Two real use cases of FAIR maturity indicators in the life sciences

This manuscript was automatically generated on August 11, 2019.

Authors

· Serena Bonaretti

© 0000-0003-4264-1773 · ♥ sbonaretti · ❤ SerenaBonaretti

Department of Bioinformatics - BiGCaT, NUTRIM, Maastricht University, The Netherlands

• Egon Willighagen

© 0000-0001-7542-0286 · ♥ egonw · ♥ egonwillighagen

Department of Bioinformatics - BiGCaT, NUTRIM, Maastricht University, The Netherlands

Abstract

Data sharing and reuse are crucial to enhance scientific progress and maximize return of investments in science. Although attitudes are increasingly favorable, data reuse remains difficult for lack of infrastructures, standards, and policies. The FAIR (findable, accessible, interoperable, reusable) principles aim to provide recommendations to increase data reuse. Because of the broad interpretation of the FAIR principles, maturity indicators are necessary to determine FAIRness of a dataset. In this work, we propose a reproducible computational workflow to assess data FAIRness in the life sciences. Our implementation follows principles and guidelines recommended by the maturity indicator authoring group and integrates concepts from the literature. In addition, we propose a FAIR balloon plot to summarize and compare dataset FAIRness. We evaluated our method on two real use cases where researchers looked for datasets to answer their scientific questions. We retrieved information from repositories (ArrayExpress and Gene Expression Omnibus), a registry of repositories (re3data.org), and a searchable resource (Google Dataset Search) via application program interface (API) wherever possible. With our analysis, we found that the two datasets met the majority of the criteria defined by the maturity indicators, and we showed areas where improvements can easily be reached. We suggest that use of standard schema for metadata and presence of specific attributes in registries of repositories could increase FAIRness of datasets.

Keywords:

FAIR guidelines; FAIR Maturity indicators; Life sciences; Jupyter notebook

Introduction

Data sharing and data reuse are two complementary aspects of modern research. Researchers share their data for a sense of community, to demonstrate integrity of acquired data, and to enhance quality and reproducibility of research [1]. In addition, data sharing is supported by the emerging citation system for datasets, scientific journal requirements, and funding agencies that want to maximize their return on investments in science [2], [3]. At the same time, researchers are eager to reuse available data to integrate information that answer interdisciplinary research questions and to optimize use of funding [4]. Although attitudes towards data sharing and reuse are increasingly favorable [1], data discovery and reuse remain difficult in practice [5]. Studies show that 40% of qualitative datasets were never downloaded, and about 25% of data is used only up to 10 times [6]. In addition, Vines et al. demonstrated that data availability decreases 17% per year due to the lack of appropriate hardware to access old storage media or because data were lost [7]. To be effective, data sharing and reuse need appropriate infrastructure, standards, and policies [5].

In 2016, the FORCE 11 group proposed guidelines to increase data reuse in the life sciences. These guidelines aimed to make data findable, accessible, interoperable, and reusable, and were summarized with the acronym FAIR [8]. In a short time the FAIR guidelines have gained remarkable popularity, and they are currently supported by funding agencies and political entities such as the European Commission, the National Institutes of Health in the United States, and institutions in Africa and Australia [9]. In addition, academic and institutional initiatives were launched to promote and implement data FAIRness, such as GOFAIR and FAIRsharing. Although largely adopted, the FAIR principles do not specify any technical requirement as they are deliberately intended as aspirational [9]. The lack of practical specifications generated a large spectrum of interpretations and concerns and raised the need to define measurements of data FAIRness. Some of the authors of the seminal paper proposed a set of FAIR metrics [10], subsequently reformulated as FAIR maturity indicators [11]. At the same time, they invited consortia and communities to suggest and create alternative evaluators. The majority of the proposed tools are online questionnaires that researchers and repository curators can manually fill to assess the FAIRness of their data (Table 1). However, the FAIR metrics guidelines emphasize the importance of creating "objective, quantitative, [and] machineinterpretable" evaluators [10]. Following these criteria, two platforms have recently been developed to automatically compute FAIR maturity indicators: FAIR Evaluation Services and FAIRshake. The first platform offers an evaluation of maturity indicators and compliance tests [11], whereas the second platform provides metrics, rubrics and evaluators for registered digital resources [12]. Both platforms provide use cases for FAIRness assessment, however they do not provide systematic analysis of evaluated datasets and repositories. Literature reports two studies evaluating FAIRness for large datasets. Dunning et al. [13] used a qualitative approach to investigate 37 repositories and databases. They assessed FAIRness using a traffic-light rating system that ranges from no to full compliance. Differently, Weber et al. [14] implemented a computational workflow to analyze the retrieval of more than a million images from five repositories. They proposed metrics specific for images, including time and place of acquisition to assess image provenance. The first study provides valuable concrete guidelines to assess data FAIRness, however the implementation was manual, differently from what the guidelines suggest. On the other side, the second study is a relevant example of computational implementation, although limited to retrieval of images and evaluation of 10 out of 15 criteria, and without unique correspondence between FAIR principles and maturity indicators.

