

# Chapter 1

## Sets and Relations

### 1.1 Cantor's Concept of a Set

A **set**  $S$  is any collection of definite, distinguishable objects of our intuition or of our intellect to be conceived as a whole. The objects are called the **elements** or **members** of  $S$ .

### 1.2 The Basis of Intuitive Set Theory

**Membership relation:**  $x \in A$  if the object  $x$  is a member of the set  $A$ . If  $x$  is not a member of  $A$  then  $x \notin A$ .  $x_1, x_2, \dots, x_n \in A$  is shorthand for  $x_1 \in A \wedge x_2 \in A \wedge \dots \wedge x_n \in A$ .

**The intuitive principle of extension:** Two sets are equal iff they have the same members.

**Set equality:** The equality of two sets  $X$  and  $Y$  will be denoted by  $X = Y$  and inequality of  $X$  and  $Y$  by  $X \neq Y$ . Among the basic properties of this relation are:

$$\begin{aligned} X &= X, \\ X = Y &\Rightarrow Y = X, \\ X = Y \wedge Y = Z &\Rightarrow X = Z, \end{aligned}$$

for all sets  $X, Y$ , and  $Z$ .

**unit set:** a set  $\{x\}$  whose sole member is  $x$ .

**collection of sets:** a set whose members are sets.

**The intuitive principle of abstraction:** A formula  $P(x)$  defines a set  $A$  by the convention that the members of  $A$  are exactly those objects  $a$  such that  $P(a)$  is a true statement, denoted by  $A = \{x \mid P(x)\}$ .

Note:  $\{x \in A \mid P(x)\} := \{x \mid x \in A \wedge P(x)\}$ . For a property  $P$  and function  $f$  we can write  $\{f(x) \mid P(x)\} := \{y \mid \exists x: P(x) \wedge y = f(x)\}$ .

## 1.3 Inclusion

If  $A$  and  $B$  are sets, then  $A$  is **included in**  $B$  iff each member of  $A$  is a member of  $B$ . Symbolized:  $A \subseteq B$ . We also say that  $A$  is a **subset** of  $B$ . Equivalently,  $B$  **includes**  $A$ , symbolized by  $B \supseteq A$ .

The set  $A$  is **properly included in**  $B$  ( $A$  is a **proper subset** of  $B$  /  $B$  **properly includes**  $A$ ) iff  $A \subseteq B$  and  $A \neq B$ .

Among the basic properties of the inclusion relation are

$$\begin{aligned} X &\subseteq X; \\ X &\subseteq Y \wedge Y \subseteq Z \Rightarrow X \subseteq Z; \\ X &\subseteq Y \wedge Y \subseteq X \Rightarrow X = Y. \end{aligned}$$

**empty set:**  $\{x \in A \mid x \neq x\}$  for any set  $A$  is the set with no elements, symbolized by  $\emptyset$ .

**power set:** the set of all subsets of a given set.  $\mathcal{P}(A) = \{B \mid B \subseteq A\}$  for a given set  $A$ .

## 1.4 Operations for Sets

**union:** for sets  $A$  and  $B$ , the set of all objects which are members of either  $A$  or  $B$ .  $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$ . (**sum/join**)

**intersection:** for sets  $A$  and  $B$ , the set of all objects which are members of both  $A$  and  $B$ .  $A \cap B = \{x \mid x \in A \text{ and } x \in B\}$ . (**product/meet**)

LEMMA: For every pair of sets  $A$  and  $B$  the following inclusions hold:

$$\emptyset \subseteq A \cap B \subseteq A \subseteq A \cup B.$$

PROOF: Take  $x \in \emptyset$ . Since this is false, we can conclude  $x \in A \cap B$  and so  $\emptyset \subseteq A \cap B$ . Now take  $x \in A \cap B$ . Then  $x \in \{y \mid y \in A \text{ and } y \in B\}$  and so  $x \in \{y \mid y \in A\} = A$  and thus  $A \cap B \subseteq A$ . Now take  $x \in A$ . Then we must have  $x \in \{y \mid y \in A \text{ or } y \in B\} = A \cup B$ . Then  $A \subseteq A \cup B$ . ■

**disjoint:**  $A \cap B = \emptyset$  for sets  $A$  and  $B$ .

**intersect:**  $A \cap B \neq \emptyset$  for sets  $A$  and  $B$ .

**disjoint collection:** for a collection of sets, each distinct pair of its member sets is disjoint.

**partition:** for a set  $X$ , a disjoint collection  $\mathcal{A}$  of nonempty and distinct subsets of  $X$  such that each member of  $X$  is a member of some (exactly one) member of  $\mathcal{A}$ .

**absolute complement** of  $A$ :  $\bar{A} = \{x \mid x \notin A\}$ , the set of all members which are not in  $A$ .

**relative complement** of  $A$  with respect to  $X$ :  $X - A = X \cap \bar{A} = \{x \in X \mid x \notin A\}$ , the set of those members of  $X$  which are not members of  $A$ .

**symmetric difference** of  $A$  and  $B$ :  $A + B = (A - B) \cup (B - A)$ .

**universal set:** the set  $U$  such that all sets under consideration in a certain discussion are subsets of  $U$ .

## 1.5 The Algebra of Sets

**identities:** equations which are true whatever the universal set  $U$  and no matter what particular subsets the letters (other than  $U$  and  $\emptyset$ ) represent.

**THEOREM 5.1:** For any subsets  $A, B, C$  of a set  $U$  the following equations are identities. Here  $\overline{A}$  is an abbreviation for  $U - A$ .

