



OLAP Extensions to SQL

Advanced Database Systems

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Outline

- >> Moving Data from Operational DB to Data Warehouse (extract, load, transform)
- >> SQL Extensions for OLAP
- >> Tools for Data Warehousing (OLTP, OLAP, Data Mining)

Data Warehouse Schema

- Used to represent data in multiple dimensions
- Defined by **dimensions** and **facts**
- **Dimensions**
 - The entities with respect to which an enterprise preserves the records
- **Facts**
 - Generated by events that occurred in the past.

Motivation

- Limitations of SQL
 - Compute the percentage change in values between this month and a year ago, moving averages, cumulative sums, and other **statistical** functions
- Solutions
 - ANSI adopted a set of OLAP functions to enable these calculations as well as many others that used to be impossible or even impractical within SQL
 - IBM and Oracle jointly proposed these extensions early in 1999 and they now form part of the current SQL standard, namely SQL: 2011

OLAP Package

- Feature T431, 'Extended Grouping capabilities'
 - Aggregation is a fundamental part of OLAP
 - The SQL standard provides extensions to the GROUP BY clause such as the ROLLUP and CUBE functions
- Feature T611, 'Extended OLAP operators'
 - Ranking functions include cumulative distributions, percent rank, and N-tiles
 - Windowing allows the calculation of cumulative and moving aggregations using functions such as SUM, AVG, MIN, and COUNT

Extended Grouping Capabilities

- **ROLLUP** supports calculations using aggregations such as SUM, COUNT, MAX, MIN, and AVG at increasing levels of aggregation, from the most detailed up to a grand total
- **CUBE** enables a single statement to calculate all possible combinations of aggregations to generate the information needed in cross-tabulation reports with a single query
- ROLLUP and CUBE extensions specify exactly the groupings of interest in the GROUP BY clause and produces a single result set that is equivalent to a UNION ALL of differently grouped rows



ROLLUP Extension to GROUP BY

- Enables a SELECT statement to calculate multiple levels of subtotals across a specified group of dimensions
- ROLLUP appears in the GROUP BY clause in a SELECT statement using the following format:

SELECT ... GROUP BY ROLLUP(columnList)

- Algorithm:
 1. Calculate the aggregate values specified in the GROUP BY clause
 2. Create progressively higher level subtotals, moving from right to left through the column list until finally completing with a grand total



ROLLUP Example

- Show the totals for sales of *flats* or *houses* by branch offices located in Aberdeen, Edinburgh, or Glasgow for the months of August and September of 2013.

```
SELECT  propertyType, yearMonth, city,  
        SUM(saleAmount) AS sales  
FROM    Branch B, PropertyForSale P4S, PropertySale PS  
WHERE   B.branchNo = PS.branchNo AND  
        P4S.propertyNo = PS.propertyNo AND  
        PS.yearMonth IN ('2013-08', '2013-09') AND  
        B.city IN ('Aberdeen', 'Edinburgh', 'Glasgow')  
GROUP BY ROLLUP(propertyType, yearMonth, city);
```

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ROLLUP Example

ROLLUP creates subtotals at $n + 1$ levels
where n = number of grouping columns

propertyType	yearMonth	city	sales	
flat	2013-08	Aberdeen	115432	} subtotal per City
flat	2013-08	Edinburgh	236573	
flat	2013-08	Glasgow	7664	
flat	2013-08		359609	→ subtotal per Month
flat	2013-09	Aberdeen	123780	
flat	2013-09	Edinburgh	323100	
flat	2013-09	Glasgow	8755	
flat	2013-09		455635	→ subtotal per propertyType
flat			815304	
house	2013-08	Aberdeen	77987	
house	2013-08	Edinburgh	135670	
house	2013-08	Glasgow	4765	
house	2013-08		218422	
house	2013-09	Aberdeen	76321	
house	2013-09	Edinburgh	166503	
house	2013-09	Glasgow	4889	
house	2013-09		247713	
house			466135	
			1281439	→ overall total



GROUP BY with/out ROLLUP

```
mysql> SELECT year, country, product, SUM(profit)
-> FROM sales
-> GROUP BY year, country, product;
```

year	country	product	SUM(profit)
2000	Finland	Computer	1500
2000	Finland	Phone	100
2000	India	Calculator	150
2000	India	Computer	1200
2000	USA	Calculator	75
2000	USA	Computer	1500
2001	Finland	Phone	10
2001	USA	Calculator	50
2001	USA	Computer	2700
2001	USA	TV	250

```
mysql> SELECT year, country, product, SUM(profit)
-> FROM sales
-> GROUP BY year, country, product WITH ROLLUP;
```

year	country	product	SUM(profit)
2000	Finland	Computer	1500
2000	Finland	Phone	100
2000	Finland	NULL	1600
2000	India	Calculator	150
2000	India	Computer	1200
2000	India	NULL	1350
2000	USA	Calculator	75
2000	USA	Computer	1500
2000	USA	NULL	1575
2000	NULL	NULL	4525
2001	Finland	Phone	10
2001	Finland	NULL	10
2001	USA	Calculator	50
2001	USA	Computer	2700
2001	USA	TV	250
2001	USA	NULL	3000
2001	NULL	NULL	3010
NULL	NULL	NULL	7535

