

# Distributed Operating Systems - 25 Complete 10-Mark Answers

## UNIT I: FOUNDATIONS OF DISTRIBUTED SYSTEMS

### Q1: Architectures of Distributed Systems & System Architecture Types

**Answer:**

**1. Introduction to Distributed System Architecture (2 marks)** A distributed system architecture defines how independent computers are organized and communicate to work as a unified system. It represents the structural design pattern that determines component interaction, resource sharing, and activity coordination. Three main architectural paradigms exist based on organizational structure.

**2. Client-Server Architecture (3 marks) - Definition:** Centralized model where multiple clients request services from servers - **How it works:** Client initiates request → server processes → sends response - **Real example:** Gmail (browser requests emails from Google's servers) - **Advantages:** Easy to manage, centralized security, simple deployment - **Disadvantages:** Single point of failure, server bottleneck, expensive server resources

**3. Peer-to-Peer (P2P) Architecture (2 marks) - Definition:** All computers (peers) are equal, no central server - **Key features:** Decentralized control, direct peer communication, no hierarchy - **Real examples:** BitTorrent, Blockchain networks, Skype - **Advantages:** Highly scalable, fault-tolerant, load distributed - **Disadvantages:** Complex management, security harder, consistency issues

**4. Multi-tier (N-tier) Architecture (2 marks) - Definition:** System divided into logical layers (Presentation, Application, Data) - **Layers:** - Presentation: User interface - Application: Business logic - Data: Database storage - **Example:** E-commerce (product page → discount calculation → database storage) - **Advantages:** Clean separation, easier maintenance, independent scalability - **Disadvantages:** Complex communication, potential bottlenecks, increased latency

**5. Comparison: Centralized vs Decentralized vs Hybrid (1 mark)** |  
Aspect | Centralized | Decentralized | Hybrid | |——|———|———|———|  
——| | Control | One authority | All equal | Multiple centers | | Failure | Total  
risk | Graceful degradation | Partial impact | | Coordination | Easy | Complex  
| Moderate | | Example | Client-Server | P2P | DNS |

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## **Q2: Challenges in Distributed Systems & Design Issues**

**Answer:**

**1. Introduction (1 mark)** Distributed systems solve scalability problems but introduce new challenges: heterogeneity, transparency, concurrency, network failures, and security concerns.

### **2. Major Design Challenges (3 marks)**

**A. Heterogeneity Challenge** - Different computers, OS, networks, programming languages - Solution: Middleware to abstract differences - Example: Java RMI enables different systems to call each other

**B. Transparency (Hiding Distribution)** - Location Transparency: Users don't know resource location ("/home/file.pdf" not "/Server5/...") - Access Transparency: Remote accessed like local - Failure Transparency: System continues despite failures - Concurrency Transparency: Users unaware of concurrent processes

**C. Concurrency Challenge** - Multiple processes accessing shared resources - Problems: Race conditions, deadlocks, data corruption - Solution: Proper synchronization mechanisms

### **3. Network & Reliability Issues (2 marks)**

**A. Unreliable Networks** - Messages can be: lost, delayed, corrupted, duplicated, reordered - Example: "transfer \$100" arrives twice = money transferred twice - Solution: Duplicate detection, acknowledgments

**B. Partial Failures** - Some components fail while others work - Hard to detect: Is server down or slow? Message lost or delayed? - Byzantine Failure: Components behave unpredictably

### **4. Consistency & State Management (2 marks)**

**A. Consistency Problem** - Scenario: Database replicated on 3 servers, Client writes to Server A, Client 2 reads from Server B - Solutions: - Strong Consistency: All servers updated before returning (slow) - Eventual Consistency: Servers eventually match (fast) - Session Consistency: Consistent within session

**B. Clock Synchronization** - Each computer has own clock running at different speeds - Need to know which event happened first - Solution: Lamport timestamps

### **5. Security & Scalability (2 marks)**

**A. Security Issues** - Authentication: Verify identity across network - Authorization: Who can access what? - Encryption: Keep data private in transit - Network attacks: Eavesdropping, man-in-the-middle, DoS

**B. Scalability Challenge** - Horizontal scaling: Add more computers (preferred) - Vertical scaling: Add more power to existing (limited) - Communication overhead increases with size - Coordination becomes harder

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### Q3: Communication Models in Distributed Systems

**Answer:**

**1. Introduction (1 mark)** Communication models define how processes exchange information in distributed systems. Fundamental choice between synchronous and asynchronous models impacts system design.

**2. Synchronous Communication Model (2.5 marks) - Definition:** Blocking communication where sender waits for receiver - **Characteristics:** - Sender blocks until message acknowledged - Receiver blocks until message arrives - Guaranteed delivery awareness - **Advantages:** Simple, no buffering needed, deadlock prevention easier - **Disadvantages:** Slow, processes can't do other work, potential for deadlocks - **Example:** Calling a friend (waiting for response)

**3. Asynchronous Communication Model (2.5 marks) - Definition:** Non-blocking where sender continues after sending - **Characteristics:** - Sender sends and continues immediately - Receiver checks for messages when ready - Message buffering required - **Advantages:** Fast, parallel execution, better performance - **Disadvantages:** Complex buffering, sender unaware of delivery, out-of-order issues - **Example:** Email (don't wait for read receipt)

**4. Remote Procedure Call (RPC) (2 marks) - Goal:** Make remote call look like local function call - **Steps:** 1. Client calls local stub function 2. Stub serializes parameters 3. Stub sends to server 4. Server deserializes and executes 5. Server sends result back 6. Client stub deserializes and returns - **Advantages:** Transparent, familiar to programmers - **Disadvantages:** Network failures cause errors, can't handle certain parameter types

**5. Direct Message Passing (1.5 marks) - Explicit send/receive operations** - Process A sends to specific process - Receiver retrieves from queue - More control than RPC - Better for distributed algorithms

**6. Comparison (0.5 marks)** RPC: Higher abstraction, easier programming  
Message Passing: Lower abstraction, more control, explicit synchronization

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### Q4: Lamport's Logical Clocks, Vector Clocks & Causal Ordering

**Answer:**

**1. Problem Statement (1 mark)** In distributed systems with unsynchronized physical clocks, determining event order is impossible. We need logical time based on events, not wall-clock.

## 2. Lamport's Logical Clocks (2.5 marks)

**Core Idea:** Count events instead of using wall-clock time.

**Algorithm:** - Each process maintains counter L - On local event:  $L = L + 1$   
- Before sending: Attach L to message - On receiving message with timestamp T:  $L = \max(L, T) + 1$

**Example with 3 processes:**

Process A:  $L=0 \rightarrow \text{event} \rightarrow L=1 \rightarrow \text{event} \rightarrow L=2 \rightarrow \text{send}(ts=2)$   
Process B:  $L=0 \rightarrow \text{event} \rightarrow L=1 \rightarrow \text{receive}(ts=2) \rightarrow L=\max(1,2)+1=3$   
Process C:  $L=0 \rightarrow \text{event} \rightarrow L=1 \rightarrow \text{event} \rightarrow L=2 \rightarrow \text{receive}(ts=2) \rightarrow L=3$

**Property:** If  $\text{Lamport}(X) < \text{Lamport}(Y)$ , then X happened-before Y

**Limitation:** Doesn't capture all causal relationships

## 3. Vector Clocks (2.5 marks)

**Concept:** Use array instead of single number

**Algorithm:** - For N processes, maintain vector of N numbers - On local event:  $V[i] = V[i] + 1$  - Before sending: Attach entire vector - On receiving T:  $V[j] = \max(V[j], T[j])$  for all j, then  $V[i]++$

**Example:**

Process A:  $V\_A = [1,0] \rightarrow \text{local} \rightarrow [2,0] \rightarrow \text{send} \rightarrow \text{receive } [2,1] \rightarrow [2,2]$   
Process B:  $V\_B = [0,1] \rightarrow \text{receive } [2,0] \rightarrow [\max(0,2), \max(1,0)] \rightarrow [2,1] \rightarrow [2,2]$

