# Chapter 1

# Sets and Relations

# 1.1 Cantor's Concept of a Set

A set S is any collection of definite, distinguishable objects of our intuition or of our intellect to be conceived as a whole. The objects are called the **elements** or **members** of S.

# 1.2 The Basis of Intuitive Set Theory

**Membership relation**:  $x \in A$  if the object x is a member of the set A. If x is not a member of A then  $x \notin A$ .  $x_1, x_2, \ldots, x_n \in A$  is shorthand for  $x_1 \in A \land x_2 \in A \land \cdots \land x_n \in A$ .

The intuitive principle of extension: Two sets are equal iff they have the same members. Set equality: The equality of two sets X and Y will be denoted by X = Y and inequality of X and Y by  $X \neq Y$ . Among the basic properties of this relation are:

$$X = X,$$
 
$$X = Y \Rightarrow Y = X,$$
 
$$X = Y \land Y = Z \Rightarrow X = Z,$$

for all sets X, Y, and Z.

unit set: a set  $\{x\}$  whose sole member is x.

collection of sets: a set whose members are sets.

The intuitive principle of abstraction: A formula P(x) defines a set A by the convention that the members of A are exactly those objects a such that P(a) is a true statement, denoted by  $A = \{x \mid P(x)\}$ .

Note:  $\{x \in A \mid P(x)\} := \{x \mid x \in A \land P(x)\}$ . For a property P and function f we can write  $\{f(x) \mid P(x)\} := \{y \mid \exists x \colon P(x) \land y = f(x)\}$ .

### 1.3 Inclusion

If A and B are sets, then A is **included in** B iff each member of A is a member of B. Symbolized:  $A \subseteq B$ . We also say that A is a **subset** of B. Equivalently, B **includes** A, symbolized by  $B \supseteq A$ .

The set A is **properly included in** B (A is a **proper subset** of B / B **properly includes** A) iff  $A \subseteq B$  and  $A \ne B$ .

Among the basic properties of the inclusion relation are

$$\begin{split} X \subseteq X; \\ X \subseteq Y \land Y \subseteq Z \Rightarrow X \subseteq Z; \\ X \subseteq Y \land Y \subseteq X \Rightarrow X = Y. \end{split}$$

**empty set**:  $\{x \in A \mid x \neq x\}$  for any set A is the set with no elements, symbolized by  $\emptyset$ . **power set**: the set of all subsets of a given set.  $\mathcal{P}(A) = \{B \mid B \subseteq A\}$  for a given set A.

## 1.4 Operations for Sets

**union**: for sets A and B, the set of all objects which are members of either A or B.  $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$ . (sum/join)

**intersection**: for sets A and B, the set of all objects which are members of both A and B.  $A \cap B = \{x \mid x \in A \text{ and } x \in B\}$ . (**product/meet**)

<u>Lemma</u>: For every pair of sets A and B the following inclusions hold:

$$\emptyset \subseteq A \cap B \subseteq A \subseteq A \cup B$$
.

<u>PROOF</u>: Take  $x \in \emptyset$ . Since this is false, we can conclude  $x \in A \cap B$  and so  $\emptyset \subseteq A \cap B$ . Now take  $x \in A \cap B$ . Then  $x \in \{y \mid y \in A \text{ and } y \in B\}$  and so  $x \in \{y \mid y \in A\} = A$  and thus  $A \cap B \subseteq A$ . Now take  $x \in A$ . Then we must have  $x \in \{y \mid y \in A \text{ or } y \in B\} = A \cup B$ . Then  $A \subseteq A \cup B$ .

**disjoint**:  $A \cap B = \emptyset$  for sets A and B.

**intersect**:  $A \cap B \neq \emptyset$  for sets A and B.

**disjoint collection**: for a collection of sets, each distinct pair of its member sets is disjoint. **partition**: for a set X, a disjoint collection  $\mathcal{A}$  of nonempty and distinct subsets of X such that each member of X is a member of some (exactly one) member of  $\mathcal{A}$ .

**absolute complement** of A:  $\overline{A} = \{x \mid x \notin A\}$ , the set of all members which are not in A. **relative complement** of A with respect to X:  $X - A = X \cap \overline{A} = \{x \in X \mid x \notin A\}$ , the set of those members of X which are not members of A.

**symmetric difference** of A and B:  $A + B = (A - B) \cup (B - A)$ .

universal set: the set U such that all sets under consideration in a certain discussion are subsets of U.

### 1.5 The Algebra of Sets

**identities**: equations which are true whatever the universal set U and no matter what particular subsets the letters (other than U and  $\emptyset$ ) represent.

<u>THEOREM 5.1</u>: For any subsets A, B, C of a set U the following equations are identities. Here  $\overline{A}$  is an abbreviation for U - A.

1.  $A \cup (B \cup C) = (A \cup B) \cup C$ .

1'.  $A \cap (B \cap C) = (A \cap B) \cap C$ .

 $2. \ A \cup B = B \cup A.$ 

2'.  $A \cap B = B \cap A$ .

