

# ***CSE 412 Database Management***

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# *Review of SQL Basics*

# Review of Data Definition Language

```
CREATE TABLE <table-name>(  
    [column-definition]*  
    [constraints]*  
    ) [table-options];
```

- **Column-Definition:** Comma separated list of column names with types.
- **Constraints:** Primary key, foreign key, and other meta-data attributes of columns.
- **Table-Options:** DBMS-specific options for the table (not SQL-92).

# Data Definition Language (DDL)

```
CREATE TABLE student (  
  sid      INT,  
  name     VARCHAR(16),  
  login    VARCHAR(32),  
  age      SMALLINT,  
  gpa      FLOAT  
);  
  
CREATE TABLE enrolled (  
  sid      INT,  
  cid      VARCHAR(32),  
  grade    CHAR(1)  
);
```

**Integer Range**

**Variable String Length**

**Fixed String Length**

# Integrity Constraints

```
CREATE TABLE student (  
  sid      INT PRIMARY KEY,  
  name     VARCHAR(16),  
  login    VARCHAR(32) UNIQUE,  
  age      SMALLINT CHECK (age > 0),  
  gpa      FLOAT  
);  
  
CREATE TABLE enrolled (  
  sid      INT REFERENCES student (sid),  
  cid      VARCHAR(32) NOT NULL,  
  grade    CHAR(1),  
  PRIMARY KEY (sid, cid)  
);
```

**PKey Definition**

**Type Attributes**

**FKKey Definition**

# Primary Keys

- Single-column primary key:

```
CREATE TABLE student (  
    sid    INT PRIMARY KEY,  
    :  
    :
```

- Multi-column primary key:

```
CREATE TABLE enrolled (  
    :  
    PRIMARY KEY (sid, cid)
```

# Foreign Key References

- Single-column reference:

```
CREATE TABLE enrolled (  
    sid    INT REFERENCES student (sid),  
    :  
    :
```

- Multi-column reference:

```
CREATE TABLE enrolled (  
    :  
    FOREIGN KEY (sid, ...)  
    REFERENCES student (sid, ...)
```

# Value Constraints

- Ensure one-and-only-one value exists:

```
CREATE TABLE student (  
    login VARCHAR(32) UNIQUE,
```

- Make sure a value is not null:

```
CREATE TABLE enrolled (  
    cid    VARCHAR(32) NOT NULL,
```



# Tuple- and Attribute- based Checks

- Associated with a *single table*
- Only checked *when a tuple/attribute is inserted/updated*
  - **Reject** if the condition evaluates to **FALSE**
  - **TRUE** and **UNKNOWN** are fine
- Examples:
  - CREATE TABLE User(... age INTEGER CHECK(age IS NULL OR age > 0), ...);
  - CREATE TABLE Member  
(uid INTEGER NOT NULL,  
CHECK(uid IN (SELECT uid FROM User)), ...);
    - Is it a referential integrity constraint?
    - Not quite; not checked when the User is modified

# Review of Data Manipulation Language

- **SELECT**  $A_1, A_2, \dots, A_n$   
**FROM**  $R_1, R_2, \dots, R_m$   
**WHERE** condition;
- Also called as SPJ (selection-projection-join) query
- Can correspond to (but not exactly equivalent to) relational algebra query:

$$\pi_{A_1, A_2, \dots, A_n}(\sigma_{condition}(R_1 \times R_2 \times \dots \times R_m))$$

# Why SFW statements

- Out of many possible ways of structuring SQL statements, *why did the designers choose SELECT-FROM-WHERE?*
  - A large number of queries can be written using only selection, projection, and cross-product (or join)
  - Any query that uses only these operators can be written in a canonical form:
$$\pi_L \left( \sigma_p (R_1 \times \cdots \times R_m) \right)$$
  - SELECT-FROM-WHERE captures this canonical form

# Example Database

*User*

<i>uid</i>	<i>name</i>	<i>age</i>	<i>pop</i>
142	Bart	10	0.9
123	Milhouse	10	0.2
857	Lisa	8	0.7
456	Ralph	8	0.3
...	...	...	...

*Group*

<i>gid</i>	<i>name</i>
abc	Book Club
gov	Student Government
dps	Dead Putting Society
...	...