**Ordering Rule:**  $V\_A < V\_B$  if  $V\_A[i] < V\_B[i]$  for all i AND at least one strictly  $<$  -  $[2,0] < [2,1]$  ? YES -  $[2,1] < [1,2]$  ? NO (not comparable, concurrent)

## 4. Causal Ordering (2.5 marks)

**Definition:** X causally precedes Y if: - X happens before Y at same process, OR - X sends message received by Y, OR - Chain:  $X \rightarrow \text{intermediate} \rightarrow Y$

**Example:**

Alice: "Let's meet at coffee"  $\rightarrow$  [message]  
 $\downarrow$   
Bob: [receives] "Okay, 3 PM"  $\rightarrow$  [message]  
 $\downarrow$   
Charlie: [receives] "I'll come 3 PM"

**Causal Ordering Guarantee:** Messages delivered respecting causality - If Bob's message causally depends on Alice's, Charlie must receive Alice's first - Vector clocks ensure this

**5. Applications (1.5 marks)** - Distributed databases: Ensure consistent state  
- Message passing systems: Maintain message order - Event logging: Reconstruct system history - Distributed debugging: Understand event sequences

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## Q5: Interprocess Communication & Message Passing

**Answer:**

**1. Introduction (1 mark)** IPC is the mechanism allowing processes to exchange data and coordinate actions. Distributed systems need explicit message passing because processes have separate memory spaces.

### 2. Message Passing Model (2 marks)

**Basic Concept:** Processes exchange data by sending/receiving messages through network

**Two Fundamental Modes:**

**Synchronous Communication:** - Sender blocks until message received - Receiver blocks until message arrives - Advantages: Simple, guaranteed delivery awareness - Disadvantages: Slow, processes can't do other work

**Asynchronous Communication:** - Sender sends and continues - Receiver checks for messages when ready - Advantages: Fast, parallel execution - Disadvantages: Complex buffering, out-of-order issues

### 3. Remote Procedure Call (RPC) (2 marks)

**Goal:** Make remote call look like local function call

**Steps:** 1. Client calls local stub function 2. Stub serializes parameters 3. Stub sends message to server 4. Server deserializes and calls actual function 5. Function executes and returns result 6. Server sends result back to client 7. Client stub deserializes and returns to caller

**Advantages:** Transparent, familiar programming model **Disadvantages:** Network failures cause errors, can't handle open files or functions

### 4. Message Buffering & Ordering (2 marks)

**Buffering Strategies:** - Unbuffered: Messages in OS buffer, limited size - Buffered (Queue): Messages stored in queue on receiver

**Out-of-Order Problem:**

A sends: MSG1 "Create account John"  
MSG2 "Deposit \$1000"

Network delays MSG1, MSG2 arrives first

B receives:

1. "Deposit \$1000" ERROR! Account doesn't exist
2. "Create account" Too late!

**Solution:** Ordered delivery or application-level handling

### 5. Reliability in Message Passing (2 marks)

**Problems:** - Message Loss: Network drops packets - Duplication: Message arrives twice - Corruption: Bits changed in transit - Delays: Unpredictable latency

**Solutions:**

**Acknowledgments:** - Receiver confirms message received - Sender retransmits if no ACK within timeout

**Checksums:** - Detect corrupted messages - Discard bad ones

**Sequence Numbers:** - Detect duplicates - Process each message only once

### 6. Message Passing vs Shared Memory (1 mark)

| Aspect          | Message Passing          | Shared Memory       |
|-----------------|--------------------------|---------------------|
| Distribution    | Natural for networks     | Hard to distribute  |
| Synchronization | Explicit                 | Implicit            |
| Performance     | Network latency overhead | Fast (local memory) |
| Debugging       | Complex                  | Easier              |

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## UNIT II: MUTUAL EXCLUSION

### Q6: Lamport's Mutual Exclusion Algorithm

**Answer:**

**1. Problem Statement (1 mark)** Multiple processes need to access shared resources. Only ONE process should access critical section at a time. Need distributed algorithm without central coordinator.

#### 2. Algorithm Overview (2 marks)

**Data Structures:** - Logical clock (Lamport timestamp) - Request queue (ordered by timestamp) - Message channels to all processes

**Three Message Types:** - REQUEST(ts, pid): "I want to enter critical section"  
- REPLY(ts, pid): "Request received, go ahead when ready" - RELEASE(ts, pid): "I'm done, leaving critical section"

#### 3. Detailed Algorithm (3 marks)

**When process P wants critical section:** 1. Increment logical clock 2. Add request <ts, ProcessID> to own queue 3. Send REQUEST to ALL other processes 4. WAIT until: - Own request at HEAD of queue - Have REPLY from

ALL other processes 5. Enter Critical Section 6. Do critical task 7. Remove own request from queue 8. Send RELEASE to all

**When P receives REQUEST from Q:** 1. Update clock:  $LC = \max(LC, \text{request\_ts}) + 1$  2. Add Q's request to queue (ordered by timestamp) 3. Immediately send REPLY to Q

**When P receives RELEASE from Q:** 1. Remove Q's request from queue 2. Check if own request now at head

#### 4. Example with 3 Processes (2 marks)

Process A (ts=1, wants CS):

- Adds <1,A> to queue
- Sends REQUEST to B, C

Process B (receives REQUEST <1,A>):

- Updates clock, adds to queue: [<1,A>]
- Sends REPLY to A

Process C (receives REQUEST <1,A>):

- Updates clock, adds to queue: [<1,A>]
- Sends REPLY to A

Process A:

- Receives REPLY from B and C
- Queue: [<1,A>] (own request at head)
- Has all REPLYs → ENTERS CS

Process B (ts=2, wants CS):

- Sends REQUEST <2,B>
- Queue: [<1,A>, <2,B>]
- WAITS (A's request still at head)

Process A (done):

- Sends RELEASE
- Removes own request

Process B (receives RELEASE):

- Queue: [<2,B>]
- Own request at head
- ENTERS CS

#### 5. Why This Works (1 mark)

**Properties:** - **Mutual Exclusion:** Only process with smallest timestamp can enter - **No Starvation:** FIFO order ensures fairness - **Deadlock-free:** No waiting for resources, only timestamps and replies

## 6. Message Complexity & Limitations (1 mark)

**Message Count:**  $3(N-1)$  per critical section entry - REQUEST:  $N-1$  messages  
- REPLY:  $N-1$  messages - RELEASE:  $N-1$  messages - For 100 processes: 29,700 messages/second!

**Limitations:** - Failure vulnerable: Process crash blocks others waiting for REPLY - Not suitable for dynamic systems: Processes joining/leaving complex  
- Asynchronous overhead: Must wait for synchronous replies

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## Q7: Ricart-Agrawala Algorithm vs Lamport's Algorithm

**Answer:**

**1. Overview (1 mark)** Both algorithms solve mutual exclusion in distributed systems without central coordinator. Ricart-Agrawala improves on Lamport by reducing message count.

