

Higher Algebraic K-Theory: A simplicial approach

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Motivation

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└ Motivation

Motivation

Abelian \subseteq Karoubian \subseteq Pre-Abelian \subseteq Additive \subseteq Ab-Enriched

The category of projective modules over any ring is the Karoubi envelope of its full subcategory of free modules.

An additive category is an Ab-Enriched category which has all finite coproducts.

A kernel is a pullback of a morphism $f : A \rightarrow B$ and the unique morphism from $0 \rightarrow B$. Provided initials and pullbacks exist.

A pre-abelian category is an additive category with all morphism having kernels and cokernels.

An abelian category is a pre-abelian categories for which each mono is a kernel and each epic is a cokernel.

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Geometry \rightarrow Algebra

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Geometry \rightarrow Algebra

Theorem

Let X be a compact Hausdorff space. Then the section functor Γ induces an equivalence of categories $\text{VB}(X) \simeq \text{Proj}(C(X))$.

Definition (Equivalence of categories)

Two categories \mathcal{C}, \mathcal{D} are said to be equivalent if there exist functors $E : \mathcal{C} \rightleftarrows \mathcal{D} : F$ and a pair of natural *isomorphisms* $\alpha : 1_{\mathcal{C}} \rightarrow F \circ E$ and $\beta : 1_{\mathcal{D}} \rightarrow E \circ F$. This is a weaker condition than isomorphism of categories in which we have an actual equality instead of natural isomorphism.

Swan's theorem: Sketch of the proof i

- **Key Lemma:** For any vector bundle E over a compact space X , there exists another vector bundle E' such that their Whitney sum is a trivial bundle:

$$E \oplus E' \simeq X \times \mathbf{k}^n$$

- The section functor Γ is additive, so it turns Whitney sums into direct sums of modules:

$$\Gamma(E) \oplus \Gamma(E') \simeq \Gamma(X \times \mathbf{k}^n) \simeq C(X)^n$$

- **Conclusion:** Since $\Gamma(E)$ is a direct summand of a free module $(C(X)^n)$, it is, by definition, a finitely generated projective $C(X)$ -module. This confirms the functor Γ maps into the correct target category.

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└ Swan's theorem: Sketch of the proof

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Swan's theorem: Sketch of the proof ii

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└─ Geometry → Algebra
└─ Swan's theorem: Sketch of the proof

- $\mathcal{VB}_T(X)$: The full subcategory of **trivial** vector bundles.
- $\text{Free}(C(X))$: The full subcategory of finitely generated **free** $C(X)$ -modules.

The section functor provides a straightforward equivalence between these two simple categories:

$$\Gamma_T : \mathcal{VB}_T(X) \xrightarrow{\simeq} \text{Free}(C(X))$$

Swan's theorem: Sketch of the proof ii

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Swan's theorem: Sketch of the proof iii

The Main Idea: The Karoubian Envelope. A category is **Karoubian** if all its idempotent morphisms split. Both $\mathcal{VB}(X)$ and $\text{Proj}(C(X))$ are Karoubian. The Karoubian envelope is a universal way to "complete" an additive category by formally adding objects that split idempotents.

- From Part 1, every vector bundle is a direct summand of a trivial one. This means $\mathcal{VB}(X)$ is precisely the **Karoubian envelope of $\mathcal{VB}_T(X)$** .
- By definition, every projective module is a direct summand of a free one. This means $\text{Proj}(C(X))$ is precisely the **Karoubian envelope of $\text{Free}(C(X))$** .

The Punchline: A fundamental property of the Karoubian envelope is that an equivalence of categories lifts to an equivalence of their envelopes.

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Swan's theorem: Sketch of the proof iii

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Topological K theory obey Eilenberg-Steenrod minus dimensionality, i.e. generalized cohomology theory.

- Homotopic
- Exactness
- Excision
- Additivity

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- Homotopic
- Exactness
- Excision
- Additivity

Simplicial Homotopy theory

Definition ((Closed) Model category)

\mathcal{C} with 3 distinguished classes of morphisms $(\mathcal{W}, \mathcal{F}, \mathcal{C})$:

- \mathcal{C} is bicomplete.
- \mathcal{W} has the '2-out-of-3'.
- $(\mathcal{C}, \mathcal{F} \cap \mathcal{W})$ and $(\mathcal{C} \cap \mathcal{W}, \mathcal{F})$ both form weak factorization systems.

Definition (Serre fibration)

A map $\rho : X \rightarrow Y$ is called a Serre fibration if for every finite CW complex A , the map ρ has the right lifting property with respect to the inclusion map $A \times 0 \rightarrow A \times [0, 1]$.

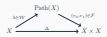
Proposition (Classical Quillen model structure on \mathbf{Top})

Consider morphisms $f : X \rightarrow Y$ in \mathbf{Top} . We can define a model structure on \mathbf{Top} with the following distinguished classes of maps $(\mathcal{W}, \mathcal{F}, \mathcal{C})$ as such,

1. $f \in \mathcal{W}$ if f is a weak homotopy equivalence in \mathbf{Top} , i.e., $f : X \rightarrow Y$ is a map whose induced homomorphisms on homotopy groups (for every basepoint) are bijective.
2. $f \in \mathcal{F}$ if f is a Serre fibration.
3. $f \in \mathcal{C}$ if f is a retract of a relative cell complex. A relative cell complex is just an arbitrary cell complex not necessarily countable like in the case of CW complexes.

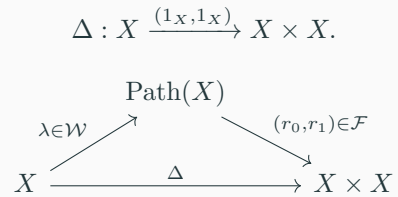
Homotopy I

Definition (Path space object)
Let \mathcal{C} be a model category with the distinguished class of maps $(\mathcal{W}, \mathcal{F}, \mathcal{C})$. Then define the path object for $X \in \mathcal{C}$ as the object obtained in its factorization of the diagonal morphism

$$\Delta : X \xrightarrow{(1_X, 1_X)} X \times X.$$


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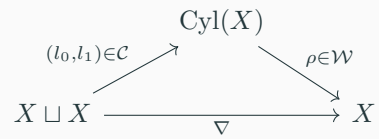
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Definition (Cylinder object)

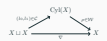
Let \mathcal{C} be a model category with the distinguished class of maps $(\mathcal{W}, \mathcal{F}, \mathcal{C})$. Then define the cylinder object for $X \in \mathcal{C}$ as the object obtained from the factorization of the codiagonal “folding” morphism

$$\nabla : X \sqcup X \xrightarrow{[1_X, 1_X]} X.$$



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Definition (Fibrant objects)

Let \mathcal{C} be a model category with distinguished classes of maps $(\mathcal{W}, \mathcal{F}, \mathcal{C})$.
An object $A \in \mathcal{C}$ is said to be fibrant if the unique mapping into the terminal object $(f : A \rightarrow 1) \in \mathcal{F}$.

Dually we have the notion of a cofibrant object.

