

Unit-I: Introduction to optical fibers

Syllabus

Evolution of fiber optic system - Elements of an optical fiber transmission line - Advantages of fiber optic system - Characteristics and behavior of light - Total internal reflection - Acceptance angle - Numerical aperture, Critical angle - Sizing problems - Ray optics - types of rays - optical fiber modes - optical fibers - Step index fibers - Graded index fibers.

① Evolution of communication system:

1. principle interest of human beings has been to dense communication systems for sending message from one distant place to another. The fundamental element of any such communication system is shown in fig 1.

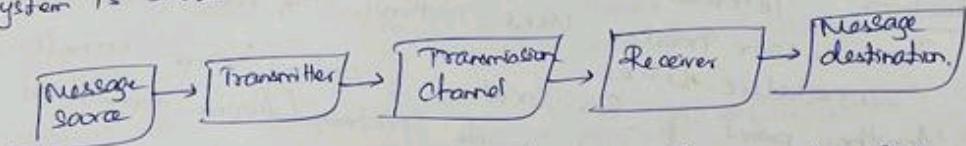


Fig-1 Fundamental elements of Communication system.

- Information source - inputs message to transmitter.
- Transmitter - couple message to transmission channel (channel medium bridging distance between transmitter and receiver)
 - guided - core / waveguide
 - unguided - atmospheric / space channel.
- Signal - while travelling through channel, it may be attenuated and distorted with distance.
- Receiver - Extract weak and distorted signal from channel, amplify and restore to original form.

② Forms of communication system:

Motive :

- To improve fidelity
- To increase data rate so more information could be send.
- Increase transmission distance between relay stations.

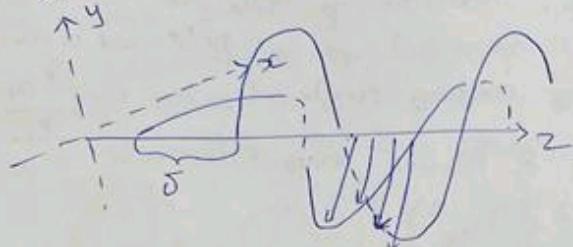
Elliptical and circular polarization.

For general value of δ , the wave given by the equation is elliptically polarized.

For circular polarization, $E_{ox} = E_{oy} = E_0$ & relative phase difference $\delta = \pm \pi/2 + 2m\pi$ where $m = 0, \pm 1, \pm 2, \dots$

whereas linear polarization is equal to zero.

Resultant vector E will both rotate and change in magnitude as a function of angular frequency ω .



Quantum nature of light:

1. In dealing with interaction of light & matter, such as occurs in dispersion & in emission and absorption of light, neither particle theory, nor wave theory of light is appropriate.

Quantum Theory:-

1. Consider particle as well as wave properties. (particle nature arises from observation that light energy is always emitted or absorbed in discrete units called quanta / photons).

2. Photon energy depend only on frequency, which inten. must be measure by observing wave.

Property of light.

Relation $E \propto h\nu$ & frequency ν of photon.

$$E = h\nu$$

$$h = 6.625 \times 10^{-34} \text{ J s}$$

— Planck's constant.

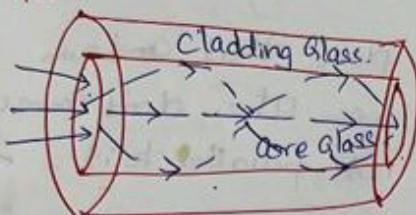
3. Refractive index difference b/w core & cladding.

B. Small.

4. Neither dispersion nor degradation. \therefore It is suitable for long distance communication.

5. Laser diode is used to pass light through SMF.

Multimode fiber:



1. Allows large no. of modes by light ray to travel.

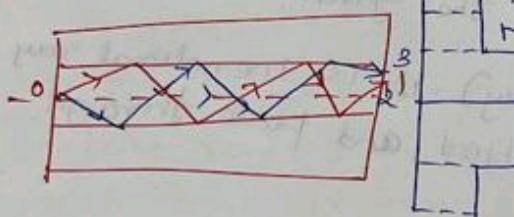
2. Core diameter = 40 μm
Cladding diameter = 70 μm .

3. R.I. difference larger than SMF.

4. Due to multimode dispersion, signal degradation takes place.

5. Not suitable for long distance due to dispersion & attenuation.

Step index.