- |   |  |
|---|--|
| 1. $A \cup (B \cup C) = (A \cup B) \cup C$ .          | 1'. $A \cap (B \cap C) = (A \cap B) \cap C$ .          |
| 2. $A \cup B = B \cup A$ .                            | 2'. $A \cap B = B \cap A$ .                            |
| 3. $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$ . | 3'. $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$ . |
| 4. $A \cup \emptyset = A$ .                           | 4'. $A \cap U = A$ .                                   |
| 5. $A \cup \overline{A} = U$                          | 5'. $A \cap \overline{A} = \emptyset$ .                |

**PROOF:**

**Lemma:** Let  $X, Y$  be subsets of  $U$ . Then  $X \subseteq X \cup Y$  and  $X \subseteq Y \cup X$ .

**Proof:** Assume  $x \in X$  and  $x \notin X \cup Y$ . Then  $x \notin \{z \mid z \in X \text{ or } z \in Y\} \Rightarrow x \notin \{z \mid z \in X\} = X$  which is a contradiction. Now assume  $x \in X$  and  $x \notin Y \cup X$ . Then  $x \notin \{z \mid z \in Y \text{ or } z \in X\} \Rightarrow x \notin \{z \mid z \in X\} = X$  which is a contradiction.

1. Assume  $x \in A \cup (B \cup C)$ . Then  $x \in A$  or  $x \in B \cup C$ . If  $x \in A$  then  $x \in A \cup B$  and so  $x \in (A \cup B) \cup C$ . Otherwise if  $x \in B \cup C$  then  $x \in B$  or  $x \in C$ . If  $x \in B$  then  $x \in (A \cup B)$  and so  $x \in (A \cup B) \cup C$ . If  $x \in C$  then  $x \in (A \cup B) \cup C$ . Therefore  $A \cup (B \cup C) \subseteq (A \cup B) \cup C$ .

Now assume  $x \in (A \cup B) \cup C$ . Then  $x \in A \cup B$  or  $x \in C$ . If  $x \in A \cup B$  then  $x \in A$  or  $x \in B$ . If  $x \in A$  then  $x \in A \cup (B \cup C)$ . If  $x \in B$  then  $x \in (B \cup C)$  and so  $x \in A \cup (B \cup C)$ . Otherwise if  $x \in C$  then  $x \in B \cup C$  and so  $x \in A \cup (B \cup C)$ . Therefore  $(A \cup B) \cup C \subseteq A \cup (B \cup C)$ . Hence  $A \cup (B \cup C) = (A \cup B) \cup C$ .

- 1'. Assume  $x \in A \cap (B \cap C)$ . Then  $x \in A$  and  $x \in B \cap C$ . Since  $x \in B \cap C$  we have  $x \in B$  and  $x \in C$ . Then since  $x \in A$  and  $x \in B$  we have  $x \in A \cap B$ . Since  $x \in C$  we have  $x \in (A \cap B) \cap C$ . Therefore  $A \cap (B \cap C) \subseteq (A \cap B) \cap C$ .

Now assume  $x \in (A \cap B) \cap C$ . Then  $x \in A \cap B$  and  $x \in C$ . Since  $x \in A \cap B$  we have  $x \in A$  and  $x \in B$ . Then since  $x \in B$  and  $x \in C$  we have  $x \in B \cap C$ . Since  $x \in A$  we have  $x \in A \cap (B \cap C)$ . Therefore  $(A \cap B) \cap C \subseteq A \cap (B \cap C)$ . Hence  $A \cap (B \cap C) = (A \cap B) \cap C$ .

2. Assume  $x \in A \cup B$ . Then  $x \in A$  or  $x \in B$ . In either case  $x \in B \cup A$  and so  $A \cup B \subseteq B \cup A$ . Now assume  $x \in B \cup A$ . Then  $x \in B$  or  $x \in A$ . In either case  $x \in A \cup B$  and so  $B \cup A \subseteq A \cup B$ . Hence  $A \cup B = B \cup A$ .

- 2'. Assume  $x \in A \cap B$ . Then  $x \in A$  and  $x \in B$  and so  $x \in B \cap A$ . Therefore  $A \cap B \subseteq B \cap A$ . Now assume  $x \in B \cap A$ . Then  $x \in B$  and  $x \in A$  and so  $x \in A \cap B$ . Therefore  $B \cap A \subseteq A \cap B$ . Hence  $A \cap B = B \cap A$ .

3. Assume  $x \in A \cup (B \cap C)$ . Then  $x \in A$  or  $x \in B \cap C$ . If  $x \in A$  then  $x \in A \cup B$  and  $x \in A \cup C$  and so  $x \in (A \cup B) \cap (A \cup C)$ . Otherwise if  $x \in B \cap C$  then  $x \in B$  and

$x \in C$ . Since  $x \in B$  we have  $x \in A \cup B$ . Since  $x \in C$  we have  $x \in A \cup C$ . Then  $x \in (A \cup B) \cap (A \cup C)$  and therefore  $A \cup (B \cap C) \subseteq (A \cup B) \cap (A \cup C)$ .

Now assume  $x \in (A \cup B) \cap (A \cup C)$ . Then  $x \in A \cup B$  and  $x \in A \cup C$ . Since  $x \in A \cup B$  we have  $x \in A$  or  $x \in B$ . If  $x \in A$  then  $x \in A \cup (B \cap C)$ . Otherwise if  $x \in B$  then  $x \in A \cup B$ . Since  $x \in A \cup C$  we also have that  $x \in A$  or  $x \in C$ . If  $x \in A$  then  $x \in A \cup (B \cap C)$ . Otherwise if  $x \in C$  then since  $x \in B$  we have  $x \in B \cap C$  and so  $x \in A \cup (B \cap C)$ . Therefore  $(A \cup B) \cap (A \cup C) \subseteq A \cup (B \cap C)$ . Hence  $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$ .