Definition (Cofibrant objects)

As defined above, an object $B \in \mathcal{C}$ is said to be cofibrant if the unique mapping from the initial object $(g : 0 \rightarrow B) \in \mathcal{C}$.

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Homotopy iii

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Example

We shall see examples in more detail when we discuss examples of model categories.

1. The canonical example is that Kan complexes in the classical model structure of simplicial sets are fibrant.
2. All topological spaces in the classical model structure of topological spaces are fibrant.
3. In the projective model category of chain complexes the complexes with injective objects are cofibrant and the complexes with projective objects are fibrant.

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Example

We shall see examples in more detail when we discuss examples of model categories.

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2. All topological spaces in the classical model structure of topological spaces are fibrant.
3. In the projective model category of chain complexes the complexes with injective objects are cofibrant and the complexes with projective objects are fibrant.

Proposition

If $X \in \mathcal{C}$ a model category is fibrant then the map $\rho = (\rho_0, \rho_1) : \text{Path}(X) \rightarrow X \times X$ is a fibration and also the induced maps ρ_0, ρ_1 are individually acyclic fibrations into X . Dually we have the map from $X \sqcup X \rightarrow \text{Cyl}(X)$ is a cofibration and the individual maps from $X \rightarrow \text{Cyl}(X)$ are acyclic cofibrations provided X is a cofibrant object.

Definition (Left homotopy in a model category)

Let \mathcal{C} be a model category and objects $X, Y \in \mathcal{C}$.

If we have $f, g : X \rightarrow Y$ then a left homotopy if it exists is a diagram of the following sort

$H_l : f \rightarrow g$ is a morphism $H_l : \text{Cyl}(X) \rightarrow Y$ making the below diagram commute.

$$\begin{array}{ccc}
 X & & \\
 \downarrow l_1 & \searrow f & \\
 \text{Cyl}(X) & \xrightarrow{H_l} & Y \\
 \uparrow l_0 & \nearrow g & \\
 X & &
 \end{array}$$

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Definition (Left homotopy in a model category)

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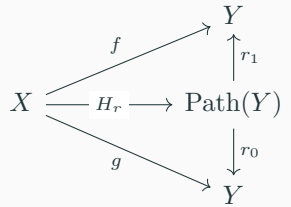
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 \uparrow l_0 & \nearrow g & \\
 X & &
 \end{array}$$

Definition (Right homotopy in a model category)

Let \mathcal{C} be a model category and objects $X, Y \in \mathcal{C}$.
If we have $f, g : X \rightarrow Y$ then a right homotopy if it exists is a diagram of the following sort
 $H_r : f \rightarrow g$ is a morphism, $H_r : X \rightarrow \text{Path}(Y)$ making the below diagram commute.



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Homotopy vii

Definition (Right homotopy in a model category)
Let \mathcal{C} be a model category and objects $X, Y \in \mathcal{C}$.
If we have $f, g : X \rightarrow Y$ then a right homotopy if it exists is a diagram of the following sort
 $H_r : f \rightarrow g$ is a morphism, $H_r : X \rightarrow \text{Path}(Y)$ making the below diagram commute.

Lemma

Let \mathcal{C} be a model category and $f, g : X \rightarrow Y$ be morphisms. If X is cofibrant then a left homotopy implies existence of a right homotopy independent on choice of path space object.

Corollary

If as defined above X is cofibrant and Y is fibrant then left and right homotopies between them coincide and form an equivalence relation.

Definition (Simplex/finite ordinal category)

We refer to Δ as the simplex category. It is defined by the objects of finite non empty, totally ordered sets,

$$[n] = \{0 \rightarrow 1 \rightarrow \dots \rightarrow n\}$$

maps between these objects are order preserving, i.e. non decreasing maps between totally ordered sets. $f : [m] \rightarrow [n]$ is a map such that $f(0) \leq f(1) \leq \dots \leq f(m)$.
The category formed by all such finite non empty, totally ordered sets and all the mappings between them is referred to as the simplex category Δ .

Example

$f : [1] \rightarrow [5]$, defined by $f(0 \rightarrow 1) = 2 \rightarrow 4$ $f : [2] \rightarrow [5]$, defined by $f(0 \rightarrow 1 \rightarrow 2) = 2 \rightarrow 3 \rightarrow 4$. $f : [3] \rightarrow [5]$, defined by $f(0 \rightarrow 1 \rightarrow 2 \rightarrow 3) = 3 \rightarrow 4 \rightarrow 4 \rightarrow 5$.

Theorem (Density theorem)

Let \mathcal{C} be a small category, every object $X \in \mathbf{Sets}^{\mathcal{C}^{op}}$ is a colimit of representable functors for a index category J the category of elements of X ,

$$\operatorname{colim}_{j \in J} yC_j \cong X.$$

Example

$g : [4] \rightarrow [2]$ defined by $g(0 \rightarrow 1 \rightarrow 2 \rightarrow 3 \rightarrow 4) = 0 \rightarrow 0 \rightarrow 1 \rightarrow 1 \rightarrow 1$.

Note that all morphisms in Δ are generated by a natural family of functions called coface and degeneracy maps defined as below.

Definition (Coface maps)

$d^i : [n-1] \rightarrow [n]$ the injection which misses the i^{th} element in $[n]$.
Explicitly, for $0 \leq i \leq n$

$$d^i(k) = \begin{cases} k, & k < i \\ k+1, & k \geq i \end{cases}$$

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Simplicial sets ii

Example

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Definition (Codegeneracy maps)

$s^i : [n + 1] \rightarrow [n]$ the surjection which maps two elements to i .

$$s^i(k) = \begin{cases} k, & k \leq i \\ k - 1, & k > i \end{cases}$$

These obey the relations,

$d^j d^i = d^i d^{j-1},$	$i < j$
$s^j s^i = s^i s^{j+1},$	$i \leq j$
$s^j d^i = 1,$	$i = j, j + 1$
$s^j d^i = d^i s^{j-1},$	$i < j$
$s^j d^i = d^{i-1} s^j,$	$i > j + 1.$

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Simplicial sets iii

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$s^j d^i = d^{i-1} s^j,$	$i > j + 1.$

Definition (Simplicial set)

A simplicial set is a functor $X : \Delta^{\text{op}} \rightarrow \mathbf{Set}$, i.e. presheaves on Δ . It comprises of a collection of sets $X_n = X([n])$ which we to call the set of n -simplices of X with maps between them corresponding naturally with maps in Δ .