*Member*

<i>uid</i>	<i>gid</i>
142	dps
123	gov
857	abc
857	gov
456	abc
456	gov
...	...

# Example: reading a table

- **SELECT \* FROM User;**
  - Single-table query, so no cross product here
  - **WHERE** clause is optional
  - \* is a short hand for “all columns”

# Example: selection and projection

- Name of users under 18
  - **SELECT** name  
**FROM** User  
**WHERE** age < 18
- When was Lisa born?
  - **SELECT** 2025-age  
**FROM** User  
**WHERE** name = 'Lisa';
  - SELECT list can contain expressions
    - Can also use built-in functions such as SUBSTR, ABS, etc.
  - String literals (case sensitive) are enclosed in single quotes

# Example: join

- ID's and names of groups with a user whose name contains "Simpson"

User

uid	name	age	pop
142	Bart	10	0.9
123	Milhouse	10	0.2
857	Lisa	8	0.7
456	Ralph	8	0.3

Group

gid	name
abc	Book Club
gov	Student Government
dps	Dead Putting Society
...	...

Member

uid	gid
142	dps
123	gov
857	abc
857	gov
456	abc
456	gov
...	...

# Example: join

- ID's and names of groups with a user whose name contains "Simpson"
  - **SELECT** Group.gid, Group.name
  - FROM** User, Member, Group
  - WHERE** User.uid = Member.uid
  - AND** Member.gid = Group.gid
  - AND** User.name LIKE '%Simpson%';
  - **LIKE** matches a string against a pattern
  - % matches any sequence of zero or more characters
  - Okay to omit table\_name in table\_name.column\_name if column\_name is unique

User

uid	name	age	pop
142	Bart	10	0.9
123	Milhouse	10	0.2
857	Lisa	8	0.7
456	Ralph	8	0.3
...	...	...	...

Member

uid	gid
142	dps
123	gov
857	abc
857	gov
456	abc
456	gov
...	...

Group

gid	name
abc	Book Club
gov	Student Government
dps	Dead Putting Society
...	...



# SQL Intermediate

# Today's Agenda

- Data Definition Language
- **Data Manipulation Language**
  - Basic Queries (SELECT-FROM-WHERE)
  - **ORDER BY**

# Order By

- SELECT ... FROM ... WHERE ... **ORDER BY** output\_column [**ASC**|**DESC**], ...;  
(In the future: SELECT [DISTINCT] ... FROM ... WHERE ... GROUP BY ...  
HAVING ... **ORDER BY** output\_column [**ASC**|**DESC**], ...; )
- **ASC** = ascending, **DESC** = descending
- **Semantics:** After the **SELECT** list has been computed and optional duplicate elimination has been carried out, ***sort the output according to ORDER BY specification***

uid	name	age	pop
142	Bart	10	0.9
123	Milhouse	10	0.2
857	Lisa	8	0.7
456	Ralph	8	0.3
...	...	...	...

# Order By example

- List all users, sort them by popularity (descending) and name (ascending)
  - SELECT uid, name, age, pop FROM User  
**ORDER BY pop DESC, name;**
  - ASC is the default option
  - Strictly speaking, only output columns can appear in the ORDER BY clause (although some DBMS support more)
  - Can use sequence numbers instead of names to refer to output columns: **ORDER BY 4 DESC, 2;**

# Today's Agenda

- Data Definition Language
- **Data Manipulation Language**
  - Basic Queries (SELECT-FROM-WHERE)
  - ORDER BY
  - **Set Operations**

# Forcing set semantics

User

uid	name	age	pop
142	Bart	10	0.9
123	Milhouse	10	0.2
857	Lisa	8	0.7
456	Ralph	8	0.3
...	...	...	...

Member

uid	gid
142	dps
123	gov
857	abc
857	gov
456	abc
456	gov
...	...

Group

gid	name
abc	Book Club
gov	Student Government
dps	Dead Putting Society
...	...

- IDs of all pairs of users that belong to one group

- SELECT** m1.uid **AS** uid1, m2.uid **AS** uid2  
**FROM** Member **AS** m1, Member **AS** m2  
**WHERE** m1.gid = m2.gid **AND** m1.uid > m2.uid;

(finds pairs of members who belong to the same group.)