3'. Assume  $x \in A \cap (B \cup C)$ . Then  $x \in A$  and  $x \in B \cup C$ . If  $x \in B$  then since  $x \in A$  we have  $x \in A \cap B$  and so  $x \in (A \cap B) \cup (A \cap C)$ . Otherwise if  $x \in C$  then since  $x \in A$  we have  $x \in A \cap C$  and so  $x \in (A \cap B) \cup (A \cap C)$ . Therefore  $A \cap (B \cup C) \subseteq (A \cap B) \cup (A \cap C)$ . Now assume  $x \in (A \cap B) \cup (A \cap C)$ . Then  $x \in A \cap B$  or  $x \in A \cap C$ . If  $x \in A \cap B$  then  $x \in A$  and  $x \in B$ . Since  $x \in B$  we have  $x \in B \cup C$ . Since we also have  $x \in A$  then  $x \in A \cap (B \cup C)$ . Otherwise if  $x \in A \cap C$  then  $x \in A$  and  $x \in C$ . Since  $x \in C$  we have  $x \in B \cup C$ . Since we also have  $x \in A$  then  $x \in A \cap (B \cup C)$ . Therefore  $(A \cap B) \cup (A \cap C) \subseteq A \cap (B \cup C)$ . Hence  $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$ .

4. Assume  $x \in A \cup \emptyset$ . Then  $x \in A$  or  $x \in \emptyset$ . Since  $x \in \emptyset$  is impossible, we must have the  $x \in A$  and so  $A \cup \emptyset \subseteq A$ . Now assume  $x \in A$  then  $x \in A \cup \emptyset$  and so  $A \subseteq A \cup \emptyset$ . Hence  $A \cup \emptyset = A$ .

4'. Assume  $x \in A \cap U$ . Then  $x \in A$  and  $x \in U$ . Therefore  $A \cap U \subseteq A$ . Now assume  $x \in A$ . Then since  $A \subseteq U$  we have  $x \in U$  and so  $x \in A \cap U$ . Therefore  $A \subseteq A \cap U$ . Hence  $A \cap U = A$ .

5. Assume  $x \in A \cup \bar{A}$ . Then  $x \in A$  or  $x \in \bar{A}$ . Since  $A \subseteq U$  and  $\bar{A} \subseteq U$  in either case we have  $x \in U$  and so  $A \cup \bar{A} \subseteq U$ . Now assume  $x \in U$ . Then  $x \in A$  or  $x \notin A$  for any set  $A$ . Thus  $x \in A$  or  $x \in \bar{A}$  and so  $x \in A \cup \bar{A}$ . Therefore  $U \subseteq A \cup \bar{A}$ . Hence  $A \cup \bar{A} = U$ .

5'. Assume  $x \in A \cap \bar{A}$ . Then  $x \in A$  and  $x \in \bar{A}$ . Since  $x \in \bar{A}$  we have  $x \notin A$ . Since  $x \in A$  and  $x \notin A$  we have  $x \in \emptyset$ . Therefore  $A \cap \bar{A} \subseteq \emptyset$ . Since  $\emptyset \subseteq X$  for any set  $X$  we have  $\emptyset \subseteq A \cap \bar{A}$ . Hence  $A \cap \bar{A} = \emptyset$ . ■

General associative law for set union: The sets obtainable from given sets  $A_1, A_2, \dots, A_n$  in that order, by use of the operation of union are all equal to one another. The set defined by  $A_1, A_2, \dots, A_n$  in this way will be written as

$$A_1 \cup A_2 \cup \dots \cup A_n.$$

General associative law for set intersection: The sets obtainable from given sets  $A_1, A_2, \dots, A_n$  in that order, by use of the operation of intersection are all equal to one another. The set defined by  $A_1, A_2, \dots, A_n$  in this way will be written as

$$A_1 \cap A_2 \cap \dots \cap A_n.$$

General commutative law for set union: If  $1', 2', \dots, n'$  are  $1, 2, \dots, n$  in any order, then

$$A_1 \cup A_2 \cup \dots \cup A_n = A_{1'} \cup A_{2'} \cup \dots \cup A_{n'}.$$

General commutative law for set intersection: If  $1', 2', \dots, n'$  are  $1, 2, \dots, n$  in any order, then

$$A_1 \cap A_2 \cap \dots \cap A_n = A_{1'} \cap A_{2'} \cap \dots \cap A_{n'}.$$

General distributive law for set union:

$$A \cup (B_1 \cap B_2 \cap \dots \cap B_n) = (A \cup B_1) \cap (A \cup B_2) \cap \dots \cap (A \cup B_n).$$

General distributive law for set intersection:

$$A \cap (B_1 \cup B_2 \cup \dots \cup B_n) = (A \cap B_1) \cup (A \cap B_2) \cup \dots \cup (A \cap B_n).$$

**dual:** An equation, or an expression, or a statement within the framework of the algebra of sets obtained from another by interchanging  $\cup$  and  $\cap$  along with  $\emptyset$  and  $U$ .

**principle of duality** for the algebra of sets: If  $T$  is any theorem expressed in terms of  $\cup$ ,  $\cap$ , and  $\overline{\phantom{x}}$ , then the dual of  $T$  is also a theorem.

THEOREM 5.2: For all subsets  $A$  and  $B$  of a set  $U$ , the following statements are valid. Here  $\overline{A}$  is an abbreviation for  $U - A$ .

- |   |   |
|---|---|
| 6. If, for all $A$ , $A \cup B = A$ , then $B = \emptyset$ .                    | 6'. If, for all $A$ , $A \cap B = A$ then $B = U$ .           |
| 7, 7'. If $A \cup B = U$ and $A \cap B = \emptyset$ , then $B = \overline{A}$ . |   |
| 8, 8'. $\overline{\overline{A}} = A$ .  |   |
| 9. $\overline{\emptyset} = U$ .   | 9'. $\overline{U} = \emptyset$ .                              |
| 10. $A \cup A = A$  | 10'. $A \cap A = A$ .   |
| 11. $A \cup U = U$  | 11'. $A \cap \emptyset = \emptyset$ .                         |
| 12. $A \cup (A \cap B) = A$ .   | 12'. $A \cap (A \cup B) = A$ .                                |
| 13. $\overline{A \cup B} = \overline{A} \cap \overline{B}$                      | 13'. $\overline{A \cap B} = \overline{A} \cup \overline{B}$ . |