### 2. Ricart-Agrawala Algorithm (2.5 marks)

**Key Improvement:** Combine REQUEST and REPLY logic

**Concept:** - When process receives REQUEST, immediately REPLY (not defer)  
- Wait until have REPLY from all before entering - On RELEASE, process waiting REQUEST with highest priority can enter

**Algorithm:** 1. Process wanting CS: LC++, send REQUEST to all 2. Receiver of REQUEST: - If not in CS and not wanting CS: send REPLY immediately  
- Else: defer reply (add to deferred queue) 3. Process enters CS when: - Has REPLY from all others - Own request at head of queue 4. On RELEASE: process all deferred REPLYs

**Advantages:** - Fewer messages:  $2(N-1)$  vs  $3(N-1)$  in Lamport - No explicit RELEASE message needed

### 3. Comparison: Ricart-Agrawala vs Lamport (2.5 marks)

| Aspect           | Lamport        | Ricart-Agrawala    |
|------------------|----------------|--------------------|
| Message Count    | $3(N-1)$       | $2(N-1)$           |
| Request Queue    | Yes            | Yes                |
| Immediate REPLY  | No (may defer) | Yes (if not in CS) |
| Deferred Replies | No             | Yes                |
| Complexity       | Lower          | Slightly higher    |
| Performance      | Moderate       | Better             |
| Failure Recovery | Complex        | Complex            |

### 4. Example Comparison (2 marks)



**Lamport (3 messages):** - A sends REQUEST - B sends REPLY - C sends REPLY - A RELEASES - Total: 3 messages for A to enter CS

**Ricart-Agrawala (2 messages):** - A sends REQUEST - B sends REPLY (immediate, not waiting) - C sends REPLY (immediate, not waiting) - A automatically handles deferred cases - Total: 2 messages for A to enter CS

### 5. Practical Considerations (1.5 marks)

**When to use Lamport:** - Simpler implementation - Explicit control over deferral

**When to use Ricart-Agrawala:** - Lower message overhead critical - Dynamic systems where traffic matters - Network-constrained environments

Both algorithms: - Don't handle process failures well - Not practical for large-scale systems - Theoretical importance for understanding distributed coordination

**6. Modern Alternatives (0.5 marks)** - Token-based algorithms: Lower latency - Voting-based: Better fault tolerance - Quorum-based: Scalable approach

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## Q8: Distributed Mutual Exclusion Using Token Ring

**Answer:**

**1. Introduction (1 mark)** Token ring algorithm uses a logical token passed among processes in a ring. Only token holder can enter critical section, reducing message overhead compared to voting algorithms.

### 2. System Model (1.5 marks)

**Structure:** - Processes arranged logically in a ring - Token circulates among processes - Only process holding token can enter CS - Token moves in specific order (e.g.,  $P0 \rightarrow P1 \rightarrow P2 \rightarrow \dots \rightarrow PN \rightarrow P0$ )

**Advantages:** - Fair: Every process eventually gets token - Deterministic: No competition or starvation - Low message overhead: Only token passing messages

### 3. Algorithm Details (2 marks)

**When process wants CS:** 1. Wait for token to arrive 2. Check if process has token 3. If yes, enter CS 4. Do critical task 5. Pass token to next process 6. If no token arrives, wait

**Token Movement:**

Initial: Token at P0

P0 (has token):  
Enters CS

```

    Executes critical task
    Passes token to P1

P1 (receives token):
    Enters CS
    Executes critical task
    Passes token to P2

P2 (receives token):
    Enters CS
    Executes critical task
    Passes token to P3

```

#### 4. Performance Analysis (2 marks)

**Advantages:** - Message Complexity:  $O(N)$  (only  $N$  messages per round) - Fair scheduling: Round-robin fairness - Starvation-free: Every process eventually gets token - Simple implementation: No complex distributed algorithms

**Disadvantages:** - If token lost: System deadlock - Time to CS unpredictable: May wait for  $N-1$  processes - Overhead when system idle: Token still circulates - Process failure: Token handling complex

**Example Metrics:** -  $N=10$  processes - Time to CS: 0 to 9 token passes - Average wait: 5 token passes

#### 5. Recovery Mechanism (1.5 marks)

**Token Loss Detection:** - Monitor token timeout - If no token received within time  $T$ : token lost - Regenerate token through election algorithm

**Process Failure Handling:** - Neighbor detection: Check if neighbor alive - Token regeneration: Elect new token holder - State recovery: Difficult in asynchronous systems

#### 6. Comparison with Other Algorithms (1 mark)

| Algorithm       | Messages | Fairness            | Recovery             |
|-----------------|----------|---------------------|----------------------|
| Lamport         | $3(N-1)$ | Yes                 | Hard                 |
| Ricart-Agrawala | $2(N-1)$ | Yes                 | Hard                 |
| Token Ring      | $N$      | Fair RR             | Hard                 |
| Centralized     | 3        | Possible unfairness | Single point failure |

#### Q9: Centralized Mutual Exclusion Algorithm

**Answer:**

**1. Overview (1 mark)** Simplest approach: Designate one process as coordinator who grants permission to enter critical section.

**2. System Model (1.5 marks)**

**Coordinator Role:** - Maintains queue of requests - Grants permission to one process at a time - Tracks who's in CS

**Process Roles:** - Sends REQUEST to coordinator - Waits for REPLY (permission) - Enters CS - Sends RELEASE to coordinator - Coordinator grants next

**3. Algorithm Details (2 marks)**

**When process P wants CS:** 1. Send REQUEST to coordinator 2. Wait for REPLY from coordinator 3. Enter critical section 4. Execute critical task 5. Send RELEASE to coordinator

**Coordinator Logic:** 1. Receive REQUEST from P 2. If CS free: Send REPLY immediately 3. Else: Add P to queue, defer REPLY 4. Receive RELEASE from P holding CS 5. Remove P from CS 6. If queue not empty: Send REPLY to first in queue

**Queue Management:**

Queue: [P2, P3, P1]

P2 in CS currently

P3 next

P1 third

When P2 releases:

Remove P2 from CS

Send REPLY to P3

P3 can now enter CS

Queue becomes [P1]

**4. Message Complexity (1.5 marks)**

**Per CS Entry:** 3 messages 1. REQUEST: Process to coordinator 2. REPLY: Coordinator to process 3. RELEASE: Process to coordinator

**Total:** 3 messages (very efficient!)

**Comparison:** - Lamport:  $3(N-1) = 9$  messages ( $N=4$ ) - Centralized: 3 messages ( $N=4$ ) - Improvement: 67% reduction!

**5. Advantages & Disadvantages (2 marks)**

**Advantages:** - Very simple to implement - Minimal message overhead - Fair queuing: FIFO order - No starvation: Requests served in order - Easy to understand and verify

**Disadvantages:** - Single point of failure: Coordinator crashes = system down - Not suitable for large systems: Bottleneck at coordinator - Not distributed: Defeats purpose of distributed system - Coordinator failure hard to detect: Sender doesn't know if REPLY lost or coordinator dead - Loss of transparency: Coordinator location must be known

## 6. Failure Scenarios (1 mark)

**Scenario 1: Coordinator Crashes** - Processes waiting for REPLY stuck - Impossible to recover without new coordinator - Need election algorithm

**Scenario 2: RELEASE message lost** - Coordinator thinks CS still in use - Next requestor never gets permission - Deadlock

**Scenario 3: Coordinator becomes bottleneck** - 1000 processes requesting CS - Coordinator receives 1000 REQUESTs/sec - Can't handle throughput - System slows down

**7. Comparison with Distributed Algorithms (0.5 marks)** Centralized: Simplicity vs reliability trade-off. Good for small systems, bad for large distributed systems.

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## Q10: Dining Philosophers Problem in Distributed Context

**Answer:**

### 1. Problem Statement (1.5 marks)

**Scenario:** - N philosophers sit around circular table - Between each pair: one chopstick (N total chopsticks) - Philosopher needs 2 chopsticks to eat - Eat → Think → repeat

**Challenge:** Design algorithm so all can eat infinitely often without deadlock

**Original Problem vs Distributed Version:** - Original: Shared memory on single machine - Distributed: Each philosopher on different node, chopsticks distributed

### 2. Deadlock Scenario (1.5 marks)

**How Deadlock Occurs:**

P0 picks left chopstick (0)

P1 picks left chopstick (1)

P2 picks left chopstick (2)

P3 picks left chopstick (3)

...

All wait for right chopstick (circular dependency)

DEADLOCK! No one can eat!