1. Refractive index of core & cladding are constant.

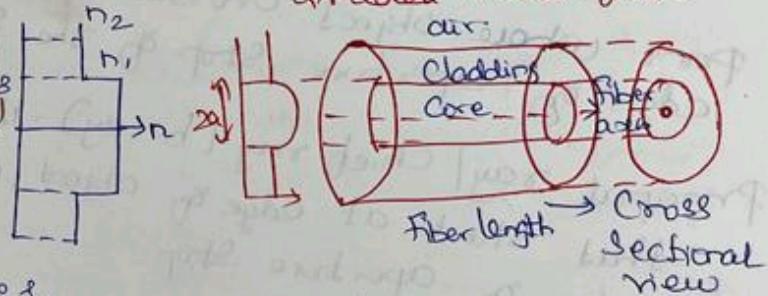
2. Light rays propagate through it in form of longitudinal rays which cross fiber axis during every reflection at core-cladding boundary.

3. Diameter = 150 - 200 μm (Core)
for SMF = 10 μm (MMF)

& light travel in zig-zag manner

Attenuation is more in MMF than SMF.

Graded index fiber.



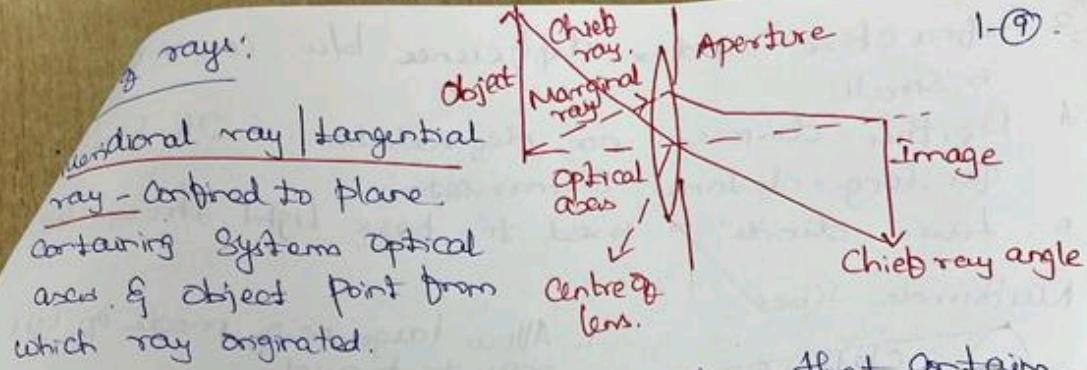
1. Core has non-uniform refractive index that gradually decreases from center towards core-cladding interface.

2. Cladding has uniform refractive index.

3. Light rays propagate through it in form of

*. Path of light is helical.
4. Core diameter = 50 μm (MMF)

5. Attenuation is less



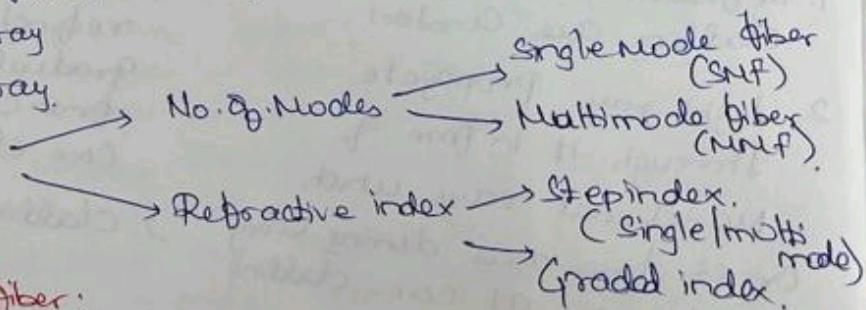
2. Skew ray: - don't propagate in plane that contains both object point & optical axis. They don't cross optical axis anywhere and are not parallel to it.

3. Marginal ray: (ray on marginal axis ray).
 - It is the mendional ray that starts at part where object edge of aperture touches the stop of the system.

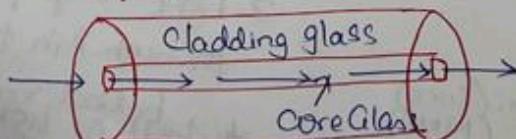
• Principal ray / Chief ray [b-ray]: - it is mendional ray that starts at edge of object, and passes through centre of aperture stop.

- Sagittal (or) transverse ray
- paraxial ray
- parabasal ray.

