

CLASS: SYBBI

SEMESTER EXAMINATION- 2022-2023

SEM-IV

COURSE: - CORPORATE & SECURITY LAW

MARKS-75

MAX. MARKS: 75

DURATION: 2.30. Hrs.

Q1. A) State whether True or False: (any 8)

(80)

- 1) One Person Companies were introduced by the companies Act, 2013.
- 2) Delisting is the process of removing the name of the company from the stock exchange.
- 3) The maximum number of members of a public limited company are 200.
- 4) Options and swaps are not examples of derivatives.
- 5) Public limited companies need not acquire Certificate for Commencement of Business.
- 6) The Articles of Association enumerate the rules and regulations for internal working of the company.
- 7) The offer document must not be accompanied by the application form.
- 8) The accounts of the SEBI are audited by the Comptroller and Auditor General of India.
- 9) The investor must surrender the original share certificate at the time of dematerialization.
- 10) A company has a separate legal identity.

Q1. B) Match the following: (any 7)

(07)

Column 'A'	Column 'B'
1. Rights issue	a) Shares
2. Ultra Virus	b) Object clause
3. Security	c) Stock exchange
4. M/A	d) Beyond the power
5. Listing	e) 2013
6. SEBI	f) Existing shareholder
7. Companies Act	g) Depository
8. Advertisement	h) Electronic form of shares
9. NSDL	i) Newspaper
10. Demat	j) 1992

Q. (2) (A) Define Company and state features of company.

(15)

OR

(B) What are the functions of SEBI? Explain briefly.

(08)

(C) Explain name clause and registered office clause off Memorandum of Association.

(07)





Q. (3) (A) Explain the Articles of Association	(08)	
(B) Discuss the procedure for corporatization and Demutualization.		
OR		
(C) Discuss the common conditions for Public issue and Right issue under the SEBI.		
Q. (4) (A) What do you understand by term listing of securities. Explain the provisions with respect to application for listing. (15)		
OR		
(B) Explain Listing agreements and documents required for listing.(C) Write a note on CDSL.	(08) (07)	
Q. (5) A. Explain the working of Depository System.B. Discuss the power of Depositories to make Bye-Laws.	(08) (07)	
OR		
(B) Write short notes on any three out of five.	(15)	
 Doctrine of Constructive Notice One Person Company Certificate of Incorporation Objectives of SEBI NSDL 		