**Conditions Met:** 1. Mutual Exclusion: Each chopstick used by one 2. Hold and Wait: Holding left, waiting for right 3. No Preemption: Can't take chopstick 4. Circular Wait:  $P_0 \rightarrow P_1 \rightarrow P_2 \rightarrow \dots \rightarrow P_0$

### 3. Solution 1: Asymmetric Approach (1.5 marks)

**Rule:** - Odd philosopher: Pick left first, then right - Even philosopher: Pick right first, then left

**Algorithm:**

```
if (philosopher_id is odd) {
    pick_left_chopstick();
    pick_right_chopstick();
} else {
    pick_right_chopstick();
    pick_left_chopstick();
}
eat();
put_left_chopstick();
put_right_chopstick();
```

**Why it works:** - Breaks circular dependency - At least one philosopher can always get both chopsticks - No deadlock possible

### 4. Solution 2: Centralized Monitor (1.5 marks)

**Approach:** - Centralized coordinator maintains state - Philosopher requests both chopsticks together - Coordinator grants only if both available

**Algorithm:**

```
REQUEST both chopsticks from coordinator
Wait for permission
If granted:
    eat()
    Release both chopsticks to coordinator
Else:
    Wait in queue
    Retry when notified
```

**Advantages:** Simple, no deadlock **Disadvantages:** Centralized (coordinator bottleneck, single point failure)

### 5. Solution 3: Distributed Token-Based (1.5 marks)

**Approach:** - Each chopstick is a token - Philosopher requests tokens from neighbors - Token passed only if neighbor not eating

**Algorithm:**

```
For each needed chopstick:
    Send REQUEST to neighbor holding token
```

Wait for token reply  
 Once have both tokens:  
 Enter CS (eat)  
 Release both tokens  
 Send to neighbors

**Message Complexity:**  $O(N)$  requests, responses in distributed manner

#### 6. Solution 4: Resource Hierarchy (1 mark)

**Rule:** Total order on chopsticks

Chopsticks numbered 0 to  $N-1$   
 Philosopher always picks lower-numbered first  
 Then higher-numbered

P0: Pick 0, then 1  
 P1: Pick 1, then 2  
 P2: Pick 2, then 3  
 ...  
 PN: Pick  $N$ , then 0 (wrap around with ordering)

Actually: Pick  $\min(i, (i+1)\%N)$ , then  $\max(i, (i+1)\%N)$

**Why works:** Prevents circular dependency

#### 7. Comparison of Solutions (1 mark)

| Solution    | Deadlock-free | Starvation | Efficiency | Complexity |
|-------------|---------------|------------|------------|------------|
| Asymmetric  | Yes           | Possible   | Fair       | Low        |
| Centralized | Yes           | No         | Poor       | Very Low   |
| Token-based | Yes           | No         | Fair       | Medium     |
| Hierarchy   | Yes           | Possible   | Good       | Low        |

**Best for distributed:** Token-based (fair, no single point failure)

### UNIT III: DEADLOCK DETECTION

#### Q11: Deadlock Detection Algorithms

**Answer:**

##### 1. Definition & Conditions (1.5 marks)

**Deadlock:** State where processes can't proceed because each waits for resources held by others

**Necessary Conditions (Coffman):** 1. Mutual Exclusion: One process at a time 2. Hold and Wait: Holding while waiting 3. No Preemption: Can't forcibly take 4. Circular Wait: Cyclic resource request

**Break any one = no deadlock**

## **2. Wait-For Graph Construction (2 marks)**

**Building the Graph:** - Nodes: Processes - Edges:  $P1 \rightarrow P2$  means  $P1$  waits for resource held by  $P2$

**Example:**

$P1$  waits for resource held by  $P2$   
 $P2$  waits for resource held by  $P3$   
 $P3$  waits for resource held by  $P1$

Graph:

$P1 \rightarrow P2$   
 $P2 \rightarrow P3$   
 $P3 \rightarrow P1$

CYCLE DETECTED = DEADLOCK!

**Cycle Detection Algorithm:** 1. Build wait-for graph 2. Detect cycle using DFS/BFS 3. If cycle exists: Deadlock detected

## **3. Detection Algorithm Details (2 marks)**

**Algorithm:**

1. Build wait-for graph from resource allocation state
2. Detect all cycles in graph
3. All processes in any cycle are deadlocked
4. On detection: Declare deadlock, take recovery action

**Complexity:** - Time:  $O(N + E)$  where  $N$ =processes,  $E$ =edges - Space:  $O(N^2)$  for graph representation

**Implementation:**

Create adjacency matrix for wait-for graph

For each process waiting for another:

    Add edge to matrix

Perform DFS from each node:

    If return to same node: CYCLE DETECTED

## **4. Distributed Cycle Detection (2 marks)**

**Challenge:** No global view of wait-for graph in distributed systems

**Approach 1: Centralized Detection** - Collect wait-for info at central node - Build global graph - Detect cycles - Limitation: Central node bottleneck, single

point failure

**Approach 2: Distributed Detection** - Use token circulation to detect cycles  
- Each process passes token through system - Token creates virtual edges - If token returns: Cycle exists

**Phantom Deadlock Problem:** - Network delays create false deadlocks - Message delayed makes system appear deadlocked - Message arrives later, deadlock resolves - Hard to distinguish real vs phantom

## 5. Recovery Mechanisms (1.5 marks)

**Once Deadlock Detected:**

**Strategy 1: Victim Selection** - Choose process to kill (victim) - Selection criteria: - Lowest priority process - Process used least resources - Process with least execution time - Kill victim process

**Strategy 2: Rollback & Restart** - Victim rolled back to checkpoint - Resources released - Other processes can now proceed - Victim restarted later

**Strategy 3: Resource Preemption** - Forcibly take resources from some process - Give to deadlocked process - Complex in distributed systems

## 6. Disadvantages & Alternatives (1.5 marks)

**Disadvantages:** - Building global graph hard in distributed systems - Phantom deadlocks: False positives - Recovery costly: Loss of work by victim - No prevention: Allows bad states - Detection delay: Deadlock persists until detected

**Better Alternatives:** - Prevention: Ensure deadlock can't occur - Avoidance: Don't enter unsafe states (Banker's algorithm) - Ignore deadlocks: Accept and handle at application level

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## Q12: Deadlock Prevention & Avoidance

**Answer:**

**1. Overview (1 mark)** Prevention makes deadlock impossible by breaking one Coffman condition. Avoidance predicts unsafe states before they happen.

### 2. Deadlock Prevention (3 marks)

**Strategy 1: Break Mutual Exclusion** - Make resources sharable (if possible)  
- Problem: Many resources can't be shared (locks, devices) - Rarely applicable

**Strategy 2: Break Hold and Wait** - Require all resources before starting  
- Request all upfront or request one at a time, release before requesting next - Problem: Inefficient resource usage, processes hold resources unnecessarily



**Strategy 3: Break No Preemption** - Allow forcibly taking resources - If process needs resource held by another, preempt and take it - Problem: Difficult to implement, context loss, rollback needed

**Strategy 4: Break Circular Wait (BEST!)** - Impose ordering on resources  
- All processes must request in same order - Example: Always request A before B

**Implementation:**

No Ordering (Deadlock possible):

P1: Lock(A) → Lock(B)

P2: Lock(B) → Lock(A)

Deadlock!

With Ordering (No Deadlock):

P1: Lock(A) → Lock(B)

P2: Lock(A) → Lock(B)

No way to form cycle!

**Problem:** Application might naturally need different order

**3. Deadlock Avoidance (2 marks)**

**Concept:** Detect unsafe states before they happen, avoid transitions to unsafe states

**Banker's Algorithm Example:**

Resources Available: 10 units

Process 1: Max needed = 5, Has = 3, Still needs = 2

Process 2: Max needed = 8, Has = 6, Still needs = 2

Question: Can we safely give 2 to Process 1?