In this paper, we propose a computational approach to calculate FAIR maturity indicators in the life sciences. We followed the recommendations provided by the Maturity Indicator Authoring Group (MIAG) [11] and we created a visualization tool to summarize and compare FAIR maturity indicators across various datasets and/or repositories. We tested our approach on two real use cases where researchers retrieved data from scientific repositories to answer their research questions. Finally, we

made our work open and reproducible by implementing our computations in a Jupyter notebook using python.

Table 1: Online FAIR evaluators and studies in the literature assessing FAIRness of data repositories (the symbol ✓ indicates "yes", the symbol - indicates "no").

Authors	Questionnaire / Platform	Manual Assessment	Automatic Assessment			Data / Code Repository
			Code / Language	Metadata Format	Protocol / Library	
FAIRness evaluators		•	•			•
Wilkinsons et al. [<u>10</u>]	-	✓	-	-	-	<u>GitHub</u>
Australian Research Data Commons	FAIR self-assessment tool	✓	-	-	-	-
Commonwealth Scientific and Industrial Research Organization	5 star data rating tool	✓	-	-	-	-
Data Archiving and Networked Services	FAIR enough? and FAIR data assessment tool	✓	-	-	-	-
GOFAIR consortium	FAIR ImplementationMatrix	✓	-	-	-	Open Science Framework
EUDAT2020	How FAIR are your data?	✓	-	-	-	<u>Zenodo</u>
Wilkinsons et al. [<u>11</u>]	FAIR evaluation services	-	Ruby on Rails	JSON, Microformat, JSSON-LD, RDFa	nanopublications	<u>GitHub</u>
Clark et al. [12]	<u>FAIRshake</u>	-	Django and python	RDF	Extruct	GitHub
Studies assessing FAIRr	ess of repositories	•				•
Dunning et al. [13]	-	✓	-	-	-	Institutional repository
Weber et al. [<u>14</u>]	-	-	python	DataCite	OAI-PMH	GitLab
Our approach	-	✓ (partially)	Jupyter notebook with python	XML, JSON	request	GitHub

Materials and methods

Use cases in the life sciences

We asked two available researchers in our department for a case where they looked for datasets in a scientific repository to answer a research questions. For each use case, the name used throughout the paper, research question, and investigated repository are:

- *Parkinsons_AE*: What are the differentially expressed genes between normal subjects and subjects with Parkinson's diseases in the brain frontal lobe? To answer this question, the researcher looked for a dataset in the search engine of ArrayExpress, a repository for microarray gene expression data based at the European Bioinformatics Institute (EBI), United Kingdom [15];
- *NBIA_GEO*: What is the effect of the *WDR45* gene mutation in the brain? In this case, the researcher looked for a dataset in the search engine of Gene Expression Onmibus (GEO), a repository containing gene expression and other functional genomics data hosted at the National Center for Biotechnology Information (NCBI), United States [16].

What is data and what is metadata?

The FAIR principles use the terminology *data*, *metadata*, and *(meta)data* (principles fully listed in Table 2). For our computational implementation, we needed precise definitions of these terms:

- *data*: According to the Merrian-Webster online dictionary, *data* are "information in digital form that can be transmitted or processed" [17];
- *metadata*: In the Merrian-Webster online dictionary, *metadata* are defined as "data that provide information about other data" [18];
- (meta)data: We interpreted it as data and/or metadata. We used (meta)data as:
 - data for the principles R1, R1.1, and R1.2;
 - metadata for the principles I1 and I3;
 - data and metadata for the principles F1, F4, and A1.

In our implementation, these terms assumed the following meaning:

- *data*: It is the actual dataset that researchers analyzed to answer their research question. The analysis of the dataset itself is out of the scope of this study;
- *metadata*: For the following principles, the corresponding *metadata* are:
 - F2: Information that allow researchers to find the dataset s/he looks for. It coincides with the keywords used in the search;
 - F3: Identifier of the dataset in the repository;
 - I3: Reference to other metadata;
 - R1: Information about the dataset, other than the search keywords;
 - R1.1: Data license:
 - R1.2: Data provenance as publication title, author names, and one author's email address.

