

**SHRI S.H.KELKAR COLLEGE OF ARTS, COMMERCE AND SCIENCE, DEVGAD
(SINDHUDURGA)**

SEMESTER-III, OCT 2023

COURSE: Financial Markets

DURATION: 2. 30 Hrs.

COURSE CODE- UBIFSIII

CLASS: SYBBI

MAX. MARKS: 75

Q.1. (A) Fill in the blanks with appropriate words: (any eight) (8)

1. The central banking functions in India are performed by the
 i). Central Bank of India. ii) Reserve Bank of India.
 iii) State Bank of India. (iv) Punjab National Bank
2. The BSE Sensex consists of a basket of _____ stocks.
 (i) 50. (ii) 100. (iii) 30. (iv) 66
3. _____ is the function of financial system.
 i) Saving function. ii) Nationalization of financial institutions.
 iii) Establishment of Development banks. (iv) Intermediaries control
4. _____ deals with short term claims with a maturity of less than one year.
 i) Money Market. (ii) Primary Market. (iii) Secondary Market. (iv) Capital Market.
5. The _____ deals in new financial claim; therefore it is called new issue market.
 i) Money Market. ii) Primary Market. iii) Secondary Market. (iv) Capital Market
6. _____ act as intermediaries in purchase and sale of securities in the primary and secondary markets.
 i) Broker. ii) Underwriters. iii) Merchant Banker. iv) Factoring
7. _____ is the purchase of exporter's receivables at a Discounted price by paying cash.
 i) Underwriter. (ii) Merchant Banker. iii) Forfeiting. (iv) Factoring
8. _____ Agrees to take a specified number of shares or Debentures offered to the public, if the issue is not fully subscribed By the public.
 i) Underwriters. (ii) Merchant Banker. iii) Forfeiting. iv) Factoring
9. _____ is a system where there is exchange of funds or transfer of Money from the area of surplus to the area of deficit.
 i) Financial System. (ii) Primary Markets. iii) Commonly Markets. (iv) Derivatives Markets
10. Which of the following is an example of a non-banking financial Institution?
 (i) Commercial Bank. (ii) RBI. (iii). Co-operative Bank. iv) LIC

(B) Match the following: (any seven)

(7)

Sr.no	Column A		Column B
1	Basis	A	Securitisation & reconstruction of financial assets and enforcement of security interest.
2	Exchange traded Derivatives	B	Multi Commodity Exchange of India limited
3	INFINET	C	Long Staple cotton & Medium Staple cotton
4	Energy	D	Cash price – Futures Price
5	Securitization	E	Capital & money market
6	MCX	F	Crude oil & natural gas
7	T-Bill	G	Money market
8	Financial markets	H	Traded through Central Exchange
9	SARFAESI	I	Financial innovation
10	Fibre	J	Indian financial network

- Q.2. (A) Explain SEBI ? State it's objectives and composition? (8)**
(B) What is Money Market ? State the Characteristics of Money Market? (7)

Or

- Q.2.(P) Explain Capital market? State the role of capital Market? (8)**
(Q) What is financial system? State the functions of financial system? (7)

- Q.3.(A) Explain technical Analysis ? State the concepts to understand ? (8)**
(B) Explain in detail the Banking Intermediaries ? (7)

Or

- Q.3.(P) What is Venture Capital? Explain the features & stages of Venture Capital Financing? (8)**
(Q) Explain the Organisation Structure of Money Market ? (7)

- Q.4.(A) What is derivatives? State the Advantages and Disadvantages of derivatives? (8)**
(B) What are Commodity Markets? Explain the structure of Commodity Market ? (7)

Or

- Q.4. (P) Explain Capital Market & State the factors Responsible for growth of capital market in India? (8)**
(Q) Which are the different types of Commodity Trading Market ? (7)

- Q.5.A. What is insurance ? Explain the need and purpose of Insurance ? (15)**

Or

- Q.5.P. Write Short notes: (any three). (15)**

1. Financial Markets
2. Government securities
3. Risk Management
4. Mutual funds
5. Derivatives