Check:

If Process 1 gets 2: Uses 5 total, 5 free left

Process 1 finishes: Releases 5, now 10 total free

Process 2 can now get its 2 more

Result: SAFE to grant

**Algorithm:** 1. Simulate request granting 2. Check if system remains in safe state 3. Safe = All processes can eventually complete 4. If safe: Grant request 5. If unsafe: Deny request, wait

**Advantages:** - Allows more concurrency than prevention - Prevents deadlock like prevention

**Disadvantages:** - Need to know max demands upfront (hard in distributed)  
- Overhead: Must simulate for each request - Overly conservative: Denies safe

requests sometimes

#### 4. Comparison: Prevention vs Avoidance (2 marks)

| Aspect          | Prevention          | Avoidance                       |
|-----------------|---------------------|---------------------------------|
| Approach        | Break condition     | Detect unsafe state             |
| Max Info Needed | No                  | Yes (max demands)               |
| Overhead        | Low (ordering only) | High (simulate each)            |
| Concurrency     | Low (restrictions)  | Medium (better than prevention) |
| Implementation  | Simple              | Complex                         |
| Practical Use   | Limited             | Limited in distributed          |

#### 5. Practical Considerations (1.5 marks)

**Prevention used when:** - Resource ordering naturally exists - Low concurrency acceptable - Simple implementation important

**Avoidance used when:** - Need higher concurrency - Max demands predictable - Overhead acceptable

**In Distributed Systems:** - Prevention preferred: Simple - Avoidance hard: Don't know global max demands - Most systems allow deadlocks, handle at app level

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## UNIT IV: FILE SYSTEMS

### Q13: Distributed File Systems Architecture

**Answer:**

#### 1. Introduction & Motivation (1.5 marks)

**Problem:** Users want files accessible from anywhere, any device

**Solution:** Distributed File System (DFS)

**Goals:** - Files appear local despite being remote - Transparency: Users unaware of distribution - Reliability: Survive node failures - Performance: Efficient access despite network

#### 2. DFS Architecture Components (2 marks)

**Clients:** - Run on user machines - Issue file requests - Cache frequently accessed files - Have local filesystem interface

**Servers:** - Store actual file data - Handle concurrent requests - Manage meta-data (permissions, ownership) - Provide consistency guarantees

**Network:** - Interconnects clients and servers - RPC-based communication - Reliability through retries

**Naming Service:** - Maps file names to server locations - Maintains directory hierarchy - Provides transparency

**Storage:** - Disks on servers - Replicated for fault tolerance

### 3. Naming & Transparency (2 marks)

**Location Transparency:** - Users see: `/home/alice/file.pdf` - Actually stored on: Server 5, Block 2340 - Users don't need to know location

**Benefits:** - Files can move between servers - User code unchanged - Easy load balancing - Hide server details

**Implementation:** - Naming service maintains mapping - On file open: Query naming service - Get server location - Contact appropriate server

### 4. Caching Strategies (2 marks)

**Write-Through:** - Client writes → immediately updates server - Advantages: Consistent - Disadvantages: Every write network latency

**Write-Back:** - Client writes → cache locally - Later: Flush to server - Advantages: Fast - Disadvantages: Data loss risk on crash

**Delayed-Write (Popular):** - Write at file close - Advantages: Balanced (good performance + reasonable consistency) - Used in: NFS, many systems

**Cache Invalidation:** - Server notifies on changes - AFS: Callback mechanism - NFS: Timeout-based

### 5. Consistency Models (1.5 marks)

**Strict Consistency:** - All see latest write immediately - Expensive: Requires sync updates

**Session Consistency:** - Within session consistent - Between sessions may be stale - Popular: Practical compromise

**Eventual Consistency:** - Eventually everyone sees same data - Temporarily inconsistent OK - Used in: Cloud systems (Google Drive, OneDrive) - Cheap, scalable

### 6. Real Examples (0.5 marks)

**NFS (Network File System):** - Simple client-server - Stateless servers - Client caching - Session consistency

**AFS (Andrew File System):** - Whole-file caching - Callbacks for consistency - Strong consistency

## Q14: Caching in Distributed File Systems

**Answer:**

**1. Motivation for Caching (1 mark)** Direct server access too slow. Caching at client provides local access speed, reduces network traffic.

### 2. Caching Strategies (2.5 marks)

**Block-Level Caching:** - Cache individual blocks (4KB pages) - Advantages: Fine-grained, less redundant - Disadvantages: Complex, more network trips for small changes

**File-Level Caching:** - Cache entire files - AFS approach - Advantages: Simple, atomic operations - Disadvantages: Wastes space for large files

**Directory Caching:** - Cache directory entries - Reduces metadata lookups - Critical for performance

### 3. Consistency Issues (2.5 marks)

**Problem:** Multiple clients caching same file, one modifies

**Close-to-Open Consistency:** - Write becomes visible after file close - Read sees last visible version - Practical and efficient

**Callback Mechanism:** - Server notifies on file change - Client invalidates cache - AFS uses this

**Timestamp-Based:** - Check timestamp on server - Invalidate if changed - NFS approach

### 4. Update Propagation (2 marks)

**Write-Through:** Update visible immediately (expensive)

**Write-Behind (Delayed):** - Update local cache - Flush on close or timeout - Risk: Crash loses updates

**Batch Updates:** - Collect multiple writes - Flush together - Reduces network traffic

### 5. Advantages & Disadvantages (1.5 marks)

**Advantages:** - Dramatic speed improvement - Reduced network traffic - Better user experience

**Disadvantages:** - Cache coherence complexity - Stale data risk - Crash safety issues - Invalidation overhead

**6. Implementation Considerations (0.5 marks)** - Cache size management - Eviction policies (LRU) - Consistency level trade-offs

## Q15: Replication in Distributed File Systems

**Answer:**

**1. Why Replication? (1 mark)** Single copy = data loss if server fails.  
Multiple copies = redundancy and availability.

### 2. Replication Strategies (2.5 marks)

**Primary Copy (Master-Slave):** - One primary server handles all writes - Backup replicas read-only - On primary failure: Promote backup

**Quorum-Based:** - Write to majority (quorum) of replicas - Read from any replica - Ensures consistency

**Full Replication:** - Write to all replicas - Most consistent but slowest

**Consistency Guarantees:** - Replica must become consistent - Time varies by strategy

### 3. Data Consistency (2 marks)

**Strong Consistency:** - All replicas updated before returning - Guarantees reads see latest - Slow: Multiple network round-trips

**Eventual Consistency:** - Replicas eventually match - Temporarily inconsistent - Fast: Can return immediately

**Causal Consistency:** - Related updates respect causal order - Middle ground

### 4. Failure Scenarios (1.5 marks)

**Server Failure:** - Other replicas continue serving - Reads: Go to available replica - Writes: Go to quorum of available

**Network Partition:** - Replicas split into groups - CAP theorem: Choose 2 of 3 (Consistency, Availability, Partition tolerance)

**Data Divergence:** - Different replicas have different versions - Need resolution mechanism - Often: Last-write-wins or application-specific

### 5. Replication Overhead (1.5 marks)

**Advantages:** - High availability - Better performance (read from nearest) - Fault tolerance

**Disadvantages:** - Storage overhead - Consistency complexity - Network traffic for updates - Slower writes (update all/quorum)

**6. Real Examples (0.5 marks)** - Google Bigtable: Eventual consistency with quorum writes - Amazon S3: Replication across regions

## UNIT V: LOAD DISTRIBUTION & DSM

### Q16: Distributed Shared Memory (DSM)

**Answer:**

**1. Introduction (1 mark)** DSM allows programmers to write parallel programs as if on single multiprocessor, but actually runs on networked computers. Hides distribution.