In all cases, we assumed that *data* and *metadata* were hosted in the same repository.

Calculating FAIR maturity indicators

Because the FAIR guidelines emphasize on the importance of *data* and *metadata* being "machine-interpretable", we collected information about datasets and repositories via an application programming interface (API) wherever possible. We queried three different sources:

- Data repositories (<u>ArrayExpress</u> and <u>Gene Expression Omnibus</u>): We programmatically queried each repository using the same keywords researchers had used in their manual query when looking for a dataset. From the obtained metadata, we retrieved information to calculate maturity indicators for the principles F2, F3, I1, I3, R1, and R12;
- Registry of repository: We queried <u>re3data.org</u>, a registry containing information about more than 2000 data repositories from various disciplines. We used the retrieved information to compute the maturity indicators for the principles F1, A2, and R12;
- Searchable resource: We queried <u>Google Dataset Search</u>, an emerging search engine specific for datasets, to quantify the principle F4.

The output of queries consisted of information structured in xml. Details about the computation of each specific maturity indicator are in Table 2 and in our Jupyter notebook (interactive on binder). To each maturity indicator, we assigned binary value 1 if the criterion was satisfied and 0 in the opposite case. The only exception was the maturity indicator F2, calculated as the ratio between the number of keywords in the metadata over the total number of keywords used by the researcher in the manual query, and thus ranging from 0 to 1. Similar to previous studies [19],[13],[14], we did not evaluate maturity indicators for the principles I2 and R1.3.

Table 2: FAIR principles and corresponding evaluation criteria proposed by the Maturity Indicator Authoring Group [19], Dunning et al. [13], Weber et al. [14], and our approach. The criteria used in the first two works are extracted from their publication text, whereas the criteria by Weber et al. are from Table IV of their paper. The metrics Weber et al. developed are Q_{geo} for image location, Q_{time} for the time of picture acquisition, Q_{ret} when data is automatically downloadable only given its metadata, and Q_{lic} for found license. In our approach, *dataset* metadata refers to metadata retrieved from ArrayExpress and Gene Expression Omnibus, whereas *registry* metadata consists of metadata retrieved from re3data.org. In addition, we specify use of *(meta)data* as (data), (metadata), or (data and metadata), and automatic (A) or manual (M) procedure to retrieve information. Acronyms: GUID = Globally Unique IDentifier, DOI = Digital Object Identifier.

FAIR principles [8]	Guidelines by the Maturity Indicator Authoring Group [19]	Dunning et al. [13]	Weber et al. [<u>14</u>]	Our approach
F1: (meta)data are assigned a globally unique and persistent identifier	The GUID matches a scheme that is globally unique and persistent in FAIRsharing	Persistent identifier is DOI or similar	Pass (embedded in DataCite)	"doi" icon is enabled in www.re3data.org (data and metadata) (M)
F2: data are described with rich metadata (defined by R1 below)	Metadata contains "structured" elements (micrograph, JSON) or linked data (JSON-LD, RDFa)	Title, creator, date, contributors, keywords, temporal and spatial coverage	Q geo ^{, Q} chrono	Search keywords are in <i>dataset</i> metadata (A)
F3: metadata clearly and explicitly include the identifier of the data it describes	Metadata contains both its own GUID and the data GUID	DOI of data is in metadata	Pass (embedded in DataCite)	Dataset metadata contains dataset ID (A)
F4: (meta)data are registered or indexed in a searchable resource	The digital resource can be found using web-based search engines	Dataset title found in google.com or duckduckgo.com	Pass	Dataset title found in Google Dataset Search (data and metadata) (M)
A.1 (meta)data are retrievable by their identifier using a standardized communications protocol	N/A	HTTP request returns 200	Q _{ret}	HTTP request returns 200 (data and metadata) (A)
A1.1 the protocol is open, free, and universally implementable	The resolution protocol is universally implementable with an open protocol	Accomplished if protocol is HTTP	Q ret	Accomplished if protocol is HTTP (A)
A1.2 the protocol allows for an authentication and authorization procedure, where necessary	The resolution protocol supports authentication and authorization for access to restricted content	Accomplished if protocol is HTTP	Q ret	Accomplished if protocol is HTTP (A)