PROOF:

6. Assume  $A \cup B = A$  for all  $A$ . Take  $A = \emptyset$ . Then  $\emptyset \cup B = \emptyset$ . Then if  $x \in B$  we have  $x \in \emptyset \cup B = \emptyset$  and so  $B \subseteq \emptyset$ . Since  $\emptyset \subseteq B$  we have  $B = \emptyset$ .
- 6'. Assume  $A \cap B = A$  for all  $A$ . Take  $A = U$ . Then  $U \cap B = U$ . Then if  $x \in U$  we have  $x \in U \cap B$  and so  $x \in B$ . Therefore  $U \subseteq B$ . Since  $B \subseteq U$  we have  $B = U$ .
- 7, 7'. Assume  $A \cup B = U$  and  $A \cap B = \emptyset$  for sets  $A$  and  $B$ . Take  $x \in B$ . Assume  $x \in A$ . Then  $x \in A \cap B = \emptyset$ . By contradiction we have  $x \in \overline{A}$ . Then  $B \subseteq \overline{A}$ . Now take  $x \in \overline{A}$ . Then  $x \notin A$ . Assume  $x \notin B$ . Then  $x \notin A \cup B = U$ . By contradiction we have  $x \in B$ . Therefore  $\overline{A} \subseteq B$  and so  $B = \overline{A}$ .

- 8,8'. Take  $x \in \overline{\overline{A}}$  for a set  $A$ . Then  $x \notin \overline{A}$  and so  $x \in A$ . Therefore  $\overline{\overline{A}} \subseteq A$ . Now take  $x \in A$ . Then  $x \notin \overline{A}$  and so  $x \in \overline{\overline{A}}$ . Therefore  $A \subseteq \overline{\overline{A}}$  and so  $\overline{\overline{A}} = A$ .
9. Take  $x \in \overline{\emptyset}$ . Then  $x \in U \cap \overline{\emptyset}$  and so  $x \in U$ . Then  $\overline{\emptyset} \subseteq U$ . Now take  $x \in U$ . Then  $x \notin \emptyset$  and so  $x \in U \cap \overline{\emptyset} = U - \emptyset = \overline{\emptyset}$ . Therefore  $U \subseteq \overline{\emptyset}$  and so  $\overline{\emptyset} = U$ .
- 9'. Take  $x \in \overline{U}$ . Then  $x \in U \cap \overline{U}$  so  $x \in U$  and  $x \notin U$ . By contradiction  $x \in \emptyset$  and so  $\overline{U} \subseteq \emptyset$ . Now take  $x \in \emptyset$ . Then  $x \notin U$  and so  $x \in \overline{U}$ . Therefore  $\emptyset \subseteq \overline{U}$  and so  $\overline{U} = \emptyset$ .
10. Take  $x \in A \cup A$ . Then  $x \in A$  or  $x \in A$  and so  $x \in A$ . Thus  $A \cup A \subseteq A$ . Now take  $x \in A$ . Then  $x \in A \cup A$  and so  $A \subseteq A \cup A$ . Therefore  $A \cup A = A$ .
- 10'. Take  $x \in A \cap A$ . Then  $x \in A$  and so  $A \cap A \subseteq A$ . Now take  $x \in A$ . Then  $x \in A \cap A$  and so  $A \subseteq A \cap A$ . Therefore  $A \cap A = A$ .
11. Take  $x \in A \cup U$ . Then  $x \in A$  or  $x \in U$ . If  $x \in A$  then  $x \in U$  since  $A \subseteq U$ . Therefore  $A \cup U \subseteq U$ . Now take  $x \in U$ . Then  $x \in A \cup U$  and so  $U \subseteq A \cup U$ . Therefore  $A \cup U = U$ .
- 11'. Take  $x \in A \cap \emptyset$ . Then  $x \in \emptyset$  and so  $A \cap \emptyset \subseteq \emptyset$ . Now take  $x \in \emptyset$ . Then  $x \in A$  (ex falso quodlibet). Thus  $x \in A \cap \emptyset$  and so  $\emptyset \subseteq A \cap \emptyset$ . Therefore  $A \cap \emptyset = \emptyset$ .
12. Take  $x \in A \cup (A \cap B)$ . Then  $x \in A$  or  $x \in A \cap B$ . If  $x \in A \cap B$  then  $x \in A$ . Therefore  $A \cup (A \cap B) \subseteq A$ . Now take  $x \in A$ . Then  $x \in A \cup (A \cap B)$ . Thus  $A \subseteq A \cup (A \cap B)$  and so  $A \cup (A \cap B) = A$ .
- 12'. Take  $x \in A \cap (A \cup B)$ . Then  $x \in A$  and so  $A \cap (A \cup B) \subseteq A$ . Now take  $x \in A$ . Then  $x \in A \cup B$  and so  $x \in A \cap (A \cup B)$ . Therefore  $A \subseteq A \cap (A \cup B)$  and so  $A \cap (A \cup B) = A$ .
13. Take  $x \in \overline{A \cup B}$ . Then  $x \notin A \cup B$  and so  $x \notin A$  and  $x \notin B$ . Then  $x \in \overline{A}$  and  $x \in \overline{B}$  and so  $x \in \overline{A} \cap \overline{B}$ . Therefore  $\overline{A \cup B} \subseteq \overline{A} \cap \overline{B}$ . Now take  $x \in \overline{A} \cap \overline{B}$ . Then  $x \in \overline{A}$  and  $x \in \overline{B}$  and so  $x \notin A$  and  $x \notin B$ . Then  $x \notin A \cup B$  and so  $x \in \overline{A \cup B}$ . Therefore  $\overline{A \cap B} \subseteq \overline{A \cup B}$  and so  $\overline{A \cup B} = \overline{A \cap B}$ .
- 13'. Take  $x \in \overline{A \cap B}$ . Then  $x \notin A \cap B$ . Then  $x \notin A$  or  $x \notin B$ . Then  $x \in \overline{A}$  or  $x \in \overline{B}$  and so  $x \in \overline{A} \cup \overline{B}$ . Therefore  $\overline{A \cap B} \subseteq \overline{A} \cup \overline{B}$ . Now take  $x \in \overline{A} \cup \overline{B}$ . Then  $x \in \overline{A}$  or  $x \in \overline{B}$  and so  $x \notin A$  or  $x \notin B$ . Then  $x \notin A \cap B$  and so  $x \in \overline{A \cap B}$ . Therefore  $\overline{A \cup B} \subseteq \overline{A \cap B}$  and so  $\overline{A \cap B} = \overline{A \cup B}$ .