Furthermore corresponding to coface maps from $[n-1] \rightarrow [n]$ in Δ we get a family of face maps between simplices $d_i : X_n \rightarrow X_{n-1}$, $0 \leq i \leq n$. The degeneracy maps corresponding to codegeneracy maps $[n+1] \rightarrow [n]$ as a family of maps $s_i : X_n \rightarrow X_{n+1}$. Defined as such,

$$\begin{aligned} d_i &= X d^i : X_n \rightarrow X_{n-1} & 0 \leq i \leq n \\ s_i &= X s^i : X_n \rightarrow X_{n+1} & 0 \leq i \leq n \end{aligned}$$

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Simplicial sets iv

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These obey the standard relations,

$$\begin{array}{ll} d_i d_j = d_{j-1} d_i, & i < j \\ s_i s_j = s_{j+1} s_i & i \leq j \\ d_i s_j = 1, & i = j, j+1 \\ d_i s_j = s_{j-1} d_i, & i < j \\ d_i s_j = s_j d_{i-1}, & i > j+1 \end{array}$$

The face maps d_i can be understood as mapping each n -simplex $x \in X_n$ to $n+1$ many $n-1$ simplicies $d_i(x)$ $0 \leq i \leq n$ in X_{n-1} , the i^{th} face does not contain the i^{th} vertex of x .

Similarly for degeneracy maps s_i we can understand it as mapping $x \in X_n$ to $n+1$ many $n+1$ simplicies in X_{n+1} and $s_i(x)$ has x as its i^{th} and $i+1^{\text{th}}$ face.

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Proposition

Any simplicial set can be expressed as a colimit of standard n simplices, where the indexing category is the category of simplices. In particular for $X \in \mathbf{sSet}$ we have,

$$\operatorname{colim}_{x \in X_n} \Delta[n] \cong X.$$

Definition (Simplicial objects in arbitrary categories)

For \mathcal{C} an arbitrary category a simplicial object in \mathcal{C} is a functor $C : \Delta^{op} \rightarrow \mathcal{C}$.

Definition (Geometric realization of a standard n -simplicial set)

We define a functor $|\cdot| : \mathbf{sSet} \rightarrow \mathbf{Top}$ as such. Send each standard n simplex $\Delta[n]$ to the standard n -topological simplex. In particular,

$$|\Delta[n]| = \{(x_0, \dots, x_{n+1}) | 0 \leq x_i \leq 1, \sum x_i = 1\} \subset \mathbb{R}^{n+1}.$$

We can then define the geometric realization of a standard n -simplex as $|\Delta[n]| = \Delta_n$ where Δ_n the standard topological n -simplex.

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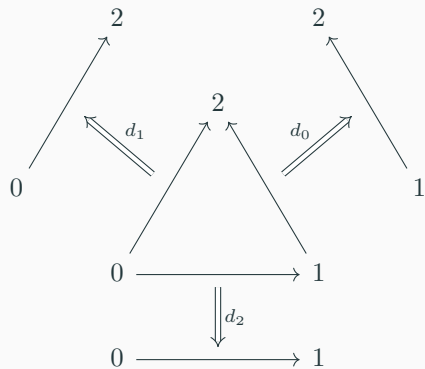
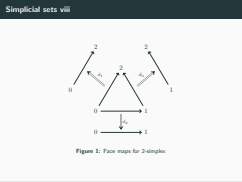


Figure 1: Face maps for 2-simplex

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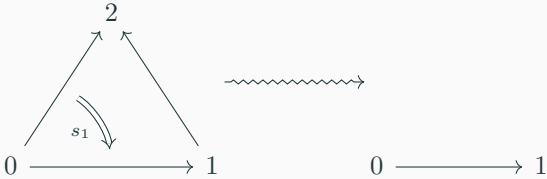


Figure 2: Degeneracy maps for 2-simplex

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Figure 2: Degeneracy maps for 2-simples

Definition (Nerve of a small category)

Let \mathcal{C} be a small category we define its nerve as the following simplicial set $N(\mathcal{C})_0 = \text{Ob}(\mathcal{C})$, \mathcal{C} and $N(\mathcal{C})_1 = \text{Mor}(\mathcal{C})$ and $N(\mathcal{C})_k = \{(f_1, \dots, f_k) | f_i \in \text{Mor}(\mathcal{C})\}$ consists of k -tuples of composable arrows, face maps defined as

$$d_i(f_1, \dots, f_i, f_{i+1}, \dots, f_k) = (f_1, \dots, f_i \circ f_{i+1}, \dots, f_k)$$

and degeneracy maps defined as

$$s_j = (f_1, \dots, f_k) = (f_1, \dots, f_{j-1}, 1, f_j, \dots, f_k).$$

In a concise manner the nerve is simply the simplicial set consisting of n -simplices of the form $N(\mathcal{C}_n) := \text{Hom}([n], \mathcal{C})$.

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Classifying spaces i

Definition (Nerve of a small category)

Let \mathcal{C} be a small category we define its nerve as the following simplicial set $N(\mathcal{C})_0 = \text{Ob}(\mathcal{C})$, \mathcal{C} and $N(\mathcal{C})_1 = \text{Mor}(\mathcal{C})$ and $N(\mathcal{C})_k = \{(f_1, \dots, f_k) | f_i \in \text{Mor}(\mathcal{C})\}$ consists of k -tuples of composable arrows, face maps defined as

$$d_i(f_1, \dots, f_i, f_{i+1}, \dots, f_k) = (f_1, \dots, f_i \circ f_{i+1}, \dots, f_k)$$

and degeneracy maps defined as

$$s_j = (f_1, \dots, f_k) = (f_1, \dots, f_{j-1}, 1, f_j, \dots, f_k).$$

In a concise manner the nerve is simply the simplicial set consisting of n -simplices of the form $N(\mathcal{C}_n) := \text{Hom}([n], \mathcal{C})$.

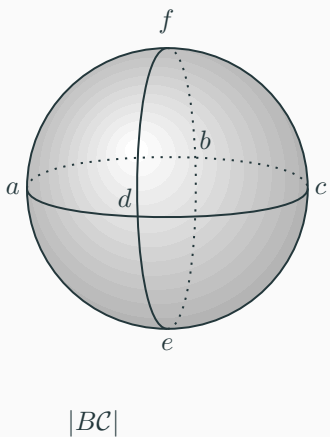
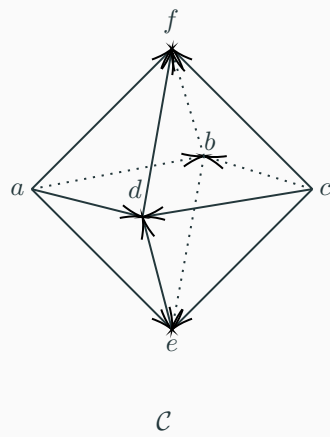
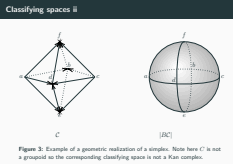


Figure 3: Example of a geometric realization of a simplex. Note here C is not a groupoid so the corresponding classifying space is not a Kan complex.

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Higher Algebraic K-Theory: A simplicial approach
└ Simplicial Homotopy theory
└ Classifying spaces



Definition (Horn of a standard n -simplex)

Given a standard n -simplex $\Delta[n]$ the k^{th} horn is denoted as $\Lambda_k[n]$ for $0 \leq k \leq n$. It is a subset of $\Delta[n]$ generated by all faces except the k^{th} face.

Definition (Kan complexes)

$X \in \mathbf{sSets}$ is a Kan complex if all horns on X can be filled. In particular this means that any map $\Lambda_k[n] \rightarrow X$ can be extended to a map $\Delta[n] \rightarrow X$.