CUBE Extension to GROUP BY

- Takes a specified set of grouping columns and creates subtotals for all of the possible combinations
- CUBE appears in the GROUP BY clause in a SELECT statement using the following format:

SELECT ... GROUP BY CUBE(columnList)

- CUBE is most suitable in queries that use columns from multiple dimensions rather than columns representing different levels of a single dimension



CUBE Example

- Show all possible subtotals for sales of properties by branches offices in Aberdeen, Edinburgh, and Glasgow for the months of August and September of 2013.

```
SELECT  propertyType, yearMonth, city,  
        SUM(saleAmount) AS sales  
FROM    Branch B, PropertyForSale P4S, PropertySale PS  
WHERE   B.branchNo = PS.branchNo AND  
        P4S.propertyNo = PS.propertyNo AND  
        PS.yearMonth IN ('2013-08', '2013-09') AND  
        B.city IN ('Aberdeen', 'Edinburgh', 'Glasgow')  
GROUP BY CUBE(propertyType, yearMonth, city);
```

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propertyType	yearMonth	city	value
flat	2013-08	Aberdeen	115432
flat	2013-08	Edinburgh	236573
flat	2013-08	Glasgow	7664
flat	2013-08		359669
flat	2013-09	Aberdeen	123780
flat	2013-09	Edinburgh	323100
flat	2013-09	Glasgow	8755
flat	2013-09		455635
flat		Aberdeen	239212
flat		Edinburgh	559673
flat		Glasgow	16419
flat			815304
house	2013-08	Aberdeen	77987
house	2013-08	Edinburgh	135670
house	2013-08	Glasgow	4765
house	2013-08		218422
house	2013-09	Aberdeen	76321
house	2013-09	Edinburgh	166503
house	2013-09	Glasgow	4889
house	2013-09		247713
house		Aberdeen	154308
house		Edinburgh	302173
house		Glasgow	9654
house			466135
	2013-08	Aberdeen	193419
	2013-08	Edinburgh	372243
	2013-08	Glasgow	12429
	2013-08		578091
	2013-09	Aberdeen	200101
	2013-09	Edinburgh	489603
	2013-09	Glasgow	13644
	2013-09		703348
		Aberdeen	393520
		Edinburgh	861846
		Glasgow	26073
			1281439

CUBE Example

subtotal per propertyType and city

CUBE creates subtotals that could be calculated for a data cube with the specified dimensions

subtotal per yearMonth per city

subtotal per city

Connolly & Begg, 2015

Elementary OLAP Operators

Ranking functions

- Computes the rank of a record compared to other records in the dataset based on the values of a set of measures
- Syntax for each ranking function

`RANK() OVER (ORDER BY columnList)`

`DENSE_RANK() OVER (ORDER BY columnList)`

- `DENSE_RANK` leaves no gaps in the sequential ranking sequence when there are ties for a ranking



Ranking Functions Example

- Rank the total sales of properties for branch offices in Edinburgh.

```
SELECT  branchNo, SUM(saleAmount) AS sales,  
        RANK() OVER(ORDER BY SUM(saleAmount)) AS ranking  
        DENSE_RANK() OVER(ORDER BY SUM(saleAmount)) AS  
        "dense ranking"  
  
FROM    Branch B, PropertySale PS  
WHERE   B.branchNo = PS.branchNo AND  
        B.city = 'Edinburgh'  
  
GROUP BY branchNo;
```

branchNo	sales	ranking	dense_ranking
B009	120,000,000	1	1
B018	92,000,000	2	2
B022	92,000,000	2	2
B028	92,000,000	2	2
B033	45,000,000	5	3
B046	42,000,000	6	4



Elementary OLAP Operators

Windowing calculations

- Can be used to compute cumulative, moving, and centered aggregates
- Returns a value for each row in the table, which depends on other rows in the corresponding window
- Provide access to more than one row of a table without a self-join
- Can be used only in the SELECT and ORDER BY clauses of the query



Ranking Functions Example

- Show the monthly figures and 3-month moving averages and sums for property sales at branch office B003 for the first six months of 2013.

```
SELECT  yearMonth, SUM(saleAmount) AS monthliesales,  
        AVG(SUM(saleAmount)  
            OVER(ORDER BY yearMonth, ROWS 2 PRECEDING))  
        AS "3-month Moving Average",  
        SUM(SUM(saleAmount)  
            OVER(ORDER BY yearMonth, ROWS 2 PRECEDING))  
        AS "3-month Moving Sum",  
FROM    PropertySale  
WHERE   branchNo = "B003" AND  
        yearMonth BETWEEN("2013-01" AND "2013-06")  
GROUP BY yearMonth;
```

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Ranking Functions Example

yearMonth	monthlySales	3-Month Moving Avg	3-Month Moving Sum
2013-01	210000	210000	210000
2013-02	350000	280000	560000
2013-03	400000	320000	960000
2013-04	420000	390000	1170000
2013-05	440000	420000	1260000
2013-06	430000	430000	1290000

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