**THEOREM 5.3:** The following statements about sets  $A$  and  $B$  are equivalent to one another.

- (I)  $A \subseteq B$
- (II)  $A \cap B = A$
- (III)  $A \cup B = B$

PROOF:

(I) implies (II). Assume  $A \subseteq B$ . Since, for all  $A$  and  $B$ ,  $A \cap B \subseteq A$ , it is sufficient to prove that  $A \subseteq A \cap B$ . But if  $x \in A$ , then  $x \in B$  and, hence,  $x \in A \cap B$ . Hence  $A \subseteq A \cap B$ .

(II) implies (III). Assume  $A \cap B = A$ . Then  $A \cup B = (A \cap B) \cup B = (A \cup B) \cap (B \cup B) = (A \cup B) \cap B = B$ .

(III) implies (I). Assume  $A \cup B = B$ . Then this and the identity  $A \subseteq A \cup B$  imply  $A \subseteq B$ .

NOTE: The principle of duality does not apply directly to expressions in which  $-$  or  $\subseteq$  appears. Replace  $A - B$  with  $A \cap \overline{B}$ . Replace  $A \subseteq B$  with  $A \cap B = A$  or  $A \cup B = B$ . The dual of  $A \cap B = A$  is  $A \cup B = A \Leftrightarrow A \supseteq B$ . So we can extend the principle of duality to include the inclusion symbol: swap  $\subseteq$  with  $\supseteq$  (inclusion signs are reversed).

THEORY OF EQUATIONS FOR THE ALGEBRA OF SETS: For an equation formed using  $\cup$ ,  $\cap$ , and  $\overline{\phantom{x}}$  on symbols  $A_1, A_2, \dots, A_n$  and  $X$  where the  $A$ 's denote fixed subsets of some universal set  $U$  and  $X$  denotes a subset of  $U$  which is constrained only by the equation in which it appears, determine under what conditions such an equation has a solution and then, assuming these are satisfied, obtain all solutions.

Step I. Two sets are equal iff their symmetric difference is equal to  $\emptyset$ . Hence, an equation in  $X$  is equivalent to one whose righthand side is  $\emptyset$ .

Step II. An equation in  $X$  with righthand side  $\emptyset$  is equivalent to one of the form

$$(A \cap X) \cup (B \cap \overline{X}) = \emptyset,$$

where  $A$  and  $B$  are free of  $X$ .

Step III. The union of two sets is equal to  $\emptyset$  iff each set is equal to  $\emptyset$ . Hence the equation in Step II is equivalent to the pair of simultaneous equations

$$A \cap X = \emptyset, B \cap \overline{X} = \emptyset.$$

Step IV. The above pair of equations, and hence the original equation, has a solution iff  $B \subseteq \overline{A}$ . In this event, any  $X$ , such that  $B \subseteq X \subseteq \overline{A}$ , is a solution. [See exercise 5.7]

## 1.6 Relations

**ordered pair:**  $\langle x, y \rangle = \{\{x\}, \{x, y\}\}$ .

THEOREM 6.1: The ordered pair of  $x$  and  $y$  is uniquely determined by  $x$  and  $y$ . Moreover, if  $\langle x, y \rangle = \langle u, v \rangle$  then  $x = u$  and  $y = v$ .

PROOF:

That  $x$  and  $y$  uniquely determine  $\langle x, y \rangle$  follows from our assumption that a set is uniquely determined by its members. Now assume  $\langle x, y \rangle = \langle u, v \rangle$ .

(Case I)  $u = v$ : Then  $\langle u, v \rangle = \{\{u\}, \{u, v\}\} = \{\{u\}\}$ . Hence  $\{\{x\}, \{x, y\}\} = \{\{u\}\} \Rightarrow \{x\} = \{\{x, y\}\} = \{u\}$  and so  $x = u$  and  $y = v$ .

(Case II)  $u \neq v$ : Then  $\{u\} \neq \{\{u\}, \{u, v\}\}$  and  $\{x\} \neq \{\{u\}, \{u, v\}\}$ . Then  $\{x\} \in \{\{u\}, \{u, v\}\} \Rightarrow \{x\} = \{u\} \Rightarrow x = u$  and  $\{x, y\} \in \{\{u\}, \{u, v\}\} \Rightarrow \{x, y\} = \{u, v\}$ . Then  $\{x, y\} \neq \{u\}$  and so  $x \neq y$  and  $y \neq u$ . Therefore  $y = v$ .

**first coordinate:**  $x$  in  $\langle x, y \rangle$ .

**second coordinate:**  $y$  in  $\langle x, y \rangle$ .

**ordered triple:**  $\langle x, y, z \rangle = \langle \langle x, y \rangle, z \rangle$ .

**ordered  $n$ -tuple:**  $\langle x_1, x_2, \dots, x_n \rangle = \langle \langle x_1, x_2, \dots, x_{n-1} \rangle, x_n \rangle$ .

**binary relation:** a set of ordered pairs. Given relation  $\rho$  and  $\langle x, y \rangle \in \rho$  we write  $x\rho y$ .