Kan complexes ii

Definition (Kan fibration)

A simplicial map $f : X \rightarrow Y$ is said to be a Kan fibration if it has the right lifting property against all horn inclusions, i.e. the lift h below always exists.

$$\begin{array}{ccc}
 \Lambda_k[n] & \longrightarrow & X \\
 \downarrow & \nearrow h & \downarrow f \\
 \Delta[n] & \longrightarrow & Y
 \end{array}$$

Proposition

A small category \mathcal{C} is a groupoid if and only if BC is a Kan complex.

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Higher Algebraic K-Theory: A simplicial approach

└ Simplicial Homotopy theory

└ Kan complexes

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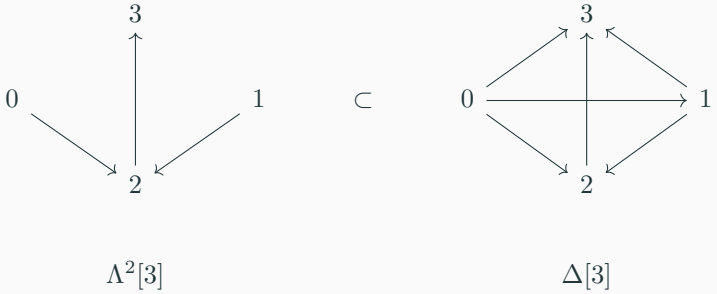
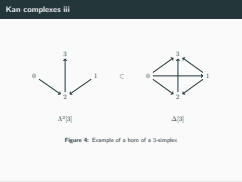


Figure 4: Example of a horn of a 3-simplex

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Higher Algebraic K-Theory: A simplicial approach
└ Simplicial Homotopy theory
└ Kan complexes



Definition (Barycentric subdivision of a standard simplicial set)
For $\Delta[n]$ define its barycentric subdivision $\mathrm{sd}\Delta[n]$ as the nerve of the poset of non-degenerate simplices $\mathrm{nd}\Delta[n]$, i.e. $\mathrm{sd}\Delta[n] = \mathrm{Bnd}\Delta[n]$.
For an arbitrary simplicial set X we proceed via the colimit of its representatives as one may expect,
$$\mathrm{sd}X = \mathrm{colim}_{x \in X_n} \mathrm{sd}(\Delta[n]).$$

This notion of a barycentric subdivision is exactly the same as that commonly encountered in standard algebraic topology.

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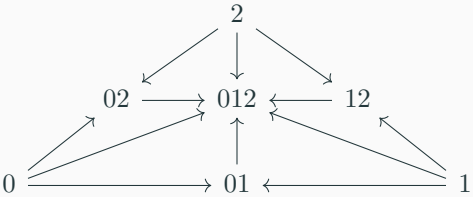


Figure 5: Example of the barycentric subdivision of $\Delta[2]$.

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Higher Algebraic K-Theory: A simplicial approach
└ Simplicial Homotopy theory
└ Ex^∞ functor



Figure 5: Example of the barycentric subdivision of $\Delta[2]$.

Definition (Ex functor)

For $X \in \mathbf{sSets}$ define Ex levelwise as $\mathrm{Ex}(X)_n = \mathrm{Hom}(\mathrm{sd}\Delta[n], X)$.
Since we know $X_n \cong \mathrm{Hom}(\Delta[n], X)$ we have by construction Ex is right adjoint to sd.
There is a natural map $X \rightarrow \mathrm{Ex}(X)$. Iterating this procedure repeatedly and taking a colimit of the diagram,

$$X \rightarrow \mathrm{Ex}(X) \rightarrow \mathrm{Ex}^2(X) \rightarrow \dots$$

We obtain the simplicial set $\mathrm{Ex}^\infty X$.
The elements of $\mathrm{Ex}^\infty(X)_1$ consist of ‘zig-zags’ of morphisms in X .



Theorem
For any simplicial set X , $\mathrm{Ex}^\infty(X)$ is a Kan complex.

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Higher Algebraic K-Theory: A simplicial approach
└ Simplicial Homotopy theory
└ Ex[∞] functor