FAIR principles [8]	Guidelines by the Maturity Indicator Authoring Group [19]	Dunning et al. [<u>13</u>]	Weber et al. [<u>14</u>]	Our approach
A2. metadata are accessible, even when the data are no longer available	There is a policy for metadata	Repository has a clear policy statement	N/A	"data availability policy" is filled in <i>registry</i> metadata (A)
I1. (meta)data use a formal, accessible, shared, and broadly applicable language for knowledge representation	If hash-style metadata (e.g. JSON) or Linked Data are found, pass	Metadata is structured (e.g. Dublin Core)	Pass (embedded in DataCite)	Dataset metadata is structured (e.g. xml) (metadata) (M)
I2. (meta)data use vocabularies that follow FAIR principles	(meta)data uses vocabularies that are, themselves, FAIR	N/A	N/A	N/A
I3. (meta)data include qualified references to other (meta)data	Metadata contain links that are not from the same source (domain/host)	Links to publications and terms definitions	N/A	Dataset metadata includes reference to other dataset IDs (metadata) (M)
R1. meta(data) are richly described with a plurality of accurate and relevant attributes	N/A	Metadata provide information on how to reuse a dataset	Q geo ^{, Q} chrono	Dataset metadata contain more information than search keywords (F2) (data) (A)
R1.1. (meta)data are released with a clear and accessible data usage license	Metadata contains a pointer to the data license	Metadata license is present	Q _{lic}	"datalicensename" and "datalicenseurl" are filled in <i>reg</i> istry metadata (data) (A)
R1.2. (meta)data are associated with detailed provenance	N/A	Documentation on how data was created	N/A	"authors", "email" and "title" are filled in <i>dataset</i> metadata (data) (A)
R1.3. (meta)data meet domain- relevant community standards	N/A	N/A	N/A	N/A

Visualizing FAIR maturity indicators

To summarize and compare FAIRness of datasets, we developed the FAIR balloon plot using the R package ggplot2 [20]. In the graph, each row corresponds to a use case and each column to a FAIR maturity indicator. The size of each shape is the value of a specific FAIR maturity indicator for a particular dataset. Diamonds represent maturity indicators determined manually, circles depict maturity indicators established automatically, and crosses illustrate the maturity indicators we did not compute. Finally, colors represent the group of principles in the acronym: blue for findable, red for accessible, green for interoperable, and orange for reusable.

Results

For both use cases, metadata contained all keywords used in the manual search (F2), dataset unique identifiers (F3), and additional information for data reuse (R1). In addition, they were structured in xml format (I1) and were released with a clear usage license (R11). The protocol used to retrieve all information was HTTP, which is standardized (A1), open, free and universally implementable (A11), and allows for authentication where needed (A12). In both cases, metadata were not assigned a persistent identifier (F1) and did not reference to other metadata (I3). Finally, the dataset of the use case *Parkinson_AE* was listed in Google Dataset Search (F4) and had detailed provenance (R12), whereas the dataset *NBIA_GEO* did not. Comparative summary of results is in Figure 1, and details of findings are in Table 3.

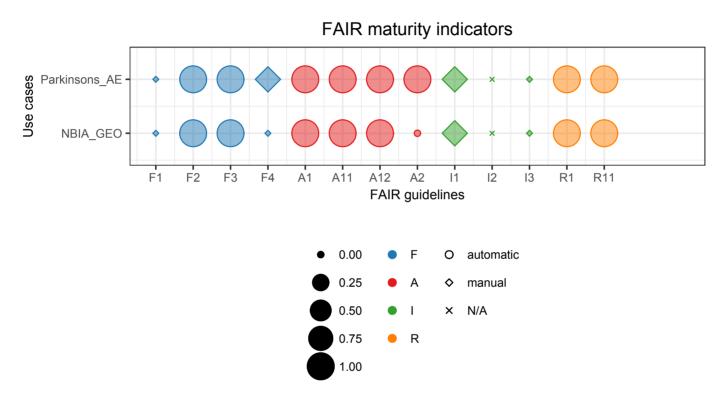


Figure 1: FAIR billog rap holt. Comparative summary of FAIR maturity indicators for the way we retrieved information (NA - note) represent FAIR categories, and shapes illustrate the way we retrieved information (NA - note) represent FAIR categories, and shapes illustrate the way we retrieved information (NA - note) represent FAIR categories, and shapes illustrate the way we retrieved information (NA - note) represent FAIR categories, and shapes illustrate the way we retrieved information (NA - note) retrieved i

Table 3: Comparison of API systems and FAIR maturity indicators for the two uses cases analyzed in this work. For each maturity indicator, we indicate the outcome in natural language and in numbers (1 for pass and 0 for fail).

