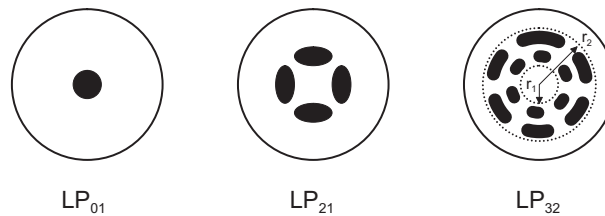


Figure 5.4: Field representation of the LP_{lp} -waves

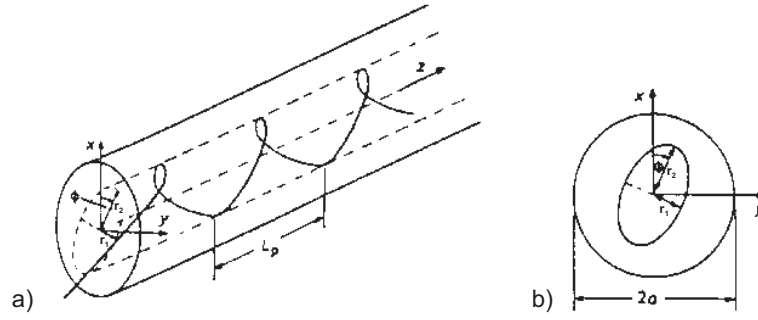


Figure 5.5: (a) Spiral-shaped beam path in a graded-index fiber with a parabolic refractive index profile, (b) cross-section projection of a beam path (Illustration taken from: Unger, Optische Nachrichtentechnik I)

5.2 Number of propagable Modes

For modal number combination (l, p) there are:

2 modes for $l = 0$ (2 polarizations)

4 modes for $l \neq 0$ (2 polarizations and 2 orientations, $\sin(l \cdot \varphi)$ and $\cos(l \cdot \varphi)$)

A refractive index profile $n(r)$ is given, that declines monotonously for a rising r ($r < a$) and that remains constant $n(r) = n_2$ for $r \geq a$. To answer the question of the number of guided modes and therefore the modal number of combinations (l, p) , eq. (5.15) is evaluated. Eq. (5.8) and eq. (5.15) result in

$$\left(m + \frac{1}{2}\right) \pi = \int_{r_1}^{r_2} \sqrt{k_0^2 \cdot n^2(r) - \beta^2 - \frac{l^2}{r^2}} \, dr \quad (5.16)$$

with the radial modal numbers $m = (p - 1)$ ($m = 0, 1, 2, \dots$).

Assuming a waveguide with large number of guided modes, eq. (5.16) can be approached by:

$$m \cdot \pi \approx \int_{r_1}^{r_2} \sqrt{k_0^2 \cdot n^2(r) - \beta^2 - \frac{l^2}{r^2}} \, dr \quad (5.17)$$

The maximum radial modal or order number $m = m_{max}$ is reached for $\beta = k_0 n_2$ with a given l . Thus:

$$m_{max} \cdot \pi \approx \int_{r_1}^{r_2} \sqrt{k_0^2 \cdot (n^2(r) - n_2^2) - \frac{l^2}{r^2}} \, dr \quad (5.18)$$

In this equation r_1 and r_2 are the turning radii for $\beta = k_0 n_2$. Then the total number of modes M can be calculated by:

$$M \approx 4 \cdot \sum_{l=0}^{l_{max}} m_{max}(l) \approx 4 \cdot \int_0^{l_{max}} m_{max}(l) dl \quad (5.19)$$

The factor 4 follows from the four possible modes for a given modal number combination (l, p) , where $l \neq 0$. Inserting m_{max} from eq. (5.18), therefore:

$$M \approx \frac{4}{\pi} \cdot \int_0^{l_{max}} \int_{r_1}^{r_2} \sqrt{k_0^2 \cdot (n^2(r) - n_2^2) - \frac{l^2}{r^2}} \, dr \, dl \quad (5.20)$$

In eq. (5.20) the integration proceed firstly over r and afterwards over l (Integration limits shown in fig.