3.  $A \cup (B \cap C) = (A \cup B) \cap (B \cup C)$ .

3'.  $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$ .

 $4. \ A \cup \emptyset = A.$ 

4'.  $A \cap U = A$ .

5.  $A \cup \overline{A} = U$ 

5'.  $A \cap \overline{A} = \emptyset$ .

#### PROOF:

<u>Lemma</u>: Let X, Y be subsets of U. Then  $X \subseteq X \cup Y$  and  $X \subseteq Y \cup X$ .

<u>Proof:</u> Assume  $x \in X$  and  $x \notin X \cup Y$ . Then  $x \notin \{z \mid z \in X \text{ or } z \in Y\} \Rightarrow x \notin \{z \mid z \in X\} = X$  which is a contradiction. Now assume  $x \in X$  and  $x \notin Y \cup X$ . Then  $x \notin \{z \mid z \in Y \text{ or } z \in X\} \Rightarrow x \notin \{z \mid z \in X\} = X$  which is a contradiction.

- 1. Assume  $x \in A \cup (B \cup C)$ . Then  $x \in A$  or  $x \in B \cup C$ . If  $x \in A$  then  $x \in A \cup B$  and so  $x \in (A \cup B) \cup C$ . Otherwise if  $x \in B \cup C$  then  $x \in B$  or  $x \in C$ . If  $x \in B$  then  $x \in (A \cup B)$  and so  $x \in (A \cup B) \cup C$ . If  $x \in C$  then  $x \in (A \cup B) \cup C$ . Therefore  $A \cup (B \cup C) \subseteq (A \cup B) \cup C$ .
  - Now assume  $x \in (A \cup B) \cup C$ . Then  $x \in A \cup B$  or  $x \in C$ . If  $x \in A \cup B$  then  $x \in A$  or  $x \in B$ . If  $x \in A$  then  $x \in A \cup (B \cup C)$ . If  $x \in B$  then  $x \in (B \cup C)$  and so  $x \in A \cup (B \cup C)$ . Otherwise if  $x \in C$  then  $x \in B \cup C$  and so  $x \in A \cup (B \cup C)$ . Therefore  $(A \cup B) \cup C \subseteq A \cup (B \cup C)$ . Hence  $A \cup (B \cup C) = (A \cup B) \cup C$ .
- 1'. Assume  $x \in A \cap (B \cap C)$ . Then  $x \in A$  and  $x \in B \cap C$ . Since  $x \in B \cap C$  we have  $x \in B$  and  $x \in C$ . Then since  $x \in A$  and  $x \in B$  we have  $x \in A \cap B$ . Since  $x \in C$  we have  $x \in (A \cap B) \cap C$ . Therefore  $A \cap (B \cap C) \subseteq (A \cap B) \cap C$ .

  Now assume  $x \in (A \cap B) \cap C$ . Then  $x \in A \cap B$  and  $x \in C$ . Since  $x \in A \cap B$  we have  $x \in A$  and  $x \in B$ . Then since  $x \in B$  and  $x \in C$  we have  $x \in B \cap C$ . Since
  - have  $x \in A$  and  $x \in B$ . Then since  $x \in B$  and  $x \in C$  we have  $x \in B \cap C$ . Since  $x \in A$  we have  $x \in A \cap (B \cap C)$ . Therefore  $(A \cap B) \cap C \subseteq A \cap (B \cap C)$ . Hence  $A \cap (B \cap C) = (A \cap B) \cap C$ .
- 2. Assume  $x \in A \cup B$ . Then  $x \in A$  or  $x \in B$ . In either case  $x \in B \cup A$  and so  $A \cup B \subseteq B \cup A$ . Now assume  $x \in B \cup A$ . Then  $x \in B$  or  $x \in A$ . In either case  $x \in A \cup B$  and so  $B \cup A \subseteq A \cup B$ . Hence  $A \cup B = B \cup A$ .
- 2'. Assume  $x \in A \cap B$ . Then  $x \in A$  and  $x \in B$  and so  $x \in B \cap A$ . Therefore  $A \cap B \subseteq B \cap A$ . Now assume  $x \in B \cap A$ . Then  $x \in B$  and  $x \in A$  and so  $x \in A \cap B$ . Therefore  $B \cap A \subseteq B \cap A$ . Hence  $A \cap B = B \cap A$ .
- 3. Assume  $x \in A \cup (B \cap C)$ . Then  $x \in A$  or  $x \in B \cap C$ . If  $x \in A$  then  $x \in A \cup B$  and  $x \in A \cup C$  and so  $x \in (A \cup B) \cap (A \cup C)$ . Otherwise if  $x \in B \cap C$  then  $x \in B$  and

 $x \in C$ . Since  $x \in B$  we have  $x \in A \cup B$ . Since  $x \in C$  we have  $x \in A \cup C$ . Then  $x \in (A \cup B) \cap (A \cup C)$  and therefore  $A \cup (B \cap C) \subseteq (A \cup B) \cap (A \cup C)$ . Now assume  $x \in (A \cup B) \cap (A \cup C)$ . Then  $x \in A \cup B$  and  $x \in A \cup C$ . Since  $x \in A \cup B$  we have  $x \in A$  or  $x \in B$ . If  $x \in A$  then  $x \in A \cup (B \cap C)$ . Otherwise if  $x \in B$  then  $x \in A \cup B$ . Since  $x \in A \cup C$  we also have that  $x \in A$  or  $x \in C$ . If  $x \in A$  then  $x \in A \cup (B \cap C)$ . Otherwise if  $x \in C$  then since  $x \in B$  we have  $x \in B \cap C$  and so  $x \in A \cup (B \cap C)$ . Therefore  $(A \cup B) \cap (A \cup C) \subseteq A \cup (B \cap C)$ . Hence  $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$ .