Ex[∞] functor iii

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```
graph TD
    T1(( )) --> B1(( ))
    T1 --> B2(( ))
    T2(( )) --> B2
    T2 --> B3(( ))
    B1 -.-> B1R[...]
    B3 -.-> B3R[...]
```

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Simplicial homotopy groups are defined only for fibrant objects.
With this in mind we define the simplicial homotopy groups of Kan complexes as such.
We begin with a notion of a ‘simplicial sphere’.

Definition (Boundary of a standard simplicial set)

Let $\Delta[n] \in \mathbf{sSets}$ denote the standard n simplicial set. We denote its boundary as $\partial\Delta[n]$ and it is defined as the subsimplicial set of $\Delta[n]$ consisting of all non-degenerate m simplices for $m < n$. That is to say all except its unique non-degenerate n simplex.
The way to visualize this is to think of the fact that the geometric realization of $\partial\Delta[n]$ is precisely homotopic to S^{n-1} .

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- Higher Algebraic K-Theory: A simplicial approach
 - └ Simplicial Homotopy theory
 - └ Simplicial homotopy groups

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└ Simplicial Homotopy theory

└ Simplicial homotopy groups

Definition (Simplicial homotopy groups)

Let $X \in \mathbf{sSets}$ be a Kan complex, choose some vertex $v \in X_0$.
 Define $\pi_0(X)$ as the set of simplicial homotopy classes of vertices of X .
 Define the underlying set of $\pi_n(X, v)$ as the set of homotopy classes of morphisms $\alpha : \Delta[n] \rightarrow X$ such that these take the boundary of $\Delta[n]$ to the point x , i.e. there exists a commutative diagram as such.

$$\begin{array}{ccc} \Delta[n] & \xrightarrow{\alpha} & X \\ \uparrow & & \uparrow \\ \partial\Delta[n] & \longrightarrow & \Delta[0] \end{array}$$

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Consider the following n -simplices in X ,

$$\begin{cases} v_i &= v, 0 \leq i \leq n-2, \\ v_{n-1} &= \alpha, \\ v_{n+1} &= \beta. \end{cases}$$

Note that $d_i v_j = d_{j-1} v_i$ for $i < j, i, j \neq n$. Therefore these v_i define a simplicial map,

$$(v_0, \dots, v_{n-1}, -, v_{n+1}) : \Delta_n[n+1] \rightarrow X$$

which since X is fibrant gives us a lift θ .

$$\begin{array}{ccc} \Delta_n[n+1] & \xrightarrow{(v_0, \dots, v_{n-1}, -, v_{n+1})} & X \\ \downarrow & \nearrow \theta & \\ \Delta[n+1] & & \end{array}$$

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Higher Algebraic K-Theory: A simplicial approach
└ Simplicial Homotopy theory
└ Simplicial homotopy groups

Simplicial homotopy groups iii

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Simplicial homotopy groups iv

Note that,

$$\begin{aligned}\partial(d_n\theta) &= (d_0d_n\theta, \dots, d_{n-1}d_n\theta, d_nd_n\theta) \\ &= (d_{n-1}d_0\theta, \dots, d_{n-1}d_{n-1}\theta, d_nd_{n+1}\theta) \\ &= (v, \dots, v).\end{aligned}$$

Therefore, $d_n\theta$ is an element of $\pi_n(X, v)$. We define the group product as $[f] \cdot [g] = [d_n\theta]$. It still remains to show that the choice of $d_n\theta$ is independent of the representatives and the lift θ . Also that the product indeed defines a group. For this see Goerss-Jardine [7.1, 7.2].

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Proposition

A simplicial map $f : X \rightarrow Y$ is a weak equivalence (classically) if and only if $\mathrm{Ex}^\infty(f) : \mathrm{Ex}^\infty(X) \rightarrow \mathrm{Ex}^\infty(Y)$ is a simplicial homotopy equivalence.

Proposition (Classical model structure on simplicial sets)

Denoted as $\mathbf{sSets}_{\mathrm{Quillen}}$ the classical model structure on simplicial sets consists of the following classes of morphisms

1. Weak equivalences are given as simplicial weak equivalences.
2. Fibrations are given as Kan fibrations.
3. Cofibrations are given by monomorphisms (levelwise injections).

In this model structure the fibrant objects are precisely Kan complexes and every simplicial set is cofibrant.

There exists a Quillen adjunction between the classical model structure on simplicial sets and the classical model structure on topological spaces. The Quillen adjunction is induced by none other than the singularisation-geometric realisation adjunction.

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Higher Algebraic K-Theory: A simplicial approach

└ Simplicial Homotopy theory

└ A Quillen equivalence

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Quillen Q construction

Definition (Exact category)

An exact category (sometimes referred to as a Quillen exact category) is a pair (\mathcal{E}, E) for \mathcal{E} an additive category which is a full subcategory of some abelian category \mathcal{A} . Along with a family of sequences E of the form,

$$0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0.$$

Which are short exact sequences in \mathcal{A} and if in a sequence of the above form $A, C \in \mathcal{E}$ then B is isomorphic to some element which is in $\text{Ob}(C)$, (i.e. it is closed under extensions).

Example

For A a commutative ring with unity, the collection of finitely generated projective A modules forms an exact category.

Example

Every abelian category is trivially exact over itself.

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Torsion free abelian groups over the category of abelian groups is exact but not abelian.

Definition (K_0 for an exact category \mathcal{E})

$K_0(\mathcal{E})$ is generated by the isomorphism classes $[B]$ for each $B \in \text{Ob}(\mathcal{E})$ and a relation of $[B] = [A] + [C]$ for all short exact sequences,

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Higher Algebraic K-Theory: A simplicial approach

└─ Quillen Q construction

└─ Exact categories

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$$A \leftarrow P \rightarrow B$$

by saying that the top and bottom pictures in the diagram



are equivalent if the displayed isomorphism exists, making the diagram commute.

Higher Algebraic K-Theory: A simplicial approach

└ Quillen Q construction

└ Q construction of an exact category

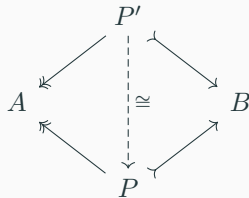
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Q construction of an exact category

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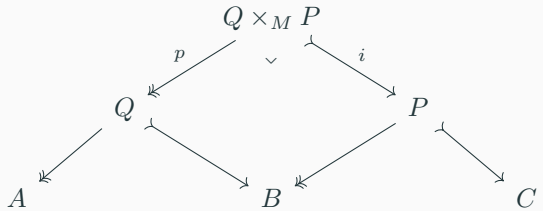
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Q construction of an exact category ii

The category $Q\mathcal{E}$ has for objects all objects of \mathcal{E} . The morphisms $A \rightarrow B$ are the equivalence classes of the pictures above. Composition is defined by pullback:



In order for composition in $Q\mathcal{E}$ to be coherent we expect the morphism i and p as shown above to actually be admissible monics and epis respectively.

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Admissible monics are closed under pullbacks along admissible epis, and admissible epis are closed under pushouts along admissible monics.

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Higher Algebraic K-Theory: A simplicial approach