Use case	Parkinsons_AE	NBIA_GEO		
Repository / Database	Array Express	Gene Expression Omnibus		
Search output on browser	link	link		
API				
Туре	REST	REST		
Documentation	link	link		
Output format	XML	XML		
FAIR maturity indicators				
F1 (Persistent identifier)	No (0)	No (0)		
F2 (Findable metadata)	parkinson's disease, normal, homo sapiens, transcription profiling by array, raw data, frontal lobe, male, female (1)	nbia, homo sapiens, expression profiling by array (1)		
F3 (Unique identifier)	219251 (1)	200070433 (1)		
F4 (Google Dataset Search)	Yes (1)	No (0)		

Use case	Parkinsons_AE	NBIA_GEO
A1 (Communication protocol)	request status code = 200 (1)	request status code = 200 (1)
A11 (Open and free protocol)	Yes (1)	Yes (1)
A12 (Communication protocol)	Yes (1)	Yes (1)
A2 (Metadata always accessible)	Yes: https://www.ebi.ac.uk/arrayexpress/help/data_availability.html (1)	No (0)
I1 (Language representation)	XML (1)	XML (1)
I2 (FAIR vocabularies)	Not evaluated (None)	Not evaluated (None)
I3 (Reference to other metadata)	No (0)	No (0)
R1 (Metadata for reuse)	56 metadata fields (1)	58 metadata fields (1)
R1.1 (License)	name: other url: https://www.ebi.ac.uk/arrayexpress/help/ data_availability.html (1)	name: other url: http://www.ncbi.nlm.nih.gov/geo/info/disclaimer.html (1)
R1.2 (Provenance)	Authors: Garcia-Esparcia P, Schlüter A, Carmona M, Moreno J, Ansoleaga B, Torrejón-Escribano B, Gustincich S, Pujol A, Ferrer I Email: aschluter@idibell.org Title: Functional genomics reveals dysregulation of cortical olfactory receptors in parkinson disease: novel putative chemoreceptors in the human brain (1)	No (0)
R1.3 (Community standards)	Not evaluated (None)	Not evaluated (None)

Discussion

We proposed a semiautomatic computational workflow to evaluate FAIR maturity indicators for scientific data repositories in the life sciences. We tested our method on two real use cases where researchers looked for datasets to answer their scientific questions. The two cases scored similarly. Finally, we created a FAIR balloon plot to summarize and compare our results, and we made our workflow open and reproducible.

Real use cases in the life sciences were the starting point of our computational implementation. In their guidelines, the MIAG suggests to calculate maturity indicators starting from a global unique identifier (GUID) (e.g. InChI, DOI, Handle, URL) [19]. However, a priori knowledge of a GUID often signifies that a researcher has already found and accessed the dataset s/he is going to reuse. In addition, it assumes that the repository of interest provides unique identifiers, which is not the case for ArrayExpress and Gene Expression Omnibus, based on the information we retrieved from re3data.org. Similar to Weber et al. [14], we decided to start our computations from dataset retrieval. We asked two researchers in our departments to show us how they looked for the datasets of interest and which keywords they used. Then, we computationally reproduced their manual search by programmatically retrieving data and metadata using their same keywords. We recognize that this approach limits the generalization of FAIRness calculation. While creating a use case for every dataset is extremely demanding, the same dataset could be used to answer different research questions. However, we think that an exhaustive set of real use cases could provide valuable insights on how to practically achieve data FAIRness, as demonstrated in the literature [21].

To assess data FAIRness, we implemented criteria that follow principles and guidelines recommended by the MIAG [$\frac{19}{1}$], reused concepts from similar studies in the literature [$\frac{13}{1}$], [$\frac{14}{1}$], and added new considerations (Table $\frac{2}{1}$):

- Findability: The criteria to assess principles F1 (unique identifier), F3 (metadata includes identifier), and F4 ((meta)data are indexed) are similar for all authors. In our case, to assess F1 we investigated whether a repository provides DOI in the registry re3data.org. We chose this registry because it is one of the largest registries of scientific repositories, and it provides an open API. For F3, we accepted any dataset identifier provided by the repository as the principle does not explicitly mention restrictions on the characteristics of the identifier. Finally, for F4 we looked for dataset titles in Google Dataset Search. We chose this searchable resource because it could become one of the main search engines specific for data in the future, similar to Google Scholar for publications. Opposite to the previous maturity indicators, the implementation of F2 (data are described with rich metadata) has large variations across authors. The MIAG recommends to evaluate whether metadata contains "structured" elements, Dunning et al. looked for attributes that favor findability, whereas Weber et al. used metrics of time and space of image acquisition. We followed the criteria suggested by Dunning et al. and looked for the keywords that researchers had used in their manual search to find datasets.
- Accessibility: Similar to the other authors, we retrieved our data using the HTTP protocol, which is
 free, open and allows for authentication, and thus satisfies all the requirements of the A1 group.
 Also, there is concordance among authors for the principle A2, which requires that a repository
 should explicitly provide a policy for data availability. In our implementation, we looked for the
 policy in re3data.org.
- Interoperable: Similarly to the MIAG, we assigned a positive score to metadata in a structured file
 format, such as xml (I1). On the other side, Dunning et al. and Weber et al. suggested that
 metadata should be in a standardized schema, such as <u>Dublin Core</u> or <u>DataCite</u>, which would