- 3'. Assume  $x \in A \cap (B \cup C)$ . Then  $x \in A$  and  $x \in B \cup C$ . If  $x \in B$  then since  $x \in A$  we have  $x \in A \cap B$  and so  $x \in (A \cap B) \cup (A \cap C)$ . Otherwise if  $x \in C$  then since  $x \in A$  we have  $x \in A \cap C$  and so  $x \in (A \cap B) \cup (A \cap C)$ . Therefore  $A \cap (B \cup C) \subseteq (A \cap B) \cup (A \cap C)$ . Now assume  $x \in (A \cap B) \cup (A \cap C)$ . Then  $x \in A \cap B$  or  $x \in A \cap C$ . If  $x \in A \cap B$  then  $x \in A$  and  $x \in B$ . Since  $x \in B$  we have  $x \in B \cup C$ . Since we also have  $x \in A$  then  $x \in A \cap (B \cup C)$ . Otherwise if  $x \in A \cap C$  then  $x \in A$  and  $x \in C$ . Since  $x \in C$  we have  $x \in B \cup C$ . Since we also have  $x \in A$  then  $x \in A \cap (B \cup C)$ . Therefore  $(A \cap B) \cup (A \cap C) \subseteq A \cap (B \cup C)$ . Hence  $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$ .
- 4. Assume  $x \in A \cup \emptyset$ . Then  $x \in A$  or  $x \in \emptyset$ . Since  $x \in \emptyset$  is impossible, we must have the  $x \in A$  and so  $A \cup \emptyset \subseteq A$ . Now assume  $x \in A$  then  $x \in A \cup \emptyset$  and so  $A \subseteq A \cup \emptyset$ . Hence  $A \cup \emptyset = A$ .
- 4'. Assume  $x \in A \cap U$ . Then  $x \in A$  and  $x \in U$ . Therefore  $A \cap U \subseteq A$ . Now assume  $x \in A$ . Then since  $A \subseteq U$  we have  $x \in U$  and so  $x \in A \cap U$ . Therefore  $A \subseteq A \cap U$ . Hence  $A \cap U = A$ .
- 5. Assume  $x \in A \cup \overline{A}$ . Then  $x \in A$  or  $x \in \overline{A}$ . Since  $A \subseteq U$  and  $\overline{A} \subseteq U$  in either case we have  $x \in U$  and so  $A \cup \overline{A} \subseteq U$ . Now assume  $x \in U$ . Then  $x \in A$  or  $X \notin A$  for any set A. Thus  $x \in A$  or  $x \in \overline{A}$  and so  $x \in A \cup \overline{A}$ . Therefore  $U \subseteq A \cup \overline{A}$ . Hence  $A \cup \overline{A} = U$ .
- 5'. Assume  $x \in A \cap \overline{A}$ . Then  $x \in A$  and  $x \in \overline{A}$ . Since  $x \in \overline{A}$  we have  $x \notin A$ . Since  $x \in A$  and  $x \notin A$  we have  $x \in \emptyset$ . Therefore  $A \cap \overline{A} \subseteq \emptyset$ . Since  $\emptyset \subseteq X$  for any set X we have  $\emptyset \subseteq A \cap \overline{A}$ . Hence  $A \cap \overline{A} = \emptyset$ .

General associative law for set union: The sets obtainable from given sets  $A_1, A_2, \ldots, A_n$  in that order, by use of the operation of union are all equal to one another. The set defined by  $A_1, A_2, \ldots, A_n$  in this way will be written as

$$A_1 \cup A_2 \cup \cdots \cup A_n$$
.

General associative law for set intersection: The sets obtainable from given sets  $A_1, A_2, \ldots, A_n$  in that order, by use of the operation of intersection are all equal to one another. The set defined by  $A_1, A_2, \ldots, A_n$  in this way will be written as

$$A_1 \cap A_2 \cap \cdots \cap A_n$$
.

General commutative law for set union: If  $1', 2', \ldots, n'$  are  $1, 2, \ldots, n$  in any order, then

$$A_1 \cup A_2 \cup \cdots \cup A_n = A_{1'} \cup A_{2'} \cup \cdots \cup A_{n'}.$$

General commutative law for set intersection: If  $1', 2', \ldots, n'$  are  $1, 2, \ldots, n$  in any order, then

$$A_1 \cap A_2 \cap \cdots \cap A_n = A_{1'} \cap A_{2'} \cap \cdots \cap A_{n'}$$
.