└ Quillen Q construction

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- Higher Algebraic K-Theory: A simplicial approach
 - Quillen Q construction
 - Equivalence of groupoids

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Equivalence of groupoids ii

Proof Strategy

We construct functors in both directions and show they are mutually inverse.

1. A functor $\psi : GQ\mathcal{E} \rightarrow K_0(\mathcal{E})$.
2. A functor $\psi^{-1} : K_0(\mathcal{E}) \rightarrow GQ\mathcal{E}$.

Functor 1: Constructing $\psi : GQ\mathcal{E} \rightarrow K_0(\mathcal{E})$

We first define a map ψ_* from the generating morphisms of $Q\mathcal{E}$ to the group $K_0(\mathcal{E})$.

- **On Admissible Epimorphisms (\twoheadrightarrow):** For an epi $p : P \twoheadrightarrow B$, we define its image as the class of its kernel in K_0 .

$$\psi_*(p) = [\ker p] \in K_0(\mathcal{E})$$

This choice correctly preserves composition, as the proof shows that for a composite epi $b \circ p$, we get $\psi_*(b \circ p) = \psi_*(b) + \psi_*(p)$.

Higher Algebraic K-Theory: A simplicial approach

└ Quillen Q construction

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- By the universal property of the free groupoid (G) , since $K_0(\mathcal{E})$ is itself a groupoid, our map ψ_* on generators extends uniquely to the required functor $\psi : GQ\mathcal{E} \rightarrow K_0(\mathcal{E})$.

Functor 2: Constructing $\psi^{-1} : K_0(\mathcal{E}) \rightarrow GQ\mathcal{E}$

- The group $K_0(\mathcal{E})$ is a groupoid with one object. We map this single object to the zero object $0 \in GQ\mathcal{E}$.
- A morphism in $K_0(\mathcal{E})$ is an element $[B]$. We map it to the canonical morphism in $GQ\mathcal{E}$ given by the sequence $0 \rightarrowtail B \twoheadrightarrow B$.

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└ Quillen Q construction

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• This functor respects the group law. The proof shows that the defining relation of K_0 from a short exact sequence $0 \rightarrow A \rightarrow C \rightarrow B \rightarrow 0$ is preserved. That is, the construction using a pullback diagram confirms:

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Equivalence of groupoids iv

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Conclusion

The two functors ψ and ψ^{-1} are constructed to be mutually inverse up to natural isomorphism. For instance, applying them in sequence shows that $\psi(\psi^{-1}([P])) = [P]$. Thus, they establish the desired equivalence of groupoids.

Corollary

There is an isomorphism of groups $K_0(\mathcal{E}) \cong \pi_1(BQ\mathcal{E}, 0)$

Proof.

We have an equivalence of groupoids, $GP_*(BQ\mathcal{E}) \simeq K_0(\mathcal{E})$. Since the groupoid $K_0(\mathcal{E})$ has only one object, it is connected. The equivalence implies that the groupoid $GP_*(BQ\mathcal{E})$ is also connected.

The fundamental group $\pi_1(BQ\mathcal{E}, 0)$ is, by definition, the automorphism group of the object 0 in the fundamental groupoid. Therefore,

$$\pi_1(BQ\mathcal{E}, 0) \cong \text{Aut}_{K_0(\mathcal{E})}(*) \cong K_0(\mathcal{E}).$$

□

This finally motivates the following definition of K -groups via the Q -construction.

Definition (Higher K -groups)

$$K_n(\mathcal{E}) = \pi_{n+1}(BQ\mathcal{E}, 0)$$

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Higher Algebraic K-Theory: A simplicial approach

└─ Quillen Q construction

└─ Higher K groups with the Q construction

Higher K groups with the Q construction i

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Higher Algebraic K-Theory: A simplicial approach

└ Waldhausen s_\bullet construction

Waldhausen s_\bullet construction

Waldhausen s_\bullet construction

Definition (Waldhausen s_\bullet -construction)

Let \mathcal{E} be an exact category with its distinguished class of exact sequences E . For each integer $n \geq 0$, let $\text{Arr}(\mathbf{n})$ be the arrow category of the ordinal n .

Define $s_n(\mathcal{E})$ to be the set of all functors

$$P : \text{Arr}(n) \rightarrow \mathcal{E}$$

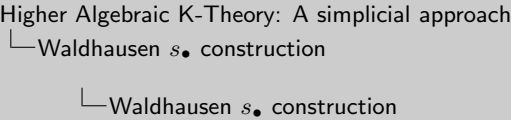
such that the following conditions hold:

- 1. $P(i, i) \cong 0$ for all $0 \leq i \leq n$.
- 2. For any sequence $i \leq j \leq k$ in n , the sequence induced by the morphisms $(i, j) \rightarrow (i, k)$ and $(i, k) \rightarrow (j, k)$ in $\text{Arr}(\mathbf{n})$, namely

$$0 \rightarrow P(i, j) \rightarrowtail P(i, k) \twoheadrightarrow P(j, k) \rightarrow 0$$

is an exact sequence in E .

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Waldhausen s_\bullet construction i

Definition (Waldhausen s_\bullet -construction)
Let \mathcal{E} be an exact category with its distinguished class of exact sequences E . For each integer $n \geq 0$, let $\text{Arr}(\mathbf{n})$ be the arrow category of the ordinal n .
Define $s_n(\mathcal{E})$ to be the set of all functors

$$P : \text{Arr}(\mathbf{n}) \rightarrow \mathcal{E}$$

such that the following conditions hold:

1. $P(i, i) \cong 0$ for all $0 \leq i \leq n$.
2. For any sequence $i \leq j \leq k$ in n , the sequence induced by the morphisms $(i, j) \rightarrow (i, k)$ and $(i, k) \rightarrow (j, k)$ in $\text{Arr}(\mathbf{n})$, namely

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Waldhausen s_\bullet construction II

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Higher Algebraic K-Theory: A simplicial approach

└─ Waldhausen s_\bullet construction└─ Waldhausen s_\bullet construction

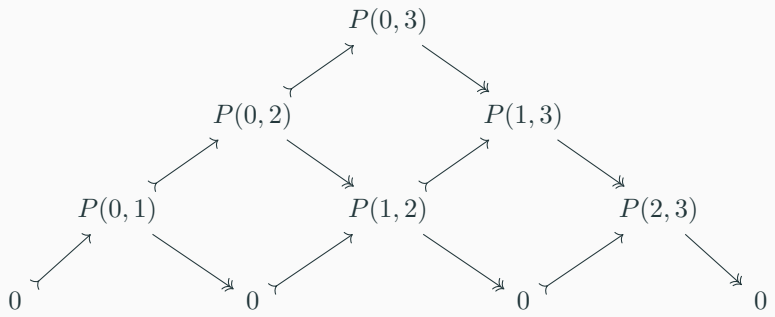
A functor P satisfying these conditions is called an exact functor in this context.

These sets form a simplicial set $s_\bullet(\mathcal{E})$ whose n -simplices are the elements of $s_n(\mathcal{E})$.

This simplicial set $s_\bullet(\mathcal{E})$ is the Waldhausen s_\bullet -construction for the exact category \mathcal{E} .

Example

The following is a picture of exact $P : \text{Arr}(\mathbf{3}) \rightarrow \mathcal{E}$. Note that all the squares are pullback+pushout diagrams (bicartesian) since two parallel admissible epis share kernels.



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└ Waldhausen s_\bullet construction

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To recover the more familar definition of the Waldhausen construction
note that the above diagram is generated by the string of monics

$$0 \rightarrowtail P(0,1) \rightarrowtail P(0,2) \rightarrowtail P(0,3)$$

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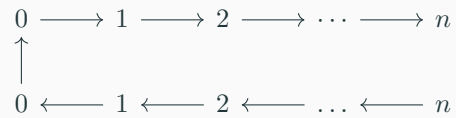
by attaching all cokernels.

Definition (Join of elements in a poset)

For two elements in a poset their join is just their coproduct.

Example

When \mathbf{n} is a ordinal number consider \mathbf{n}^{op} then $\mathbf{n}^{\text{op}} \vee \mathbf{n} \cong \mathbf{2n} + \mathbf{1}$. This can be seen in the following diagram.



This defines a functor $e : \Delta \rightarrow \Delta$, as $e(\mathbf{n}) = \mathbf{n}^{\text{op}} \vee \mathbf{n}$.