- Say Lisa and Ralph are in both the book club and the student government*

*Forcing set semantics means ensuring that a query returns **unique results** by explicitly removing duplicates, typically using operations like **DISTINCT**, rather than allowing duplicate entries in the result set*

- SELECT DISTINCT** m1.uid **AS** uid1, m2.uid **AS** uid2 ...
  - With **DISTINCT**, all duplicate (uid1, uid2) pairs are removed from the output

# Set Semantics of SFW

- **SELECT** [DISTINCT]  $E_1, E_2, \dots, E_n$   
**FROM**  $R_1, R_2, \dots, R_m$   
**WHERE** condition;

# SQL set

- UNION, EXCEPT, INTERSECT
  - Set semantics
  - Duplicates in input tables, if any, are first eliminated
  - Duplicates in result are also eliminated (for UNION)
  - Exactly like set  $\cup$ ,  $-$ , and  $\cap$  in relational algebra



# Examples of set operations

- Poke (uid1, uid2, timestamp)
  - (SELECT uid1 FROM Poke)  
**EXCEPT**  
(SELECT uid2 FROM Poke);

# Examples of set operations

- Poke (uid1, uid2, timestamp)

- (SELECT uid1 FROM Poke)

EXCEPT

(SELECT uid2 FROM Poke);

- Users who poked others but never got poked by others

- The EXCEPT operator returns the **set difference** between the two subqueries.
- It gives you all the uid1 values (users who poked others) that are **not** present in the uid2 values (users whom someone poked).

# Today's Agenda

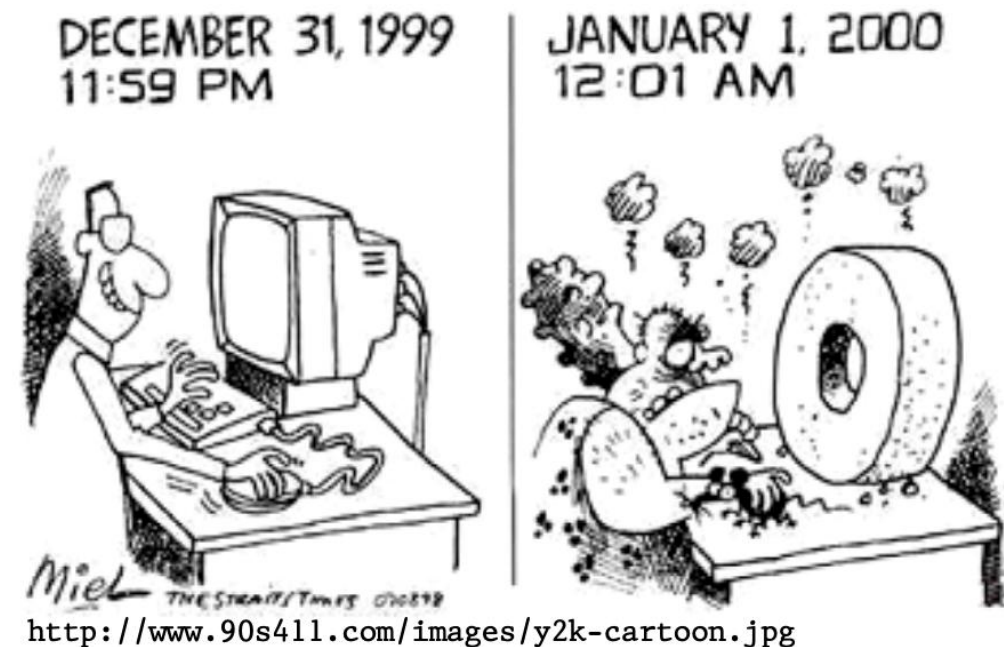
- Data Definition Language
- **Data Manipulation Language**
  - Basic Queries (SELECT-FROM-WHERE)
  - ORDER BY
  - Set Operations
  - **Null Values**

# *Missing Information*

- Example: User (uid, name, age, pop)
- Value **unknown**
  - We do not know Nelson's age
- Value **not applicable**
  - Suppose pop is based on interactions with others on our social networking site
  - Nelson is new to our site; what is his pop?

