

Chapter 1

Sets and Relations

1.1 Cantor's Concept of a Set

A **set** S is any collection of definite, distinguishable objects of our intuition or of our intellect to be conceived as a whole. The objects are called the **elements** or **members** of S .

1.2 The Basis of Intuitive Set Theory

Membership relation: $x \in A$ if the object x is a member of the set A . If x is not a member of A then $x \notin A$. $x_1, x_2, \dots, x_n \in A$ is shorthand for $x_1 \in A \wedge x_2 \in A \wedge \dots \wedge x_n \in A$.

The intuitive principle of extension: Two sets are equal iff they have the same members.

Set equality: The equality of two sets X and Y will be denoted by $X = Y$ and inequality of X and Y by $X \neq Y$. Among the basic properties of this relation are:

$$\begin{aligned} X &= X, \\ X = Y &\Rightarrow Y = X, \\ X = Y \wedge Y = Z &\Rightarrow X = Z, \end{aligned}$$

for all sets X, Y , and Z .

unit set: a set $\{x\}$ whose sole member is x .

collection of sets: a set whose members are sets.

The intuitive principle of abstraction: A formula $P(x)$ defines a set A by the convention that the members of A are exactly those objects a such that $P(a)$ is a true statement, denoted by $A = \{x \mid P(x)\}$.

Note: $\{x \in A \mid P(x)\} := \{x \mid x \in A \wedge P(x)\}$. For a property P and function f we can write $\{f(x) \mid P(x)\} := \{y \mid \exists x: P(x) \wedge y = f(x)\}$.

1.3 Inclusion

If A and B are sets, then A is **included in** B iff each member of A is a member of B . Symbolized: $A \subseteq B$. We also say that A is a **subset** of B . Equivalently, B **includes** A , symbolized by $B \supseteq A$.

The set A is **properly included in** B (A is a **proper subset** of B / B **properly includes** A) iff $A \subseteq B$ and $A \neq B$.

Among the basic properties of the inclusion relation are

$$\begin{aligned} X &\subseteq X; \\ X &\subseteq Y \wedge Y \subseteq Z \Rightarrow X \subseteq Z; \\ X &\subseteq Y \wedge Y \subseteq X \Rightarrow X = Y. \end{aligned}$$

empty set: $\{x \in A \mid x \neq x\}$ for any set A is the set with no elements, symbolized by \emptyset .

power set: the set of all subsets of a given set. $\mathcal{P}(A) = \{B \mid B \subseteq A\}$ for a given set A .

1.4 Operations for Sets

union: for sets A and B , the set of all objects which are members of either A or B . $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$. (**sum/join**)

intersection: for sets A and B , the set of all objects which are members of both A and B . $A \cap B = \{x \mid x \in A \text{ and } x \in B\}$. (**product/meet**)

LEMMA: For every pair of sets A and B the following inclusions hold:

$$\emptyset \subseteq A \cap B \subseteq A \subseteq A \cup B.$$

PROOF: Take $x \in \emptyset$. Since this is false, we can conclude $x \in A \cap B$ and so $\emptyset \subseteq A \cap B$. Now take $x \in A \cap B$. Then $x \in \{y \mid y \in A \text{ and } y \in B\}$ and so $x \in \{y \mid y \in A\} = A$ and thus $A \cap B \subseteq A$. Now take $x \in A$. Then we must have $x \in \{y \mid y \in A \text{ or } y \in B\} = A \cup B$. Then $A \subseteq A \cup B$. ■

disjoint: $A \cap B = \emptyset$ for sets A and B .

intersect: $A \cap B \neq \emptyset$ for sets A and B .

disjoint collection: for a collection of sets, each distinct pair of its member sets is disjoint.

partition: for a set X , a disjoint collection \mathcal{A} of nonempty and distinct subsets of X such that each member of X is a members of some (exactly one) member of \mathcal{A} .

absolute complement of A : $\bar{A} = \{x \mid x \notin A\}$, the set of all members which are not in A .

relative complement of A with respect to X : $X - A = X \cap \bar{A} = \{x \in X \mid x \notin A\}$, the set of those members of X which are not members of A .

symmetric difference of A and B : $A + B = (A - B) \cup (B - A)$.

universal set: the set U such that all sets under consideration in a certain discussion are subsets of U .