Modes of fiber



Single Mode fiber:



1. only mode propagate through fiber.
2. Core diameter ($5\mu m$), Cladding diameter ($70\mu m$)

- Postulates of Ray optics:
1. Light travels in the form of rays. Rays are emitted by light source, observed by light detector.
 2. Optical medium through which ray propagates characterized by real scalar quantity n called refractive index. Speed of light in vacuum is $C = 3 \times 10^8 \text{ m/s}$. Speed of light in medium is $v = C/n$.
 3. Time taken by light to cover a distance d is $t = rd/c$ ($\because d \rightarrow$ optical length). $n(r)$

3. In homogeneous medium, refractive index varies with position. Optical path length opt b/w 2 points A & B is

$$\text{opt} = \int_A^B n(r) ds$$

$ds \rightarrow$ Element of length along path. Time taken by light to go from A to B is $t = \text{opt}/c$.

4. Light ray b/w A & B follows path such that the time of travel relative to neighboring paths, is an extremum (minimum). This means that the variation in travel time / equivalently in the optical path length is zero. That is, $\delta \int_A^B n(r) ds = 0$.

Type of rays:

- (i) Incident ray - light strikes surface.
- (ii) Reflected ray - light reflected by surface.
Or \rightarrow angle b/w surface normal & reflected ray.

(iii) refracted ray/transmitted ray.

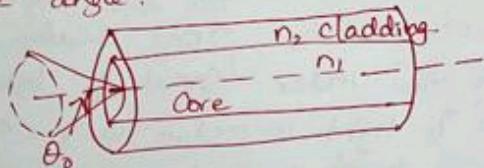
- light transmitted through surface.
- O b/w this ray & normal \rightarrow angle of refraction.

1-①

Given R.I of core and cladding are 1.50 & 1.48 respectively. in an optical fiber. find the numerical aperture and acceptance angle.

$$n_1 = 1.5 \quad n_2 = 1.48 \\ NA = ? \quad \theta_o = ?$$

$$\theta_o = \sin^{-1} \left(\frac{\sqrt{n_2^2 - n_1^2}}{n_2} \right)$$



For light travelling from air to fiber $n_0 = 1$

$$\theta_o = \sin^{-1} \left(\frac{\sqrt{n_2^2 - n_1^2}}{n_2} \right) = \sin^{-1} \sqrt{1.5^2 - 1.48^2}$$

$$\theta_o = 14.1^\circ$$

Numerical aperture $NA = \sin \theta_o \Rightarrow \sin(14.1^\circ) = 0.244$

2. NA of an optical fibre is 0.2 when surrounded by air. Find the refractive index of its core given the R.I of cladding is 1.59. Find Acceptance angle when fibre is in water. Assume refractive index of water is 1.33.

$$NA = \sin \theta_o = \sqrt{n_2^2 - n_1^2} \text{ for air; } n_0 = 1$$

$$NA = \sin \theta_o = \sqrt{n_2^2 - n_1^2}$$

$$0.2 = \sqrt{n_2^2 - 1.59^2} \Rightarrow n_2 = 1.6$$

while in water; $n_0 = 1.33$.

$$(NA)_{\text{water}} = \frac{\sqrt{1.6^2 - 1.59^2}}{1.33} \quad \theta_o = \sin^{-1} \left(\frac{0.1504}{1.33} \right)$$

$$\theta_o = 8.65^\circ$$

Ray optics:

- Study of light and its interaction with matter.
- Simplest theory of light. Rays travel in optical media according to a set of geometrical rules.
- Hence ray optics is also called geometrical optics.
- It's concerned with location & direction of light rays.

Evolution:

- Before 19th century - use of fire signal by Greek, century B.C. for sending alarm, calls for help (or announcements) certain event.
- 150 BC - optical signals were encoded relation to alphabet so any message could be sent.

Limitations: Line of Sight (LoS) transmission.

- eye used as receiver, line of sight (LoS) transmission paths required.

- Telegraph - Samuel F.B. Morse in 1838 (in 1844 commercial telegraph implemented)
- Used high wire cables for information transmission - High frequency carriers used - bandwidth increased so as information capacity.

Applications: Television, Radar, Microwave link.

- Transmission media used: millimeter & microwave waveguide, metallic wires.
- Another part of the spectrum is optical range 50 nm (UV) to 100 μm (far infrared), visible spectrum (400nm-700nm) band.

(3) Evolution of fiber optic system.

Advent of laser (coherent source) in 1960 paved way for this system. Optical frequency 5×10^{14} Hz information capacity is 10^5 times greater than microwave system (~ 10 million TV channels).

2. On guided medium limitations:

- atmospheric channel by rain, fog, snow and dust make high speed carrier system economically unattractive in view of present demand of channel capacity.

- Cover only short distance (up to 1 km).

- Optical fiber - More reliable and versatile than atmosphere, but extremely large loss (more than 1000 dB/km) made them impractical.