increase data interoperability and simplify retrieval. None of the studies assessed I2 (vocabularies are FAIR) because it would require a separate implementation that includes the recursive nature of the FAIR principles. Finally, for I3 all authors looked for references to other datasets in metadata.

• *Reusable*: Although the MIAG does not provide any guideline, authors implemented different ways to assess R1 (plurality of relevant attributes). While Weber et al. used the same metrics for F2, Dunning et al. focused on metadata that provide information on how to reuse a dataset. In our implementation, we assess the presence of metadata attributes other than search keywords. The principles R11 (availability of data usage license) and R12 (data provenance) had a straight-forward implementation for all authors. In our approach, we looked for a data license in re3data.org and for authors, author emails, and title of the corresponding publication in the metadata from the dataset repository. Finally, none of the authors evaluated whether metadata follow community standards (R13), as community agreements are not formally established yet.

We assessed FAIR maturity indicators using a mixed manual and automatic approach. In the literature, Dunning at al. used a fully manual approach to assess the maturity indicators, whereas Weber et al. used a completely automatic approach, calculating 10 of the total 15 maturity indicators. Our mixed approach enabled automatic assessment of maturity indicators wherever possible, and to manually complement when we could not retrieve information via API. Because repositories do not use a standardized metadata schema, our mixed implementation required prior manual investigation of metadata attributes for each repository. For example, ArrayExpress uses the attributes "authors", "email", and "title" that we could use for the principle R12,

whereas Gene Express Omnibus does not have attributes for provenance.

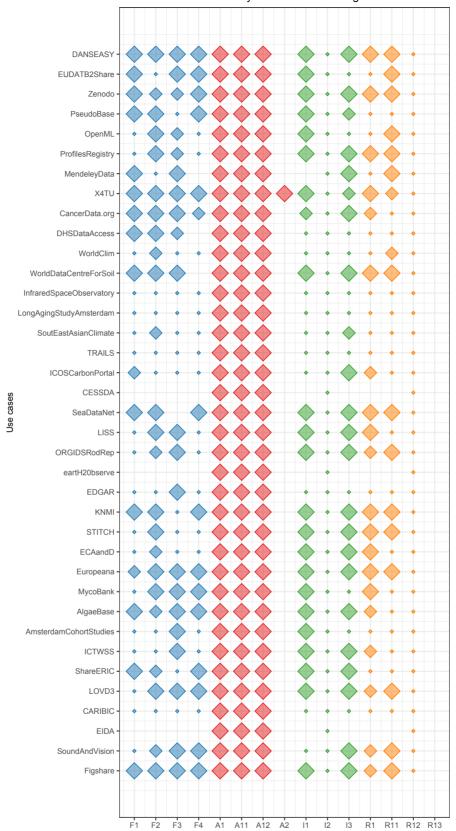
To summarize and compare dataset FAIRness, we created a FAIR balloon plot. As the MIAG guidelines recommend, we did not create a final score to avoid concerns for data and resource providers [11]. In our plot, we combined colors, sizes, and shapes of graphical elements to provide a summary of principles, scores, and type of information retrieval (manual, automatic, not assessed) for each dataset. In this visualization, a dataset that reached full FAIRness would have all maturity indicators depicted as circles with maximum size, meaning full score and automatic retrieval. In addition, by vertically stacking representations for different datasets, we can visually compare FAIRness levels for each maturity indicator. In the literature, another example of visualization are *insigna*, created for the platform FAIRshake [12]. They consist of multiple squares colored from blue (satisfactory) to red (unsatisfactory) for different levels of FAIRness. In addition, they can dynamically expand to visualize multiple scores calculated using different rubrics (i.e. criteria). Although this representation embeds the possibility of using different criteria, it does not allow direct comparison across datasets. Finally, we applied our FAIR balloon plot to the results collected by Dunning et al. to demonstrate that this kind of visualization can be reused for FAIR assessment with other criteria (Figure 2).