**$\rho$ -related:**  $x$  is  $\rho$ -related to  $y$  iff  $x\rho y$ .

**$n$ -ary relation:** a set of ordered  $n$ -tuples.

**domain:**  $D_\rho = \{x \mid \text{for some } y, \langle x, y \rangle \in \rho\}$ .

**range:**  $R_\rho = \{y \mid \text{for some } x, \langle x, y \rangle \in \rho\}$ .

**cartesian product:**  $X \times Y = \{\langle x, y \rangle \mid x \in X \wedge y \in Y\}$ .

**relation from  $X$  to  $Y$ :**  $\rho \subseteq X \times Y$ .

**relation in  $Z$ :**  $\rho \subseteq Z \times Z$ .

**universal relation in  $X$ :**  $\rho = X \times X$ .

**void relation in  $X$ :**  $\rho = \emptyset$ .

**identity relation in  $X$ :**  $\iota_X = \{\langle x, x \rangle \mid x \in X\}$ .

**$\rho$ -relatives of  $A$ :**  $\rho[A] = \{y \mid x\rho y \text{ for some } x \in A\}$ . Then we have  $\rho(D_\rho) = R_\rho$ , and, for any set  $A$ ,  $\rho[A] \subseteq R_\rho$ .

## 1.7 Equivalence Relations

**reflexive:** a relation  $\rho$  in a set  $X$  is reflexive (in  $X$ ) iff  $x\rho x$  for each  $x \in X$ .

**symmetric:** a relation  $\rho$  is symmetric if  $x\rho y \Rightarrow y\rho x$ .

**transitive:** a relation  $\rho$  is transitive iff  $x\rho y \wedge y\rho z \Rightarrow x\rho z$ .

**equivalence relation:** a relation which is reflexive, symmetric, and transitive. Any equivalence relation in  $X$  is an equivalence relation on  $X$  since  $D_\rho = X$  for any equivalence relation  $\rho$  in  $X$ .

**equivalence class:** if  $\rho$  is an equivalence relation on  $X$ , then  $A \subseteq X$  is an equivalence class ( $\rho$ -equivalence class) iff there is some  $x \in A$  such that  $A = \{y \mid x\rho y\}$  iff there is some  $x \in X$  such that  $A = \rho[\{x\}]$ . The equivalence class generated by  $x$  is denoted  $[x]$ . Two basic properties follow from this definition: (I)  $x \in [x]$  and (II) if  $x\rho y$ , then  $[x] = [y]$ .

**THEOREM 7.1:** Let  $\rho$  be an equivalence relation on  $X$ . Then the collection of distinct  $\rho$ -equivalence classes is a partition of  $X$ . Conversely, if  $\mathcal{P}$  is a partition of  $X$ , and a relation  $\rho$  defined by  $a\rho b$  iff there exists  $A$  in  $\mathcal{P}$  such that  $a, b \in A$ , then  $\rho$  is an equivalence relation on  $X$ . Moreover, if an equivalence relation  $\rho$  determines the partition  $\mathcal{P}$  of  $X$ , then the equivalence relation defined by  $\mathcal{P}$  is equal to  $\rho$ . Conversely, if a partition  $\mathcal{P}$  of  $X$  determines the equivalence relation  $\rho$ , then the partition of  $X$  defined by  $\rho$  is equal to  $\mathcal{P}$ .

**PROOF:** From (II) above, we have that two equivalence classes are either disjoint or equal,



since  $z \in [x]$  and  $z \in [y]$  then  $[x] = [z]$  and  $[y] = [z]$  and so  $[x] = [y]$ . Therefore the collection of distinct  $\rho$ -equivalence classes determines a partition  $\mathcal{P}$  of  $X$ . To show the converse, let  $\mathcal{P}$  be a partition of  $X$  and let relation  $\rho$  on  $X$  be defined such that  $a\rho b$  iff there exists  $A \in \mathcal{P}$  such that  $a, b \in A$ . Then  $\rho$  is symmetric by its definition. For all  $a \in X$ , there exists some  $A \in \mathcal{P}$  such that  $a \in A$  and so  $\rho$  is reflexive. To show the transitivity of  $\rho$ , assume  $a\rho b$  and  $b\rho c$ . Then there exist  $A \in \mathcal{P}$  such that  $a, b \in A$  and  $B \in \mathcal{P}$  such that  $b, c \in B$ . Then  $b \in A$  and  $b \in B$  but since  $\mathcal{P}$  is a partition, we must have that  $A = B$ , which means  $c \in A$  and so  $a\rho c$ . Therefore  $\rho$  is an equivalence relation on  $X$ .

Now assume that an equivalence relation  $\rho$  on  $X$  is given, that it determines the partition  $\mathcal{P}$  of  $X$  and that  $\mathcal{P}$  determines the equivalence relation  $\rho^*$ . We show  $\rho = \rho^*$ . Assume  $\langle x, y \rangle \in \rho$ . Then  $x, y \in [x]$  and  $[x] \in \mathcal{P}$ . By the definition of  $\rho^*$  it follows that  $x\rho^*y$  or  $\langle x, y \rangle \in \rho^*$ . Conversely, given  $\langle x, y \rangle \in \rho^*$ , there exists  $A$  in  $\mathcal{P}$  with  $x, y \in A$ . But  $A$  is a  $\rho$ -equivalence class, and hence  $x\rho y$  or  $\langle x, y \rangle \in \rho$ . Thus  $\rho = \rho^*$ .