General distributive law for set union:

$$A \cup (B_1 \cap B_2 \cap \cdots \cap B_n) = (A \cup B_1) \cap (A \cup B_2) \cap \cdots \cap (A \cup B_n).$$

General distributive law for set intersection:

$$A \cap (B_1 \cup B_2 \cup \cdots \cup B_n) = (A \cap B_1) \cup (A \cap B_2) \cup \cdots \cup (A \cap B_n).$$

dual: An equation, or an expression, or a statement within the framework of the algebra of sets obtained from another by interchanging  $\cup$  and  $\cap$  along with  $\emptyset$  and U.

**principle of duality** for the algebra of sets: If T is any theorem expressed in terms of  $\cup$ ,  $\cap$ , and , then the dual of T is also a theorem.

THEOREM 5.2: For all subsets A and B of a set U, the following statements are valid. Here  $\overline{A}$  is an abbreviation for U-A.

6. If, for all  $A, A \cup B = A$ , then  $B = \emptyset$ .

6'. If, for all  $A, A \cap B = A$  then B = U.

7,7'. If  $A \cup B = U$  and  $A \cap B = \emptyset$ , then  $B = \overline{A}$ .

8.8'.  $\overline{\overline{A}} = A$ .

9.  $\overline{\emptyset} = U$ .

9'.  $\overline{U} = \emptyset$ .

10.  $A \cup A = A$ 

10'.  $A \cap A = A$ .

11.  $A \cup U = U$ 12.  $A \cup (A \cap B) = A$ . 11'.  $A \cap \emptyset = \emptyset$ .

12'.  $A \cap (A \cup B) = A$ .

13.  $\overline{A \cup B} = \overline{A} \cap \overline{B}$ 

13'.  $\overline{A \cap B} = \overline{A} \cup \overline{B}$ .

#### Proof:

- 6. Assume  $A \cup B = A$  for all A. Take  $A = \emptyset$ . Then  $\emptyset \cup B = \emptyset$ . Then if  $x \in B$  we have  $x \in \emptyset \cup B = \emptyset$  and so  $B \subseteq \emptyset$ . Since  $\emptyset \subseteq B$  we have  $B = \emptyset$ .
- 6'. Assume  $A \cap B = A$  for all A. Take A = U. Then  $U \cap B = U$ . Then if  $x \in U$  we have  $x \in U \cap B$  and so  $x \in B$ . Therefore  $U \subseteq B$ . Since  $B \subseteq U$  we have B = U.
- 7,7'. Assume  $A \cup B = U$  and  $A \cap B = \emptyset$  for sets A and B. Take  $x \in B$ . Assume  $x \in A$ . Then  $x \in A \cap B = \emptyset$ . By contradiction we have  $x \in A$ . Then  $B \subseteq A$ . Now take  $x \in A$ . Then  $x \notin A$ . Assume  $x \notin B$ . Then  $x \notin A \cup B = U$ . By contradiction we have  $x \in B$ . Therefore  $\overline{A} \subseteq B$  and so  $B = \overline{A}$ .