1.5 The Algebra of Sets

identities: equations which are true whatever the universal set U and no matter what particular subsets the letters (other than U and \emptyset) represent.

THEOREM 5.1: For any subsets A, B, C of a set U the following equations are identities. Here \overline{A} is an abbreviation for $U - A$.

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|---|--|
| 1. $A \cup (B \cup C) = (A \cup B) \cup C$. | 1'. $A \cap (B \cap C) = (A \cap B) \cap C$. |
| 2. $A \cup B = B \cup A$. | 2'. $A \cap B = B \cap A$. |
| 3. $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$. | 3'. $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$. |
| 4. $A \cup \emptyset = A$. | 4'. $A \cap U = A$. |
| 5. $A \cup \overline{A} = U$ | 5'. $A \cap \overline{A} = \emptyset$. |

PROOF:

Lemma: Let X, Y be subsets of U . Then $X \subseteq X \cup Y$ and $X \subseteq Y \cup X$.

Proof: Assume $x \in X$ and $x \notin X \cup Y$. Then $x \notin \{z \mid z \in X \text{ or } z \in Y\} \Rightarrow x \notin \{z \mid z \in X\} = X$ which is a contradiction. Now assume $x \in X$ and $x \notin Y \cup X$. Then $x \notin \{z \mid z \in Y \text{ or } z \in X\} \Rightarrow x \notin \{z \mid z \in X\} = X$ which is a contradiction.

1. Assume $x \in A \cup (B \cup C)$. Then $x \in A$ or $x \in B \cup C$. If $x \in A$ then $x \in A \cup B$ and so $x \in (A \cup B) \cup C$. Otherwise if $x \in B \cup C$ then $x \in B$ or $x \in C$. If $x \in B$ then $x \in (A \cup B)$ and so $x \in (A \cup B) \cup C$. If $x \in C$ then $x \in (A \cup B) \cup C$. Therefore $A \cup (B \cup C) \subseteq (A \cup B) \cup C$. Now assume $x \in (A \cup B) \cup C$. Then $x \in A \cup B$ or $x \in C$. If $x \in A \cup B$ then $x \in A$ or $x \in B$. If $x \in A$ then $x \in A \cup (B \cup C)$. If $x \in B$ then $x \in (B \cup C)$ and so $x \in A \cup (B \cup C)$. Otherwise if $x \in C$ then $x \in B \cup C$ and so $x \in A \cup (B \cup C)$. Therefore $(A \cup B) \cup C \subseteq A \cup (B \cup C)$. Hence $A \cup (B \cup C) = (A \cup B) \cup C$.

1'. Assume $x \in A \cap (B \cap C)$. Then $x \in A$ and $x \in B \cap C$. Since $x \in B \cap C$ we have $x \in B$ and $x \in C$. Then since $x \in A$ and $x \in B$ we have $x \in A \cap B$. Since $x \in C$ we have $x \in (A \cap B) \cap C$. Therefore $A \cap (B \cap C) \subseteq (A \cap B) \cap C$.

Now assume $x \in (A \cap B) \cap C$. Then $x \in A \cap B$ and $x \in C$. Since $x \in A \cap B$ we have $x \in A$ and $x \in B$. Then since $x \in B$ and $x \in C$ we have $x \in B \cap C$. Since $x \in A$ we have $x \in A \cap (B \cap C)$. Therefore $(A \cap B) \cap C \subseteq A \cap (B \cap C)$. Hence $A \cap (B \cap C) = (A \cap B) \cap C$.

2. Assume $x \in A \cup B$. Then $x \in A$ or $x \in B$. In either case $x \in B \cup A$ and so $A \cup B \subseteq B \cup A$. Now assume $x \in B \cup A$. Then $x \in B$ or $x \in A$. In either case $x \in A \cup B$ and so $B \cup A \subseteq A \cup B$. Hence $A \cup B = B \cup A$.

2'. Assume $x \in A \cap B$. Then $x \in A$ and $x \in B$ and so $x \in B \cap A$. Therefore $A \cap B \subseteq B \cap A$. Now assume $x \in B \cap A$. Then $x \in B$ and $x \in A$ and so $x \in A \cap B$. Therefore $B \cap A \subseteq A \cap B$. Hence $A \cap B = B \cap A$.