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└ Waldhausen s_\bullet construction

└ Segal edgewise subdivision

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Definition (Segal’s edgewise subdivision of a simplicial set)

For $X \in \mathbf{sSets}$ consider the functor $X^e = Xe^{\mathrm{op}}$, i.e.

$$X_n^e = X(\mathbf{n}^{\mathrm{op}} \vee \mathbf{n})$$

. The face and degeneracy maps are defined as such,

$$\begin{aligned} d_i^e &= d_{n-i}d_{n+1+i} : X_{2\mathbf{n}+1} \rightarrow X_{2\mathbf{n}-1} \\ s_j^e &= s_{n-j}s_{n+1+j} : X_{2\mathbf{n}+1} \rightarrow X_{2\mathbf{n}+3} \end{aligned}$$

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- Higher Algebraic K-Theory: A simplicial approach
 - Waldhausen s_\bullet construction
 - Segal edgewise subdivision

Segal edgewise subdivision ii

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Segal edgewise subdivision iii

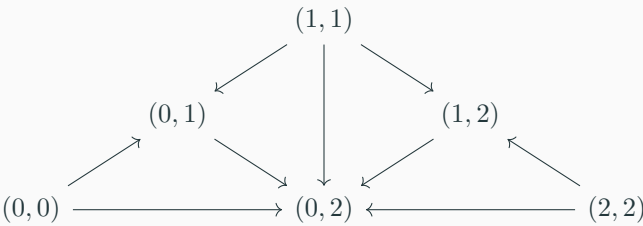
Example

Consider $\Delta[2]$ the standard 2-simplex.

$$\Delta[2]_0^e = \Delta[2]_1 = \{(0 \rightarrow 0), (0 \rightarrow 1), (0 \rightarrow 2), (1 \rightarrow 1), (1 \rightarrow 2), (2 \rightarrow 2)\}$$

$$\Delta[2]_1^e = \Delta[2]_3 = \{(0 \rightarrow 0 \rightarrow 0 \rightarrow 0), (0 \rightarrow 0 \rightarrow 0 \rightarrow 1), (0 \rightarrow 0 \rightarrow 0 \rightarrow 2), (0 \rightarrow 0 \rightarrow 1 \rightarrow 1), (0 \rightarrow 0 \rightarrow 1 \rightarrow 2), (0 \rightarrow 0 \rightarrow 2 \rightarrow 2), (0 \rightarrow 1 \rightarrow 1 \rightarrow 1), (0 \rightarrow 1 \rightarrow 1 \rightarrow 2), (0 \rightarrow 1 \rightarrow 2 \rightarrow 2), (0 \rightarrow 2 \rightarrow 2 \rightarrow 2), (1 \rightarrow 1 \rightarrow 1 \rightarrow 1), (1 \rightarrow 1 \rightarrow 1 \rightarrow 2), (1 \rightarrow 1 \rightarrow 2 \rightarrow 2), (1 \rightarrow 2 \rightarrow 2 \rightarrow 2), (2 \rightarrow 2 \rightarrow 2 \rightarrow 2)\}$$

With an appropriate abuse of notation we see a much clearer picture of the subdivision $\Delta[2]^e$ as such. Each chain (f_1, f_2, f_3, f_4) corresponds to $(f_2, f_3) \rightarrow (f_1, f_4)$



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└ Waldhausen s_\bullet construction

└ Segal edgewise subdivision

Segal edgewise subdivision iii

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Definition

For an exact category \mathcal{E} define $\text{Iso}_n(\mathcal{E})$ as the category whose objects are all strings

$$Q: Q_0 \xrightarrow{\cong} Q_1 \xrightarrow{\cong} \dots \xrightarrow{\cong} Q_n$$

of isomorphisms of length n . The morphisms are natural transformations. This can be understood as a natural groupoid-ification of an exact category.

Lemma

Let \mathcal{E} be an exact category. Define functors $f: \mathcal{E} \rightarrow \text{Iso}_n(\mathcal{E})$ by $P \mapsto (P \xrightarrow{1_P} P \xrightarrow{1_P} \dots \xrightarrow{1_P} P)$ and $g: \text{Iso}_n(\mathcal{E}) \rightarrow \mathcal{E}$ by $(Q_0 \xrightarrow{q_0} Q_1 \rightarrow \dots \xrightarrow{q_{n-1}} Q_n) \mapsto Q_0$. Then f and g form an exact equivalence of categories.

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└ Waldhausen s_\bullet construction

└ Relationship between Quillens and Waldhausens constructions

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Let \mathcal{E} be an exact category. Define functors $f: \mathcal{E} \rightarrow \text{Iso}_n(\mathcal{E})$ by $P \mapsto (P \xrightarrow{1_P} P \xrightarrow{1_P} \dots \xrightarrow{1_P} P)$ and $g: \text{Iso}_n(\mathcal{E}) \rightarrow \mathcal{E}$ by $(Q_0 \xrightarrow{q_0} Q_1 \rightarrow \dots \xrightarrow{q_{n-1}} Q_n) \mapsto Q_0$. Then f and g form an exact equivalence of categories.

Relationship between Quillens and Waldhausens constructions

ii

Definition (Simplicial exact category)

Define $S_{\bullet}(\mathcal{E})$ as a category whose objects are exact functors $P : \text{Arr}(\mathbf{n}) \rightarrow \mathcal{E}$ as previously defined. The morphisms in the category are natural transformations between functors.

We consider the groupoidification of the exact categories $S_{\bullet}(\mathcal{E})^e$ and $BQ\mathcal{E}$.

The simplicial set map $\pi : S_{\bullet}(\mathcal{E})^e \rightarrow BQ\mathcal{E}$ is the object-level part of a map of simplicial groupoids, also denoted π :

$$\pi : \text{Iso}(S_{\bullet}(\mathcal{E}))^e \rightarrow \text{Iso}(BQ\mathcal{E})$$

As a map of simplicial groupoids, this π acts on objects as previously defined and also provides a consistent mapping for the natural isomorphisms (the morphisms within these groupoids).

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Higher Algebraic K-Theory: A simplicial approach

└ Waldhausen s_{\bullet} construction

└ Relationship between Quillens and Waldhausens constructions

Relationship between Quillens and Waldhausens constructions
ii

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As a map of simplicial groupoids, this π acts on objects as previously defined and also provides a consistent mapping for the natural isomorphisms (the morphisms within these groupoids).

Lemma

The morphism of groupoids $\pi_n : \text{Iso}(S_\bullet(\mathcal{E}))_n^e \rightarrow \text{Iso}(BQ\mathcal{E})_n$ induces a weak equivalence between their nerves $B\text{Iso}(S_\bullet(\mathcal{E}))_n^e \simeq B\text{Iso}(BQ\mathcal{E})_n$

Theorem (Equivalence between Waldhausen's s_\bullet and Quillen Q construction)

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└ Waldhausen s_\bullet construction

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Relationship between Quillens and Waldhausens constructions iv

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└ Waldhausen s_\bullet construction

└ Relationship between Quillens and Waldhausens constructions

Proof Strategy

The proof establishes the two weak equivalences in the chain separately.

1. The first equivalence, $s_\bullet(\mathcal{E}) \simeq s_\bullet(\mathcal{E})^e$, is a general fact about Segal's edgewise subdivision.
2. The second equivalence, $s_\bullet(\mathcal{E})^e \simeq BQ\mathcal{E}$, is the core of the proof and uses the "2-out-of-3" property for weak equivalences.

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Part 1: Equivalence via Edgewise Subdivision

- The map $\omega : s_{\bullet}(\mathcal{E})^e \rightarrow s_{\bullet}(\mathcal{E})$ is the natural projection from the edgewise subdivision to the original simplicial set.
- **Key Fact (Thm 6.1.5):** For any simplicial set X , the map from its edgewise subdivision $X^e \rightarrow X$ is a weak equivalence.
- Therefore, the first link in our chain, $s_{\bullet}(\mathcal{E}) \simeq s_{\bullet}(\mathcal{E})^e$, holds.

Part 2: Equivalence via the "2-out-of-3" Property

We need to show that the map $\pi : s_{\bullet}(\mathcal{E})^e \rightarrow BQ\mathcal{E}$ constructed in the text is a weak equivalence. We use the following commutative diagram:

$$\begin{array}{ccc} s_{\bullet}(\mathcal{E})^e & \xrightarrow[\simeq]{\eta} & B(\text{Iso}(S_{\bullet}(\mathcal{E})^e)) \\ \downarrow \pi & & \simeq \downarrow B(\tilde{\pi}) \\ BQ\mathcal{E} & \xrightarrow[\simeq]{\eta'} & B(\text{Iso}(BQ\mathcal{E})) \end{array}$$

The strategy is to show the other three maps are weak equivalences.

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└ Waldhausen s_{\bullet} construction

└ Relationship between Quillens and Waldhausens constructions

Relationship between Quillens and Waldhausens constructions
v

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Relationship between Quillens and Waldhausens constructions

- (a) The right vertical map $B(\tilde{\pi})$ is a weak equivalence.
 - This follows from Lemma 6.2.4, which shows that $\tilde{\pi}$ is an *equivalence of categories* at each level. An equivalence of categories induces a weak equivalence on their nerves (classifying spaces).
- (b) The top horizontal map η is a weak equivalence.
 - This map includes the objects of the exact category $S_n(\mathcal{E})^e$ into the nerve of its groupoid of isomorphisms. By Lemma 6.2.2, an exact category is equivalent to its category of isomorphism strings, so their nerves are weakly equivalent.
- (c) The bottom horizontal map η' is a weak equivalence for the exact same reason, since BQE_k is an exact category for each level k .

Conclusion

Since the maps η , η' , and $B(\tilde{\pi})$ are all weak equivalences, the 2-out-of-3 property for weak equivalences implies that our map $\pi : s_{\bullet}(\mathcal{E})^e \rightarrow BQ\mathcal{E}$ must also be a weak equivalence.

Combining both parts, we have the full chain of equivalences:

$$s_{\bullet}(\mathcal{E}) \simeq s_{\bullet}(\mathcal{E})^e \simeq BQ\mathcal{E}.$$

□

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└ Waldhausen s_{\bullet} construction

└ Relationship between Quillens and Waldhausens constructions

Relationship between Quillens and Waldhausens constructions
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Theorem (Additivity Theorem)

Let \mathcal{E} be an exact category. The simplicial set map

$$(t_*, s_*) : s_{\bullet}\mathrm{Ex}(\mathcal{E}) \rightarrow s_{\bullet}\mathcal{E} \times s_{\bullet}\mathcal{E}$$

is a weak equivalence. Here t_* , s_* are induced by taking the kernel and cokernel, respectively.

Proof Strategy

The proof works by interpreting this map as a map between two fibrations over the common base space $s_{\bullet}\mathcal{E}$ and then showing it induces a weak equivalence on the fibers.

Step 1: The Fibrations

We consider two fibrations over $s_{\bullet}\mathcal{E}$. The first is the map $s_* : s_{\bullet}\mathrm{Ex}(\mathcal{E}) \rightarrow s_{\bullet}\mathcal{E}$ which projects a diagram of exact sequences to its diagram of cokernels. The second is the standard projection from the

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 - Additivity theorem

Additivity theorem I

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Additivity theorem ii

product, $pr_2 : s.\mathcal{E} \times s.\mathcal{E} \rightarrow s.\mathcal{E}$. The map (t_*, s_*) respects these projections and is therefore a map of fibrations.

Step 2: The Fibers

To show the map of total spaces is a weak equivalence, we analyze the map it induces on the homotopy fibers over an arbitrary point $P \in s.\mathcal{E}$. The fiber of s_* over P is the space of exact sequences whose cokernels are given by P , which we denote $s_*^{-1}(P)$. The fiber of pr_2 over P is simply $s.\mathcal{E} \times \{P\}$, weakly equivalent to $s.\mathcal{E}$. The map on the fibers is thus given by $t_* : s_*^{-1}(P) \rightarrow s.\mathcal{E}$, which takes an exact sequence with cokernel P to its kernel.

Step 3: The Crucial Lemma

The argument hinges on the key technical result from the text (Lemma 6.3.1), which states that the fiber map

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 - Additivity theorem

Additivity theorem ii

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The map of fibrations (t_*, s_*) must be a weak equivalence on the total spaces precisely because it is a weak equivalence on both the base space (it's the identity) and on all the fibers (by the lemma). This completes the sketch.

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 - └ Waldhausen s_\bullet construction
 - └ Additivity theorem

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Definition (Stable Equivalence of K-theory Spectra)

A map $\phi_* : K(\mathcal{E}_1) \rightarrow K(\mathcal{E}_2)$ of symmetric spectra, induced by an exact functor $f : \mathcal{E}_1 \rightarrow \mathcal{E}_2$, is a stable equivalence if it induces isomorphisms on all stable homotopy groups:

$$\pi_n(\phi_*) : \pi_n(K(\mathcal{E}_1)) \xrightarrow{\cong} \pi_n(K(\mathcal{E}_2)) \quad \text{for all } n \in \mathbb{Z}.$$

The stable homotopy groups $\pi_n(K(\mathcal{E}))$ are defined as $\text{colim}_k \pi_{n+k}(K(\mathcal{E})^k)$. A map of symmetric spectra is a stable equivalence if it is an isomorphism in the stable homotopy category. For K-theory spectra, $\pi_n K(\mathcal{E})$ often corresponds to the classical K_n -groups of \mathcal{E} for $n \geq 0$ and are zero for $n < 0$.

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 - Waldhausen s_\bullet construction
 - K-theory spectrum

K-theory spectrum i

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Theorem (Resolution Theorem)

Suppose that \mathcal{P} is full and closed under extensions in the exact category \mathcal{E} , and that \mathcal{P} and \mathcal{E} satisfy the following conditions,

1. All admissible epis $P \twoheadrightarrow P'$ between objects of \mathcal{P} in \mathcal{E} are admissible epis of \mathcal{P} .
2. Given any admissible epi $f : Q \twoheadrightarrow P$ with $P \in \mathcal{P}$, there is a commutative diagram as such,

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└ Waldhausen s_\bullet construction

└ Resolution/Dévissage

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Higher Algebraic K-Theory: A simplicial approach

└─ Waldhausen s_\bullet construction

└─ Resolution/Dévissage

Then the inclusions

$$\mathcal{P} \subset \mathcal{P}_1 \subset \mathcal{P}_2 \subset \cdots \subset \mathcal{P}_\infty$$

induce stable equivalences

$$K(\mathcal{P}) \simeq K(\mathcal{P}_1) \simeq K(\mathcal{P}_2) \simeq \cdots \simeq K(\mathcal{P}_\infty).$$

Theorem (Dévissage Theorem)
Suppose that \mathcal{B} is a non-empty subcategory of a small abelian category \mathcal{A} which is closed under taking finite direct sums, subobjects and quotients in \mathcal{A} . Suppose that every object Q of \mathcal{A} has a finite filtration
$$0 = F_{-1} \hookrightarrow F_0 \hookrightarrow F_1 \hookrightarrow \cdots \hookrightarrow F_n = Q$$
with all filtration quotients $F_i/F_{i-1} \in \mathcal{B}$. Then the inclusion $i : \mathcal{B} \rightarrow \mathcal{A}$ induces a stable equivalence $K(\mathcal{B}) \simeq K(\mathcal{A})$.

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References



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