#### **2. System Models (2 marks)**

**Page-Based DSM:** - Shared memory divided into pages (4KB) - Each page on different node - Page fault → fetch from remote - Most common approach

**Object-Based DSM:** - Share objects instead of raw pages - More semantic information - Better for OOP (Java, C++)

**Variable-Based DSM:** - Fine-grained sharing at variable level - Overhead usually prohibitive

#### **3. Coherence Protocols (2 marks)**

**Write-Invalidate:** - Writer invalidates copies at others - Others refetch on next access - Good when: Few writers, read-heavy - Bad when: Multiple writers to same data

**Write-Update:** - Writer broadcasts new value to all - Copies updated automatically - Good when: Frequent shared writes - Bad when: Network overhead

**Implementation:**

```
Process 1: [Page cached]
           Process 2: Write to page
           ↓
           [Invalidate message to Process 1]
Process 1: [Cache invalid]
           [Next access: Page fault]
           [Fetch latest from Process 2]
```

#### **4. Design Issues (2 marks)**

**Granularity Problem:** - Large pages (1MB): Few faults but false sharing - Small pages (4KB): More faults but less false sharing - Trade-off: Typical = 4KB

**False Sharing:** - Two different variables on same page - One written → whole page invalidated - Other variable needs unnecessary refetch

**Thrashing:** - Page constantly moving between nodes - Repeated invalidate/fetch cycles - Network congested

#### **5. Data Placement & Performance (1.5 marks)**

**Initial Data Placement:** - Place near first accessor - Reduces initial latency

**Dynamic Migration:** - Move pages to frequent accessors - Detect hot pages - Migrate during idle time

**Synchronization Overhead:** - Locks require distributed coordination - Network round-trips for every lock - Lock caching: Local acquisition if possible

#### 6. Advantages & Disadvantages (1 mark)

| Aspect      | Advantage                   | Disadvantage             |
|-------------|-----------------------------|--------------------------|
| Programming | Familiar shared-memory      | Non-deterministic bugs   |
| Performance | Can be good                 | Network latency overhead |
| Scalability | Theoretically good          | Practically limited      |
| Debugging   | Harder than message passing | Complex to trace         |

**Best for:** Shared-memory algorithm applications on clusters

---

#### Q17: Load Balancing & Task Distribution

**Answer:**

**1. Problem Statement (1 mark)** In distributed systems, work unevenly distributed. Some nodes heavily loaded, others idle. Goal: Balance load for better overall performance.

#### 2. Load Balancing Goals (1.5 marks)

**Objectives:** - Minimize mean response time - Maximize throughput - Reduce idle time on any node - Prevent any node becoming bottleneck - Balance communication vs computation trade-off

**Metrics:** - CPU utilization - Memory usage - I/O queue length - Network bandwidth

#### 3. Policies for Load Balancing (2.5 marks)

**Centralized Approach:** - Central load balancer - Monitors all nodes - Assigns new tasks - Advantages: Simple, global view - Disadvantages: Bottleneck, single point failure

**Distributed Approach:** - Peers make local decisions - Query neighbors for status - Advantages: No bottleneck, scalable - Disadvantages: Inconsistent view, delayed info

**Task Transfer Policies:**

**Threshold Policy:**

If node load > threshold: Send to neighbor

Probe Policy:  
Before accepting job: Query other nodes  
Choose least loaded

Demand-Driven:\*\*  
Only transfer when specifically requested  
Reactive vs proactive

#### 4. Algorithms (2 marks)

**Round-Robin:** - Assign to nodes in sequence - Simple, assumes equal capacity  
- No load info needed - Problem: Uneven if tasks have varying load

**Least-Loaded:** - Assign to node with lowest current load - Better than round-robin  
- Problem: Overhead of querying load, network changes

**Shortest-Queue:** - Assign to node with smallest job queue - Considers queue length  
- Problem: New task might be long-running

**Token-Based:** - Fixed tokens (one per process slot) - Send token to request work  
- Load implicitly balanced

#### 5. Migration vs Remote Execution (1.5 marks)

**Remote Execution:** - Job sent to remote node - Executes there - Results returned  
- Advantages: Simpler, less overhead - Disadvantages: Depends on network for I/O

**Process Migration:** - Entire process moved to another node - Can transfer state, open files  
- Advantages: Local I/O access - Disadvantages: Complex, state transfer overhead

**6. Practical Considerations (0.5 marks)** - Trade-off between balancing overhead and benefit  
- Network bandwidth often bottleneck - Communication locality more important than perfect balance  
- Dynamic vs static balancing

---

### Q18: Job Scheduling in Distributed Systems

Answer:

**1. Overview (1 mark)** Job scheduling: Assigning jobs to nodes at right time. Goal: Minimize turnaround time, maximize utilization, meet deadline constraints.

#### 2. Scheduling Strategies (2.5 marks)

**FCFS (First Come First Served):** - Jobs processed in arrival order - Fair but not optimal  
- Convoy effect: Long job blocks short jobs



**SJF (Shortest Job First):** - Shortest job first - Minimizes average wait time  
- Problem: Need to know job length upfront

**Priority Scheduling:** - Jobs with higher priority processed first - Real-time systems - Problem: Lower priority starvation

**RR (Round Robin):** - Each job gets time slice - Preemption allows fairness - Overhead from context switching

### 3. Distributed Scheduling Challenges (2 marks)

**Heterogeneous Systems:** - Nodes have different capacities - Job execution time varies by node - Need to estimate and adapt

**Dynamic Job Arrivals:** - Jobs arrive unpredictably - Can't plan in advance - Adaptive scheduling needed

**Communication Locality:** - Minimize data movement - Assign jobs close to data - Network bottleneck consideration

### 4. Scheduling Algorithms (1.5 marks)

**Centralized Scheduler:** - Central node assigns jobs - Has global view - Bottleneck, single point failure

**Distributed Scheduler:** - Local decisions at each node - Cooperate with neighbors - Scalable, resilient

#### Bidding Protocol:

Node A has job J

Broadcasts request for bidding

Nodes B, C, D reply with bids (estimated completion time)

Node A chooses lowest bid (node C)

Send job to C

**5. Real-Time Scheduling (1 mark)** - Deadlines must be met - Reject if can't guarantee deadline - Priority based on deadline

**6. Energy Efficiency (0.5 marks)** - Modern concern: Power consumption - Schedule to minimize active nodes - Consolidate onto fewer nodes

---

## Q19: Batch Processing & Parallel Job Execution

### Answer:

**1. Batch Processing Concept (1 mark)** Collect multiple jobs, process them together. Efficient for systems without interactive load, common in supercomputing, data processing.

### 2. Batch Scheduling (2 marks)

**Queue Discipline:**

Incoming jobs → Queue → Available slot → Execute

**Backfill Scheduling:** - Main schedule: Assign time slots - Backfill: Use gaps with smaller jobs - Maximizes utilization

**Gang Scheduling:** - Schedule all processes of job simultaneously - Prevents deadlock from partial scheduling - Improves locality and performance

**3. Parallel Job Execution (2 marks)**

**Problem:** Job might need multiple nodes

**Allocation Strategies:** - Contiguous: All nodes together - Non-contiguous: Scattered allocation - Contiguous better for communication locality

**Processor Allocation:** - 2D mesh allocation - Tree allocation - Minimize communication distance

**Example:**

Job needs 4 processors for matrix multiplication

Option 1 (Contiguous):

[A] [B] [C] [D] → All together

Good communication locality

Option 2 (Scattered):

[A] [...] [B] [...] [C] [...] [D]

Bad communication locality

**4. Load Balancing in Parallel Jobs (1.5 marks)**

**Challenges:** - Uneven processor speeds - Network congestion - Synchronization points

**Dynamic Load Balancing:** - Migrate work between processors - Detect imbalance - Move tasks to underutilized processors

**Example:**

Matrix multiplication:

Processor 1: Done early

Processor 2: Still computing

Processor 1 helps Processor 2

Migrate some tasks from 2 to 1

**5. Fault Tolerance (1.5 marks)**

**Challenges:** - One processor fails = entire job fails - Checkpointing overhead - Recovery time

**Strategies:** - Checkpoint periodic state - On failure: Restart from checkpoint  
- Replication: Run on 2 nodes - Redundancy: Extra processor for recovery

**6. Performance Metrics (0.5 marks)** - Job completion time - System utilization - Turnaround time (arrival to completion) - Waiting time

---

## Q20: Process Migration in Distributed Systems

**Answer:**

### 1. Definition & Motivation (1.5 marks)

**Process Migration:** Moving running process from one node to another

**Why Migrate?** - Load balancing: Move from overloaded to underutilized - Fault recovery: Before node crashes - Maintenance: Shut down node for updates - Resource consolidation: Save energy - Communication locality: Move process closer to data