3. Assume $x \in A \cup (B \cap C)$. Then $x \in A$ or $x \in B \cap C$. If $x \in A$ then $x \in A \cup B$ and $x \in A \cup C$ and so $x \in (A \cup B) \cap (A \cup C)$. Otherwise if $x \in B \cap C$ then $x \in B$ and $x \in C$. Since $x \in B$ we have $x \in A \cup B$. Since $x \in C$ we have $x \in A \cup C$. Then $x \in (A \cup B) \cap (A \cup C)$ and therefore $A \cup (B \cap C) \subseteq (A \cup B) \cap (A \cup C)$.

Now assume $x \in (A \cup B) \cap (A \cup C)$. Then $x \in A \cup B$ and $x \in A \cup C$. Since $x \in A \cup B$ we have $x \in A$ or $x \in B$. If $x \in A$ then $x \in A \cup (B \cap C)$. Otherwise if $x \in B$ then $x \in A \cup B$. Since $x \in A \cup C$ we also have that $x \in A$ or $x \in C$. If $x \in A$ then $x \in A \cup (B \cap C)$.

Otherwise if $x \in C$ then since $x \in B$ we have $x \in B \cap C$ and so $x \in A \cup (B \cap C)$. Therefore $(A \cup B) \cap (A \cup C) \subseteq A \cup (B \cap C)$. Hence $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$.

3'. Assume $x \in A \cap (B \cup C)$. Then $x \in A$ and $x \in B \cup C$. If $x \in B$ then since $x \in A$ we have $x \in A \cap B$ and so $x \in (A \cap B) \cup (A \cap C)$. Otherwise if $x \in C$ then since $x \in A$ we have $x \in A \cap C$ and so $x \in (A \cap B) \cup (A \cap C)$. Therefore $A \cap (B \cup C) \subseteq (A \cap B) \cup (A \cap C)$. Now assume $x \in (A \cap B) \cup (A \cap C)$. Then $x \in A \cap B$ or $x \in A \cap C$. If $x \in A \cap B$ then $x \in A$ and $x \in B$. Since $x \in B$ we have $x \in B \cup C$. Since we also have $x \in A$ then $x \in A \cap (B \cup C)$. Otherwise if $x \in A \cap C$ then $x \in A$ and $x \in C$. Since $x \in C$ we have $x \in B \cup C$. Since we also have $x \in A$ then $x \in A \cap (B \cup C)$. Therefore $(A \cap B) \cup (A \cap C) \subseteq A \cap (B \cup C)$. Hence $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$.

4. Assume $x \in A \cup \emptyset$. Then $x \in A$ or $x \in \emptyset$. Since $x \in \emptyset$ is impossible, we must have the $x \in A$ and so $A \cup \emptyset \subseteq A$. Now assume $x \in A$ then $x \in A \cup \emptyset$ and so $A \subseteq A \cup \emptyset$. Hence $A \cup \emptyset = A$.

4'. Assume $x \in A \cap U$. Then $x \in A$ and $x \in U$. Therefore $A \cap U \subseteq A$. Now assume $x \in A$. Then since $A \subseteq U$ we have $x \in U$ and so $x \in A \cap U$. Therefore $A \subseteq A \cap U$. Hence $A \cap U = A$.

5. Assume $x \in A \cup \bar{A}$. Then $x \in A$ or $x \in \bar{A}$. Since $A \subseteq U$ and $\bar{A} \subseteq U$ in either case we have $x \in U$ and so $A \cup \bar{A} \subseteq U$. Now assume $x \in U$. Then $x \in A$ or $x \notin A$ for any set A . Thus $x \in A$ or $x \in \bar{A}$ and so $x \in A \cup \bar{A}$. Therefore $U \subseteq A \cup \bar{A}$. Hence $A \cup \bar{A} = U$.