1. This is the expression for acceptance angle.
the light rays contained within cone having angle θ_{max} are accepted into core of fibre of propagation. Such a cone is therefore known as acceptance cone.

2. Fractional refractive index change / relative refractive index is the ratio of difference between refractive index of core and cladding to refractive index of core. It is denoted by Δ i.e., $\Delta = \frac{n_1 - n_2}{n_1}$
 Δ is always positive, generally of order $\frac{1}{100}$.

Numerical aperture:

- light gathering (collecting) capacity of an optical fibre. Numerical aperture provides important relationship between acceptance angle and the refractive index of core and cladding.

- It is defined as the sine of acceptance angle i.e.,

$$NA = \sin \theta_{\text{max}} = \sqrt{n_1^2 - n_2^2}$$

$$\text{for air; } n_0 = 1 \quad NA = \frac{n_0}{\sin \theta_{\text{max}}} = \sqrt{n_1^2 - n_2^2}$$

$$n_1^2 - n_2^2 = (n_1 - n_2)(n_1 + n_2) = \frac{(n_1 + n_2)}{2} (n_1 - n_2) 2n_1$$

$$\text{let } \frac{n_1 + n_2}{2} \propto n_1; \Delta = \frac{n_1 - n_2}{n_1}$$

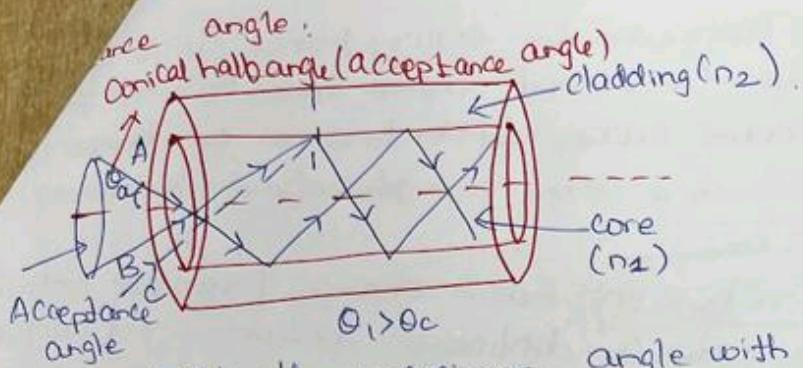
$$\therefore n_1^2 - n_2^2 = 2 \Delta n_1^2$$

$$\therefore NA = \sqrt{n_1^2 - n_2^2} = \sqrt{2 \Delta} n_1$$

Value of NA typically from $[0.1 \text{ to } 0.5]$

Problem 1:

Refractive index.



1. It is the maximum angle with the axis of the optical fiber at which the light can enter into the optical fiber in order to be propagated through it.

2. Let us consider an optical fibre having core with refractive index n_1 & cladding refractive index n_2 such that $n_1 > n_2$. Refractive index n_0 of launching medium. Let us consider light ray AO enter fiber making an angle θ_i with axis.

3. OB is refracted ray that makes an angle θ_r with axis and strikes Core-Cladding interface at an angle, which is greater than critical angle. Thus it undergoes total internal reflection at the interface.

$$\text{in } \triangle OBL, \sin \theta_r = \sin (90^\circ - \phi) = \cos \phi$$

$$\frac{\sin \theta_i}{\sin \theta_r} = \frac{n_1}{n_0}$$

$$\cos \phi_c = \sqrt{n_1^2 - n_0^2}$$

$$\sin \theta_{\max} = \frac{n_1}{n_0} \sqrt{n_1^2 - n_2^2}$$

\therefore Acceptance angle

$$\theta_{\max} = \sin^{-1} \frac{\sqrt{n_1^2 - n_2^2}}{n_0}$$

$$\text{for air, } n_0 = 1$$

$$\theta_{\max} = \sin^{-1} \sqrt{n_1^2 - n_2^2}$$

$$\sin \theta_i = \frac{n_1}{n_0} \cos \phi$$

$$\text{when } \phi = \phi_c, \theta_i = \theta_{\max}$$

$$\sin \theta_{\max} = \frac{n_1}{n_0} \cos \phi_c$$

Apply, Snell's law at Core-Cladding interface:

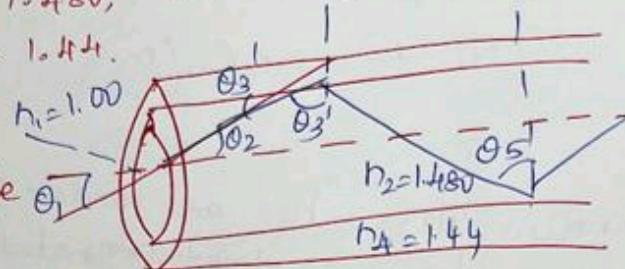
$$\frac{\sin \phi_c}{\sin 90^\circ} = \frac{n_2}{n_1} \cos$$

$$\sin \phi_c = \frac{n_2}{n_1}$$

$$\sqrt{1 - \cos^2 \phi_c} = \frac{n_2}{n_1}$$

$$1 - \cos^2 \phi_c = \frac{n_2^2}{n_1^2}$$

3 consider fiber shown below. The index of refraction of inner core is 1.480, index of refraction of outer cladding is 1.44.



a) what is critical angle θ_c of core-cladding interface?

$$\theta_c = \sin^{-1}(n_3/n_2) = \sin^{-1}\left(\frac{1.44}{1.480}\right) = \sin^{-1}(0.9729)$$

$$\boxed{\theta_c = 76.6^\circ}$$

b) for what range of angles in the core at the entrance of fiber (θ_3) will light be completely internally reflected at core cladding interface?

$$\theta_2 = \theta_3' = 90 - \theta_3$$

Snell's law at entrance: $n_1 \sin \theta_1 = n_2 \sin \theta_2$

θ_3, θ_5 are alternate angles of parallel lines.

Therefore, 2 angles are equal $\boxed{\theta_5 = \theta_3}$

For internal reflection to occur, $\theta_3 > \theta_c$,

$$\theta_2 = 90^\circ - \theta_3 > \theta_c$$

$$90^\circ - \theta_c > \theta_2$$

$$\therefore 90^\circ - 76.65^\circ = 13.35^\circ > \theta_2$$

\rightarrow So angle of refraction at entrance must be less than 13.35° . If total internal reflection is to occur at upper edge of fiber.

c) What range of incidence angle in air does this corresponds to?

by Snell's law, $\sin \theta_1 = (n_2/n_1) \sin \theta_2 \quad \left(\frac{n_2}{n_1} \sin(13.35^\circ)\right)$

$$\sin \theta_1 = \left(\frac{n_2}{n_1}\right) \sin \theta_2 \quad \left(\frac{n_2}{n_1} \sin(13.35^\circ)\right)$$

$$\theta_1 < \sin^{-1}\left[\left(\frac{1.48}{1.00}\right) \sin(13.35^\circ)\right] \quad \boxed{\theta_1 < 20.0^\circ}$$

critical angle, $\theta_2 = 90^\circ$

$$n_1 \sin \theta_1 = n_2 \sin 90^\circ = n_2 \times 1$$

$$\theta_1 = \theta_c = \arcsin\left(\frac{n_2}{n_1}\right)$$

- Problem:**
- An unknown glass has an index of refraction of $n=1.5$. For a beam of light originated in the glass, at which angle the light [can't be reflected back in to the glass [R.I of air to air = 1.00]]

Total internal reflection occurs at θ_c

$\sin \theta_c = n_2/n_1$ the critical angle, then the \rightarrow if incident ray equals refracted ray, exit along boundary at the angle of 90° .

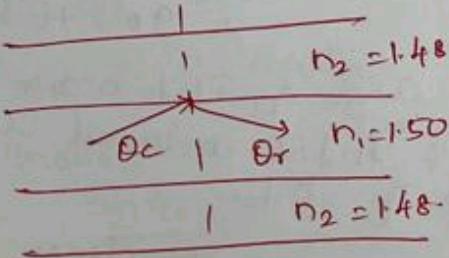
$$\sin \theta_c = \frac{n_{air}}{n_{glass}} = \frac{1}{1.5}$$

$$\theta_c = \sin^{-1}\left(\frac{1}{1.5}\right) = 41.8^\circ$$

\rightarrow Hence if $\theta_{incidence} > 41.8^\circ$, then all incident ray completely return back into same medium.

- The core of optical fiber has R.I of 1.50 & the cladding surrounding core has R.I of 1.48. The physical construction of this fiber is shown in the Fig. below.

Calculate critical angle (θ_c) that will just confine the signal in optical fiber.