For the converse, assume that  $\mathcal{P}$  is a partition of  $X$ , that it determines the equivalence relation  $\rho$  on  $X$ , and that  $\rho$  determines the partition  $\mathcal{P}^*$  of  $X$ . We will show  $\mathcal{P} = \mathcal{P}^*$ . Take any  $A \in \mathcal{P}$ . Then for any  $x, y \in A$  we have  $\langle x, y \rangle \in \rho$  and so  $A = [x] = [y]$ . Then, since  $\rho$  determines the partition  $\mathcal{P}^*$ , we must have  $A \in \mathcal{P}^*$ . Conversely, take any  $A^* \in \mathcal{P}^*$ . Then for any  $x, y \in A^*$  we have  $\langle x, y \rangle \in \rho$  since  $\mathcal{P}^*$  is determined by  $\rho$  and thus  $A^* = [x]$ . Then we must have  $A^* \in \mathcal{P}$  since  $\rho$  is determined by  $\mathcal{P}$ . Therefore  $\mathcal{P} = \mathcal{P}^*$ .

**congruence mod  $n$  in  $\mathbb{Z}$ :**  $x$  is congruent to  $y$  mod  $n$  in  $\mathbb{Z}$ , symbolized  $x \equiv y \pmod{n}$ , iff  $n$  divides  $x - y$  for some nonzero  $n \in \mathbb{Z}$ .

**residue class modulo  $n$ :** congruence class mod  $n$  -  $[a]$  consists of all numbers  $a + kn$  for  $k \in \mathbb{Z}$ . The residue class mod  $n$  are  $[0], [1], \dots, [n-1]$ . The collection of residue classes mod  $n$  is denoted  $\mathbb{Z}_n$ .

**quotient set of  $X$  by  $\rho$ :** the partition of  $X$  induced by an equivalence relation  $\rho$  on  $X$ , denoted by  $X/\rho$ .

**THEOREM 7.2:** A relation  $\rho$  is an equivalence relation iff there exists a disjoint collection  $\mathcal{P}$  of nonempty sets such that

$$\rho = \{\langle x, y \rangle \mid \text{for some } C \in \mathcal{P}, \langle x, y \rangle \in C \times C\}.$$

**PROOF:** Let  $R = \{\langle x, y \rangle \mid \text{for some } C \in \mathcal{P}, \langle x, y \rangle \in C \times C\}$ .

( $\Rightarrow$ ) Assume that  $\rho$  is an equivalence relation on  $X$ . Then the collection of distinct  $\rho$ -equivalence classes is disjoint, and we contend that with this choice for  $\mathcal{P}$ ,  $\rho$  has the structure described in the theorem. Assume  $\langle x, y \rangle \in R$ . Then there exists an equivalence class  $[z]$  with  $x, y \in [z]$ . Then  $z\rho x$  and  $z\rho y$  and so  $x\rho y$  and thus  $\langle x, y \rangle \in \rho$ . Therefore  $R \subseteq \rho$ . Now assume  $\langle x, y \rangle \in \rho$ . Then  $x, y \in [x]$  and so  $\langle x, y \rangle \in [x] \times [x]$ . Therefore  $D\rho \subseteq R$  and hence  $\rho = R$ .

( $\Leftarrow$ ) Assume  $\rho$  is a relation and that there exists a disjoint collection  $\mathcal{P}$  of nonempty sets such that  $\rho = R$ . Then we must show that  $\rho$  is an equivalence relation.  $\rho$  is reflexive: given any  $C \in \mathcal{P}$ , for all  $x \in C$  we have  $\langle x, x \rangle \in C \times C$  and so  $\langle x, x \rangle \in \rho$ .  $\rho$  is symmetric: assume  $x\rho y$ . Then we have  $\langle x, y \rangle \in C \times C$  and so  $x, y \in C$ . Then  $\langle y, x \rangle \in C \times C$  and therefore

$\langle y, x \rangle \in \rho$ .  $\rho$  is transitive: assume  $x\rho y$  and  $y\rho z$  then  $\langle x, y \rangle \in C \times C$  for some  $C \in \mathcal{P}$  and  $\langle y, z \rangle \in D \times D$  for some  $D \in \mathcal{P}$ . Then we have  $x, y \in C$  and  $y, z \in D$ . But since  $\mathcal{P}$  is a partition and  $y \in C$  and  $y \in D$  we must have that  $C = D$ . Therefore  $z \in C$  and so  $\langle x, z \rangle \in C \times C$  and hence  $x\rho z$ .

## 1.8 Functions

**function:** a relation such that no two distinct members have the same first coordinate.  
 $f$  is a function  $\Leftrightarrow f \subseteq A \times B \wedge \langle x, y \rangle, \langle x, z \rangle \in f \Rightarrow y = z$ .

synonyms for **function**: transformation, map, mapping, correspondence, operator.

If  $f$  is a function and  $\langle x, y \rangle \in f$ , so that  $xfy$ , then  $x$  is an **argument** of  $f$ .  
 $y$  is the **value** of  $f$  at  $x$ , the **image** of  $x$  under  $f$ , the element into which  $f$  **carries**  $x$ .  
 Symbols for  $y$ :  $xf, f(x), fx, x^f$ .

$f(x)$  is the name for the sole member of  $f[\{x\}]$ , the set of  $f$ -relatives of  $x$ .  
 The characteristic feature of a function among relations is that each member of the domain of a function has a single relative.

**into:**  $f$  is into  $Y \Leftrightarrow R_f \subseteq Y$ .

**onto:**  $f$  is onto  $Y \Leftrightarrow R_f = Y$ .

**on:**  $f$  is on  $X \Leftrightarrow D_f = X$ .

$f : X \rightarrow Y$  **or**  $X \xrightarrow{f} Y$ :  $f$  is a function on the set  $X$  into the set  $Y$ .

$Y^X$ : the set of all functions on  $X$  into  $Y$ .  $Y^X \subseteq \mathcal{P}(X \times Y)$ .  $Y^\emptyset = \{\emptyset\}$  and  $\emptyset^X = \emptyset$  if  $X \neq \emptyset$ .

**restriction of  $f$  to  $A$ :**  $f \cap (A \times Y)$  where  $f : X \rightarrow Y$  and  $A \subseteq X$ . Denoted  $f|A$ .  
 $f|A : A \rightarrow Y$  such that  $(f|A)(a) = f(a)$  for  $a \in A$ . We have  $(f|A) \subseteq f$ .