5'. Assume $x \in A \cap \bar{A}$. Then $x \in A$ and $x \in \bar{A}$. Since $x \in \bar{A}$ we have $x \notin A$. Since $x \in A$ and $x \notin A$ we have $x \in \emptyset$. Therefore $A \cap \bar{A} \subseteq \emptyset$. Since $\emptyset \subseteq X$ for any set X we have $\emptyset \subseteq A \cap \bar{A}$. Hence $A \cap \bar{A} = \emptyset$. ■

General associative law for set union: The sets obtainable from given sets A_1, A_2, \dots, A_n in that order, by use of the operation of union are all equal to one another. The set defined by A_1, A_2, \dots, A_n in this way will be written as

$$A_1 \cup A_2 \cup \dots \cup A_n.$$

General associative law for set intersection: The sets obtainable from given sets A_1, A_2, \dots, A_n in that order, by use of the operation of intersection are all equal to one another. The set defined by A_1, A_2, \dots, A_n in this way will be written as

$$A_1 \cap A_2 \cap \dots \cap A_n.$$

General commutative law for set union: If $1', 2', \dots, n'$ are $1, 2, \dots, n$ in any order, then

$$A_1 \cup A_2 \cup \dots \cup A_n = A_{1'} \cup A_{2'} \cup \dots \cup A_{n'}.$$

General commutative law for set intersection: If $1', 2', \dots, n'$ are $1, 2, \dots, n$ in any order, then

$$A_1 \cap A_2 \cap \dots \cap A_n = A_{1'} \cap A_{2'} \cap \dots \cap A_{n'}.$$

General distributive law for set union:

$$A \cup (B_1 \cap B_2 \cap \dots \cap B_n) = (A \cup B_1) \cap (A \cup B_2) \cap \dots \cap (A \cup B_n).$$

General distributive law for set intersection:

$$A \cap (B_1 \cup B_2 \cup \cdots \cup B_n) = (A \cap B_1) \cup (A \cap B_2) \cup \cdots \cup (A \cap B_n).$$

dual: An equation, or an expression, or a statement within the framework of the algebra of sets obtained from another by interchanging \cup and \cap along with \emptyset and U .

principle of duality for the algebra of sets: If T is any theorem expressed in terms of \cup , \cap , and $\overline{}$, then the dual of T is also a theorem.

THEOREM 5.2: For all subsets A and B of a set U , the following statements are valid. Here \overline{A} is an abbreviation for $U - A$.

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| 6. If, for all A , $A \cup B = A$, then $B = \emptyset$. | 6'. If, for all A , $A \cap B = A$ then $B = U$. |
| 7,7'. If $A \cup B = U$ and $A \cap B = \emptyset$, then $B = \overline{A}$. | |
| 8,8'. $\overline{\overline{A}} = A$. | |
| 9. $\overline{\emptyset} = U$. | 9'. $\overline{U} = \emptyset$. |
| 10. $A \cup A = A$ | 10'. $A \cap A = A$. |
| 11. $A \cup U = U$ | 11'. $A \cap \emptyset = \emptyset$. |
| 12. $A \cup (A \cap B) = A$. | 12'. $A \cap (A \cup B) = A$. |
| 13. $\overline{A \cup B} = \overline{A} \cap \overline{B}$ | 13'. $\overline{A \cap B} = \overline{A} \cup \overline{B}$. |

PROOF:

6. Assume $A \cup B = A$ for all A . Take $A = \emptyset$. Then $\emptyset \cup B = \emptyset$. Then if $x \in B$ we have $x \in \emptyset \cup B = \emptyset$ and so $B \subseteq \emptyset$. Since $\emptyset \subseteq B$ we have $B = \emptyset$.
- 6'. Assume $A \cap B = A$ for all A . Take $A = U$. Then $U \cap B = U$. Then if $x \in U$ we have $x \in U \cap B$ and so $x \in B$. Therefore $U \subseteq B$. Since $B \subseteq U$ we have $B = U$.
- 7,7'. Assume $A \cup B = U$ and $A \cap B = \emptyset$ for sets A and B . Take $x \in B$. Assume $x \in A$. Then $x \in A \cap B = \emptyset$. By contradiction we have $x \in \overline{A}$. Then $B \subseteq \overline{A}$. Now take $x \in \overline{A}$. Then $x \notin A$. Assume $x \notin B$. Then $x \notin A \cup B = U$. By contradiction we have $x \in B$. Therefore $\overline{A} \subseteq B$ and so $B = \overline{A}$.
- 8,8'. Take $x \in \overline{\overline{A}}$ for a set A . Then $x \notin \overline{A}$ and so $x \in A$. Therefore $\overline{\overline{A}} \subseteq A$. Now take $x \in A$. Then $x \notin \overline{A}$ and so $x \in \overline{\overline{A}}$. Therefore $A \subseteq \overline{\overline{A}}$ and so $\overline{\overline{A}} = A$.
9. Take $x \in \overline{\emptyset}$. Then $x \in U \cap \overline{\emptyset}$ and so $x \in U$. Then $\overline{\emptyset} \subseteq U$. Now take $x \in U$. Then $x \notin \emptyset$ and so $x \in U \cap \overline{\emptyset} = U - \emptyset = \overline{\emptyset}$. Therefore $U \subseteq \overline{\emptyset}$ and so $\overline{\emptyset} = U$.
- 9'. Take $x \in \overline{U}$. Then $x \in U \cap \overline{U}$ so $x \in U$ and $x \notin U$. By contradiction $x \in \emptyset$ and so $\overline{U} \subseteq \emptyset$. Now take $x \in \emptyset$. Then $x \notin U$ and so $x \in \overline{U}$. Therefore $\emptyset \subseteq \overline{U}$ and so $\overline{U} = \emptyset$.
10. Take $x \in A \cup A$. Then $x \in A$ or $x \in A$ and so $x \in A$. Thus $A \cup A \subseteq A$. Now take $x \in A$. Then $x \in A \cup A$ and so $A \subseteq A \cup A$. Therefore $A \cup A = A$.
- 10'. Take $x \in A \cap A$. Then $x \in A$ and so $A \cap A \subseteq A$. Now take $x \in A$. Then $x \in A \cap A$ and so $A \subseteq A \cap A$. Therefore $A \cap A = A$.