### 2. Migration Mechanism (2 marks)

**What to Migrate:** - Process state: Registers, stack, heap - Open files: File descriptors, positions - Network connections: TCP connections - Cached data: Recent values

**Process State:**

CPU state: Program counter, registers

Memory: Stack and heap data

Resources: Open files, network sockets

### 3. Pre-Migration & Post-Migration (2 marks)

**Pre-Migration:** 1. Suspend process 2. Freeze all updates 3. Capture state: Registers, memory 4. Mark pages as transferable

**Migration:** 1. Send process state over network 2. Update page table at destination 3. Establish resource references 4. Update process location registry

**Post-Migration:** 1. Resume process at new node 2. Redirect incoming messages 3. Update file descriptor references 4. Resume normal execution

### 4. Challenges (1.5 marks)

**Open Files:** - Seek position must be preserved - File locks affected - Solution: Maintain file handle reference to original node

**Network Connections:** - TCP socket tied to machine - Can't move active connection - Solution: - Let connection die (restart) - Redirect through proxy - Tunneling through old node

**Processor Heterogeneity:** - Different instruction sets - Binary incompatible  
- Solution: Virtual machine (Java bytecode)

### 5. Weak vs Strong Migration (1 mark)

**Weak Migration:** - Migrate code only, not full state - Process restarts at destination - Simpler but less transparent - Example: Mobile agent moving

**Strong Migration:** - Migrate entire execution state - Resume immediately where left off - Complex but transparent - Example: Commercial systems

**6. Practical Examples (0.5 marks)** - MOSIX: Preemptive process migration  
- Condor: Virtual machine migration - Container migration: Docker swarm

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## UNIT VI: ADDITIONAL TOPICS

### Q21: Byzantine Agreement & Fault Tolerance

**Answer:**

#### 1. Problem Statement (1.5 marks)

**Byzantine Agreement:** Distributed system must reach agreement despite some processes behaving maliciously

**Motivating Scenario:**

Generals Problem:

Multiple generals coordinate attack on city

Some may be traitors (send conflicting messages)

Non-traitors must reach agreement despite traitors

Military analogy: Trust but verify

#### 2. Byzantine Fault Model (1.5 marks)

**Byzantine Behavior:** - Send conflicting messages to different processes - Delay messages selectively - Forge messages - Arbitrary behavior (not just crash)

**Assumptions:** - Processes know list of all participants - Can send authenticated messages - Synchronous or asynchronous communication - Up to  $F$  nodes can be Byzantine ( $F < N/3$ )

**Why  $N/3$  threshold:** - With  $F$  Byzantine out of  $N$  total - Need  $2F+1$  honest nodes to detect/correct - Minimum:  $N \geq 3F+1$

#### 3. Byzantine General's Algorithm (2 marks)

**Simplified Version ( $N=4$ ,  $F=1$ ):**

3 generals + 1 commander (possibly traitor)

Round 1: Commander sends order to each general  
 Round 2: Each general broadcasts what they received  
 Round 3: Vote on majority received

Honest generals agree even if commander is traitor  
 Because honest majority will receive same order

**Detailed Algorithm:**

All processes propose value  
 Round 1: Each broadcasts proposal  
 Round 2: Each broadcasts received values  
 Round 3: Each broadcasts received in round 2  
 Continue  $F+1$  rounds  
 Final: Take majority value

With  $N \geq 3F+1$ :  
 - Honest processes can detect majority opinion  
 - Byzantine nodes can't force incorrect agreement

**4. Practical Agreement Protocols (1.5 marks)**

**Two-Phase Commit:** - Coordinator: "Should we commit?" - Participants: "Vote yes/no" - Coordinator: "Commit/Abort" - Problem: Coordinator failure unresolved (blocking)

**Three-Phase Commit:** - Phase 1: Cancommit (vote) - Phase 2: Precommit (prepare) - Phase 3: Commit (final) - Non-blocking but higher overhead

**Paxos Algorithm:** - Tolerates arbitrary message delays - Guarantees agreement - Complex but practical - Used in: Google Chubby, Zookeeper

**5. Consensus vs Byzantine Agreement (1 mark)**

| Aspect       | Consensus     | Byzantine      |
|--------------|---------------|----------------|
| Failure Type | Crash         | Arbitrary      |
| Threshold    | $N \geq 2F+1$ | $N \geq 3F+1$  |
| Complexity   | Simple        | Complex        |
| Rounds       | Few           | Many ( $F+1$ ) |

**6. Practical Limitations (1 mark)** - Byzantine agreement expensive ( $F+1$  rounds) - High message complexity - Only for small  $F$  - Most systems assume crash failures only

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**Q22: Election Algorithms in Distributed Systems**

**Answer:**

**1. Why Elections? (1 mark)** Many distributed algorithms need one process as coordinator: mutual exclusion, deadlock detection, leader for replication. Need algorithm to choose one.

**2. Bully Algorithm (2 marks)**

**Idea:** Process with highest ID wins

**Algorithm:**

When P detects coordinator dead:

1. P sends ELECTION message to all processes with higher ID
2. If any respond: P is not coordinator
3. If none respond: P becomes coordinator
4. New coordinator sends ELECTED to all

When P receives ELECTION from lower-ID process:

1. P responds "I'm alive"
2. P initiates its own ELECTION

**Example (4 processes, IDs 1,2,3,4):**

Process 2 detects coordinator (say process 4) dead

- 2 sends ELECTION to 3,4
- 3 responds "I'm alive"
- 3 sends ELECTION to 4
- 4 doesn't respond

- 3 becomes coordinator
- 3 sends ELECTED to all

**Advantages:** Simple, clear **Disadvantages:** Many messages ( $O(N^2)$  worst case)

**3. Ring Algorithm (2 marks)**

**Idea:** Processes arranged in logical ring, election message passes around

**Algorithm:**

When P wants election:

1. P creates message with [P\_ID]
2. Sends to next process in ring
3. Each process adds own ID to message
4. If message returns to initiator:
  - List of all IDs collected
  - Maximum ID is new coordinator
  - Announce to all

Process receiving election message:

1. Add own ID to list
2. Pass to next process

**Example:**

Process 2 starts election

Message: [2] → 3 → 4 → 1 → 2

Back at 2: [2,3,4,1]

Max = 4, so 4 becomes coordinator

Announce: "4 is coordinator"

**Advantages:** Only  $O(N)$  messages per election **Disadvantages:** One process down breaks ring, need repair

#### 4. Candidate Algorithm (1.5 marks)

**For Partial Synchrony:**

Processes vote for leader

Multiple rounds if no consensus

Message passing with timeouts

Leader with highest votes wins

Handles process crashes gracefully

#### 5. Election with Crash Tolerance (1 mark)

**Challenge:** Process crashes during election

**Solutions:** - Timeout mechanism: Retry if no response - Multiple rounds: Ensure all hear result - Persistent storage: Remember elections

**6. Applications (0.5 marks)** - Mutual exclusion: Need one coordinator (Lamport's algorithm) - Distributed file system: Primary copy selection - Database replication: Master election - Token ring: Choose token initiator

### Q23: Distributed Transactions & Two-Phase Commit

**Answer:**

#### 1. Transaction Concept (1.5 marks)

**Definition:** Sequence of operations that must atomically succeed or fail

**Properties (ACID):** - **Atomicity:** All or nothing - **Consistency:** Valid state to valid state - **Isolation:** No interference with other transactions - **Durability:** Survives failures

**Distributed Challenge:** - Operations span multiple nodes - Network failures mid-transaction - Partial completion possible

## 2. Two-Phase Commit (2PC) (2 marks)

### Phase 1 (Voting/Prepare):

Coordinator: "Can you commit?"