# Solution 1

- Dedicate a value from each domain (type)
  - pop cannot be -1, so use -1 as a special value to indicate a missing or invalid pop
- Leads to incorrect answers if not careful
  - `SELECT AVG(pop) FROM User;`
- Complicates applications
  - `SELECT AVG(pop) FROM User WHERE pop <> -1;`
- Perhaps the value is not as special as you think!
  - Ever heard of the Y2K bug? "00" was used as a missing or invalid year value



## Solution 2 (using a flag)

- A **valid-bit** for every column
- User (uid, name,  
    name\_is\_valid ,  
    age, age\_is\_valid ,  
    pop, pop\_is\_valid )
- Complicates schema and queries
- `SELECT AVG(pop) FROM User WHERE pop_is_valid ;`

# Solution 3

- **Decompose the table**; missing row = missing value
  - UserName (uid, name)
  - UserAge (uid, age)
  - UserPop (uid, pop)
  - UserID (uid)
  - Still complicates schema and queries
    - How to get all information about users in a table?
    - Natural join doesn't work!

# SQL's solution

- A special value **NULL**
  - For every domain
  - Special rules for dealing with NULL's
- Example: User (uid, name, age, pop)
  - <789, "Nelson", NULL, NULL>



# Computing with NULLs

- When we operate on a NULL and another value (including another NULL) using +, −, etc., the result is NULL
- Aggregate functions ignore NULL, except COUNT(\*) (since it counts rows)

# IS NULL/IS NOT NULL

- Example: Who has NULL pop values?
  - `SELECT * FROM User WHERE pop = NULL;`
    - Does not work; never returns anything
- SQL introduced special, built-in predicates **IS NULL** and **IS NOT NULL**
  - `SELECT * FROM User WHERE pop IS NULL;`

# Outerjoin motivation

**First let's see: what is the natural join result?**

<i>Employee</i>				<i>Dept</i>	
Name	EmpId	DeptName		DeptName	Manager
Harry	3415	Finance	⋈	Sales	Harriet
Sally	2241	Sales		Production	Charles
George	3401	Finance			
Harriet	2202	Sales			
Tim	1123	Executive			

# Outerjoin motivation

First let's see: what is the natural join result?

Employee				Dept						
Name	EmpId	DeptName		DeptName	Manager		Name	EmpId	DeptName	Manager
Harry	3415	Finance	⋈	Sales	Harriet	=				
Sally	2241	Sales		Production	Charles					
George	3401	Finance								
Harriet	2202	Sales								
Tim	1123	Executive								

# Outerjoin motivation

First let's see: what is the natural join result?

Employee				Dept						
Name	EmpId	DeptName		DeptName	Manager		Name	EmpId	DeptName	Manager
Harry	3415	Finance	⋈	Sales	Harriet	=	Sally	2241	Sales	Harriet
Sally	2241	Sales		Production	Charles		Harriet	2202	Sales	Harriet
George	3401	Finance								
Harriet	2202	Sales								
Tim	1123	Executive								

# Outerjoin motivation

First let's see: what is the natural join result?

Employee				Dept						
Name	EmpId	DeptName		DeptName	Manager	=	Name	EmpId	DeptName	Manager
Harry	3415	Finance	⋈	Sales	Harriet		Sally	2241	Sales	Harriet
Sally	2241	Sales		Production	Charles		Harriet	2202	Sales	Harriet
George	3401	Finance								
Harriet	2202	Sales								
Tim	1123	Executive								

Bad, other employee information get lost, because their departments do not have a record in the Dept table

# Optional: Left Outer Natural Join

- In a left outer join, Employee rows without a matching Department row appear in the result, but not vice versa.