11. Take $x \in A \cup U$. Then $x \in A$ or $x \in U$. If $x \in A$ then $x \in U$ since $A \subseteq U$. Therefore $A \cup U \subseteq U$. Now take $x \in U$. Then $x \in A \cup U$ and so $U \subseteq A \cup U$. Therefore $A \cup U = U$.
- 11'. Take $x \in A \cap \emptyset$. Then $x \in \emptyset$ and so $A \cap \emptyset \subseteq \emptyset$. Now take $x \in \emptyset$. Then $x \in A$ (ex falso quod libet). Thus $x \in A \cap \emptyset$ and so $\emptyset \subseteq A \cap \emptyset$. Therefore $A \cap \emptyset = \emptyset$.
12. Take $x \in A \cup (A \cap B)$. Then $x \in A$ or $x \in A \cap B$. If $x \in A \cap B$ then $x \in A$. Therefore $A \cup (A \cap B) \subseteq A$. Now take $x \in A$. Then $x \in A \cup (A \cap B)$. Thus $A \subseteq A \cup (A \cap B)$ and so $A \cup (A \cap B) = A$.
- 12'. Take $x \in A \cap (A \cup B)$. Then $x \in A$ and so $A \cap (A \cup B) \subseteq A$. Now take $x \in A$. Then $x \in A \cup B$ and so $x \in A \cap (A \cup B)$. Therefore $A \subseteq A \cap (A \cup B)$ and so $A \cap (A \cup B) = A$.
13. Take $x \in \overline{A \cup B}$. Then $x \notin A \cup B$ and so $x \notin A$ and $x \notin B$. Then $x \in \overline{A}$ and $x \in \overline{B}$ and so $x \in \overline{A \cap B}$. Therefore $\overline{A \cup B} \subseteq \overline{A \cap B}$. Now take $x \in \overline{A \cap B}$. Then $x \in \overline{A}$ and $x \in \overline{B}$ and so $x \notin A$ and $x \notin B$. Then $x \notin A \cup B$ and so $x \in \overline{A \cup B}$. Therefore $\overline{A \cap B} \subseteq \overline{A \cup B}$ and so $\overline{A \cup B} = \overline{A \cap B}$.
- 13'. Take $x \in \overline{A \cap B}$. Then $x \notin A \cap B$. Then $x \notin A$ or $x \notin B$. Then $x \in \overline{A}$ or $x \in \overline{B}$ and so $x \in \overline{A \cup B}$. Therefore $\overline{A \cap B} \subseteq \overline{A \cup B}$. Now take $x \in \overline{A \cup B}$. Then $x \in \overline{A}$ or $x \in \overline{B}$ and so $x \notin A$ or $x \notin B$. Then $x \notin A \cap B$ and so $x \in \overline{A \cap B}$. Therefore $\overline{A \cup B} \subseteq \overline{A \cap B}$ and so $\overline{A \cap B} = \overline{A \cup B}$.