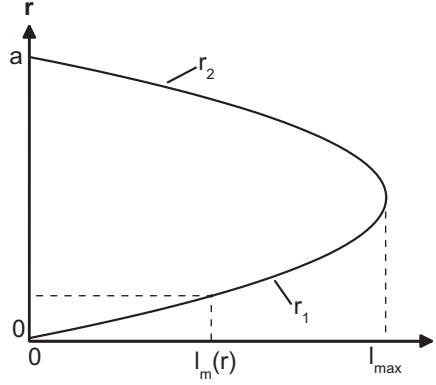


Figure 5.6: Representation of the integration limits in the r, l -plane

5.6). The order is reversed to simplify the integration:

$$M \approx \frac{4}{\pi} \cdot \int_0^a \int_0^{l_m(r)} \sqrt{k_0^2 \cdot (n^2(r) - n_2^2) - \frac{l^2}{r^2}} \, dl \, dr \quad (5.21)$$

The radial order $l_m(r)$ is given by the fact, that for $l = l_m(r)$ the radial wave number results in $k_r^2 = k_0^2 \cdot (n^2(r) - n_2^2) - l^2/r^2 = 0$.

$$\Rightarrow l_m(r) = r \cdot k_0 \sqrt{n^2(r) - n_2^2} \quad (5.22)$$

Therefore, after the integration over l :

$$M = k_0^2 \cdot \int_0^a (n^2(r) - n_2^2) r \, dr \quad (5.23)$$

The number of guided modes is proportional to the rotation volume, which is formed by the refractive index profile.

1. Example: parabolic refractive index profile

$$n^2(r) = \begin{cases} n_1^2 \cdot \left(1 - 2\Delta \cdot \frac{r^2}{a^2}\right) & \text{for } r \leq a \\ n_1^2 \cdot (1 - 2\Delta) = n_2^2 & \text{for } r > a \end{cases} \quad (5.24)$$

Δ represents the relative refractive number difference: $\Delta = \frac{n_1^2 - n_2^2}{2n_1^2} \approx \frac{n_1 - n_2}{n_1}$

In this case, the number of modes is given by:

$$M = \frac{V^2}{4} \quad (5.25)$$

with $V = a \cdot k_0 \cdot \sqrt{n_1^2 - n_2^2} = a \cdot k_0 \cdot n_1 \cdot \sqrt{2\Delta}$

2. Example: Step-index fiber

$$n^2(r) = \begin{cases} n_1^2 & \text{for } r \leq a \\ n_2^2 & \text{for } r > a \end{cases} \quad (5.26)$$

In this case the number of modes is:

$$M = \frac{V^2}{2} \quad (5.27)$$

For a typical multi-mode optical fiber with $2a = 50 \mu\text{m}$, $n_1 = 1.45$, $\Delta = 0.01$, $A_N = 0.205$ and $\lambda = 1.3 \mu\text{m}$ there are approximately 152 modes guided in a parabolic refractive profile and 306 propagable modes for a step-index profile.

The detailed analysis in section STU, fig. 6 provides, for example, for $V = 6$ and $V = 8$, 20 and 34 propagable modes, respectively, while the approximation eq. (5.27) leads to 18 and 32 propagable modes, which is, in the context of the used approximations means, a good accordance.

5.3 Propagation delay between the eigenmodes

5.3.1 Profile dispersion

The aim of the following calculations is to determine a refractive index profile in which all the modes have almost the same propagation delay. According to the optical beam model, the path of a beam belonging to a wave is determined through the refractive index profile $n(r)$ and the propagation delay of the wave along the beam path is described by the group index $N(r)$. Thus the delay depends obviously on both the refractive index profile $n(r)$ and the group index $N(r)$.

It the following a linear relation between $n(r)$ and $N(r)$ is assumed (fig. 5.7).

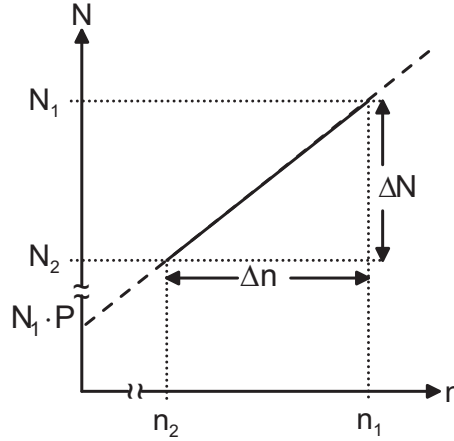
For example this relation can be described as:

$$N(r) = \frac{N_1}{n_1} [(1 - P) \cdot n(r) + n_1 \cdot P] \quad (5.28)$$

The parameter P is called the *profile dispersion parameter*. If eq. (5.28) is true, the parameter is called linear profile dispersion. Thus, P depends only on the wavelength λ and not on the radius r .