- 8,8'. Take  $x \in \overline{\overline{A}}$  for a set A. Then  $x \notin \overline{A}$  and so  $x \in A$ . Therefore  $\overline{\overline{A}} \subseteq A$ . Now take  $x \in A$ . Then  $x \notin \overline{A}$  and so  $x \in \overline{\overline{A}}$ . Therefore  $A \subseteq \overline{\overline{A}}$  and so  $\overline{\overline{A}} = A$ .
  - 9. Take  $x \in \overline{\emptyset}$ . Then  $x \in U \cap \overline{\emptyset}$  and so  $x \in U$ . Then  $\overline{\emptyset} \subseteq U$ . Now take  $x \in U$ . Then  $x \notin \emptyset$  and so  $x \in U \cap \overline{\emptyset} = U \emptyset = \overline{\emptyset}$ . Therefore  $U \subseteq \overline{\emptyset}$  and so  $\overline{\emptyset} = U$ .
  - 9'. Take  $x \in \overline{U}$ . Then  $x \in U \cap \overline{U}$  so  $x \in U$  and  $x \notin U$ . By contradiction  $x \in \emptyset$  and so  $\overline{U} \subseteq \emptyset$ . Now take  $x \in \emptyset$ . Then  $x \notin U$  and so  $x \in \overline{U}$ . Therefore  $\emptyset \subseteq \overline{U}$  and so  $\overline{U} = \emptyset$ .
  - 10. Take  $x \in A \cup A$ . Then  $x \in A$  or  $x \in A$  and so  $x \in A$ . Thus  $A \cup A \subseteq A$ . Now take  $x \in A$ . Then  $x \in A \cup A$  and so  $A \subseteq A \cup A$ . Therefore  $A \cup A = A$ .
- 10'. Take  $x \in A \cap A$ . Then  $x \in A$  and so  $A \cap A \subseteq A$ . Now take  $x \in A$ . Then  $x \in A \cap A$  and so  $A \subseteq A \cap A$ . Therefore  $A \cap A = A$ .
- 11. Take  $x \in A \cup U$ . Then  $x \in A$  or  $x \in U$ . If  $x \in A$  then  $x \in U$  since  $A \subseteq U$ . Therefore  $A \cup U \subseteq U$ . Now take  $x \in U$ . Then  $x \in A \cup U$  and so  $U \subseteq A \cup U$ . Therefore  $A \cup U = U$ .
- 11'. Take  $x \in A \cap \emptyset$ . Then  $x \in \emptyset$  and so  $A \cap \emptyset \subseteq \emptyset$ . Now take  $x \in \emptyset$ . Then  $x \in A$  (ex falso quodlibet). Thus  $x \in A \cap \emptyset$  and so  $\emptyset \subseteq A \cap \emptyset$ . Therefore  $A \cap \emptyset = \emptyset$ .
- 12. Take  $x \in A \cup (A \cap B)$ . Then  $x \in A$  or  $x \in A \cap B$ . If  $x \in A \cap B$  then  $x \in A$ . Therefore  $A \cup (A \cap B) \subseteq A$ . Now take  $x \in A$ . Then  $x \in A \cup (A \cap B)$ . Thus  $A \subseteq A \cup (A \cap B)$  and so  $A \cup (A \cap B) = A$ .
- 12'. Take  $x \in A \cap (A \cup B)$ . Then  $x \in A$  and so  $A \cap (A \cup B) \subseteq A$ . Now take  $x \in A$ . Then  $x \in A \cup B$  and so  $x \in A \cap (A \cup B)$ . Therefore  $A \subseteq A \cap (A \cup B)$  and so  $A \cap (A \cup B) = A$ .
- 13. Take  $x \in \overline{A \cup B}$ . Then  $x \notin A \cup B$  and so  $x \notin A$  and  $x \notin B$ . Then  $x \in \overline{A}$  and  $x \in \overline{B}$  and so  $x \in \overline{A} \cap \overline{B}$ . Therefore  $\overline{A \cup B} \subseteq \overline{A} \cap \overline{B}$ . Now take  $x \in \overline{A} \cap \overline{B}$ . Then  $x \in \overline{A}$  and  $x \in \overline{B}$  and so  $x \notin A$  and  $x \notin B$ . Then  $x \notin A \cup B$  and so  $x \in \overline{A \cup B}$ . Therefore  $\overline{A} \cap \overline{B} \subseteq \overline{A \cup B}$  and so  $\overline{A \cup B} = \overline{A} \cap \overline{B}$ .
- 13'. Take  $x \in \overline{A \cap B}$ . Then  $x \notin A \cap B$ . Then  $x \notin A$  or  $x \notin B$ . Then  $x \in \overline{A}$  or  $x \in \overline{B}$  and so  $x \in \overline{A} \cup \overline{B}$ . Therefore  $\overline{A \cap B} \subseteq \overline{A} \cup \overline{B}$ . Now take  $x \in \overline{A} \cup \overline{B}$ . Then  $x \in \overline{A}$  or  $x \in \overline{B}$  and so  $x \notin A$  or  $x \notin B$ . Then  $x \notin A \cap B$  and so  $x \notin \overline{A} \cap B = \overline{A} \cup \overline{B}$ .

THEOREM 5.3: The following statements about sets A and B are equivalent to one another.

- (I)  $A \subseteq B$
- (II)  $A \cap B = A$
- (III)  $A \cup B = B$

#### Proof:

- (I) implies (II). Assume  $A \subseteq B$ . Since, for all A and B,  $A \cap B \subseteq A$ , it is sufficient to prove that  $A \subseteq A \cap B$ . But if  $x \in A$ , then  $x \in B$  and, hence,  $x \in A \cap B$ . Hence  $A \subseteq A \cap B$ .
- (II) implies (III). Assume  $A \cap B = A$ . Then  $A \cup B = (A \cap B) \cup B = (A \cup B) \cap (B \cup B) = (A \cup B) \cap B = B$ .
- (III) implies (I). Assume  $A \cup B = B$ . Then this and the identity  $A \subseteq A \cup B$  imply  $A \subseteq B$ .

<u>NOTE</u>: The principle of duality does not apply directly to expressions in which - or  $\subseteq$  appears. Replace A - B with  $A \cap \overline{B}$ . Replace  $A \subseteq B$  with  $A \cap B = A$  or  $A \cup B = B$ . The dual of  $A \cap B = A$  is  $A \cup B = A \Leftrightarrow A \supseteq B$ . So we can extend the principle of duality to include the inclusion symbol: swap  $\subseteq$  with  $\supseteq$  (inclusion signs are reversed).

THEORY OF EQUATIONS FOR THE ALGEBRA OF SETS: For an equation formed using  $\cup$ ,  $\cap$ , and  $\overline{\phantom{a}}$  on symbols  $A_1, A_2, \ldots, A_n$  and X where the A's denote fixed subsets of some universal set U and X denotes a subset of U which is constrained only by the equation in which it appears, determine under what conditions such an equation has a solution and then, assuming these are satisfied, obtain all solutions.