To make our analysis open and reproducible, we implemented our workflow in a Jupyter notebook. However, changes to APIs or metadata attributes could affect reproducibility of the results. The possibility of querying a specific version of a repository could be a possible solution. In addition, we implemented our approach in python, a language increasingly used in various scientific communities that can potentially favor extension and reuse of our work. For new datasets, FAIR maturity indicators could be evaluated by changing the search procedure and the values assigned manually.

The two analyzed datasets (*Parkinsons_AE* and *NBIA_GEO*) met the majority of the criteria used to assess FAIRness. Higher FAIRness compliance could be reached by using a standard schema for metadata (e.g. <u>Dublin Core</u>, <u>DataCite</u>, or <u>schema.org</u>), which could include all attributes required by the principles, and by providing explicit information about data policy, licenses, etc. to registries of repositories.

In conclusion, we proposed a reproducible computational workflow to assess data FAIRness in the life sciences, and we created a FAIR balloon plot to summarize and compare FAIRness compliance. We evaluated our approach on two real cases, and we demonstrated that the FAIR balloon plot can be extended to other FAIRness analyses. Finally, we suggested that use of standard schema for metadata and presence of specific attributes in registries of repositories could increase FAIRness of datasets.

FAIR maturity indicators - Dunning et al.



Acknowledgments

This work received funding from from the European Union's Horizon 2020 research and innovation programme via NanoSolvelT Project under grant agreement No 814572 and via RiskGONE Project under grant agreement No 814425. We would like to thank Nasim B. Sangani (0000-0002-3560-2058), Gwen Keulen, and Friederike Ehrhart (0000-0002-7770-620X) for the use cases, Tobias Weber (0000-0003-1815-7041) for the insightful discussion about data retrieval, and Lauren Dupuis (0000-0003-2606-3045) for revising our manuscript.

We created this manuscript using manubot [22].

References

1. Attitudes and norms affecting scientists' data reuse

Renata Gonçalves Curty, Kevin Crowston, Alison Specht, Bruce W. Grant, Elizabeth D. Dalton PLOS ONE (2017-12-27) https://doi.org/gcrjn3

DOI: 10.1371/journal.pone.0189288 · PMID: 29281658 · PMCID: PMC5744933

2. Credit data generators for data reuse

Heather H. Pierce, Anurupa Dev, Emily Statham, Barbara E. Bierer

Nature (2019-06) https://doi.org/gf3j9t

DOI: 10.1038/d41586-019-01715-4 · PMID: 31164773

3. Who Shares? Who Doesn't? Factors Associated with Openly Archiving Raw Research Data

Heather A. Piwowar

PLoS ONE (2011-07-13) https://doi.org/cgvpdd

DOI: 10.1371/journal.pone.0018657 · PMID: 21765886 · PMCID: PMC3135593

4. Exploring visual representations to support data re-use for interdisciplinary science

Andrea Wiggins, Alyson Young, Melissa A. Kenney

Proceedings of the Association for Information Science and Technology (2018) https://doi.org/gf5shc

DOI: 10.1002/pra2.2018.14505501060

5. How has your science data grown? Digital curation and the human factor: a critical literature review

Alex H. Poole

Archival Science (2014-11-23) https://doi.org/gf527g

DOI: 10.1007/s10502-014-9236-y

6. Revisiting Qualitative Data Reuse

Libby Bishop, Arja Kuula-Luumi

SAGE Open (2017-01) https://doi.org/gf527w

DOI: 10.1177/2158244016685136

7. The Availability of Research Data Declines Rapidly with Article Age

Timothy H. Vines, Arianne Y.K. Albert, Rose L. Andrew, Florence Débarre, Dan G. Bock, Michelle T. Franklin, Kimberly J. Gilbert, Jean-Sébastien Moore, Sébastien Renaut, Diana J. Rennison

Current Biology (2014-01) https://doi.org/qpm

DOI: 10.1016/j.cub.2013.11.014 · PMID: 24361065

8. The FAIR Guiding Principles for scientific data management and stewardship

Mark D. Wilkinson, Michel Dumontier, IJsbrand Jan Aalbersberg, Gabrielle Appleton, Myles Axton, Arie Baak, Niklas Blomberg, Jan-Willem Boiten, Luiz Bonino da Silva Santos, Philip E. Bourne, ... Barend Mons