**extension of  $g$  to  $f$ :**  $g \subseteq f$ .

**identity map on  $X$ :**  $i_X(x) = x$  for all  $x \in X$ .

**injection mapping on  $A$  into  $X$ :**  $i_X|A = i_A$ .

**one-to-one:**  $f$  maps distinct elements onto distinct elements.

$f$  is one-to-one  $\Leftrightarrow x_1 \neq x_2 \Rightarrow f(x_1) \neq f(x_2) \Leftrightarrow f(x_1) = f(x_2) \Rightarrow x_1 = x_2$ .

**one-to-one correspondence between  $X$  and  $Y$ :**  $f$  is a one-to-one function on  $X$  onto  $Y$ .

$n^X$ : The set of all functions on  $X$  into a set of  $n$  elements.

**characteristic function of  $A$ :**  $\chi_A(x) = 1$  if  $x \in A$  else  $\chi_A(x) = 0$  for  $A \subseteq X$ .  $\chi_A \in 2^X$ .  $\mathcal{P}(X)$  is in one-to-one correspondence with  $2^X$  via the function  $f : \mathcal{P}(X) \rightarrow 2^X$  by  $f(A \subseteq X) = \chi_A$ .

**$n$ -ary operation in  $X$ :** a function  $f$  such that  $D_f = X^n$  and  $R_f \subseteq X$  where  $X^n$  is the set of all  $n$ -tuples  $\langle x_1, x_2, \dots, x_n \rangle$  for  $x_i \in X$ . This is a function of  $n$  variables.

## 1.9 Composition and Inversion for Functions

**composite of  $f$  and  $g$ :**  $g \circ f = \{\langle x, z \rangle \mid \exists y : xfy \wedge ygz\}$ .

**functional composition:** the operation of computing  $f \circ g$  from  $f$  and  $g$ . As a special case, if  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$ , then  $g \circ f : X \rightarrow Z$  and  $(g \circ f)(x) = g(f(x))$ .

ASSOCIATIVE LAW FOR COMPOSITION:  $f \circ (g \circ h) = (f \circ g) \circ h$ .

PROOF: Assume  $\langle x, u \rangle \in f \circ (g \circ h)$ . Then there is a  $z$  such that  $\langle x, z \rangle \in g \circ h$  and  $\langle z, u \rangle \in f$ . Since  $\langle x, z \rangle \in g \circ h$ , there is a  $y$  such that  $\langle x, y \rangle \in h$  and  $\langle y, z \rangle \in g$ . Now  $\langle y, z \rangle \in g$  and  $\langle z, u \rangle \in f$  imply that  $\langle y, u \rangle \in f \circ g$ . Further,  $\langle x, y \rangle \in h$  and  $\langle y, u \rangle \in f \circ g$  imply that  $\langle x, u \rangle \in (f \circ g) \circ h$ . Then  $f \circ (g \circ h) \subseteq (f \circ g) \circ h$ . Reversing the foregoing steps yields the reverse inclusion and hence equality. ■

**canonical/natural mapping on  $X$  onto  $X/\rho$ :**  $j : X \rightarrow X/\rho$  with  $j(x) = [x]$  where  $\rho$  is an equivalence relation with domain  $X$ . Then  $j$  is onto the quotient set  $X/\rho$ .

If  $f$  is a mapping on  $X$  into  $Y$ , then the relation  $x_1 \rho x_2$  iff  $f(x_1) = f(x_2)$  is an equivalence relation on  $X$ . Let  $j$  be the canonical map on  $X$  onto  $X/\rho$ . Define  $g : X/\rho \rightarrow f[X]$  by  $g([x]) = f(x)$ . Define  $i : f[X] \rightarrow Y$  by  $i(y) = y$ , the injection of  $f[X]$  into  $Y$ . We have that  $j$  is onto,  $i$  is one-to-one, and  $g$  is one-to-one and onto. Then we may write  $f = i \circ g \circ j$ .

Let  $f : A \rightarrow B$ . Define  $\text{Inj}(f) \equiv f$  is one-to-one. Define  $\text{Surj}(f) \equiv f$  is onto. Define  $\text{Bij}(f) \equiv \text{Inj}(f) \wedge \text{Surj}(f)$ .

A characterization of one-to-oneness:

Let  $f : X \rightarrow Y$ . Then  $\text{Inj}(f) \Leftrightarrow \forall g, h : Z \rightarrow X : f \circ g = f \circ h \Rightarrow g = h$ .

A characterization of ontoness:

Let  $f : X \rightarrow Y$ . Then  $\text{Surj}(f) \Leftrightarrow \forall g, h : Y \rightarrow Z : g \circ f = h \circ f \Rightarrow g = h$ .

**inverse function**  $f^{-1}$  of  $f$ : the function resulting from  $f$  by interchanging the coordinates of members of  $f$ , given that  $f$  is one-to-one.

$$\forall f : \exists f^{-1} \Rightarrow D_{f^{-1}} = R_f \wedge R_{f^{-1}} = D_f \wedge (x = f^{-1}(y) \Leftrightarrow y = f(x)).$$

$$\text{Inj}(f^{-1}) \wedge (f^{-1})^{-1} = f.$$

$$[f : X \rightarrow Y \wedge \text{Bij}(f)] \Rightarrow [f^{-1} : Y \rightarrow X \wedge \text{Bij}(f^{-1})].$$

$$f^{-1} \circ f = i_X \wedge f \circ f^{-1} = i_X.$$

**functional inversion**: the operation of computing  $f^{-1}$  from  $f$ .

$$\text{Inj}(f) \wedge \text{Inj}(g) \Rightarrow \text{Inj}(g \circ f) \wedge [(g \circ f)^{-1} = f^{-1} \circ g^{-1}].$$

**inverse or counter image** of  $A$  under  $f$ :  $f^{-1}[A]$ .