Step I. Two sets are equal iff their symmetric difference is equal to  $\emptyset$ . Hence, an equation in X is equivalent to one whose righthand side is  $\emptyset$ .

Step II. An equation in X with righthand side  $\emptyset$  is equivalent to one of the form

$$(A \cap X) \cup (B \cap \overline{X}) = \emptyset,$$

where A and B are free of X.

Step III. The union of two sets is equal to  $\emptyset$  iff each set is equal to  $\emptyset$ . Hence the equation in Step II is equivalent to the pair of simultaneous equations

$$A \cap X = \emptyset, B \cap \overline{X} = \emptyset.$$

Step IV. The above pair of equations, and hence the original equation, has a solution iff  $B \subseteq \overline{A}$ . In this event, any X, such that  $B \subseteq X \subseteq \overline{A}$ , is a solution. [See exercise 5.7]

### 1.6 Relations

ordered pair:  $\langle x, y \rangle = \{\{x\}, \{x, y\}\}.$ 

<u>THEOREM 6.1</u>: The ordered pair of x and y is uniquely determined by x and y. Moreover, if  $\langle x, y \rangle = \langle u, v \rangle$  then x = u and y = v.

#### Proof:

That x and y uniquely determine  $\langle x, y \rangle$  follows from our assumption that a set is uniquely determined by its members. Now assume  $\langle x, y \rangle = \langle u, v \rangle$ .

(Case I) u = v: Then  $\langle u, v \rangle = \{\{u\}, \{u, v\}\} = \{\{u\}\}\}$ . Hence  $\{\{x\}, \{x, y\}\} = \{\{u\}\}\} \Rightarrow \{x\} = \{\{x, y\}\} = \{u\}$  and so x = u and y = v.

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Then \{x,y\} \neq \{u\} and so x \neq y and y \neq u. Therefore y = v.
first coordinate: x in \langle x, y \rangle.
second coordinate: y in \langle x, y \rangle.
ordered triple: \langle x, y, z \rangle = \langle \langle x, y \rangle, z \rangle.
ordered n-tuple: \langle x_1, x_2, \dots, x_n \rangle = \langle \langle x_1, x_2, \dots, x_{n-1} \rangle, x_n \rangle.
binary relation: a set of ordered pairs. Given relation \rho and \langle x,y\rangle \in \rho we write x\rho y.
\rho-related: x is \rho-related to y iff x \rho y.
n-ary relation: a set of ordered n-tuples.
domain: D_{\rho} = \{x \mid \text{for some } y, \langle x, y \rangle \in \rho\}.
range: R_{\rho} = \{ y \mid \text{ for some } x, \langle x, y \rangle \in \rho \}.
cartesian product: X \times Y = \{ \langle x, y \rangle \mid x \in X \land y \in Y \}.
relation from X to Y: \rho \subseteq X \times Y.
relation in Z: \rho \subseteq Z \times Z.
universal relation in X: \rho = X \times X.
void relation in X: \rho = \emptyset.
identity relation in X: \iota_X = \{\langle x, x \rangle \mid x \in X\}.
\rho-relatives of A: \rho[A] = \{y \mid x \rho y \text{ for some } x \in A\}. Then we have \rho(D_{\rho}) = R_{\rho}, and, for
any set A, \rho[A] \subseteq R_{\rho}.
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(Case II)  $u \neq v$ : Then  $\{u\} \neq \{\{u\}, \{u, v\}\}\}$  and  $\{x\} \neq \{\{u\}, \{u, v\}\}\}$ . Then  $\{x\} \in \{\{u\}, \{u, v\}\}\} \Rightarrow \{x\} = \{u\} \Rightarrow x = u$  and  $\{x, y\} \in \{\{u\}, \{u, v\}\}\} \Rightarrow \{x, y\} = \{u, v\}$ .

## 1.7 Equivalence Relations

**reflexive**: a relation  $\rho$  in a set X is reflexive (in X) iff  $x\rho x$  for each  $x \in X$ .

**symmetric**: a relation  $\rho$  is symmetric if  $x\rho y \Rightarrow y\rho x$ .

**transitive**: a relation  $\rho$  is transitive iff  $x\rho y \wedge y\rho z \Rightarrow x\rho z$ .

equivalence relation: a relation which is reflexive, symmetric, and transitive. Any equivalence relation in X is an equivalence relation on X since  $D_{\rho} = X$  for any equivalence relation  $\rho$  in X.

**equivalence class**: if  $\rho$  is an equivalence relation on X, then  $A \subseteq X$  is an equivalence class ( $\rho$ -equivalence class) iff there is some  $x \in A$  such that  $A = \{y \mid x\rho y\}$  iff there is some  $x \in X$  such that  $A = \rho[\{x\}]$ . The equivalence class generated by x is denoted [x]. Two basic properties follow from this definition: (I)  $x \in [x]$  and (II) if  $x\rho y$ , then [x] = [y].