Scientific Data (2016-03-15) https://doi.org/bdd4

DOI: 10.1038/sdata.2016.18 · PMID: 26978244 · PMCID: PMC4792175

9. Cloudy, increasingly FAIR; revisiting the FAIR Data guiding principles for the European Open **Science Cloud**

Barend Mons, Cameron Neylon, Jan Velterop, Michel Dumontier, Luiz Olavo Bonino da Silva Santos, Mark D. Wilkinson

Information Services & Use (2017-03-07) https://doi.org/gfkrrv

DOI: 10.3233/isu-170824

10. A design framework and exemplar metrics for FAIRness

Mark D. Wilkinson, Susanna-Assunta Sansone, Erik Schultes, Peter Doorn, Luiz Olavo Bonino da Silva Santos, Michel Dumontier

Scientific Data (2018-06-26) https://doi.org/gfkrrt

DOI: 10.1038/sdata.2018.118 · PMID: 29944145 · PMCID: PMC6018520

11. Evaluating FAIR Maturity Through a Scalable, Automated, Community-Governed Framework

Mark D Wilkinson, Michel Dumontier, Susanna-Assunta Sansone, Luiz Olavo Bonino da Silva Santos, Mario Prieto, Dominique Batista, Peter McQuilton, Tobias Kuhn, Philippe Rocca-Serra, Mercè Crosas, Erik Schultes

Cold Spring Harbor Laboratory (2019-05-28) https://doi.org/gf492b

DOI: 10.1101/649202

12. FAIRshake: toolkit to evaluate the findability, accessibility, interoperability, and reusability of research digital resources

Daniel J. B. Clarke, Lily Wang, Alex Jones, Megan L. Wojciechowicz, Denis Torre, Kathleen M. Jagodnik, Sherry L. Jenkins, Peter McQuilton, Zachary Flamholz, Moshe C. Silverstein, ... Avi Ma'ayan *Cold Spring Harbor Laboratory* (2019-06-03) https://doi.org/gf4cm8

DOI: 10.1101/657676

13. Are the FAIR Data Principles fair?

Alastair Dunning, Madeleine De Smaele, Jasmin Böhmer International Journal of Digital Curation (1970-01-01) https://doi.org/gf4bnb

DOI: 10.2218/ijdc.v12i2.567

14. How FAIR Can you Get? Image Retrieval as a Use Case to Calculate FAIR Metrics

Tobias Weber, Dieter Kranzlmuller

2018 IEEE 14th International Conference on e-Science (e-Science) (2018-10) https://doi.org/gf4bm9

DOI: 10.1109/escience.2018.00027

15. ArrayExpress-a public repository for microarray gene expression data at the EBI

A. Brazma

Nucleic Acids Research (2003-01-01) https://doi.org/fvff5t

DOI: 10.1093/nar/gkg091 · PMID: 12519949 · PMCID: PMC165538

16. Gene Expression Omnibus: NCBI gene expression and hybridization array data repository

R. Edgar

Nucleic Acids Research (2002-01-01) https://doi.org/fttpkn

DOI: 10.1093/nar/30.1.207 · PMID: 11752295 · PMCID: PMC99122

- 17. **Definition of DATA**https://www.merriam-webster.com/dictionary/data
- 18. **Definition of METADATA**https://www.merriam-webster.com/dictionary/metadata

19. This repository contains the results of the FAIR Metrics Group: FAIRMetrics/Metrics

FAIR Metrics

(2019-08-10) https://github.com/FAIRMetrics/Metrics

20. **ggplot2**

Hadley Wickham

Springer New York (2009) https://doi.org/djmzja

DOI: 10.1007/978-0-387-98141-3

21. Scientific competency questions as the basis for semantically enriched open pharmacological space development

Kamal Azzaoui, Edgar Jacoby, Stefan Senger, Emiliano Cuadrado Rodríguez, Mabel Loza, Barbara Zdrazil, Marta Pinto, Antony J. Williams, Victor de la Torre, Jordi Mestres, ... Gerhard F. Ecker *Drug Discovery Today* (2013-09) https://doi.org/f5bd74

DOI: 10.1016/j.drudis.2013.05.008 · PMID: 23702085

22. Open collaborative writing with Manubot

Daniel S. Himmelstein, Vincent Rubinetti, David R. Slochower, Dongbo Hu, Venkat S. Malladi, Casey S. Greene, Anthony Gitter

PLOS Computational Biology (2019-06-24) https://doi.org/c7np

DOI: 10.1371/journal.pcbi.1007128 · PMID: 31233491