*Employee*

Name	EmpId	DeptName
Harry	3415	Finance
Sally	2241	Sales
George	3401	Finance
Harriet	2202	Sales
Tim	1123	Executive

*Dept*

DeptName	Manager
Sales	Harriet
Production	Charles

*Employee ⋈ Dept*

Name	EmpId	DeptName	Manager
Harry	3415	Finance	ω
Sally	2241	Sales	Harriet
George	3401	Finance	ω
Harriet	2202	Sales	Harriet
Tim	1123	Executive	ω

# Optional: Left Outer Natural Join

- $\bowtie$

$$(R \bowtie S) \cup ((R - \pi_{r_1, r_2, \dots, r_n}(R \bowtie S)) \times \{(\omega, \dots, \omega)\})$$

- In a left outer join, Employee rows without a matching Department row appear in the result, but not vice versa.

**Employee**

Name	EmpId	DeptName
Harry	3415	Finance
Sally	2241	Sales
George	3401	Finance
Harriet	2202	Sales
Tim	1123	Executive

**Dept**

DeptName	Manager
Sales	Harriet
Production	Charles

**Employee  $\bowtie$  Dept**

Name	EmpId	DeptName	Manager
Harry	3415	Finance	$\omega$
Sally	2241	Sales	Harriet
George	3401	Finance	$\omega$
Harriet	2202	Sales	Harriet
Tim	1123	Executive	$\omega$



# SQL Continued..

- Data Definition Language
- **Data Manipulation Language**
  - Basic Queries (SELECT-FROM-WHERE)
  - ORDER BY
  - Set Operations
  - Null Values
  - **Aggregation**

# Aggregates

- Standard SQL aggregate functions: **COUNT**, **SUM**, **AVG**, **MIN**, **MAX**
- Example: number of users under 18, and their average popularity
  - **SELECT COUNT(\*)**  
FROM User  
WHERE age < 18;
  - **COUNT(\*)** counts the number of rows

# Aggregates with Distinct

- Example: How many users are in some group?
- `SELECT COUNT(DISTINCT uid)`  
`FROM Member;`  
is equivalent to:
- `SELECT COUNT(*)`  
`FROM (SELECT DISTINCT uid FROM Member);`

# Grouping

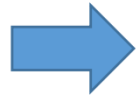
- `SELECT ... FROM ... WHERE ...`  
`GROUP BY` list\_of\_columns
- Example: compute average popularity for each age group  
`SELECT` age, AVG(pop)  
`FROM` User  
`GROUP BY` age

# Example of Grouping By

- `SELECT age, AVG(pop) FROM User GROUP BY age;`

<i>uid</i>	<i>name</i>	<i>age</i>	<i>pop</i>
142	Bart	10	0.9
857	Lisa	8	0.7
123	Milhouse	10	0.2
456	Ralph	8	0.3

Compute GROUP BY: group rows according to the values of GROUP BY columns



<i>uid</i>	<i>name</i>	<i>age</i>	<i>pop</i>
142	Bart	10	0.9
123	Milhouse	10	0.2
857	Lisa	8	0.7
456	Ralph	8	0.3

Compute SELECT for each group



<i>age</i>	<i>avg_pop</i>
10	0.55
8	0.50

# Example of Aggregates (with no Group By)

- An aggregate query with no GROUP BY clause = all rows go into one group

```
SELECT AVG(pop) AS avg_pop  
FROM User
```

<i>uid</i>	<i>name</i>	<i>age</i>	<i>pop</i>
142	Bart	10	0.9
857	Lisa	8	0.7
123	Milhouse	10	0.2
456	Ralph	8	0.3

Group all rows  
into one group

<i>uid</i>	<i>name</i>	<i>age</i>	<i>pop</i>
142	Bart	10	0.9
857	Lisa	8	0.7
123	Milhouse	10	0.2
456	Ralph	8	0.3

Aggregate over  
the whole group

<i>avg_pop</i>
0.525

# Having

- Used to filter groups based on the group properties (e.g., aggregate values, GROUP BY column values)
- `SELECT ... FROM ... WHERE ... GROUP BY ...`  
`HAVING` condition;

# Having examples

- List the average popularity for each age group with more than a hundred users
  - `SELECT age, AVG(pop)`  
`FROM User`  
`GROUP BY age`  
`HAVING COUNT(*) > 100;`
  - Can be written using WHERE and table subqueries
- Find average popularity for each age group over 10
  - `SELECT age, AVG(pop)`  
`FROM User`  
`GROUP BY age`  
`HAVING age > 10;`
  - Can be written using WHERE without table subqueries