<u>THEOREM 7.1</u>: Let  $\rho$  be an equivalence relation on X. Then the collection of distinct  $\rho$ equivalence classes is a partition of X. Conversely, if  $\mathcal{P}$  is a partition of X, and a relation  $\rho$  defined by  $a\rho b$  iff there exists A in  $\mathcal{P}$  such that  $a, b \in A$ , then  $\rho$  is an equivalence relation on X. Moreover, if an equivalence relation  $\rho$  determines the partition  $\mathcal{P}$  of X, then the equivalence relation defined by  $\mathcal{P}$  is equal to  $\rho$ . Conversely, if a partition  $\mathcal{P}$  of X determines the equivalence relation  $\rho$ , then the partition of X defined by  $\rho$  is equal to  $\mathcal{P}$ .

PROOF: From (II) above, we have that two equivalence classes are either disjoint or equal,

since  $z \in [x]$  and  $z \in [y]$  then [x] = [z] and [y] = [z] and so [x] = [y]. Therefore the collection of distinct  $\rho$ -equivalence classes determines a partition  $\mathcal{P}$  of X. To show the converse, let  $\mathcal{P}$  be a partition of X and let relation  $\rho$  on X be defined such that  $a\rho b$  iff there exists  $A \in \mathcal{P}$  such that  $a, b \in A$ . Then  $\rho$  is symmetric by its definition. For all  $a \in X$ , there exists some  $A \in \mathcal{P}$  such that  $a \in A$  and so  $\rho$  is reflexive. To show the transitivity of  $\rho$ , assume  $a\rho b$  and  $b\rho c$ . Then there exist  $A \in \mathcal{P}$  such that  $a, b \in A$  and  $B \in \mathcal{P}$  such that  $b, c \in B$ . Then  $b \in A$  and  $b \in B$  but since  $\mathcal{P}$  is a partition, we must have that A = B, which means  $c \in A$  and so  $a\rho c$ . Therefore  $\rho$  is an equivalence relation on X.

Now assume that an equivalence relation  $\rho$  on X is given, that it determines the partition  $\mathcal{P}$  of X and that  $\mathcal{P}$  determines the equivalence relation  $\rho^*$ . We show  $\rho = \rho^*$ . Assume  $\langle x, y \rangle \in \rho$ . Then  $x, y \in [x]$  and  $[x] \in \mathcal{P}$ . By the definition of  $\rho^*$  it follows that  $x\rho^*y$  or  $\langle x, y \rangle \in \rho^*$ . Conversely, given  $\langle x, y \rangle \in \rho^*$ , there exists A in  $\mathcal{P}$  with  $x, y \in A$ . But A is a  $\rho$ -equivalence class, and hence  $x\rho y$  or  $\langle x, y \rangle \in \rho$ . Thus  $\rho = \rho^*$ .

For the converse, assume that  $\mathcal{P}$  is a partition of X, that it determines the equivalence relation  $\rho$  on X, and that  $\rho$  determines the partition  $\mathcal{P}^*$  of X. We will show  $\mathcal{P} = \mathcal{P}^*$ . Take any  $A \in \mathcal{P}$ . Then for any  $x, y \in A$  we have  $\langle x, y \rangle \in \rho$  and so A = [x] = [y]. Then, since  $\rho$  determines the partition  $\mathcal{P}^*$ , we must have  $A \in \mathcal{P}^*$ . Conversely, take any  $A^* \in \mathcal{P}^*$ . Then for any  $x, y \in A^*$  we have  $\langle x, y \rangle \in \rho$  since  $\mathcal{P}^*$  is determined by  $\rho$  and thus  $A^* = [x]$ . Then we must have  $A^* \in \mathcal{P}$  since  $\rho$  is determined by  $\mathcal{P}$ . Therefore  $\mathcal{P} = \mathcal{P}^*$ .

**congruence mod** n in  $\mathbb{Z}$ : x is congruent to y mod n in  $\mathbb{Z}$ , symbolized  $x \equiv y \pmod{n}$ , iff n divides x - y for some nonzero  $n \in \mathbb{Z}$ .

**residue class modulo** n: congruence class mod n - [a] consists of all numbers a + kn for  $k \in \mathbb{Z}$ . The residue class mod n are  $[0], [1], \ldots, [n-1]$ . The collection of residue classes mod n is denoted  $\mathbb{Z}_n$ .

**quotient set of** X **by**  $\rho$ : the partition of X induced by an equivalence relation  $\rho$  on X, denoted by  $X/\rho$ .