In order to explain the term of the profile dispersion, the relation between Δn and ΔN in fig. 5.7 will be analyzed in details. The refractive index difference Δn can be specified as:

$$\Delta n = \frac{n_1 - n_2}{n_1} \cdot n_1 = \Delta \cdot n_1 \quad \text{mit} \quad \Delta = \frac{n_1 - n_2}{n_1}, \quad (5.29)$$

Figure 5.7: Group index $N(r)$ as a function of the refractive index $n(r)$

where Δ describes the relative refraction index difference between the fiber core and the fiber cladding. In analogy to $N = n - \lambda \frac{dn}{d\lambda}$, for the difference between the group index ΔN applies:

$$\Delta N = \Delta n - \lambda \frac{d(\Delta n)}{d\lambda} \quad (5.30)$$

and therefore

$$\Delta N = \Delta \cdot n_1 - \lambda \frac{d(\Delta \cdot n_1)}{d\lambda} \quad (5.31)$$

$$= \Delta \cdot n_1 - \lambda \cdot \Delta \frac{dn_1}{d\lambda} - \lambda \cdot n_1 \frac{d\Delta}{d\lambda} \quad (5.32)$$

$$= \Delta \cdot N_1 - \lambda \cdot n_1 \frac{d\Delta}{d\lambda} \quad (5.33)$$

On the other hand, eq. (5.28) can be written as:

$$\Delta N = \frac{N_1}{n_1} (1 - P) \cdot \Delta n = \Delta \cdot N_1 \cdot (1 - P) \quad (5.34)$$

which results, after comparison with eq. (5.31), in the profile dispersion of the form:

$$P = \frac{n_1}{N_1} \cdot \frac{\lambda}{\Delta} \cdot \frac{d\Delta}{d\lambda} \quad (5.35)$$

Thus, the profile dispersion can be determined by measuring the wavelength dependent numeric aperture (and therefore $\Delta(\lambda)$).

5.3.2 Determination of the group delay of the individual eigenmodes

The group propagation delay of a certain eigenmode (l, p) per fiber length $\tau = d\beta/d\omega$ is a result of (5.15), if eq. (5.15) is differentiated with respect to ω .

$$\frac{d}{d\omega} \left(\int_{r_1}^{r_2} k_r dr \right) = 0 = \int_{r_1}^{r_2} \frac{1}{k_r} \left[k_0 n(r) \frac{d(\omega \cdot n(r))}{c \cdot d\omega} - \beta \cdot \tau \right] dr \quad (5.36)$$

In eq. (5.36) the differentiation of k_r with respect to ω (eq. (5.8)) was used. The relation $k_0 = \omega/c$ was also utilized. Eq. (5.36) can be solved for the desired delay τ :

$$\tau = \frac{k_0}{\beta \cdot c} \frac{\int_{r_1}^{r_2} \frac{1}{k_r} n(r) \cdot N(r) \, dr}{\int_{r_1}^{r_2} \frac{1}{k_r} \, dr} \quad (5.37)$$

with the group index $N(r) = d(\omega \cdot n(r)) / d\omega$ taken from the chapter GRU eq. 25.

Firstly, for any arbitrary eigenmode (l, p) , the phase constant β can be determined from eq. (5.8) and eq. (5.15). Then the group delay τ can be calculated from eq. (5.37).

The refractive index profile $n(r)$ (and therefore $N(r)$, according to eq. (5.28)), will now be optimized so that every eigenmode has the same propagation delay τ . In this general form, this is a complicated numerical optimization process.

In this case we limit ourselves on the profile class of the so-called potential profiles ("power-law-profiles") (see fig. 5.8), where the refractive index is described by:

$$n^2(r) = \begin{cases} n_1^2 \left(1 - 2\Delta \left(\frac{r}{a}\right)^g\right) & \text{for } r \leq a \\ n_1^2(1 - 2\Delta) = n_2^2 & \text{for } r > a \end{cases} \quad (5.38)$$

with the profile exponent g . For example the parabolic refractive index profile is described by $g = 2$ and furthermore the step-index profile is characterized with $g = \infty$. For the refractive index profile according

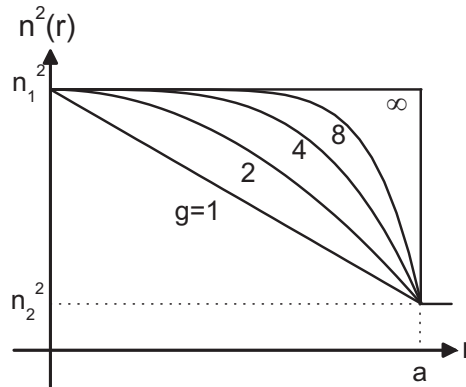


Figure 5.8: Potential profile for different profile exponents g

to eq. (5.38) it applies (without proof) that the propagation delay of τ in eq. (5.37) only depends on β , but doesn't depend on the circumferential order l (which is in principle contained in k_r). Under this condition, in analogy to eq. (5.20) and eq. (5.21), both the numerator and the denominator of eq. (5.37) can be integrated over l without corruption of the result for τ .