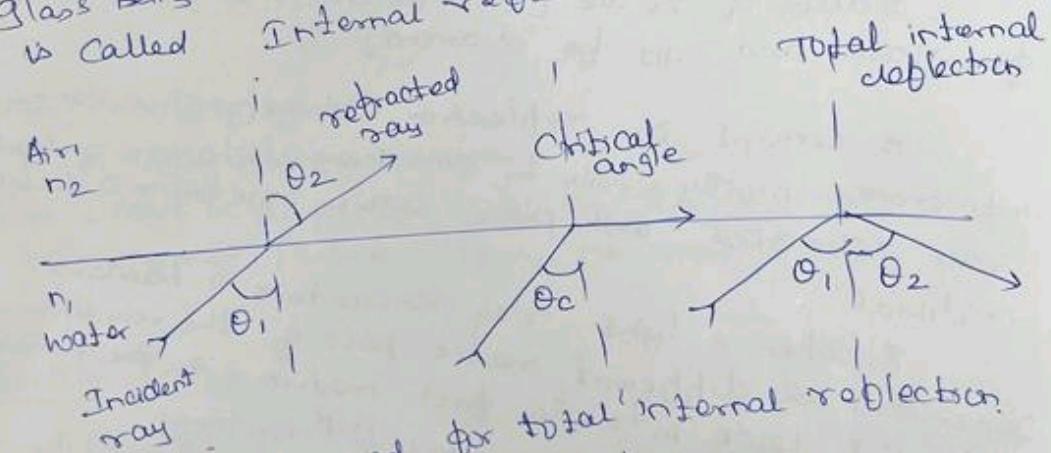


$$\sin \theta_c = \left(\frac{n_2}{n_1}\right)$$

$$\theta_c = \sin^{-1}\left(\frac{1.48}{1.5}\right) = 80.63^\circ$$

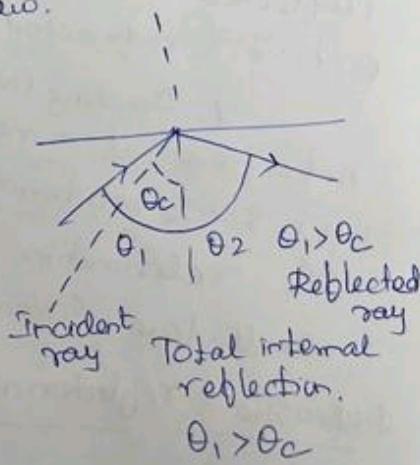
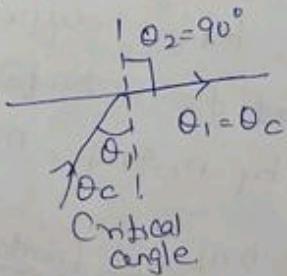
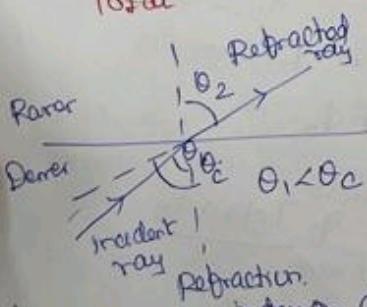
$$\boxed{\theta_c = 80.63^\circ}$$

- According to law of reflection the angle at which incident ray strikes interface is exactly equal to the angle the reflected ray makes with same interface.
- Incident ray, normal to the interface reflects all lie in the same plane, which is perpendicular to the interface plane between 2 materials.
- When light travelling reflected off an optically denser material (one with high refractive index) it is called external reflection.
- Conversely, reflection of light off an optically dense material (such as light travelling in glass being reflected at glass-to-air interface) is called internal reflection.



Condition required for total internal reflection
is determined by using Snell's law.

Total internal reflection.



n_1 - Refractive index of denser medium

n_2 - Refractive index of rarer medium

θ_1 = Angle of incidence ; θ_2 = Angle of refraction

θ_c = Critical angle.

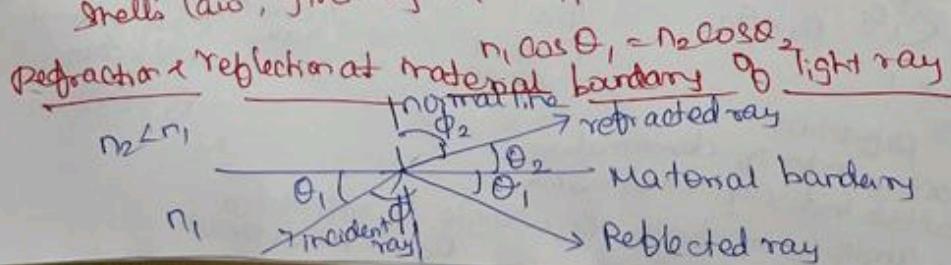
1-5.