THEOREM 7.2: A relation  $\rho$  is an equivalence relation iff there exists a disjoint collection  $\mathcal{P}$  of nonempty sets such that

$$\rho = \{ \langle x, y \rangle \mid \text{for some } C \in \mathcal{P}, \langle x, y \rangle \in C \times C \}.$$

<u>Proof</u>: Let  $R = \{\langle x, y \rangle \mid \text{ for some } C \in \mathcal{P}, \langle x, y \rangle \in C \times C\}.$ 

(⇒) Assume that  $\rho$  is an equivalence relation on X. Then the collection of distinct  $\rho$ equivalence classes is disjoint, and we contend that with this choice for  $\mathcal{P}$ ,  $\rho$  has the structure
described in the theorem. Assume  $\langle x, y \rangle \in R$ . Then there exists an equivalence class [z] with  $x, y \in [z]$ . Then  $z \rho x$  and  $z \rho y$  and so  $x \rho y$  and thus  $\langle x, y \rangle \in \rho$ . Therefore  $R \subseteq \rho$ . Now assume  $\langle x, y \rangle \in \rho$ . Then  $x, y \in [x]$  and so  $\langle x, y \rangle \in [x] \times [x]$ . Therefore  $D \rho \subseteq R$  and hence  $\rho = R$ .
(⇐) Assume  $\rho$  is a relation and that there exists a disjoint collection  $\mathcal{P}$  of nonempty sets
such that  $\rho = R$ . Then we must show that  $\rho$  is an equivalence relation.  $\rho$  is reflexive: given
any  $C \in \mathcal{P}$ , for all  $x \in C$  we have  $\langle x, x \rangle \in C \times C$  and so  $\langle x, x \rangle \in \rho$ .  $\rho$  is symmetric: assume

 $x \rho y$ . Then we have  $\langle x, y \rangle \in C \times C$  and so  $x, y \in C$ . Then  $\langle y, x \rangle \in C \times C$  and therefore

 $\langle y, x \rangle \in \rho$ .  $\rho$  is transitive: assume  $x\rho y$  and  $y\rho z$  then  $\langle x, y \rangle \in C \times C$  for some  $C \in \mathcal{P}$  and  $\langle y, z \rangle \in D \times D$  for some  $D \in \mathcal{P}$ . Then we have  $x, y \in C$  and  $y, z \in D$ . But since  $\mathcal{P}$  is a partition and  $y \in C$  and  $y \in D$  we must have that C = D. Therefore  $z \in C$  and so  $\langle x, z \rangle \in C \times C$  and hence  $x\rho z$ .

### 1.8 Functions

**function**: a relation such that no two distinct members have the same first coordinate. f is a function  $\Leftrightarrow f \subseteq A \times B \land \langle x, y \rangle, \langle x, z \rangle \in f \Rightarrow y = z$ .

synonyms for **function**: transformation, map, mapping, correspondence, operator.

If f is a function and  $\langle x, y \rangle \in f$ , so that xfy, then x is an **argument** of f. y is the **value** of f at x, the **image** of x under f, the element into which f carries x. Symbols for y: xf, f(x), fx,  $x^f$ .

f(x) is the name for the sole member of  $f[\{x\}]$ , the set of f-relatives of x.

The characteristic feature of a function among relations is that each member of the domain of a function has a single relative.

**into**: f is into  $Y \Leftrightarrow R_f \subseteq Y$ .

**onto**: f is onto  $Y \Leftrightarrow R_f = Y$ .

on: f is on  $X \Leftrightarrow D_f = X$ .

 $f: X \to Y$  or  $X \xrightarrow{f} Y$ : f is a function on the set X into the set Y.

 $Y^X$ : the set of all functions on X into Y.  $Y^X \subseteq \mathcal{P}(X \times Y)$ .  $Y^\emptyset = \{\emptyset\}$  and  $\emptyset^X = \emptyset$  if  $X \neq \emptyset$ .

**restriction of** f **to** A:  $f \cap (A \times Y)$  where  $f : X \to Y$  and  $A \subseteq X$ . Denoted f|A.  $f|A:A \to Y$  such that (f|A)(a) = f(a) for  $a \in A$ . We have  $(f|A) \subseteq f$ .

extension of g to  $f: g \subseteq f$ .

identity map on X:  $i_X(x) = x$  for all  $x \in X$ .

injection mapping on A into X:  $i_X|A=i_A$ .

**one-to-one**: f maps distinct elements onto distinct elements. f is one-to-one  $\Leftrightarrow x_1 \neq x_2 \Rightarrow f(x_1) \neq f(x_2) \Leftrightarrow f(x_1) = f(x_2) \Rightarrow x_1 = x_2$ .

one-to-one correspondence between X and Y: f is a one-to-one function on X onto Y.

 $n^X$ : The set of all functions on X into a set of n elements.

characteristic function of A:  $\chi_A(x) = 1$  if  $x \in A$  else  $\chi_A(x) = 0$  for  $A \subseteq X$ .  $\chi_A \in 2^X$ .  $\mathcal{P}(X)$  is in one-to-one correspondence with  $2^X$  via the function  $f : \mathcal{P}(X) \to 2^X$  by  $f(A \subseteq X) = \chi_A$ .

*n*-ary operation in X: a function f such that  $D_f = X^n$  and  $R_f \subseteq X$  where  $X^n$  is the set of all n-tuples  $\langle x_1, x_2, \ldots, x_n \rangle$  for  $x_i \in X$ . This is a function of n variables.