After commutation of the integration order (shown in eq. (5.21)) the integration over l can be solved analytically and takes the form:

$$\begin{aligned}\tau &= \frac{d\beta}{d\omega} = \frac{1}{c} \cdot \frac{k_0}{\beta \cdot \frac{r_0^2}{2}} \int_0^{r_0} n(r)N(r)r \, dr \\ &= \frac{1}{c} \cdot \frac{1}{n(r_0) \cdot \frac{r_0^2}{2}} \int_0^{r_0} n(r)N(r)r \, dr \triangleq \frac{\langle n(r)N(r) \rangle}{c \cdot n(r_0)}\end{aligned}\quad (5.39)$$

where r_0 is given by $\beta = n(r_0)/k_0$ (compare to fig. 5.9). The notation $\langle \dots \rangle$ in this context means the averaging over the fiber cross-section with $r < r_0$.

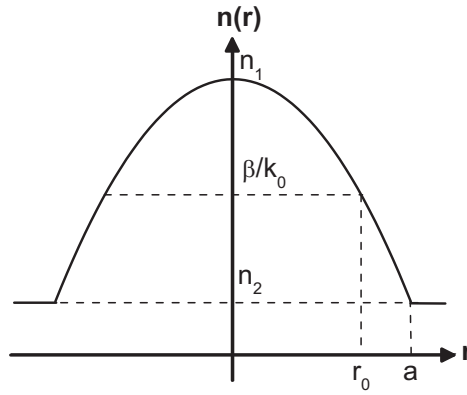


Figure 5.9: Parabolic refractive index profile $n(r)$ and the radius r_0

In order to minimize the propagation delay differences between the eigenmodes, a profile optimization of the refractive index profile $n(r)$ has to be determined. To achieve this aim the ration between the numerator and the denominator in eq. (5.39) should be as independent as possible of r_0 and β , respectively.

For a potential profile as shown in fig. (5.38) and linear profile dispersion (see eq. (5.28)):

$$n(r)N(r) = \frac{N_1}{n_1} ((1 - P) \cdot n^2(r) + n(r) \cdot n_1 \cdot P) \approx n_1 N_1 \left(1 - 2\Delta \left(1 - \frac{P}{2} \right) \left(\frac{r}{a} \right)^g \right) \quad (5.40)$$

applies. By using the approximation $n(r_0) \approx n_1 \left(1 - \Delta \left(\frac{r_0}{a} \right)^g \right)$, eq. (5.39) can be written as:

$$\tau \approx \frac{1}{c} \cdot \frac{n_1 N_1 \left(1 - 4\Delta \frac{1-P}{g+2} \left(\frac{r_0}{a} \right)^g \right)}{n_1 \left(1 - \Delta \left(\frac{r_0}{a} \right)^g \right)} \quad (5.41)$$

5.3.3 Optimization of the refractive index profile

The propagation delay τ becomes independent with the approximation in eq. (5.41) of r_0 and therefore of β for the optimum profile exponent $g = g_{opt}$, with

$$g_{opt} = 2 - 2P \quad (5.42)$$

As a result of the equation above without the approximations for $n(r)$, a more accurate expression for the optimum profile exponent is given by:

$$g_{opt} = 2 - 2P - \Delta \frac{(4 - 2P) \cdot (3 - 2P)}{5 - 4P} \quad (5.43)$$

which differs only slightly from eq. (5.42). Since the profile dispersion parameter P is dependent of the material composition and the wavelength, also the optimum profile exponent g_{opt} is dependent of these variables (see fig. 5.10). For technology reasons mainly the GeO_2 -doping is used to diversify the refractive index. Thus, there are significant differences for the adjusting profile, whether the fiber should be employed for e.g. $\lambda = 0.8 \mu\text{m}$ or $\lambda = 1.55 \mu\text{m}$. Due to the different delays of the individual modes a pulse broadening