- Optical Laws & definitions:**
1. Fundamental optical parameter of material is refractive index / index of refraction.
 2. In free space, light travels at a speed $c = 3 \times 10^8 \text{ m/s}$.
 3. Upon entering a dielectric / non-conducting medium the wave now travels at a speed, v , which is characteristic of material & less than c .
 4. The ratio of speed of light in vacuum to that in matter is index of refraction n of the material & is given by

$$n = c/v$$

Values of n are [1.00 for air, 1.33 for water, 1.50 for glass, and 2.42 for diamond].

5. Concept of reflection and refraction can be interpreted mostly easily by considering behavior of light ray associated with plane waves travelling in a dielectric material.
6. When a light ray encounters a boundary separating 2 different media, part of the ray is reflected back into the first medium & the remainder is bent (refracted) as it enters 2nd material.
7. Bending (or refraction) of light ray at the interface is a result of the difference in speed of light in 2 materials having different refractive indices. The relationship at the interface is known as Snell's law, given by $n_1 \sin \theta_1 = n_2 \sin \theta_2$

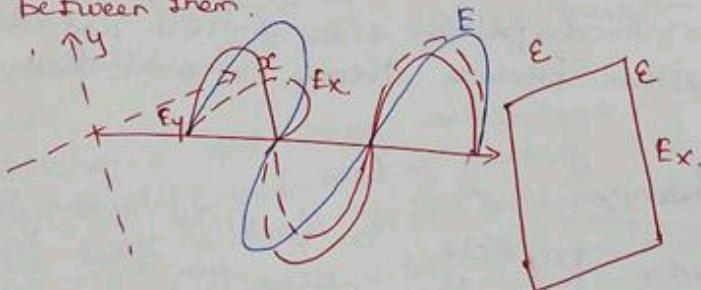


$E_x(z,t) = \Re(E) = E_0 e^{j\omega t} \cos(\omega t - k_z z)$ 1-①.
 The above eqn for x-polarized light, for another
 orthogonal y-polarized light is,
 $E_y(z,t) = E_0 e^{j\omega t} \cos(\omega t - k_z z + \delta)$
 $\delta \rightarrow$ relative phase diff b/w waves. Resultant wave is
 then simply,

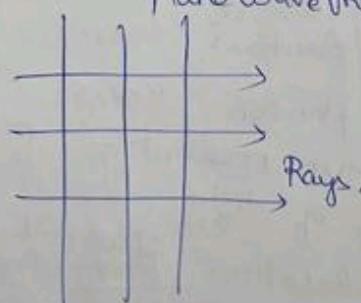
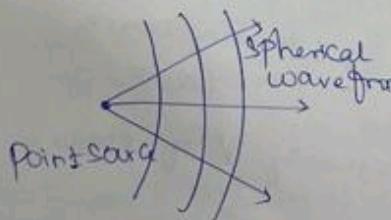
$E(z,t) = E_0(z,t) + E_y(z,t)$.
 If δ is zero or an integer multiple of 2π , the waves are
 in phase. Eqn. is then also a linearly polarized wave.
 with polarization vector making angle θ_0 arc tan $\left(\frac{E_y}{E_x} \right)$
 with respect to E_x & having magnitude

$$\textcircled{2} \quad E = \left(E_x^2 + E_y^2 \right)^{1/2}$$

Addition of 2 linearly polarized wave with zero relative
 phase between them.



Representation of spherical and plane wavefront & their associated rays.

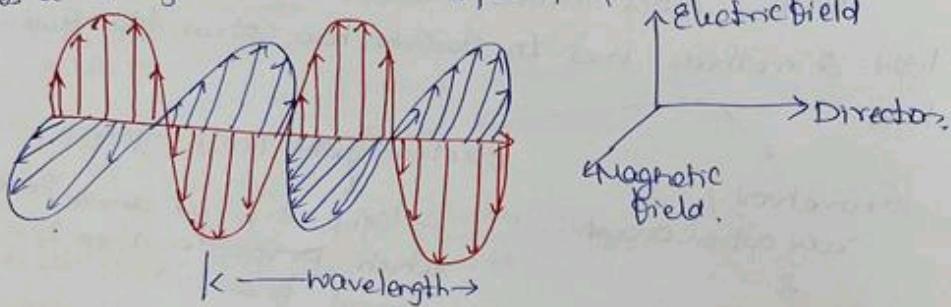


could be interpreted on assumption that light is wave motion. In 1864, Maxwell theorized, light wave must be electromagnetic in nature.

→ light waves are transverse [wave motion is perpendicular to direction in which wave travels].

→ In wave / physical optical viewpoint EM waves radiated by small optical source can be represented by train of spherical wave fronts (locus of all points in wave train which have same phase).