Participant 1: "Yes, I can (locked resources)"

Participant 2: "Yes, I can"

Participant 3: "Yes, I can"

### Phase 2 (Commit/Abort):

Coordinator: "Commit!"

Participant 1: "Committed"

Participant 2: "Committed"

Participant 3: "Committed"

### If Any Can't Commit:

Participant 2: "No, I can't"

Coordinator: "Abort!"

Participant 1: "Aborted (rolled back)"

Participant 2: "Aborted"

Participant 3: "Aborted (rolled back)"

## 3. Protocol Details (2 marks)

### Participant State Machine:

Initial → Working (locks held)

Vote Yes → Prepared (ready to commit)

Commit → Committed

Abort → Aborted (rollback)

Vote No → Aborted

**Recovery Mechanism:** - Log all decisions to persistent storage - On crash: Read log, recover state - Ensures durability

## 4. Problems with 2PC (1.5 marks)

**Blocking:** - Participant waits for phase 2 decision - Resources locked during wait - If coordinator crashes: Locked indefinitely

### Example:

Participant locked resource X

Waiting for coordinator commit decision

Coordinator crashes

Resource X stays locked → Deadlock possible

**Scalability:** - Synchronous: All must vote synchronously - Large N: Latency increases - Overhead significant

**Network Partitions:** - Coordinator in partition 1, participant in partition 2 - Can't communicate - Participant doesn't know to abort



## 5. Three-Phase Commit (1.5 marks)

**Improvement:** Add precommit phase

Phase 1: Cancommit? (vote)

Phase 2: Precommit (prepare without final decision)

Phase 3: Commit (final)

**Advantage:** Non-blocking

If coordinator fails after phase 2:

Participants can unilaterally commit

Resources not locked indefinitely

**Disadvantage:** More messages, more complex

**6. Modern Alternatives (0.5 marks)** - Saga pattern: Compensating transactions - Event sourcing: Track all events - Eventual consistency: Accept temporary inconsistency

---

## Q24: Distributed Data Consistency Models

**Answer:**

**1. Consistency Overview (1 mark)** Challenge: Multiple clients accessing replicated data see inconsistent state. Need model defining what “consistent” means.

### 2. Strong Consistency Models (2 marks)

**Linearizability (Atomic Consistency):** - All writes visible in single order  
- Every read sees latest write - Total order on all operations - Very expensive:  
Requires coordination

**Sequential Consistency:** - Writes appear in same order to all - But not absolute order relative to physical time - Less strict than linearizability

**Serializability:** - Concurrent operations appear atomic - Equivalent to some serial order - Common in databases

**Example:**

Process A writes X=1

Process B writes X=2

**Linearizable:** All see [1,2] order or [2,1] order, not mixed

**Sequential:** All see same order, but not tied to real time  
(might see 2 happened before 1)

### 3. Weak Consistency Models (2 marks)

**Causal Consistency:** - Respects causal ordering - A's write  $\rightarrow$  B sees it  $\rightarrow$  B's write  $\rightarrow$  All see B's causally after A's

**FIFO Consistency:** - Within process: Writes ordered - Between processes: No guarantee

**Session Consistency (Popular):** - Within one user's session: Consistent - Different user might see stale - Good balance: Simple + practical

**Eventual Consistency:** - Eventually consistent (no time bound) - Temporarily inconsistent OK - Used in: Cloud systems, social media

### 4. Implementation Trade-offs (2 marks)

**Strong Consistency:** - Advantages: Programmer friendly, no anomalies - Disadvantages: High latency, limited scalability

**Weak Consistency:** - Advantages: High availability, fast responses - Disadvantages: Complex programming, anomalies possible

**Example Anomaly (Eventual Consistency):**

Alice writes email to Bob  
Alice checks "sent"  
Bob checks email  
Bob might not see yet (eventual)

Bob waits, email appears  
Acceptable for some systems (not banks)

### 5. Verification & Specification (1.5 marks)

**How to verify consistency:** - Formal models (TLA+) - Testing: Run scenarios - Simulation: Model checker

**Specification:** Define precisely - What is consistent state? - What guarantees provided? - When consistency guaranteed?

**6. CAP Theorem (0.5 marks)** Choose 2 of 3: - Consistency: All see same data - Availability: System responds - Partition tolerance: Survive network partition

Most distributed systems choose AP (availability + partition), accepting eventual consistency.

---

### Q25: Distributed Monitoring & Debugging

**Answer:**

#### 1. Challenges (1.5 marks)

**Distributed Debugging Hard Because:** - No global clock (events interleaved unpredictably) - No global state (each process has own view) - Non-determinism: Same input same execution - Network failures: Can't observe all messages - Scale: Too many events

## 2. Event Logging & Replay (2 marks)

**Logging Approach:** - Record all events at each process - Events: Send, receive, local execution - Timestamps: Lamport or Vector clocks

**Replay:** - Recreate execution from logs - Deterministic replay: Same execution as original - Helps reproduce bugs

**Example:**

Process A: [Event1] [sends to B] [Event2]  
Process B: [receives from A] [Event3]

Logs:

A: E1, send(msg), E2  
B: receive(msg), E3

Replay: Execute in same order, same interleaving  
Debug: Where did execution diverge?

**Challenges:** - Logs huge (millions of events) - Replay slow - Non-determinism hard to capture

## 3. Tracing & Instrumentation (2 marks)

**Instrumentation:** - Add logging statements to code - "Entering function X" - "Sending message to Y" - "Received response"

**Distributed Tracing:** - Trace request through entire system - Unique ID for request - Each component logs processing - Reconstruct full path

**Tools:** - Zipkin: Open-source tracing - Jaeger: Distributed tracing - Application Insights: Cloud tracing

## 5. Monitoring & Alerting (1.5 marks)

**Metrics to Monitor:** - Response time: Latency percentiles - Error rate: % of failures - CPU/Memory: Resource usage - Network: Bandwidth, packet loss - Throughput: Operations/second

**Alerting:** - Threshold-based: Alert if metric > limit - Anomaly detection: Alert on unusual patterns - Composite: Alert on combination

**Example:** - Alert if response time 99th percentile > 100ms - Alert if error rate > 1% - Alert if CPU usage > 80%

## 4. Fault Localization (1 mark)

**Finding Root Cause:** - Error message: Symptom, not cause - Trace dependencies: Which system caused failure? - Correlation analysis: What events preceded error?

**Techniques:** - Compare logs of good vs bad runs - Statistical analysis: Unusual patterns - Causal analysis: Event dependencies

### 5. Tools & Frameworks (1 mark)

**Logging:** - ELK Stack: Elasticsearch, Logstash, Kibana - Splunk: Commercial log analysis - Fluentd: Log aggregation

**Metrics:** - Prometheus: Metrics collection - Graphite: Time-series storage - InfluxDB: Time-series database

**6. Best Practices (0.5 marks)** - Log at appropriate level (DEBUG, INFO, ERROR) - Include context (request ID, component name) - Structured logging: Parse-able format (JSON) - Retention: Balance storage vs history - Privacy: Don't log sensitive data

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## EXAM TIPS FOR 10-MARK ANSWERS

### Key Points:

1. **Define first:** Start with clear definition
2. **Structure with headings:** Shows organization (+1 mark)
3. **Real examples:** Gmail, Facebook, Bitcoin (+1-2 marks)
4. **Algorithms/steps:** Clear numbered flow (+2 marks)
5. **Advantages & Disadvantages:** Critical thinking (+1 mark)
6. **Diagrams:** Simple boxes/arrows (+1-2 marks bonus)
7. **Trade-offs:** "This is fast but inconsistent..." (+1 mark)
8. **Time:** 15-20 minutes per 10-mark question

### Answer Format:

- Line 1: Definition (1 mark)
- Lines 2-8: Core explanation (4-5 marks)
- Lines 9-10: Examples (2 marks)
- Lines 11-12: Comparison (1-2 marks)
- **Total: ~2 pages, 1000 words per question**

**TOTAL: 25 × 10 marks = 250 marks (Full course coverage)**