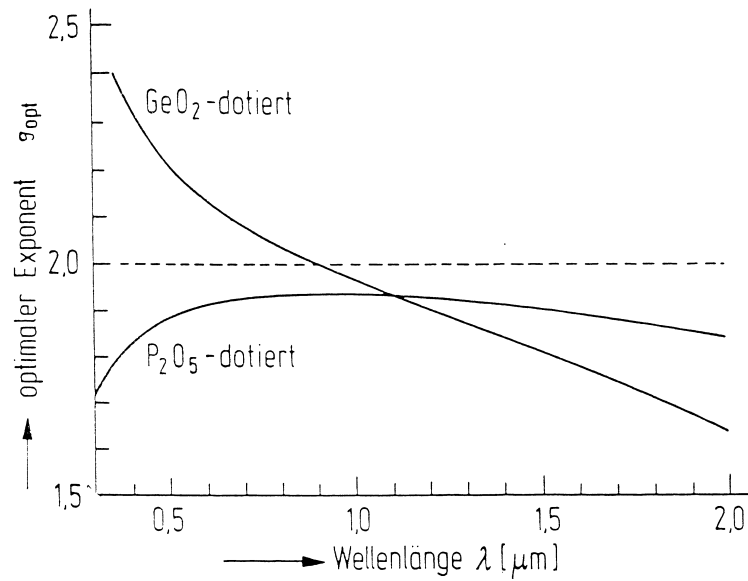


Figure 5.10: Optimized profile exponent g_{opt} for germanium and phosphorus doped silica glass as a function of the wavelength λ

occurs, which limits the bandwidth. Hence, the bandwidth is:

$$B \sim \frac{1}{(\tau_{max} - \tau_{min})L} \quad (5.44)$$

τ_{max} and τ_{min} denote the minimum and maximum delay given by eq. (5.41). From fig. 5.11 the maximum bandwidth of approx. $10 \text{ GHz} \cdot \text{km}$ results for $g = g_{opt}$. If the profile exponent g diverges from the optimal exponent g_{opt} , according to fig. 5.11, the result is a minor bandwidth. In practice, the optical fiber manufacturing deviations $|g - g_{opt}| < 0.1$ are manageable, so that bandwidths up to $1 \text{ GHz} \cdot \text{km}$ are still feasible.

In the consideration above, the bandwidth is inversely proportional to the fiber length. In fact, the bandwidth decreases less with increasing fiber length, perhaps in the form

$$B = B_0 \left(\frac{L_0}{L} \right)^\gamma \quad \text{mit } \gamma = 0.5 \dots 0.8 \quad (5.45)$$

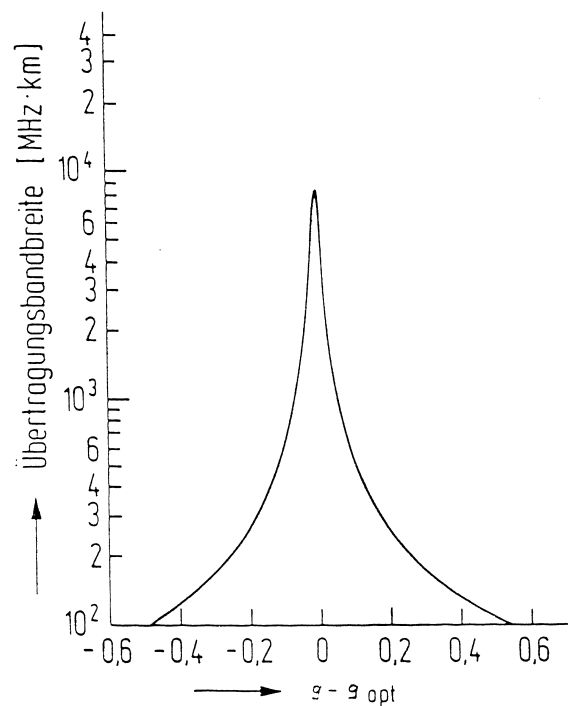


Figure 5.11: 6 dB-Bandwidth of the transmitted electrical signal of a multi-mode graded index with numerical aperture $A_N = 0,24$

L_0 is the fiber length to which the bandwidth B_0 is related. This may be due to:

1. The refractive index profile is subject to fluctuations, that means fiber pieces alternate between $g - g_{opt} > 0$ and $g - g_{opt} < 0$.
2. According to micro curvature of the fiber, couplings occur between the waves. Hence, fast and slow waves exchange their energy with each other.

A typical dimension for multi-mode graded-index fibers would have, for example, a core diameter of $2a = 50 \mu\text{m}$, a cladding diameter of $D = 125 \mu\text{m}$, a numerical aperture $A_N = 0.2$ and a bandwidth of approx. 1 GHz for 1 km fiber length.