→ if wavelength of light is smaller than object (or opening) which it encounters, the wavefront appears as a straightline to this object / opening.



Linear polarization:

→ train of plane / linearly polarized waves travelling in k-direction be

$$A(x, t) = e_i A_0 \exp[i(\omega t - kx)]$$

$$x = x_i e_x + y_i e_y + z_i e_z \quad (\text{general position vector})$$

$$k = k_x e_x + k_y e_y + k_z e_z \quad (\text{wave propagation vector})$$

A_0 = max. amplitude of wave

$\omega = 2\pi\nu$, ν → frequency of light;

magnitude of wave vector, $K = \frac{2\pi}{\lambda}$ called as

Wave Propagation Constant:

λ → wavelength of light;

e_i → unit vector lying parallel to axis designated by i.

1-②.

figure of merit of receiver: minimum optical power necessary at desired data rate to attain either a given probability for digital systems or a specified SNR for a analog system.

9. Repeater: need in transmission line to amplify and reshape the signal. (it consists of receiver and transmitter placed back to back).

Receiver detect optical signal and converts to electrical signal, which, is amplified, reshaped & sent to electrical input of transmitter section.

⑥ Characteristics and behavior of light:

1. Fiber optics involves emission, transmission, detection of light. 2 methods used to describe how optical fiber guides light.

Geometrical /
ray optical concept
↓

→ reflection & refraction
to provide an intuitive
picture of the propagation
mechanisms

Electromagnetic wave approach.

↓
→ light treated as an EM wave
which propagates along the
optical fiber waveguide (it
involves maxwell's eqn
subject to cylindrical
boundary conditions of fiber)

Nature of light:

→ In 17th century, light consist of stream of minute
particle that are emitted by luminous sources.

• particle travel in straight line, assumed to be
Penetrating transparent but deflected from opaque ones.

• Described large-scale optical effects like
reflection, refraction, but failed to explain interference &
diffraction.

• Diffraction was explained by Fresnel in 1815

Major elements of an optical fiber transmission link. Basic components are the transmitter, cable and receiver. Additional elements include fiber and cable splices, repeaters, beam splitters, and optical amplifiers.

1. Transmitter - consists of light source & its associated drive circuitry.

2. Cable - offering mechanical and environmental protection to optical fibers inside.

3. Receiver - consists of photodetector plus amplification and signal restoring circuitry.

A Optical fiber is one of the most important elements in optical fiber link. Installation can be done either aerial, inducts undersea or buried directly in ground.

5. Length : Several hundred meters to several km for long-distance applications.

6. Light Source: LED | LASER - light output modulated rapidly by varying bias current. Electrical input to transmitter can be analog | digital.

o Optical source is a square-law device, that a linear variation in drive current results in linear change in optical output power.

⇒ For 800-900nm, light source alloys are GaAsP.

⇒ For 1100-1600nm, InGaAsP.

7. photodiode : progressively attenuated and distorted. Signal because of scattering, absorption and dispersion mechanisms in waveguide will be detected by this.
⇒ Analogous to source, it's also a square-law device.
(convert received optical power to electric current output).

Types: OPIN

① Avalanche photodiode (APD) for low-power signal.

Both exhibit high efficiency and response speed.

② Receiver: More complex than transmitter, since it has to both amplify and reshape degraded signal received by photodetector.

- (1-0) 1-2
10-11
1. Losses due to impurities in fiber material. 1-2.
- 1970, Silica fibers having 20 dB/km attenuation were fabricated.
- Later attenuation reduced to 0.16 dB/km at 1550nm.
5. Development of optical fiber system grew from combination of Semiconductor Technology (give light source, photodetectors) and optical waveguide technology.
- (A) Advantages Over Conventional Copper Systems:** Narinder S. Kapany invented fiber optics in India.
- 1) Low transmission loss and wide bandwidth. Better to fiber optics → carry more data over long distance.
 - 2) Small size and weight. → Low weight, small (hair-sized)
 - 3) Advantages in aircraft, satellites and ships.
 - 4) Immunity to interference. → Fibers are dielectric in nature, so they are immune to EMI (Electromagnetic interference) like inductive pickup from signal-carrying wires and lightning. And EMP (pulse) effects which is useful in military applications.
 - 5) Electrical isolation: Fibers made of glass (insulator) enable them to be attractive in hazardous environments as it creates no arcing or sparking.
 - 6) Signal security: Opaque jacketing around fiber make optical signal well confined in waveguide. Can be used in banking, Computer networks and military systems.
 - 7) Abundant raw material: Silica is abundant and inexpensive.

